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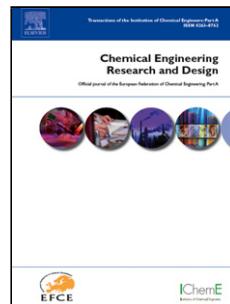
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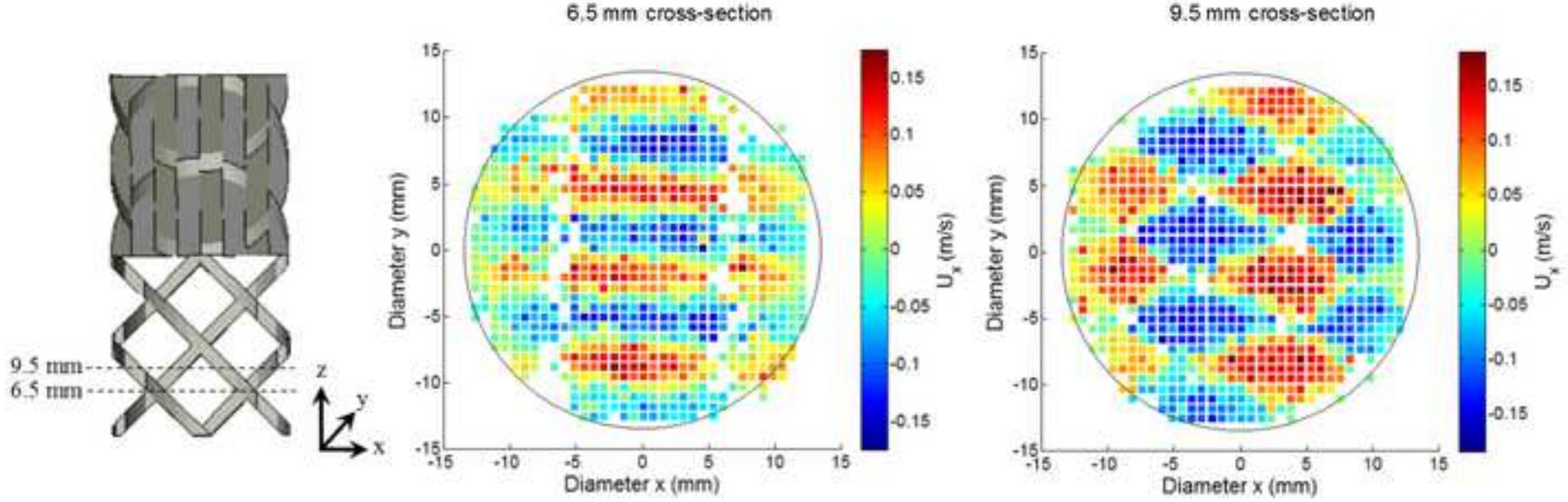
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1

- 2 • SMX static mixers were studied using Positron emission particle tracking (PEPT)
- 3 • In the mixer the axial velocity component was not influenced by fluid rheology
- 4 • No back mixing was observed
- 5 • Radial velocities were not affected by rheology
- 6 • Radial and axial velocities were strongly influenced by the mixer geometry

7

8

9 **VELOCITY FIELD CHARACTERISATION OF NEWTONIAN AND NON-** 10 **NEWTONIAN FLUIDS IN SMX MIXERS USING PEPT**

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14 **Abstract**

15 The ability to predict fluid behavior, such as velocity distribution or degree of mixing, is a critical step in
16 designing industrial mixing processes. However, the majority of processing technologies are difficult to study using
17 traditional approaches, due to the opacity/impermeability of the construction materials, as well as employed fluids,
18 and geometric complexities of such systems.

19 The current work applies a novel technique, Positron emission particle tracking (PEPT), which allows
20 characterization of complex systems. PEPT relies on triangulation of γ -rays emitted by a radioactive tracer particle,
21 allowing the study of geometrically complex systems regardless of the system properties. This study compares the
22 velocity distributions a Newtonian fluid, glycerol, and a non-Newtonian fluid, guar gum solution (0.7% w/w),
23 flowing through 10 elements of a DN25 SMX mixer at 300 L/h.

24 Axial velocity remained positive throughout and no back-mixing was exhibited. The velocity
25 components appeared to be independent of rheology, with the overall flow across 10 mixer elements resembling
26 plug flow. Radial velocities were unimodally distributed around zero in the direction where no mixing was induced,
27 while in the direction in which radial mixing is induced the velocity distributions were either uni- or bimodal,
28 depending on the geometry of the cross-section.

29 **Keywords.** SMX, PEPT, Velocity, Newtonian, Non-Newtonian

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31

32 **1. INTRODUCTION**

33 Continuous processing presents several benefits over the traditional batch or semi-batch
34 approaches which are predominantly used in fast moving consumer goods industries. Continuous
35 processing allows reductions in waste and energy, as well as consumption of material, which
36 leads to more streamlined processes and optimized processing times (Nienow et al., 1997). Many
37 continuous processing steps involve mixing and blending of streams with different rheological
38 properties, with the properties of the combined streams often differing to that of the inlet
39 streams. Such processing steps are often achieved by the application of static mixers, therefore
40 understanding and characterizing the processes occurring within static mixers is crucial for
41 efficient process development (Meijer et al., 2012; Mihailova et al., 2015)

42 The current work addresses the demand for an enhanced understanding of flow patterns
43 within industrially utilized SMX static mixers (Figure 2). SMX mixers, originally developed by
44 Sulzer, are used in a variety of applications, including mixing miscible components (e.g.
45 blending), combining immiscible streams (e.g. emulsification, encapsulation, etc.), as well as for
46 processes that include a reaction step between the inlet streams (e.g. purification) (Das, 2011;
47 Fradette et al., 2007). Furthermore, SMX mixers can be applied to multiphase processes,
48 dispersing gases or solids through a liquid phase (Fradette et al., 2006; Laporte et al., 2015).
49 SMX mixers are designed for laminar flow applications and rely on breaking and recombining
50 the bulk of the inlet streams into smaller streams, using a series of channels (Paul et al., 2004).
51 For the case of miscible fluids, such as those addressed in this study, the individual channels
52 guide the streams to come in contact with each other, creating striations within the bulk. The

53 alternating geometry of the mixers induces the development of such striations across the entire
54 cross-section of the mixer, ultimately leading to fully a mixed flow (Mihailova et al., 2015).

55 The majority of evaluation of such systems to date has been conducted using indirect
56 approaches, due to the complexity and impermeability of the construction materials, such as
57 stainless steel, and the materials flowing through the system, for example shampoo (Fradette et
58 al., 2007; Visser and Rozendal, 1999). As a result the majority of characterization relies upon the
59 analysis of the mixer output stream, through Particle image velocimetry (PIV) (Leschka et al.,
60 2007), pulsed ultrasonic velocimetry (Hammoudi et al., 2008) as well as blending of immiscible
61 materials to assess the level of dispersion at the outlet (Das et al., 2013; Fradette et al., 2006;
62 Hammoudi et al., 2012). Moreover, the flow and mixing patterns within SMX mixers have been
63 explored using computational fluid dynamics (CFD), where numerical simulations were used to
64 predict the velocity fields within the boundaries of the mixer based on a series of assumptions
65 about the underlying forces and the resulting properties of the flow (Visser and Rozendal, 1999;
66 Zalc et al., 2002). The definition of the velocity fields further allows to seed tracer particles into
67 the system, assessing the mixing patterns and the degree of mixing at the output (Liu et al.,
68 2006).

69 Positron emission particle tracking (PEPT) offers a novel direct approach for tracking flow
70 within systems, such as static mixers, that are not readily applicable for study using applied
71 methods. PEPT has been demonstrated as an effective technique for the characterization of flow
72 within kenics static mixers (Rafiee et al., 2011). Use of neutrally buoyant 200 μm radioactive
73 tracer particles, that are sufficiently small to behave as a representative volume of the fluid, with
74 the Stokes number below 2×10^{-5} , it is possible to trace the flow through the system of interest
75 irrespective of the materials used in construction or the fluids flowing through the system.

76 The current study focuses on characterizing local velocity distributions within a 25mm SMX
 77 static mixer assembly, containing 10 mixer elements. Local velocity estimations can be achieved
 78 by analyzing the rate of displacement of individual particle passes as they travel through the
 79 mixer. This work focuses on comparing local velocity fields of two fluids with distinct
 80 rheological properties, Newtonian glycerol and Non-Newtonian shear-thinning guar gum
 81 solution (0.7% w/w), moving through the mixer assembly at 300 L/h. Understanding the effects
 82 of rheology on the flow of fluids through the mixer provides valuable insight into the dynamics
 83 of the mixer and allows superior process design, optimizing manufacturing processes which
 84 utilize SMX mixers.

85 **2. MATERIALS AND METHODS**

86 **2.1. Materials**

87 **2.1.1. Fluids**

88 Pure glycerol (Reagent, UK) and 0.7% w/w guar gum solution (Impexar, UK) were
 89 used for the current work. These fluids were chosen as the majority of fluids used in continuous
 90 liquid mixing processes exhibit either Newtonian (glycerol) or shear thinning (guar gum)
 91 behavior under the conditions of the flow (Singh et al., 2009) (Figure 1). The behavior of guar
 92 gum under shear was best described by the Carreau–Yasuda model (Eq. 1) (Yasuda et al., 1981),

$$\mu_{eff}(\dot{\gamma}) = \mu_{inf} + (\mu_0 - \mu_{inf})(1 + (\lambda\dot{\gamma})^a)^{\frac{n_y-1}{a}} \quad (1)$$

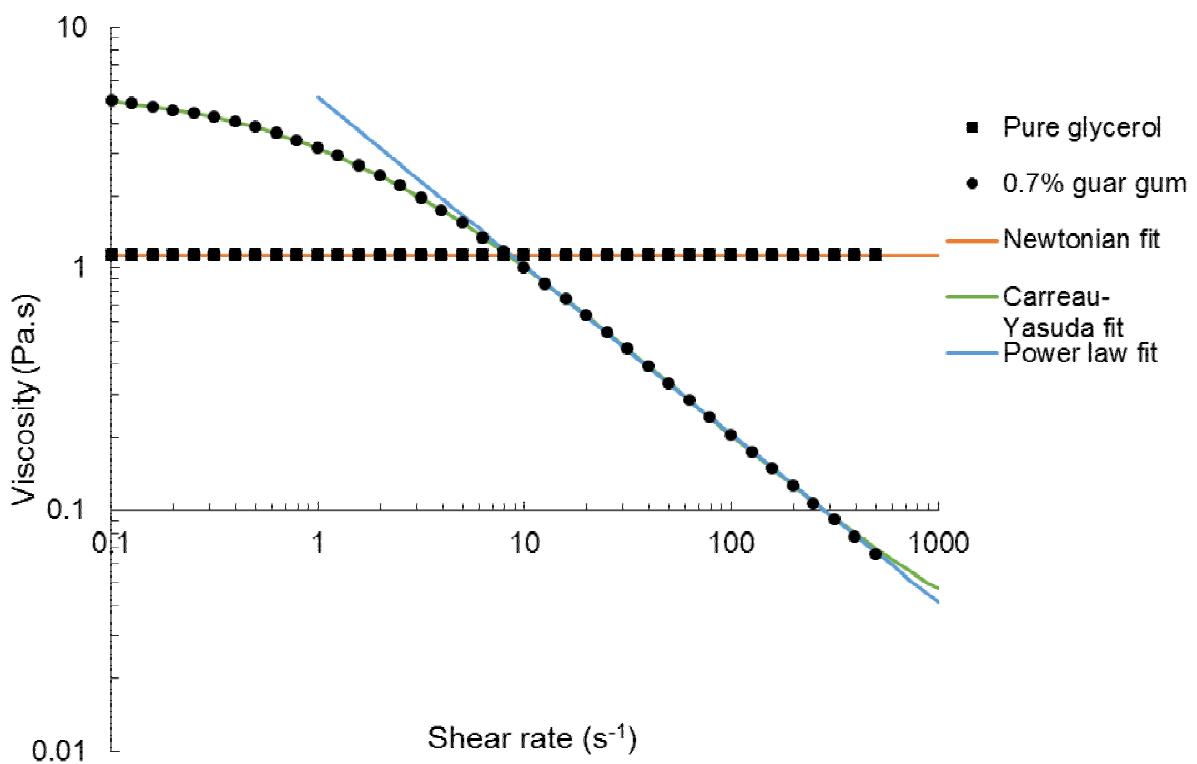
93 Where $\mu_{inf} = 0.01$ Pa.s, $\mu_0 = 5.27$ Pa.s, $\lambda = 0.76$ s, $n_y = 0.23$ and $a = 0.80$. However,
 94 based on the Streiff-Jaffer correlation (Eq. 2) (Streiff et al., 1999) it is possible to determine the
 95 expected average shear rate in a static mixer based on the average velocity (V) and the diameter
 96 of the mixer (D).

$$98 \quad \dot{\gamma}_{av} = \frac{64V}{D} \quad (2)$$

Under the conditions of the flow, the expected average shear rate is $\sim 380 \text{ s}^{-1}$. As can be seen in Figure 1, for this range of shear rates it is possible to assume that the fluid behaves as a simple Power law fluid (Eq. 3), where the constants are as follows $n_p = 0.32$ and $m = 4.50 \text{ Pa.s}$.

$$102 \quad \mu_{eff}(\dot{y}) = m\dot{y}^{n_p-1} \quad (3)$$

For all models the effective viscosity (μ_{eff}) is representative of the bulk viscosity, at higher shear rates (103). As a Newtonian fluid glycerol maintains a constant viscosity of 1.13 Pa.s across the entire range of shear rates. For guar gum solution the shear rate at the wall was chosen 104 for the estimation for the apparent viscosity, as it has been previously applied for similar 105 calculations in SMX mixers (Li et al., 1997).



108

109 Figure 1. Effect of shear rate on the viscosity of glycerol and 0.7% w/w guar gum solution, at 22.5°C. Solid markers
 110 represent experimental data obtained using rotational rheometry. Color lines represent fluid model fits, Newtonian
 111 for glycerol (orange), and Carreau-Yasuda (green) and power law (blue) for guar gum solution.

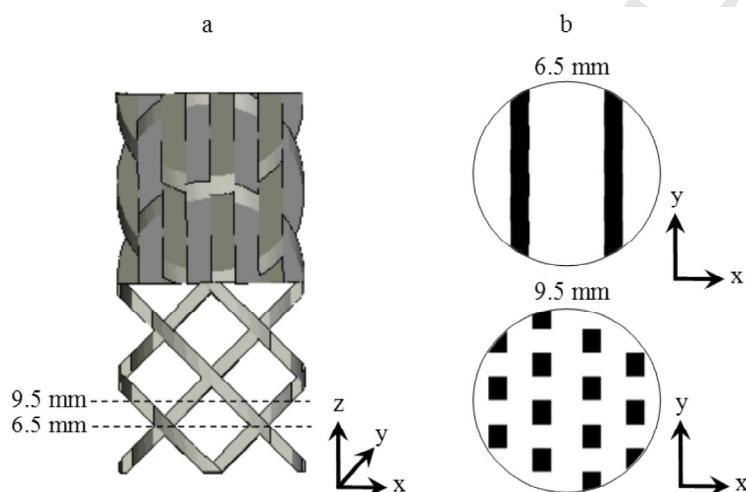
112

2.1.2. SMX Mixers

113

For the purposes of this work SMX mixers with diameter of 25mm, or D25, were used. The
 114 SMX static mixers elements have a characteristic structure with 6 planes of blades, where each
 115 alternating plane is at 90 degrees to the preceding (Figure 2a), creating a crisscross lattice which
 116 redirects the fluids in a stretch and fold manner inducing mixing across the pipe cross-section in
 117 the direction parallel to the orientation of the blades. Each consecutive mixer element is mounted
 118 at 90 degrees to the preceding, which in turn induces mixing across the pipe cross-section but
 119 perpendicular to that in the prior element. SMX assemblies normally contain an even number of
 120 elements, to allow for the same number of elements in each orientation, to ensure balanced

121 mixing in every direction. For this work, the two cross-sectional planes at 6.5 mm and 9.5 mm
 122 will be considered, along with the mixer as a whole. Despite the different distribution of the
 123 mixer geometry across the cross-section (Figure 2b), the area that is unobstructed and available
 124 for the flow of the fluid remains constant. These two cross-sections illustrate how the geometry
 125 of the mixer changes along the mixer length, presenting the two extreme cases, a cross-section
 126 divided into larger section by solid walls (6.5mm) and a cross-section with smaller compartments
 127 segregated, but not isolated, by the network of mixer blades (9.5mm).



128

129 Figure 2. (a) Schematic representation of two SMX mixer elements and (b) cross-sections at 6.5 and 9.5 mm into the
 130 first mixer element.

131 To reduce the effect of the metal lattice on the path of the γ -rays that are emitted by the
 132 PEPT tracer particles and are used in location mapping the SMX mixers used in the current work
 133 were 3D printed in VeroClear plastic (Stratasys Ltd, USA), with the mixer blades 2 mm thick, as
 134 opposed to the stainless steel (SS) alternative typically used in industry, with the blade thickness
 135 of 1 mm. The blades were made thicker to enhance the structural integrity of the assembly.
 136 However, this reduces the voidage of the system, which in turn results in increased pressure drop

137 across the mixer. Through comparing pressure drop data in both SS and plastic mixers of
138 equivalent diameters at a range of flowrates it was established that pressure drop across the 3D
139 printed mixer is ~20 % greater than that in a SS mixer, for both fluids. This observed difference
140 in pressure drop agrees with the expected pressure drop difference estimated using the Darcy–
141 Weisbach equation, when accounting for the reduction of the cross-sectional area available for
142 flow.

143 **2.2. Methods**

144 **2.2.1. Positron emission particle tracking**

145 Positron emission particle tracking (PEPT) has been first developed at the University of
146 Birmingham in the early 1990s and is based on a preexisting medical technique known as
147 positron emission tomography (PET) (Parker et al., 1993). PEPT has been successfully used in a
148 number of studies characterizing a wide range of industrial equipment, such as vertically stirred
149 mills (Conway-Baker et al., 2002), tumbling mills (Volkwyn et al., 2011), stirred tanks (Chiti et
150 al., 2011), as well as home appliances, such as washing machines (Mac Namara et al., 2012).

151 The technique relies on tracing a single radioactive particle through the system of interest.
152 The particle spatial location is estimated through triangulation of back to back γ -rays that are
153 emitted during radioactive decay of the fluorine-18 isotope, encapsulated in the particle, and
154 picked up by an array of detectors, or cameras, either side of the equipment. For each location
155 the triangulation algorithm uses up to 100 individual γ -ray pairs, with outliers and invalid
156 pairings removed, to provide improved location accuracy. With fluorine-18 having a half-life of
157 less than 110 minutes the gamma emission is rapid enough to estimate the averaged particle
158 location once every ten milliseconds and due to the application of γ -rays, that can penetrate most
159 materials, allows using the technique on real, unmodified industrial systems. However, a balance

160 between the rate of displacement and the rate of location acquisition needs to be struck, to ensure
161 reliable results. The 3D tracer location data with respect to time allows the derivation of a
162 number of system properties, such as occupancies, concentrations, mixing efficiencies, local
163 velocities and local shear rates (Bakalis et al., 2006).

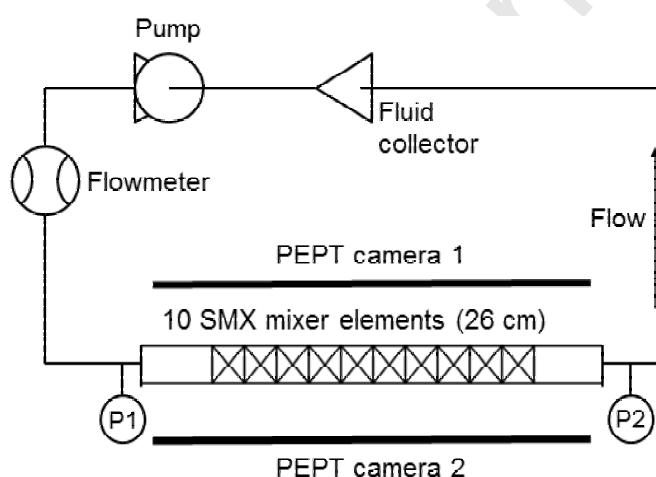
164 For the current work neutrally buoyant ~200 μm tracer particles were used. A single particle
165 was present in the flow at any given time and allowed to recirculate until the activity was lost
166 and a new particle could be introduced. In excess of 800 individual particle passes were recorded
167 for each set of experimental conditions, to give a high probability of detection across the entire
168 system, sufficient to reconstruct the flow regime in the volume of the mixer element using PEPT
169 particle locations.

170 **2.2.2. Experimental Rig**

171 Figure 3 below illustrates a schematic representation of the experimental rig used for the
172 PEPT trials studying SMX mixers. 10 SMX elements were encased in a 26 mm diameter Perspex
173 pipe and placed between the PEPT detectors, with approximately 15 cm of empty pipe on either
174 side of the mixer within the field of view, to assess the velocity distribution within simple
175 geometries, for technique validation (Abulencia and Theodore, 2009). The flow rate was
176 controlled using a variable speed pump (Xylem, UK) and monitored using an inline flow meter
177 (Krohne, USA), the fluid carrying the tracer particle was continuously recirculated around the
178 system. Two pressure transducers (Keller AG, Switzerland) were located either side of the field
179 of view. The temperature was not controlled, but was monitored, and throughout all trials
180 remained within the $22.5 \pm 0.5^\circ\text{C}$. Laboratory scale rheological tests on a rotational rheometer

181 (TA Instruments, USA) using a 60 mm aluminum cone and plate geometry have shown that such
 182 temperature variations do not affect the rheological properties of the fluids significantly.

183 The volume of the system outside of the field of view was minimized, to reduce the time it
 184 takes for the particle to return to the field of view, as well as the volume of the carrier fluid
 185 required for the experiments, as the radioactive components within the tracer particle were
 186 observed to leech into the carrier fluid, leading to an increase in background radiation,
 187 reducing the contrast between the tracer and the background, requiring the system to be
 188 periodically emptied and refilled with uncontaminated fluid.



189
 190 Figure 3. Schematic representation of the experimental set up

191 The flowrate of both fluids was maintained at 300 L/h throughout all experimental runs.
 192 This flowrate was chosen as it reflects typical industrial flowrates for such mixer diameters and
 193 while maintaining the Reynolds number for both fluids under 20, ensuring laminar flow.
 194 Laminar flow regime was defined by using the pore Reynolds number Re_p (Eq 4), as it has been
 195 previously applied for flow characterization within SMX mixers, where the mixer is treated as a

196 porous medium (Hammoudi et al., 2008; Hirech et al., 2003). For the conditions of these
 197 experiments $Re_p < 15$ for glycerol and $Re_p < 11$ for guar gum.

198
$$Re_p = \frac{\rho V \tau d_p}{\varepsilon \mu_{eff}} \quad (4)$$

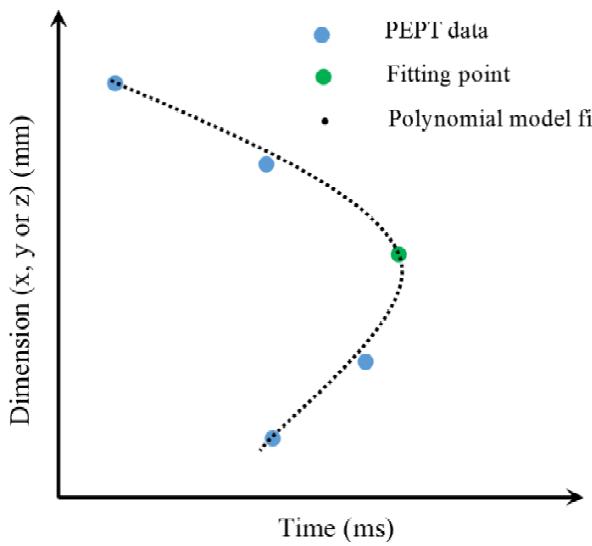
199 Where V is the average velocity in the empty pipe (m/s), ρ is the density (kg/m³), τ is the
 200 tortuosity (-), μ_{eff} is the viscosity (Pa.s), ε is the porosity (-) and d_p is the pore diameter (m).

201 This permitted the particle to quickly travel through the system outside the field of view,
 202 while maintaining high detection count of up to 450 locations per pass through the field of view.

203 **2.2.3. Data Processing**

204 Raw binary PEPT data was processed using in-house algorithms in order to obtain the tracer
 205 location in 3D space with respect to time, which allows the visualization of the entire volume of
 206 the system using tracer locations. The data was then separated into individual particle passes
 207 based on time between subsequent detections, where positions belonging to the same pass will
 208 have a time difference in the order of milliseconds, while different particle passes will differ by
 209 approximately one minute, as that is the time required for the tracer to travel though the system
 210 outside of the field of view. Up to 800 particle passes were extracted per set of experimental
 211 conditions. By fitting an expression to a series of points describing the particle trajectory over
 212 time and taking a derivative of the expression with respect to time it is possible to determine
 213 local velocity both along individual axes as well as overall (Mihailova et al., 2015). In order to
 214 estimate the local velocity components U_x , U_y and U_z that represent the component in the
 215 corresponding direction, a polynomial expression was fitted to a set of up to 7 particle locations,
 216 with the central point of the range being the fitting point of interest, as shown in Figure 4. The

217 resulting expression took on a form shown in Eq 5, where the location of the particle along one
 218 of the axis (x, y or z) at a given time is determined by the particle trajectory with respect to the
 219 corresponding axis and time.



220

221 Figure 4. Schematic representation of polynomial curve fitting for local velocity estimation

222 By differentiating the particle location expression (Eq. 5), it is possible to obtain the rate of
 223 change of location with respect to time, i.e. velocity (Eq. 6).

$$224 \quad i = a_1 t^n + a_2 t^{n-1} + \dots + a_{n-1} t + a_n \quad i = x, y, z \quad (5)$$

$$225 \quad U_i = \frac{di}{dt} = n a_1 t^{n-1} + (n-1) a_2 t^{n-2} + \dots + a_{n-1} \quad i = x, y, z \quad (6)$$

226 In both expressions the exponent n was assigned values of 2 or 3, depending on whether a
 227 quadratic or a cubic function best described the pass taken by the particle between the points to
 228 which the polynomial fit was applied. Under the laminar flow conditions maintained in the
 229 experiments, the main variations to the particle trajectory were expected to originate from the
 230 changes in geometry. Based on the predicted particle velocity and the dimensions of the mixer,

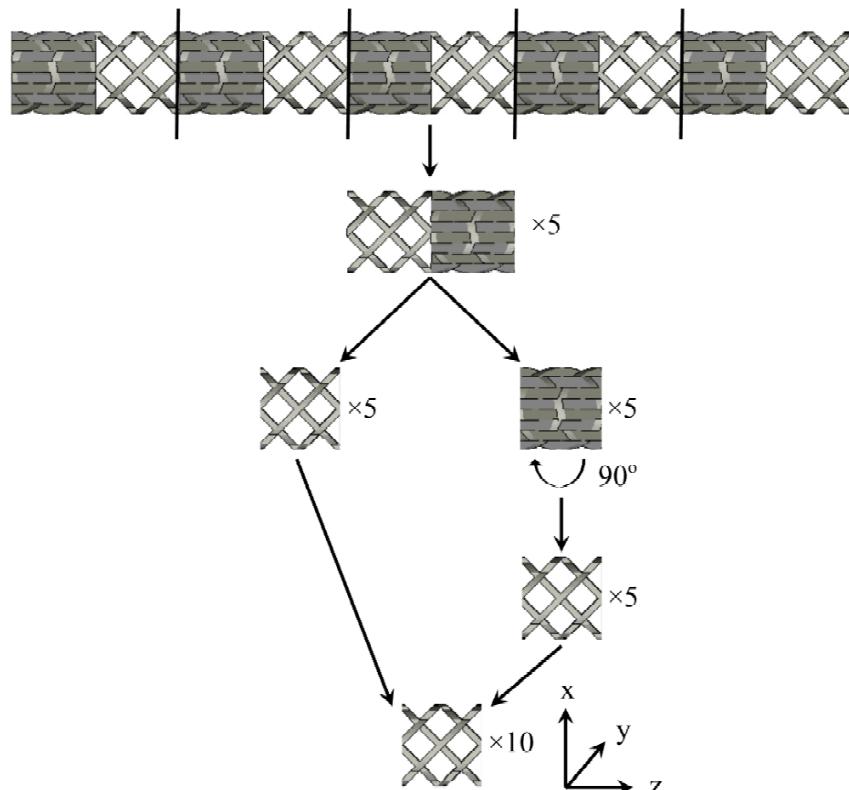
231 the particle is not expected to change trajectory more than twice in the section of each fit,
232 therefore a cubic expression is expected to describe any trajectory variation. However, for
233 sections with less variation, a quadratic fit often results in less error when confirming validity of
234 the fit by using raw data points. The decision was based on the R^2 value for both fits. Based on
235 the average random error in both the distance and the time measurements, the error of the
236 function derivative can reach ± 0.01 m/s, i.e. up to 5% of the average values calculated using this
237 approach.

238 To enhance the resolution of the data and due to the symmetry of the mixer elements it was
239 possible to separate the data in the mixer section into 10 discrete segments, representing one
240 mixer element each, as shown in Figure 5. Every second element had to be rotated 90 degrees on
241 the x-y plane, to achieve alignment. The velocity fields in first and last elements were compared
242 to those in the remaining elements, and it was concluded that entry/exist effects were not causing
243 discrepancies in the velocity distributions. The 10 elements were then superimposed to
244 significantly increase the number of detections per element volume, up to 8000 particle passes,
245 resulting in a detailed representation of a single SMX element (Figure 5).

246 The detailed SMX element was then separated into slices perpendicular to the z-axis (i.e.
247 direction of the flow) allowing to visualize and assess the velocity distribution filed within the
248 different zones of the mixer element.

249 Velocity distributions across the SMX mixer were assessed by comparing them to standard
250 unimodal and bimodal distributions. Where a unimodal distribution exhibits a single mode, i.e.
251 only a single highest values is observed. A bimodal distribution, on the other hand, is a
252 superposition of two unimodal distributions, exhibiting two distinct maxima.

253



254

255 Figure 5. Schematic representation for the separation of the 10 element mixer assembly into individual elements.

256 The Kolmogorov-Smirnov two-sample test with a 95% confidence interval was used to
 257 assess the significance of the results. Data with $P < 0.05$ were considered statistically significant.

258 All of the above computational processing was conducted for both fluids using in-house
 259 developed scripts in MATLAB R2013a (MathWorks, USA).

260 **3. RESULTS AND DISCUSSION**

261 Based on the dramatically different geometry of the mixer cross-sections (Figure 2b) and the
 262 intricate lattice of the mixer blades, it can be expected the velocity distribution within the mixer
 263 element relies on the geometry, which is designed to redirect and alter fluid streams to induce

264 mixing. However, it has to be noted that depending on the specific location within the mixer
265 element the intensity of the velocity field varies, as the walls, or blades, of the mixer geometry
266 come together and move apart, blending the streams. In addition to the differences in the
267 geometry of the cross-section, it is also important to consider the orientation of the mixer
268 element, which defines the direction in which mixing is induced.

269 After collapsing the data from 10 mixer elements into one, the orientation of the resulting
270 element is such, that radial velocity component U_x becomes the velocity in the direction of
271 induced radial mixing, with the flow parallel to the mixer blade alignment, while U_y becomes the
272 velocity perpendicular to the direction in which mixing is induced. Figure 2b illustrates the
273 geometry of the cross-sections in resulting mixer element. It can be expected that the magnitude
274 of the velocity field in the direction of induced mixing will be higher than that in the direction in
275 which mixing is not actively induced.

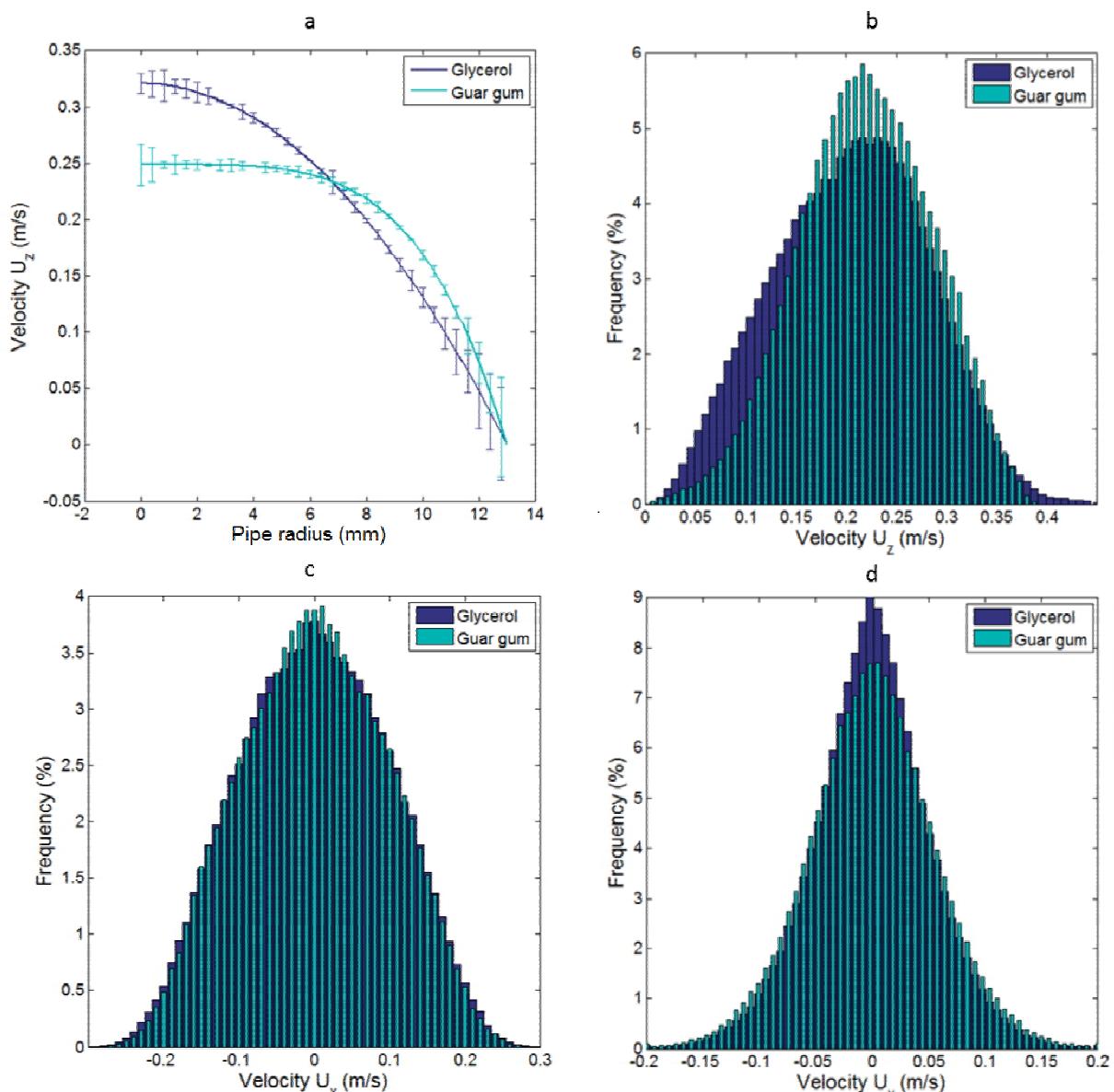
276 However, when a number of elements are considered, due to the nature of the SMX mixer
277 geometry, where each consecutive element is oriented at 90 degrees to the preceding element, the
278 direction in which mixing is brought about by the arrangement of the mixer blades changes. This
279 means that radial velocities U_x and U_y alternate at being the velocities in the direction of induced
280 mixing and direction in which mixing is in each element.

281 Similar velocity patterns were observed both in the Newtonian and non-Newtonian fluids.
282 Before analyzing the velocity fields at different locations within the mixer, it is important to
283 consider how the velocity fields in the empty pipe region of the system compare to the
284 theoretical distributions that are expected based on fluid rheology. The solid lines in Figure 6a
285 illustrate the expected theoretical velocity profiles in the circular pipe, for the two fluids of

interest, flowing at 300 L/h, symmetrical around the center of the pipe (Abulencia and Theodore, 2009). The error bars illustrate the average deviation of the PEPT data from the model, where the error is much greater around the center of the pipe, due to low particle pass probability, as the result of the decreasing cross-sectional area with increasing distance from the pipe wall. The error is also high close to the wall, as particles rarely pass through this region, due to a boundary layer formed near the wall. Any particles entering the wall boundary layer became immobilized, remaining at the boundary, this often required the flowrate to be increased to dislodge the particle, or in extreme cases, required a full clean and reset of the system, leading to invalid passes being recorded in both situations. However, in the areas with high particle pass detection probability, the model and the experimental data are in agreement, where the experimental data points fit the corresponding models with coefficients of determination (R^2) of 0.951 and 0.946 for glycerol and guar gum solution, respectively.

While it is impossible to fit the velocity distribution within the mixer to the same models as the velocity distribution in the empty pipe, these data sets can be compared on the basis of how frequently the tracer particle exhibits a velocity within a certain range. Such distributions are shown for both glycerol and guar gum within the mixer in Figure 6b. No negative velocities were observed for either fluid, suggesting that no back mixing occurs when lateral mixing is induced. The differences in the distribution ranges of U_z were similar to the distributions observed in the empty pipe, with the velocity frequency distribution peaking at a higher value, but overall achieving lower velocities than glycerol. However, these differences were not as pronounced, suggesting that within the channels of an SMX mixer the rheological properties of the fluids maintain an effect on the axial velocity as in the empty pipe, but the internal dynamics of the mixer reduces the impact of rheology.

309 On average axial velocities of the two fluids were 30% greater inside the mixer than in the
310 empty pipe region. This can be explained by the fact that inside the mixer the cross-sectional
311 area available for flow is reduced, due to the introduction of the mixer structure. Based on the
312 CAD files from which the mixer elements were printed, it is possible to calculate that the mixer
313 occupies $\sim 30\%$ of the empty pipe volume, suggesting $\varepsilon \approx 0.7$, this value is consistent across all
314 cross-sections along the mixer length. Similar values have been previously quoted in literature,
315 where $\varepsilon \approx 0.67$ for a D25 SMX mixer, indicating that 33 % of the pipe volume is occupied by the
316 mixer (Theron and Sauze, 2011). As the flowrate stays the same, it can be estimated that for the
317 expected reduction in area available for flow, the increase in the average axial velocity inside the
318 mixer is $\sim 30\%$ of that of the average axial velocity in the empty pipe. When comparing the
319 glycerol velocity distributions inside and outside the mixer the increase is $\sim 31\%$, with the
320 average value of axial velocity (U_z) outside the mixer of ~ 0.16 m/s and the average U_z inside the
321 mixer of ~ 0.21 m/s, for glycerol. For the case of the guar gum solution the average velocity
322 inside the mixer increases by $\sim 30\%$, with the average U_z inside and outside of the mixer of \sim
323 0.22 m/s and ~ 0.17 m/s respectively. Similar patterns were previously described by using
324 ultrasound for velocity field reconstruction, where the overall shape of the profile resembled that
325 of an empty pipe, but exhibited higher values (Hammoudi et al., 2008). Furthermore, in order to
326 validate the porosity of the mixer, it is possible to calculate the total flowrate across any mixer
327 cross-section using velocity maps as the ones shown in Figure 7a and 7c, where the size of each
328 cell on the grid is $0.5\text{mm} \times 0.5\text{mm}$. For the case of glycerol the average flowrate based on local
329 velocity values was 287 ± 5 L/h, while for guar gum solution the average flowrate was 292 ± 7 L/h,
330 which compares well to the nominal flowrate across the system determined by the flowmeter.



331

332 Figure 6. (a) Comparison of the empty pipe velocity profiles of glycerol and guar gum, as described by the model
 333 based on the fluid rheology. Experimental data fits illustrated by error bars. (b) Glycerol and guar gum solution
 334 velocity distribution in the direction of the flow, (U_z) inside of the mixer (c) Glycerol and guar gum solution velocity
 335 distribution in the direction of mixing, (U_x) inside of the mixer (d) Glycerol and guar gum solution velocity
 336 distribution in the direction perpendicular to the direction of mixing, (U_y) inside of the mixer. All at 300 L/h.

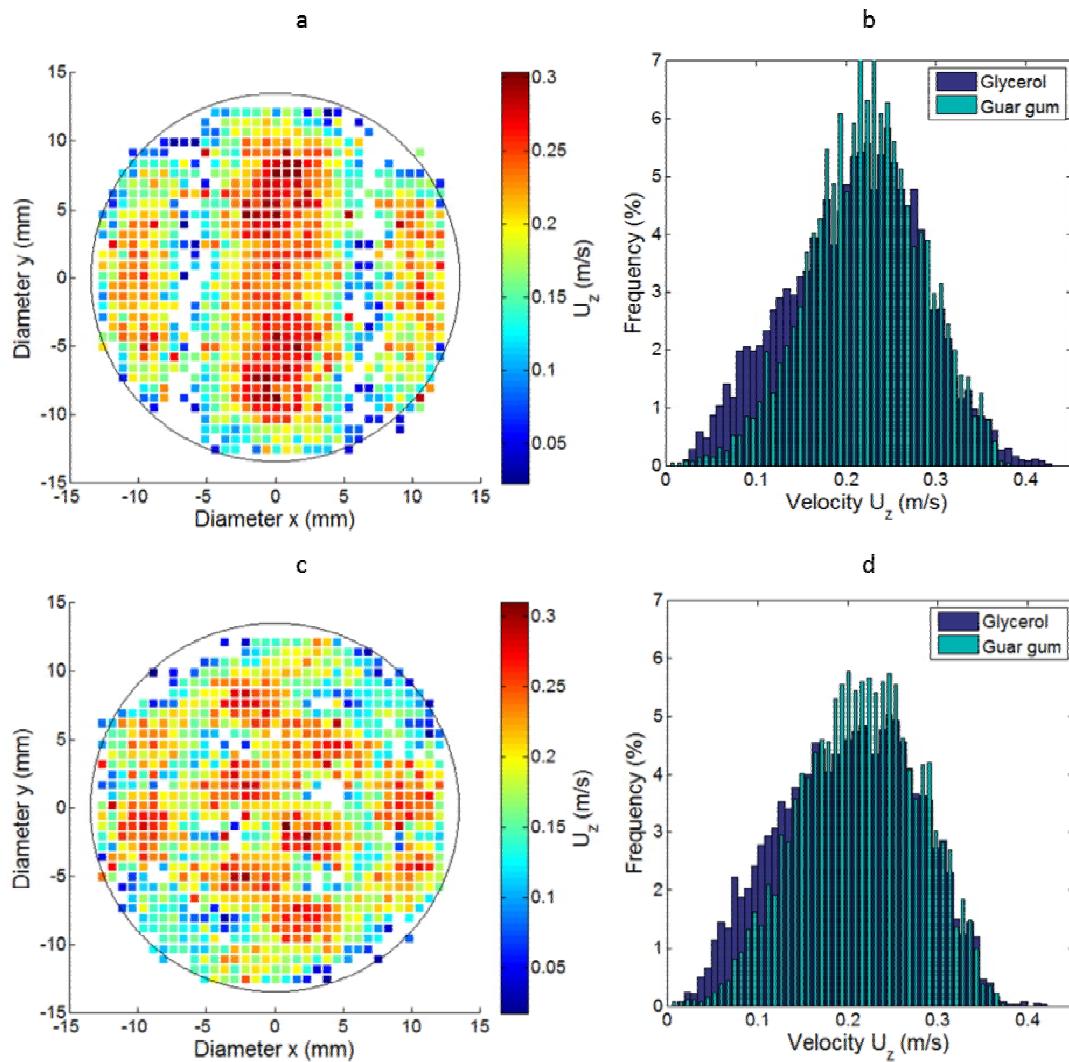
337 Within the empty pipe region any velocity components perpendicular to axial flow are equal
338 to zero, however, within the mixer the velocity components across the x-y plane, perpendicular
339 to the flow, become imperative to inducing the mixing. For the case of the radial velocity
340 component in the x-direction (U_x), the direction in which radial mixing is induced in the current
341 orientation of the mixer element, both glycerol and guar gum solution displayed a normal
342 unimodal distribution around zero between -0.3 and 0.3 m/s, shown in Figure 6c. The velocity
343 distribution in the y-direction (U_y), where little mixing occurs, for both fluids, is between -0.2
344 and 0.2 m/s, normal around zero (Figure 6d). It has to be noted, that U_x is the velocity in the
345 direction of mixing due to the geometry, as in this orientation of the mixer element the mixer
346 blades are parallel to the x-axis. The fluid follows the channels formed by the mixer blades, and
347 due to the laminar nature of the flow, motion along the y-axis is not expected. Therefore, the
348 magnitude of U_y is lower than U_x and an increase in U_y is predominantly observed at interfaces
349 of counter-flowing fluid elements.

350 It has been observed that all the distributions presented in the study display lower than
351 expected frequency counts at lower values of the U_z . This is attributed to the fact that the
352 probability of the tracer particle entering the slow flowing regions close to the assembly walls is
353 significantly lower than the probability of finding the particle in faster moving regions, leading
354 to reduced event counts at lower velocities. Furthermore, when the particle does enter the
355 boundary layers, similar limitations apply as in the empty pipe, where the tracer gets
356 permanently embedded in the stagnant fluid regions close to the wall.

357 When local velocity fields are considered at cross-sections perpendicular to the flow the
358 unimodal pattern is not consistently observed. Depending on the geometry of the cross-section
359 (cf. Figure 2b) both unimodal and bimodal distributions can be displayed.

360 It was observed, that for case of the axial velocity component, U_z , the distribution at
361 individual cross-sections matches that demonstrated when the entire mixer is considered.
362 Unimodal distribution patterns were displayed for both fluids, irrespective of the position of the
363 cross-sectional plane (Figure 7). Comparison of U_z values at 6.5 mm (Figure 7b) and 9.5 mm
364 (Figure 7d) cross-sections demonstrate that velocity distributions for each fluid at these cross-
365 sections are consistent, with the averages comparable within the space of experimental error.

366 As before (cf. Figure 6b), for glycerol the observed range was between 0.00 and 0.43 m/s,
367 with the mean at 0.21 m/s, while for guar gum solution the range was between 0.00 and 0.38 m/s
368 with the mean at 0.22 m/s, at both cross-sections and the same as when the mixer is considered
369 as a whole and using the t-test analysis it is possible to conclude that the velocity distributions
370 for both fluids belong to the same continuous distribution ($P > 0.05$). Figures 7a and 7c illustrate
371 velocity maps, highlighting the effects of the geometry on the local velocity fields. The
372 similarities in the distributions at different radial cross-sections are due to constant cross-
373 sectional area available for flow, irrespective of the location of the cross-section (cf. Figure 2b)
374 and a constant flowrate across each x-y-plane.



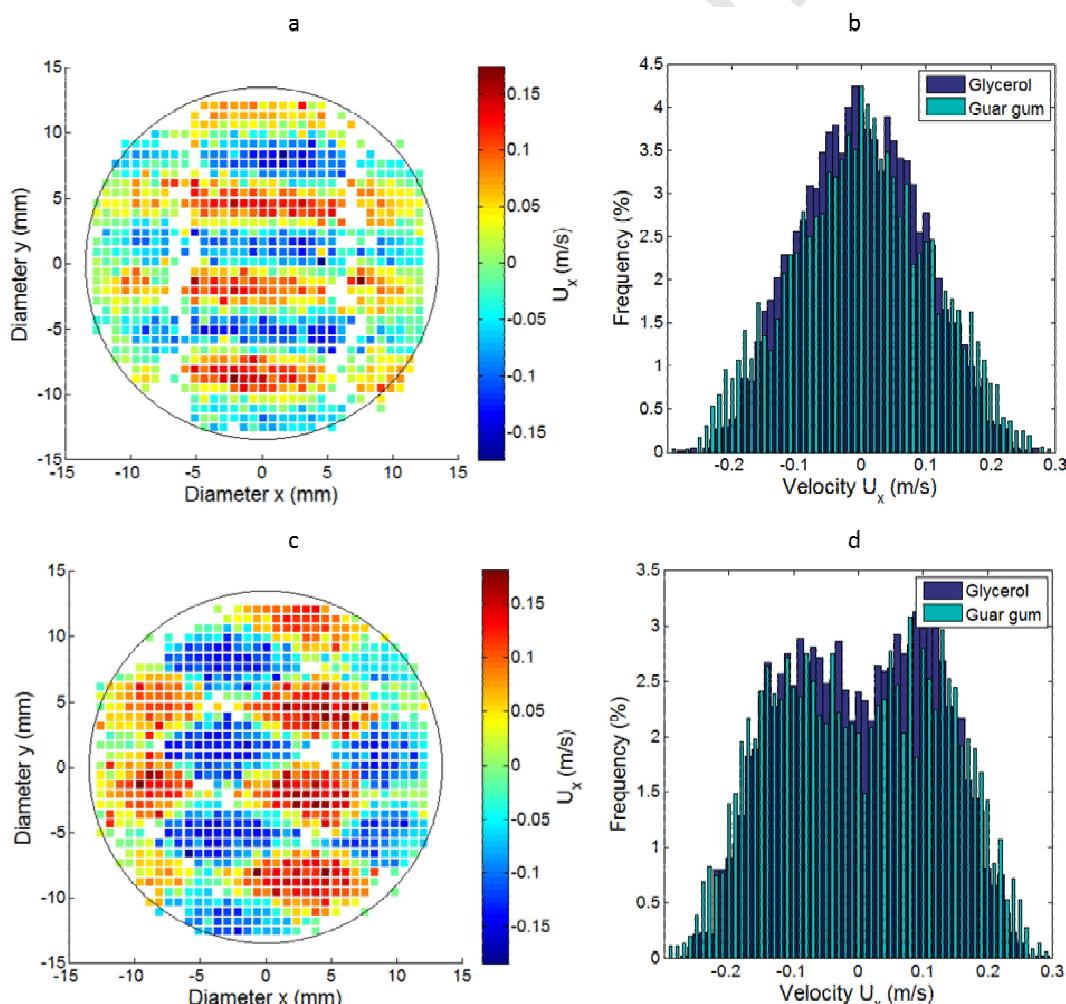
375

376 Figure 7. (a) U_z velocity map across the mixer cross-section at 6.5 mm for glycerol at 300 L/h and (b) the
 377 corresponding distributions for glycerol and guar gum solution. (c) U_z velocity map across the mixer cross-section at
 378 9.5 mm for glycerol at 300 L/h and (d) the corresponding distributions for glycerol and guar gum solution.

379 Figure 8a represents the map of U_x distribution for glycerol flowing at 300 L/h across a
 380 cross-section at 6.5 mm into the SMX element, while Figure 8b illustrates the frequency of
 381 detection for different ranges of U_x at that cross-section, for both fluids. It can be seen that both
 382 distributions are unimodal around 0, while the differences in the fluid rheology do not appear to
 383 have an effect on the distribution. Conversely, Figure 8c shows the distribution of U_x at the x-y

384 plane 9.5 mm into the mixer element. When considering the frequency of detection plot, Figure
 385 8d, it is clear that the distribution is bimodal, with the two maxima at -0.1 and 0.1 m/s. The
 386 difference in velocity patterns between the two cross-sections is attributed to the changing
 387 geometry of the cross-section at different points along the z-axis.

388 At 6.5 mm the blades of the mixer converge and the cross-section is divided into three parts,
 389 with a larger section in the middle and two smaller sections on the sides, where fluid flowing in
 390 opposite directions comes in close contact, resulting in areas where the opposing velocities
 391 cancel each other, reducing U_x , leading to a dominant peak around 0 m/s.



392

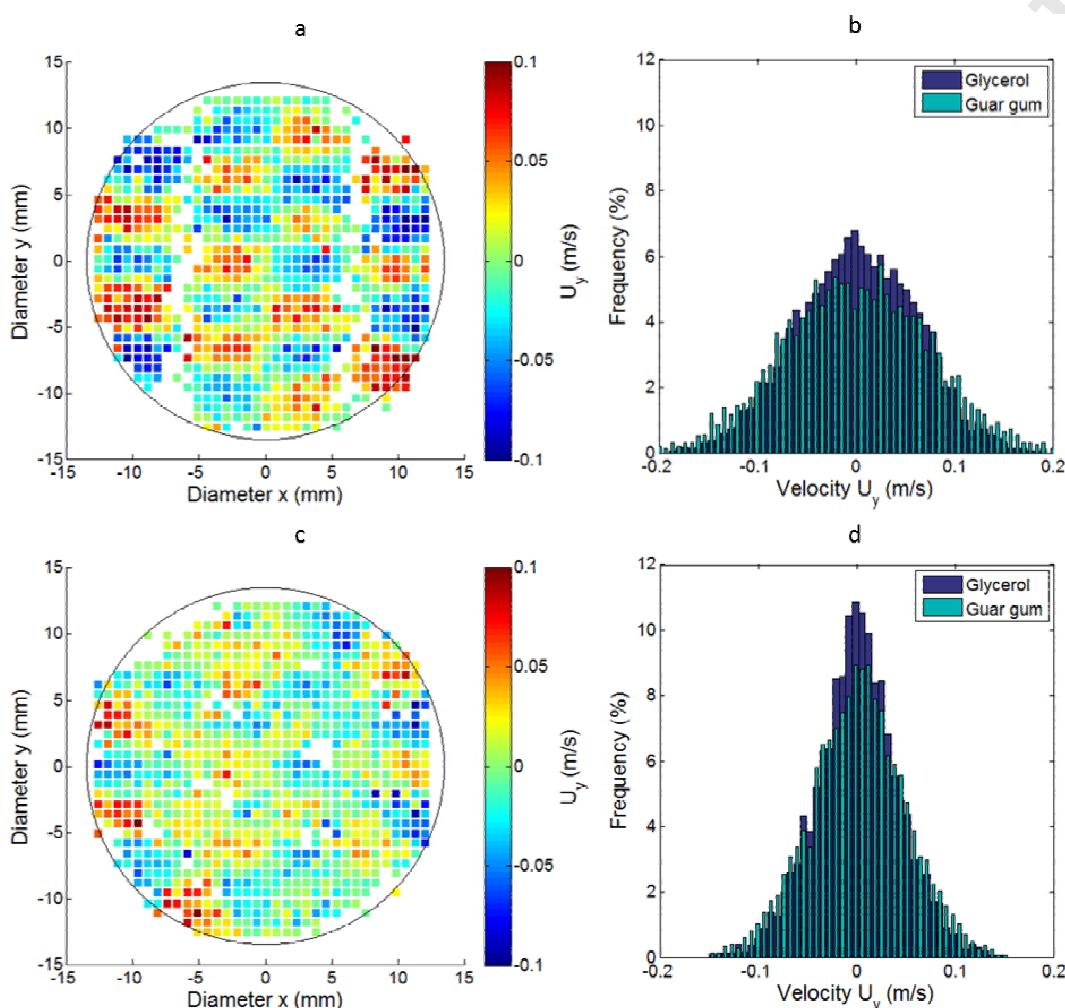
393 Figure 8. (a) U_x velocity field across the mixer cross-section at 6.5 mm for glycerol at 300 L/h and (b) the
394 corresponding distributions for glycerol and guar gum solution. (c) U_x velocity field across the mixer cross-section
395 at 9.5 mm for glycerol at 300 L/h and (d) the corresponding distributions for glycerol and guar gum solution.

396 Conversely, at 9.5 mm the cross-section is divided into 14 similarly sized sections, which
397 can be clearly seen in Figure 8c as areas of opposing velocity magnitudes. This segmentation of
398 the geometry in turn leads to a more defined division of the fluid into streams flowing in
399 opposite directions, thus resulting in the bimodal distribution observed at 9.5 mm cross-section,
400 as the contrasting streams do not come in contact. Such patterns of cross-section geometry are
401 observed throughout the mixer, leading to varying velocity distribution patterns.

402 These velocity distribution patterns do not appear to be influenced by the rheological
403 properties of the fluid, and the distributions for guar gum display the same patterns to those
404 shown for glycerol (Figure 8b and 8d).

405 When the velocity field in the y-direction is examined (U_y), the distribution remains
406 unimodal, irrespective of the point of the cross-section, however, the range of velocities observed
407 varies. For glycerol flowing at 300 L/h it can be seen that at the x-y-plane at 6.5 mm depth
408 (Figure 9b) the range is wider, between -0.2 and 0.2 m/s, while the x-y-plane at 9.5 mm depth,
409 shown in Figure 9d, has a narrower range, between -0.15 and 0.15 m/s. The difference in the
410 velocity range can be explained by referring back to the U_x distribution shown in Figure 8. Due
411 to a higher fraction of the fluid moving at higher velocity in the x-direction at the depth of 9.5
412 mm and assuming that energy dissipated at each cross-section is the same at a constant flowrate
413 (Hammoudi et al., 2012), the energy remaining for flow induction in the y-direction is lower,
414 hence the narrower range of velocities observed, as U_z distribution is maintained consistent at
415 each cross-section.

416 For all glycerol and guar gum solution velocity distribution pairs shown in Figures 8 and 9 it
 417 was demonstrated that the differences between the distributions are statistically insignificant ($P >$
 418 0.05).



419
 420 Figure 9. (a) U_y velocity field across the mixer cross-section at 6.5 mm for glycerol at 300 L/h and (b) the
 421 corresponding distributions for glycerol and guar gum solution. (c) U_y velocity field across the mixer cross-section
 422 at 9.5 mm for glycerol at 300 L/h and (d) the corresponding distributions for glycerol and guar gum solution.

423 By considering the residence time distributions and the total distances travelled by the tracer
 424 particles it is possible to gain further insight into the dynamics within SMX mixers. Figure 10a

425 illustrates the time to breakthrough for the empty pipe of the length equivalent to 10 SMX
426 elements. It can be clearly seen that rheology has a dramatic effect on the residence time
427 distribution, where the shear thinning guar gum solution exhibits a more plug flow behavior
428 when compared to Newtonian glycerol, with 95% of the tracers clearing the length of the pipe in
429 under 2100 ms, while for glycerol it takes over 3500 ms for 95% of the tracers to travel the same
430 distance. Here, due to the laminar nature of the flow the distance travelled by the tracers is
431 constant and equal to the length of the pipe. However, when considering the residence time
432 distribution within the mixer itself it becomes apparent that the differences between the two
433 fluids are less pronounced, with both exhibiting plug flow like behavior, which is a defining
434 feature of SMX static mixers as convection is induced in directions normal to the flow
435 (Hirschberg et al., 2009) (Figure 10b). For both fluids the first tracers start emerging from the
436 tenth mixer element at approximately 900 ms after entering at the first element, where 95% of
437 the particles following guar gum reach the end of the last element after 1500 ms, while for the
438 particles in glycerol it takes 1700 ms for 95% of the tracers to exit.

439 Due to the axial movement of the tracer particles the total distance travelled by the tracers is
440 almost double the distance travelled in an empty pipe of the same length and diameter,
441 specifically, the length of 10 SMX mixer elements is 260mm while the particles in both glycerol
442 and guar gum solutions travel approximately 560mm on average (Figure 10c).

443 Based on these values it is possible to establish that the average total velocity, U , at which
444 the particle travels through the mixer is equal to ~ 0.22 m/s for glycerol and ~ 0.23 m/s for guar
445 gum solution, where these velocities are comparable to the predicted interstitial velocity, i.e.
446 $Q/(A^*\epsilon)$.

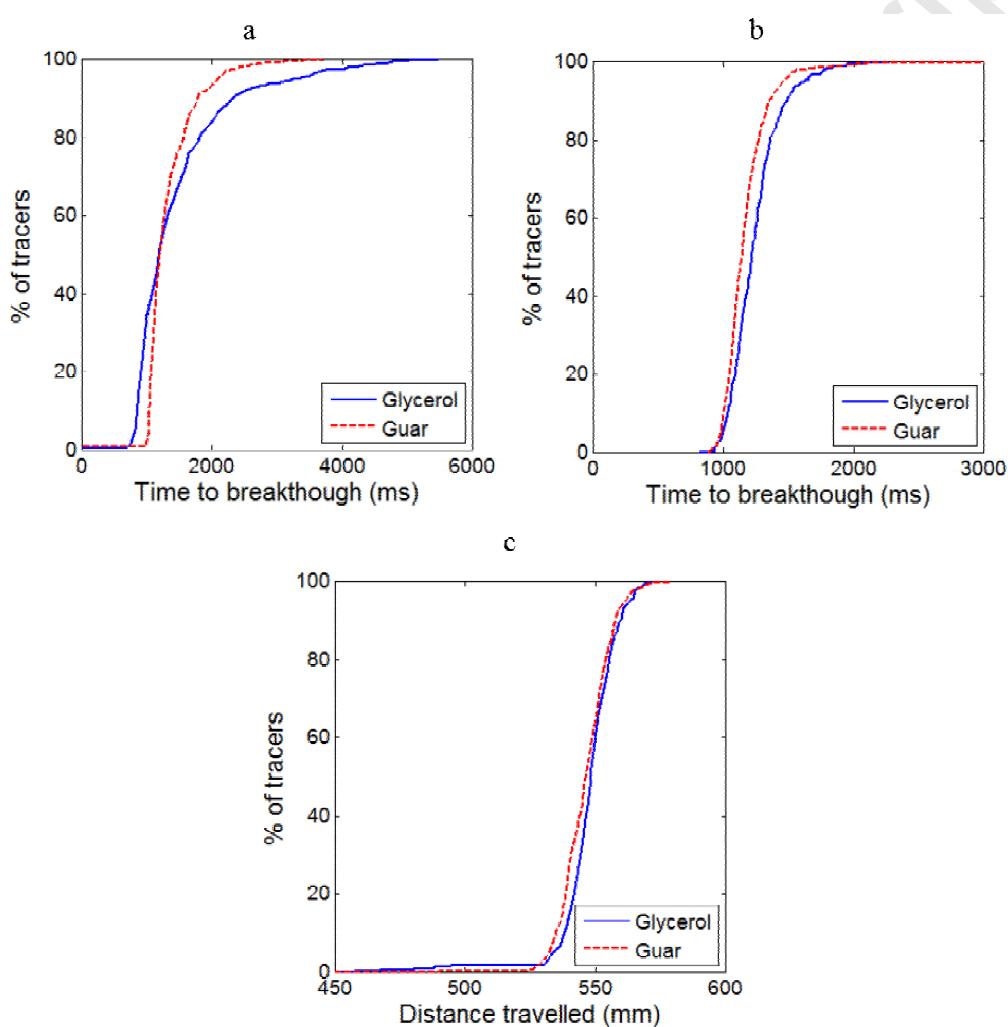
447 The similarities between the local and the averaged phenomena observed for two fluids with
 448 distinct rheological properties within the SMX mixer suggests that the dynamics within the
 449 mixer are dominated by the mixer structure and are not significantly affected by the fluid
 450 rheology. This can be explained by the ongoing redirection, splitting and recombination of the
 451 flow, where the fluid does not travel sufficient distances to achieve fully developed flow after
 452 encountering an obstruction. For the dimensions of the system used in the current study the entry
 453 length within the mixer range between 1.8 mm, for more open cross-sections, of example at
 454 6.5mm SMX depth, to 0.7 mm for cross-sections with multiple narrow channels, like those at
 455 9.5mm SMX depth (Equation 7) (Shah and Bhatti, 1987). However, changes in the SMX
 456 geometry are perpetual and rapid along the length of the mixer, as is demonstrated by the
 457 dramatic changes in geometry between 6.5 and 9.5 mm cross-sections. Therefore it can be
 458 concluded that both fluids would exhibit plug flow like behavior, inherent of underdeveloped
 459 laminar flow, blurring the lines between Newtonian and shear-thinning fluid dynamics, with
 460 local velocity profiles indistinguishable at a constant flowrate.

461 $L_e = 0.05ReD_c$ (7)

462 These observations raise interesting questions about the mixing dynamics within SMX
 463 mixers and whether non-Newtonian rheology of the fluids being mixed affects the efficiency of
 464 mixing. It can be theorized, that under the flow conditions described, two fluids with matching
 465 apparent viscosities would mix at a comparable rate, regardless of the nature of the fluids. Here
 466 the assumption of the apparent viscosity derived from the wall shear rate could be applicable, as
 467 was shown in previous findings, where apparent viscosity derived from the wall shear rate has
 468 been successfully used to develop a universal model for pressure drop across SMX mixers for
 469 both Newtonian and non-Newtonian fluids (Li et al., 1997). Confirming such dynamics would

470 allow designing processes with high mixing efficiency based on the rheological properties of the
 471 fluids.

472 The assessment of mixing within systems as the one described above is a crucial part of the
 473 future work on SMX mixer characterization.



474

475 Figure 10. (a) Residence time distributions for glycerol and guar gum solution with respect to the total number of
 476 tracer particles passing through a length of empty pipe equivalent in length to 10 SMX mixer elements. (b)
 477 Residence time distributions for glycerol and guar gum solution with respect to the total number of tracer particles

478 passing through 10 SMX mixer elements. (c) Distributions of the total distance travelled by the tracers for glycerol
479 and guar gum solution with respect to the total number of tracer particles passing through 10 SMX mixer elements.

480 **4. CONCLUSIONS**

481 The velocity distribution within SMX static mixers was assessed using PEPT and it was
482 observed that variations in the velocity field were dependent upon location within the mixer
483 element, predominantly differing in the direction in which mixing is induced owing to the mixer
484 geometry.

485 It was shown that the velocity distribution in the direction of the flow, U_z , for the empty pipe
486 region, was with the theoretical velocity profile expected based on the flow conditions and the
487 rheological properties of the fluids.

488 U_z distribution patterns within the mixer display similar variation between the two fluids,
489 however the variation was not as pronounced, to such a degree that the velocities from different
490 fluid could be attributed to the same continuous distribution, within 95% certainty. Moreover,
491 when considering U_z distribution at specific mixer x-y-cross-sections, velocity patterns and
492 magnitudes remain consistent, due to a constant flowrate and cross-sectional area.

493 Bimodal distribution patterns were exhibited by U_x , velocity in the direction of actively
494 induced radial mixing, at locations with a high number of well-defined compartments, for
495 example the x-y-plane 9.5 mm into the mixer element. This in turn results in a significant
496 decrease in the magnitudes of U_y , the velocity perpendicular to the direction of induced radial
497 mixing, when compared to cross-sections with a smaller number of larger compartments, for
498 example the x-y-plane 6.5 mm into the mixer element.

499 For all three velocity components it was found that when the two fluids are considered the
 500 patterns are not significantly ($P > 0.05$) affected by the rheological properties of the fluids used
 501 in the study. This is further supported by analyzing the total residence time and total distance
 502 travelled by the tracer particles in the entire 10 element assembly, where both fluids exhibited
 503 strong plug flow behavior, uncharacteristic of fully developed laminar flow, especially for the
 504 case of Newtonian glycerol. These observations lead to the conclusion that the structure of the
 505 mixer itself has a dramatic effect on the flow, not permitting the flow to develop fully, by
 506 constantly changing the geometry of the x-y cross-section, leading to underdeveloped flow inside
 507 the mixer, comparable to plug flow. It can therefore be concluded that for fluids flowing at the
 508 same flowrate the velocity distribution would not be significantly influenced by rheology.

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 513 help in preparing the tracer particles and acquiring the PEPT data.

514 **NOMENCLATURE**

A	Cross-sectional area (m^2)
D	Mixer diameter (m)
D_c	Characteristic dimeter of pipe/channel (m)
d_p	Pore diameter (m)
L_e	Pipe/Channel entry length (m)
m	Consistency index (Pa.s^n)

n_p	Power Law index (-)
Q	Flowrate (m^3/s)
Re	Reynolds number
Re_p	Pore Reynolds number
t	Time (s)
U	Total velocity (m/s)
U_x	Radial velocity in the x direction (m/s)
U_y	Radial velocity in the y direction (m/s)
U_z	Axial velocity (m/s)
V	Average interstitial velocity (m/s)
x	Direction of radial flow in the direction of mixing
y	Direction of radial flow perpendicular to the direction of mixing
z	Direction of axial flow

Greek Symbols

μ_0	Viscosity at zero shear rate (Pa.s)
μ_{eff}	Effective viscosity (Pa.s)
μ_{inf}	Viscosity at infinite shear rate (Pa.s)
ε	Porosity (-)
ρ	Density (kg/m^3)
τ	Tortuosity (-)
$\dot{\gamma}$	Shear rate (1/s)

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