Targeted deep sequencing of urothelial bladder cancers and associated urinary DNA

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Declarations: RT Bryan has contributed to advisory boards for Olympus Medical Systems, Janssen and UCB Pharma. ND James has contributed to advisory boards for Merck USA and Pierre Fabre. L Silcock is an employee of Nonacus Limited.

ABSTRACT

Objectives: To develop a focused panel of somatic mutations (SMs) present in the majority of urothelial bladder cancers (UBCs), to investigate the diagnostic and prognostic utility of this panel, and to compare the identification of SMs in urinary cell pellet (cp)DNA and cell-free (cf)DNA as part of the development of a non-invasive clinical assay.

Patients & Methods: A panel of SMs was validated by targeted deep-sequencing of tumour DNA from 956 UBC patients. In addition, amplicon and capture-based targeted sequencing measured mutant allele frequencies (MAFs) of SMs in 314 urine cpDNAs and 153 urine cfDNAs. The association of SMs with grade, stage, and clinical outcomes were investigated by univariate and multivariate Cox models. Concordance between SMs detected in tumour tissue and cpDNA and cfDNA was assessed.

Results: The panel comprised SMs in 23 genes: TERT (promoter), FGFR3, PIK3CA, TP53, ERCC2, RHOB, ERBB2, HRAS, RXRA, ELF3, CDKN1A, KRAS, KDM6A, AKT1, FBXW7, ERBB3, SF3B1, CTNNB1, BRAF, C3orf70, CREBBP, CDKN2A, and NRAS; 93.5-98.3% of UBCs of all grades and stages harboured ≥1SM (mean: 2.5SMs/tumour). RAS mutations were associated with better overall survival (p=0.04). Mutations in RXRA, RHOB and TERT (promoter) were associated with shorter time to recurrence.
(p<0.05). MAFs in urinary cfDNA and cpDNA were highly correlated; using a capture-based approach, >94% of tumour SMs were detected in both cp and cfDNA.

Conclusions: SMs are reliably detected in urinary cpDNA and cfDNA. The technical capability to identify very low MAFs is essential to reliably detect UBC, regardless of the use of cpDNA or cfDNA. This 23-gene panel shows promise for the non-invasive diagnosis and risk stratification of UBC.

INTRODUCTION

Despite intensive research into biomarkers for the non-invasive diagnosis of urothelial bladder cancer (UBC), the mainstay of detection remains flexible cystoscopy. Commercial urine tests exist; however, none have been widely accepted into routine clinical practice due to poor performance and/or poor evidence (1-3). Many tests are based on levels of proteins or RNA and, as these are not unique to UBC or causally linked to the disease, they tend to lack specificity and are often not detectably elevated in small or low-grade tumours (4). The ideal non-invasive test should detect all UBCs whilst not generating false-positive results from non-malignant urological conditions.

DNA-based biomarkers (methylation, single nucleotide variants, copy number variants) can be detected in urinary DNA and could be used for the non-invasive detection and characterisation of UBC (5). Deep sequencing has enabled both the large-scale identification of somatic mutations (SMs) in UBC (6), and the sensitive detection of SMs in urinary DNA (7-11). However, whole genome sequencing at sufficient depth to detect SMs at low mutant allele frequencies (MAFs) remains expensive; thus, to make a test affordable and interpretable, targeted sequencing of the minimum number of SMs that provide sufficient information is desirable. With optimisation of biomarkers and sample processing, highly sensitive and specific tests could be developed. Notwithstanding, the majority of urine DNA-based studies have utilised DNA extracted from the cell pellets of centrifuged
urine (cpDNA) (7, 12, 13); however, several studies have reported that cell-free DNA (cfDNA) from supernatants of centrifuged urine better represents the genomic changes in UBC (14-16).

The primary objective of this study was to develop a focused panel of SMs present in the majority of UBCs. Our secondary objectives were to investigate the prognostic utility of this panel and to compare the identification of these SMs in urinary cpDNA and cfDNA as a stepping-stone to the development of a non-invasive diagnostic and prognostic clinical assay. We used a combination of publicly-available data and in-house exome sequencing to select candidate SMs for inclusion; many of the SMs are directly involved in UBC pathogenesis (6). This panel of SMs in 23 genes was validated by amplicon deep-sequencing of primary UBCs from 956 patients. We subsequently used deep-sequencing to identify the tumour tissue SMs in matched urine samples comprising 314 urine cpDNAs and 153 urine cfDNAs. Amplicon sequencing and a capture-based approach were compared for SM detection in urinary DNAs.

**PATIENTS & METHODS**

**SM panel development**

Using a combination of publicly-available data and in-house exome sequencing, we designed a panel to contain the most frequent SMs using the minimum amount of sequencing. Some regions/hotspots were challenging to sequence, or did not detect mutations, and were excluded. A final panel covering select promoter or exonic regions in 23 genes with 61 amplicons was defined (Supplementary Table S1); these genes are: TERT (promoter), FGFR3, PIK3CA, TP53, ERCC2, RHOB, ERBB2, HRAS, RXRA, ELF3, CDKN1A, KRAS, KDM6A, AKT1, FBXW7, ERBB3, SF3B1, CTNNB1, BRAF, C3orf70, CREBBP, CDKN2A, and NRAS.
Patients & Samples

Biospecimens were collected as part of the Bladder Cancer Prognosis Programme (BCPP, ethics approval 06/MRE04/65). Patients were recruited consecutively from 2005 to 2011 from ten hospitals in the West Midlands (UK), and gave informed consent for enrolment based upon initial cystoscopic findings suggestive of primary UBC. All patients were newly-diagnosed and treatment-naïve at biospecimen collection, and were subsequently treated and monitored according to contemporary European Association of Urology (EAU) guidelines (including re-resection where indicated) and EAU risk groups (for NMIBC). Inclusion and exclusion criteria are detailed elsewhere (17). Where necessary, tumour grade and stage records were amended according to results of early re-resection or cystectomy. We used the 1973 grade classification as it was in universal use in the UK at the time of patient recruitment, is the basis for the EORTC and EAU NMIBC risk tables (18), and has comparable utility to the 2004/2016 classification (19). For quality assurance, 10% of diagnostic formalin-fixed paraffin-embedded tumour samples were retrieved from local histopathology departments and underwent expert pathological review. All included tumours were purely or predominantly transitional cell carcinomas.

Urine (30-50ml) was placed on ice, centrifuged within 8hours (2000rpm for 10min), and supernatant and pellet stored at -80°C. Tissues were collected at transurethral resection (TURBT), snap-frozen, and stored at -80°C. DNA was extracted from tissues (25mg) and blood (100µl) using DNeasy Blood and Tissue kits (Qiagen). DNA was extracted from urine pellets and supernatants (10ml) using Quick-DNA Urine kits (Zymo). DNA concentrations were determined fluorimetrically (Qubit, ThermoFisher).

We analysed: tumour DNA from 956 patients (along with 402 matched blood samples to discriminate between mutations and polymorphisms), urine cpDNA from 314 of these 956 patients, and paired urine cfDNA from 261 of these 314 patients (where >10ml urine supernatant was available). See Figure 1.
Library preparation and sequencing

Amplicon libraries were prepared by multiplex-PCR: primers were divided between two 30-cycle target-specific PCRs using 5ng DNA for each and KAPA robust polymerase. The PCR products were combined and barcoded in a 15-cycle PCR using Phusion high-fidelity polymerase (8). Up to 384 barcoded libraries were sequenced (2x150bp) on a NextSeq mid-output flow-cell to a mean read depth of 5000x.

Capture-based libraries incorporating unique molecular identifiers (UMIs) were prepared according to the manufacturer’s protocol using 20ng DNA (Cell3 Target, Nonacus) and sequenced as above to a mean consensus read depth of 2200x. Briefly, DNA was enzymatically fragmented, end-repaired and A-tailed, followed by ligation of adapters containing UMIs and incorporation of sample barcodes by PCR. Libraries were pooled and hybridized to biotinylated probes overnight, followed by bead capture, amplification, and sequencing. A detailed workflow is available at nonacus.com.

Bioinformatics and data analysis

Amplicon sequencing reads were aligned to the human genome (Hg19) using bowtie, and reference and non-reference read depths extracted using bamreadcount. Only Q>30 base-calls were considered, and variant detection was based on the non-reference reads exceeding 2.5% of the total read depth and a minimum of 10 non-reference reads as described previously (8). All mutations included in the 23-gene panel had to meet the criteria of ≥10% MAF in ≥1 tumour and <2.5% in germline DNA. We used Sanger sequencing to confirm 50 such mutation calls, with 100% accuracy. With the exception of the well-known TERT promotor mutations, only mutations classified as moderate or high impact by variant effect predictor (20) were considered. Reads from the capture-based libraries were aligned using BWA, and UMI sequences were extracted as part of the i7 index read and used to annotate the aligned reads on a per original molecule basis. Using a proprietary bioinformatic pipeline, consensus reads were built where at least two reads contained the same UMI.

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sequence and had identical genomic start and stop coordinates. Variant calls required a minimum of 4 supporting consensus reads.

**Prognostic utility of frequently mutated genes**

Kaplan-Meier curves were constructed to investigate the effect of mutated genes on outcomes (disease-specific survival, overall survival, and, where appropriate, progression-free and recurrence-free intervals). Hazard ratios (HRs) and p-values presented with Kaplan-Meier curves were obtained by fitting univariate Cox models to the respective datasets. To account for confounding, base models including key influential factors were developed for each population, and the relevant genes then individually included in this model. If ≥2 genes were found to be significant (p<0.1) in a population for a specific outcome when included with the base model, further Cox models were constructed. These included every appropriate pair of genes in addition to the base model. Conditions were applied to the genes which were evaluable, and to the outcomes suitable for modelling. More details in Supplementary Methods.

**RESULTS**

**Frequency of mutations across stages and grade of disease**

Patient characteristics are shown in Table 1. The amplicon sequencing of hotspots/regions of 23 UBC-associated genes in tumours from 956 UBC patients is summarised in Table 2. A total of 916 tumours had ≥1 SM (average of 2.5 SMs per tumour), and ≥1 SM was identified in >93% of tumours of any grade or stage. We identified 451 unique SMs comprising: 384 “moderate impact” variants (missense substitutions), 62 “high impact” variants (likely to result in loss of functional protein), and 5 “modifier” variants in the TERT promotor (Supplementary Table S2). At presentation, tumours with a mutation in FGFR3 or AKT1 were 5 times less likely to be muscle-invasive bladder cancer (MIBC) than tumours wild type for both genes (7% v 38%); TP53-mutated tumours were 3 times more likely to be MIBC than wild type TP53 tumours (46% v 15%). Mutations in 11 of the 23 genes
demonstrated statistically significant differences between NMIBC risk groups and/or MIBC; these genes were AKT1, CDKN1A, ELF3, ERBB2, ERCC2, FGFR3, KRAS, PIK3CA, TERT, TP53, and RAS (HRAS, KRAS, and NRAS combined) (Figure 2).

Prognostic utility of frequently mutated genes

Across the entire cohort, TERT, FGFR3, TP53 and RAS were significantly associated with overall and disease-specific survival (Figure 3). RAS mutations remained significantly associated with better overall survival when adjusting for EAU risk factors (HR: 0.60 (95% CI: 0.37, 0.97); p=0.04). There were insufficient events to adjust by EAU risk factors for disease-specific survival.

The influence of mutated genes on time to recurrence and overall survival was investigated in non-muscle-invasive bladder cancer (NMIBC) patients (there were too few events to consider progression and disease-specific survival). Mutations in RXRA, RHOB and the TERT promoter were associated with shorter time to recurrence (p<0.05) (Figure 4), and remained significant after adjusting for gender and EAU risk group. RAS mutations were significantly associated with better overall survival after adjusting for gender and EAU risk group (p<0.01).

We also analysed the influence of SMs on time to progression and disease-specific survival in high-risk NMIBC patients; the association between FGFR3 mutations and longer time to progression approached significance (HR: 0.35 (95% CI: 0.12, 1.05); p=0.06). None of the genes were significantly associated with disease-specific survival; although survival curves for RAS mutant and wildtype HR-NMIBC patients diverged, there were too few events to calculate statistical significance by Cox model (Supplementary Data).

In MIBC patients, adjusted Cox models accounting for gender demonstrated improved disease-specific survival associated with FGFR3 mutations (HR: 1.76 (95% CI: 1.05, 2.93); p=0.03) and worse overall survival associated with TP53 mutations (HR: 0.73 (95% CI: 0.53, 0.99); p=0.04).
DNA yield from urine pellets & urine supernatants

In 261 paired urinary cf and cpDNAs, the median cfDNA yield was 4.5ng per ml of urine compared with 52ng per ml for cpDNA. Using a minimum DNA input of 10ng for amplicon sequencing enabled 74% of urine supernatants to be utilised, compared with >90% of pellets. Across the 261 urine samples there was no correlation between supernatant and pellet DNA yields (Figure 5).

Detection of SMs in paired tumour & cpDNA

cpDNA was available from 314 patients with SMs identified in their tumour DNA. These UBCs had 903 SMs in total with a mean of 2.9 SMs per tumour. Amplicon sequencing of cpDNAs identified 645 (71.4%) of the 903 mutations at >2.5% MAF. At least one SM was found in 240 cpDNAs (76.4%). The median MAF across all cpDNAs was 20.6%; grade 1, 2 and 3 disease had median MAFs of 3.7, 22.5 and 25.3%, respectively.

Detection of SMs in paired tumour & urine cfDNA

Amplicon sequencing was used to analyse cfDNA from 153 patients with tumour SMs, cpDNA data, and >10ng cfDNA. Of 437 SMs identified in tumour DNA, 353 were detected in urinary cfDNA (80.7%), and ≥1 SM was found in 128 cfDNAs (83.8%). This compares favourably with the detection of 326 SMs in the corresponding cpDNAs (74.6%), and the detection of ≥1 SM in 118 cpDNAs (77.3%). The allele frequencies of mutations detected in 153 paired cp and cfDNAs were positively correlated ($r_s=0.86$) (Figure 6), with median MAF of 24.5% in cfDNA versus 18.9% in cpDNA (p<0.001). The median MAF in grade 1, 2 and 3 disease were 2.2, 26.1 and 36.7% for cfDNA and 3.2, 20.4 and 29.8% for cpDNA. The proportion of mutations identified in individual genes in each type of DNA (tumour tissue DNA, urinary cpDNA, urinary cfDNA) are shown in Figure 7.

Capture-based cpDNA & cfDNA analysis

In paired cp and cfDNAs from 45 patients, SMs were detected by a capture-based method (whereby consensus read building removes PCR and sequencing errors permitting detection of MAFs >10-fold lower than standard amplicon sequencing [21]). All 45 pairs of samples were from patients with SMs...
identified in tumour tissue; for 30 patients, SMs were not detected in cpDNA by amplicon sequencing ("false-negatives"), and for 15 patients they were ("true positives"). All expected tumour SMs were detected in the true positive cpDNAs and corresponding cfDNAs; MAFs from the amplicon and capture-based methods were closely aligned (Figure 8a), confirming the strong correlation between cp and cfDNA MAFs (Figure 8b).

Capture-based analysis of the “false negative” cpDNAs identified SMs in 26/30 patients (86.7%), and 56/72 of all tumour SMs (77.8%); analysis of the corresponding cfDNAs identified SMs in 24/30 patients (80.0%), and 54/72 of all tumour SMs (75.0%).

DISCUSSION

DNA-based urinary biomarkers have emerged as the frontrunners for the non-invasive detection of UBC. The ideal DNA-based non-invasive diagnostic test for bladder cancer would utilise the minimal amount of sequencing to obtain optimal sensitivity across all grades and stages of disease whilst utilising a DNA substrate abundant in the majority of urine samples. Here we describe such a test, identifying 451 SMs in 23 genes that, overall, were present in 96% of UBCs. Many commonly-mutated large tumour suppressor genes (e.g. KDM6A, KMT2D) with SMs widely distributed across the gene were unsuitable for inclusion. Notwithstanding, this panel demonstrates potential for non-invasive detection of UBC via urinary DNA.

The distribution of common SMs across stages and grades of UBC in this cohort is consistent with previous data (22, 23). Also consistent with the literature (24-26), we find that TP53, FGFR3 and TERT promoter mutations are predictive of survival in univariate analyses, but are not significant in multivariate analyses adjusting for accepted risk factors (1). RAS mutations are associated with improved survival and remain so after adjusting for EAU risk factors. As RAS mutations are known activators of a known oncogene, it is unlikely that they are beneficial per se and more likely that they

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co-occur with favourable events or are mutually exclusive with unfavourable events. We caution that RAS mutations have not been reported as prognostic in UBC in other large datasets (6, 23). There have been contradictory reports as to whether PIK3CA mutations are prognostic (23, 27, 28) but we demonstrate no relationship. Additionally, we find that RXRA, RHOB and TERT mutations are all associated with decreased recurrence-free interval in NMIBC.

We have shown that 71% of SMs harboured by UBCs can be detected in corresponding urine cpDNA by amplicon sequencing (2.5% MAF threshold) resulting in the detection of 76% of mutation-positive tumours. Capture-based analysis of cpDNAs confirmed that the SMs detected at >2.5% MAF by amplicon sequencing were genuine, and that decreasing the limit of detection to 0.2% MAF increases the number of SMs detected. If we had applied the capture-based approach to all cpDNAs we hypothesise that up to 94% of all SMs could have been detected, potentially identifying 95% of mutation-positive tumours.

Using amplicon sequencing, tumour SMs were detected in 78% of cfDNAs, and cfDNA and cpDNA MAFs were correlated, as previously demonstrated (29). There was a small (5%) but significant (p<0.001) increase in average MAF in cfDNA relative to cpDNA. We verified these data using a capture-based approach with improved analytical sensitivity; this method is less error-prone, extremely sensitive (due to UMIs and consensus reads), and quantitative (sequencing reads can be mapped back to individual DNA molecules). Using this method we found that all tumour SMs that can be detected at >2.5% MAF in cpDNA were also detected in cfDNA, and that 80% of the SMs missed in cpDNA at >2.5% MAF can be detected in cfDNA at >0.2%. We hypothesise that if the capture-based method had been applied to all cfDNAs then up to 95% of all SMs could have been detected, potentially identifying 97% of mutation-positive tumours.
Our data also demonstrate that sequencing selected regions of 10s of genes (rather than 100s of genes) could provide the basis for a non-invasive diagnostic test for UBC with high sensitivity for all grades and stages of disease. The majority of false negative urine samples were due to undetectably low MAFs in cp and cfDNA, and not due to the absence of mutations in the tumour. Thus, the technical ability to identify very low MAFs should be a key component of any such test.

Other workers have also utilised cpDNA and targeted NGS for the identification of genomic alterations in urine samples from UBC patients (7, 9, 30); however, few studies have directly compared cpDNA and cfDNA by targeted NGS in this setting (11, 31). Although cpDNA is conventionally utilised for urinary biomarker studies (principally due to higher yields than cfDNA), we have demonstrated that SM detection in urinary cfDNA works as well as (or marginally better than) SM detection in cpDNA. Notwithstanding, more than one-quarter of urine supernatants yielded <1ng/ml DNA and were unsuitable for analysis; thus, the abundance of urinary cpDNA likely outweighs the marginal advantages of cfDNA. Preparing cpDNA and cfDNA in parallel and then either analysing both, or cpDNA whenever possible and cfDNA in cases where cpDNA extraction fails, would reduce the number of untestable samples. To improve cfDNA yields per urine sample in the future, the development of economical and efficient methods to extract cfDNA from larger urine volumes (>100 ml) would facilitate the widespread applicability of urinary cfDNA analysis.

Our primary objective was to develop a focused panel of SMs present in the majority of UBCs and, secondarily, to investigate its prognostic utility and detection in cpDNA and cfDNA as a stepping-stone to the development of a clinical diagnostic assay. Validation in another cohort of UBC patients will be required to translate these findings, as well as the presentation of sensitivities and specificities from participants with and without UBC; this work is ongoing. However, we consider the data presented here to be of interest to both the UBC and liquid biopsy research communities, with additional novel findings relating to prognosis. Furthermore, recent evidence also suggests that mutations in 4 of the genes within our panel (ERCC2, FGFR3, PIK3CA and ERBB2) are associated with...
response to cisplatin-based neoadjuvant chemotherapy for MIBC (32, 33), and FGFR inhibitors are in clinical trials for patients with advanced MIBC (34), thus demonstrating additional potential utility of our panel. However, with regard to treatment selection for FGFR inhibition (and of also relevance to ERBB2), it was noticeable that the identification of actionable mutations by amplicon sequencing was superior in tumour tissue DNA than in urinary DNA (Figure 7); notwithstanding, the collection, shipping, handling and processing of liquid biopsies for such assays is generally easier than for conventional tumour biopsies, with the added benefits of abundance and the potential for repeat testing.

It should also be noted that patients in the current study all had primary UBC with urine samples collected pre-TURBT and tumour samples collected at TURBT; confirmation is required regarding the sensitivities and specificities of mutation detection in UBC surveillance urine samples (both NMIBC surveillance, and MIBC surveillance following bladder-preservation), and the potential confounding effects of urothelial field change, radiotherapy, and other urological conditions. Again, this work is ongoing.

CONCLUSIONS

We have described key components of a potential non-invasive diagnostic test for bladder cancer based upon a 23-gene panel, and which also demonstrates additional utility for risk stratification and the possibility of therapeutic response prediction in specific settings. SMs can be reliably detected in urinary cpDNA and cfDNA, although the technical capability to identify very low MAFs is essential to reliably detect UBC regardless of the use of cpDNA or cfDNA. Given the higher yields of cpDNA per urine sample, cfDNA could be used to corroborate cpDNA results or if cpDNA yields are insufficient.
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REFERENCES

Table 1: Patient and tumour characteristics

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<th>Tumours (n=956)</th>
<th>Urine cpDNA (n=314)</th>
<th>Urine cfDNA (n=153)</th>
<th>Capture-based analyses of cpDNA &amp; cfDNA (n=45)</th>
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Table 2: Mutation frequencies across grades and stages of bladder cancer. Results are presented as the percentage of tumours in each category with a mutation in each (or any) gene. The “other” category includes 3 cases of solitary Tis, 5 cases of G1T1, and 8 NMIBCs where grade was not recorded.

<table>
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<th>Gene</th>
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Figure 1: Summary of the biospecimens analysed by amplicon sequencing

DNA sequenced from 956 fresh-frozen bladder tumours to define SM panel

Urine cpDNA available (and sequenced) for 314 patients with SMs

cfDNA extracted from urine of 261 of these patients with 10 ml urine supernatant available

193 cfDNAs yielding ≥10 ng DNA

40 out of 193 cfDNAs already used in other experiments*

Tissue + cpDNA + cfDNA trios n=153

DNA sequenced from 402 paired germline (blood) samples to discriminate between SNPs and SMs

Figure 2: Genes in which tumour mutation frequency demonstrated significant differences between NMIBC risk groups and/or MIBC. *HRAS, KRAS, NRAS* have been combined as RAS. Pale grey = wild type, dark grey = mutated. LR = low risk NMIBC, IR = intermediate risk NMIBC, HR = high risk NMIBC, MIBC = muscle-invasive BC.
Figure 3: The effect of the six most mutated genes on disease-specific survival across the entire patient cohort of 956 patients.
Figure 4: Somatic mutations with a significant influence on time to recurrence in NMIBC.
Figure 5: Correlation between paired rRNA and rpDNA yields

\[ y = 1.0117x + 2183.3 \]

\[ R^2 = 0.0266 \]
Figure 6: Detection of somatic mutations in urine cDNA and cpDNA. The graph shows the allele frequencies of mutations identified in tumours when measured in matched cf and cp DNA by amplicon sequencing (Pearson correlation coefficient = 0.70, Spearman's rank correlation coefficient = 0.86).
Figure 7. Percentage of tumours with mutations in individual genes and detection of those mutations in urinary cpDNA and cDNA by amplicon sequencing. Figure 7(a) shows the six commonest mutations, and Figure 7(b) shows the remaining fifteen (H61A, R61A, W61S have been combined as RAS in 7(a)). In this subset of 153 trio of tumour tissue DNA, cpDNA and cDNA, no CDKN2A mutations were identified.
Figure 8: Capture-based urine DNA analysis. Figure 8(a) shows the correlation between MAFs in urinary DNA determined by capture-based and amplicon-based methods (filled triangles = cfDNA, hollow circles = cpDNA; Pearson correlation coefficient = 0.95, Spearman's rank correlation coefficient = 0.91). Figure 8(b) shows the correlation between MAFs in cfDNA and cpDNA determined by the capture-based method in paired samples from 45 patients (Pearson correlation coefficient = 0.79, Spearman's rank correlation coefficient = 0.76).