8Be + 8Be and 12C + breakup states in 16O populated via the 13C (4He ,4)n reaction

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DOI: 10.1103/PhysRevC.94.034313

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Citation for published version (Harvard):

Publisher Rights Statement:
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Download date: 21. Dec. 2018
\textbf{I. INTRODUCTION}

For nearly 50 years it has been believed that a 4α linear chain state exists in $^{16}\text{O}$, following the observation of a highly deformed rotational band in the $^{8}\text{Be} + ^{8}\text{Be}$ decay of this nucleus. A measurement of the $^{12}\text{C}(^{4}\text{He},^{8}\text{Be})^{8}\text{Be}$ excitation function by Chevallier \textit{et al.} \cite{1} indicated that a series of $2^+$ to $6^+$ resonances were populated in the 16–21 MeV excitation energy ($E_x$) range. The energy - spin systematics suggested a highly deformed rotational band was being populated, the moment of inertia of which being approximately four times greater than that calculated classically for the spherical $^{16}\text{O}$ ground state. The conclusion of Chevallier \textit{et al.} \cite{1} was that the structure, if confirmed, corresponds to a linear chain state of four α-particles, arranged in a rigidly rotating body.

Such a configuration had previously been predicted to exist by Morinaga \cite{2}, who suggested that the 6.049 MeV, $0^+$, and 6.917 MeV, $2^+$, states in $^{16}\text{O}$ form a rotational band, the moment of inertia of which is consistent with four α-particles touching in a row. However, the $0^+$ member of this band, the state at 6.049 MeV, is almost 11 MeV lower in excitation than the 16.7 MeV bandhead energy of the Chevallier rotational band, and it is now well established \cite{3} that both states are actually members of the K$^\pi = 0^+ + 4p-4h$ rotational band in $^{16}\text{O}$. This band has additional $4^+$ and $6^+$ members at 10.356 and 16.275 MeV, respectively, and is believed to possess an $\alpha + ^{12}\text{C}$ structure (see, for example, \cite{4–9}) with the $4^+$ and $2^+$ \cite{10} and $2^+$ and $0^+$ \cite{11} states being connected by strong E2 transitions, indicative of the rotational structure.

Later studies of the $^{12}\text{C}(^{4}\text{He},^{8}\text{Be})^{8}\text{Be}$ \cite{12–14} and $^{12}\text{C}(^{4}\text{He},^{12}\text{C})\left(7.65\text{ MeV, } 0^+_2\right)\alpha$ \cite{15} reactions failed to find evidence for the $8^+$ member of the proposed \cite{1} linear chain state rotational band. This, based on the energy - spin systematics of the Chevallier resonances, should appear at an excitation energy of $\sim 21.3$ MeV. Tentative evidence for an $8^+$ state was reported at $E_x(16\text{O}) = 22.5 \pm 0.5$ MeV by Sanders \textit{et al.} \cite{16}, following a study of the $^{12}\text{C}(^{12}\text{C},^{8}\text{Be})^{16}\text{O}$, $16^\pi \rightarrow \alpha + ^{12}\text{C}_{gs}$ reaction, although this state was thought to be a member of the K$^\pi$ = $0^+$ + 4p-4h band (built on the 6.049 MeV, $0^+$ state) in $^{16}\text{O}$, rather than the chain state band. The $8^+$ spin assignment for the state was later questioned \cite{17}, however, and no longer appears in the $^{16}\text{O}$ compilation \cite{3}.

A study of the $^{12}\text{C}(^{16}\text{O},4\alpha)^{12}\text{C}$ breakup reaction by Freer \textit{et al.} \cite{18} also provided evidence for a rotational band in the same $E_x$ region as the Chevallier work. Several of the observed breakup states appear to correspond to resonances observed by Chevallier \textit{et al.} \cite{1}, but others do not, and although the band head energy ($E_0$) of (17.0 $\pm 0.7$) MeV, is consistent with the Chevallier value of 16.7 MeV, the rotational parameter $(h^2/2I)$, where $I$ is the moment of inertia) of (95 $\pm 20$) keV is somewhat larger than the 64 keV seen in [1]. It is not clear, therefore, if the same, or different structures, are being populated by the two different reaction mechanisms. A number of states in the $E_x(16\text{O}) = 17.7$ to 35.1 MeV, and $6^+$ to (10$^+$) angular momentum range, have also been seen in the $^{12}\text{C}(^{12}\text{C},^{8}\text{Be})^{8}\text{Be}$ reaction \cite{19}. However, whilst the states observed below 25 MeV appear to lie on same band as Chevallier \textit{et al.} \cite{1}, those above this energy do not, and it is not clear if more than one rotational band is being populated in the reaction.

The $^{12}\text{C}(^{4}\text{He},^{8}\text{Be})^{8}\text{Be}$ excitation function has become the focus of attention again in recent years, with two new repeat measurements of the earlier Chevallier \textit{et al.} \cite{1} work. The results of Soylu \textit{et al.} \cite{20} show some agreement with the Chevallier data, although different $^{8}\text{Be}$ center of mass angular ranges were covered in the two experiments. The latest study \cite{21} of this reaction does show good agreement with the excitation functions.

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The theoretical picture is also somewhat unclear. The rotational band proposed by Chevallier et al. [1] is supported by the Alpha Cluster Model (ACM) calculations of Bauhoff et al. [5]. In this study a chain state of four α-particles was found, with the same rotational parameter, and similar bandhead energy (16.3 MeV), as the Chevallier et al. [1] band. A slightly higher bandhead energy of 18.9 MeV, and a band termination of \( L_{\text{max}} = 21 \ h \), was found in the cranked Bloch-Brink cluster model calculations of Merchant and Rae [22, 23]. These same authors also used a coupled channels approach, and a \(^8\text{Be} + ^8\text{Be}\) description of \(^{16}\text{O}\) [24]. Although no \( 0^+ \) cluster states were found in these calculations, \( 2^+ \) to \( 16^+ \) resonances were. These lie on a rotational band with \( h^2/2I = 100 \) keV, in excellent agreement with the band observed by Freer et al. [18] (although the experimental bandhead lies 5 MeV lower than the model would suggest).

However, more recent Skyrme cranked Hartree-Fock calculations by Ichikawa et al. [25] suggest that a linear \( \alpha \)-chain will only be stable in the \( 13 - 18 \ h \) angular momentum region. Although the (60 – 80 keV) rotational parameter obtained for the four \( \alpha \)-chain agrees with both the Chevallier et al. [1] measurement and Bauhoff et al. [5] ACM calculation, the bandhead is found to be in the region of \( E_x = 38 \) MeV, significantly higher than the 16 – 17 MeV range of [1] and [5]. A similar bandhead energy of \( \sim 37 \) MeV was also found in the covariant density functional theory (CDFT) work of Lang and Peng-Wei [26]. The CDFT approach was also used by Yao et al. [27] to study both low spin (using the generator coordinate method) and high spin (using a cranked relativistic mean-field approach) states in \(^{16}\text{O}\). An \( \alpha \)-chain state structure was found in both spin regions, with \( h^2/2I \) and \( E_0 \) being 123 keV and 29.6 MeV in the low spin \((0 - 6 \ h)\), and 110 keV and 30.2 MeV in the high spin \((12.6 - 18.0 \ h)\) calculations, respectively. The average rotational parameter for the two spin regions is a factor of \( \sim 1.8 \) larger than the Chevallier et al. [1] and Bauhoff et al. [5] values, although only \( \sim 1.2 \) greater than the measurement obtained by Freer et al. [18]. The bandhead energies are quite different, however.

Perhaps the most intriguing recent theoretical predictions for the excitation energy region above 15 MeV in \(^{16}\text{O}\) concern \( \alpha \)-condensate states [28]. Ohkubo and Hirabayashi [29] have used a double folding model and the coupled channels method to study the proposed \( \alpha \)-chain state in \(^{16}\text{O}\). The calculations suggest that the \( \alpha \)-chain state should have an \( \alpha + ^{12}\text{C}\) \([7.65 \) MeV, \( 0^+_2\)] structure, where the three \( \alpha \)-particles in the \(^{12}\text{C}\) \([7.65 \) MeV, \( 0^+_2\)] Hoyle state are condensed. The bandhead, suggested to be a superfluid of four \( \alpha \)-particles, is found to be the \( 0^+ \) state at 15.1 MeV. Suhara et al. [30] reach a similar conclusion, following generator coordinate method calculations using a sum of a large number of Brink wavefunctions. The results show an extremely large overlap with a single Tohsaki-Horiuchi-Schuck-Röpke [28] condensate wavefunction, suggesting the states studied in \(^{16}\text{O}\) are “gaslike”. It is proposed that the \( \alpha \)-linear chain state in \(^{16}\text{O}\) has a one-dimensional alpha condensate character, with the four \( \alpha \)-particles trapped in a one-dimensional potential in a non-localized manner, like a “gas”.

The status of the four \( \alpha \)-linear chain state in \(^{16}\text{O}\) clearly remains uncertain at present, with neither experiment nor theory providing a particularly consistent picture of the energy - spin regime of the proposed rotational band. In an effort to improve the understanding of the 16 – 22 MeV excitation energy region in \(^{16}\text{O}\), a measurement of the \(^{13}\text{C}(^4\text{He},^8\text{Be} \ ^8\text{Be})\) and \(^{13}\text{C}(^4\text{He},^{12}\text{C}^+ \ \alpha)\) \(^n\), \(^{12}\text{C}^+ \rightarrow ^8\text{Be} + \alpha \) breakup reactions has been performed. It was hoped that by studying a different reaction mechanism to the \(^{12}\text{C}(^4\text{He},^8\text{Be})\) breakup function measurements of Refs. [1, 12–14, 20, 21], and the breakup work of Refs. [18, 19], fresh insights into the nature of the states in \(^{16}\text{O}\) in this excitation energy region would be obtained.

II. EXPERIMENTAL DETAILS

The experiment was performed at the University of Notre Dame FN tandem facility. A \(^4\text{He} \) beam was used at energies of 27.0, 27.5 and 28.0 MeV, with beam exposures of 48, 100 and 174 \( \mu \)C, respectively. Data were also taken at 22.0 MeV (with a beam exposure of 278 \( \mu \)C), but the yield and coverage were found to be minimal in the analysis, and the results are not presented. The beam was used to bombard a self supporting \(^{13}\text{C}\) target of 45 \( \mu \text{g/cm}^2 \) nominal thickness, made from carbon material enriched to a quoted value of 99% \(^{13}\text{C}\). The \(^{13}\text{C}(^4\text{He},^6\text{Be})\)\(^n\) and \(^{13}\text{C}(^4\text{He},^{12}\text{C}^+ \ \alpha)\)\(^n\), \(^{12}\text{C}^+ \rightarrow ^8\text{Be} + \alpha \) reactions of interest both have a final state of four \( \alpha \)-particles and a neutron, as the \(^8\text{Be} \) ground state is unbound to \( \alpha + \alpha \) decay by 92 keV. An array of four double sided silicon strip detectors (DSSD) was employed in order to detect the four \( \alpha \)-particles. Each DSSD was 500 \( \mu \text{m}\) thick and \( (5 \times 5) \) \( \text{cm}^2 \) in active area. Each face was segmented into 16 strips of 3 mm width, with the front face strips being horizontal and those on the rear face vertical. All four detectors were centered on the beam axis vertically, with two DSSDs being placed on either side of the beam. The detector distances and center angles were 10.0 cm and 
plus 50.8°, 14.0 cm and +23.5°, 13.9 cm and −28.6°, and 10.7 cm and −54.5°. The detector energy calibrations were performed using elastic scattering of a \(^4\text{He} \) beam from Pb, Al and C targets and a mixed \((^{148}\text{Gd} \text{ and } ^{241}\text{Am})\) \( \alpha \)-source. The detector energy resolution, as measured with the mixed \( \alpha \)-source, was \( \sim 80 \) keV.
FIG. 1: Total energy spectrum at a beam energy of 28.0 MeV. The expected positions of peaks corresponding to the \( ^{12}\text{C}(^4\text{He},\alpha\alpha\alpha\alpha)\)n and \( ^{12}\text{C}(^4\text{He},\alpha\alpha\alpha\alpha)\alpha \) reactions are labelled as \( Q_{\text{sg}}(^{13}\text{C}) \) and \( Q_{\text{sg}}(^{12}\text{C}) \), respectively.

### III. ANALYSIS AND RESULTS

After selecting events in which four particles were detected in the DSSD array, energy and position calibrations were applied to the data. As no particle identification was provided directly by the detectors, the four hits were assumed to be \( \alpha \)-particles in the analysis. The \( ^{13}\text{C}(^4\text{He},^8\text{Be} \ \text{or} \ ^{12}\text{C}^\ast \ \alpha)\)n and \( ^{13}\text{C}(^4\text{He},^4\text{C}^\ast) \) channels were identified using a Q-value spectrum produced by summing the energy of the four detected \( \alpha \)-particles (E1 to E4) with that of the undetected neutron (E\(_n\)). The total energy in the exit channel, E\(_{\text{tot}}\) = E1 + E2 + E3 + E4 + E\(_n\), is equal to the sum of the beam energy and the Q-value for the reaction, E\(_{\text{tot}}\) = E\(_{\text{beam}}\) + Q [31]. As the two \( ^{16}\text{O} \) decay channels of interest (\(^8\text{Be} + \ ^8\text{Be} \ \text{and} \ ^{12}\text{C}^\ast + \ \alpha\)) share the same final state of \( 4\alpha + \text{n} \), the reaction Q-value is the same for both, Q = −12.22 MeV. The undetected neutron energy was determined for each event from the missing momentum between the beam and four detected \( \alpha \)-particles, E\(_n\) = E\(_{\text{beam}}\) − \( P_1 - P_2 - P_3 - P_4 \), and by making the assumption that the missing mass was that of a neutron. Any misidentification of a detected particle (the particle is not an \( \alpha \)-particle as assumed) will lead to an incorrect momentum calculation for that particle, and an incorrectly assumed recoil mass. For such events the calculated E\(_{\text{tot}}\) energy will be incorrect, and hence these events will not appear at the energy of the reaction channels of interest, E\(_{\text{tot}}\) = E\(_{\text{beam}}\) + Q.

In Fig. 1 the E\(_{\text{tot}}\) spectrum obtained at a beam energy of 28.0 MeV is shown. The strong peak at E\(_{\text{tot}}\) = 15.74 MeV, labelled Q\(_{\text{sg}}(^{13}\text{C}) \) channel of interest, with all five final state particles being emitted in the ground state. For this reaction Q = −12.22 MeV, and hence the predicted position of the Q\(_{\text{sg}}(^{13}\text{C}) \) peak is 28.0 − 12.22 = 15.78 MeV. The additional peak in Fig. 1, labelled Q\(_{\text{sg}}(^{12}\text{C}) \), corresponds to the \( ^{12}\text{C}(^4\text{He},4\alpha)\) channel, for which Q = −7.28 MeV. The predicted energy for this peak is 28.0 − 7.28 = 20.72 MeV, and the measured value 20.55 MeV. The background below the two peaks (extending to the beam energy and higher) contributes to events where one (or more) of the detected particles has been misidentified.

After selecting events within the Q\(_{\text{sg}}(^{13}\text{C}) \) peak seen in Fig. 1, a search was made for the decay of \(^{8}\text{He} \rightarrow \alpha + \text{n} \). This was performed to ensure background events were not being detected from either the \((a) \ ^{13}\text{C}(^4\text{He},^{12}\text{C}^\ast)^5\text{He}, \ ^{12}\text{C}^\ast \rightarrow \ ^8\text{Be} + \alpha , \ (b) \ ^{13}\text{C}(^4\text{He},^8\text{Be}^\ast)^8\text{Be} , \ ^8\text{Be}^\ast \rightarrow \ ^5\text{He} + \alpha , \ (c) \ ^{13}\text{C}(^4\text{He},^{12}\text{C}^\ast)\alpha , \ ^{12}\text{C}^\ast \rightarrow \ ^8\text{Be} + \ ^8\text{Be} \ \text{or} \ (d) \ ^{13}\text{C}(^4\text{He},^{12}\text{C}^\ast)\alpha , \ ^{12}\text{C}^\ast \rightarrow \ ^9\text{Be}^\ast + \alpha , \ ^9\text{Be}^\ast \rightarrow \ ^5\text{He} + \alpha \) channels, none of which proceed via the \(^{16}\text{O} \) nucleus of interest.

The decay energy for any n-body decay of a parent nucleus may be determined from the kinetic energies and momenta of the decay particles:

\[
P_{\text{parent}} = \sum_{i=1}^{n} \mathbf{p}_{\text{particle}_i},
\]

\[
E_{\text{parent}} = \mathbf{P}_{\text{parent}}^2 / 2m_{\text{parent}},
\]

where \( m_{\text{parent}} \) is the mass of the decaying parent nucleus, and

\[
E_{\text{decay}} = \left( \sum_{i=1}^{n} E_{\text{particle}_i} \right) - E_{\text{parent}}.
\]

The decay energy is related to the excitation energy (E\(_x\)) of the decaying nucleus via E\(_x\) = E\(_{\text{decay}}\) − Q\(_n\), where Q\(_n\) is the n-body decay Q-value.

The decay energy spectrum for the \( \alpha + \text{n} \) decay of \(^8\text{He} \), obtained at a beam energy of 28.0 MeV, is shown in Fig. 2 (for all four combinations of \( \alpha + \text{n} \) together). The expected position (0.894 MeV) and width (Full Width Half Maximum (FWHM) = 0.648 MeV) of the \(^8\text{He} \) ground state is indicated by the (blue) dotted line. There is no compelling evidence for such a peak, thus ruling out significant background from the four channels, (a) to (d), involving \(^8\text{He} \) decay mentioned above.

The decay energy spectrum for the \( \alpha + \alpha \) decay of \(^8\text{Be} \) is shown in Fig. 3. This was obtained at a beam energy of 28.0 MeV, and includes all three possible paired combinations of the four \( \alpha \)-particles together. For each event the three possible pairs of combinations are 1) \( \alpha_1 + \alpha_2 \) and \( \alpha_3 + \alpha_4 \), 2) \( \alpha_1 + \alpha_3 \) and \( \alpha_2 + \alpha_4 \), and 3) \( \alpha_1 + \alpha_2 \) and \( \alpha_3 + \alpha_4 \). The strong peak observed at E\(_{\text{decay}}\) = 92 keV corresponds to decay from the \(^8\text{Be} \) ground state \( (^8\text{Be}_{gs}) \). In order to select events arising from the \(^8\text{Be}_{gs} \ ^8\text{Be}^\ast \) decay of \(^{16}\text{O} \), a search was made for events in which the \(^8\text{Be} \) decay energy of both pairs of one of the possible \( \alpha + \alpha \) combinations (1 to 3, as noted above) lay within the peak, whilst the other four \( \alpha \) pairs, corresponding
to the other two combinations, did not. Such events are denoted $^8\text{Be}_{gs}$ and $^8\text{Be}_{gs'}$. Similarly, in order to select the $^{12}\text{C}^* + \alpha$, $^{12}\text{C}^* 	o ^9\text{Be} + \alpha$ decay of $^{16}\text{O}$, events were selected in which only one of the six possible $\alpha$-pairs lay within the $^8\text{Be}$ ground state peak observed in Fig. 3. These events are denoted as $^8\text{Be}_{gs}$ and $^8\text{Be}_{gs'}$.

Once events had been selected as either a) $^8\text{Be}_{gs}$ or $^8\text{Be}_{gs'}$, the two pairs of $\alpha$-particles were used in conjunction with the reconstructed neutron, to calculate the excitation energy for $^9\text{Be} \to \alpha + \alpha + n$ (to give $E_x(^9\text{Be})$ and $E_x(^{10}\text{Be})$). The resulting excitation energy spectra for a) $^8\text{Be}_{gs}$ and b) $^8\text{Be}_{gs'}$, are shown in Fig. 4, for the data obtained at a beam energy of 28.0 MeV. In each case both $E_x(^9\text{Be})$ and $E_x(^{10}\text{Be})$ are plotted added together. In Fig. 4(a) a broad peak may be seen at $E_x(^9\text{Be}) = 2.88$ MeV, which corresponds to the known ($1080 \pm 110$) keV wide $\frac{1}{2}^-$ state at 2.78 MeV in $^9\text{Be}$ [32]. This state is populated in the $^{13}\text{C}(^4\text{He},^3\text{Be})^8\text{Be}_{gs}$, $^9\text{Be}^* \to ^8\text{Be}_{gs} + n$ reaction, which does not proceed via the $^{16}\text{O}$ nucleus of interest. The $E_x(^9\text{Be})$ experimental resolution at this energy is 400 keV. This value was obtained from a Monte Carlo simulation of the $^{13}\text{C}(^4\text{He},^3\text{Be})^8\text{Be}_{gs}$, $^9\text{Be}^* \to ^8\text{Be}_{gs} + n$ reaction, which included the reaction kinematics, detector acceptances and the physical effects that contribute to the resolution (beam quality, beam and fragment energy loss, energy straggling and angular straggling in the target, and detector energy and position resolution). Adding the width of the state in quadrature to the experimental resolution suggests this state should be observed with an experimental width of $\sim 1150$ keV, in excellent agreement with the $[1140 \pm 75]$ keV obtained by fitting a Gaussian peak above a smoothly varying background, to the spectrum shown in Fig. 4(a).

A clear peak may also be seen in Fig. 4(b), at an energy of $E_x(^9\text{Be}) = 2.44$ MeV. This corresponds to the known $^9\text{Be} \frac{1}{2}^-$ state at 2.43 MeV. This state arises from the population of either the (a) $^{13}\text{C}(^4\text{He},^3\text{Be})^8\text{Be}^*$, $^9\text{Be}^* \to ^8\text{Be}_{gs} + n$ or (b) $^{13}\text{C}(^4\text{He},^3\text{Be})^8\text{Be}_{gs}$, $^9\text{Be}^* \to ^8\text{Be}^* + n$ or (c) $^{13}\text{C}(^4\text{He},^3\text{C}^*)^8\text{Be}^*$, $^{13}\text{C}^* \to ^9\text{Be}^* + \alpha$, $^9\text{Be}^* \to ^8\text{Be}_{gs} + n$ channels, none of which decay via the $^{16}\text{O}$ nucleus of interest. The 2.43 MeV state in $^9\text{Be}$ has a width of only 0.78 keV [32], and hence the width of the observed peak, $(370 \pm 8)$ keV, is determined by the experimental resolution. The Monte Carlo simulation indicates this is 365 keV, in excellent agreement. In order to remove events from the $^9\text{Be}$ 2.78 MeV state (in the case of the $^8\text{Be}_{gs}$ events seen in Fig. 4(a)) and the 2.43 MeV state (for the $^8\text{Be}_{gs}$ events seen in Fig. 4(b)) a gate has been applied to the data, such that only events with $E_x(^9\text{Be}) \geq 3.5$ MeV (indicated by the vertical (blue) dotted line in Fig. 4) have been accepted. For consistency the same gate was applied to both the $^8\text{Be}_{gs} + ^8\text{Be}_{gs}$ and $^8\text{Be}_{gs} + n$ events.

A. The $^8\text{Be} + ^8\text{Be}$ decay of $^{16}\text{O}$

The excitation energy spectra for the $^8\text{Be}_{gs} + ^8\text{Be}_{gs}$ decay of $^{16}\text{O}$, obtained from the $^{13}\text{C}(^4\text{He},^8\text{Be}_{gs})^8\text{Be}_{gs}$ reaction (for all three beam energies added together) are shown in Fig. 5. In Fig. 5(a) all $^8\text{Be}_{gs} + ^8\text{Be}_{gs}$ events are shown, whereas in Figs. 5(b) and 5(c) only those events for which $E_x(^9\text{Be}) \geq 3.5$ MeV are displayed (see above). In both Fig. 5(a) and Fig. 5(b), the (blue) dot-dash line indicates the experimental detection efficiency, at the central beam energy of 27.5 MeV. This was obtained from a Monte Carlo simulation of the reaction, in which the angular distributions, for both the production, and subsequent decay, of the excited $^{16}\text{O}$, were isotropic. The peak efficiency values are noted in each case. The effect of the $E_x(^9\text{Be}) \geq 3.5$ MeV gate, to significantly reduce the background in the $E_x(^{16}\text{O}) = 20 - 24$ MeV region, can be seen by comparing Fig. 5(a) and Fig. 5(b).

In breakup reactions such as those studied here, it is
usually possible to obtain spin information, by studying the decaying fragment angular correlations [33]. In the case of the present \(^{13}\)C\(^{(4}\)He, \(^{8}\)Be\(^{∗}\)\(^{8}\)Be\(^{gs}\)\)n reaction, however, the angular correlations produced are featureless, and simply reproduce the predicted coverage obtained from Monte Carlo simulations of the experiment. As such they are not shown, and it has not been possible to determine the spins of the states observed in Fig. 5.

B. The \(^{12}\)C + \(\alpha\) decay of \(^{16}\)O

The \(^{8}\)Be\(^{gs}\) + \(^{8}\)Be\(^{gs}\) events in the data have been assumed to arise from the \(^{13}\)C\(^{(4}\)He, \(^{16}\)O\(^{∗}\)\)n, \(^{16}\)O\(^{∗}\) \(\rightarrow\) \(^{12}\)C\(^{∗}\) + \(\alpha\), \(^{12}\)C\(^{∗}\) \(\rightarrow\) \(^{8}\)Be\(^{gs}\) + \(\alpha\), \(^{8}\)Be\(^{gs}\) \(\rightarrow\) \(\alpha\) + \(\alpha\) reaction. If the two \(\alpha\)-particles produced in the decay of the \(^{8}\)Be ground state are labelled \(\alpha_1\) and \(\alpha_2\), then the two remaining \(\alpha\)-particles (\(\alpha_3\) and \(\alpha_4\)) must arise from the \(^{16}\)O\(^{∗}\) \(\rightarrow\) \(^{12}\)C\(^{∗}\) + \(\alpha\) and \(^{12}\)C\(^{∗}\) \(\rightarrow\) \(^{8}\)Be\(^{gs}\) + \(\alpha\) decays. As it is not possible to distinguish which \(\alpha\)-particle (\(\alpha_3\) or \(\alpha_4\)) belongs to which decay, it is necessary to reconstruct the decaying \(^{12}\)C nucleus in two ways, to give \(E_x\)(\(^{12}\)C\(^{∗}\) \(\rightarrow\) \(^{8}\)Be\(^{gs}\) + \(\alpha_3\)) and \(E_x\)(\(^{12}\)C\(^{∗}\) \(\rightarrow\) \(^{8}\)Be\(^{gs}\) + \(\alpha_4\)). The two excitation energies obtained in this way are shown plotted against each other in Fig. 6, for the data obtained at a beam energy of 28.0 MeV. It is noted that the data shown in Fig. 6 correspond to all \(^{8}\)Be\(^{gs}\) + not \(^{8}\)Be\(^{gs}\) events (the \(E_x\)(\(^{8}\)Be\(^{gs}\)) \(\geq\) 3.5 MeV gate discussed above has not been applied). The two horizontal and vertical loci seen in Fig. 6 correspond to the 7.65 MeV 0\(^2\) + and 9.64 MeV 3\(^−\) excited states in \(^{12}\)C. These may be seen clearly in the upper and right panels, which are projections of the data onto the X and Y axes, respectively. The (red) boxes in the main panel indicate the gates used to select the two states.

The excitation energy spectra for the decay of \(^{16}\)O to \(^{12}\)C\(^{(7.65}\)MeV\) + \(\alpha\), obtained from the \(^{13}\)C\(^{(4}\)He, \(^{12}\)C\(^{∗}\) \(\alpha\)\)n reaction, are shown in Fig. 7. In Fig. 7(a) all \(^{8}\)Be\(^{gs}\) + not \(^{8}\)Be\(^{gs}\) events proceeding via the 7.65 MeV 0\(^2\) + state in \(^{12}\)C are shown, whereas in Fig. 7(b) the \(E_x\)(\(^{8}\)Be\(^{gs}\)) \(\geq\) 3.5 MeV gate (see above) has been applied. The data shown correspond to all three beam energies added together. In both Figs. 7(a) and 7(b) the (blue) dot-dash line indi-
Hence events in which both $E_x$ not selected, leading to the reduction in efficiency seen 9.64 MeV and $E_x$ served. As noted in Section III, these correspond to the $5g$ peaks, labelled $Q_{5g}$, of the excitation energy spectrum shown in Fig. 1. For the first beam energy (27.5 MeV), the $Q_{5g}$ yield is $\sim 11\%$ of that in the $Q_{5g}$ peak, and for the 27.0 MeV data (taken between the 27.5 and 28.0 MeV beam energies) the ratio is $14\%$. The ratio of $Q_{5g}$ to $Q_{5g}$ yield is shown in Fig. 9, plotted against the cumulative beam exposure of the experiment. The data have been analyzed in blocks of approximately 25 $\mu$C width (represented by the horizontal error bars). The vertical error bars were obtained from the statistical uncertainties on the yield values. The cumulative beam exposure range of $\sim 150$ to 425 $\mu$C, where no data points are plotted, corresponds to the 22.0 MeV beam measurements. At this energy no appreciable yield was observed in the $13C(4He,4\alpha)$ channel. Whilst some variation in the $Q_{4g}$ ratio would be expected due to the energy dependence of the $13C(4He,4\alpha)$ and $12C(4He,4\alpha)$ cross-sections, it is clear in Fig. 9 that there is a significant increase in the ratio (from $\sim 11\%$ to 29\%).

**IV. DISCUSSION**

In the $E_{4g}$ spectrum shown in Fig. 1, two distinct peaks, labelled $Q_{5g}(13C)$ and $Q_{4g}(12C)$, can be observed. As noted in Section III, these correspond to the $13C(4He,4\alpha)$, and $12C(4He,4\alpha)$ channels, respectively.

The yield in the $Q_{4g}(12C)$ peak is $\sim 24\%$ of that observed in the $Q_{5g}(13C)$ peak, a much higher value than would be expected based on the nominal $\sim 1\%$ $12C$ present in the target. It should be noted, however, that the data presented in Fig. 1 correspond to the last beam energy (28.0 MeV) measured in the experiment. For the first beam energy (27.5 MeV), the $Q_{4g}(12C)$ yield is $\sim 11\%$ of that in the $Q_{5g}(13C)$ peak, and for the 27.0 MeV data (taken between the 27.5 and 28.0 MeV beam energies) the ratio is $14\%$. The ratio of $Q_{4g}(12C)$ to $Q_{5g}(13C)$ yield is shown in Fig. 9, plotted against the cumulative beam exposure of the experiment. The data have been analyzed in blocks of approximately 25 $\mu$C width (represented by the horizontal error bars). The vertical error bars were obtained from the statistical uncertainties on the yield values. The cumulative beam exposure range of $\sim 150$ to 425 $\mu$C, where no data points are plotted, corresponds to the 22.0 MeV beam measurements. At this energy no appreciable yield was observed in the $13C(4He,4\alpha)$ channel. Whilst some variation in the $Q_{4g}(12C)$ ratio would be expected due to the energy dependence of the $13C(4He,4\alpha)$ and $12C(4He,4\alpha)$ cross-sections, it is clear in Fig. 9 that there is a significant increase in the ratio (from $\sim 11\%$ to 29\%), not only as a general function of cumulative beam exposure (and hence time into the experiment), but also within individual beam energy mea-
measurements. This can be most clearly seen in the 28.0 MeV data (blue points), where the ratio increases from ~20 to 29.5%. As these points correspond to a single beam energy, the increase in ratio cannot be the result of a change in either the $^{13}\text{C}(^{4}\text{He},4\alpha)$n or $^{12}\text{C}(^{4}\text{He},4\alpha)$ cross-section, and must result from a change in the target composition. Fig. 9 therefore provides clear evidence for significant carbon build-up on the target during the experiment, leading to the increase in $Q_{4g}(^{12}\text{C})$ to $Q_{5g}(^{13}\text{C})$ ratio observed. This hypothesis is supported by measurements of the $^{12}\text{C}(^{4}\text{He},^{8}\text{Be})^{8}\text{Be}$, $^{12}\text{C}(^{4}\text{He},^{12}\text{C}^{*}[7.65\text{ MeV},0_{2}^{+}])$α and $^{12}\text{C}(^{4}\text{He},^{12}\text{C}^{*}[9.64\text{ MeV},3^{-}])$α excitation functions, that were made during the same experimental campaign at Notre Dame [21]. These data were obtained from two different $^{12}$C targets (denoted $^{12}$C$_{1}$ and $^{12}$C$_{2}$). Each was measured before and after exposure to the beam, to allow the increase in thickness arising from carbon deposition to be determined. Target $^{12}$C$_{1}$ was measured to be ~46 (53) µg/cm² before (after) exposure to the beam, indicating carbon build-up of 7 µg/cm². For target $^{12}$C$_{2}$, the thickness increased from ~40 to 51 µg/cm², a build-up of 11 µg/cm². As the present data were taken under the same experimental conditions (the same vacuum chamber and pumping system), and the mean beam currents were similar for all three targets (4.9 nA for target $^{12}$C$_{1}$, 5.7 nA for target $^{12}$C$_{2}$ and 6.2 nA for the $^{13}$C target), it is not unreasonable to expect a similar level of carbon build-up on the $^{13}$C foil. Scaling for the different total beam exposures for the three target measurements (1009, 783 and 600 µC for targets $^{12}$C$_{1}$, $^{12}$C$_{2}$ and $^{13}$C, respectively) suggests a total of 4.2 to 8.4 µg/cm² carbon deposition on the $^{13}$C target. If it is assumed that the factor of 2.8 increase (from 10.5 to 29.5%) in $Q_{4g}(^{12}\text{C})$ to $Q_{5g}(^{13}\text{C})$ ratio observed in Fig. 9 arises solely from carbon build-up, then the initial $^{12}$C thickness would be 2.3 – 4.4 µg/cm². This corresponds to ~5 – 10% of the nominal 45 µg/cm² $^{13}$C target thickness, somewhat higher than the 1% $^{12}$C expected from the quoted carbon $^{13}$C enrichment.

The excitation energy spectrum for the $^{8}\text{Be}_{gs} + ^{8}\text{Be}_{gs}$ decay of $^{16}$O, obtained after the $E_{x}(^{9}\text{Be}) \geq 3.5\text{ MeV}$ gate had been applied, can be seen in Fig. 5(b) (and with an expanded Y-scale in Fig. 5(c)). One strong peak can be observed, at $E_{x} = 19.4\text{ MeV}$, as can a number of weaker features. The spectrum has been fitted with a series of Gaussian peak shapes above a smoothly varying background. The dotted (green) lines in Figs. 5(b) and 5(c)
show the individual fitted peaks, the dashed (magenta) lines the background and the smooth (red) lines the overall fit. The resulting centroids and widths (FWHM) obtained for four of the features observed in the spectrum are listed in Tab. I, as is the energy of one additional peak, which appears to give rise to a shoulder observed at 18.9 MeV. The uncertainties quoted for the centroids reflect a 200 keV systematic uncertainty in the peak energies, typical of that normally seen in breakup reactions such as those studied here. In all cases this systematic error dominates the statistical uncertainty of the fit. For the widths the uncertainties quoted are those obtained from the peak fitting routine only, and reflect the statistical accuracy of the fit, for which the $\chi^2$ per degree of freedom is 2.0.

The $^{16}$O excitation energy spectra shown in Fig. 5 correspond to all three beam energies added together. In order to investigate the nature of the peaks observed in Figs. 5(b) and 5(c), the $E_x$ spectra obtained at the three different beam energies are shown individually in Fig. 10. The data corresponding to beam energies of 27.0, 27.5 and 28.0 MeV are shown in Figs. 10(a), (c) and (e), and again, with expanded Y-scales, in Figs. 10(b), (d) and (f), respectively. The $E_x$ spectra have been fitted with a number of Gaussian peak shapes above a smoothly varying background, with the individual fitted peaks shown by the dotted (green) lines, the background by the dashed (magenta) lines, and the overall fit by the smooth (red) lines. Although there is perhaps some evidence for a peak at $E_x \sim 18.1$ MeV in the 27.0 MeV beam energy data (Figs. 10(a) and (b)), the limited statistics mean that a reliable fit can only be obtained when two peaks are included. These are the shoulder at 18.9 MeV, and the strong peak at 19.4 MeV. In the case of both the 27.5 MeV (Figs. 10(c) and (d)) and 28.0 MeV (Figs. 10(e) and (f)) beam energy data, all 5 of the peaks seen in Figs. 5(b) and 5(c) (at $E_x = 17.3, 18.0, 18.9, 19.4$ and 21.0 MeV) can be observed and have been fitted. The average variation in peak centroid across all of the fits is 30 keV. For the width, the variation is 70 keV. This observation, of the peaks at more than one beam energy, suggests that they do not correspond to statistical fluctuations in the data, but instead to states in $^{16}$O, at these particular excitation energies.

The energy and width of the one peak for which a reliable fit could be obtained for the $^{13}$C($^4$He,$^{12}$C$^{[7.65\text{ MeV}]}$ $\alpha$n) reaction (shown in Fig. 7(b)) is given in Tab. I. No reliable fit (of a Gaussian peak shape above a smoothly varying background) was obtained for the $^{13}$C($^4$He,$^{12}$C$^{[9.64\text{ MeV}]}$ $\alpha$n) decay channel, shown in Fig. 8(b).

The cross-sections for the peaks observed in the $^{16}$O excitation energy spectra, for decays via the $^{12}$C($^4$He,$^{8}$Be$_{gs}$ $^{8}$Be$_{gs}$) and $^{13}$C($^4$He,$^{12}$C$^{[7.65\text{ MeV}]}$ $\alpha$n) channels, are listed in Tab. I. These values were determined from the yields obtained from the Gaussian peak fitting discussed above. At each beam energy the yields in the peaks were scaled by the beam exposure, the $^{13}$C target thickness, and the experimental detection efficiency at the excitation energy of the peak centroids. The detection efficiencies were obtained from Monte Carlo simulations of the reactions and detector setup. In these simulations random number generators that reproduced the exponential of mass (CM) angular distributions for the initial $^{12}$C($^4$He,$^{16}$O)$^n$ reaction were used. These were obtained by studying the CM scattering angle (denoted $\theta^*$) of the recoiling neutron. At each beam energy the full ($0^\circ - 180^\circ$) CM angular range was observed. Due to statistical limitations, only one $\theta^*$ random number generator was produced per beam energy, for each of the $^{8}$Be$_{gs}$ + $^{8}$Be$_{gs}$ and $^{12}$C$^{[7.65\text{ MeV}]}$ + $\alpha$ decay channels (a separate $\theta^*$ generator was not used for each individual state). The sequential decay of the excited $^{16}$O$^*$ to $^{8}$Be$_{gs}$ + $^{8}$Be$_{gs}$ and $^{12}$C$^{[7.65\text{ MeV}]}$ + $\alpha$ was simulated with an isotropic distribution. The uncertainties were calculated at each energy from the statistical errors on the fitted yield for each of the peaks, and from an estimated 20% systematic uncertainty in the $^{13}$C target thickness. An additional uncertainty also arises from the use of a single $\theta^*$ random number generator at each beam energy, as opposed to an individual distribution for every peak. An estimate of this uncertainty this produces in the Monte Carlo predicted detection efficiency has been obtained by repeating the cross-section calculations, using efficiencies obtained with isotropic $\theta^*$ distributions (rather than those measured experimentally). This analysis indicates a cross-section variation of up to 10%, and this value has been included in the calculation of the overall error in the cross-section values. As no significant variation in cross-section with beam energy was observed, the values listed in Tab. I represent weighted averages for the three different beam energies used in the experiment.

A comparison of the $^{8}$Be$_{gs}$ + $^{8}$Be$_{gs}$ breakup excitation energy spectrum (solid (black) line) with the $^{12}$C($^4$He,$^{8}$Be)$^{8}$Be $90^\circ$ yield excitation function of Curtis et al. [21] (dotted (red) line) can be seen in Fig. 11(a). All of the peaks observed in the breakup spectrum (and listed in Tab. I) appear to correspond to features in the excitation function. The weakly populated peak seen at (17.3 ± 0.2) MeV in the present work appears close to the energy of both the 17.15 MeV 2$^+$ resonance reported by Chevallier et al. [1], and the 17.5 MeV 2$^+$ state observed in the $^{8}$Be + $^{8}$Be breakup of $^{16}$O by Freer et al. [18]. In the later excitation function measurement of Curtis et al. [21] it was suggested that a narrow 2$^+$ resonance exists at 17.10 MeV, interfering with a broad structure appearing between 16.5 and 17.5 MeV. There is only one state known to decay to the $^{8}$Be + $^{8}$Be channel listed in the current mass 16 compilation [3], within the ± 200 keV uncertainty range in the centroid energy of the 17.3 MeV peak. This is the (17.197 ± 0.017) MeV, (160 ± 60) keV wide, 2$^+$ state (listed with an energy of 17.17 MeV (Tab. 16.12) in an earlier compilation, [34]). The same centroid of 17.17 MeV is also given by Ames [17], who observed decay to the $^{12}$C$^{[7.65\text{ MeV}]} + \alpha$ channel from a weak state at this energy, with a width of ~ 150 keV. Ames
FIG. 10: (Color online) Excitation energy for the $^8$Be$_{gs} + ^8$Be$_{gs}$ decay of $^{16}$O, at beam energies of a) and b) 27.0, c) and d) 27.5, and e) and f) 28.0 MeV. In a), c) and e) the full spectra are shown, whereas in b), d) and f) the Y-scale has been expanded. In all panels the results of a Gaussian peak fit are shown (see text).

suggested that this state could correspond to the $\sim 200$ keV wide $2^+$ resonance observed in the $^{12}$C($^4$He,$^8$Be)$^8$Be channel. Taken together, this suggests that the ($17.3 \pm 0.2$) MeV peak seen in the present work is a candidate for a $2^+$ state, although due to the poor coverage and statistics in this region, this assignment must remain tentative.

In the mass 16 compilation [3], there is only one state listed within the 200 keV excitation energy uncertainty range of the (18.0 $\pm$ 0.2) MeV peak observed in the current work, that is known to decay to the $^8$Be channel. This $4^+$ state, at (18.016 $\pm$ 0.001) MeV, was found by Ames [17] to also decay to the $^{12}$C$^*$[7.65 MeV] + $\alpha$ channel, and was suggested to be an excited core state. In the Curtis et al. [21] excitation function, a $4^+$ resonance was also identified at 18.03 MeV, and a (2$^+$, 4$^+$) state has been seen at 18.0 MeV in the $^{12}$C($^{16}$O,$4\alpha$)$^{12}$C breakup reaction [18]. Similarly, Chevallier et al. [1] observed a $4^+$ resonance at 18.05 MeV in the $^8$Be$_{gs} + ^8$Be$_{gs}$ channel, and also found a resonance at the same energy in the $^{15}$N + p system. This suggests that the (18.0 $\pm$ 0.2) MeV peak observed in the current breakup work may correspond to a $4^+$ state at this energy in $^{16}$O. There is also some evidence for a shoulder in the present breakup spectrum at $E_x = (18.9$ MeV $\pm$ 0.2), which may correspond to the broad ($L = 4$) feature seen at around 18.6 MeV in the Curtis et al. [21] excitation function. Two
broad states, that decay to the $^8$Be channel, are listed in Ref. [3] in this region, at 18.6 and (18.785 ± 0.006) MeV, with widths and spin assignments of ~300 keV and (4$^+$), and (260 ± 20) keV and 4$^+$, respectively. It is possible that the shoulder seen at $E_x = (18.9 ± 0.2)$ MeV in Fig. 11(a) might correspond to an unresolved, weak population of these two states.

The strongest peak in the current breakup work, at (19.4 ± 0.2) MeV, appears at an energy close to the 19.3 MeV 4$^+$ state seen in the Freer et al. [18] data, and the only state listed in the 19.2 to 19.6 MeV region in the mass 16 compilation [3] that decays to $^8$Be, the (6$^+$) state at 19.319 MeV (observed by Ames [17] to also decay to the $\alpha + ^{12}$C channel). The (19.4 ± 0.2) MeV peak is also close to the 19.35 MeV 6$^+$ resonance reported by Chevallier et al. [1]. This resonance was found in the later $^{12}$C($^4$He,$^8$Be)$^8$Be excitation function measurement of Curtis et al. [21], however, to be a double peak at $E_x = 19.29$ and 19.36 MeV. A phase shift analysis of this double peak suggested it arose from the interference between the background and a single, narrow, $J^\pi = 2^+$ or 4$^+$ resonance at 19.3 MeV. The observation of a peak in this excitation energy region in all four channels (the current $^{13}$C($^4$He,$^8$Be$gs$ $^8$Be$gs$) breakup reaction, the $^{12}$C($^{16}$O,$^8$Be$gs$ $^8$Be$gs$)$^{12}$C breakup reaction [18], the $^{12}$C($^4$He,$^8$Be$gs$)$^8$Be$gs$ excitation function [21] and in $\alpha$-decay [17]) suggests that a state does exist in $^{16}$O at this energy, with the breakup study of Freer et al. [18] indicating a spin and parity of 4$^+$. There is also some evidence for a peak in the present measurement at $E_x = (21.0 ± 0.2)$ MeV, the same energy as a narrow 4$^+$ or 6$^+$ feature in the Curtis et al. [21] excitation func-

FIG. 11: (Color online) Excitation energy obtained from the $^{13}$C($^4$He,$\alpha)n$ breakup reaction (solid (black) lines and left hand Y-scale) and $^{12}$C($^4$He,$\alpha$) excitation functions of Curtis et al. [21] (dotted (red) lines and right hand Y-scale), for the a) $^8$Be$gs$ + $^8$Be$gs$, b) $^{12}$C$^*$[7.65 MeV] + $\alpha$ and c) $^{12}$C$^*$[9.64 MeV] + $\alpha$ decay of $^{16}$O. The vertical (blue) dashed lines indicate the energies (in MeV) of the fitted peaks and features (see text).
reaction, with a cross-section given by:

$$\sigma \propto \frac{(2J + 1)\Gamma_{\alpha}^{\alpha}S_{8Be}}{(E - E_\alpha)^2 + \Gamma_{total}^2/4},$$

where $\Gamma_{\alpha}$ is the $^{12}$C + $^4$He entrance channel partial width, $S_{8Be}$ the $^8$Be + $^8$Be exit channel partial width and $\Gamma_{total}$ the full width of the resonance. This cross-section is dependent on the spin of the resonance, $J$, indicating the low spin ($J^\pi = 2^+$ or $4^+$) of the 19.3 MeV resonance will cause a relatively low cross-section for population via the $^{12}$C($^4$He,$^8$Be)$^8$Be resonance reaction. In the case of the $^{13}$C($^4$He,$^8$Be)$^8$Be reaction, the $^{13}$C + $^4$He entrance channel can populate a variety of spin states in $^{17}$O, which will in turn neutron decay, to populate the states observed in $^{16}$O. As the neutron would be expected to carry away low spin, the $^{13}$C($^4$He,$^1$O)$^8$Be reaction will preferentially populate low spin states in $^{16}$O. Hence, for a low spin state, the cross-section will be small in the $^{12}$C($^4$He,$^8$Be)$^8$Be resonance reaction, and large in the $^{13}$C($^4$He,$^8$Be)$^8$Be reaction, as seen with the (19.4 ± 0.2) MeV peak in Fig. 11(a). This adds support to the $J^\pi = 2^+$ or $4^+$ assignment made in Ref. [21].

V. SUMMARY

The $^{13}$C($^4$He,$^8$Be)$^8$Be reaction has and $^{13}$C($^4$He,$^{12}$C$^*$)$^8$Be reactions have been studied at beam energies of 27.0, 27.5 and 28.0 MeV. A comparison with previous measurements of both the $^{12}$C($^4$He,$^8$Be)$^8$Be excitation function and $^{12}$C($^{16}$O,$^4$He)$^{12}$C breakup channel suggests a $^4$+ state may exist in $^{16}$O at an excitation energy of (18.0 ± 0.2) MeV, with tentative evidence also being found for a $^2$+ state at (17.3 ± 0.2) MeV and a $^4$+ or $6^+$ state at (21.0 ± 0.2) MeV. The strongest state observed in the current work, at (19.4 ± 0.2) MeV, appears to correspond to the $^2^+$ or $^4^+$ resonance seen at 19.3 MeV in a previous study of the $^{12}$C($^4$He,$^8$Be)$^8$Be excitation function [21], and the $^4^+$ state observed at 19.3 MeV in the breakup measurement of Freer et al. [18]. The relative cross-sections expected for resonant and sequential breakup reactions also suggest the state is of low spin.

It is clear that much work still needs to be performed in order to clarify the situation regarding the four- or linear chain state in $^{16}$O. Measurements in the excitation energy region suggested by the calculations of Ichikawa et al. [25] and Yao et al. [27], in which the rotational bandhead appears in the $E_x = 30 – 38$ MeV region, appear extremely challenging experimentally. However, a high resolution breakup measurement in the present 16 – 23 MeV range should be possible. A repeat of the $^{12}$C($^{16}$O,$^4$He)$^{12}$C breakup study of Freer et al. [18] at high resolution would, for example, allow spin assignments to be made for the various structures of interest, and allow a more detailed comparison with the $^{12}$C($^4$He,$^8$Be)$^8$Be excitation function measurements of Chevallier et al. [1] and Curtis et al. [21].

VI. ACKNOWLEDGEMENTS

The assistance of the staff at the University of Notre Dame FN tandem facility is gratefully acknowledged. This work was funded by the United Kingdom Science and Technology Facilities Council and the United States National Science Foundation under contract number PHY08-22648. One of the authors (G.G.) would like to thank Prof. Daniel Zajñman and Prof. Yossi Nir of the Weizmann Institute for financial support.