

# A through-life evaluation of end-of-life rolling stocks considering asset recycling, an energy recovering, and financial benefit

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1 A through-life evaluation of end-of-life rolling stocks considering  
2 asset recycling, an energy recovering, and financial benefit

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8 **Abstract**

9 According to a large number of end-of-life rolling stocks were left over landfill, appropriate waste management  
10 should start by properly understanding the components of rolling stocks to determine their remaining potential. This  
11 paper evaluates efficient and feasible approaches to recovering and recycling wasted rolling stocks. The emphasis is  
12 placed on three broad train types, consisting of freight train, passenger train, and High-Speed Rail (HSR). In this  
13 article, all compositions of the three types of rolling stock are studied, with the results of the recyclability and  
14 recoverability rates being used to inform their productive treatment at the end-of-life.

15 The distinctive point of this research is an analysis of compositions, materials, and the percentage of value  
16 adopted from the end-of-life rolling stocks. With respect to find out the recyclability ( $R_{cyc}$ ) and recoverability ( $R_{cov}$ )  
17 rates on end-of-life rolling stock, the equations are adopted from ISO 22628:2002 document to estimate the  
18 feasibility and suitability of each component on the rolling stock for taking advantage from unused parts. By  
19 comparing the  $R_{cyc}$  and  $R_{cov}$  rates among three types of rolling stock at the end-of-life stage, the highest value of  $R_{cyc}$   
20 showed at 92.8% from freight train where the highest value of  $R_{cov}$  represented at 12.5% from HSR. It was found  
21 that those rates relate to the main components on rolling stock, which contained diversely characteristic to be  
22 reusable, recyclable or recoverable materials. Finally, the two key recommendations for further design on rolling  
23 stocks are provided regarding the proper selection of materials and the method to enhance efficiency of recycling  
24 and recovering process.

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29 *Keywords:* railway; rolling stocks; waste management; recyclability; recoverability; End-of-life.  
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# 1. Project in Recyclability and Recoverability of Rolling Stock

## 1.1. Introduction

Global warming is the larger issue that needs to be corporate achieved by many countries around the world. Due to a restriction limiting the increase in the world's temperature to a maximum of 2°C (35.6 F), compared with the pre-industrial era (Damm *et al.*, 2016; UNFCCC, 2016), the significant economic countries like the US or the EU28 should become leaders in limiting CO<sub>2</sub> emissions (Meinshausen *et al.*, 2015). Based on industry data, the highest level of pollutants was emitted in European countries, especially in the United Kingdom, Germany and Poland. Pollution has mainly come from manufacturing, human activities, household and farming activities.

Manufacturing was reported as the largest producer of pollutant emission; for example, Great Britain has 16 of the 100 top polluting plants, showing a high damage cost from air pollution. The UK government spends £9.5 to £15.5 billion a year in health and environmental damages. Also, in the case of power plant emissions, the production processes cost £19.8 to £24.1 billion and manufacturing combustion costs £6.9 to £18.1 billion a year (EEA, 2012). For human activities, which mostly include transportation, there are 700 million vehicles that are currently in use around the world and this number is predicted to increase to two billion vehicles by 2050 (Del Pero *et al.*, 2015). In fact, automobiles are responsible for 20% of greenhouse gas emissions, whereas railways are only responsible for less than 0.6% but carry 11% of goods among European countries (Merkisz *et al.*, 2014). A large number of researchers have stated that carbon emissions have dramatically increased and, the global temperature has been predicted to rise by approximately 4.8°C (40.6 F) in the next century (Andrade and D'Agosto, 2016). As mentioned, human activities on transportation produce carbon dioxide into the atmosphere. For example, the average number of miles each person travels in the UK is 6,500 miles per year. And, the amount of CO<sub>2</sub>, emitted by passenger trains was found to be 140.3 kg (309.3 lb) of carbon per passenger, whereas HSR trains emitted only 35.5 kg (78.3 lb) of carbon over equal distances (Department of transport, 2015; European commission, 2015; Eurostat, 2016).

Moreover, an improper management at the end-of-life rolling stock causes a significant amount of wastes more than other vehicles. It has been claimed that the end-of-life of a single cargo railcar produces waste equal to 16-20 passenger vehicles, and passenger railcars generated waste equivalent to 48-57 passenger vehicles (Merkisz *et al.*, 2014) The leftover wastes have been seriously issued across European countries. Smink (2007) stated that only 75 percentages of the end-of-life vehicles were recyclable metals. It meant that the rest of the end-of-life vehicles turning to be wasted in a landfill. Therefore, numerous campaigns have been launched for encouraging companies to recycle and reuse automotive wastes rather than leave them until the end of their life. It included the achievement to reduce the amount of carbon emission during operation.

Recycling processes must be applied in advance to the waste due to the majority proportion of materials of rolling stocks can be recovered and recycled (Silva and Kaewunruen, 2017; Kaewunruen and Lee, 2017). Moreover, there are many benefits of recycling rail vehicles, such as reduced cost of resources and the lower cost of production of recycled materials.

## 1.2. Objectives

There are four primary objectives of this paper. The first is to break down and compare some raw materials that could be recycled, reused or should be properly managed such as disposal, landfill and incineration. The second is to investigate the application of the raw materials in transportation construction. The third is to identify a method of calculations for the net present value (NPV) of rolling stock materials. The final objective is to discuss the life cycle of the waste materials (metal, plastic, and glass). The novelty part of this research is the intensive analysis of all components of the three types of rolling stock. It encourages reader genuinely understand the composing raw materials and the way to manage them after the end-of-life stage.

### 1.3. Paper Organisations

This article is organised as follows: In section 2 the fundamentals of the recycling and recovering process are explained, with the principal components of rolling stocks described. The background of recycling different materials and equations for calculating recyclability rate ( $R_{cyc}$ ) and recovery rates ( $R_{cov}$ ) are included. Section 3 contains methodology and component analysis of the three types of rolling stocks (freight, passenger, and HSR) and how to find the Material Recover Facility (MRF) and the Energy Recover Facility (ERF) values. Section 4 includes the results and a discussion of recyclability and recoverability rate. Lastly, section 5 provides conclusions and suggestions for further improvement and future research.

### 1.4. Term used

The word ‘rolling stock’ referred to a railway vehicle, which moves along a rail network. Nevertheless, the word ‘rolling stock components’ referred to the removed parts from operation and, they were sent to marshalled or dismantled process. Regarding to this study mentioned on three types of rolling stocks, the ‘freight train’ referred to the set of rolling stocks that contained with one internal combustion locomotive and one platform-type of wagons. The ‘passenger train’ referred to the set of rolling stocks that composed of one internal combustion locomotive and one platform passenger-carrying cars. The ‘High-speed train’ or ‘HSR’ referred to the rolling stocks, which mostly composed of lightweight materials and operated over the speed 250 km/hr (155.34 miles/hr).

## 2. Recycling and recovering process on end-of-life rolling stock

With respect to the regulation the road vehicles-recyclability and recoverability calculation method document or ISO22628:2002 document, it provides the recyclability and recoverability rates to be an international standard. The document refers to the methods to manage the wastes from any road vehicles; thus, it supposes to apply with the end-of-life rolling stock. It aims at measures on the mass fraction of vehicles on reuse, recycle, and recovery processes.

As illustrated in Fig. 1, the recyclability rate ( $R_{cyc}$ ) is a measure of the percentage of total mass that can be used for the same purposes from wastes. Also, it includes re-use and recycling stages on the materials to be usable parts; in other words, the recyclability rate measures the fraction of total waste recycled and total wastes. Network Rail (2017) mentioned that there are wide ranges of recycled rail parts and rail infrastructure such as panlock, fishplate, pad, screw, and insulators. For instance, in Sol-Sánchez, Moreno-Navarro, and Rubio-Gómez (2014), the study on the method to reduce environment impact from the used of wastes tires under sleeper pads (USPs), which used to stiffness absorbing noise and vibration and modifying track from rail track.

With respect to the reuse stage on rolling stock, some parts of end-of-life rolling stock can be reused rather than replaced; therefore, the reuse stage should be firstly concerned for reducing the end-of-life rolling stock wastes. In term of the recoverability rate ( $R_{cov}$ ), it is a measure of vehicle mass that can be converted into heat and energy forms. The apparently benefit of thermal energy recovery relates to reduce the  $CO_2$  and various greenhouse gas emissions (Zhang, Worrell and Crijns-Graus, 2015). In conclude, only the recycling and recovering processes are the main focus of this paper, as there is not a practical method for recycling or reusing rolling stocks.

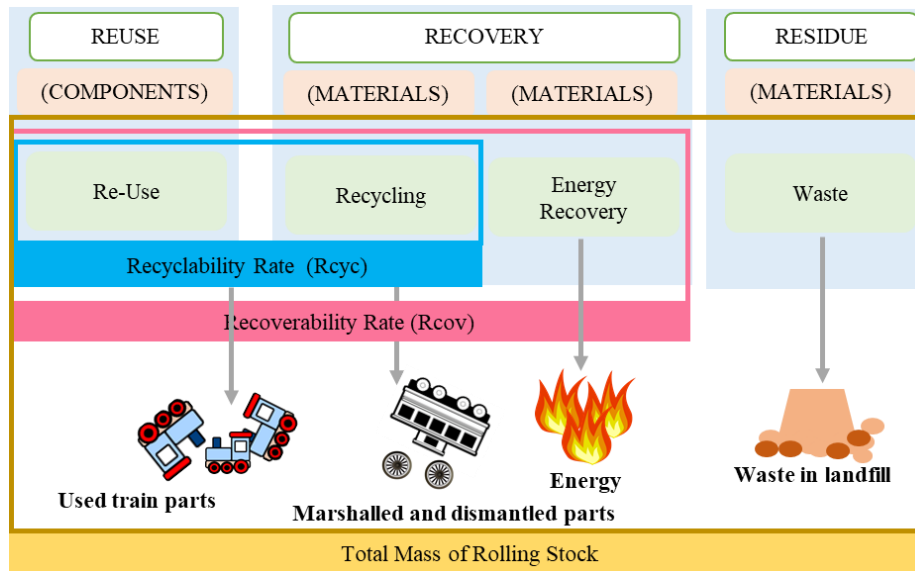


Fig. 1 An overview of recovery, recycle and reuse of end-of-life rolling stock

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### 2.1. Recycling Process

4

Recycling is a process of treating or changing waste material into usable materials (Eurostats mullmagazine, 2008). By following the directive 2008/98/EC on waste document, the recycling process is one of the basic concepts and definition related to waste management, which consists of preparing for re-use, recycling, recovery, and disposal. The waste framework aims at generating positive impacts on human health and environment (European Commission, 2016)

5

The recycling process aims at increasing the quality and value of recycled products for decreasing the impact on the environment (Ravi, 2012). In the fact that, the process was applied to 241 million tons of waste per year in the UK and 2.5 billion tons of waste per year in the European Union, of which approximately 13.6 million tons of waste were generated from industrial work. Therefore, the vast amount of residues related to an idea of eco-design for new product development. The benefit of the new design was not only the cost but also the reduction on environment impacts (Giorgetti *et al.*, 2016).

6

Regarding to measure the benefits and costs of waste management, the life cycle assessment (LCA) methodology is properly applied for evaluation (Craighill and Powell, 1996). The LCA method quantified the environment impact of a product or material, which focused on energy, resources, and emissions of products from the cradle to the grave. A case study of HSR in the California, USA, for example, the main focus on the feasibility study to build HSR was using low energy and reduce GHG emission (Chester and Horvath, 2010). Another method called 4Rs, which is waste prevention techniques for manufacturing, strived to make their products environmentally friendly throughout the process of reducing, reusing, recycling, and remanufacturing (UNIFE, 2013). Both LCA methodology and 4Rs provides plentiful advantages across the business in term of recycling wastes in to new object.

7

The recyclability rate ( $R_{cyc}$ ) is the main indicator to measure the performance of the recycling process. There was many sources mentioned on the recyclability rate ( $R_{cyc}$ ) and recoverability rate ( $R_{cov}$ ). For instances, document for railway application named 'ISO TC269/WG' indicates those rate for all components of rolling stocks that can be a guideline for industry to manage the end-of-life rolling stock (ISO/TC269, 2012).

8

### 2.2. Recovering Process

9

Recovering is a process that turns materials back into what they were originally intended to be and/or into new

10

1 purposes. The process refers to the transformation of used equipment or materials into new energies such as thermal  
 2 energy. Waste recovering process aims at the utilization of waste thermal energy (Haddad *et al.*, 2014). It can be  
 3 found on widely manufacturing processes, i.e., agriculture (El Hanandeh, 2015; Lee *et al.*, 2016; Purdy *et al.*, 2018),  
 4 cattle (Venier and Yabar, 2017), textile (Nunes and et al, 2018). The recovery concept has become a significant role  
 5 in many countries due to it illustrated benefits profoundly and lead to sustainable development.

6 The regulations on the waste management were different among European countries. In the facts that, the wastes  
 7 in the landfill were banned in some countries, i.e., Switzerland, Germany, Norway, Belgium, Sweden, and Denmark  
 8 caused by the recovery rate was higher than other countries due to the wastes were directly sent to the recovery  
 9 process. On the other hand, Cyprus and Malta didn't have recovery system caused by the recycling rate was lower  
 10 than other countries. It could be confirmed by the results in 2012 that showed Norway represented the recoverability  
 11 rate at 92-98%, while showed only 30-37% on the recyclability rate (Worrell and Reuter, 2013).

12 The waste dumping not only reduces gas emission to the environment but also produce renewable energy for  
 13 other purposes (Wang *et al.*, 2012). In case of recovering on the end-of-life rolling stock, the wastes that mainly  
 14 composed of elastomers and polymers (i.e. table, seat) will produce high recoverability rate, which will be  
 15 mentioned on the material part.

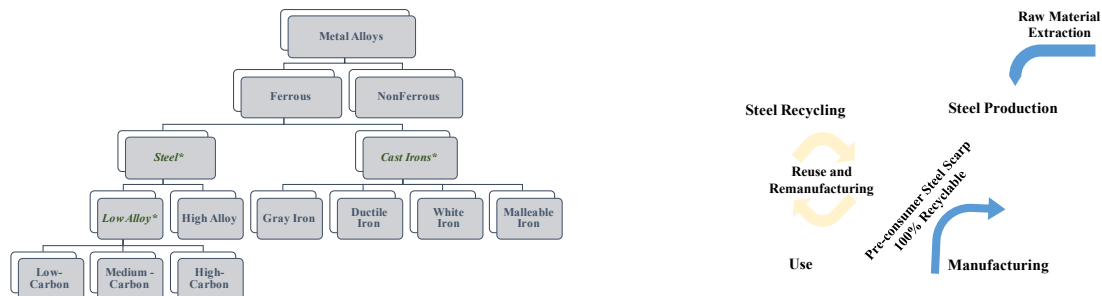
16 In term of the end-of-life rolling stock, the shredded material cannot be reusable as the material in the recycling  
 17 process. Normally, the shredded materials can be classified in two types by using the magnetic properties as  
 18 shredder heavy fraction or SHF (steel, iron, copper) and shredder light fraction or SLF (plastic, fibre, glass) (Delogu  
 19 *et al.*, 2017). The SHF may send to the recycling process but, the SLF should transfer to the recovering process. The  
 20 study by (Krinke *et al.*, 2006) defined that up to 66% of the total mass could be recovered.

### 22 2.3 Main Components

24 An intense analysis of the main components on the rolling stock has been focused on three materials; steel, plastic  
 25 (polymers), and glass. It relates with the study of Del Pero *et al.* (2015) that shows the material compositions on the  
 26 metro train. It composes of metals 87.5%, plastic (elastomers and polymers) 5.9%, and glass 2%. Besides, the  
 27 collected data (See table 5-7, Appendix A.) represent a component of three type rolling stock and type of material,  
 28 which mainly composed of steel, mixed aluminium and steel, aluminium, and glass. Hence, the recycling and  
 29 recovering process of metals, plastics, and glass are analysed below.

#### 31 2.3.1. Steel

32 The recycling process of a steel material is very efficient. The steel industries figured out an effective method to  
 33 produce steel by using less energy and new materials more than 50 years ago. Steel is well known for having an up  
 34 to 100% recyclable rate for making new steel products. If steel does not significantly lose its properties, it can be  
 35 recycled over and over again (Kaewunruen, 2016).



47 Fig. 2(a) The classification of metal alloys (The \* symbol denotes the components on rolling stock) and Fig. 2(b) The life cycle of steel.

1 Based on studies of materials; however, the recovery rate of steel has been found to be up to 90-98%  
2 (Kaewunruen, 2016; UNIFE, 2013), whereas the realistic recovery on the industrial process is approximately 92%  
3 (Del Pero et al., 2015). Steel is an easily recoverable material, due to its magnetic characteristic, which makes it  
4 convenient to separate out from other particles (Kaewunruen, 2016). High speed and passenger rolling stocks are  
5 composed of around 20-25% steel, whereas a freight rolling stock is mainly made of steel. Moreover, other parts,  
6 such as bogies (wheels, bearings, axels, and motors), bridge, stations and power lines, are combined with steel  
7 (Kaewunruen, 2016).

8 With regarding the aluminium material, it normally used for application in transportation as shown in section 4.3.  
9 The aluminium shows as a component of a passenger train, HSR, and freight train up to 60.85%, 51.55%, and  
10 24.97% respectively. In the study of Passarini and et al (2014) stated that up to 75% of recycled aluminium in  
11 Europe is applied in transportation sectors. The material provides the light-weighting characteristic that reduces the  
12 weight around 10%. Besides, the recyclability and recovery rate can be reached up to 95%.

13 However, the loss of metal elements occur during the recovery process under the conditions of economic and  
14 technical (Ohno et. al, 2014 and Ohno et. al, 2015). Regarding the recycling process of end-of-life vehicle, there are  
15 main three losses including material, quality and dilution (Amini and et. al, 2007). Some research identifies that the  
16 loss on quality and dilution comes from the contamination on the end of life vehicle i.e. the copper is mixed with  
17 steel (Nakamura and et. al. 2012).

### 18 2.3.2. Plastic (Polymers)

19 Plastic is known to be a non-biodegradable material, and it is not possible to reduce the waste at the end of its life.  
20 Most plastics take thousands of years to degrade and also release chemicals into the atmosphere. The burnt plastic  
21 released many toxic gases such as Furans, Dioxins, and Mercury that refers to lung damage and other diseases  
22 (Verma *et al.*, 2016). Some plastics can be degradation automatically, on the other hand, the rest type of plastic (i.e.  
23 low purity plastic, laminated) must be applied to chemically process (Worrell and Reuter, 2013).

24 As a crude oil's downstream product, every 1 tonne of recycled plastic saves 16.3 barrel of crude oil  
25 (Kaewunruen, 2016) and also saves 5,774 kilowatt-hours of electric energy in the production process (Del Pero et  
26 al., 2015). In 2012, there was 25.2 million tonnes of plastic wastes across European countries; nevertheless, it was  
27 only 60% of the wastes could be recovered or recycled. Regarding with the recovered and recycled wastes, the  
28 reports showed that an approximately 9.9 million tonnes of plastic wastes turned to be energy for manufacturing,  
29 household, and other purposes. And, the rest of plastic wastes or 6.6 million tonnes were sent to recycle process  
30 (Worrell and Reuter, 2013).

31 Some parts of rolling stocks such as tables, seats, and battery are composed of various types of plastic and  
32 polymeric materials. Hence, the recycling processes should apply after the end-of-life to save energy, reduce waste  
33 in landfills, and decrease toxic gases emissions. However, non-biodegradable parts are primarily used as rolling  
34 stock components, due to their properties that endure extreme temperatures and ability to support railway's  
35 structures (Kaewunruen, 2016). The thermosets, another type of plastic, also used in rolling stock but, the recycling  
36 process of thermoset plastic is complicated than other plastic types. The main issue is the thermoset plastic can't be  
37 remoulded due to it contains long fibres that refer to advanced recycling processes such as thermal processes or  
38 mechanical recycling. Regarding the manufacturing process, the recycling process of the thermoset may cost  
39 expensive. In the fact that, the vehicle contains a large variety of plastics caused by it requires a various process for  
40 recycling that leads to the formation of shredder residue. It conforms to the study of Gent et al., (2015) that focused  
41 on the automobile shredder residue of the end-of-life vehicle. In the UK, the shredded waste of plastic represented at  
42 9.4% - 16.8% by mass of total shredded scraps (Ambrose et al., 2000; Cossu *et al.*, 2014; Miller *et al.*, 2014).  
43 Therefore, the proper method on the plastic recycling process of the end-of-life rolling stock becomes significant in  
44 a role.

45 Regarding the four stages of the plastic recycling process, the primary stage, which involves reprocessing plastic  
46 into a product with similar properties with a raw plastic material. The second stage consists in downgrading the  
47 plastic into a product that uses lower property that a product from the primary stage. The third stage is when the  
48 polymer is de-polymerised into its chemical components and used to make other similar products. Finally, the  
49 energy can be recovered from the plastic waste by applying heat.

### 50 51 2.3.2. Glass

1 Glass is a reusable material and, used glass can be broken down into cullet and mixed with sand, soda, and  
2 limestone before it is sent to the production process. The raw materials require less than 10% of the all the energy  
3 obtained in glass production process (Kaewunruen, 2016) and the amount of CO<sub>2</sub> saved from the process is higher  
4 than the carbon dioxide emissions from the transportation of it in the process. Also, one advantage of glass recycling  
5 processes is represented by the association of the European rail industry (UNIFE), which shows in the MRF rate is  
6 66.7% and ERF rate is 33.3%, without any waste (UNIFE, 2013). In contrast, other sources have claimed that glass  
7 cannot be reused for any sources of energy; for example, many glass materials, such as windows, are made with  
8 fibre reinforced composites, which are difficult to make reusable (Sommerhuber, 2015; Guo *et al.*, 2018).

### 9 3. Methodology and Materials

#### 10 3.1 Methodology

11 The end-of-life rolling stocks cause large volume of wastes in the landfill not only in European countries but also  
12 countries around the world. There are various methods used to calculate the lifecycle performance. As can be found  
13 in, Delogu et al, 2017, Lee and et al., (2016), Song and Lee, (2010), Jeong and Lee, (2009), they focused on the  
14 value of GHG emission on electric railway vehicle which analysed from reuse, recycling, and recovery process.  
15 However, the study designed to adopt the method from ISO 22628 document (ISO22628:2002) as mentioned in  
16 section 2. It used to calculate the recyclability rate ( $R_{cyc}$ ), recovery rate ( $R_{cov}$ ), and mass of material in pre-treatment,  
17 dismantling, and shredding, as the percentage by mass. Also, the Fig.3 shows an overview of the end-of-life process  
18 of rolling stock material, which represents the value of  $m[P]_{Reuse}$ ,  $m[P]_R$ ,  $m[P]_E$ ,  $m[D]_{Reuse}$ ,  $m[D]_R$ ,  $m[D]_E$ ,  $m[S]_R$  and  
19  $m[S]_E$ . All equations are derived as shown in equation 1 to 7 below:

$$20 m[S]_R = \frac{\sum m[S]_{iR} \times (100 - ShLF)}{100} \quad (1)$$

$$21 m[S]_E = \frac{\sum m[S]_{iE} \times (100 - ShLF)}{100} \quad (2)$$

$$22 R_{cyc} \text{ (kg/kg)} = \frac{m[P]_{Reuse} + m[P]_{Ri} + m[D]_{Reusei} + m[D]_{Ri} + m[S]_{Ri}}{m_v} \quad (3)$$

$$23 R_{cov} \text{ (kg/kg)} = R_{cyc} \text{ (kg/kg)} + \frac{m[P]_{Ei} + m[D]_{Ei} + m[S]_{Ei}}{m_v} \quad (4)$$

$$24 R_{cyc} \text{ (%) } = \frac{\sum (m[P]_{Reusei} * MRF_{Reuse} + m[P]_{Ri} * MRF_{[P]_{Ri}} + m[D]_{Reusei} * MRF_{[D]_{Reusei}} + m[D]_{Ri} * MRF_{[D]_{Ri}} + m[S]_{Ri} * MRF_{[S]_{Ri}})}{m_v} \quad (5)$$

$$25 R_{cov} \text{ (%) } = R_{cyc} \text{ (%) } + \frac{\sum (m[P]_{Ei} * ERF_{[P]_{Ei}} + m[D]_{Ei} * ERF_{[D]_{Ei}} + m[S]_{Ei} * ERF_{[S]_{Ei}})}{m_v} \quad (6)$$

$$26 m_L = m_v - \frac{\sum \left( (m[P]_{Reuse} * MRF_{Reuse}) + (m[P]_{Ri} * MRF_{[P]_{Ri}}) + (m[P]_{Ei} * ERF_{[P]_{Ei}}) + (m[D]_{Reuse} * MRF_{Reuse}) \right.}{100} \quad (7)$$

27 where;

28  $R_{cyc} \text{ (%)}$  = vehicle recyclability by percentage [%];

29  $R_{cov} \text{ (%)}$  = vehicle recoverability by percentage [%];

30  $R_{cyc} \text{ (kg/kg)}$  = vehicle recyclability by kilograms [kg];

31  $R_{cov} \text{ (kg/kg)}$  = vehicle recoverability by kilograms [kg];

32  $m_v$  = total mass of rolling stock [kg];

33  $m_L$  = total mass of rolling stock remained to landfill [kg];

34  $m[P]_{Reuse}$  = total mass of materials which can be considered as reusable at pre-treatment step [kg];

35  $m[P]_R$  = mass of materials which can be considered as recyclable at pre-treatment step [kg];

36  $m[P]_E$  = mass of materials which can be considered as energy recoverable at pre-treatment step [kg];

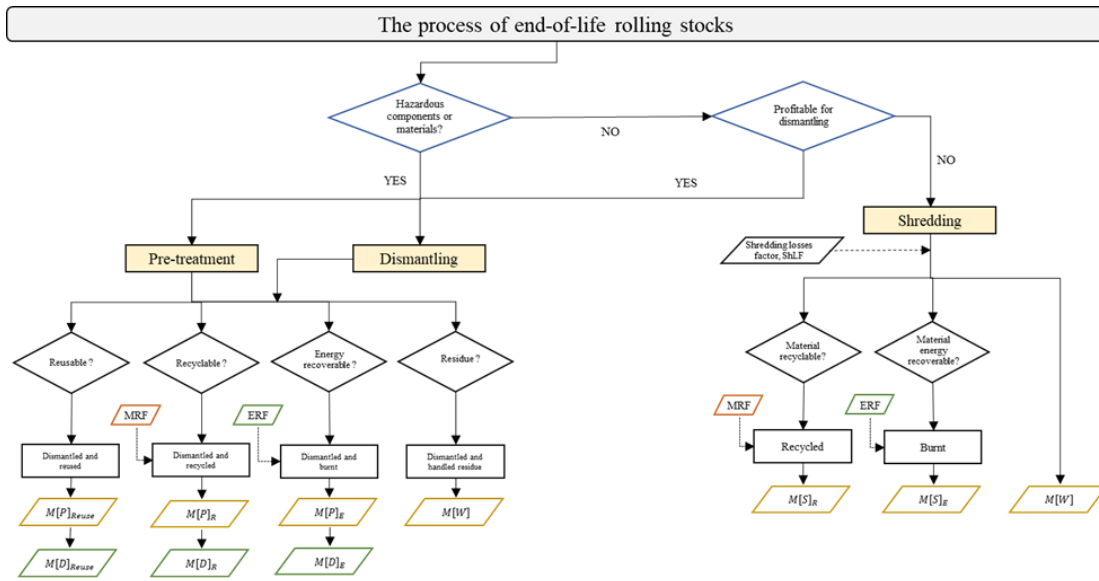
37  $m[D]_{Reuse}$  = total mass of materials which can be considered as reusable at dismantling step [kg];

38  $m[D]_R$  = mass of materials which can be considered as recyclable at dismantling step [kg];

39  $m[D]_E$  = mass of materials which can be considered as energy recoverable at dismantling step [kg];



1  $m[S]_R$  = mass of materials which can be considered as recyclable at shredding step [kg];  
 2  $m[S]_E$  = mass of materials which can be considered as energy recoverable at shredding step [kg];  
 3 ShLF = Shredding loss factor [%]  
 4 MRF = Mass recovery factor [%];  
 5 ERF = Energy recovery factor [%];  
 6  $i$  = material subscription;  
 7 Reuse, R, E, M,  $T_r$  = End-of-life treatments subscript.  
 8  
 9



10  
 11  
 12 Fig. 3: Three steps of the end-of-life treatment for rolling stock-flow chart adopted from ISO/TC 269/SC 2/WG 4  
 13

14 **3.2. Materials**

15 With respect to the end of a vehicle's life, it needs to be correctly disposed of for the further recovery. The  
 16 hazardous elements need to be separated out first before transferring to further stages. The materials extracted from  
 17 the rail vehicles are put into seven categories:

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- Metals;
  - Polymers, excluding elastomers – reinforced polymers, polymer compounds, all other undefined polymers, foam, sorted fractions, and duromers;
  - Elastomers (rubbers);
  - Glass;
  - Fluids – oils, lubricants, all chemical fluids;
  - Modified organic natural materials (MONM), such as leather, wood, cardboard and cotton fleece;
  - Other, including components and/or materials for which the material composition cannot be ascertained e.g. compounds, electronics and electrics.

29 These seven categories will be used to calculate the  $R_{cyc}$  and  $R_{cov}$  for the pre-treatment phase, dismantling phase  
 30 and shredding phase. First, the specific information (e.g. materials and components of rolling stocks) needs to be  
 31 listed. To comparing among the three types of rolling stocks, the masses of the rolling stock components can be

found from either individually finding the masses of the components of the rolling stock or multiplying the percent mass of the component by the total mass of the rolling stock. After that, both of the material recover facility (MRF) and the energy recover facility (ERF) values of each component on the rolling stock can be carried out as shown in equation 8 and 9.

$$\% \text{ MRF}_i = \frac{m[R]_i}{m[AR]_i} \times 100 \quad (8)$$

$$\% \text{ ERF}_i = \frac{m[E]_i}{m[AE]_i} \times 100 \quad (9)$$

where;

m[R] = Total amount of output of material for recycling process [kg];

m[AR] = Total amount of material available for recyclable [kg];

m[E] = Total amount of output of material for recovering process [kg];

m[AE] = Total amount of material available for energy recoverable [kg];

*i* = The material set which it belongs to.

The generic values of MRF and ERF were collected from numerous industrial companies, commercial available data and professional organizations, and they were used to determine life cycle of the waste materials. The MRF and ERF values can precisely measure the efficiency of a recycling and recovery rates. As shown in table 1, the results of MRF (%) and ERF (%) of each material identify generic values between the recycling or recovery processes; for instance, metal has an MRF rate of 94%, which means it is proper to apply the  $R_{cyc}$  rather than  $R_{cov}$ . Nevertheless, there are slight differences between practical and theoretical results; for example, safety glass and glass show a 100% residue rate from based on a few sources, such as Lawrence (2003), ISO TC-267 (2015), and Silva and Kaewunruen (2016) stated that they could be recycled up to 100% (Silva and Kaewunruen, 2016). However, the results from industrial processes found an only 90% recoverable rate. The difference can be explained as window glass is made out of soda-silica and contains other non-reusable materials, which causes the industry rate to appear lower than the theoretical rate.

Table 1: The summarization of MRF and ERF values of material adapted from British Standards Policy and Strategy (Delogu et al., 2017; International Environmental Product Declaration (EPD) System, 2013; British Standards Policy and Strategy, 2002; ISO 22628, 2002)

Material categories	Mass Recovery Factors (%)	Energy Recovery Factors (%)
<b>Pre-treatment Phase</b>		
Acids, similar non-organic	85	0
Oil and greases	0	100
Electrics, electronics	79	19
<b>Dismantling Phase</b>		
Metal (Ferrous)	94 – 98	0
Metal (Non-ferrous)	94 – 98	0
Elastomers	80	20
Polymer (unfilled)	90-100	1
Polymer (Reinforced)	66.7	33.3
Glass	90 – 100	0
Safety glass	90 – 94	0
Ceramics	43	0
Mineral wool	97	0
MONM	95	5
Wood	95	5

Electric and electronics	79	19
<b>Shredding Phase</b>		
Mixed materials	14	19

In the practical side of rolling stock recycling, the three major phases consist of pre-treatment, dismantling and shredding phases. Firstly, the pre-treatment process aims to separate toxic, liquid and harmful gases from the materials, preventing an unexpected accident affecting humans during recycling and recovering process. Wasted rolling stocks are usually contaminated with various fluids, such as batteries, brake fluid, gear oil, antifreeze and other materials containing hazardous substances. Thus, these fluids must carefully be discharged before sending to the next stages. By following this stage, the values of  $m[P]_{Reuse}$ ,  $m[P]_R$  and  $m[P]_E$  can be carried out. Secondly, the dismantling stage separates some useful materials such as windows, seats, floor, cables, and electronics parts to be reused. Moreover, some components can be removed for specific requirements such as economic feasibility, safety recycling process and suitability of technology. This stage can be figured out the  $m[D]_{Reuse}$ ,  $m[D]_R$  and  $m[D]_E$  values. Finally, the remaining materials or parts are directly forwarded to the shredding process, which is separation and recovery stage of metal materials and small parts. The magnetic properties and eddy current separators are applied on this process for sorting (Silva and Kaewunruen, 2016). And, large materials are grinded and milled before being forwarded to further stages. The values of  $m[S]_R$  and  $m[S]_E$  are provided.

### 3.3. Types of Rolling Stocks

#### 3.3.1. Freight Trains

Freight trains usually transport materials and goods and they are much more economically efficient when transporting goods in bulk over long distances compared to transporting freight on the road. By carrying the optimal volume of goods over long distances, the freight trains are competitive than other transportation. Growing in the rail network increased the role of the freight movement as shown in the freight's market share had been risen from 5% to 9% during 1995-2012 (European Commission, 2011; DfT, 2015; Woodburn, 2017)

A freight train set is split into two sections (locomotives and freight) and the treatment process of the freight section of the rolling stocks seems easier than passenger train and HSR. Moreover, 80% of the total mass is composed of steel and cast iron, which causing the freight railcar to have a high value of  $R_{cyc}$ . Calculating the  $R_{cyc}$  and  $R_{cov}$  of the rolling stocks, the equations 1 and 2 are applied respectively. Various important factors like  $m[P]_R$ ,  $m[P]_E$ ,  $m[D]_R$ ,  $m[S]_R$ , and  $m[S]_E$  should be used for the component breakdown of freight rolling stocks components, materials, and percentage values should be adopted from the recycling of rolling stock (Matsuoka, 2003; Silva and Kaewunruen, 2016), as shown in table 2. The results indicate that the majority of materials from freight trains can be recycled and recovered for energy.

#### 3.3.2. Passenger Trains

The recycling process of passenger rolling stock is more complicated than for freight rolling stock because not only are the structures of commuter trains composed of various materials, but their structures are also separated into multiple units. Passenger trains contain self-powered railcars or locomotives and trailers or coaches (Kaewunruen, 2016; Lee *et al.*, 2010).

Moreover, the components of these rolling stocks are mostly different from freight trains, especially the composite materials. The rolling stocks's body is composed of carbon fibre reinforce plastic (CFRP) or glass fibre reinforced polymers, which are not economically efficient technologies to recycle. The composite material such as lightweight alloys applied on car body aiming to reduce the GHG emission (Rezaei et al, 2007). The report also found that the global CFRP market was expected to increase over 35% as its benefits on the environment.

In fact, the majority component of the rolling stock is made out of aluminium, but its dimension and shape at the end-of-life are incompatible with the recycling process. Both MRF and ERF rates are multiplied by the weight of each component. Therefore, the values of the pre-treatment ( $m[P]_R$ ,  $m[P]_E$ ), dismantling ( $m[D]_R$ ,  $m[D]_E$ ), and shredding ( $m[S]_R$ ,  $m[S]_E$ ) stages will be calculated throughout the equation 1 and 2 for finding the value of  $R_{cyc}$  and

1  $R_{cov}$ .

### 3 3.3.3. High-Speed Rail (HSR)

4 HSR is designed to support high velocities above 250 km/h and, it is operated on specific tracks. Normally, HSR  
5 is the most efficient rolling stocks type for supporting customers' requirements regarding increasing conveniences,  
6 saving travel time, and enabling new areas when the distance for a trip is in the range of 300 to 1,000 km (Kojima  
7 and et al., 2017; MLIT, 2017). As they are supporting high velocities, mostly components of HSR are made with  
8 lighter materials such as silicon carbide and polypropylene for minimizing drag forces as can be seen in Fig. 6. For  
9 example, the HSR's car body is made out of mixed aluminum and steel rather than pure steel as the freight train's  
10 car body as can be seen in Table 7.

### 11 3.4 Future development on train compositions

12 As follow various aspects to enhance the railway system, to changing on material composition and adding  
13 innovations has been interested. Cost and energy saving, lightweight, high stress, and high modulus were essential  
14 key ideas to replace new materials.

15 Carbon fibre reinforced plastic (CFRP) has been suggested to replace on rolling stocks structures, e.g. door  
16 and rolling stocks body. Reducing body weight, high strength, corrosion resistance and vibration resistance are  
17 strong points making CFRP over steel and aluminium. CFRP is widely used in transportation industries (i.e. electric  
18 vehicle, aircraft) since 2012 and expected to cover 200,000 tons per year in 2020 (Dauguet and et al. 2015; Khalil,  
19 2017). The reason is the feature of CFRP in broad containing high strength, durability, high stiffness, fatigue  
20 resistance, and able to conform to different shapes (Khalil, 2017). Moreover, the CFRP can also apply to other  
21 materials such as AlMg<sub>3</sub>, which can be found in the existing rolling stocks model and leads to reducing total weight  
22 and using less energy. Also, the study on the replacement of CFRP in rail car body in Korean Tilting Train express  
23 (TTX), which found that the energy cost was reduced as a reduction in rail carriage weight (Castella et al., 2009).

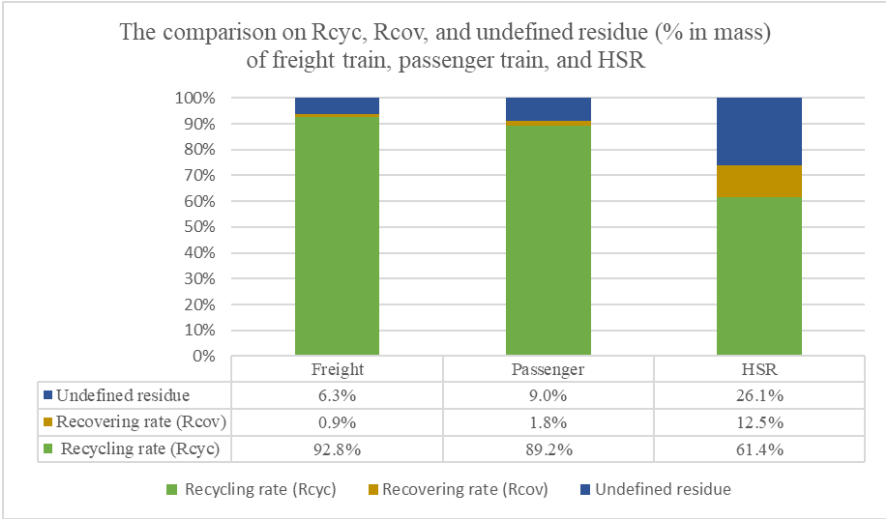
24 In term of end-of-life CFRP, the complexity of CFRP structure makes complicated recycling process than other  
25 materials. Therefore, the pyrolysis method, which is one of a thermal treatment process, is highly suggested to apply  
26 to the CFRP recycling process for splitting carbon fibre out of the waste (Das, 2011; Khalil, 2017). The carbon fibre  
27 can be used as raw material for enhancing the hardness of new products.  
28  
29

## 30 4. Results and Discussions

### 31 4.1. Recyclability and Recoverability Rate of Rolling Stock

32 This research has analysed in depth the recyclability rate ( $R_{cyc}$ ) and recoverability rates ( $R_{cov}$ ) of three types of  
33 rolling stock (freight, passenger, HSR) based on calculations related to their components, via equations 1 and 2.  
34 Almost all the results for calculating recovery rates are within the range of recovery rates that are claimed by  
35 industries. For instance, the car bodies of freight trains are reported to be 90-98% recoverable (Silva and  
36 Keawunruen, 2017; UNEP and Reuter, 2013; Lee *et al.*, 2010; Lawrence, 2003), and the calculation result in this  
37 study shows at 93.7%, which is also value in range of the industries data. Nonetheless, various components of  
38 rolling stock (i.e., fuel tanks, air reservoirs, motors and batteries) fluctuate from industries' data. The recovery rate  
39 of a fuel tank on a freight train, for example, was found to be only 33%, whereas industries claim to have data that  
40 shows that around 80%-98% of fuel tanks can be recovered (UNIFE, 2013). The reason for the difference is that  
41 components were combined with alloys, which are not comfortable to recover. Also, these need to be shred into  
42 small pieces before being transferred to the recovering process. Thus, it would not be worthwhile to waste time and  
43 cost on expanding the operation. In contrast, the calculation of the recovery rate of batteries was 98%, whereas the  
44 industry data claims that they are 50-70% recoverable (Silva and Kaewunruen, 2017). The reason is that batteries  
45  
46

1 could be recharged and mostly reused if they are in excellent condition. To compare the results of  $R_{cyc}$  and  $R_{cov}$   
 2 between the three types of rolling stocks, table 2 provides a summary of results, with fundamental information as  
 3 follows;  
 4



5  
 6 Fig. 4: The comparison on  $R_{cyc}$ ,  $R_{cov}$ , and undefined residue (% in mass) of freight train, passenger train and HSR

7  
 8  
 9 Table 2: Summary of total weight of rolling stocks (kg), recyclability rate (%) and recoverability rate (%) comparing with three types of rolling  
 10 stocks

Type of rolling stocks	Results					
	Total weight (kg)	Total waste (kg)	Fraction of total waste and total weight	Recyclability rate	Energy recovery rate	Recoverability rate
Freight train	8,000,000	520,192	6.5%	92.8%	0.9%	93.7%
Passenger train	168,373.5	15,661.9	9.3%	89.2%	1.8%	91%
HSR	265,000	88,201.1	33.3%	61.4%	12.5%	73.9%

11  
 12 Firstly, freight rolling stock have a greater total weight at approximately 8,000,000 kg (17,636,981 lb), which is  
 13 approximately 30 times heavier than HSR trains. Freight trains’ structures have been designed to support their  
 14 capacity to carry more goods and heavy loads; thus, the majority of components of a freight train are made out of  
 15 metal like steel. Based on table 1, steel represents an MRF value at 94%, ERF value at 0% and a residue at 6%,  
 16 which means the majority of components can be recycled and turned into their original forms. Also, another source  
 17 shows that the MFR value is 98% with a 2% residue (UNIFE, 2013). As shown in the calculation results of this  
 18 research, the energy recovery rate was only 0.9%; in other words, it is not being properly used as an energy source.  
 19 Moreover, the fraction of total waste and weight was only 6.5%, which means that the remaining parts can be  
 20 recycled.

21 Secondly, passenger trains represented a total waste of 15,661.9 kg (34,528.6 lb) or 9.3% of the total mass and  
 22 their  $R_{cov}$  was 92%, as the majority of components, such as the car body, were made out of aluminium and steel. On  
 23 the other hand, the energy recovery rate was found to be 1.8%, and the  $R_{cyc}$  was high at 89.2%. Owing to the  
 24 passenger trains consisting of many items aimed at supporting passengers, such as seats, doors and panel  
 25 components the recovery process is distinctly complicated than for freight trains.

26 Finally, HSR rolling stock showed the highest value of total waste at 33.3%, compared to the other rolling  
 27 stocks. The recyclability rate was only 61.4% due to some parts of the HSR were made out of composite materials  
 28 and other lightweight materials, which were not properly recycled as heavy scrap materials. On the other hand, those

1 lightweight materials were suitable for energy recovery process regarding the manufacturing profitability. The  
 2 HSR's end-of-life rolling stock showed the highest energy recovery rate among three type of the end-of-life rolling  
 3 stock at 12.5%; in other words, some parts can be burned down and turned into energy. With regarding to some  
 4 components compose with two or more materials, some parts are possible to loss their mass during the pre-  
 5 treatment, recycling and recovering processes. Therefore, the MRF and ERF values of those components are lower  
 6 than the passenger and freight train. As conform to the generic values of mixed materials, it contained an MRF value  
 7 at 14% and ERF value at 19%. The  $R_{cov}$  of the HSR's end-of-life rolling stock was the lowest value out of other end-  
 8 of-life rolling stocks, due to the majority of the HSR components being mixed with materials and polymers.

#### 9 10 4.2. Net Present Value of Rolling Stock

11  
12 The rolling stocks become crucial assets for railway organisation, which take the majority cost of investment.  
 13 This study needs to evaluate the value of rolling stock along its lifetime during operation until the end-of-life time.  
 14 The study can provide genuine information about cost of whole life rolling stocks that is directly benefit for railway  
 15 companies and automotive manufacturers. The net present value (NPV), which is an essential factor widely used to  
 16 identify the real value of a projected investment, is applied on this study to estimate the value of the end-of-life  
 17 rolling stocks. The NPV result shows the present values (PV) of a project when it is operated over a period of time  
 18 and the outcomes can be represented by positive and negative values. The positive values mean the project will be  
 19 profitable; otherwise it is a non-profitable project (Martin, 1996; de Rus, 2009).

$$20 \quad NPV = \sum_{t=0}^N \frac{C_t}{(1+k)^t} \quad (10)$$

21 Where;

22  $C_t$  = net cash flow during the period;

23  $k$  = discount rate;

24  $t$  = year

25  $N$  = number of time periods

26  
27 The initial investment on rolling stock can be differed depending on the design requirements, number of  
 28 ordered, suppliers, and type of rolling stocks (Railway Technical, 2016). The research found that the price of new  
 29 locomotive was in range \$2 million (£1,480,847.2) and \$6 million (£4,442,671.4). Regarding with the calculation in  
 30 this study, the average cost of single rolling stocks was taken from various suppliers such as Voith, Siemens,  
 31 Hyundai, and Bombardier that sold their products along with worldwide railway projects. The initial cost of freight  
 32 rolling stock is taken from the single rolling stock of diesel multiple unit (DMU) rolling stocks powered whereas,  
 33 the passenger rolling stock is based on the single rolling stock of electrical multiple unit (EMU) rolling stocks  
 34 powered. Regarding the design of HSR rolling stocks, the study from Maout and Kato (2016) showed the multiple  
 35 model of rolling stock for HSR such as ICE1&2, Mini-shinkansen, future KTX, AGV2, Doule-decker wide  
 36 ICE1&2, and Wide TGV, which were differ in number of seats, body width, body length, and wheelbase. Therefore,  
 37 this study takes an average price from the 350 seats capacity HSR that has been estimated to cost \$17,849,000  
 38 (£13,181,524.4) (Levinson *et al.*, 1997; Kanafani, Wang and Griffin, 2012).

39 Regarding the passenger rolling stock, the annual cost could up to £2,580 per seat (Transport Watch UK, 2011)  
 40 and, the average seat on one carriage is 75 seats so, the cash flow of single carriage of passenger train showed at  
 41 £193,500 per year. And, the annual cost of the freight rolling stock had been estimated at £110,570 (European  
 42 commission, 2015). In term of the HSR, the annual acquisition of the HSR could be up to £57,036.7 per seat (de  
 43 Rus, 2009; Maout and Kato, 2016) and, the minimum seats for the second class of HSR showed around 60 seats per  
 44 rolling stock (Maout and Kato, 2016) caused by the cash flow of single rolling stock of HSR represented at  
 45 £3,422,160 per year.

46 The cash flow and relating to these rolling stocks will calculated at 30 years, which is the average life of rolling  
 47 stock in services. Besides, a discount rate parameter is used to evaluate the costs and benefits over the period.

1 Various discount rate parameters were varied in the range of 0% to 10% ( $i=0\%, 2.5\%, 5\%, 7.5\%, 10\%$ ). The reasons  
 2 for this were that a single discount is not appropriate within a fluctuating market (Tiwari, 1994), such as the one  
 3 railway companies are in, and the different aspects through discount rate have been widely manifested. Moreover,  
 4 the cost-effectiveness analysis suggests differential discount rates (O'Mahony *et al.*, 2011) that have found on the  
 5 study of sensitivity analysis, Martin, 1996; Worrell, Ramesohl and Boyd, 2004; Pizer and Popp, 2008; Fleiter,  
 6 Worrell and Eichhammer, 2011; Limon and Crozet, 2017. In other words, the different discount rate can reduce the  
 7 error during estimation that refers to overestimation or underestimation results.

8 After doing calculations using equation 10, the results illustrate that HSR gains maximum NPV at all discount  
 9 rates as can be seen in Table 8. Based on the initial investment in HSR trains, the results show a moderate level  
 10 when compared with other rolling stocks; moreover, the higher prices for HSR ticket mean that every year its cash  
 11 flow easily covers investments and operating costs, as shown in fig. 5. Regarding the freight and passenger trains,  
 12 the sensitivity analysis on the end-of-life of single rolling stock indicates that the passenger train able to gain profit  
 13 at all discount rate but, the freight train is predicted to lack profits at 10% discount rate as shown the NPV at -£  
 14 11,666.07. This study aims at point out the sensitivity analysis along different discount rates, which is benefit for  
 15 operators and investors concerning the values of wasted rolling stock. However, this study takes average costs of  
 16 rolling stocks and incomes and, there are also factors relating to the NPV value (i.e., maintenance cost, ticket cost)  
 17 excluding this study. Therefore, the NPV values of the end-of-life rolling stock can be differed depending on each  
 18 railway operator's conditions.

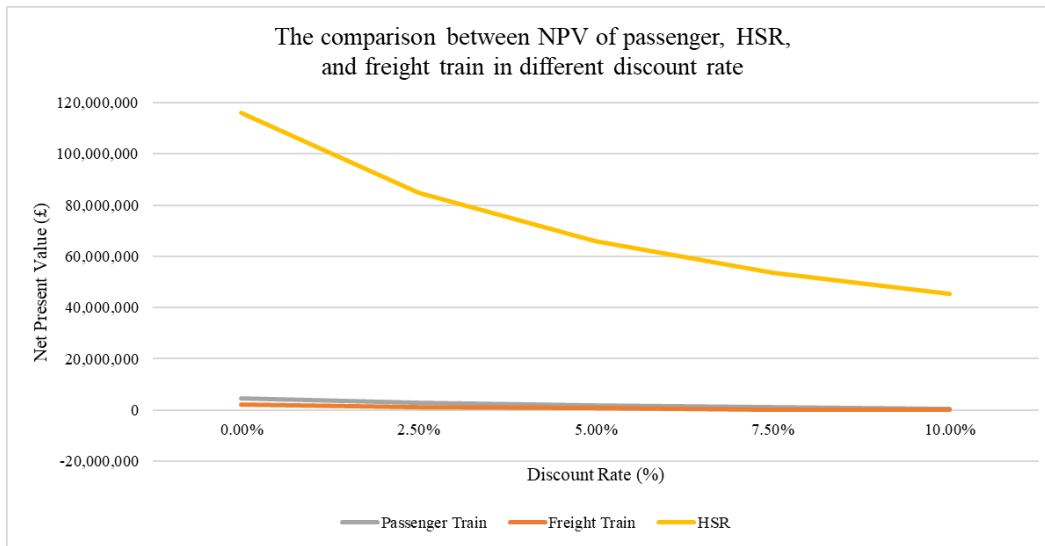


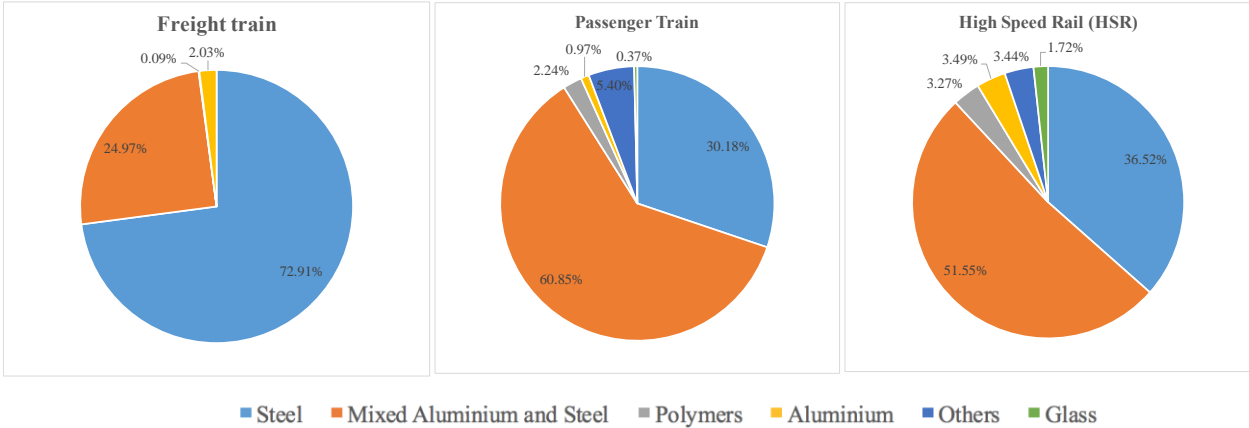
Fig. 5: The comparison between NPV of passenger trains, HSR and freight trains at different discount rates

Table 3: The summary of NPV of passenger trains, HSR and freight trains at different discount rates

Discount Rate	Freight Train	Passenger Train	HSR
10.00%	-11,666.10	574,108.00	45,441,934.00
7.50%	251,874.40	1,035,309.70	53,598,555.90
5.00%	645,731.90	1,724,569.30	65,788,511.40
2.50%	1,260,262.50	2,800,011.60	84,808,334.50
0.00%	2,263,100.00	4,555,000.00	115,846,324.00

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4.3. An influential component of rolling stocks



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Fig. 6: The comparison between influential of passenger trains, HSR and freight trains

With respect to the differentiation on the  $R_{cyc}$  and  $R_{cov}$  values, this section aims to illustrate on the components of each end-of-life rolling stock that directly effects on both amounts.

The majority components of end-of-life rolling stock are steel, and mixed steel and aluminium as shows in Fig. 6. The freight train mainly composes of steel about 73% and 25% of combined aluminium with steel parts that represents the  $R_{cyc}$  and  $R_{cov}$  values at 92.8% and 93.7% respectively. The passenger train mainly contains steel around 91% that shows the  $R_{cyc}$  at 89.2% and  $R_{cov}$  at 91%. Likewise, the result of HSR shows the  $R_{cyc}$  and  $R_{cov}$  rates at 61.4% and 73.9% respectively conforming to the mainly components as steels, which shows the MRF values at 94%.

In conclude, the components of rolling stocks are directly related to the  $R_{cyc}$  and  $R_{cov}$  values. It can be explained that there are specific rate of MRF and ERF on each material. For example, the metal (ferrous and non-ferrous) has MRF rate at 94%; in other words, a high MRF value refers to a high value of  $R_{cyc}$ .

22

4.4. The sensitivity analysis of MRF and ERF rates

23

As mentioned in section 4.3, the MRF and ERF rates are fundamental factors that directly effect on the  $R_{cov}$  and  $R_{cyc}$  values. The study takes the generic values of MRF and ERF from the British standard policy and strategy document that leads to evaluation the  $R_{cyc}$  and  $R_{cov}$  throughout the equation 1 and 2.

24

Regarding the sensitivity analysis of MRF and ERF values, the changing in the MRF and ERF rates vary at  $\pm 10\%$ . In the fact that, the MRF and ERF values can be differ depend on the potential of manufacture’s recycle and recovery processes. The benefit of this section is to indicate the result of sensitivity analysis, which provides the possible range of  $R_{cyc}$  and  $R_{cov}$  on individual materials.

25

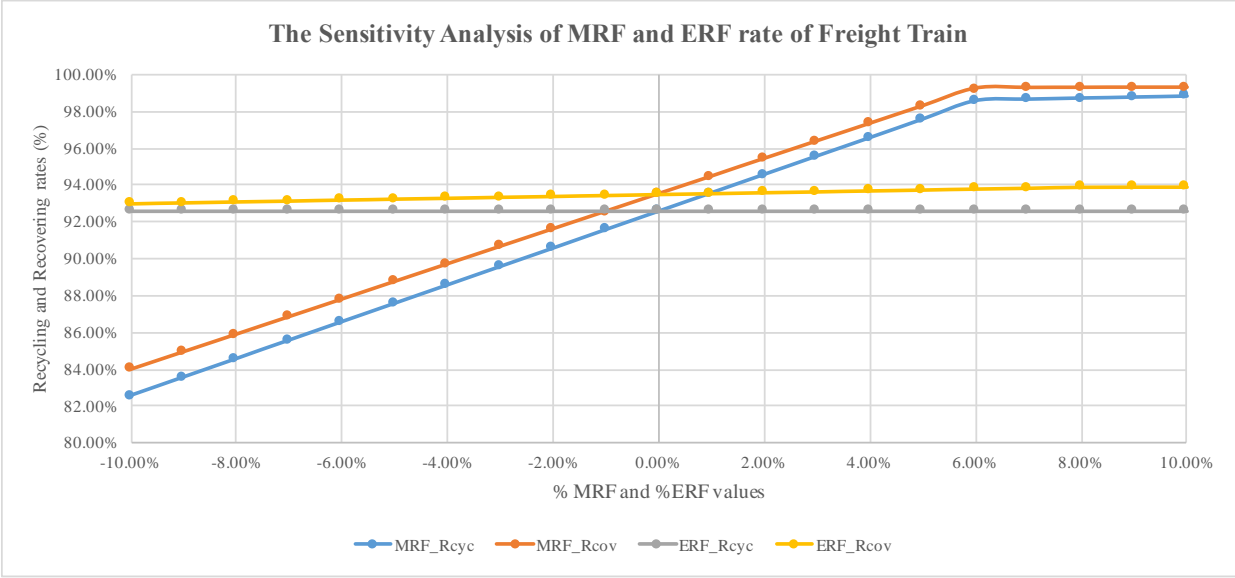
Firstly, changing in the MRF value directly effect on  $R_{cyc}$ ; thus, the  $R_{cov}$ , which is calculated from the  $R_{cyc}$  and energy recovery, must be varied. As shown in Fig. 7, 8 and 9, the "MRF\_ $R_{cyc}$ " (Blue line) and the "MRF\_ $R_{cov}$ " (Orange line) represent the trend of  $R_{cyc}$  and  $R_{cov}$  after converting MRF value within the range  $\pm 10\%$ . Those trends showed both lines increasingly harmonise because the changing on MRF value does not effect on  $R_{cov}$ .

26

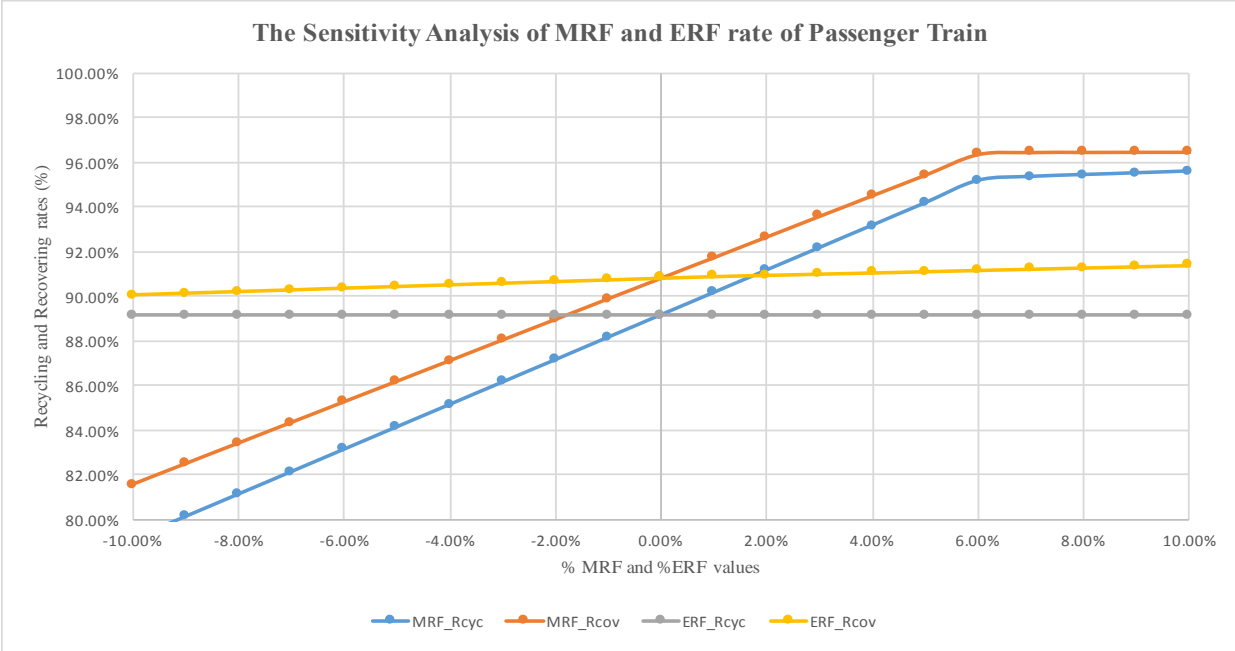
On the other hand, secondly, varying in the ERF value precisely changes on  $R_{cov}$  only. As shown in the



1 “ERF\_R<sub>cyc</sub>” (Grey line) and “ERF\_R<sub>cov</sub>” (Yellow line), the trend of “ERF\_R<sub>cyc</sub>” line is constant due to the R<sub>cyc</sub> does  
 2 not include any R<sub>cov</sub>. The distinctive point is the R<sub>cov</sub> value slightly differ from R<sub>cyc</sub> on the freight train and passenger  
 3 train but, it obviously changes on HSR. The main reason is because the HSR contains with high recoverable rate  
 4 materials that represent at R<sub>cov</sub> 12.5%. Therefore, the sensitivity analysis of ERF value on HSR shows obviously  
 5 different between R<sub>cyc</sub> and R<sub>cov</sub> lines.  
 6  
 7



8  
 9  
 10  
 11 Fig. 7: The sensitivity analysis of MRF and ERF rates of freight train



12  
 13  
 14  
 15 Fig. 8: The sensitivity analysis of MRF and ERF rates of passenger train

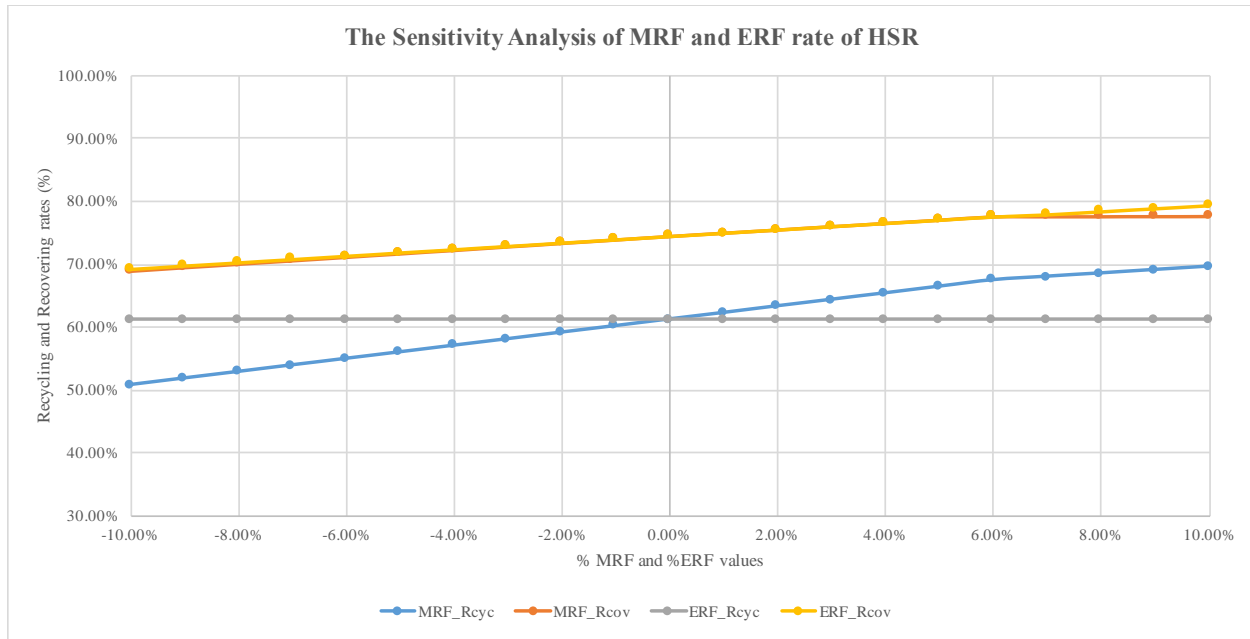


Fig. 9: The sensitivity analysis of MRF and ERF rates of HSR

## 5. Conclusion

In this day and age, railway has become essential transportation effecting on human life; however, the end-of-life rolling stock was turned into waste and left in landfill. As supported sustainable development, this research provides fundamental information about rolling stock and its main components and includes waste management processes like recycling and recovering. The methodology and component analysis illustrates that the properties of materials directly affect the  $R_{cyc}$  and  $R_{cov}$ . Therefore, waste management processes for rolling stock should strictly follow pre-treatment, dismantling, and shredding processes.

The outcomes are expected to reach high  $R_{cyc}$  and  $R_{cov}$ . After calculating on the end-of-life rolling stocks, the freight trains' yield shows at 92.8% on  $R_{cyc}$  and 93.7% on  $R_{cov}$ , which are the highest values out of other type of rolling stocks. The reason for this is that majority of components of freight rolling stock are kinds of recoverable materials, such as metals, which have high MRF rates. Passenger trains also has greater values for recoverability rates at 91.00%, whereas HSR has the lowest value only 73.9%. On the other hand, HSR has the highest energy recovery rate at 12.50%, due to its principal components being made out of polymers. These materials are applied on rolling stock to reduce drag force and support high velocity. Moreover, a clear characteristic of the polymer is that it is a flammable material, so it should be burnt down and transferred into energy form.

In line with other research aimed at making sustainable economics, this study focuses on the NPV of rolling stock after the end-of-life. The initial investment and the revenue per year represent in totally different values among three types of rolling stock. Regarding with uncertain cost of rolling stock and annual revenues, this study provides multiple discount rates to reduce overestimation (underestimation) value. The results show that HSR has a maximum NPV of around £45,441,934 at a 10.00% discount rate with calculations based on 30 years of rolling stock life in services. However, the NPV value can be differ depending on the conditions of the railway operators.

This study found that the majority of components on rolling stock can be reused and recycled. This not only saves energy but the amount of waste in landfill is also reduced. Therefore, having mandated uniform processes for manufacturers to reuse rolling stock would be an efficient method in European countries, instead of leaving waste in the landfills.

**6. Appendix A: Component Analysis of Components, Materials, and Percentage Value Adopted from Recycling of Rolling Stocks**

Table 5: Component Analysis of Freight Train Components, Materials, and Percentage Values.

Component of rolling stock	Type of material <sup>a</sup>	Weight (kg) <sup>b</sup>	m[P] <sub>Reuse</sub> (kg) <sup>c</sup>	m[P] <sub>R</sub> (kg)	m[P] <sub>E</sub> (kg)	m[D] <sub>R</sub> (kg)	m[D] <sub>E</sub> (kg)	m[S] <sub>R</sub> (kg)	m[S] <sub>E</sub> (kg)	R <sub>rec</sub> (%)	R <sub>cov</sub> (%)	Waste (kg)	Percentage % <sup>d</sup>
Freight train		8,000,000											
Diesel Engine	Cast Iron/ Aluminium Alloys	337,600		246,448	64,144					73	92	27,008	4.2
Main Alternator	Steel	16,800		15,624						93	93	1,176	0.2
Auxiliary/Alternator	Steel	11,200		10,528						94	94	672	0.1
Motor Blower	Cast Iron/ Aluminium Alloys/ Steel	14,400		2,016	2,736					14	33	9,504	0.2
Air Intakes	Steel/ Aluminium	8,800		8,272						94	94	528	0.1
Rectifier/ Inverters	Steel	39,200		36,848						94	94	2,352	0.5
Battery	PPE/PET or plastic coated steel	7,200		5,688	1,368					79	98	144	0.1
Traction Motor	Steel	56,000	52,640							94	94	3,360	0.7
Pinion/Gear	Steel	44,800		42,112						94	94	2,688	0.6
Fuel Tank	Steel/ Aluminium	28,000	3,920				5,320			14	33	18,480	0.4
Air Reservoirs	Steel/ Aluminium	7,200						1,008	1,296	14	32	4,896	0.1
Air Compressor	Aluminium	78,400				72,912				93	93	5,488	1
Drive Sharp	Aluminium Alloys	48,000		44,160						92	92	3,840	0.6
Gearbox	Steel	185,600	174,464							94	94	11,136	2.3
Radiator and Radiator Fan	Aluminium, Brass or Copper cores	1,600				1,504				94	94	96	0.02

<sup>a,b,c</sup> The data is taken from these research and manufacturing sources (Silva and Kaewunruen, 2017; Steel Recycling Institute, 2017; United Nation Environmental Programme, 2013; The Aluminum Association, 2018; Novelis Recycling UK, 2018; Popular Mechanics, 2010)

<sup>d</sup> The word 'percentage' in the above table refers to the component of rolling stock parts (kg) compared with total weight of the freight train (kg).

Table 5: Component Analysis of Freight Train Components, Materials, and Percentage Values (Cont.)

Component of rolling stock	Type of material <sup>a</sup>	Weight (kg) <sup>b</sup>	m[P] <sub>Reuse</sub> (kg) <sup>c</sup>	m[P] <sub>R</sub> (kg)	m[P] <sub>E</sub> (kg)	m[D] <sub>R</sub> (kg)	m[D] <sub>E</sub> (kg)	m[S] <sub>R</sub> (kg)	m[S] <sub>E</sub> (kg)	R <sub>cyc</sub> (%)	R <sub>cov</sub> (%)	Waste (kg)	Percentage % <sup>d</sup>
Turbo Changing	Cast Aluminium	8,800				28,272				94	94	528	0.1
Truck Frame	Steel plate/ Cast Steel	1,518,400						1,427,296		94	94	91,104	19
Wheel	Steel R7	1,601,600						1,505,504		94	94	96,096	20.0
Roof	Steel	168,800						158,672		94	94	10,128	2.1
Vacuum													0
Door	Aluminium/ Steel	42,400		39,856						94	94	2,544	0.5
Carboy	Steel	3,653,600						3,434,384		94	94	219,216	45.7
Sandbox	Cast Iron	42,400		39,432						93	93	2,968	0.5
Battery Box	CRCA sheet and rolled sections of carbon steel	14,400	13,536							94	94	864	0.2
Brake Control Unit	Aluminium/ Cast Iron/ Reinforced carbon	6,400	6,016							94	94	384	0.1
Brake Cylinder	Aluminium	27,200	12,784					12,784		94	94	1,632	0.3
Condenser	Copper brass, Aluminium or Stainless Steel	33,600				30,240				90	90	3,360	0.4
Total Waste (kg)			520,192										
Recyclability Rate of Train			$\frac{7,426,920}{8,000,000} \times 100\% = 92.8\%$ (1)										
Recoverability Rate of Train			$\frac{74,864}{8,000,000} \times 100\% = 0.94\% + 92.8\% = 93.7\%$ (2)										

Table 6: Component Analysis of Passenger Train Components, Materials, and Percentage Values.

Component of rolling stock	Type of material <sup>a</sup>	Weight (kg) <sup>b</sup>	$m[P]_{Reuse}$ (kg) <sup>c</sup>	$m[P]_R$ (kg)	$m[P]_E$ (kg)	$m[D]_R$ (kg)	$m[D]_E$ (kg)	$m[S]_R$ (kg)	$m[S]_E$ (kg)	$R_{cyc}$ (%)	$R_{cov}$ (%)	Waste (kg)	Percentage % <sup>d</sup>
Passenger Train (Electric Diesel)		168,373.5											
Wheels	Steel R7	22,696.7				21,334.9				94	94	1,361.8	13.5
Window	Glass	623				560.7				90	90	62.3	0.4
Roof	Aluminium/ Steel	7,122.2						6,694.9		94	94	427.3	4.2
Table	Polypropylene/ Polyethylene	370.4	88	88			144.8		18.5	47.5	52.5	175.9	0.2
Seat	Polypropylene/ Polyethylene	3,148.6	2,100				1,048.5			66.7	99	31.5	1.9
Door	Aluminium/ Steel	3,030.7				2,848.9				94	94	181.8	1.8
Battery Box	CRCA sheet and rolled sections of carbon steel	151.5				142.4				96	96	9.1	0.1
Pantograph	High-strength tubular steel or alloy frame; Alloy of carbon copper	67.3						63.3		94	94	4.1	0.1
Vacuum	-												0
Carboy/ tumblehome	Aluminium/ Steel	91,628.9						86,131.1		94	94	5,497.7	54.4
Brake Control Unit	Aluminium/ Steel	656.7						604		92	92	52.5	0.39
Condenser	Copper, brass, Aluminium or stainless steel	185.2						174.1		94	94	11.1	0.11
Compressor	Aluminium	370.4						344.5		93	93	25.9	0.22
Coupler	Steel or Composites	757.7						704.6		93	93	53	0.45
Gangway Bellows	Silicone-coated fabric	8,822.8						1,235.2	1,676.3	14	33	5,823	5.24

<sup>a,b,c</sup> The data is taken from these research and manufacturing sources (Silva and Kaewunruen, 2017; Steel Recycling Institute, 2017; United Nation Environmental Programme, 2013; The Aluminum Association, 2018; Novelis Recycling UK, 2018; Popular Mechanics, 2010).

<sup>d</sup> The word 'percentage' in the above table refers to the component of rolling stock parts (kg) compared with total weight of the passenger train (kg).

Table 6: Component Analysis of HSR Train Components, Materials, and Percentage Values. (Cont.)

Component of rolling stock	Type of material <sup>a</sup>	Weight (kg) <sup>b</sup>	m[P] <sub>Reuse</sub> (kg) <sup>c</sup>	m[P] <sub>R</sub> (kg)	m[P] <sub>E</sub> (kg)	m[D] <sub>R</sub> (kg)	m[D] <sub>E</sub> (kg)	m[S] <sub>R</sub> (kg)	m[S] <sub>E</sub> (kg)	R <sub>cyc</sub> (%)	R <sub>cov</sub> (%)	Waste (kg)	Percentage % <sup>d</sup>
Battery	Polypropylene/ Polyethylene	252.6		199.5	48					79	98	5.1	0.2
Generator	Magnetic steel and copper	218.9		205.8						94	94	13.1	0.1
Alternator	Steel	505.1		474.8						94	94	30.3	0.03
Converter	Silicon Carbide	134.7		121.2	1.4					90	91	12.1	0.1
Bogie Frame	Steel plate/ Cast Steel	12,611.2						11,728.4		93	93	882.8	7.5
Bogie Transom	Steel plate/ Cast Steel	5,674.2						5,333.7		94	94	340.5	3.4
Brake Cylinder	Aluminium	1,262.8		1,187.						94	94	75.8	0.8
Primary Suspension Coil	Steel	505.1		469.7						93	93	35.4	0.3
Motor Suspension Tube	Steel	471.4		443.2						94	94	28.3	0.3
Gear box	Steel	2,828.7		2,659						94	94	169.7	1.7
Motor	Steel	4,411.4		4,146.7						94	94	248.8	2.6
Secondary Suspension Air Bag	Textile-reinforced rubber	151.5		21.2	27.3					14	32	103	0.1
Total Waste (kg)	15,661.90												
Recyclability Rate of Train	$\frac{150,104.8}{168,373} \times 100\% = 89.2\% \quad (1)$												
Recoverability Rate of Train	$\frac{2,964.8}{168,373} \times 100\% = 1.8\% + 89.2\% = 91\% \quad (2)$												

Table 7: Component Analysis of HSR Train Components, Materials, and Percentage Values. (Kaewunruen, 2016)

Component of rolling stock	Type of material <sup>a</sup>	Weight (kg) <sup>b</sup>	m[P] <sub>Reuse</sub> (kg) <sup>c</sup>	m[P] <sub>R</sub> (kg)	m[P] <sub>E</sub> (kg)	m[D] <sub>R</sub> (kg)	m[D] <sub>E</sub> (kg)	m[S] <sub>R</sub> (kg)	m[S] <sub>E</sub> (kg)	R <sub>cyc</sub> (%)	R <sub>cov</sub> (%)	Waste (kg)	Percentage % <sup>d</sup>
High Speed Rail		265,000											
Wheels	Steel R7	44,069.5				41,425.3				94	94	2,644.2	16.7
Window	Glass	4,902.5	4,412.3							90	94	490.3	1.9
Roof	Aluminium/ Steel	14,071.5						1,970	2,673.5	14	33	9,287.2	5.3
Table	Polypropylene/ Polyethylene / Composites	742	704.9		37.1					67	98	0	0.3
Vacuum	-												0
Seat	Polypropylene/ Polyethylene	7,950	5,302.7		2,623.5					67	98	79.5	3
Door	Aluminium/ Steel	7,340.5				6,900				94	94	440	2.7
Battery Box	CRCA sheet and rolled sections of carbon steel / Composites	318	149.5			149.5				94	94	19.1	0.1
Pantograph	High Strength tubular steel or alloy frame	2,438	2,291.7							94	94	137.5	0.9
	Alloy of carbon copper												
Main Transformer	Steel/ Aluminium	1,961		274.5	372.6					11	31	1,294.3	0.7
Thyristor Controlled Rectifier	Silicon Steel	238.5		33.4	45.3					13	32	157.4	0.1
Traction Inverters	Aluminium	1,590	1,431							90	90	159	0.6
Synchronous AC Traction Motor	Steel	4,902.5	4,607.9							94	94	294.1	1.9
Mechanical Transmission	Aluminium Alloys/ Steel	2,438		341.3	463.2					14	33	1,609.1	0.9
Impact Absorption Block	Aluminium	5,644.5				5,080.1				90	90	564.5	2.1

<sup>a,b,c</sup> The data is taken from these research and manufacturing sources (Silva and Kaewunruen, 2017; Steel Recycling Institute, 2017; United Nation Environmental Programme, 2013; The Aluminum Association, 2018; Novelis Recycling UK, 2018; Popular Mechanics, 2010)

<sup>d</sup> The word 'percentage' in the above table refers to the component of rolling stock parts (kg) compared with total weight of the HSR.

Table 7: Component Analysis of HSR Train Component, Material, and Percentage Values (Cont.)

Component of rolling stock	Type of material <sup>a</sup>	Weight (kg) <sup>b</sup>	m[P] <sub>Reuse</sub> (kg) <sup>c</sup>	m[P] <sub>R</sub> (kg)	m[P] <sub>E</sub> (kg)	m[D] <sub>R</sub> (kg)	m[D] <sub>E</sub> (kg)	m[S] <sub>R</sub> (kg)	m[S] <sub>E</sub> (kg)	R <sub>cyc</sub> (%)	R <sub>cov</sub> (%)	Waste (kg)	Percentage % <sup>d</sup>
Carboy/ Tumblehome	Aluminium/ Steel / Composites	20,749.5						19,504.5		94	94	12,45	7.8
Brake Control Unit	Aluminium/ Steel / Composites	97,944						14,691.6	18,609.4	15	36	64,643	37
Condenser	Copper, Brass, Aluminium or Stainless Steel	874.5						839.5		96	96	35	0.3
Compressor	Aluminium	212				167.5	40.3			79	98	4.3	0.1
Signalling Antennas	Aluminium	26.5	24.9							94	94	1.6	0.01
Coupler	Steel or Composites	2,146.5				1,996.2				93	93	139.7	0.8
Gangway Bellows	Silicon-coated fabric	8,559.5							7,703.6	0	90	856	3.2
Battery	Polypropylene, polyethylene or plastic-coated steel	609.5		481.5	115.8					79	98	12.2	0.2
Braking Rheostat/ Dynamic Brake	Aluminium/ Steel	1,139.5				159.5	114			14	24	866	0.4
Common Block/ DC circuit breaker and the main filter capacitor	Insulation sheet, bimetallic, strip, silver point, ceramic RFI/ EMI suppression capacitors/ Composites	238.5	102.6							43	43	136	0.1
Generator	Magnetic steel and copper	1,457	1,151	175.7						79	91	29	0.6
Alternator	Steel/ Composites	397.5		314	75.5					79	98	8	0.2
Converter	Silicon Carbide	662.5		523.4	125.9					79	98	13.3	0.3
Bogie Frame	Steel plate/ Cast steel/ Composites	22,048						20,725.1		94	94	1,322.9	8.3
Bogie Transom	Steel plate/ Cast steel/ Composites	9,805						9,216.7		94	94	588.3	3.7



Table 7: Component Analysis of HSR Train Component, Material, and Percentage Values. (Cont.)

Component of rolling stock	Type of material <sup>a</sup>	Weight (kg) <sup>b</sup>	m[P] <sub>Reuse</sub> (kg) <sup>c</sup>	m[P] <sub>R</sub> (kg)	m[P] <sub>E</sub> (kg)	m[D] <sub>R</sub> (kg)	m[D] <sub>E</sub> (kg)	m[S] <sub>R</sub> (kg)	m[S] <sub>E</sub> (kg)	R <sub>cyc</sub> (%)	R <sub>cov</sub> (%)	Waste (kg)	Percentage % <sup>d</sup>
Brake Cylinder	Aluminium	2,438						2,291.7		94	94	146.3	1
Primary Suspension Coil	Steel	980.5						921.7		94	94	58.8	0.4
Motor Suspension Coil	Steel	927.5						871.9		94	94	55.7	0.4
Gearbox	Steel	5,512				5,181.3				94	94	330.7	2.1
Motor Suspension Coil	Steel	8,559.5				8,045.9				94	94	513.6	3.2
Secondary Suspension Air Bag	Textile reinforced Rubber	318				298.9				94	94	19.1	0.1
Total Waste (kg)	88,201.1												
Recyclability Rate of Train	$\frac{162,759}{265,000} \times 100\% = 61.4\% \quad (1)$												
Recoverability Rate of Train	$\frac{32,999.67}{265,000} \times 100\% = 12.5\% + 61.4\% = 73.9\% \quad (2)$												

Table 8: The summarisation of net present value (NPV) of freight train, passenger train and HSR during 30 years of operation

Year/ Discount rate	Freight Train (£)					Passenger Train (£)					HSR (£)				
	0.0%	2.5%	5.0%	7.5%	10.0%	0.0%	2.5%	5.0%	7.5%	10.0%	0.0%	2.5%	5.0%	7.5%	10.0%
0	-1,054,000.0	-1,054,000.0	-1,054,000.0	-1,054,000.0	-1,054,000.0	-1,250,000.0	-1,250,000.0	-1,250,000.0	-1,250,000.0	-1,250,000.0	13,181,524.4	13,181,524.4	13,181,524.4	13,181,524.4	13,181,524.4
1	110,570.0	107,873.2	105,304.8	102,855.8	100,518.2	193,500.0	188,780.5	184,285.7	180,000.0	175,909.1	3,422,160.0	3,338,692.7	3,259,200.0	3,183,404.7	3,111,054.5
2	110,570.0	105,242.1	100,290.2	95,679.8	91,380.2	193,500.0	184,176.1	175,510.2	167,441.9	159,917.4	3,422,160.0	3,257,261.2	3,104,000.0	2,961,306.7	2,828,231.4
3	110,570.0	102,675.2	95,514.5	89,004.5	83,072.9	193,500.0	179,684.0	167,152.6	155,759.9	145,379.4	3,422,160.0	3,177,815.8	2,956,190.5	2,754,703.9	2,571,119.5
4	110,570.0	100,171.0	90,966.2	82,794.9	75,520.8	193,500.0	175,301.4	159,192.9	144,892.9	132,163.1	3,422,160.0	3,100,308.1	2,815,419.5	2,562,515.2	2,337,381.3
5	110,570.0	97,727.8	86,634.5	77,018.5	68,655.3	193,500.0	171,025.8	151,612.3	134,784.1	120,148.3	3,422,160.0	3,024,690.8	2,681,351.9	2,383,735.1	2,124,892.1
6	110,570.0	95,344.2	82,509.0	71,645.1	62,413.9	193,500.0	166,854.4	144,392.7	125,380.6	109,225.7	3,422,160.0	2,950,917.8	2,553,668.5	2,217,428.0	1,931,720.1
7	110,570.0	93,018.7	78,580.0	66,646.6	56,739.9	193,500.0	162,784.8	137,516.8	116,633.1	99,296.1	3,422,160.0	2,878,944.2	2,432,065.2	2,062,723.7	1,756,109.2
8	110,570.0	90,749.9	74,838.1	61,996.8	51,581.7	193,500.0	158,814.5	130,968.4	108,495.9	90,269.2	3,422,160.0	2,808,726.1	2,316,252.6	1,918,812.8	1,596,462.9
9	110,570.0	88,536.5	71,274.4	57,671.5	46,892.5	193,500.0	154,940.9	124,731.8	100,926.4	82,062.9	3,422,160.0	2,740,220.6	2,205,954.8	1,784,942.1	1,451,329.9
10	110,570.0	86,377.1	67,880.4	53,647.9	42,629.5	193,500.0	151,161.9	118,792.2	93,885.0	74,602.6	3,422,160.0	2,673,385.9	2,100,909.4	1,660,411.3	1,319,390.8
11	110,570.0	84,270.3	64,648.0	49,905.0	38,754.1	193,500.0	147,475.0	113,135.4	87,334.9	67,820.6	3,422,160.0	2,608,181.4	2,000,866.1	1,544,568.6	1,199,446.2
12	110,570.0	82,215.0	61,569.5	46,423.3	35,231.0	193,500.0	143,878.1	107,748.0	81,241.8	61,655.1	3,422,160.0	2,544,567.2	1,905,586.7	1,436,808.0	1,090,405.6
13	110,570.0	80,209.7	58,637.6	43,184.4	32,028.2	193,500.0	140,368.8	102,617.2	75,573.7	56,050.1	3,422,160.0	2,482,504.6	1,814,844.5	1,336,565.6	991,277.9
14	110,570.0	78,253.4	55,845.4	40,171.6	29,116.5	193,500.0	136,945.2	97,730.6	70,301.2	50,954.6	3,422,160.0	2,421,955.7	1,728,423.3	1,243,316.8	901,161.7
15	110,570.0	76,344.8	53,186.1	37,368.9	26,469.6	193,500.0	133,605.1	93,076.8	65,396.4	46,322.4	3,422,160.0	2,362,883.6	1,646,117.5	1,156,573.8	819,237.9
16	110,570.0	74,482.7	50,653.4	34,761.8	24,063.3	193,500.0	130,346.4	88,644.6	60,833.9	42,111.2	3,422,160.0	2,305,252.3	1,567,730.9	1,075,882.6	744,761.7
17	110,570.0	72,666.1	48,241.3	32,336.5	21,875.7	193,500.0	127,167.2	84,423.4	56,589.7	38,282.9	3,422,160.0	2,249,026.6	1,493,077.1	1,000,821.0	677,056.1
18	110,570.0	70,893.7	45,944.1	30,080.5	19,887.0	193,500.0	124,065.6	80,403.2	52,641.5	34,802.7	3,422,160.0	2,194,172.3	1,421,978.2	930,996.3	615,505.6
19	110,570.0	69,164.6	43,756.3	27,981.9	18,079.1	193,500.0	121,039.6	76,574.5	48,968.9	31,638.8	3,422,160.0	2,140,655.9	1,354,264.9	866,043.1	559,550.5
20	110,570.0	67,477.7	41,672.7	26,029.6	16,435.5	193,500.0	118,087.4	72,928.1	45,552.4	28,762.5	3,422,160.0	2,088,444.8	1,289,776.1	805,621.5	508,682.3
21	110,570.0	65,831.9	39,688.3	24,213.6	14,941.4	193,500.0	115,207.2	69,455.3	42,374.4	26,147.8	3,422,160.0	2,037,507.1	1,228,358.2	749,415.3	462,438.4
22	110,570.0	64,226.2	37,798.3	22,524.3	13,583.1	193,500.0	112,397.3	66,148.0	39,418.0	23,770.7	3,422,160.0	1,987,811.8	1,169,865.0	697,130.5	420,398.6
23	110,570.0	62,659.7	35,998.4	20,952.8	12,348.3	193,500.0	109,655.9	62,998.0	36,667.9	21,609.7	3,422,160.0	1,939,328.6	1,114,157.1	648,493.5	382,180.5
24	110,570.0	61,131.4	34,284.2	19,491.0	11,225.7	193,500.0	106,981.4	59,998.1	34,109.7	19,645.2	3,422,160.0	1,892,027.9	1,061,102.0	603,249.8	347,436.8
25	110,570.0	59,640.4	32,651.6	18,131.2	10,205.2	193,500.0	104,372.1	57,141.1	31,729.9	17,859.3	3,422,160.0	1,845,880.9	1,010,573.3	561,162.6	315,851.7
26	110,570.0	58,185.8	31,096.8	16,866.2	9,277.4	193,500.0	101,826.4	54,420.1	29,516.2	16,235.7	3,422,160.0	1,800,859.4	962,450.8	522,011.7	287,137.9
27	110,570.0	56,766.6	29,616.0	15,689.5	8,434.0	193,500.0	99,342.8	51,828.6	27,457.0	14,759.7	3,422,160.0	1,756,936.0	916,619.8	485,592.3	261,034.4
28	110,570.0	55,382.1	28,205.7	14,594.9	7,667.3	193,500.0	96,919.9	49,360.6	25,541.4	13,417.9	3,422,160.0	1,714,083.9	872,971.2	451,713.8	237,304.0
29	110,570.0	54,031.3	26,862.6	13,576.6	6,970.3	193,500.0	94,556.0	47,010.1	23,759.4	12,198.1	3,422,160.0	1,672,277.0	831,401.2	420,198.8	215,730.9
30	110,570.0	52,713.4	25,583.4	12,629.4	6,336.6	193,500.0	92,249.7	44,771.5	22,101.8	11,089.2	3,422,160.0	1,631,489.7	791,810.6	390,882.6	196,119.0
NPV	2,263,100.0	1,260,262.5	645,731.9	251,874.4	-11,666.1	4,555,000.0	2,800,011.6	1,724,569.3	1,035,309.7	574,107.9	115,846,324.4	84,808,334.5	65,788,511.4	53,598,555.9	45,441,934.0

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