

# Influence of phase angle and dead volume on gamma-type Stirling engine power using CFD simulation

Alfarawi, Suliman; Al-Dadah, Raya; Mahmoud, Saad

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**1 INFLUENCE OF PHASE ANGLE AND DEAD VOLUME ON GAMMA-TYPE STIRLING ENGINE**  
**2 POWER USING CFD SIMULATION**

**3 S. Alfarawi<sup>1</sup>, R. AL-Dadah<sup>1</sup>, S. Mahmoud<sup>1</sup>**

<sup>1</sup>Department of Mechanical Engineering, University of Birmingham, Edgbaston, B15 2TT, UK

**6 Abstract**

7 This work presents the development and validation of computational fluid dynamics (CFD) model of 500 Watts  
 8 gamma-type Stirling engine prototype to highlight the effects posed by phase angle and dead volume variations on  
 9 engine performance. The model is based on a realistic Local Thermal Non-Equilibrium (LTNE) approach for porous  
 10 domains in the engine (cooler and regenerator). The simulation results showed an acceptable degree of accuracy of  
 11 9% and 5%, respectively when comparing with experimental results in predicting the indicated and cooling powers  
 12 at different heating temperatures. It is found that the maximum indicated power is achieved at a phase angle of 105°  
 13 rather than at the common phase angle of 90°. The dead volume (connecting pipe) is observed to pose negative  
 14 effects on engine indicated power and therefore, an optimum value of pipe diameter exists.

**16 Keywords:** CFD, Phase angle, Stirling Engine, dead volume.

**18 Nomenclature**

$2D$	Two dimensional	$r$	Crank radius, mm
$a_{fs}$	Solid surface area per unit volume, 1/m	$Re$	Reynolds number
$CFD$	Computational fluid dynamics	$T$	Gas phase temperature, °C
$C_p$	Gas heat capacity, J/kg. K	$T_s$	Solid phase temperature, °C
$C_{ps}$	Solid heat capacity, J/kg. K	$\mathbf{u}$	Velocity vector, m/s
$d_w$	Mesh wire diameter, $\mu\text{m}$	$X_c$	Power piston displacement, m
$d_h$	Hydraulic diameter, m	$X_e$	Displacer piston displacement, m
$f$	Friction factor	<i>Greek letters</i>	
$K$	Permeability, $\text{m}^2$	$\beta_F$	Forchheimer drag coefficient, $\text{kg}/\text{m}^4$
$k$	Gas thermal conductivity, W/m. K	$\lambda_c$	Crank radius to compression connecting rod ratio
$k_s$	Solid thermal conductivity, W/m. K	$\lambda_e$	Crank radius to expansion connecting rod ratio
$\overline{k_e}$	Equivalent thermal conductivity, W/m. K	$\theta$	Crank angle, rad
$Nu$	Nusselt number	$\rho$	Gas density, $\text{kg}/\text{m}^3$
$p$	Instantaneous gas pressure, Pa	$\rho_s$	Solid density, $\text{kg}/\text{m}^3$
$P_e$	Peclet number	$\mu$	Gas dynamic viscosity, Pa. s
$R$	Gas constant, J/kg. K	$\varepsilon$	Porosity

**21 1. Introduction**

22 Alternative sources of energy are being sought to preserve fossil fuels as well as to reduce the greenhouse effects. In  
23 this regard, renewable energy resources (such as biomass, solar, geothermal and wind energy) are deemed to be the  
24 promising solution in as much as they are clean, efficient, and sustainable [1]. The Stirling engine is an externally  
25 heated engine. It is thermally regenerative, simple in construction, virtually quiet, safe in operation, and intrinsically  
26 flexible to adopt any heat source such as solar, biomass, geothermal energy or even an industrial waste [2]. Ideally,  
27 Stirling engines work on a highly efficient thermodynamic cycle. The gas inside the engine undergoes four  
28 processes; two isothermal heat-exchange processes (expansion and compression) and two isochoric heat-exchange  
29 processes (heating and cooling). However, the real cycle is considerably penalized due to the irreversibility and non-  
30 ideality of transport mechanisms occurring inside the different components of the engine. The regenerator is a key  
31 component of the engine; it is an internal heat exchanger that acts as a thermal sponge that absorbs and releases heat  
32 during the cycle, thus, enhancing engine power and efficiency. The heat being absorbed and restored to the gas in  
33 the regenerator during one cycle is typically four times the heat that passes through the heater during one cycle [3].  
34 Without a regenerator, such an engine requires a heater with five times the amount of heat needed to generate the  
35 same power it did with a regenerator. The conventional regenerator types adopted in Stirling engines are wire mesh  
36 or random fibre. Some advantageous features exist in these types such as; high convective heat transfer between the  
37 solid and the gas due to the extended surface area of wires and this is similar to a cross flow over repeated cylinder-  
38 shaped wires and low axial conduction in flow direction. However, the disadvantage of this type of regenerator is  
39 the high flow friction resulting from flow separation, eddies associated with stagnation areas that can degrade the  
40 engine performance. The regenerator has to have several features for better performance that might be contradicting  
41 and this requires a great effort for designers and developers to find the optimum configuration based on; minimum  
42 pressure drop, maximum convective heat transfer and minimum axial conduction in flow direction [4]. There have  
43 been numerous numerical models in open literature to analyse and optimize Stirling engines. In their hierarchal  
44 order, they are classified as zeroth-, first-, second-, third- and forth-order models. The first four models are  
45 ascending in their complexity and accuracy. However, the effects caused by the geometrical variation can't be taken  
46 into account by these models. A detailed overview of these models can be found in [5]. The adoption of fourth-order  
47 analysis or namely computational fluid dynamics (CFD) analysis can return accurate results. However, this approach  
48 is quite challenging and computationally expensive to model the engine as a whole. In terms of full engine CFD  
49 modelling, the thermal equilibrium used in porous media models for modelling the regenerator is believed to be a  
50 poor assumption in oscillating flow environment since several degrees of temperature difference between gas and

51 solid matrix are reported [6]. The Navier-Stokes equations are either for laminar or turbulent regimes contrasting  
52 with the actual flow situation in Stirling environment and hence transition from laminar to turbulent can occur from  
53 one spatial location to another over the cycle based on the published results of oscillating flow rig testing. Therefore,  
54 more understanding of flow physics is still required [7]. The deformation of engine domains due to pistons  
55 movement as a result of gas compression and expansion needs to be handled through a complex algorithm to support  
56 moving (dynamic) meshes during the simulation. The time stepping in transient analysis plays a crucial role on  
57 convergence and accuracy of the simulation. Movements of the pistons until reaching the dead points, where the  
58 mesh is densely compressed, may require smaller time steps for better convergence and hence adaptive time  
59 stepping can be a good strategy to return results more accurately. Therefore, using this approach needs more  
60 sophisticated codes, and sometime manual tuning is required, in order to return more reasonable and accurate  
61 results. There have been fewer studies on using CFD approach than other analysis methods for modelling Stirling  
62 engine in general and for modelling gamma-type in particular.

63 Bert et al. [8] proposed a three-zone finite-time thermodynamic model to simulate and optimize gamma-type  
64 Stirling engine with a nominal power of 1kWe. Effects of speed, gas type, hot end temperature and filling pressure  
65 on engine performance were investigated. The pistons kinematics were optimized using particle swarm optimization  
66 (PSO) for maximum power. Their results showed that in the optimized crank-connecting rod system, the phase angle  
67 varies from  $90^\circ$  at the beginning of the cycle and  $100^\circ$  at the maximum position of each piston.

68 Chen et al. [9] constructed and tested a twin power piston gamma-type Stirling engine. The engine was incorporated  
69 with a moving regenerator housed inside its displacer and filled with a woven-screen material. The effects of  
70 different regenerator parameters on engine performance, including regenerator material, wire diameter, filling factor  
71 and stacking arrangements, were investigated. According to their results, copper material was found superior to  
72 stainless steel on engine performance at the tested conditions and optimum filling factor was proposed.

73 Hooshang et al. [10] proposed a model for gamma-type Stirling engine optimization based on neural network  
74 concepts. The thermodynamic code based on third-order analysis was used to produce a dataset to recognise the  
75 relationship between inputs and outputs using the neural network and to search for optimum design parameters. The  
76 results showed that engine power and efficiency can be optimized to 878 W and 13.21% compared to the base case  
77 of 500 W and 8.5%.

78 Hachem et al. [11] developed a numerical model, based on classical quasi-steady approach, to optimize gamma-type  
79 Stirling engine. Their results showed that the maximum losses were recorded in the regenerator including viscous,

80 conduction and imperfection losses. The effect of key operational parameters, such as engine speed, hot end  
81 temperature and charge pressure on engine performance were investigated and they found that the engine speed can  
82 cause a conflict of thermal losses mechanisms. Increasing initial filling pressure and hot end temperature were the  
83 two influential parameters on the increase of engine brake power.

84 Araoz et al. [12] developed a thermodynamic model based on second-order analysis to simulate gamma-type Stirling  
85 engine. The forced work and mechanical efficiency, based on Senft theory, were considered to predict engine shaft  
86 power. According to their results, they found that the engine low power output was attributed to the reduced  
87 mechanical efficiency of the system. The dynamics of volume variation and drive mechanism were suggested for  
88 further improvements to increase the engine shaft power.

89 Gheith et al. [13] conducted an experimental investigation on the optimum regenerator matrix material and porosity  
90 for gamma-type Stirling engine. Different materials were tested including stainless steel, copper, aluminium and  
91 Monel 400. The results showed that stainless steel matrix with 85% porosity is the best configuration to maximize  
92 engine performance.

93 Li et al. [14] proposed a coupled finite speed and isothermal models to analyse a solar-powered gamma-type Stirling  
94 engine. A filling material in regenerator gap was not considered in this LTD Stirling engine. Different loss  
95 mechanisms affecting the engine performance were considered. They found that the key loss mechanisms are the  
96 regenerator gap heat loss and the work loss due to gas leakage through piston/ cylinder walls. Some engine  
97 improvements; using isolating material for displacer and cylinder walls and reducing the clearance leakage, were  
98 proposed.

99 Mahkamov [15], performed a second-order and 3D CFD analysis on a gamma-type Stirling engine prototype to  
100 enhance its power. The CFD results revealed that power reduction was attributed to the high level of hydraulic  
101 losses in the regenerator, and the entrapment of the gas in the pipe connecting the two parts of the compression  
102 space and to its large dead volume. A further improvement in the engine design was only viable by adopting this  
103 multi-dimension approach within an acceptable range of accuracy, 18% when compared to experimental results.

104 Chen et al. [16] developed a 3D CFD code for twin power piston gamma-type Stirling engine. Several time-  
105 dependent parameters such as temperature, heat input, heat output and engine power were calculated. The results  
106 showed that impingement is the key mechanism for heat transfer in expansion and compression chambers with non-  
107 uniform temperature distribution across the engine volume.

108 Chen et al. [17] developed an in-house CFD model to simulate gamma-type LTD Stirling engine. Several  
109 geometrical and operational parameters effect including pistons strokes, radius of power piston, hot and cold  
110 temperature difference and speed, on engine performance were investigated. It was found that the increase in power  
111 piston radius strongly affected engine performance due to the increased compression ratio.

112 Hooshang et al. [18] developed a combined dynamic-thermodynamic model for gamma-type Stirling engine  
113 simulation. The dynamic response based on engine kinematic relations were linked to 1D third-order  
114 thermodynamic analysis code to evaluate the instant variation of engine parameters such as velocity, density,  
115 convective heat transfer and temperature in each engine chamber. Their code was able to predict engine power and  
116 rejected heat rate compared to experiment within maximum deviation of 11% and 18%, respectively.

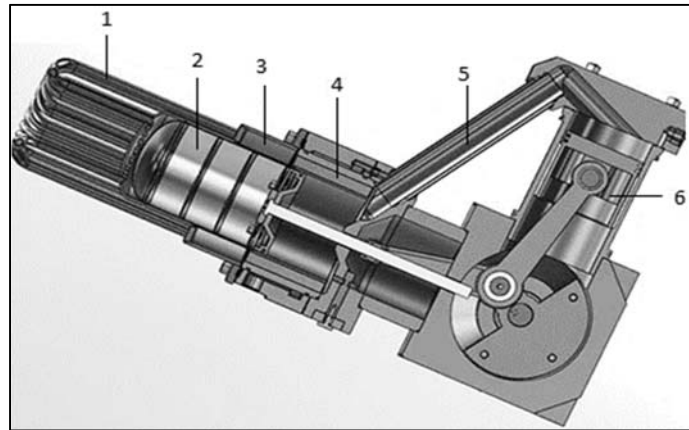
117 CFD modelling as a whole of the current high temperature differential (HTD) Stirling engine prototype (ST05CNC)  
118 has not been reported yet in literature. All previous studies [8,10,18] on the same engine configuration were based  
119 on second- and third-order models with a prediction accuracy ranging from 11-18%. Usually, such models are tuned  
120 to fit experimental data due to their dependency on empirical coefficients such as heat transfer leading to limited  
121 application. In contrast, CFD approach can be applied to any Stirling engine type as all variation of a parameter is  
122 inherently embedded in the the Navier-Stokes equations. This work aims to develop and validate a comprehensive  
123 CFD model, based on a commercially available software (COMSOL Multiphysics 5.2) to simulate the gamma-type  
124 Stirling engine prototype (ST05 CNC) described below. The developed model was used to investigate the effect of  
125 phase angle and connecting pipe dead volume on engine indicated and cooling powers and hence the optimum  
126 values were proposed.

127

## 128 **2. Engine Description**

129 The engine is a gamma-type that was first designed by Dieter Viebach in 1992 in Germany to promote  
130 microgeneration with biomass fuels and since then was opened for research development [19]. The engine, shown in  
131 Fig. 1, is composed of power and displacer pistons with 90° phase angle, and three heat exchangers (heater, cooler  
132 and regenerator). The heater is comprised of 20 tubes made of stainless steel with 6mm inner diameter each. The  
133 cooler is an aluminium finned type heat exchanger (144 internal fins, 1mm by 10mm cross sectional area each) with  
134 a volumetric porosity of 39.5%. the regenerator is fitted with a stainless steel random fibre of 31-micron wire  
135 diameter and 90% volumetric porosity. The engine is externally heated by an electric heater with a maximum  
136 capacity of 7KW. The expansion and compression spaces are connected via a 30 mm concentric-cooled pipe.

137 Meanwhile, the engine is cooled by a circuit of cooling water normally at 15°C. The engine is instrumented with  
 138 eight k-type thermocouples fitted in different locations of the engine for local temperature measurements;  
 139 compression space, cooling water inlet, cooling water outlet, cooler working gas, regenerator cold end, regenerator  
 140 hot end, heater working gas and heater wall. A high-pressure sensor is fitted in the compression space, for  
 141 instantaneous pressure measurements and the engine is coupled with dynamometer for brake power measurement.  
 142 The engine operational details are summarized in table 1.



143

144 **Fig. 1.** Engine components: 1-Heater, 2-Displacer piston, 3-Regenerator, 4-Cooler, 5-Connecting pipe, 6-Power  
 145 piston.

146

147

148

**Table 1**

Engine details.

Parameter	Value/description
Nominal rotational speed (rpm)	500
Stroke (mm)	75
Power piston bore (mm)	85
Displacer piston bore (mm)	96
Charge pressure (bar)	10
Working gas	N <sub>2</sub>
Heater type	Tubular
Cooler type	Finned
Regenerator type	Random fibre
Wire diameter (Micron)	31
Porosity	0.9
Hot source temperature (°C)	650
Inlet water temperature (°C)	15
Water flow rate (L/min)	3.5
Water cooling power (kW)	2.3
Compression ratio	1.3

149

150 Uncertainty analysis is performed on engine measured parameters using the method presented in [20], and the  
 151 results are tabulated in table 2. All sources of uncertainties may be linked to the inaccuracies of sensors, data  
 152 acquisition system, junctions and electrical disturbance. The highest uncertainty, 2.87%, is recorded for cooling

153 power due to the relative uncertainties in measuring cooling water flow rate, inlet water cooling temperature, outlet  
 154 water cooling temperature.

155

156 **Table 2**  
 157 Uncertainty analysis for measured parameters.

Device	Manufacturer	Measurement	Full Scale	Accuracy	Uncertainty
Thermocouple, k-type	Thermibel	Temperature	-200-250 °C	2.5 °C	1%
Thermocouple, k-type	Thermibel	Temperature	-200-1100 °C	10 °C	0.9%
High pressure sensor	Kistler	Pressure	0-20 bar	0.04 bar	0.2%
Incremental encoder	Lorenz	Velocity	0-1500 rpm	4.2rpm	0.28%
Flowmeter	Influx	Flowrate	1-10 L/min	0.25 L/min	2.5%
-	-	Indicated power	-	-	0.2%
-	-	Cooling power	-	-	2.87%

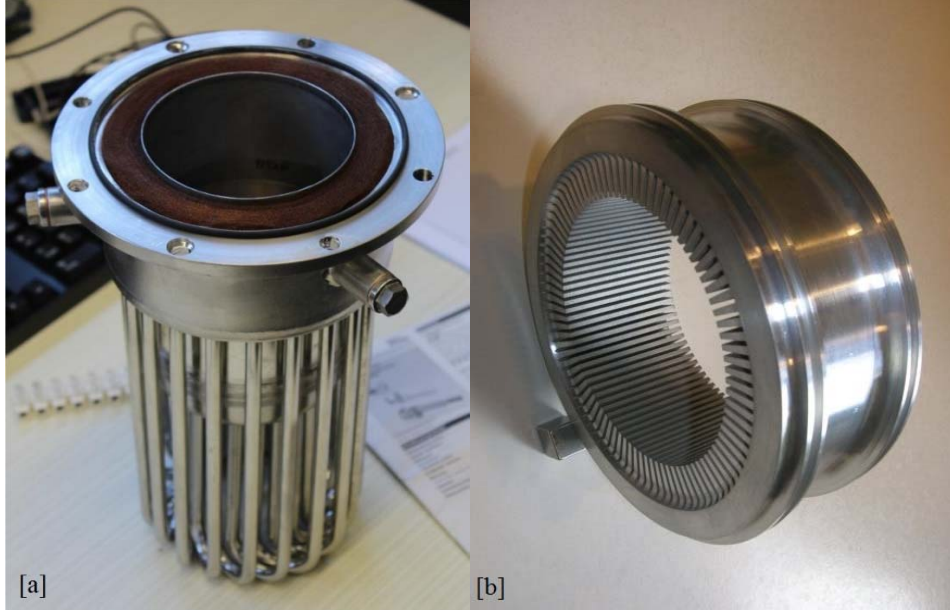
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### 159 3. CFD Model

160 As the Stirling engine works by gas expansion and compression processes, the main physics in the engine are  
 161 unsteady, transient, oscillating, laminar or turbulent, compressible flow and heat transfer. These sophisticated  
 162 physics with geometrical effects can be handled within COMSOL Multiphysics environment [21]. The Arbitrary  
 163 Lagrangian-Eulerian (ALE) method was used to handle the deformed geometry and the moving boundaries due to  
 164 compression and expansion of the gas inside the engine. Meanwhile, compressible laminar Non-Isothermal flow is  
 165 used to solve for fluid flow and heat transfer in the domains except the regenerator and the cooler (shown in Fig. 2).  
 166 The regenerator and the cooler are modelled as porous media using Brinkman equation for fluid flow and the two  
 167 Local Thermal Non-Equilibrium (LTNE) heat transfer equations for gas and solid phases.

168





**Fig. 2.** Porous media domains, (a) regenerator, (b) cooler.

169

170

171

172 The governing equations in the porous domains, including continuity, momentum and energy equations for the gas  
173 phase [22] are:

$$174 \quad \frac{\partial \rho}{\partial t} = \frac{\nabla \cdot (\rho \mathbf{u})}{\varepsilon} \quad (1)$$

$$175 \quad \frac{\rho}{\varepsilon} \left[ \frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \frac{\mathbf{u}}{\varepsilon} \right] = -\nabla p - \frac{\nabla \cdot \bar{\tau}}{\varepsilon} - \left( \frac{\mu}{K} + \beta_F |\mathbf{u}| \right) \mathbf{u} \quad (2)$$

$$177 \quad \rho C_p \left[ \varepsilon \frac{\partial T}{\partial t} + (\mathbf{u} \cdot \nabla) T \right] = \nabla \cdot (k \nabla T) + \frac{\bar{\tau} \cdot \nabla \mathbf{u}}{\varepsilon} + \varepsilon \frac{Dp}{Dt} - Nu \frac{k}{d_h} a_{sf} (T - T_s) \quad (3)$$

176

178 Where the viscous tensor,  $\bar{\tau}$  is defined by

$$180 \quad \bar{\tau} = (\mu) [\nabla \mathbf{u} + (\nabla \mathbf{u})^T] - \frac{2}{3} (\mu) (\nabla \cdot \mathbf{u}) \quad (4)$$

179

181 The three last terms in Eq. (3), represent viscous dissipation, pressure work and non-equilibrium heat source,  
182 respectively.

183 Assuming the gas to be ideal, the state equation is,

$$184 \quad \rho = p/RT \quad (5)$$

185 In the solid phase, the energy equation is

$$187 \quad (1 - \varepsilon)\rho_s C_{ps} \partial T_s / \partial t = \nabla \cdot (\overline{k_e} \nabla T_s) + Nu \frac{k}{d_h} a_{sf} (T - T_s) \quad (6)$$

186

188 Where, the solid effective thermal conductivity,  $\overline{k_e}$  is calculated based on volume average as,

$$189 \quad \overline{k_e} = k \varepsilon + (1 - \varepsilon)k_s \quad (7)$$

191

### 192 **3.1 Permeability, Forchheimer Drag Coefficient and Nusselt number**

#### 193 *3.1.1 Regenerator*

194 In the fluid momentum Eq. (2), the permeability,  $K$ , and Forchheimer drag coefficient,  $\beta_F$  can be evaluated for the  
195 regenerator from the following one dimensional Darcy- Forchheimer and Darcy equations, respectively

$$196 \quad \frac{\nabla p}{L} = \frac{\mu}{K} u + \beta_F u^2 \quad (8)$$

$$197 \quad \frac{\nabla p}{L} = \frac{1}{2} \frac{f}{d_h} \rho u^2 \quad (9)$$

198 The friction-factor correlation for random fibre and its parameters ( $a_1, a_2, a_3$ ) can be found in more details in [23].

$$200 \quad f = \frac{a_1}{R_e} + a_2 R_e^{a_3} \quad (10)$$

199

201 Where Reynolds number,

$$202 \quad R_e = \frac{\rho u d_h}{\mu} \quad (11)$$

203

204 The hydraulic diameter,  $d_h$  of a random fibre, in terms of porosity and wire diameter is determined by,

205

$$206 \quad d_h = \frac{\varepsilon}{1 - \varepsilon} d_w \quad (12)$$

207

208 Substituting the expression for friction-factor and the definition of Reynolds number into Eq. (9), and then equating  
 209 the right-hand sides of equations Eq. (8) and Eq. (9), it can be determined that:

210

$$211 \quad K = \frac{2d_h^2}{a_1} \quad (13)$$

$$212 \quad \beta_F = \frac{1}{2d_h} \rho (a_2 R_e^{a_3}) \quad (14)$$

213

214 In energy Eq. (3) and (6), the Nusselt number, Nu and the solid surface area per unit volume,  $a_{sf}$  are calculated as

$$215 \quad Nu = (1 + b_1 P_e^{b_2}) \quad (15)$$

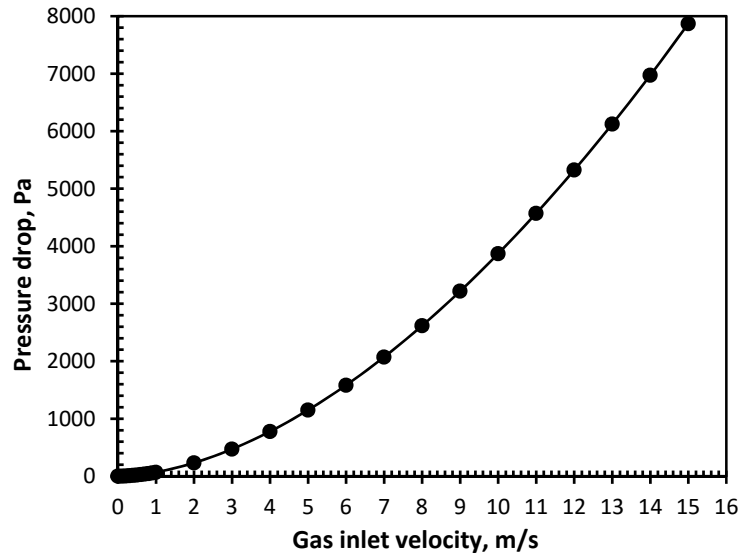
$$216 \quad a_{fs} = \frac{4\varepsilon}{d_h} \quad (16)$$

217 The correlation parameters ( $b_1, b_2$ ) can be found in more details in [23].

218

### 219 *3.1.2 Cooler*

220 The velocity-pressure drop relationship for the cooler is obtained based on steady state simulation, as shown in Fig  
 221 3. The permeability,  $K$ , and Forchheimer drag coefficient,  $\beta_F$  are evaluated by fitting the data of velocity-pressure  
 222 drop with Eq. (8) using the least square method. The permeability is found to be (9.87E-09 m<sup>2</sup>) and the Forchheimer  
 223 drag coefficient to be (293 kg/m<sup>4</sup>). The average interstitial convective heat transfer coefficient, which is defined as  
 224 the product of average heat transfer coefficient and the solid surface area per unit volume is found from simulation  
 225 to be (1E+06 W/m<sup>3</sup>. K).



226

227

**Fig. 3.** Pressure drop through cooler using steady state simulation.

228 The indicated PV power, was collected and integrated over the total cycles using Simpson's rule, and calculated by:

229

$$230 \quad \dot{W} = \left[ \oint p dV dt \right]_{Exp} - \left[ \oint p dV dt \right]_{Comp} \quad (17)$$

231

232 The coolant thermal power was calculated from the total heat output over the cooler surfaces over the cycles.

$$233 \quad \dot{Q}_c = \left[ \oint Q dt \right] \quad (18)$$

### 234 3.1.3 Boundary Conditions and Solution Scheme

235 The computational domain of the engine geometry is demonstrated in Fig.4. Moving and sliding walls are applied

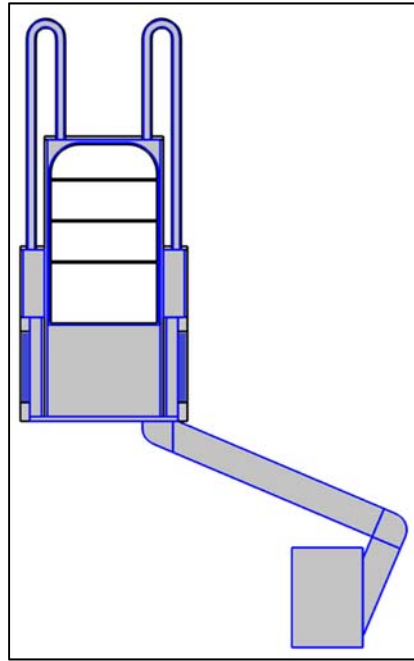
236 on the displacer and power pistons walls. The moving boundaries of the displacer and power pistons are predefined

237 from equations of real motion of the pistons adopted from [24] as

$$238 \quad X_e = r \left[ 1 - \cos \theta + \frac{1}{\lambda_e} \left( 1 - \sqrt{1 - \lambda_e^2 \sin^2 \theta} \right) \right] \quad [19]$$

$$239 \quad X_c = r \left[ 1 - \cos \left( \theta - \frac{\pi}{2} \right) + \frac{1}{\lambda_c} \left( 1 - \sqrt{1 - \lambda_c^2 \sin^2 \left( \theta - \frac{\pi}{2} \right)} \right) \right] \quad [20]$$

240



241

242

**Fig. 4.** The 2D computational domain of the engine.

243

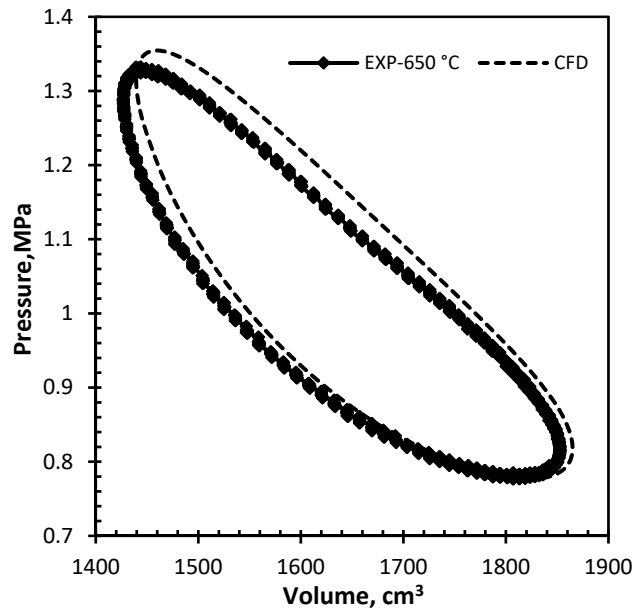
244 Except constant temperature walls of heater and cooler, the other walls are treated as adiabatic walls. The unsteady  
245 time-dependent solution of the governing equations was initialized with steady state solution of heat transfer with no  
246 flow condition (no pistons motion). The computational time can be significantly reduced with the adoption of steady  
247 state solution as the temperature gradient is well established throughout the engine. The CFD model was set up with  
248 respect to the meshing size, time stepping and tolerances. Extremely fine triangular meshing was adopted in this  
249 study. The total number of elements are 39,000 with an average element quality of 0.9. The time stepping is  
250 resolved by 100 times over one cycle. All simulations are carried out on a PC with configuration of Intel(R)  
251 core(TM) CPU i7-4820K, runs at speed of 3.7 GHz with 48 GB RAM memory. Typically, each simulation run takes  
252 2-3 days with normally 10 cycles to reach periodic steady state.

253

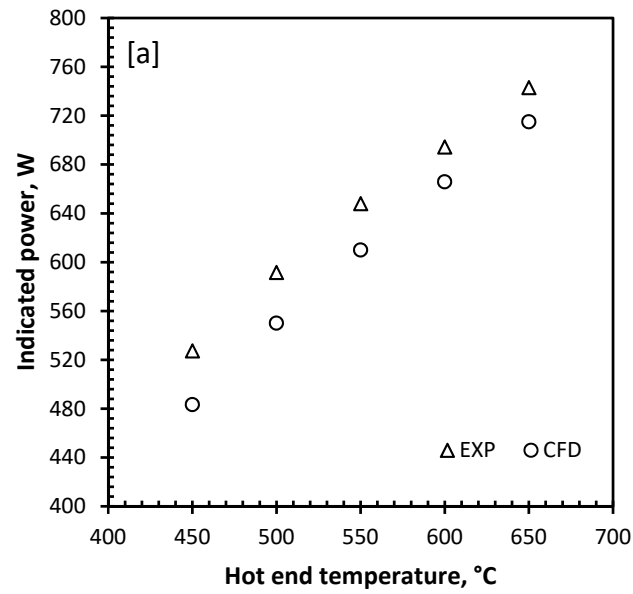
#### 254 **4. Model Validation**

255 The indicated PV diagram predicted by the model was compared with experimental results at normal operating  
256 conditions as depicted in Fig. 5. It can be seen that the minimum and the maximum pressures predicted by the model  
257 are very close to experimental results. However, the gap areas in indicated PV diagrams between the model and  
258 experimental results are observed. This may be attributed to that the porous media characteristics obtained for the  
259 cooler using steady state simulation is underestimated. In such oscillatory flow environment of Stirling engine, the

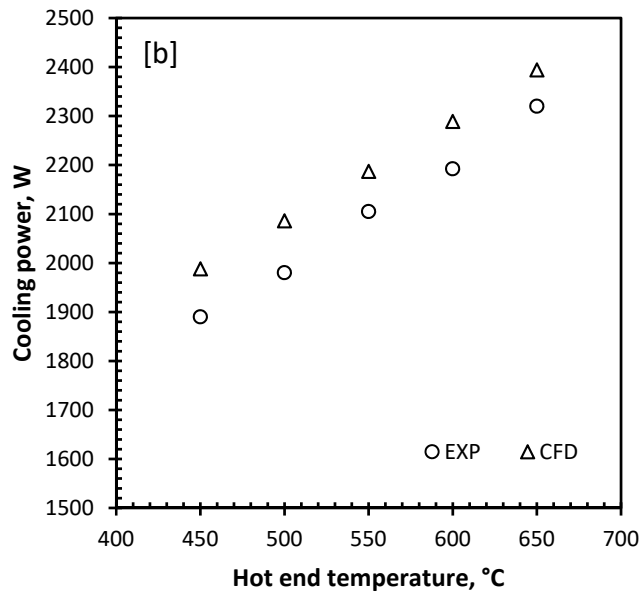
260 pressure drop tends to be higher than steady flow [25]. In general, the maximum deviation in predicting the  
261 indicated power is 9% compared to experimental results.



262  
263 **Fig. 5.** Comparison of indicated PV diagrams between CFD model and experiment at normal operating conditions.  
264  
265 The effect of hot end temperature on engine indicated and cooling powers was investigated for further comparison  
266 between CFD model and experimental results. Five test runs were conducted, with a variation of hot end  
267 temperature from 450 °C to 650 °C, at nominal engine speed of 500 rpm and fixed charge pressure of 10 bar. As can  
268 be seen in Fig. 6, the CFD model results showed a similar increasing trend to experimental results of indicated and  
269 cooling powers with increasing the hot end temperature up to the maximum temperature of the heater with a  
270 maximum deviation of 9% and 5%, respectively.



271

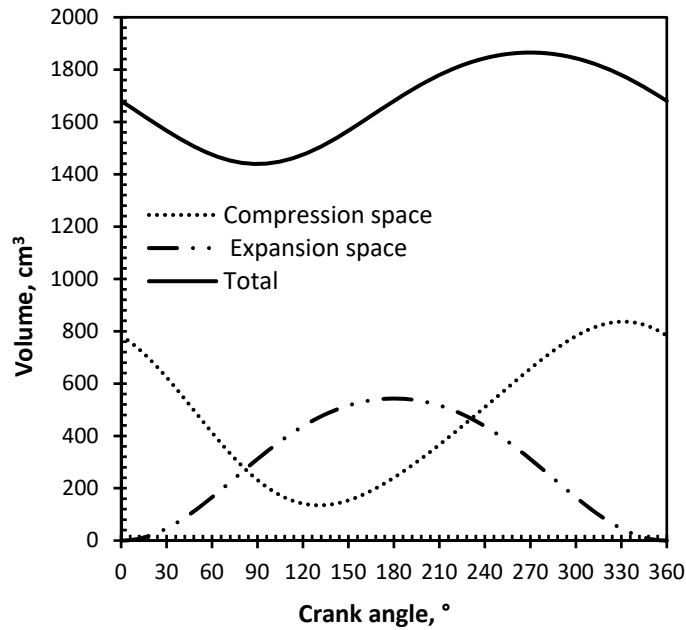


272

273 **Fig. 6.** Comparison between CFD model and experimental results at constant charge pressure (10 bar) and different  
 274 heater temperature, (a) indicated power and (b) cooling power.  
 275

276 **5. Results and discussion**

277 In this section, some general results of the simulated engine are presented. The operational conditions of the engine  
 278 were listed previously in table 1. The variation of total, expansion space, compression space volumes versus the  
 279 crank angle is shown in Fig. 7. The compression ratio is the ratio of maximum to minimum total volumes, as read,  
 280 1850 cm<sup>3</sup> and 1425 cm<sup>3</sup>, respectively. The compression space volume is that volume of the working gas confined  
 281 between the top of power piston and the bottom of displacer piston. It follows that the maximum volume of the  
 282 compression space is larger than that of the expansion space.



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**Fig. 7.** Variation of total, expansion, compression volumes versus crank angle within an engine cycle.

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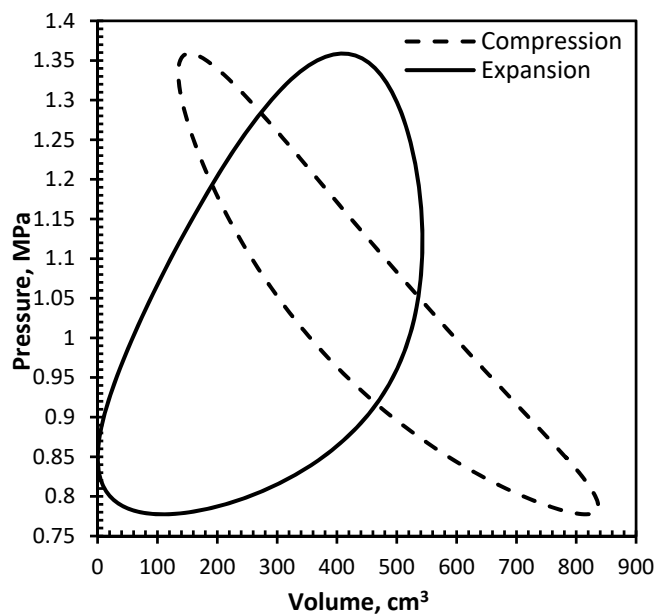
The PV diagrams for compression and expansion spaces are illustrated in Fig. 8. It is noticeable that the positive

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expansion work is larger in magnitude than the negative compression work giving rise to the net output work from

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the engine.



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**Fig. 8.** PV diagrams for expansion and compression volumes within an engine cycle at 500 rpm.

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The velocity, pressure and temperature contours are plotted in Fig. 9 at the end of the 5<sup>th</sup> cycle ( $t = 0.6s$ ) at engine

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nominal speed. As can be seen that the engine has asymmetric geometry near the connecting pipe which affects the

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uniformity of fluid flow in the engine. Due to the combined motion of the power piston and displacer, gas moves

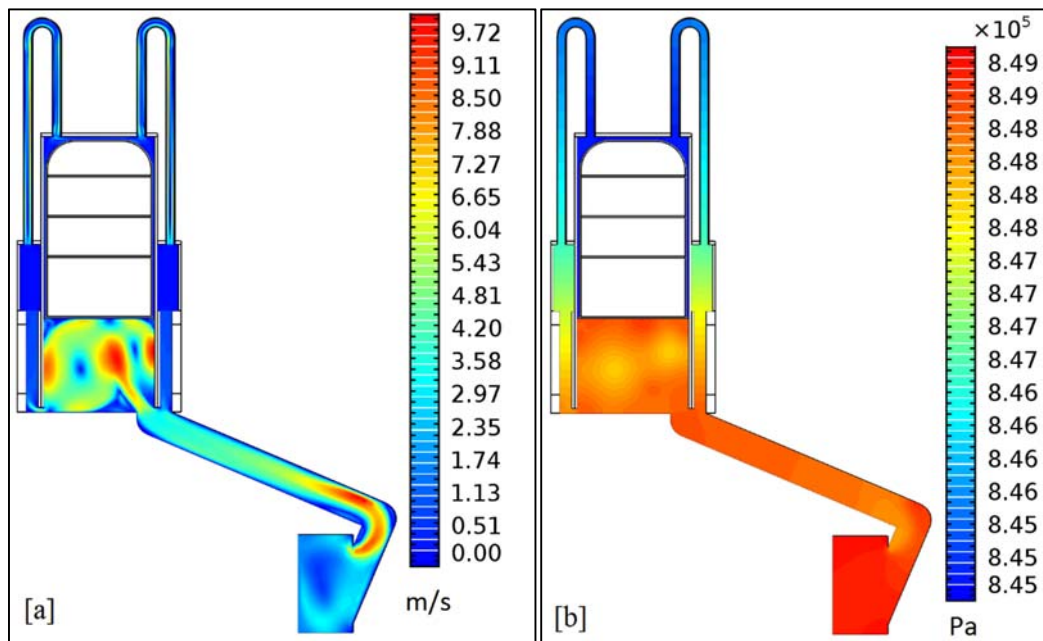
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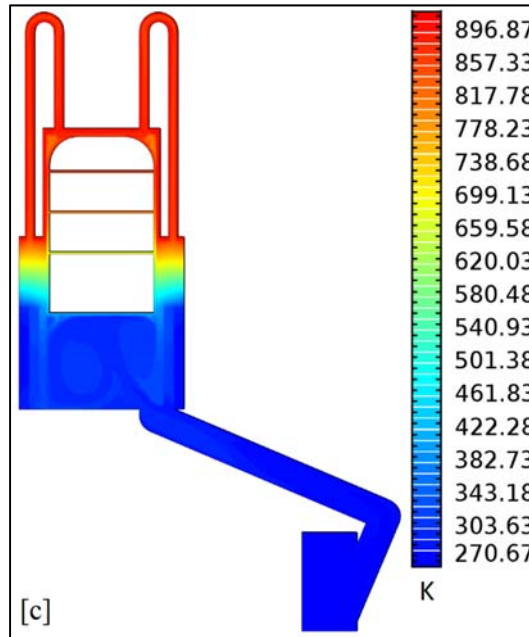
forward and backward through engine domains. In Fig. 9(a), the gas flows from compression space through the



295 connecting pipe with an average velocity of (5m/s), where it splits into two streams due to asymmetric geometry.  
 296 Part of the gas mass enters the cooler, meanwhile, the bulk flow is strongly jetting into the lower part of the  
 297 expansion space causing flow vortexes as a result of jet impingement on the lower surface of the displacer. While,  
 298 most of the gas is confined at the lower part of the engine, engine is cooled by a cooling water jacket. At this instant  
 299 ( $t = 0.6s$ ), as the power piston moves to the right and the displacer moves down, compression process takes place at  
 300 the beginning of the new cycle and is completed when power piston reaches top dead centre (TDC), while displacer  
 301 piston reaches bottom dead centre (BDC). Pressure gradient is established across the engine spaces giving rise to  
 302 cyclic pressure during compression process as seen from Fig. 9(b). The maximum pressure drop normally occurs in  
 303 the regenerator during the cycle due to the elevated inertia losses in the pore volumes of the matrix. The gas  
 304 continues the thermodynamic cycle; heat is being supplied by the electric heater causing the gas to expand and more  
 305 positive power is generated from the engine. The temperature contours of the gas phase across the engine spaces are  
 306 shown in Fig. 9(c) with almost linear gradient across the engine spaces between hot and cold end temperatures. The  
 307 gas coming from heater enters the regenerator, releases energy to the matrix and exits with a temperature normally  
 308 higher than the cold end temperature. This depends on the regenerator effectiveness and thermal losses that occur in  
 309 the regenerator. The higher thermal losses in the regenerator the higher cooling power is rejected from the engine.  
 310 When the cooled gas is reversed during cold blow, the gas absorbs an amount of heat stored in regenerator matrix  
 311 reducing the total amount of heat flow to the heater and hence boosting engine efficiency.

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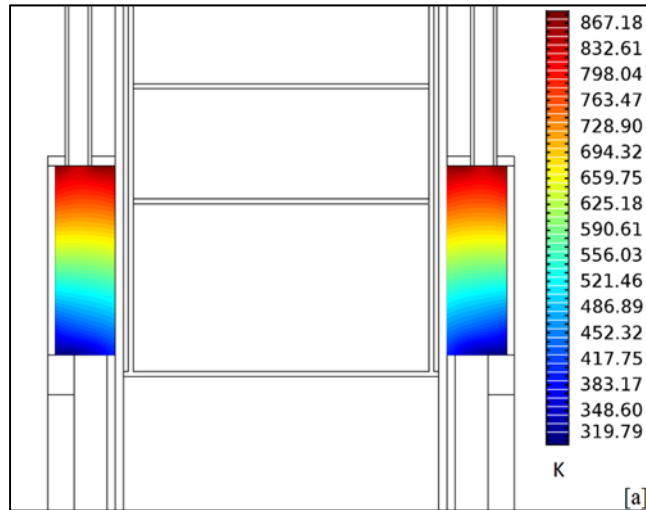
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315 **Fig. 9.** CFD results during the 5<sup>th</sup> cycle ( $t = 0.6$  s) at engine normal operating conditions, (a) velocity contour, (b)  
 316 pressure contours, (c) temperature contours.  
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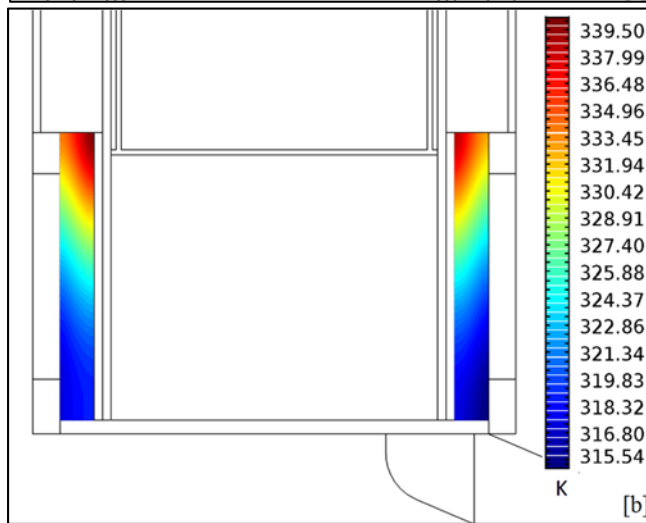
318 Fig. 10 shows the temperature contours in the two porous media (regenerator and cooler), respectively. The average  
 319 regenerator matrix temperature was found to be 580K after a few cycles, which is slightly higher than the  
 320 logarithmic mean temperature difference that is widely evaluated in second-order analysis as,  $T_r =$   
 321  $(T_h - T_k) / \ln(T_h/T_k) = 549$ K. It is worth noting that the connecting pipe is a two concentric pipes being cooled by  
 322 the cooling water circuit but most of the heat is rejected from the main cooler.

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**Fig. 10.** Temperature contours of porous domains during the 5<sup>th</sup> cycle ( $t = 0.6$  s) at engine normal operating conditions, (a) Regenerator, (b) Cooler.

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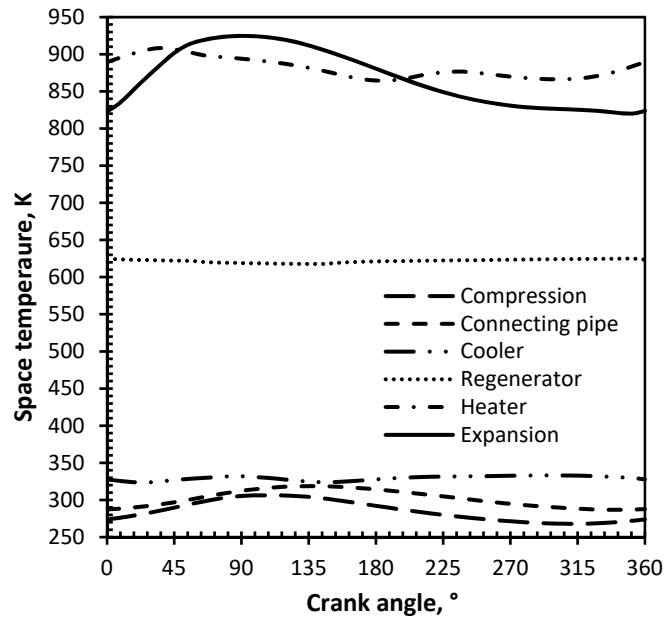
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The variation of Average-Weighted temperatures in different spaces of the engine, are shown in Fig. 11. The cyclic temperature variation is nearly sinusoidal except for heater tubes and cooler. For the expansion space temperature, the peak-to-peak magnitudes are nearly 923 K and 825 K, respectively. The maximum peak value occurs at crank angle of 90 °C. The compression space temperature fluctuates between 308 K and 270 K, respectively. Meanwhile, the regenerator cyclic temperature is nearly constant with an amplitude of 5 K. it is worth noting that the heat is supplied to the heater tube walls as well as the outer walls of the cylinder containing the displacer. This justifies that the temperature variation of the gas in expansion space, between nearly phase angles [50° 200°], is showing higher values than that of heater tubes.

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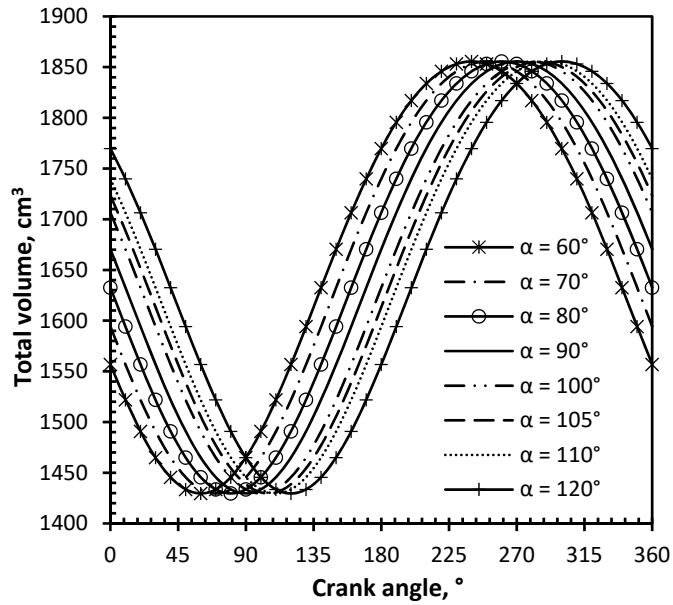
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340 **Fig. 11.** Spaces cyclic temperatures vs. crank angle during the 5<sup>th</sup> cycle at engine normal operating conditions.

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343 It is a common understanding that maximum power output is obtained at a phase angle of 90°. In fact, most gamma-  
 344 type Stirling engines with standard crank mechanism are phased out with 90° for practical reasons and not because  
 345 of its optimal thermodynamic behaviour. On the other hand, variation of phase angle was acknowledged as one of  
 346 the best ways for controlling Stirling engine power. As a result of phase angle choice, three major effects on engine  
 347 parameters are expected; it influences the pressure amplitude, the total volumetric change of the gas, and heat  
 348 transfer and hence engine indicated power. The total volume variation with phase angle shown in Fig. 12, indicates  
 349 that the maximum and the minimum volumes are unchanged. However, there is a shift of angles at which minimum  
 350 and maximum volumes normally occur. At phase angle of 120°, large volume variation is observed during  
 351 compression process starting from 1769 cm<sup>3</sup> to 1429 cm<sup>3</sup>. On the other hand, at lower phase angle of 60°,  
 352 compression volume varies from 1556 cm<sup>3</sup> to 1429 cm<sup>3</sup>.



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**Fig. 12.** Total volume vs. crank angle at different phase angles.

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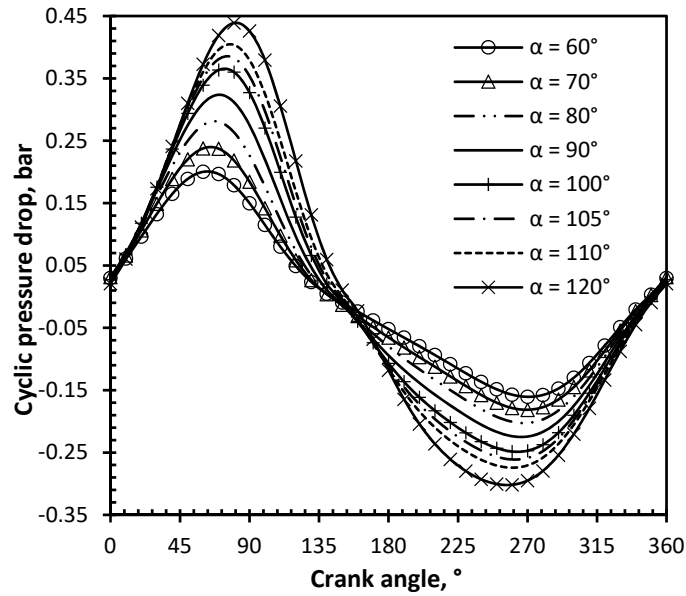
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The effect of phase angle variation on cyclic pressure drop across the regenerator is shown in Fig. 13. For all phase angles shown, it can be seen that the pressure loss variation is sinusoidal. Though, peak-to-peak values of pressure loss exhibit different values during the hot and cold blow times of the cycle. This may be attributed to differences of gas properties and gas velocities as such higher gas volumetric is exchanged during the hot blow by the movement of the relatively larger displacer. For the standard case of  $90^\circ$  phase angle, the maximum pressure drop in the regenerator reaches 0.31 bar, with maximum charge pressure of 10 bar. As the phase angle increases from  $60^\circ$  to  $120^\circ$ , the maximum pressure drop increases from 0.19 bar reaching 0.42 bar. There is almost a shift of  $10^\circ$  at which minimum and maximum pressure drop normally occurs. At any given combination of operating condition, there would be a balance between the favourable increased heat transfer rate and the elevated pressure drop and hence the optimum value for engine performance parameter is achieved.



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367 **Fig. 13.** Pressure drop across the regenerator vs. crank angle at different phase angles.  
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370 The effect of phase angle on indicated PV diagrams is demonstrated in Fig. 14. It can be seen that the larger phase  
371 angles the higher pressure amplitude. The pressure amplitude is most likely a result of temperature variation rather  
372 than the gas compression. It is worth noting that a maximum indicated power was found to be 750 W at a phase  
373 angle of 105°. This obtained optimum phase angle agrees closely with the results found in [26]. While a minimum  
374 value was found to be 440 W at a phase angle of 60°. The indicated power generated at a phase angle of 90° is 714  
375 W, which is 5% lower than that at 105°. This deviation could be more pronounced at higher engine frequencies [27].  
376 The optimum value of indicated power is most likely a result of balance between the negative effect of pressure drop  
377 (specifically, across the regenerator) and the positive effects caused by the increase in heat transfer rate due to the  
378 increased volumetric gas exchange, compression and pressure ratios. The indicated power and power loss due to  
379 pressure drop in the regenerator are presented in Fig.15 at different phase angles. The indicated power loss is  
380 calculated based on the difference between indicated power at each phase angle to the indicated power at optimum  
381 phase angle of 105°.

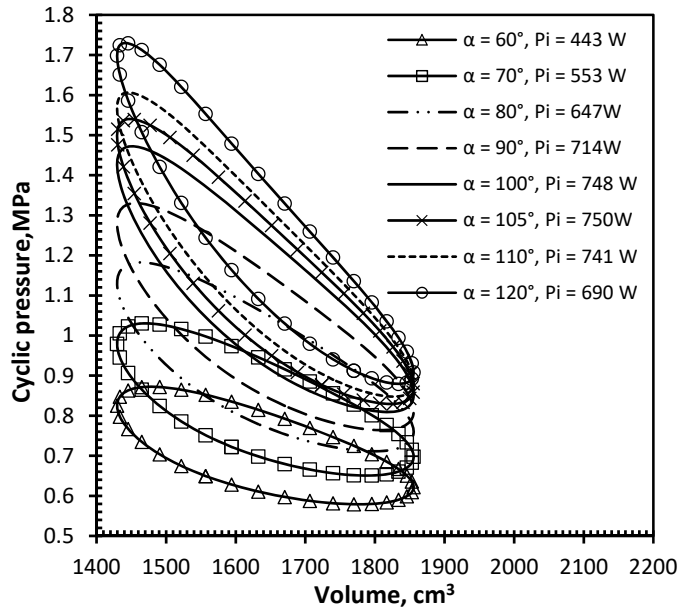


Fig. 14. Indicated PV diagrams at different phase angles.

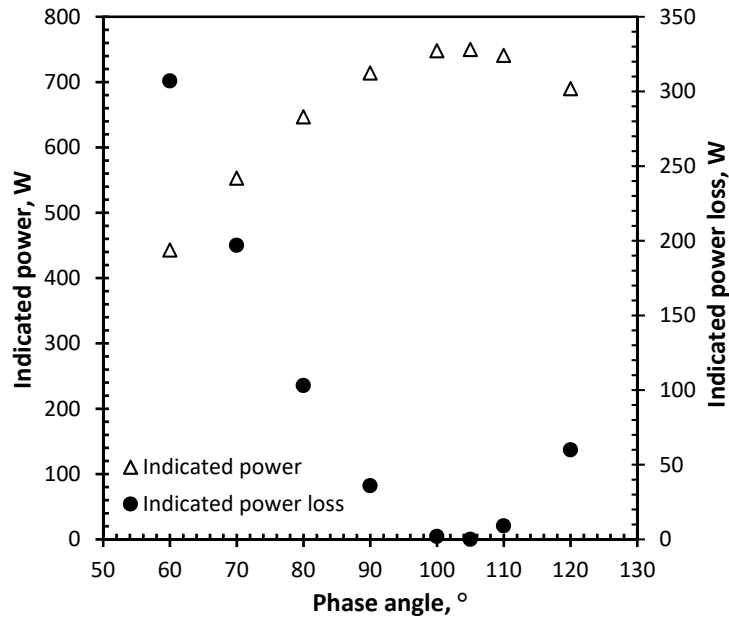


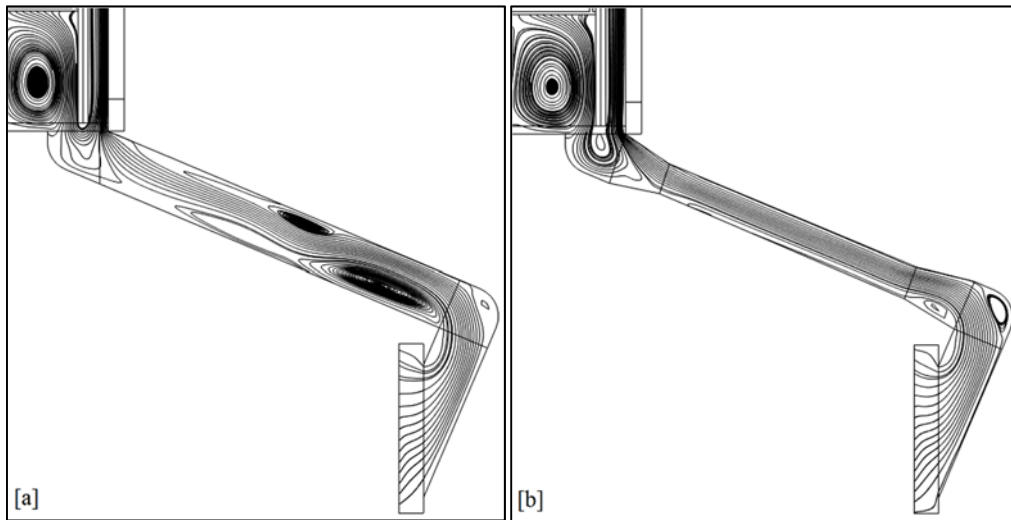
Fig. 15. Indicated power and indicated power loss at different phase angles.

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391 Realizing that dead space is harmful to Stirling engine leads to Finkelstein' generalization [28]; 'harmful dead space  
392 to be minimized'. In theory, this unswept volume should be kept minimum which contradicts with real engines that  
393 can have up to 50% dead volume of its total gas volume. A closer inspection to the current engine indicates that the  
394 connecting pipe which connects the compression space and the lower part of the expansion space, is relatively large  
395 compared to other dead spaces of the engine (heat exchangers). The reduction of connecting pipe diameter, and  
396 hence the dead volume, can be achieved without alteration of the general layout of the engine, using a reduced pipe

397 and two adaptors as geometrically depicted in Fig. 16. The recirculation and vortex separation of velocity  
 398 streamlines is strongly pronounced in the original pipe compared to the suggested reduced pipe.

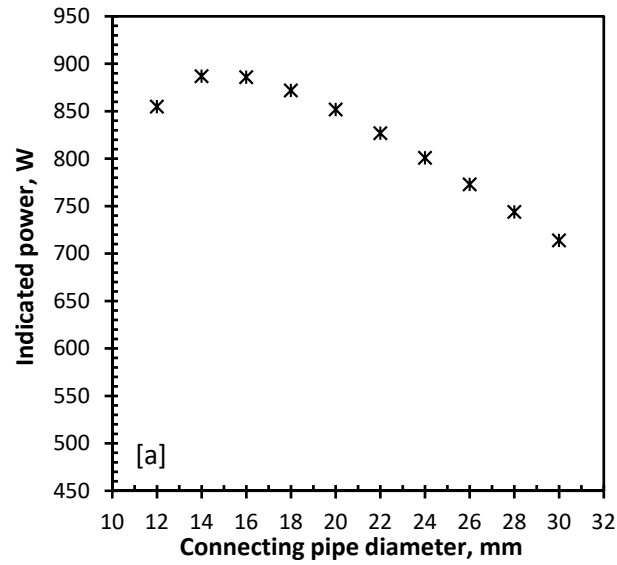


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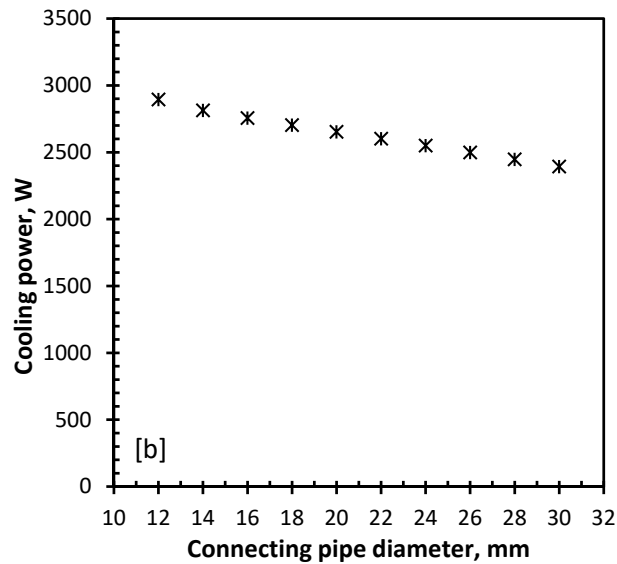
400 **Fig. 16.** Effect of connecting pipe diameter on velocity streamlines during the 5<sup>th</sup> cycle (a) original pipe and (b)  
 401 reduced pipe.

402 With reducing the pipe diameter from 30 mm to 12 mm, its effect on engine performance can be seen in Fig. 17. The  
 403 indicated power (Fig 17(a)) increases until reaching a maximum value of 887 W at pipe diameter of 14 mm and then  
 404 falls down. This may be attributed to the increased pressure ratio as dead volume decreases. At lower values of pipe  
 405 diameter, the pressure in the pipe increases which means that more resistance the displacer experiences when it  
 406 moves down during compression process. Therefore, more useful power is consumed to overcome this resistance  
 407 and the net indicated power drops down. On the other hand, the cooling power (Fig 17(b)) is showing an increasing  
 408 trend with reducing the connecting pipe diameter.





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411 **Fig. 17.** Effect of connecting pipe diameter on engine performance, (a) indicated power and (b) cooling power.

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## 6. Conclusion

415 A comprehensive 2D CFD model of gamma-type Stirling engine was developed and validated to investigate the

416 effect of phase angle and dead volume variations on engine performance. The results revealed that phase angle

417 variation poses significant impact on engine indicated power. Power increases as phase angle increases up to an

418 optimum value then it falls down. The optimum value exists as a result of balance between increased gas volumetric

419 exchange and compression ratio, and the elevated pressure drop in the regenerator. the optimum phase angle was

420 found to be  $105^\circ$  rather than the common phase angle of  $90^\circ$ . the dead volume (connecting pipe) is observed to pose

421 negative effects on engine indicated power and therefore, an optimum value of pipe diameter exists and found to be

422 14 mm. The CFD model is maturing and will be extended for 3D simulations for better understanding of fluid flow  
423 and heat transfer characteristics inside the engine.

424

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