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10 **Abstract (210 words)**

11 The AcrAB-TolC multidrug (MDR) efflux system confers intrinsic multidrug resistance and
12 overproduction confers clinically relevant resistance to some antibiotics active against Gram-
13 negative bacteria. The system is made up of three components, namely AcrA, AcrB and TolC
14 otherwise known as the AcrAB-TolC tripartite system. Inactivation or deletion of a gene
15 encoding one of the constituent proteins, or substitution of a single amino acid substitution in
16 the efflux pump component, AcrB that results in loss of efflux function, confers increased
17 antibiotic susceptibility. Clinically relevant resistance can be mediated by a mutation in AcrB
18 which changes the way its substrates are transported. However, it is more common that
19 resistant clinical and veterinary isolates overproduce the AcrAB-TolC MDR efflux system.
20 This is due to mutations in genes such as *marR* and *ramR* that encode repressors of
21 transcription factors (MarA and RamA, respectively) that when produced activate expression
22 of the *acrAB* and *tolC* genes thereby increasing efflux. The Lon protease degrades MarA and
23 RamA to return the level of efflux to that of the wild type. Furthermore, the levels of AcrAB-
24 TolC are regulated by the CsrA. Studies with fluorescent reporters that report levels of *acrAB*
25 and regulatory factors allowed the development of a new tool for discovering efflux
26 inhibitors. Screens of the Prestwick library and a large library from a collaborating
27 pharmaceutical company has generated a number of candidate compounds for further
28 research.

29

30

31 **Introduction**

32 Multi-drug resistance (MDR) efflux pumps are proteins that are found in all types of cells
33 including mammalian cells. They transport many different molecules (substrates) and some
34 pumps transport antibiotics. The energy source to translocate molecules can be driven by
35 ATP, the proton motive force (PMF) or another electrochemical gradient (e.g. Na⁺/K⁺).
36 Irrespective of this, an efflux pump reduces the concentration of a substrate accumulated
37 within the cell by exporting it from the intracellular to the extracellular environment.

38 There are many different types of MDR efflux pumps and they transport different types of
39 molecules.^{1,2} Some pumps can be very specific, for instance the tetracycline efflux pumps,
40 and others can transport a wide variety of drugs; the latter are termed MDR efflux pumps. For
41 bacteria, about 10% of the bacterial genome encodes transporters, of which a third are
42 estimated to be MDR efflux pumps. It is important to note that not only can the genes coding
43 for efflux pumps be on the bacterial chromosome (and so present in wildtype and resistant
44 bacteria), but some genes are encoded on transmissible elements and so can be shared
45 between bacteria.

46 There are several MDR efflux pumps that cause resistance to clinically useful drugs (Figure
47 1). In Gram-positive bacteria, efflux pumps transfer drugs from the inside of the cell to the
48 outside of the cell. In Gram-negative bacteria, the cell envelope is much more complex; it has
49 a double membrane and therefore the efflux pump protein needs to link to two other types of
50 proteins to export its substrates to the outside of the cell. These three component “machines”
51 comprise dimers or trimers of an efflux pump protein, with periplasmic adapter proteins and
52 an outer membrane protein (Figure 2). Different pump proteins can have differing or
53 overlapping substrate profiles. The most clinically important MDR efflux pump in Gram-
54 negative bacteria is the AcrAB-TolC tripartite system and its homologues of the Resistance

55 Nodulation Division (RND) class in others species e.g. the *Pseudomonas aeruginosa* Mex
56 system, or *Campylobacter* CmeABC system.³

57 The AcrB protein usually captures its substrates from the periplasm and can be described in
58 simple terms as a bacterial ‘vacuum cleaner’ that removes noxious substances from the
59 bacterial cell. The substrate is then transported via AcrB to TolC and finally expelled to the
60 extracellular environment. AcrA is required for this process.⁴ Besides antibiotics, substrates
61 include non-steroidal anti-inflammatory and anticholinergic drugs.⁵ Indeed, it is extremely
62 difficult to find a molecule that AcrB does not export. However, if any of the genes encoding
63 the components of the tripartite system are deleted or inactivated, the bacterium becomes
64 more susceptible to many different types of substrates, including antibiotics, dyes,
65 disinfectants and detergents (Table 1; Figure 3). It is not just antibiotics that are exported,
66 substrates also including non-steroidal anti-inflammatory and anticholinergic drugs.⁵ Indeed,
67 it is extremely difficult to find a molecule that AcrB does not export.

68 **Role of MDR efflux pumps in intrinsic antibiotic resistance**

69 Over the last 20 years, there has been ample evidence to show that lack of a component of a
70 RND efflux pump confers multidrug susceptibility and increased intracellular concentrations
71 of those substrates.^{6,7} These data show that not only does the AcrB pump confer intrinsic
72 MDR, but also provides evidence that this is why many drugs that have good activity against
73 Gram-positive bacteria are ineffective against Gram-negative bacteria. Active efflux also
74 underpins many types of antibiotic resistance and an intact AcrAB-TolC pump is required for
75 clinically relevant levels of resistance due to other chromosomal mechanisms of
76 antimicrobial resistance. For instance, in the absence of any component of the tripartite pump,
77 mutations in topoisomerase genes do not confer clinically relevant levels of resistance to
78 fluoroquinolones^{8,9} and mutations in *fab* genes do not confer triclosan resistance.¹⁰ Likewise,

79 the same is true for some transferable drug resistances including those to florfenicol and
80 tetracycline resistance encoded by *floR*, *tetA* and *tetG*, respectively^{9, 11}. In the absence of
81 AcrB, it is also very hard for bacteria to evolve drug resistance.¹²

82 For many years it has been accepted that inactivating or deleting AcrB equates with loss of
83 efflux. However, until recently it was unclear as to whether the phenotype of multi-drug
84 susceptibility was due to loss of a large integral membrane protein, or due to loss of efflux
85 function. To explore this, a mutant *Salmonella* Typhimurium was constructed with a single
86 amino acid substitution in AcrB (D408A); the mutant protein could not derive energy from
87 PMF, because it could not translocate protons. The protein is inserted in the membrane, but it
88 can no longer actively efflux substrates.¹³ It was also less able to infect tissue culture cells
89 and mice. The mutant's susceptibility to antibiotics, dyes and disinfectants was the same as
90 an isogenic *acrB* deletion mutant (Table 1). Both mutants accumulated much higher levels of
91 fluorescent dyes and antibiotics. However, in the absence of AcrB over half of the genes in
92 the *Salmonella* genome had altered expression, but far fewer genes were altered when there
93 was loss of efflux in the AcrB D408A mutant. Most importantly, when the AcrB protein is
94 lacking, homologous RND efflux pumps such as AcrD and AcrF are produced.^{7, 10} It has been
95 proposed that this compensates for the lack of AcrB, not just in terms of providing structural
96 integrity to the bacterial membrane, but also in the ability to maintain efflux, including of
97 some antibiotics. In the loss of AcrB efflux function mutant (D408A), these proteins were not
98 over-produced. In fact, AcrD and AcrF are produced at virtually undetectable levels, which
99 means that they cannot compensate for loss of AcrB efflux function.¹³ This is important
100 because strategies to discover efflux pump inhibitors have assumed that inhibition of AcrB,
101 AcrD and AcrF will be required; this may not be necessary.

102 **MDR in clinical isolates can be due to a mutant efflux pump protein**

103 We investigated a series of isolates from a single patient in which multi drug-resistant
104 salmonellae evolved over a period of five months (Table 2). We showed that the post-therapy
105 isolates over-expressed AcrB and accumulated low amounts of ciprofloxacin. Efflux is halted
106 in the presence of carbonyl cyanide 3-chlorophenylhydrazone, an uncoupler that dissipates
107 the proton motive force, as the amount of ciprofloxacin increased to that found in the pre-
108 treatment isolate.¹⁴ This shows that MDR can result from over-production of an efflux pump
109 during treatment. Whole genome sequences of the MDR isolates also revealed a change in
110 the AcrB protein; a single amino acid (glycine) was substituted with aspartate at position
111 288.¹⁵ All MDR isolates obtained after 2 weeks treatment had this single change in AcrB
112 whereas earlier isolates did not (Table 2). It was hypothesised that the G288D substitution
113 altered the shape of the binding pocket of the AcrB protein, in particular the region that
114 transports substrates. The amount of ciprofloxacin, doxorubicin and minocycline
115 accumulated in laboratory constructed strains of *Escherichia coli* and *Salmonella*
116 *typhimurium* with mutated AcrB was compared with the amount accumulated by the wildtype
117 strains. Less ciprofloxacin accumulated in bacteria with AcrB G288D unlike doxorubicin and
118 minocycline which accumulated to much higher concentrations. Therefore, the mutated AcrB
119 exported ciprofloxacin better than wildtype protein, but export of some of the other substrates
120 was worse and this was associated with lower MICs of these drugs. These data suggest that
121 minocycline may have been a useful option to treat the disseminated salmonellosis which
122 ultimately proved fatal.

123 **Increased efflux confers resistance to anti-Gram-negative bacterial drugs**

124 The OmpF protein in Enterobacteriales such as *E. coli* and *Salmonella* is a porin that
125 selectively allows passive diffusion of molecules, including many antibiotics, based upon
126 their size, shape and charge, so not all molecules on the outside of the Gram-negative
127 bacterium gain entry.¹⁶ Once the drug has entered the cell, much of it is exported from the

128 bacterium by the AcrAB-TolC MDR efflux system.¹⁷ Therefore, the intracellular
129 concentration is an equilibrium between drug influx and efflux. This, in turn, influences the
130 amount of drug available to interact with its target. Bacteria have various levels of regulation
131 of important gene products such as OmpF and AcrAB-TolC. There are master regulators and
132 specific regulators that target promoter sequences of genes within their regulon to turn on or
133 off the expression of those genes. Furthermore, once a gene is transcribed into RNA, the
134 amount of RNA can also be controlled by post-transcriptional modification.¹⁸ Finally, the
135 amount of a protein in a bacterium can be adjusted by post-translational modification.¹⁹

136 Regulation of the AcrAB-TolC efflux system is complex. The *acrAB* genes are regulated
137 locally by the AcrR protein.²⁰ Efflux-mediated resistance in clinical isolates is due to
138 overproduction of efflux pumps rather than a mutant AcrB protein.²¹ This is due to mutations
139 in genes that regulate how much efflux pump is produced in the cell.¹⁷ Early work in the
140 1990s on factors that regulate the production of AcrAB-TolC and focused on the *E. coli*
141 MarA protein which is regulated by MarR. The MarA protein is over-produced if there is a
142 mutation that prevents repression of *marA*. This, in turn, activates expression of *acrAB* and
143 *tolC*. MarA also activates expression of an anti-sense RNA, *micF*, that interacts with *ompF*
144 mRNA thereby preventing production of the OmpF protein. In this way, overproduction of
145 MarA reduces influx and increases efflux to give increased MICs of antibiotics which are
146 greater than those attributed to the innate level of MDR in *E.coli*.²¹

147 **Increased efflux can be conferred via several different mechanisms**

148 In *Salmonella*, *Klebsiella spp* and *Enterobacter spp* inactivation of *acrR* showed AcrR to be a
149 weak regulator.^{22, 23} Furthermore, in MDR clinical isolates and veterinary isolates with
150 increased efflux of dyes and antibiotics such as fluoroquinolones, there was no increased
151 expression of *marA*; instead there was overproduction of another gene, *ramA*. RamA is a

152 homologue of MarA and is from the same family of AraC/XylS regulators;²¹ *ramA* is co-
153 located on the genome with *ramR*. However, *E. coli* and *Shigella* spp do not possess *ramRA*
154 genes. RamA is overproduced by mutations that prevent RamR binding and repressing
155 expression of *ramA*. If the *ramR* gene is deleted or inactivated, RamA is over-produced
156 resulting in MDR;²⁴ this is restored to wildtype by complementation with wildtype *ramR* *in*
157 *trans*. The amount of RamA also influences the level of MDR. This was revealed by cloning
158 *ramA* onto a plasmid where expression is inducible.⁵ The level of RamA was also mirrored
159 by an increase in AcrB production (Table 3). The amount of *ramA* can also vary in clinical
160 isolates and is one of the reasons why the level of resistance due to overproduction of
161 AcrAB-TolC can vary; different mutations influence the binding of RamR to its target DNA
162 sequence and hence levels of RamA.^{25, 26}

163 Whilst it may be evolutionarily advantageous to constitutively de-repress RamA to allow
164 bacterial survival in the presence of an antibiotic, the *RamRA* locus is a classic inducible
165 system whereby removal of an inducing condition should allow the amount of RamA to be
166 restored to normal levels. To explore this further, the antipsychotic drug, chlorpromazine,
167 was used as it induces *ramA* in a concentration-dependent manner. Chlorpromazine was
168 removed after inducing the production of *ramA* and the amount of RamA was quickly
169 restored to the pre-induction level with a half-life of 2 minutes.²⁷ It is assumed that high
170 levels of RamA and consequent overexpression of AcrAB-TolC is harmful to the bacterium
171 and so expression is reset to basal levels as soon as the inducer is removed. Further
172 experimentation showed that, like MarA,²⁸ the levels of RamA are reset to pre-induction
173 levels by post-translational regulation by the Lon protease that proteolytically degrades
174 RamA.²⁷ This was shown by inactivating the *lon* gene and observing that *ramA* remained
175 highly expressed.

176 To determine if anything other than RamR or Lon can regulate *ramA*/RamA an experiment
177 was carried out using a library of *Salmonella typhimurium* in which every gene was
178 inactivated by the random insertion of a transposon. A reporter of *ramA* production linked to
179 that of a green fluorescent protein (GFP) was then introduced. After allowing sufficient
180 growth, the bacterial population was sorted in a fluorescence activated cell sorter (FACS)
181 based on the amount of fluorescence.²⁹ These populations were sub-cultured and confirmed
182 to have different levels of fluorescence. Whole genome sequencing of representative strains
183 from the populations was used to identify the gene into which the transposon had inserted.
184 The transposon inserted itself into *csrA* gene which codes for a global regulator originally
185 identified as regulating carbon storage.²⁹ After exhaustive experimentation to determine the
186 mechanism of increased *ramA* expression, it was found that CsrA did not act on *ramA*, but on
187 *acrAB*. In the absence of CsrA, the amount of *acrB* mRNA reduces very quickly indicating
188 that CsrA is required to stabilise the *acrAB* transcripts. Without CsrA, the *acrAB* transcripts
189 quickly degrade and so little AcrA or AcrB proteins are produced. It is hypothesised that the
190 increased expression of *ramA* was in response to the reduced levels of AcrB.

191 **A new tool for discovery of efflux inhibitors**

192 Efflux is a very attractive target for antibacterial drug discovery and small companies have
193 been carrying out such research for over 20 years. An efflux inhibitor will restore clinical
194 effectiveness to currently available antibiotics, prevent many bacteria from infecting their
195 host, and inhibit the evolution of drug resistance. There are various mechanisms by which an
196 efflux inhibitor could work; it could interact directly with an efflux pump protein or another
197 component of a tripartite system, inhibit production of AcrB (and/or its homologues), or
198 prevent increased efflux by repressing the expression of transcription factors such as MarA
199 and RamA. Unfortunately, despite the discovery of numerous efflux inhibitors, none have
200 been licensed for clinical use.³⁰

201 Review of the various inducers and conditions that induced *ramA* showed that exposure to
202 phenothiazines, lack of AcrB, and efflux inhibitors, all induced *ramA* (Figure 4).³¹ These
203 data indicate that *ramA* transcription is sensitive to efflux inhibition. A tool for a high
204 throughput screen for efflux inhibitors and counter-screens was constructed based on these
205 findings. The drug discovery screening facility at the University of Birmingham has
206 automated liquid handling equipment including a biochemical and phenotypic platform to
207 screen for activity against intact bacterial cells
208 (<https://www.birmingham.ac.uk/facilities/bddf/index.aspx>). Chlorpromazine was used as a
209 positive control for the screen. Firstly, the Prestwick Chemical Library comprising a
210 collection of 1,280 molecules containing mostly approved drugs (FDA, EMEA and other
211 agencies) was screened after being selected for their high chemical and pharmacological
212 diversity. Fifty molecules induced production of GFP of which 22 were not antibacterials
213 (manuscript in preparation). Of the remaining 28 molecules, nine were known to have
214 antimicrobial efflux inhibitory activity, five were known to be synergistic with antibacterials,
215 one has been described as an antifungal efflux inhibitor, another is known to bind to RamR,
216 and two have been described as antibacterial efflux inhibitors. The screen was then used with
217 a library of 50,000 novel compounds provided by a collaborating pharmaceutical company.
218 Just over 100 molecules increased GFP production, equating to a hit rate of 0.5% or 1 in 200.
219 This is similar to that of some conventional high throughput antibacterial screens. Subsequent
220 experiments demonstrated that some of the compounds behave as efflux inhibitors and
221 synergise with antimicrobials (Piddock, unpublished data).

222 **Concluding remarks**

223 The AcrAB-TolC efflux system confers intrinsic MDR and the consequent overproduction
224 confers resistance to antibacterials that are active against Gram-negative bacteria.
225 Understanding mechanisms of resistance can inform drug discovery strategies. The evolution

226 of *acrB* in response to antimicrobial therapy of a patient was very different to that observed *in*
227 *vitro* and revealed residues that were important for AcrB to transport its substrates. Such
228 information could help drug discovery programmes as molecules that are effluxed less by
229 both wildtype and mutant AcrB will attain high intracellular drug concentrations and exhibit
230 greater antibacterial activity. MDR clinical isolates can have different drug-resistance
231 mutations that have hitherto not been found in laboratory mutants. There are numerous
232 factors that will regulate the AcrAB-TolC efflux system and also regulate production of its
233 regulatory factors. CsrA could become a target for drug discovery, as inhibition will lead to
234 unstable *acrAB* transcripts and, as a consequence, less AcrAB-TolC and reduced efflux.
235 Efflux inhibitors synergise with antibacterials and attenuate virulence. Some currently
236 available drugs such as phenothiazines could form the basis of a discovery programme for
237 efflux inhibitors and research with GFP reporters has led to a new tool to identify new efflux
238 inhibitors. Finally, it should be noted that there has been a tendency for many years for
239 mutants in which the *acrB* or *tolC* genes have been inactivated or deleted to be employed for
240 screening new antibacterial molecules. Research with the loss of function AcrB D408A
241 mutant shows that lack of an efflux protein does not result in the same phenotype as when
242 AcrB loses its efflux function but when the protein is intact. Therefore, drug discoverers are
243 advised to reconsider the use of inactivation or deletion mutants when seeking new molecules
244 with anti-Gram-negative bacterial activity.

245

246

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249 collaborators from all over the world; and Beth Grimsey, Rob Marshall and Vito Ricci for
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251 I dedicate this lecture to Dr Vito Ricci who has worked with me on efflux since 1995.

252

253 **Transparency declaration**

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255 UK Medical Research Council, the UK Biotechnology and Biological Sciences Research
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349 **Legends to Figures**

350 **Figure 1.** Families of MDR efflux pumps and substrates (adapted from reference 1).

351 **Figure 2.** Schematic diagram of the assembly of a tripartite MDR efflux pump system.

352 **Figure 3.** Disc diffusion assay showing that inactivation or deletion of efflux pump genes
353 confers increased susceptibility to drugs with anti-Gram-negative bacterial activity e.g.
354 ciprofloxacin

355 **Figure 4.** Production of GFP by reporter in the presence of CCCP; PA β N; CPZ,
356 chlorpromazine; TDZ, thioridazine. ³¹

357

358 **Table 1. Susceptibility to AcrB substrates.**

359

Strain	MIC (mg/L)													
	ACR	ETBR	CIP	NAL	CHL	TET	NOV	FUS	ATM	CAZ	CTX	ERY	OX	MIN
SL1344	512	>2018	0.03	4	8	2	512	2048	0.5	1	0.5	256	512	2
Δ <i>acrB</i>	64	32	0.008	1	2	0.5	8	32	0.12	0.25	<0.008	8	16	0.25
AcrB D408A	32	32	0.008	1	2	0.5	8	16	0.12	0.25	<0.008	8	16	0.25

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361 ACR, acriflavine; ETBR, ethidium bromide; CIP, ciprofloxacin; NAL, nalidixic acid; CHL, chloramphenicol; TET, tetracycline; NOV,

362 novobiocin; FUS, fusidic acid; ATM, aztreonam; CAZ, ceftazidime; CTX, cefoxitin; ERY, erythromycin; OX, oxacillin; MIN, minocycline.

363 Mode values from four experiments are shown.

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365 Data from Wang Kan *et al.*¹³

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Table 2. AcrB G288D and MDR in the clinical isolates of *S. Typhimurium*.

Strain	Weeks post CIP Rx	Minimum Inhibitory Concentration mg/L)						AcrB 288
		NAL	CIP	TET	CHL	CAZ	AZT	
L3 ^a	0	2	0.015	1	2	0.12	0.06	G
L10	1	8	0.06	2	8	0.5	0.12	G
L11*	3	16	0.03	2	8	0.25	0.25	G
L12	3	64	0.5	8	32	1	0.5	D
L13*	3	64	0.5	8	16	0.5	0.5	D
L6	5	64	0.5	8	32	0.5	0.5	D
L16	17	64	0.5	8	32	1	0.5	D

L18†	19	64	0.5	16	32	2	0.5	D
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371 a, pre-ciprofloxacin therapy isolate. * mutation in *gyrA*. †Mutation in *gyrB*. D, aspartate. Data from reference 15.

372 **Table 3. The level of RamA influences MDR.**

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Strain	MIC (mg/L)						Fold change in gene expression ^a	
	Cip	Nal	Chl	Tet	Tri	Cyc	<i>acrB</i>	<i>ramA</i>
SL1344	0.03	2	4	2	0.12	S	1	1
SL1344 <i>ramR::aph</i> (L1007)	0.06	16	16	8	0.25	T	1.5	1.4
¹ SL1344 <i>ramA::aph</i> (L133)	0.03	2	2	2	0.12	S	0.2	0
¹ L133 pTRC <i>ramaA</i>	0.03	16	8	4	0.25	S	2.3	1.7
¹ L133 pTRC <i>ramaA</i> ^b	0.03	64	32	16	1	T	14.9	37.6

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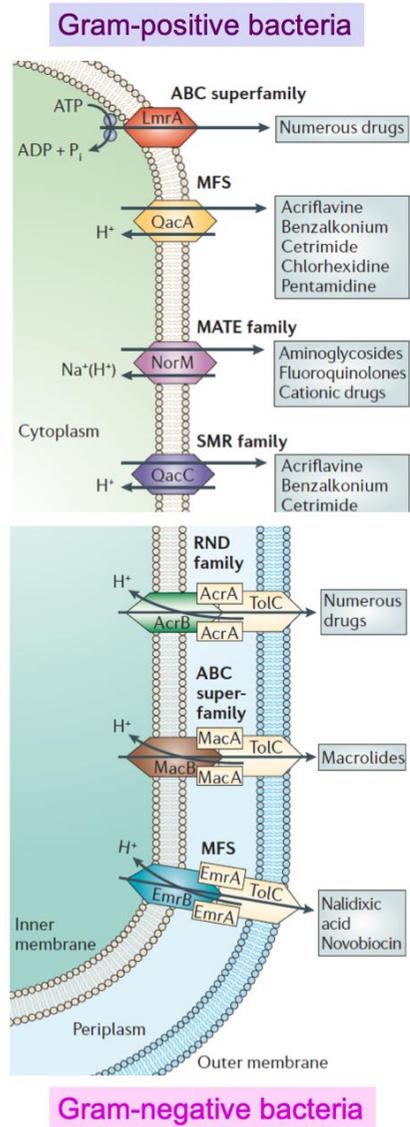
375 ^aCompared to SL1344. ^b*hisA::ramA* under the control of IPTG inducible promoter

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377 Data from references 5 and 12.

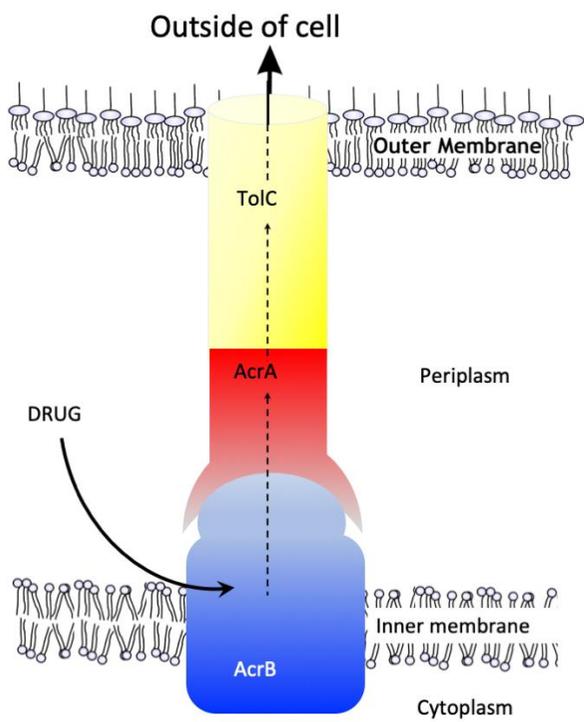
378

379 Figure 1.



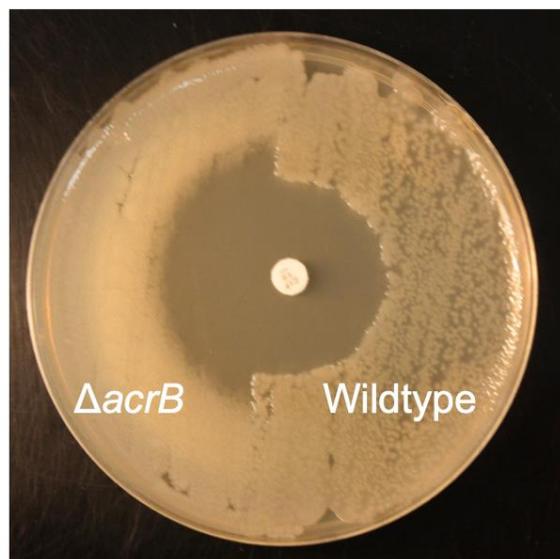
380

381 Figure 2.



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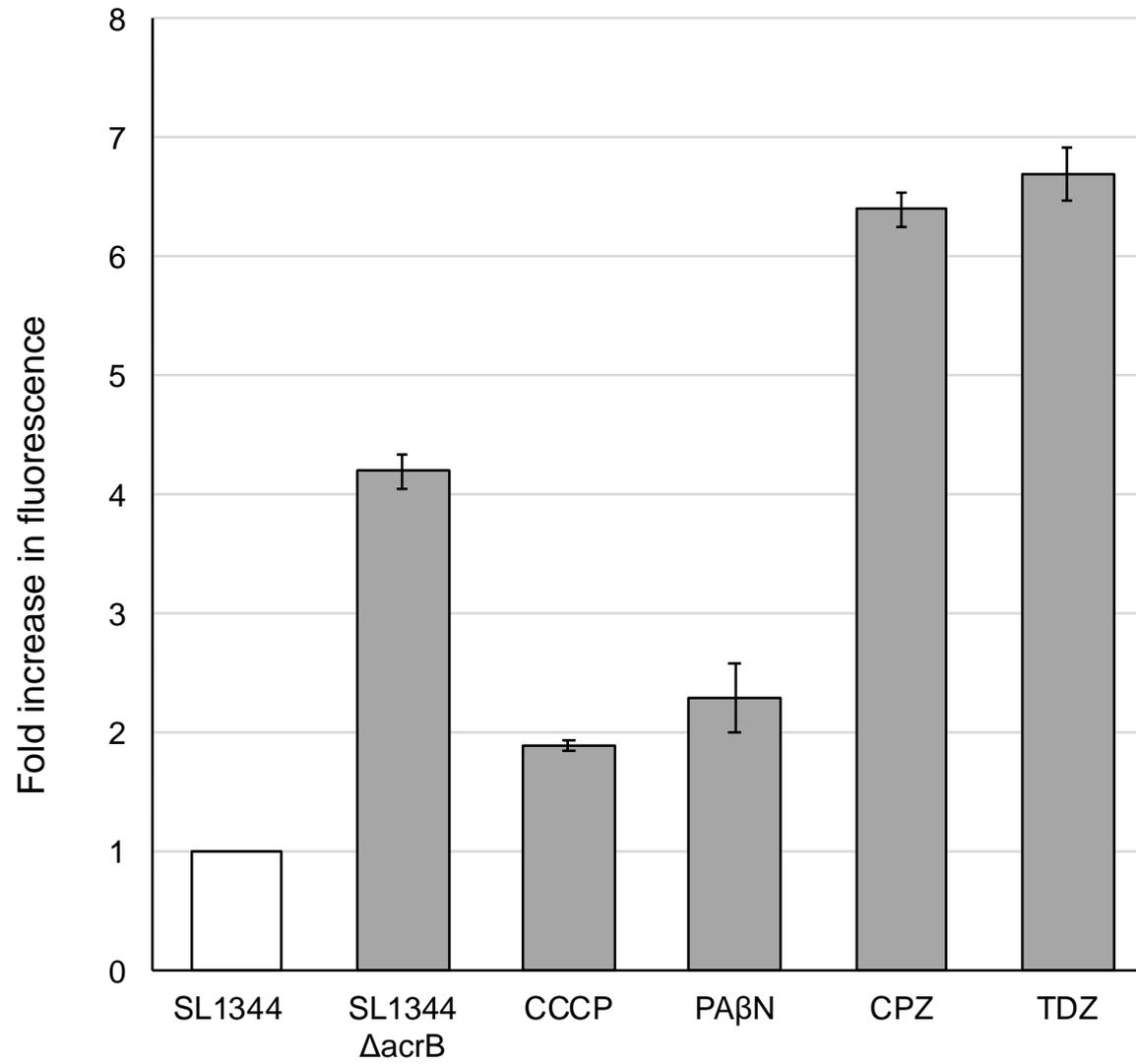
383 Figure 3.



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386 Figure 4.



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