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## **Impact of primary care exercise referral schemes on the health of patients with obesity**

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**Conflicts of interest**

The authors declare no conflicts of interest.

**Abstract** (301 words)

Primary care exercise referral schemes (ERSs) are a potentially useful setting to promote physical activity (PA). It is not established, however, whether interventions to increase PA such as ERSs have differing health outcomes according to participant body mass index (BMI). This paper summarizes evidence for the impact of primary care ERSs on the health of people with obesity and reports findings of a reanalysis of the EMPOWER study, providing the first data to report differential outcomes of ERSs by BMI category.

Our literature review revealed a paucity of published data. A 2011 Health Technology Assessment review and 2015 update were identified, but normal weight participants were not excluded nor results stratified by weight in the included studies. A study of the effect of exercise referral in overweight women reported a significantly greater increase in PA levels in the ERS group than control group at 3 months.

Reanalysis of the EMPOWER study data showed a significant improvement in PA at 3 months in both obese and overweight/normal BMI groups with effect size attenuated to 6 months. There was no significant difference from baseline to 6 months in blood pressure for either BMI category. At 6 months there was a significant decrease in weight from baseline for the obese category. Comparison of crude mean differences between BMI groups revealed a significant mean difference in PA at 3 months favouring the overweight/normal BMI group, but not at 6 months. There were no further significant differences in unadjusted or adjusted mean differences for other outcomes at follow-up.

We report some evidence of a differential impact of ERS on PA by BMI category. However, the effect of ERSs in primary care for patients with obesity remains unclear due to the small number of published studies that have reported outcomes by BMI category. Further research is needed.

## Background

The 2011 guidelines issued by the Chief Medical Officers of the four UK countries encourage adults to undertake at least 150 minutes of moderate physical activity (PA) or 75 minutes of vigorous activity in bouts of 10 minutes or longer, or a combination of the two.<sup>1</sup> It is preferred that the activity is spread over the week, such as 30 minutes of moderate activity 5 times a week. The UK guidance is in keeping with other national guidance.<sup>2-4</sup> These updated guidelines recognize that the overall volume of physical activity is more important than the type or frequency and also include recommendations on muscle-strengthening activities as well as those that may improve balance and coordination.

However, the most recent data (2012) from the Health Survey for England shows that although there has been an increase in those meeting recommended activity levels since 2008, still only 43% of men and 32% of women self-report physical activity that meets government recommendations (these figures were 32% and 21% in 2008, respectively).<sup>5</sup> Low levels of physical activity is the fourth most important risk factor for non-communicable diseases (after smoking, hypertension and hyperglycaemia). It accounts for 6% of the burden of disease from ischemic heart disease, 7% of type 2 diabetes and 10% of breast and colon cancers worldwide.<sup>6</sup> In 2008 it was estimated to have caused 9% of premature mortality worldwide (more than 5.3 million deaths).<sup>6</sup> Direct costs to the UK NHS due to physical inactivity have been estimated to be £1.1 billion, with indirect costs to society increasing this to £8.2 billion.<sup>7</sup> Overweight and obesity are also known to be associated with higher all-cause mortality in a linear relationship with a recent large meta-analysis of 239 prospective studies reporting hazard ratios for all-cause mortality of 1.45 (95%CI 1.41-1.48), 1.94 (95%CI 1.87-2.01) and 2.76 (95%CI 2.60-2.92), for obesity grades 1, 2 and 3, respectively.<sup>8</sup> Indeed, both physical inactivity and excess weight are independently associated with the risk of cardiovascular disease.<sup>9,10</sup>

There is good evidence for the benefits of physical activity in preventing diseases such as coronary heart disease, type 2 diabetes, depression, cancers, stroke and dementia.<sup>11,12</sup> A recent meta-analysis for the Global Burden of Disease Study 2013 has explored the dose-response relationship and has shown that those who achieve physical activity levels several times higher than recommendations have a significant reduction in the risk of breast cancer, colon cancer, diabetes, ischemic heart disease and ischemic stroke. However, most health gain occurs at relatively lower levels of activity (up to 3000-4000 MET minutes/week).<sup>13</sup> In addition, a large meta-analysis of cohort studies has reported that achievement of 30 minutes of moderate intensity physical activity five times a week (self-reported) is associated with a 19% reduction in all cause mortality (95% CI 15-24).<sup>14</sup> The effects of physical activity specifically in overweight or obese populations have also been investigated.<sup>15</sup> Interventional studies have shown relatively modest reductions in weight with structured physical activity programs.<sup>15</sup> Although, a trial of cardiac rehabilitation patients recently reported twice the weight loss in the group randomized to intensive counseling and exercise program compared with standard cardiac rehabilitation ( $8.2 \pm 4$  vs  $3.7 \pm 5$  kg).<sup>16</sup> Prospective studies have consistently found that fitness attenuates mortality risk regardless of body weight.<sup>17-20</sup> When stratified by weight those with higher levels of physical activity or fitness have a lower risk for adverse outcomes compared to those who are inactive or unfit.<sup>17-19</sup> It has also been reported that although the mortality risk associated with obesity is attenuated by higher levels of physical activity, it is not totally eliminated.<sup>18,19</sup> Similarly being lean does not counteract the increased risks associated with being physically inactive.<sup>18,19</sup> Recently studies have also started to challenge the assumption that physical activity is a determinant of adiposity<sup>21</sup>, suggesting instead that adiposity could be a determinant of physical activity. In several longitudinal studies baseline physical activity did not predict follow-up adiposity, although baseline adiposity did predict follow-up physical activity level.<sup>22,23</sup>

Multiple studies have investigated potential interventions to increase physical activity levels in adults. These include self-monitoring interventions, home based interventions, supervised physical

activity, one to one counseling, written information and telephone counseling interventions.<sup>24</sup> A Cochrane review by Foster et al<sup>24</sup> concluded that there is some evidence that interventions designed to increase physical activity can lead to moderate short and mid-term increases in physical activity. However, due to the heterogeneity of the studies, only limited conclusions could be drawn about the effectiveness of individual components of the interventions. The authors did report that interventions which provide people with professional guidance about starting an exercise program together with ongoing support may be more effective in encouraging the uptake of physical activity.<sup>24</sup>

Primary care has been identified as a potentially useful setting to promote physical activity.<sup>25</sup> One commonly used method is exercise referral schemes (ERS) set in primary care. An exercise referral scheme is the practice of referring a person from primary care to a qualified exercise professional who uses relevant medical information about the person to develop a tailored program of physical activity usually lasting 10 to 12 weeks.<sup>27</sup> The intention is that opportunities for exercise are provided and levels of physical activity will increase with resulting associated health benefits for the individual. Since the early 1990's there has been growth in the number of exercise referral schemes in the UK.<sup>25</sup> By 2005, 89% of primary care organizations in England ran an ERS, making it one of the most common primary care interventions for physical activity.<sup>26</sup>

The UK National Institute for Health and Care Excellence (NICE) updated their guidance on exercise referral schemes in 2014.<sup>28</sup> This guidance includes separate recommendations for those that are physically inactive, but healthy and for those physically inactive, but with a health condition or risk factors. At present, NICE recommends that commissioners should not fund exercise referral schemes (ERS) for those that are inactive, but healthy and also that primary care practitioners should not refer these people to an ERS.<sup>28</sup> For those that are physically inactive, but also have an existing health condition or factors that put them at risk of ill health then NICE recommends that ERS can be funded

and primary care professionals can refer these people to such schemes providing the scheme incorporates the core techniques outlined in recommendations 7-10 of NICE public health guidance 49 (Behavior change: individual approaches) such as agreeing goals, monitoring progress and providing feedback and developing coping strategies to prevent relapse.<sup>28,29</sup> However, there remain some unanswered questions, such as whether interventions to increase physical activity have differing health and behavioral outcomes according to the participant's body mass index (BMI) and whether adherence varies.

This paper presents a review of the best current evidence from randomized controlled trials for the health benefits of primary care exercise referral schemes in adults who are overweight or obese, followed by a reanalysis of the EMPOWER study<sup>30</sup> to investigate the effect of exercise referral on health and behavioral outcomes by BMI.

### ***The EMPOWER study***

The EMPOWER study was a cluster randomized controlled trial comparing two models of exercise referral: standard provision and an autonomy supportive approach. The interventions and study design have been described in detail previously.<sup>30,32</sup> In brief, 347 participants referred from primary care were recruited. Participants had two or more risk factors for ischemic heart disease, a long-term medical condition, were at risk of osteoporosis, had borderline hypertension or were perceived by the referring GP or practice nurse to be motivated to increase their physical activity. Participants in all BMI categories were included. A number of medical exclusions applied.<sup>30</sup> The exercise referral was delivered in 13 leisure centres by 14 individual health and fitness advisors (HFAs).

Primary and secondary outcomes including BMI were measured at baseline. Participants were followed up at 3 and 6 months from baseline. The primary outcome was the self-reported 7-day physical activity recall (7 day PAR).<sup>33</sup> Time spent in moderate or vigorous PA and time spent in

moderate or vigorous activity excluding walking were calculated, since examination of the follow-up data suggested that walking had been over reported. Secondary outcomes included self-reported physical activity,<sup>33</sup> quality of life<sup>34</sup> (QoL), anxiety,<sup>35</sup> depression,<sup>35</sup> vitality,<sup>36</sup> systolic blood pressure (SBP), diastolic blood pressure (DBP) and weight.

### *Interventions*

The standard provision consisted of an hour consultation at a leisure centre with the HFA which included assessment of recent physical activity. Participants were then offered a range of physical opportunities within either the leisure centre or community and agreed an individual programme of activity. The HFA offered support as required over 10-12 weeks. The autonomy supportive ERS was based on self-determination theory and aimed to integrate physical activity with life values (full details in<sup>30,32</sup>). Participants were offered an initial consultation and a self-management exercise promotion booklet. Interactions in person or by phone were planned after one and two months with an exit consultation at three months to plan for maintenance of activity.

### *Findings from the RCT*

Whilst physical activity significantly increased in both study groups, there was no significant difference between the groups using an analysis that adjusted for the clustered nature of the study, however, the trial was underpowered. Full results have been reported previously.<sup>30</sup>

## **Methods**

### ***Literature review***

An initial scoping search of literature databases was conducted to identify studies and reviews investigating the health benefits of exercise referral schemes in primary care for adults who are overweight or obese using key words such as “exercise referral”, “exercise on prescription”, “obesity”, “overweight”, “primary care”. This identified a Health Technology Assessment (HTA) review carried out by Pavey et al<sup>31</sup> published in 2011. An update to this review was published in 2015.<sup>27</sup> The published search strategies from this HTA review were then used to search Medline,

Psychinfo, EMBASE and Sportsdiscus from June 2013 to October 2016 in order to identify any new RCTs that may have been published since the 2015 HTA review was conducted. We also hand-searched the list of excluded studies from the HTA review to ensure that there were no relevant studies that either included only overweight or obese adult participants, or stratified results by BMI, but were excluded from the HTA review (including non RCTs).

Our inclusion criteria for this review were any RCTs, where the intervention was a referral to an ERS in primary care compared with any control, or non RCTs. We did not exclude studies where the scheme was for rehabilitation purposes nor studies in which participants had a specific medical condition. However, the included studies were required to report outcomes stratified by BMI category or only include participants with a BMI  $\geq 25$  kg/m<sup>2</sup>. Participants in the studies were also required to be adults  $\geq 18$  yrs. We were primarily interested in studies that reported health related outcomes such as weight, BMI, % body fat, systolic blood pressure (SBP), diastolic blood pressure (DBP), glucose, lipids, HbA1c or physical activity (PA) levels. We used the definition of an ERS as given in the HTA review (as stated above). Abstracts were screened by HMP, KJ, LH and TB. Data extraction from any full papers that met the above inclusion criteria was conducted by HMP.

### ***Observational analysis from the EMPOWER study***

Given that all EMPOWER study participants received an exercise referral scheme, this provides an opportunity to explore whether the effects of ERS vary by BMI category. Therefore the aim of this observational study was to explore whether primary (PA) and secondary (anxiety, depression, vitality, QoL, SBP, DBP, weight) outcomes of exercise referral vary by BMI category. BMI was calculated from weight measured by calibrated Tanita scales and height measured using a Leicester height measure.

### ***Statistical analysis***

The data were analysed as an observational cohort in which all participants attended an initial exercise referral consultation. To categorise participants by BMI, we used cut-offs defined by NICE for black and minority ethnic groups [PH46]<sup>38</sup> and standard cut-offs for white UK and Europeans. Applying this classification, participants were categorised as overweight if they had a baseline BMI 25-29.99 kg/m<sup>2</sup> (23-27.49 kg/m<sup>2</sup> if they self-reported their ethnicity as black or Asian) and 'obese' if they had a BMI  $\geq$  30 kg/m<sup>2</sup> (White British or Irish) or  $\geq$  27.5 kg/m<sup>2</sup> (black or Asian). Missing data at 3 and 6 month follow-up were imputed using a baseline observation carried forward method. Due to only 29 participants having a BMI under 25 kg/m<sup>2</sup>, a binary variable for BMI status was created, including a category of normal and overweight and a category of obese participants.

Chi-square tests were used to test for differences in baseline characteristics (age, gender, IMD quintile, current smoking status) by baseline BMI category. Unadjusted analyses were conducted to calculate changes in outcomes from baseline to 3 and 6 months, and mean differences in changes for each BMI category (paired t-tests). Linear multiple regression models were used to identify whether BMI category was an independent predictor of primary and secondary outcome measures at 3 and 6 month follow-up, after adjustment for confounding factors (trial arm, age group, gender, ethnic group, IMD level and smoking status). All multivariate analyses included the study arm as a covariate. Analyses were performed using Stata V14 (Texas Corp).

## **Results**

### ***Literature review***

The initial scoping review identified an HTA review published in 2011, with an update published in 2015. This updated systematic review and economic evaluation of ERSs in primary care included any RCT published between October 2009 and June 2013 with participants who were adults with or without a medical condition and deemed suitable for ERS. Outcomes included physical activity, physical fitness, health related outcomes, adverse events, uptake and adherence. The interventions

were required to be an ERS or exercise program that was more intensive than simple advice and needed to include a combination of counseling, written materials and supervised exercise; comparator was any control.<sup>27, 31</sup> The results of eight RCTs that included a total of 5190 participants were combined, with six studies comparing ERS to usual care. The authors concluded that compared with usual care, exercise referral schemes result in a small increase in the number of participants meeting physical activity recommendations. They did report on weight related outcomes such as weight, % body fat, blood pressure, but found no changes in these outcomes with ERS.<sup>27,31</sup> When compared to usual care the number achieving 90-150 mins/week physical activity in the ERS group was RR 1.08 (95% CI 1.00 to 1.17; n=2607) and participants allocated to ERS achieved only 6.78 (95% CI -9.32 to 22.88) more minutes of at least moderate physical activity per week at 6-12 months follow-up. Mean difference at 6-12 months follow-up in systolic and diastolic blood pressure were -0.05 (95% CI -1.84 to 1.74) and 0.11 (95% CI -0.92 to 1.13) mmHg respectively. There was no difference in mean BMI 0.01 kg/m<sup>2</sup> (95% CI -0.14 to 0.16) or percentage fat (mean difference -0.08%; 95% CI -0.23 to 0.07) at follow-up. Depression, measured by the Hospital Anxiety and Depression Scale was significantly lower in the ERS group compared to usual care (standardised mean difference -0.82; 95% CI -1.28 to -0.35), but there was no significant difference in anxiety scores (SMD -4.12; 95% CI -11.52 to 3.28). The authors also concluded that the upfront costs of ERS outweighed the benefits, but acknowledged that there was uncertainty in their estimates of health benefit.<sup>27,31</sup> On average participants in the studies included in this review were overweight (Table 1). Although the study by Stevens et al<sup>39</sup> included in this review did not report baseline mean BMI data, they did report percentage of those with BMI <20 kg/m<sup>2</sup> (4% intervention, 5% control), BMI 20-25 kg/m<sup>2</sup> (50% intervention, 53% control) and BMI > 25 kg/m<sup>2</sup> (40% intervention, 42% control). However, normal weight participants were not excluded from the studies included in the review and none of the studies reported results stratified by weight category. Therefore no definite conclusions regarding the effect of ERS on the health of participants who are overweight or obese can be made from this review. None of the trials reported adherence to the ERS by BMI category.

The search strategies from this HTA review were then used to identify any new RCTs published since the HTA review had been conducted (Appendix 1). These literature searches (from 2013-2016) identified 3043 abstracts. In addition, we hand-searched the excluded studies list from the HTA review for non-randomized studies that might be potentially included in this review. Screening of these abstracts and the HTA excluded studies list identified only two new studies not included in the HTA review. The first was a small study (n=34) conducted by Taylor et al in 2011, which recruited African American men through a prostate cancer screening program, family physicians, urologists and through media advertisements into a pilot RCT.<sup>40</sup> Participants were eligible if male, aged 40-70 years, African American or African descent with a BMI between 25-35 kg/m<sup>2</sup> and a sedentary lifestyle for the last 2 years. They excluded those with history of cardiovascular disease, metabolic disease, acute infection or chronic infectious disease, resting blood pressure  $\geq$  140/90 mmHg, uncontrolled diabetes, medications that affect heart response or orthopedic condition that may preclude participation. This paper described an analysis of only the intervention group and focused on adherence to the intervention. The exercise program required participants to undertake supervised exercise 3 times a week for 4 weeks in a medical center's exercise laboratory. Training sessions consisted of 30 minutes of moderate intensity aerobic exercise. Participants were defined as adherers if 75% (9 out of 12) sessions were completed. They did not report any weight or health related outcomes. The baseline BMI of participants was 31.1 (7.1) kg/m<sup>2</sup> and 71% (12/17) completed at least 75% of sessions (adherers). Seven of these adherers had adherence rates of 100%. The study used a range of techniques to maximize adherence; such as providing a safe place to exercise, offering a variety of exercise modalities and facilitating transport or parking. The authors concluded that the adherence rates found for this supervised exercise intervention in African American men were favorable and similar to those found in similar supervised exercise interventions, but acknowledged that it would be of interest to explore longer term adherence in a larger sample.<sup>40</sup>

The second study identified was an RCT conducted by Conroy et al in 2015 that recruited 99 inactive women aged 45-65 years to a physical activity intervention from primary care centers in the US.<sup>41</sup> They were overweight or obese (BMI  $\geq 25$  kg/m<sup>2</sup>). Exclusion criteria included unstable cardiac or pulmonary disease, poorly controlled hypertension, primary care physician unwilling to allow moderate physical activity and participant unable to perform moderate physical activity. The intervention group (n=48) had 12 weekly sessions of 30 minute discussions and 30 minutes moderate intensity physical activity. The control group (n=50) was given a manual for independent use. Outcomes were measured at 3 and 12 months with physical activity and weight as the primary outcomes of the trial. Physical activity levels were measured using the one-month version of the Modifiable Activity Questionnaire administered by a trained staff member. The baseline mean BMI (SD) was 36.1 (6.0) and 33.4 (5.4) kg/m<sup>2</sup> in the intervention and control groups, respectively. Follow-up was 76% at 3 months and 86% at 12 months. At three months the intervention group had a significantly greater increase in physical activity levels (7.5 compared with 1.5 MET-hour/week,  $P=0.02$ ) than the control group, but there was no significant difference in change in weight between the groups. However, at 12 months the difference between the physical activity levels of the groups was no longer significant (4.7 compared with 0.7 MET-hour/week,  $P=0.38$ ). No significant differences between groups were found in BMI or waist circumference at any time point, however, at 12 months there were significant differences between groups in systolic and diastolic blood pressure with the intervention group having a smaller increase in blood pressure.<sup>41</sup> Overall the authors concluded that the intervention successfully increased physical activity levels in obese middle aged women in the short term, but that there was no significant change in body weight.

### ***Reanalysis of the EMPOWER study***

#### *The study population*

Of the 347 participants recruited to the EMPOWER study, 331 had a valid BMI at baseline and comprise the sample for this study. Overall, 230 (69.5%) were categorised as obese, 72 (21.8%) as

overweight and 29 (8.8%) as normal weight. Definitions of BMI status are given in Table 2.

Descriptive baseline statistics by BMI category are shown in Table 3.

The majority (241, 72.8%) were female, mean age (SD) 49.2 (13.7) years, 90 (27.2%) were from non-British or Irish white ethnic groups and 187 (59.6%) lived in areas within the highest deprivation quintile. We observed a significant difference in BMI status by ethnic group, with a significantly higher proportion of non-white ethnic group participants who were obese at baseline ( $P=0.003$ ). At initial assessment, 69.5% (230) of the participants reported doing less than the Government recommendation of 150 minutes of moderate physical activity, including walking, each week, with no difference in baseline activity reported by obese participants (mean 135, SD 265.7) compared to those with normal or overweight BMI (mean 124, SD 154.5). Using a cut-off of  $>11$  on the Hospital Anxiety and Depression subscales<sup>35</sup>, 19% (63) of the participants were identified as having probable clinical depression, and 33.8% (112) probable anxiety. Overall study follow-up was 75.8% ( $n=251$ ) at 3 months and 56.8% ( $n=188$ ) at 6 months. There were no significant differences in follow-up rates at 3 months by BMI category ( $P=0.201$ ), however participants who were obese at baseline were less likely than normal/overweight participants to complete 6 month follow-up (53.0%,  $n=122$  vs 65.4%,  $n=66$ ;  $P=0.037$ ).

#### *Behavioral, health and psychological outcomes to 3 months: within groups*

Unadjusted analyses showed that from baseline to 3-months, participants who were normal or overweight at baseline ( $n=101$ ) exhibited a significant increase of 251 minutes (95% CI 186 to 316) of self-reported moderate or vigorous PA and 142 minutes (95% CI 91 to 192) of moderate/vigorous PA minus walking. Among participants who were obese at baseline ( $n=230$ ), significant increases were observed in moderate or vigorous PA and moderate/vigorous PA minus walking of 163 minutes (95% CI 115 to 211) and 97 minutes (95% CI 56 to 138) respectively (Table 4a).

In addition there were significant improvements from baseline to 3-months in vitality score as well as the physical fitness, daily activity and change in health Dartmouth QoL domains for those in the normal/overweight category. Those in the obese category also had a significant increase in vitality score, but differed from those in the normal/overweight category in which of the Dartmouth quality of life domains showed a significant difference (physical fitness, change in health, overall health and quality of life). Those in the obese BMI category also showed a significant decrease in HADS depression and anxiety scores, which was not seen in the normal/overweight category (Table 4a).

*Behavioral, health and psychological outcomes to 6 months: within groups*

At 6-month follow-up there were significant increases from baseline in self-reported moderate/vigorous PA among normal/overweight participants (mean change 154 minutes; 95% CI 82 to 227) and physical activity excluding walking (mean change 84 minutes; 95% CI 18 to 150). Within the obese participant group we also observed smaller but significant increases in PA (mean 94 minutes, 95% CI 58 to 129) and PA minus walking (mean 49 minutes, 95% CI 13 to 85) (Table 4b). The increase in vitality remained significant for both BMI categories although with an attenuated effect in the obese group than was observed at 3 months. There was a reduction in both HADS scores for the normal/overweight category (the difference from baseline in depression score was significant). Improvements in depression and anxiety scores from baseline for obese participants were smaller than at 3 months, but remained significant. Significant changes in Dartmouth daily activity and overall health scores were also observed among obese participants at 6 month follow-up. In general, there was an attenuation of effect size between 3 and 6 months for both BMI categories. Data were only available at 6 months for SBP, DBP, and weight. There was no significant difference from baseline in blood pressure for either BMI category. At 6 months there was a significant decrease in weight of -0.55 kg (95% CI -1.02 to -0.07,  $P=0.03$ ) from baseline for the obese category. The normal/overweight category also had a small decrease in weight (-0.24 kg (95% CI -0.67 to 0.18,  $P=0.260$ )), but this was not significant (Table 4b).

### *Comparison of outcomes between obese and normal/overweight participants*

Comparison of crude mean differences in outcome measures between BMI groups revealed a significant mean difference in physical activity at 3 months (-88 minutes, 95% CI -171.4 to -4.5,  $P=0.04$ ), which remained significant after adjustment for confounding factors (-93 minutes, 95% CI -105 to 39) (Table 4a). At 6 month follow-up, the unadjusted and adjusted mean differences in physically activity between the BMI groups attenuated and did not remain significant (unadjusted mean difference -61 minutes, 95% CI -132 to 11,  $P=0.10$ ; adjusted mean difference -36 minutes, 95% CI -109 to 37,  $P=0.33$ ) (Table 4b). There were no further significant differences in unadjusted or adjusted mean differences for other primary or secondary outcome measures at 3 or 6 month follow-up.

### **Discussion**

Our review revealed a paucity of data for the impact of exercise referral schemes in primary care on physical activity and physical and psychological health of patients with obesity. A recent HTA review was identified, which reported several health related outcomes including weight and body fat<sup>27,31</sup> and the participants in the studies included in this review were on average overweight or obese at baseline. However, the included studies did not exclude normal weight patients or stratify results by weight. Therefore no definite conclusions regarding the impact of ERS on health of patients with obesity could be made from the review. Two studies published after the HTA review was conducted were identified that did exclude normal weight participants. However, the pilot study by Taylor et al<sup>40</sup> did not report any health related outcomes, although they did report good adherence to their physical activity intervention. The study by Conroy et al<sup>41</sup> did find that those in the intervention group had a significantly greater increase in physical activity levels than the control group at 3 months, but this effect was not sustained at 12 months. In addition no significant differences between groups were found in BMI or waist circumference at any time point.<sup>41</sup> However, at 12

months there were significant differences between groups in systolic and diastolic blood pressure.<sup>41</sup> The reanalysis of data from the EMPOWER study<sup>30,32</sup> is consistent with the findings of Conroy in identifying a significant improvement in physical activity at 3 months follow-up in both the obese and overweight/normal BMI groups, with effect size attenuated to 6-months follow-up. The EMPOWER study also adds to the literature by reporting improvements in mental health outcomes in the obese group at 3 and 6 months follow-up including vitality, anxiety and depression scores and several quality of life domains.

This literature review reports the current evidence for the impact of exercise referral schemes in primary care on the health of people with obesity. We have also reported new data to add to the current available evidence. However, the effect of exercise referral schemes in primary care for patients with obesity still remains unclear due to the small number of published studies that have reported outcomes by BMI category and subsequent overall paucity of evidence. The limitations of our review stem from a lack of evidence reporting outcomes of ERS by BMI status. We identified an HTA systematic review and used their search strategy to identify any new relevant RCTs published since the review. We also reviewed studies excluded from that review to identify any non-randomized trials that may have reported outcomes of ERS by category of BMI. The reanalysis of the EMPOWER study to explore this issue is the first data to report outcomes of ERS by category of BMI, but due to a very small number of participants of normal weight we were unable to compare outcomes of ERS in obese compared to normal weight participants. Whilst the trial was of two different approaches to ERS, we adjusted for this in our analyses. The EMPOWER study had 43% loss to follow-up at 6 months and we used a single imputation method of carrying forward the baseline observation for any subject who did not have a post-baseline outcome of interest. This is a more conservative method than using the last value carried forward or the mean value for the group as it is likely that the participants with missing follow-up data were less successful at behavior change.

While the method is conservative, it provides a plausible lower boundary for the effect point estimate and is considered to have specific validity for obesity interventions.<sup>42</sup>

Whilst there is observational evidence to suggest that physical activity should be effective in people of all BMI categories, it is also plausible that adherence to exercise and physical activity may differ by BMI category. People who are obese may report stigma in relation to their weight,<sup>43</sup> may have greater numbers of co-morbidities, particularly depression,<sup>44</sup> which also may impact on uptake and adherence of exercise. Unfortunately we found no evidence from the included studies to explore the relationship between BMI and adherence to ERS.

Future research is needed such as high quality randomized controlled trials or an individual patient data analysis to investigate the impact of primary care exercise referral schemes in people with obesity and whether these schemes result in physical and psychological health benefit for this cohort of patients. The cost effectiveness of such schemes also needs to be investigated in future studies.

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**Table 1 BMI baseline characteristics of study participants in studies included in Campbell et al<sup>27</sup> HTA review**

	<b>Intervention arm BMI (kg/m<sup>2</sup>) (SD)</b>	<b>Control arm BMI (kg/m<sup>2</sup>) (SD)</b>
<b>Duda 2014<sup>30</sup></b>	32.8 (6.3) (n=91)	33.1 (6.9) (n=102)
<b>Gusi 2008<sup>45</sup></b>	29.7 (4.2) (n=55)	30.6 (4.3) (n=51)
<b>Harrison 2005<sup>46</sup></b>	32.7 (6.6) (n=275)	32.3 (6.8) (n=270)
<b>Isaacs 2007<sup>47</sup></b>	30.7 (6.0) (n=317) (leisure centre arm) 30.6 (5.9) (n=311) ( walking arm)	30.3 (5.5) (n=315)
<b>Murphy 2012<sup>48</sup></b>	No data	No data
<b>Sørensen 2008<sup>49</sup></b>	31.8 (5.8) (n=449) All participants	
<b>Stevens 1998<sup>39</sup></b>	No mean BMI data	No mean BMI data
<b>Taylor 1998, 2005<sup>50,51</sup></b>	27.9 (0.4) (n=97)	27.0 (0.5) (n=45)

**Table 2: Definitions of BMI categories**

	<b>Normal weight</b>	<b>Overweight</b>	<b>Obese</b>
White	<25 kg/m <sup>2</sup>	25-29.99 kg/m <sup>2</sup>	30 kg/m <sup>2</sup> or more
Ethnic minority group	<23 kg/m <sup>2</sup>	23-27.49 kg/m <sup>2</sup>	27.5 kg/m <sup>2</sup> or more

**Table 3 Baseline characteristics of EMPOWER study participants by BMI category\***

	Normal or Overweight (n=101)		Obese (n=230)		P value
	N	%	N	%	
<b>Age group</b>					
<30 years	11	10.9	18	7.8	0.188
30 – 49 years	36	35.6	110	47.8	
50 – 64 years	36	35.6	73	31.7	
65 + years	18	17.8	29	12.6	
<b>Gender</b>					
Male	33	32.7	57	24.8	0.137
Female	68	67.3	173	75.2	
<b>Ethnic group</b>					
White British or Irish	81	82.7	147	66.2	0.003
Non-White British or Irish	17	17.4	75	33.8	
<b>IMD Quintile</b>					
I (Most deprived)	51	54.3	136	61.8	0.545
2	15	16.0	37	16.8	
3	18	19.2	27	12.3	
4	6	6.4	13	5.9	
5 (Least deprived)	4	4.3	7	3.2	
<b>Smoking status</b>					
Smoker	26	26.3	43	20.5	0.254
Non-smoker	73	73.7	167	79.5	

Missing data: ethnic group (n=11), IMD (n=17), smoking status (n=22)

\*PH46 Classification

Table 4a Within and between group change in physical and psychological outcomes between baseline and 3 month follow-up by BMI category

Outcome	Normal or overweight Baseline value Mean (SD)	Obese baseline value Mean (SD)	Normal or overweight Change from baseline to 3m (SD) (n=101)	Obese Change from baseline to 3m (SD) (n=230)	Mean difference (95% CI)	P value	Adjusted† mean difference (95%CI)	P value
Minutes of physical activity/week <sup>a</sup>	124 (154.5)	135 (265.7)	251** (318.8)	163** (356.8)	-88* (-4.5, -171.4)	0.04	-93 (-184, -3) **	0.04
Minutes of physical activity minus walking/week <sup>a</sup>	74 (128.3)	87 (228.1)	142** (248.0)	97** (302.4)	-45 (-113.8, 24.7)	0.20	-33 (-105, 39)	0.37
Vitality <sup>b</sup>	3.47 (1.48)	3.49 (1.54)	0.68** (1.24)	0.76** (1.42)	-0.01 (-0.22, 0.25)	0.90	0.00 (-0.26, 0.26)	1.00
HADS anxiety score <sup>c</sup>	8.54 (4.34)	8.77 (4.33)	-0.14 (2.09)	-0.56** (2.31)	-0.42 (-0.95, 0.10)	0.11	0.31 (-0.89, 0.28)	0.30
HADS depression score <sup>c</sup>	6.82 (3.98)	7.08 (4.04)	-0.40 (2.29)	-0.86** (2.21)	-0.47 (-0.99, 0.06)	0.08	0.39 (-0.95, 0.16)	0.16
<b>Dartmouth QoL domains<sup>d</sup></b>								
Physical fitness	2.98 (1.16)	2.72 (1.19)	0.13* (0.62)	0.17** (0.80)	0.04 (- 0.22, 0.14)	0.70	0.03 (-0.17, 0.24)	0.61
Emotional problems	3.04 (1.16)	3.08 (1.22)	0.11 (0.64)	0.08 (0.68)	-0.03 (-0.19, 0.13)	0.70	-0.05 (-0.22, 0.13)	0.31
Daily activity	3.30 (1.12)	3.31 (1.03)	0.14* (0.69)	0.08 (0.69)	-0.06 (- 0.13, 0.25)	0.52	-0.10 (-0.31, 0.10)	0.31
Change in health	3.13 (0.72)	3.20 (0.78)	0.14* (0.62)	0.12** (0.67)	-0.02 (-0.18, 0.13)	0.79	0.00 (-0.18, 0.18)	1.00
Overall health	2.52 (0.89)	2.40 (0.89)	0.07 (0.52)	0.19** (0.73)	0.00 (-0.12, 0.11)	0.94	0.02 (-0.14, 0.11)	0.78
Quality of life	3.20 (0.75)	3.10 (0.76)	0.08 (0.49)	0.08* (0.51)	0.00 (-0.12, 0.11)	0.94	0.02 (-0.14, 0.11)	0.78

<sup>a</sup> Activity of at least moderate intensity

<sup>b</sup> Positive score associated with improved vitality

<sup>c</sup> Positive score associated with greater psychological morbidity

<sup>d</sup> Positive score associated with improved quality of life.

\*p≤0.05 \*\*p≤0.01; Indicated whether change in slope from baseline to 6 months was significantly different from zero

BCOF: baseline observation carried forward

† Adjusted for trial arm, age, gender, ethnic group, level of deprivation, smoking status

Table 4b Within and between group change in physical and psychological outcomes between baseline and 6 month follow-up by BMI category

Outcome	Normal or overweight baseline value Mean (SD)	Obese baseline value Mean (SD)	Normal or overweight Change from baseline to 6m (SD) (n=101)	Obese Change from baseline to 6m (SD) (n=230)	Mean difference (95% CI)	P-value	Adjusted† mean difference (95% CI)	P-value
Minutes of physical activity/week <sup>a</sup>	124 (154.5)	135 (265.7)	154** (358.2)	94** (262.4)	-61 (-132,11)	0.10	-36 (-109, 37)	0.33
Minutes of physical activity minus walking/week <sup>a</sup>	74 (128.3)	87 (228.1)	84* (327.0)	49** (266.9)	-35 (-104, 34)	0.32	-7 (-77, 63)	0.84
Vitality <sup>b</sup>	3.47 (1.48)	3.49 (1.54)	0.45* (1.21)	0.29** (1.23)	-0.16 (-0.45, 0.13)	0.28	-0.27 (-0.60, 0.05)	0.10
HADS anxiety score <sup>c</sup>	8.54 (4.34)	8.77 (4.33)	-0.44 (2.50)	-0.38* (2.39)	0.06 (-0.51, 0.62)	0.12	0.10 (-0.51, 0.72)	0.75
HADS depression score <sup>c</sup>	6.82 (3.98)	7.08 (4.04)	-0.94** (2.96)	-0.47** (2.39)	0.47 (-1.08, 0.13)	0.12	0.46 (-0.22, 1.14)	0.19
<b>Dartmouth QoL domains<sup>d</sup></b>								
Physical fitness	2.98 (1.16)	2.72 (1.19)	0.03 (1.00)	0.11 (0.98)	-0.08 (-0.32, 0.15)	0.49	0.08 (-0.33, 0.18)	0.55
Emotional problems	3.04 (1.16)	3.08 (1.22)	0.10 (0.93)	0.05 (0.97)	-0.05 (-0.27, 0.18)	0.68	-0.04 (-0.29, 0.20)	0.74
Daily activity	3.30 (1.12)	3.31 (1.03)	0.13 (0.88)	0.13* (0.85)	0.00 (-0.20, 0.21)	0.99	0.03 (-0.19, 0.26)	0.77
Change in health	3.13 (0.72)	3.20 (0.78)	0.13 (0.78)	-0.03 (0.72)	-0.16 (-0.34, 0.01)	0.07	-0.17 (-0.37, 0.02)	0.09
Overall health	2.52 (0.89)	2.40 (0.89)	0.14 (0.74)	0.13* (0.78)	-0.01 (-0.19, 0.17)	0.90	-0.01 (-0.21, 0.19)	0.89
Quality of life	3.20 (0.75)	3.10 (0.76)	0.07 (0.66)	0.05 (0.69)	-0.02 (-0.18, 0.14)	0.79	-0.02 (-0.20, 0.15)	0.79
Systolic blood pressure (mmHg)	125 (16.2)	131 (15.6)	-1.91 (12.97)	-1.23 (10.37)	0.68 (-2.04, 3.40)	0.62	0.12 (-2.82, 3.06)	0.62
Diastolic blood pressure (mmHg)	77.1 (9.97)	80.6 (9.2)	0.38 (8.87)	0.66 (7.61)	0.27 (-1.66, 2.20)	0.78	0.14 (-2.00, 2.30)	0.78
Weight	72.0 (12.7)	98.5 (18.0)	-0.24 (2.12)	-0.55* (3.67)	-0.31 (-1.08, 0.46)	0.43	-0.36 (-1.19, 0.47)	0.43

<sup>a</sup> Activity of at least moderate intensity

<sup>b</sup> Positive score associated with improved vitality

<sup>c</sup> Positive score associated with greater psychological morbidity

<sup>d</sup> Positive score associated with improved quality of life.

\*p<0.05 \*\*p<0.01; Indicated whether change in slope from baseline to 6 months was significantly different from zero

BCOF: baseline observation carried forward

† Adjusted for trial arm, age, gender, ethnic group, level of deprivation, smoking status

## Appendix 1: Medline search strategy

1. "referral and consultation"/
2. ((physical\* or exercise\*) adj2 (superv\* or subsid\* or prescrib\*)).ti,ab.
3. (exercise\* or physical\*).ti,ab.
4. (exercise\* adj2 (fit\* or train\* or activit\* or promot\* or program\* or intervention\*)).ti,ab.
5. (physical\* adj2 (fit\* or train\* or activit\* or promot\* or program\* or intervention\*)).ti,ab.
6. ((physical\* or exercise\*) and referral\*).ti,ab.
7. randomized controlled trial.pt.
8. randomized controlled trial/
9. (random\$ or placebo\$).ti,ab,sh.
10. ((singl\$ or double\$ or triple\$ or treble\$) and (blind\$ or mask\$)).tw,sh.
11. 1 and 3
12. 2 or 4 or 5 or 6
13. 7 or 8 or 9 or 10
14. controlled clinical trial.pt.
15. (retraction of publication or retracted publication).pt.
16. 13 or 14 or 15
17. (family medicine\$ or family practice\$ or general practice\$ or primary care or primary health care or primary health service\$ or pimary healthcare or primary medical care or family medical practice\$ or family doctor\$ or family physician\$ or family practitioner\$ or general medical practitioner\$ or general practitioner\$ or local doctor\$).ti,ab.
18. family practice/
19. primary health care/
20. physicians, family/
21. community health centers/
22. (community healthcare or community health care).ti,ab.
23. (GP or GPs).ti,ab.
24. general practic\*.ti,ab.

25. 17 or 18 or 19 or 20 or 21 or 22 or 23 or 24
26. (referral\* or promot\* or program\* or intervent\*).ti,ab.
27. 25 or 26
28. Exercise/
29. exercise therapy/
30. 28 or 29
31. 27 and 30
32. 11 or 12 or 31
33. (child\* or adolescent\* or school\* or pediatric\* or paediatric\*).ti.
34. 32 not 33
35. 16 and 34
36. (animals not humans).sh.
37. 35 not 36
38. ("2013 June\*\*" or "2013 July\*\*" or "2013 August\*\*" or "2013 September\*\*" or "2013 October\*\*" or "2013 November\*\*" or "2013 December\*\* 2014\*" or "2015\*" or "2016\*").dp.
39. 37 and 38
40. limit 39 to english language