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Metacognition in multisensory perception

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28

29 **ABSTRACT**

30 Metacognition, the ability to monitor one's own decisions and representations, their accuracy and  
31 uncertainty is considered a hallmark of intelligent behaviour. Little is known about metacognition  
32 in real-world situations where the brain is bombarded with signals in different sensory modalities.  
33 To form a coherent percept of our multisensory environment, the brain should integrate signals  
34 from a common cause, but segregate those from independent causes. Perception thus relies on inferring  
35 the world's causal structure, raising new challenges for metacognition. We discuss the extent  
36 to which observers can monitor their uncertainties not only about their final integrated percept but  
37 also about the individual sensory signals and the world's causal structure. The latter causal metacognition  
38 highlights fundamental links between perception and other cognitive domains such as social and abstract reasoning.

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42 **TRENDS**

43 To form a coherent percept of our multisensory environment the brain needs to integrate signals  
44 caused by a common source (e.g. event), but segregate those from different sources; natural multisensory  
45 perception thus relies inherently on inferring the world's causal structure.

46 Human observers are known to metacognitively monitor the uncertainty of their perceptual estimates  
47 in simple sensory tasks, but it is unclear whether they can monitor their uncertainties about  
48 their integrated percept, the individual sensory signals and the causal structure of complex multisensory  
49 environments.

50 Causal metacognition highlights fundamental links between perception and other cognitive domains  
51 such as social and abstract reasoning and may be critical for our understanding of neuropsychiatric  
52 diseases such as schizophrenia.

53

54

55

56 **KEYWORDS:** Metacognition, Multisensory Perception, Crossmodal Integration, Bayesian Causal  
57 Inference, Cue Combination, Uncertainty, Confidence

58 **MAIN TEXT**

59 **Metacognition: Monitoring one's own cognition**

60 'Metacognition' refers to cognitive processes about other cognitive processes, knowing about  
61 knowing, or beliefs about one's own beliefs. It describes the formation of second-order representa-  
62 tions that allow observers to monitor their first-order representations about objects or events in the  
63 real world [1–3]. Metacognitive research investigates the extent to which observers can assess the  
64 uncertainty or accuracy of their perceptual representations and judgments. For instance, observers  
65 cannot only spot a friend in the crowd, but also metacognitively evaluate their uncertainty or doubt-  
66 fulness about their first-order perceptual interpretation (e.g., "Is this really my friend?"). In a wider  
67 sense, though, metacognition characterizes an observer's ability to introspect the perceptual infer-  
68 ence processes that led to their first-order world representations [4]. Metacognition can operate in a  
69 number of domains including perception [5–7], memory [8,9], collective decision-making [10] and  
70 social learning [11,12].

71 Despite a recent surge of interest in metacognition, the majority of perception research to date has  
72 focused on simple visual or auditory tasks that were based on one single signal stream [7,13–16].

73 Yet, in our natural environment, our senses are constantly bombarded with many different signals.  
74 In order to form a coherent percept of the world, the brain is challenged to integrate signals caused  
75 by common events, but segregate those caused by independent events. Natural perception thus re-  
76 lies inherently on inferring the world's causal structure. In this review, we focus on the challenges a  
77 natural complex environment poses not only for first-order perception, but also for second-order  
78 metacognition. First, we introduce Bayesian Causal Inference as a normative model that describes  
79 how an ideal observer should arbitrate between sensory integration and segregation when exposed  
80 to multiple sensory signals in our natural environment [17–19]. Next, we discuss whether observers  
81 can monitor their uncertainties associated with the different sorts of estimates that Bayesian Causal  
82 Inference involves, such as the uncertainties about their final integrated percept, the individual sen-  
83 sory estimates, and the inferred causal structure of the world [2,20,21]. Finally, we ask  
84 er human observers can move beyond the integrated percept and metacognitively introspect those  
85 perceptual inference processes. Is multisensory perception encapsulated as an unconscious infer-  
86 ence process, or is it open to metacognitive introspection? While we focus on multisensory percep-  
87 tion and cue combination as prime examples for the integration of information from independent  
88 sensory channels [17,22,23], the fundamental challenges and principles apply more generally to sit-

89 uations and tasks that require information integration and segregation in perception and wider cog-  
90 nition (Box 1).

91 Metacognition enables human and non-human observers [24] to act more strategically, for instance,  
92 to determine whether or not to defer a response and acquire more information [20,25]. Causal meta-  
93 cognition is, in particular, critical for situations with information emanating from potentially differ-  
94 ent sources not only in perception, but also in social and abstract reasoning [17,26].

95

### 96 **Metacognition in perception**

97 In the 19<sup>th</sup> Century, Helmholtz described perception as ‘unconscious inference’ that maps from  
98 noisy sensory inputs to perceptual interpretations and choices under the guidance of prior experi-  
99 ence [27]. Likewise, more recent Bayesian statistical models formalize perception as a probabilistic  
100 inference process whereby the brain combines prior expectations with uncertain sensory evidence to  
101 infer the most likely state of the world [28]. Perception is thus inherently uncertain and error-prone.  
102 Metacognitive research investigates whether observers can assess their uncertainty about the per-  
103 ceptual representations that are formed on the basis of noisy sensory evidence. Are observers ap-  
104 propriately confident about the accuracy of their perceptual choices and eventually use this infor-  
105 mation to adjust subsequent responses [21,29]? Accumulating evidence based on decisional confi-  
106 dence ratings [30], no loss gambling [31], or post-decision wagering [32,33] demonstrates that hu-  
107 man and non-human observers can indeed access the uncertainty of their perceptual representa-  
108 tions and adjust their decisional confidence accordingly. In some cases, observers even compute  
109 their confidence about the correctness of their perceptual judgment (e.g., motion discrimination) in  
110 a Bayes-optimal fashion. In other words, their confidence truthfully reflects the probability that  
111 their perceptual choices are correct given the sensory signals (e.g., motion) [29]].

112 Critically, observers’ decisional confidence depends on the uncertainty of their first-order perceptu-  
113 al representations (for other influences, see [34]). For instance, when presented with weak motion  
114 signals, observers will not only be close to chance when discriminating motion direction but also  
115 when judging whether their motion discrimination response was correct. In other words, observers’  
116 perceptual sensitivity (e.g., their ability to discriminate left from right motion, say) constrains their  
117 maximally possible metacognitive sensitivity (i.e., their ability to discriminate between their correct  
118 and incorrect choices) [14,35]. While  $d'$  is used as a signal-theoretic index to quantify observers’  
119 perceptual sensitivity, meta- $d'$  has recently been proposed as a signal-theoretic index to quantify  
120 observer’s metacognitive sensitivity. A large meta- $d'$  indicates that observers can reliably discrimi-  
121 nate between their correct and incorrect perceptual judgments. Critically, while meta- $d'$  depends on  
122 both the quality of the sensory evidence and its metacognitive assessment, directly comparing the  
123 perceptual and the metacognitive  $d'$  quantifies observer’s metacognitive efficiency [14,35]. It pro-

124 vides insights into an observer's ability to evaluate the uncertainty of their perceptual representa-  
125 tions and choices. A 'metacognitively-ideal observer' (i.e., where meta-d' is equal to d') can access  
126 all information that was used for the first-order perceptual judgment for his/her second-order meta-  
127 cognitive evaluation.

128 Abundant evidence suggests that the brain is able to represent and use estimates of uncertainty for  
129 neural computations in perception, learning, and cognition more widely [21–23,36,37]. Yet, the un-  
130 derlying neural coding principles remain debated. For instance, uncertainty may be represented in  
131 probabilistic population codes [38,39] or else rely on sampling-based methods [40]. Likewise, it  
132 remains controversial whether metacognitive 'confidence estimates' are directly read-out from first-  
133 order neural representations [13,20] or formed in distinct 'metacognitive' neural circuitries  
134 [7,41,42]. In support of a shared system, or common mechanism, underlying perceptual decisions  
135 and confidence, neurophysiological research has demonstrated that the same neurons in a lateral  
136 parietal area encode both monkey's perceptual choice and its confidence [43,44]. Dissociations be-  
137 tween perceptual choice and confidence may emerge when decision confidence is interrogated after  
138 the subject committed to a perceptual choice thereby relying on different sensory evidence  
139 [3,13,45]. By contrast, neuropsychological and neuroimaging studies in humans point toward dedi-  
140 cated metacognitive neural circuitries in the prefrontal cortex [7,42,46]. For instance, fMRI work  
141 revealed that activations in anterior prefrontal cortex reflect changes in confidence when perceptual  
142 performance is held constant [47]. Likewise, patients with anterior prefrontal lesions showed a se-  
143 lective deficit in metacognitive accuracy [42]. Decisional confidence estimates encoded in dedicat-  
144 ed circuitries may serve as a common currency and enable direct comparisons across different cog-  
145 nitive tasks [15] or sensory modalities [5].

146

### 147 **The multisensory challenge: Causal inference and reliability-weighted integration**

148 Imagine you are packing your shopping items from your trolley into the back of your car which is  
149 parked on a busy street. Suddenly you hear a loud horn. Is this sound coming from a car on the op-  
150 posite side of the road, competing for a parking spot, or from a car hidden behind your back indicat-  
151 ing that your trolley is blocking the traffic? Or is the sound perhaps coming from one of your shop-  
152 ping items? While the latter suggestion seems rather unlikely, the other two may be valid interpreta-  
153 tions of the sensory inputs (see figure 1). This example illustrates the two fundamental computa-  
154 tional challenges that the brain faces in our everyday multisensory world: First, it needs to solve the  
155 so-called causal inference problem [17–19] and determine whether or not signals come from com-  
156 mon sources and should be integrated. Second, if two signals come from a common source, the

157 brain is challenged to integrate them into the most reliable percept by weighting them optimally in  
158 proportion to their reliabilities (i.e., inverse of sensory variance [22,23,48,49]).  
159 In the laboratory, the principles of multisensory integration can be studied by presenting conflicting  
160 and non-conflicting signals. For instance, if auditory and visual signals are presented in synchrony  
161 yet at different spatial locations, the ventriloquist illusion emerges. The perceived sound location  
162 shifts towards the location of a spatially distant visual signal and vice versa depending on the rela-  
163 tive auditory and visual reliabilities. Importantly, spatial biasing is reduced at large spatial dispari-  
164 ties when it is unlikely that the two signals come from a common source [50,51]. This attenuation  
165 of sensory integration at large spatial disparities is well accommodated by hierarchical ‘Bayesian  
166 Causal Inference’ that explicitly models the potential causal structures that could have generated the  
167 sensory signals i.e., whether auditory and visual signals come from common or independent sources  
168 [18,52] (for related models based on heavy tailed prior distributions, please see [17,53,54]). During  
169 perceptual inference, the observer is then thought to invert this generative process. Under the as-  
170 sumption of a common signal source, the two unisensory estimates of a physical property are com-  
171 bined and weighted according to their relative reliabilities (i.e., inverse of variance). For instance, to  
172 estimate the location of a singing bird from audition and vision the observer should give a stronger  
173 weight to the visual signal at day time than at night. Under the hypothesis of two different sources,  
174 the auditory and visual signals are treated independently. On a particular instance, the brain needs to  
175 infer the causal structure of the world (e.g., one or two sources) from the sensory inputs. Multiple  
176 sorts of intersensory correspondences [55] such as spatiotemporal coincidence (i.e. auditory and  
177 visual signals happening at the same time and location [56–62], semantic (e.g. the shape and  
178 singing of a bird) [63–65] or higher-order correspondences (e.g., gender: female voice with female  
179 face) can inform the brain as to whether signals are likely to come from a common source or  
180 independent sources. Finally, an estimate of the physical property in question (e.g., auditory loca-  
181 tion) is obtained by combining the estimates under the two causal structures using different deci-  
182 sional functions [18,52,66]. For instance, using model averaging observers may form a final esti-  
183 mate by averaging the estimates from the two causal structures weighted by their posterior probabil-  
184 ities. Alternatively, they may report the estimate of the most likely causal structure as final estimate,  
185 a decisional strategy referred to as model selection.

186

### 187 **Monitoring uncertainties about the world’s causal structure and environmental properties**

188 The additional complexity of multisensory perception or more generally tasks that rely on multiple  
189 information channels raise questions and challenges that go beyond metacognition studied, for ex-  
190 ample, with simple visual discrimination or detections tasks. In particular, it raises the question of

191 whether observers can monitor the different sorts of uncertainties involved in Bayesian Causal In-  
192 ference:

193 First, observers may monitor their uncertainty about the causal structure that has generated the  
194 sensory signals [18,19,66]. The uncertainty about the causal structure increases with the noise in the  
195 sensory channels. For instance, at dawn, it is more difficult (i.e. associated with greater uncertainty)  
196 to attribute a singing voice to a specific bird in the bush than in bright sunlight. Hence, the  
197 uncertainty about the inferred causal structure critically depends on the sensory uncertainty given in  
198 all sensory channels [52]. Moreover, causal uncertainty emerges because there is some natural  
199 variability in the temporal, spatial or higher-order (e.g. semantic) relationship of the sensory signals.  
200 Even when two signals are generated by a common source, they do not need to be precisely  
201 temporally synchronous or spatially collocated. For speech signals, it is well established that visual  
202 facial movements often precede the auditory signal to variable degrees at speech onset [67].  
203 Further, differences in velocity of light and sound induce variability in arrival times of the visual  
204 and auditory signals at the receptor level that depend on the distance of the physical source from the  
205 observer [68,69]. Likewise, higher-order correspondences, such as gender or semantics may relate  
206 probabilistically to low level physical features (e.g. a low-pitched voice is more likely to be  
207 associated with a male than a female person). Experimentally, we therefore need to determine  
208 whether observers' causal uncertainty reflects the uncertainty determined by the signal-to-noise  
209 ratio of the sensory signals and their spatiotemporal and higher-order (e.g. semantic) statistical  
210 relationships. Moreover, causal uncertainty may be influenced by participants' prior expectations  
211 [70,71] that sensory signals are likely to come from a common external source, or be generated by  
212 one's own voluntary actions [72,73] (see Box 3).

213 Second, it is well-established that observers use the uncertainty associated with the individual cues  
214 or sensory signals to assign the appropriate weighting during cue combination or multisensory  
215 integration. Yet, an unresolved question is whether these uncertainty estimates for individual cues  
216 are then lost or accessible for metacognition. To approach these questions, future experiments may  
217 consider asking observers to explore objects visuo-haptically (i.e., via vision and touch) and report  
218 both the haptic size they perceived and their uncertainty about their perceptual estimate in the  
219 context of the visual information as well as if they had fully ignored the visual information (e.g.,  
220 they may be asked to imagine that they had closed their eyes and only haptically explored the  
221 object). If observers maintain partial access to the unisensory estimates and their associated  
222 uncertainties we would expect that the two reports differ.

223 Finally, observers may monitor their uncertainty associated with their final perceptual estimate (e.g.  
224 the reported location during audiovisual localization tasks). According to Bayesian Causal  
225 Inference, these final (e.g., auditory and visual) perceptual estimates are formed by combining the



226 estimates under the assumptions of common and independent sources according to various decision  
227 functions such as model averaging, probability matching or model selection [66]. As a result, the  
228 uncertainty of these final Bayesian Causal Inference perceptual estimates is dependent on  
229 observer's sensory and causal uncertainty. A critical question for future investigation is to  
230 determine the extent to which observers' uncertainty about their reported perceptual estimate  
231 reflects their perceived causal uncertainty or the causal uncertainty as predicted based on their  
232 sensory uncertainties.

233 A few studies have started to directly tackle the question of metacognitive uncertainty or confidence  
234 estimates in multisensory perception, albeit not always with these different sorts of uncertainties in  
235 mind. For instance, a recent psychophysical study [74] demonstrated that observers' correctly  
236 assessed the accuracy of their temporal order judgments in confidence ratings. These results  
237 indicate that the precision of audiovisual temporal relation estimates is accessible to metacognition.  
238 Further, a recent study by White and colleagues [75] presented observers with audiovisually non-  
239 conflicting (e.g., visual <<ba>> with auditory /ba/), conflicting phonemic cues that could be  
240 integrated into a so-called McGurk percept (e.g., McGurk: visual<<ga>> with auditory /ba/  
241 resulting in an illusory [da] percept) and conflicting phonemic cues that could not be integrated into  
242 one unified percept (i.e., non McGurk: visual <<pa>> with auditory /ka/). Observers reported their  
243 perceived auditory phoneme, immediately before providing a second-order confidence rating. The  
244 authors demonstrated that observers were less confident about their illusory McGurk percepts than  
245 about their auditory percept for conflicting or non-conflicting stimuli. From a Bayesian Causal  
246 Inference perspective, observers' lower confidence about their McGurk responses may emerge from  
247 an increase in causal uncertainty for McGurk stimuli. While non-conflicting signals are likely to  
248 come from a common source and conflicting signals from independent sources, McGurk stimuli  
249 introduce an intermediate phonological conflict that introduces uncertainty about the underlying  
250 causal structure. This causal uncertainty may indirectly influence and increase observers'  
251 uncertainty about their final phoneme percept. However, this is only one of several possible  
252 explanations for the observed response profile (see also [76]). It highlights the need for future dual-  
253 task paradigms that ask observers concurrently to rate not only their confidence about their  
254 phonological percept, but also their causal uncertainty about whether sensory signals (e.g. auditory  
255 phoneme and facial movements in speech recognition) were generated by a common source.

256

### 257 **Perceptual and causal metamers**

258 Further insights into whether observers can move beyond the integrated percept and metacognitive-  
259 ly monitor the perceptual inference can be obtained from so-called metamers, i.e. (near)-identical  
260 perceptual interpretations formed from different combinations of sensory signals [77]. Let's assume

261 we present an observer with two signals in synchrony, a brief flash at  $-2^\circ$  visual angle (i.e. left) and  
262 a spatially equally reliable beep at  $+2^\circ$  visual angle (i.e. right). Where will the observer perceive this  
263 event? Because of the small audiovisual spatial disparity, the observer may infer that the two sig-  
264 nals come from a common source and hence integrate them weighted by their relative reliabilities.  
265 As a result, he would perceive the audiovisual event at  $0^\circ$  degree visual angle, where in fact no sig-  
266 nal was presented at all. Hence, this conflicting flash-beep event would elicit the same percept as  
267 a non-conflicting flash-beep event where both auditory and visual signals are presented at  $0^\circ$  degree  
268 visual angle. In other words, the conflicting and the non-conflicting flash-beep events elicit percep-  
269 tual metamers. Moreover, the observer inferred that the auditory and visual signals come from a  
270 single event in both situations. Hence, the two cases elicit not only perceptual but also causal met-  
271 amers. The critical question is whether observers may nevertheless be able to discriminate between  
272 the conflicting and non-conflicting flash-beep events indicating that they can metacognitively ac-  
273 cess additional information about the underlying perceptual inference process.

274 First, observers would be able to discriminate between the non-conflicting and conflicting signals, if  
275 they monitor their uncertainty about their perceptual interpretation and causal inference. In the  
276 small conflict case, those observers who use Bayesian Causal Inference with model selection may  
277 decide that the two signals come from a common source and integrate them weighted by their rela-  
278 tive reliabilities. Critically, even though they commit to one single event as the more likely causal  
279 structure, they should be less certain about their causal inference. In other words, monitoring their  
280 causal uncertainty would allow observers to discriminate between conflicting and non-conflicting  
281 sensory signals, even if they elicit perceptual and causal metamers. Within the framework of Bayes-  
282 ian Causal Inference and depending on decisional functions and biases [66], it is also conceivable  
283 that observers may integrate different combinations of auditory and visual signals into the same  
284 perceptual (e.g. auditory, visual) estimates and yet report different causal structures. Hence, percep-  
285 tual metamers may not necessarily imply causal metamers.

286 Second, observers may be able to go beyond the integrated percept and maintain at least partial ac-  
287 cess to the individual sensory signals (see discussion above). Again, this partial access would allow  
288 them to discriminate between conflicting and non-conflicting flash-beep events. In a wider sense of  
289 metacognition it would demonstrate that multisensory perception is not informationally encapsulat-  
290 ed, but that observers can introspect and metacognitively monitor the unisensory representations  
291 that form the basis for their perceptual inference.

292 Surprisingly, only a few studies to date have used perceptual metamers as an approach to character-  
293 ize observers' metacognitive access in cue combination. An intriguing early study by Hillis et al.  
294 [77] focused on the emergence of perceptual metamers in visual (slant from disparity and texture  
295 cues in vision) and visuo-haptic (object size from vision and touch, i.e., haptic cues) contexts. In an

296 oddity judgment task, observers were asked to identify the odd stimulus in a sequence of three  
297 stimuli: two identical standard stimuli defined by non-conflicting cues and one odd stimulus defined  
298 by conflicting cues that could be fused into a perceptual metamer of the standard stimulus [77,78].  
299 The results revealed that observers lost access to individual cues in the visual, but not in the visuo-  
300 haptic setting: Only conflicting visual cues were mandatorily fused into perceptual metamers of the  
301 non-conflicting standard stimulus. Yet, even in the visual case participants were able to discriminate  
302 the conflicting stimulus from the non-conflicting ones for larger conflict sizes indicating that meta-  
303 mers emerge only for small conflict size. What happened, though, in those unisensory cases with  
304 larger conflict? As the oddity judgment task does not explicitly define the dimension according to  
305 which participants should compare the stimuli, it remains unclear whether observers identified the  
306 conflicting stimulus because they did not integrate the conflicting cues into one unified slant esti-  
307 mate, i.e., into a perceptual metamer of the non-conflicting stimulus, or whether instead they inte-  
308 grated them, but were aware that their metameric percepts emerged from different causal structures  
309 or at least associated with different causal uncertainties. Observers may still have fused conflicting  
310 signals into approximate perceptual metamers without them being causally metameric to the non-  
311 conflicting standard stimulus. In other words, observers may potentially have identified the odd-  
312 one-out because of partial access to the causal structure that has generated the sensory inputs. In-  
313 deed, observers reported a ‘weird’ percept for larger conflict sizes (personal communication, Marc  
314 Ernst) indicating that they were aware of the conflict manipulation while still integrating signals  
315 into a near-unified percept. This may perhaps be taken as initial evidence that perceptual and causal  
316 metamers may be to some extent dissociable. Future studies that explicitly assess the emergence of  
317 perceptual and causal metamers are needed to experimentally determine whether participants can  
318 form perceptual metamers while recognizing that they are based on different causal structures.

319 Another approach to dissociate perceptual and causal metamers is to introduce conflicts along mul-  
320 tiple dimensions such as lower temporal and higher-order phonological dimensions. For instance,  
321 observers may be presented with conflicting and non-conflicting visual and auditory phonetic cues  
322 at multiple audiovisual asynchronies. For small audiovisual asynchronies, conflicting audiovisual  
323 signals, such as a visual <<ga>> and an auditory /ba/, may be fused into a [da] percept at the pho-  
324 nological level as in the classical McGurk-MacDonald illusion [79] (Figure 2). The critical question  
325 is whether the fusion of conflicting audiovisual signals into a [da] percept as a perceptual metamer  
326 of a non-conflicting audiovisual [da] emerges in cases where observers inferred that the two signals  
327 come from different sources because of their audiovisual asynchrony (i.e., no causal metamer).

328 Research showing that the temporal integration windows that allow the McGurk illusion to emerge  
329 mostly correspond to those where observers perceive the audiovisual signals as being synchronous  
330 has suggested that the detection of temporal conflicts precludes the emergence of perceptual meta-

331 mers [80]. However, other evidence suggests that conflicting visual phonetic information influences  
332 the perceived auditory phonemes even when observers are able to detect low-level temporal con-  
333 flicts [81]. In the light of this controversial evidence, future studies are needed to determine whether  
334 perceptual metamers at higher representational levels emerge even when lower level temporal con-  
335 flicts prevent the emergence of causal metamers.

336

### 337 **Concluding remarks**

338 Accumulating evidence shows that human observers can metacognitively assess the uncertainty of  
339 perceptual estimates formed from vision, touch or audition, in unisensory perception. Conversely,  
340 research in multisensory perception demonstrates that observers integrate signals from multiple  
341 sensory modalities into percepts that take into account the uncertainty about the world's causal  
342 structure. In this review, we have merged these two research fields and discuss the new challenges  
343 and questions that metacognition poses for situations where the brain needs to integrate information  
344 from multiple channels such as in multisensory perception and cue combination. Recent  
345 developments of hierarchical Bayesian models of multisensory perception raise the possibility that  
346 human observers can introspect perceptual inference processes and monitor not only the final  
347 integrated percept, but also the unisensory estimates and the causal relationship - thereby  
348 challenging the long-dominant view in philosophy that observers are causally naive about  
349 perceptual inference (Box 2). Future studies in causal metacognition will need to determine the  
350 extent to which human observers can accurately assess their uncertainty about the perceptual  
351 estimates and the inferred causal structure of the environment. They open up new research avenues  
352 that link metacognition in perception more tightly with higher-order cognitive capacities such as  
353 abstract causal reasoning [82] or the aggregation of information across agents (Box 1 and  
354 Outstanding Questions). Causal metacognition sheds new light on the emergence of the sense of  
355 agency [83] (Box 3) and will be critical for our understanding of neuropsychiatric diseases such as  
356 schizophrenia that affect multisensory binding, causal inference and metacognitive control [75,84-  
357 87]

358 **Box 1: Monitoring causal uncertainty beyond perception.**

359 Causal inference is not only critical for perception but, more generally, for many other cognitive  
360 domains such as inductive, abstract, or social reasoning [82]. If two burglaries occur in the same  
361 town on the same day, the police ought to inquire as to whether they are likely to be performed by  
362 the same or different criminal gangs. Likewise, if a patient presents initially with a rash followed by  
363 high fever, cough, shortness of breath and wheezing, the medical doctor needs to infer whether all  
364 these symptoms are caused by measles infection or whether some of them may be caused by a  
365 subsequent bacterial (e.g., streptococcal) superinfection which requires antibiotic treatment. These  
366 examples highlight that causal inference is pervasive in our everyday lives. Causal metacognition  
367 enables observers to monitor their uncertainty about the underlying causal structure and decide  
368 whether to seek additional evidence in order to arbitrate between several potential causal structures.  
369 If the medical doctor is in doubt whether the patient may have incurred an additional streptococcal  
370 infection, s/he may order blood tests, chest x-ray, etc.

371 Causal inference is also fundamental for successful communication and interactions across social  
372 agents. For instance, if two social agents talk about a person called ‘Peter’ they usually assume that  
373 they refer to the same person as the causal source that generates their thoughts and representations  
374 associated with ‘Peter’. In fact, this shared causal perspective is fundamental for successful  
375 collective decision making [10]. Surprises and comic moments may emerge if agents discover  
376 during the course of their conversation that their inference was wrong and they had actually been  
377 referring to two different individuals that were both called ‘Peter’. In other words, they suddenly  
378 discovered that their thoughts and representations were not caused by one common source ‘Peter’,  
379 but by two different individuals.

380 Causal Inference as a process to arbitrate between one or multiple causes for sensory signals,  
381 medical symptoms or mental representations is part of the wider question of how observers can  
382 infer hidden structure from statistical correlations in observed data (e.g. correlations between  
383 different symptoms). How can they build veridical or at least useful models of the world? As  
384 reviewed in more detail in [17,88–90], Bayesian models can be used to accommodate human  
385 structure inference across numerous domains including inductive reasoning [82], semantics [91],  
386 social cognition [10] or aggregation of information across individuals [92].

387 **Box 2: Challenging causal naivety assumptions in philosophy**

388 The capacity to represent causation is usually granted only on the evidence that explicit causal rea-  
389 soning, and inferences to hidden or distant causes are performed. As Hume's challenge goes, there  
390 is a difference in predicting that one event regularly follows another, and in representing that it was  
391 caused by this first event. This view, started in philosophical discussions [93], is also widespread in  
392 psychology [94]. Does causal metacognition challenge this claim, suggesting that we are sensitive  
393 to differences between hidden causal structures when we perceive events? How sophisticated do we  
394 need to be to monitor the uncertainty of our causal models of the world?

395 Evidence of causal metacognition in younger children and non-human animals should address this  
396 question, and possibly reveal whether hidden causal structures are accessed and monitored as such,  
397 even in the absence of more explicit causal reasoning. But causal metacognition brings a broader  
398 challenge to philosophical models of perception. It is widely assumed indeed that we are causally  
399 naive when it comes to perceiving the world: Perception does not make us aware of objects as caus-  
400 es of our perception [95]. When we perceive a singing bird, we do not see that a physical bird, or  
401 light, is causing our perception: We perceive a bird, as a mind-independent object, not as a likely  
402 cause of our percept. The claim that perception rests on a process of causal inference, at the sub-  
403 personal level [96,97], though widely accepted by cognitive neuroscientists, explains from the out-  
404 side what the system is set up to do, but does not suppose that causes are represented as such, even  
405 less consciously accessed [98,99]. Sensitivity to differences in the causal origin of our integrated  
406 percepts offers an intermediate step where the causal character of perception is made manifest.

407 How this form of causal metacognition fits within causal cognition in general, and whether it is also  
408 present in more explicit forms of reasoning is an open question. While it is common to stress the  
409 difference between aggregating information between agents, and combining information from dif-  
410 ferent sensory modalities, it might be the case that both are optimal if the uncertainty about the un-  
411 derlying causal model dictating the problem is adequately monitored.

412 **Box 3: Causal metacognition and sense of agency**

413 Causal inference enables the brain to dissociate the sensory effects caused by one's own actions  
414 from those caused by other agents or events in the outside world. Previous neuroimaging and  
415 neurophysiological studies have suggested that the cerebellum may form a predictive forward  
416 model that maps from the action plan to the motor outputs and their sensory consequences. These  
417 forward models enable the brain to distinguish between self- and other-generated sensory signals  
418 leading to effects such as sensory attenuation (e.g., predicted outputs of our own tickling are not felt  
419 as tickling [100]) or intentional binding (e.g. the temporal interval between a voluntary action and  
420 its sensory consequences is subjectively compressed [72,73,83], see figure I). Both effects are  
421 considered central to our sense of agency that is the subjective judgment or feeling that we are  
422 causally responsible for changes in the environment. Critically, the temporal compression effect  
423 was increased in patients with schizophrenia indicating an enhanced sense of agency [85–87]. From  
424 the perspective of causal metacognition, we would expect the sense of agency to be related to the  
425 degree of confidence about our beliefs that a certain sensory outcome was self- rather than other-  
426 generated [84]. Further, manipulating biases in confidence by prior context or instructions may  
427 influence sensory attenuation and intentional binding, even when the sensory and motor  
428 components are held constant. For instance, if an agent is more confident that he/she has generated  
429 certain sensory signals, he/she should experience the same signal as less tickling and the interval  
430 between the action and the occurrence of the tickling sensation to be less compressed in time. A  
431 critical question for future research is therefore whether the altered sense of agency in patients with  
432 schizophrenia [85], may be associated with more general changes in causal metacognition.

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434

435 **GLOSSARY**

436 Causal metamers: identical causal structures inferred from signals generated by physically different  
437 causal structures.

438 Causal metacognition: monitoring the inferred causal structure underlying certain signals (e.g.  
439 sensory signals)

440 Confidence rating, post-decision wagering, no loss gambling [30]: are methods to assess an  
441 observer's metacognitive insights or awareness. For instance, observers may rate their confidence  
442 about the correctness of their decision on a numerical scale. In post-decision wagering, they are  
443 asked to bet on the correctness of their reported choices. As a result, observers should place higher  
444 wagers when they are more confident about the correctness of their decision to maximize their  
445 gains. In no-loss gambling, observers need to choose whether they are given a reward depending on  
446 the correctness of their perceptual choice, or depending on a lottery with pre-specified probabilities.  
447 Both post-decision wagering and no-loss gambling provide observers with an incentive to reveal  
448 their decisional confidence and subjective probabilities truthfully. Yet, post-decision wagering may  
449 be sensitive to additional biases such as risk aversiveness.

450 Bayesian Causal Inference models: normative Bayesian models that describe how an observer  
451 should integrate sensory signals to compute an estimate of an environmental property. Bayesian  
452 Causal Inference [17–19,52,66] explicitly models the potential causal structures (i.e. common or  
453 independent sources) that could have generated the two signals.

454 Intersensory correspondences: the observer uses different sorts of correspondences such as spatial  
455 collocation [50–52,58,59], temporal coincidence [56,57,60] and correlations [61,62], semantic or  
456 phonological congruency [63–65] to determine which signals are likely to come from a common  
457 source and should be bound during perception.

458 Perceptual metamers: are identical perceptual (e.g. spatial, phoneme) estimates formed from  
459 physically different signals.

460 Metacognition: cognitive processes about other cognitive processes (e.g. formation of  
461 representations about world representations [1–3,24]).

462 McGurk illusion: an audiovisual illusion [71,79,81] where observers perceive for instance the  
463 phoneme [da] when presented with a video of a face articulating <<ga>> and a voice uttering /ba/.



464 The McGurk illusion is a prime example of a perceptual metamer; i.e. the conflicting signals are  
465 perceived as identical to a face and voice articulating [da].

466 Sense of agency: the subjective feeling that one initiates and controls one's own actions [72,73,83].

467 Sensory reliability: is the inverse of sensory variance (or uncertainty). Reliability decreases with the  
468 noise of a sensory signal.

469 Ventriloquist illusion: a multisensory perceptual illusion induced by presenting two signals from  
470 different sensory modalities in synchrony, but at different spatial locations. In classical audio-visual  
471 cases, the perceived location of a sound is shifted towards the actual location of the visual signal,  
472 and vice versa [18,50–52].

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478 **OUTSTANDING QUESTIONS**

479 ▶To what extent can observers metacognitively monitor the individual signals, the inferred causal  
480 structure, and their respective uncertainties in sensory or cue-integration? Do their perceptual  
481 uncertainties reflect their causal uncertainties, and vice versa?

482 ▶How does causal metacognition in perception relate to metacognition in other cognitive domains  
483 such as causal reasoning or social interactions?

484 ▶What are the benefits of causal metacognition in perception? Do observers adjust their future  
485 perceptual interpretations based on their causal metacognitive assessments?

486 ▶Is the sense of agency grounded in causal metacognition?

487 ▶Which neural circuitries sustain causal metacognition during perceptual and other cognitive tasks  
488 in the human brain?

489 ▶Is causal metacognition impaired in neuropsychiatric diseases such as schizophrenia?

490 ▶How does causal metacognition develop during infancy and childhood? Does it emerge later than  
491 metacognition about perceptual decisions based on a single information stream?

492 ▶Non-human organisms have been shown to monitor their uncertainties about their perceptual  
493 decisions. Can they also monitor their uncertainty about the causal structure of the world?

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## 502 **FIGURE LEGENDS**

### 503 **Figure 1**

#### 504 **Metacognition in multisensory perception**

505 Left: Generative Model: The generative model of Bayesian Causal Inference for spatial localization  
506 determines whether the ‘visual car’ and the ‘sound of the horn’ are generated by common ( $C=1$ ) or  
507 independent ( $C=2$ ) sources (for details, see [18]). For common source, the ‘true’ audiovisual  
508 location ( $S_{AV}$ ) is drawn from one prior spatial distribution. For independent sources, the ‘true’  
509 auditory ( $S_A$ ) and ‘true’ visual ( $S_V$ ) locations are drawn independently from this prior spatial  
510 distribution. We introduce independent sensory noise to generate auditory ( $x_A$ ) and visual ( $x_V$ )  
511 inputs [18].

512 Middle: Bayesian Inference Model: During perceptual inference the observer is thought to compute  
513 three sorts of estimates from the auditory and visual signals for spatial localization: 1. spatial  
514 estimates under the assumption of common source (i.e., forced fusion estimate:  $\widehat{S_{AV,C=1}}$ ) and  
515 independent sources (i.e. full segregation estimates separately for auditory and visual locations:  
516  $\widehat{S_{V,C=2}}, \widehat{S_{A,C=2}}$ ), 2. estimates of the causal structure and 3. the final auditory and visual Bayesian  
517 Causal Inference spatial estimates based on model averaging that take into account the observer’s  
518 causal uncertainty by marginalizing (i.e. integrating) over the different causal structures:  $\widehat{S_V}, \widehat{S_A}$ .  
519 Each of those estimates is associated with uncertainties as indicated by the specified probability  
520 distributions.

521 Right: Metacognition may be able to access and monitor the three sorts of estimates and their  
522 uncertainty: 1. forced fusion and full segregation spatial estimates, 2. the inferred causal structure  
523 and 3. the final auditory and visual Bayesian Causal Inference spatial estimates.

524

### 525 **Figure 2**

#### 526 **Perceptual and causal metamers in the audiovisual McGurk illusion**

527 Left: Observers are presented with non-conflicting audiovisual stimuli, i.e. a video of a face  
528 articulating <<da>> and a voice uttering /da/. They will perceive the audiovisual signals as coming  
529 from one source and integrate them into a [da] percept.

530 Right: Observers are presented with conflicting audiovisual stimuli, i.e., a video of a face  
531 articulating <<ga>> and a voice uttering /ba/. In the McGurk illusion, they should perceive the

532 audiovisual signals as coming from one source and integrate them into a [da] percept, which would  
533 be a causal and perceptual metamer to the estimates formed from the non-conflicting audiovisual  
534 signals. However, perceptual and causal inference may also result in other outcomes. Observers  
535 may potentially perceive a [da] and yet recognize the audiovisual conflict and hence infer that the  
536 two signals come from independent sources (i.e. perceptual metamer but no causal metamer).

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538

539 **Figure I (Box 3)**

540 **Intentional binding, sense of agency and causal metacognition**

541 Observers have been shown to perceive the interval between an action and its sensory consequences  
542 (e.g., a ‘beep’) of a certain duration that is temporally compressed, when the action was voluntary  
543 and associated with a sense of agency – a phenomenon referred to as ‘intentional binding’ [72].  
544 Causal metacognition may be closely related to the sense of agency by virtue of monitoring the  
545 uncertainty about the causal relationship between one’s own voluntary actions and their sensory  
546 consequences.

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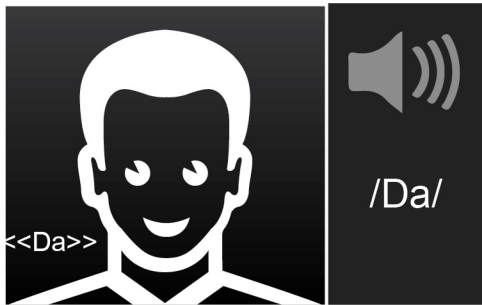


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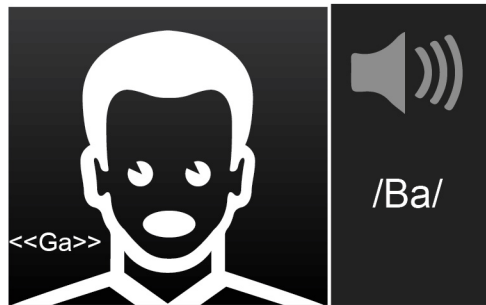
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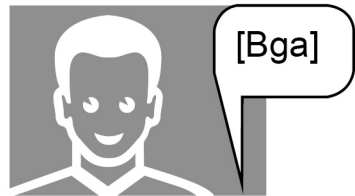
STIMULI



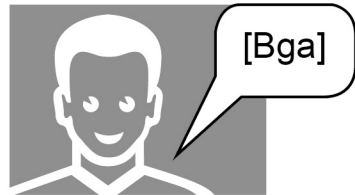
PERCEPTS



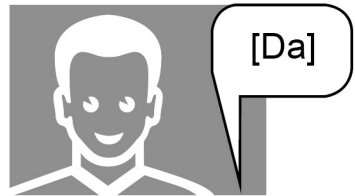
Perceptual Metamer (+)  
Causal Metamer (+)



Perceptual Metamer (-)  
Causal Metamer (-)

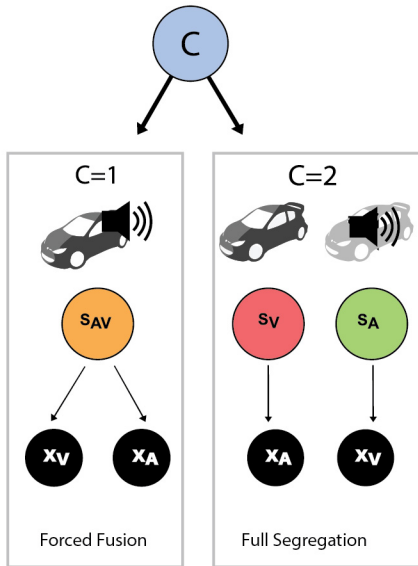


Perceptual Metamer (-)  
Causal Metamer (+)

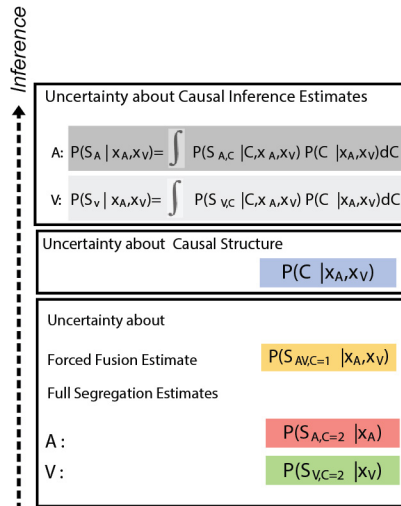


Perceptual Metamer (+)  
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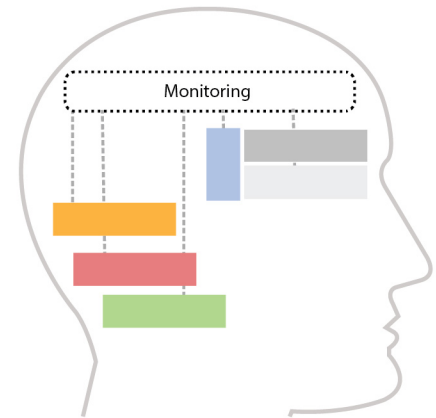
## GENERATIVE MODEL



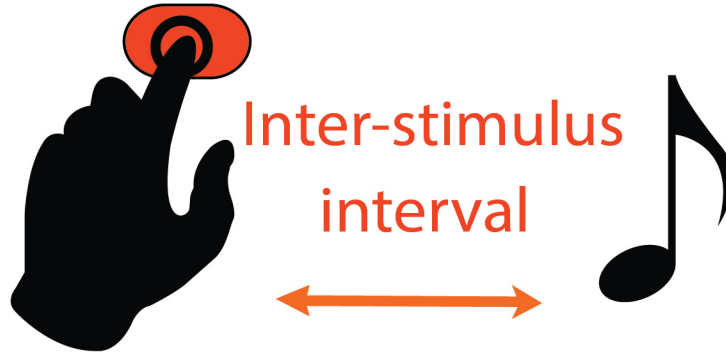
## INFERENCE MODEL



## METACOGNITION



# STIMULI



Time



# PERCEPTS

Common cause percept ↓  
Perceived duration ↑  
Sense of agency ↓



Common cause percept ↑  
Perceived duration ↓  
Sense of agency ↑

