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Ling, Yun-Zhi; Zhang, Xiao-Song; Wang, Feng; She, Xiao-hui

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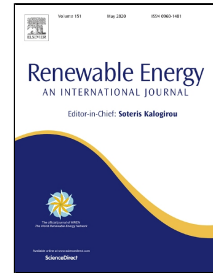
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Performance study of phase change materials coupled with three-dimensional oscillating heat pipes with different structures for electronic cooling

1 Yun-Zhi Ling*¹, Xiao-Song Zhang¹, Feng Wang², Xiao-hui She³

2 ¹*School of Energy and Environment, Southeast University, Nanjing210096, China*

3 ²*College of Electrical, Energy and Power Engineering, Yangzhou University, Yangzhou*
4 *225127, PR China*

5 ³*School of Chemical Engineering, University of Birmingham, Birmingham B15 2TT, UK*

6 * *Corresponding author. Tel/Fax: +86 - 15150567036.*

7 *E-mail address: yunzhiseu@outlook.com*

8

9 **Abstract:** *Electronic cooling* has been a rising issue mainly due to the rapid development of high-throughput
10 computing in data centres as well as battery energy storage, which release huge amount of heat through
11 compact surfaces. The electronic cooling process is not only energy-intensive but also difficult to control.
12 This paper proposes an effective cooling method for electronic devices by integrating phase change materials
13 (PCMs) with three-dimensional oscillating heat pipes (3D-OHPs), where PCMs are used to store heat
14 dissipated by the electronic device and 3D-OHPs to fast transport the stored heat from PCMs to the
15 environment. A novel leaf-shaped structure is designed for the 3D-OHPs. Experimental study is carried out on
16 the leaf-shaped 3D-OHPs with various working parameters including cooling air velocity, wind direction and
17 heat input. Further, the leaf-shaped 3D-OHPs are embedded into PCMs to cool down the electronic devices.
18 Temperature variations and thermal resistance are evaluated and compared with the conventional air cooling
19 method. The experimental results indicate that the surface temperature of electronic devices can be well
20 controlled below 100 °C, which is ~35 °C lower than that with conventional air cooling. The thermal resistance
21 is decreased up to 36.3%. The 3D-OHPs with a filling ratio of 34-44% achieve the best thermal performance.
22 What's more, the leaf-shaped structure of the 3D-OHPs contributes to a ~2 °C lower temperature on the
23 electronic device's surface than the typical used 3D-OHPs. This research will promote the development of
24 effective cooling for electronic devices.

25 **Keywords:** Thermal energy storage, heat pipe, phase change material, electronic cooling, thermal management

27 **Nomenclature**

Bo	Bond number
d	Diameter [m]
g	Gravitational acceleration [m/s^2]
h	Convective heat transfer coefficient [$W/(m^2 \cdot K)$]
i	Number of measuring points
k	Thermal conductivity [$W/m \cdot K$]
L	Length [m]
Nu	Nusselt number
Pr	Prandtl number
Q	Heat power [W]
r	Radius [m]
R	Thermal resistance [$^{\circ}C/W$]
s	Pitch of the pipe [m]
Re	Reynolds number
t	Time [s]
\bar{T}	Mean temperature [$^{\circ}C$]

Acronyms

FR	Filling ratio
LSOHP	Leaf-shaped oscillating heat pipe
PCM	Phase change material

Greek symbols

ε	<i>Correction factor</i>
λ	Heat conductivity [$W/(m^2 \cdot K)$]
ρ	Density [kg/m^3]
σ	Surface tension [N/m]
φ	Cooling efficiency

Subscripts

<i>b</i>	base
<i>c</i>	condensation
<i>cc</i>	wall of the copper case
<i>e</i>	evaporation
<i>f</i>	ambient
<i>h</i>	electronic component simulator
<i>l</i>	liquid
<i>m</i>	medium
<i>o</i>	contact
<i>s</i>	steady state
<i>v</i>	vapor

28 1. Introduction

29 The increasing demand for powerful electronic devices have a high requirement on effective cooling
 30 solutions capable of dissipating heat sufficiently. This is especially challenging to existing facilities with the
 31 current trend of miniaturization. Thus, effective electronic cooling is in urgent demand. Thermal energy storage
 32 with phase change materials (PCMs) can absorb and release thermal energy for peak-load shifting as well as
 33 reducing the system capacity[1]. This type of energy storage unit has been adopted in electronic cooling and
 34 ventilation applications[2][3]. With phase change materials, a significant temperature reduction in the operating
 35 temperature of electronic devices can be achieved, which significantly improves their performance and enhances
 36 stability[4]. However, the low thermal conductivity limits the application of phase change materials[5][6].

37 The recent reports focused on using heat pipes to enhance the heat transfer performance [7]. Table 1 shows
 38 the reported cooling method using PCMs coupled with heat pipes in electronic cooling. In addition, heat
 39 pipe-based cooling system was also used in thermal management of battery energy storage [12], which was
 40 considered as a promising solution [13].

41 Table 1 PCM coupled with heat pipe applied in electronic cooling in recent literature

Researchers	PCM	Material-working fluid	Power	Method
Behi et al. (2017)[8]	RT-42	Copper-water	Variable	Numerical study
Weng et al. (2011)[1]	Tricosane	Copper-water	Variable	Experimental study
Krishna et al. (2017)[9]	Tricosane/ Al ₂ O	Copper-water	Variable	Experimental study
Zhao et al. (2016)[10]	Paraffin	Copper-water	Variable	Experimental study
Qu et al. (2015)[11]	Paraffin	Copper-water	Variable	Experimental study

42 An oscillating heat pipe (OHP) is an effective thermal transmission device, which has a great potential for
 43 the application in electronic cooling. The oscillating heat pipe, also called pulsating heat pipe, was first
 44 introduced by Akachi [14] in the 1990s. A typical OHP is an interconnected capillary tube with many turns that
 45 is partially filled with a working fluid [15]. During the operation, continuous condensation (in the condenser)
 46 and evaporation (in the evaporator) of the working fluid produce a pressure difference that drives the fluid
 47 motion in the channel; liquid plugs and vapor slugs are alternately distributed along the pipe[16]. Compared
 48 with the traditional heat pipe, the OHP is a passive heat transfer device that exhibits several unique operating
 49 features such as high heat transport capability and manufacturing flexibility. The oscillating heat pipe has been
 50 used and studied widely[17][18].

52 The two-dimensional oscillating heat pipe (2D-OHP) is a device that is commonly applied in many fields
 53 because of its simple structure, flexible operation, and good applicability. However, the heat transmission
 54 direction of the 2D-OHP is limited to a flat plane. The mounting direction also limits the utilization of the
 55 2D-OHP. The three-dimensional oscillating heat pipe (3D-OHP) was designed for highly integrated electronic
 56 components to enhance the thermal management. It involves more heat transmission directions than the
 57 2D-OHP. It also improves the temperature distribution of the electronics, making it more uniform.

58 The thermal performance of 3D-OHP has been investigated in several previous studies. Qu et al. [19]
 59 demonstrated that the start-up temperature and thermal resistance of the 3D-OHP depended on the cooling air
 60 velocities and operating orientation. Ma et al. [20] proposed several flat-plate 3D-OHPs and tested the
 61 temperature oscillations and thermal resistance under different conditions. A multi-layered Ti-6Al-4V OHP
 62 (ML-OHP) was designed by Ibrahim [21] and was experimental studied to characterize its thermal performance

63 under different conditions. The results indicated that the ML-OHP can be effectively operated while being filled
64 with several working fluids and was almost independent of the operation orientation and gravity. Thompson et
65 al. [22] investigated the performance of flat-plate 3D-OHPs. They reported that the amplitude of temperature
66 oscillations depended on the heating width, operating orientation, and working fluid properties.

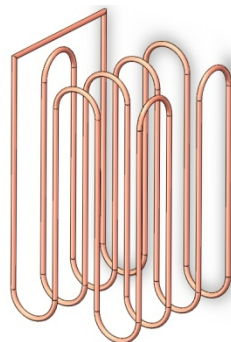
67 The flow structure of natural systems may provide ideas for the design of new types of 3D-OHPs. Bejan et
68 al. [23] published a book about the design in nature as a scientific discipline. They focused on discovering a
69 physics law for the design in nature. The branching networks had been investigated by several researchers to
70 study the hydrodynamics and thermodynamics. Rubio-Jimenez et al. [24] designed flow channel structures for
71 heat sinks. They demonstrated that Ψ -shaped configurations resulted in a reduced thermal resistance and more
72 uniform surface temperatures, compared with Y-shaped structures. Zhang et al. [25] studied the thermal and
73 flow behaviors of bifurcations and bends in fractal-like microchannel networks. The results indicated that the
74 pressure drop and heat transfer performance depended on the aspect ratio. Xu et al. [26] numerically
75 investigated the flow and thermal performance of several tree-shaped microchannel networks with and without
76 loops. They reported that tree-shaped nets with loops improved the performance of the electronic cooling system.
77 Convective heat transfer is important for devices with a branching structure. Luo et al. [27] provided a
78 theoretical expression to calculate the convective heat transfer rate and reported that symmetrical branches
79 resulted in a large heat transfer rate when the trunk diameter was larger than 0.004 m. Nayak et al. [28] also
80 performed a three-dimensional numerical simulation to investigate the pressure drop and heat transfer
81 coefficient in a Y-shaped branch pipe with a 60° branch angle. Zheng et al. [29] studied the solidification
82 behavior in the heat exchanger with different fin configurations and found that the tree-shaped fins has
83 significant influence on the enhancement of the PCM solidification.

84 The above literature review shows that the thermal performance of three-dimensional oscillating heat pipes
85 (3D-OHPs) was studied under different conditions. However, the optimal structure design was seldom reported.
86 The structural design of branching networks for electronics cooling has drawn much attention, while it has never
87 been applied on the 3D-OHPs. With the inspiration of leaf shapes, this paper proposes a leaf-shaped
88 three-dimensional oscillating heat pipes (LSOHPs) for improving heat transfer performance. Further, the
89 LSOHP is embedded into phase change materials to cool down electronic devices. Experimental study is
90 conducted with various working parameters and significant temperature decreases on the surface temperature of
91 electronic devices are observed, indicating efficient electronic cooling.

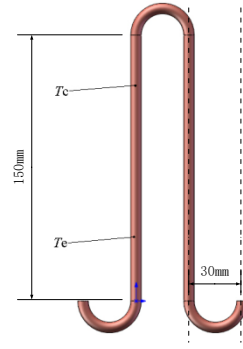
92 2. Experiment

93 2.1 Experimental setup of oscillating heat pipes

94 In this study, typical three-dimensional oscillating heat pipes (3D-OHPs) were designed, fabricated, and
95 tested in the laboratory. The OHPs were fabricated using red copper. The height of a 3D-OHP was 180mm. The
96 length and width of a 3D-OHP with 8 turns were both 90 mm. Fig.1 shows a schematic of the geometry of the
97 3D-OHPs and the points measured during the test. Deionized water was used as the working fluid; the filling
98 ratio (FR) was changed from 24% to 54%.



a) schematic



b) geometry of the turn



c) picture of a typical 3D-OHP

Figure 1 Schematic of the three-dimensional oscillating heat pipe (3D-OHP) and the points measured during the test

The thermally excited oscillating motion of the working fluid mainly depends on the surface tension and channel diameter. The internal diameter of the OHP must be small enough such that the liquid plugs can be separated by the vapor slugs. The formation of the liquid-vapor interface is characterized by the Bond number:

$$Bo = \frac{r^2 g (\rho_l - \rho_v)}{\sigma} \quad (1)$$

where Bo is the bond number, r is the hydraulic radius of the pipe, ρ_l is the density of the liquid, ρ_v is the density of the vapor, σ is the surface tension, and g is the gravitational acceleration. Taft et al. [30] reported that a value of 0.85 can be used to calculate the maximum hydraulic radius of an OHP. The rearrangement of Eq. (1) shows that the maximum radius of the microchannel embedded in an OHP system is:

$$r_{h,max} \leq 0.92 \sqrt{\frac{\sigma}{g(\rho_l - \rho_v)}} \quad (2)$$

The limit of the pipe diameter can be defined using Eq. (2). In this study, copper tubes charged with deionized water were fabricated. At 20°C, the maximum diameter of the 3D-OHP was 5.46 mm. The inner diameter of 3 mm and outer diameter of 5 mm were bent in a U-shape in this study.

The thermal performance including the temperature variations and thermal resistances of the 3D-OHPs under different working conditions were analyzed in detail.

The OHP was tested under heating powers in the range of 25 to 100 W. The thermal resistance can be defined by Eqs.(3)-(5). The parameters \bar{T}_e and \bar{T}_c are the mean temperatures of the evaporation and condensation sections [°C], respectively:

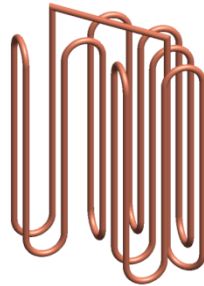
$$\bar{T}_e = \frac{1}{8} \sum_{i=1}^8 T_{ei} \quad (3)$$

$$\bar{T}_c = \frac{1}{8} \sum_{i=1}^8 T_{ci} \quad (4)$$

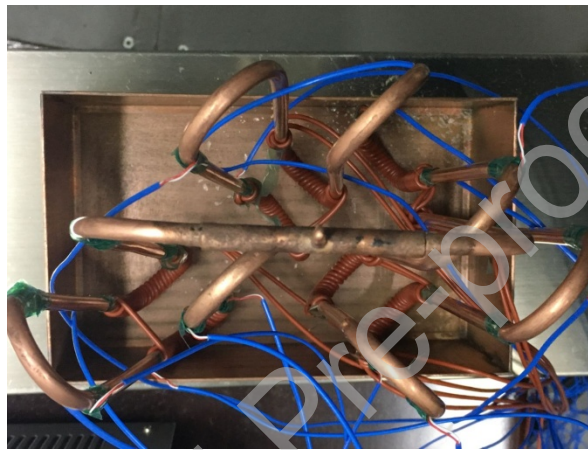
$$R = \frac{(\bar{T}_e - \bar{T}_c)}{Q} \quad (5)$$

121 where i is the index number of the measurement point. The temperature was measured using K-type
 122 thermocouples with a reading accuracy of $\pm 0.75\%$.

123 A leaf-shaped 3D-OHP (LSOHP) was designed. Fig.2 shows a schematic of the LSOHP. The height of the
 124 LSOHP was 180 mm. The length (L) and width (W) of the LSOHP with 8 turns were 150 and 85 mm,
 125 respectively. Deionized water was used as the working fluid; the filling ratio was 44%.



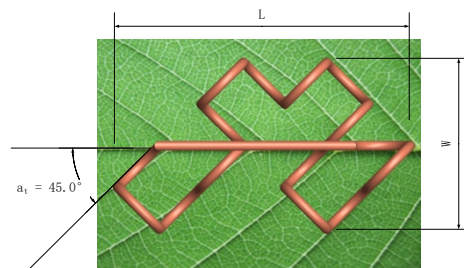
a) schematic geometry



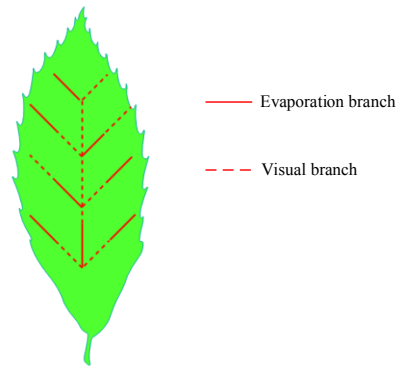
b) picture of the LSOHP (top-view)

Figure 2 Schematic of the leaf-shaped three-dimensional oscillating heat pipe (LSOHP)

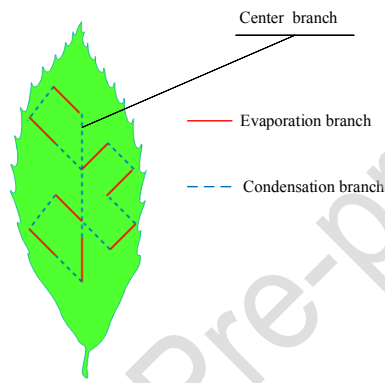
131 The constructal law was defined to design the LSOHP. As shown in Fig.3 a), the transform angle (a_t) was
 132 45° . The evaporation section of a LSOHP has eight turns, which were defined as the evaporation branches. To
 133 describe the design, a sketch of the virtual branches is shown in Fig. 3 b). Combined with the virtual branches,
 134 the top view of the LSOHP is shaped like a piece of a leaf. According to the constructal law of a LSOHP, three
 135 structural parameters were used: the transform angle a_t , number of the evaporation branches Ψ_e , and number of
 136 the condensation branches Ψ_c . The values of the parameters are shown in Table 2.



a) geometry of the leaf-shaped 3D-OHP (top-view)



b) design thought of a LSOHP (top view)



c) constructal law of a LSOHP (top view)

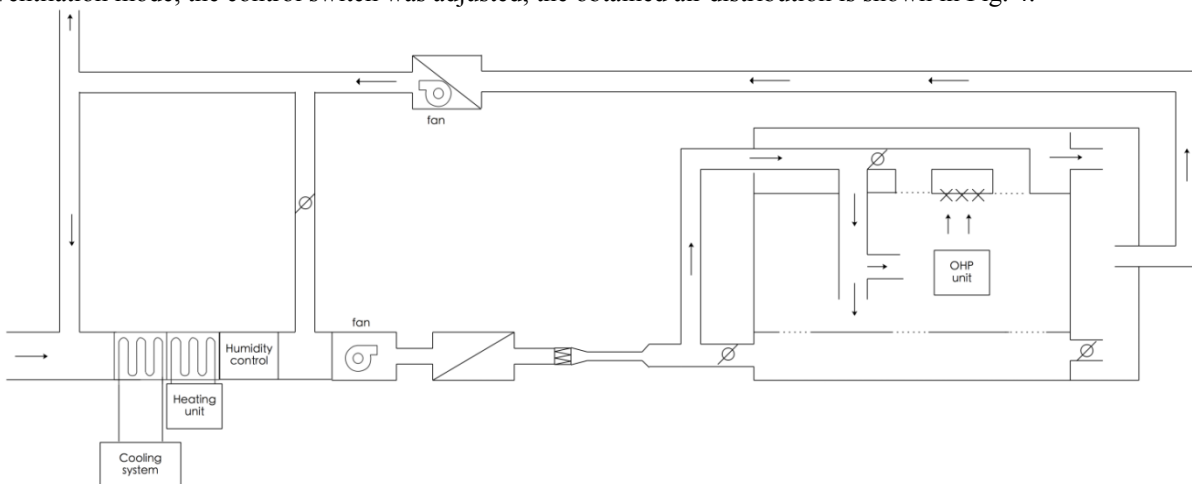
Figure 3 Design and constructal law of the leaf-shaped three-dimensional oscillating heat pipe (LSOHP)

Table 2 Structural parameters of the leaf-shaped three-dimensional oscillating heat pipe (LSOHP)

α_t	Ψ_e	Ψ_c
45°	8	7

To allow comparisons between different types of 3D-OHPs, similar geometric parameters, turn numbers, and filling volumes were used.

The 3D-OHP unit was tested in a controlled laboratory environment with a multipurpose air-cooling system. The ventilation mode and laboratory environment were controlled by the control cabinet. To control the ventilation mode, the control switch was adjusted; the obtained air distribution is shown in Fig. 4.

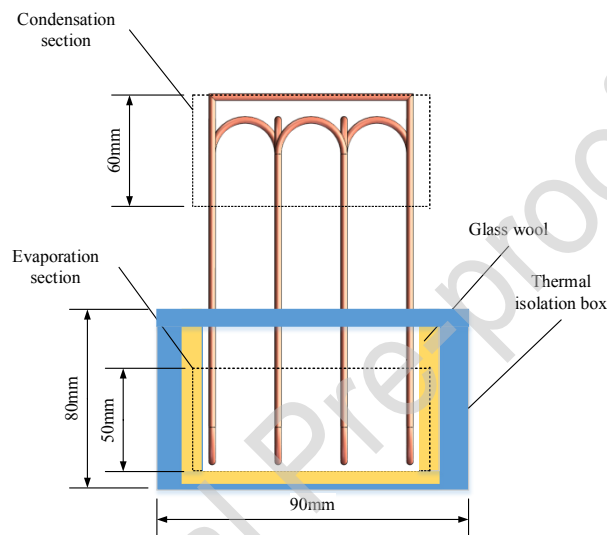


151

Figure 4 Schematic of the test room

152 The cooling air was supplied to the heat-retaining room by the duct in the ceiling plenum. After cooling of
 153 the OHP system, the air was returned from the ceiling plenum to the cooling system. The width and height of
 154 the air supply pipe were 600 and 150mm, respectively. The cooling air was controlled by the control cabinet and
 155 external fan with a specified temperature of 20°C.

156 Fig.5 illustrates the experimental apparatus of the OHP unit. Charensawan and Terdtoon reported that the
 157 thermal resistance depends on the evaporator length section. Decreasing the evaporator length improves the
 158 thermal performance for all cases of used tube diameters, FRs, and working fluids [31]. In this study, the lengths
 159 of the evaporation and condensation sections of the proposed 3D-OHPs were 50 and 60 mm, respectively. The
 160 temperature oscillation was studied in detail by deploying a single OHP unit into a thermal isolation box along
 161 with the heating elements. The box was filled with a glass wool insulation layer. The evaporation section of the
 162 3D-OHP was wrapped with resistance heating wires. The heating power was controlled in the range of 25 to 100
 163 W by adjusting the voltage transformer. The condensation section of the 3D-OHP was cooled by the air-cooling
 164 system.

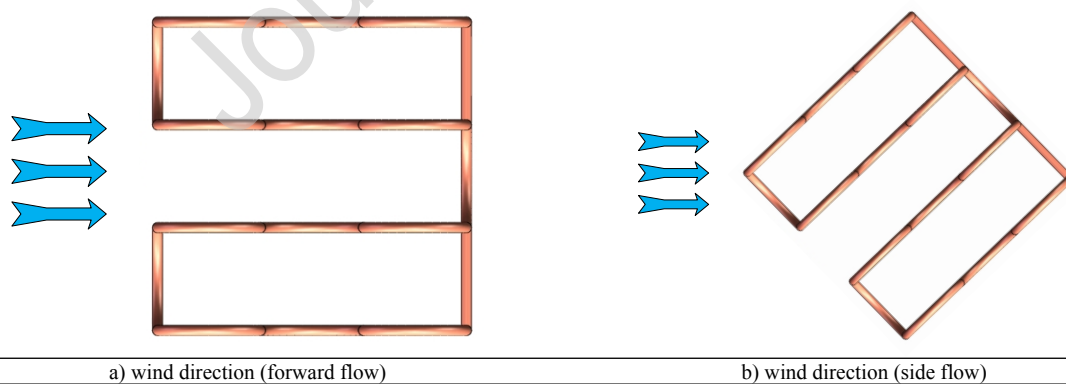


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166

Figure 5 Experimental apparatus of the test unit for three-dimensional oscillating heat pipes (3D-OHP)

167 The effect of the wind directions on the thermal performance was studied by rotating the 3D-OHP to a
 168 fixed position. Then the cooling air flows through the 3D-OHP from different directions, as shown in Figs 6 and
 169 7.



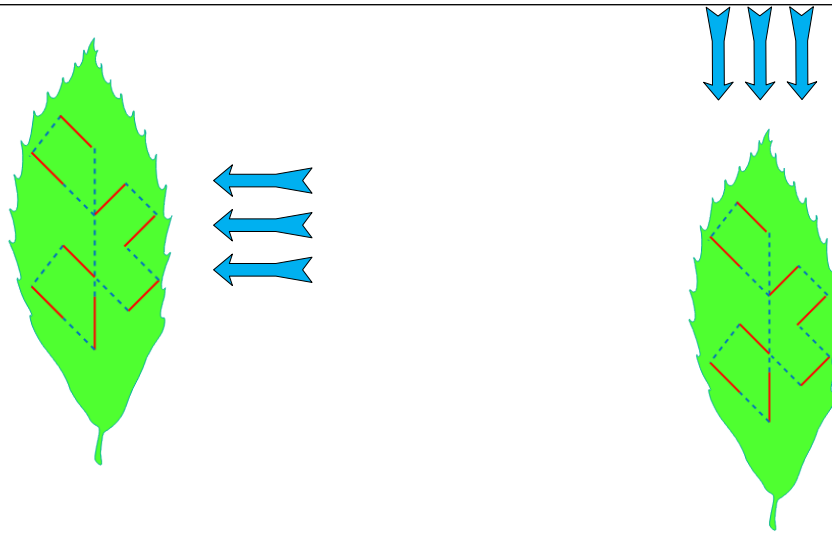
a) wind direction (forward flow)

b) wind direction (side flow)

170

Figure 6 Wind direction of the three-dimensional oscillating heat pipes (3D-OHP)

171



a) wind direction (side flow)

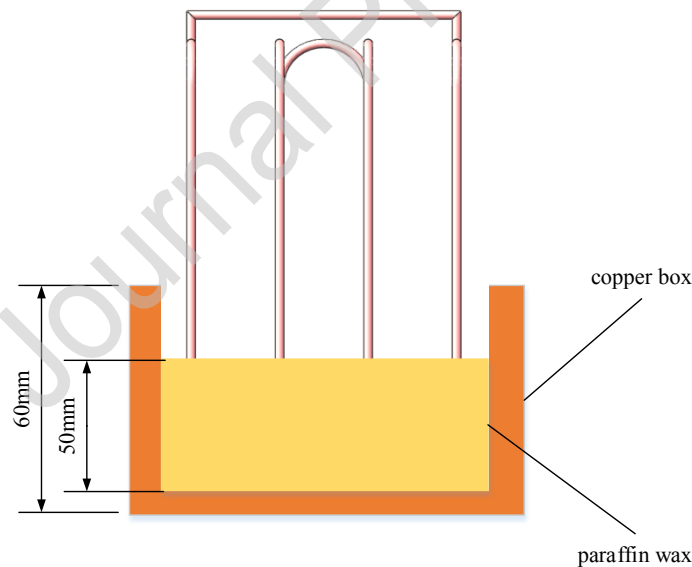
b) wind direction (forward flow)

172

Figure 7 Wind direction of the leaf-shaped three-dimensional oscillating heat pipe (LSOHP)

173 2.2 Experimental setup of the PCM/OHP unit

174 In this study, the unit of phase change materials embedded with three-dimensional oscillating heat pipes
 175 (PCM/OHP) was designed to cool the electronic component simulator. The PCM/OHP unit consists of an
 176 aluminum heating platform, a copper case, and the paraffin wax along with the 3D-OHP. Fig.8 illustrates the
 177 experimental apparatus of the PCM/3D-OHP unit. The length and width of the copper case were 92 and 92mm,
 178 respectively.

179
180**Figure 8** Schematic of the PCM/3D-OHP unit

181 The evaporation section of the 3D-OHP was inserted into the paraffin wax filled in the copper case and
 182 heated by the aluminum heating platform placed under the bottom of the case. The condensation section of the
 183 OHP is cooled by the air-cooling system in the insulated room. The main thermo-physical parameters of the
 184 paraffin wax were shown in Table 3. The aluminum heating platform was selected as the electronic component
 185 simulator. The heating power can be adjusted in the controller and the base temperature can be displayed in the
 186 monitor.

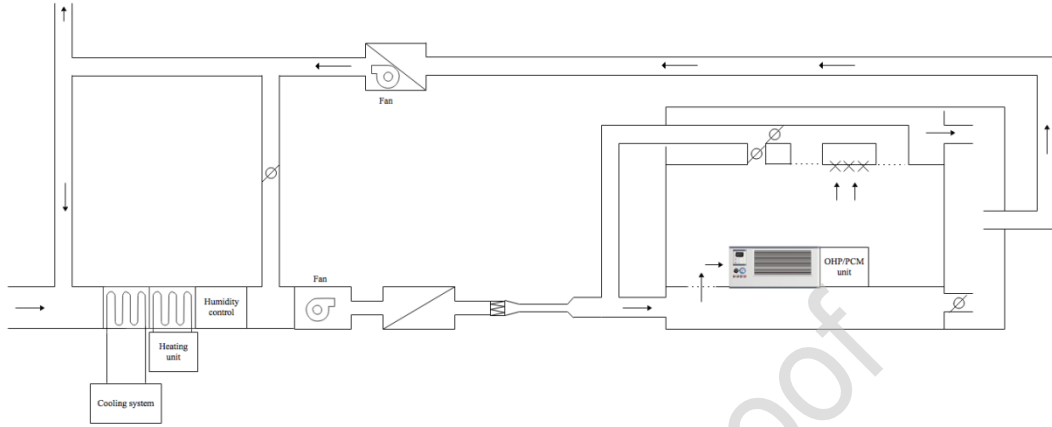
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Table 3 Thermo-physical parameters of the paraffin wax

Parameters	Phase-transition temperature ($^{\circ}\text{C}$)	Latent heat (J/g)	Thermal conductivity
------------	---	-------------------	----------------------

			(W/m · K for 20 °C)
Values	52 ± 1	176.7	0.19

188 Under floor air distribution (UFAD) shown in Fig. 9 was adjusted to cool the PCM/3D-OHP unit in a
 189 controlled laboratory environment. The cooling air was supplied by the plenum to the heat-retaining room and
 190 drawn into the cabinet simulator by the AC frequency fan. After cooling of the PCM/OHP unit placed in the
 191 room, the air was returned from the ceiling plenum to the cooling system. The cooling air temperature was
 192 22°C.



193
194

Figure 9 Schematic of the test room with under floor air distribution

195 Cooling performance of PCM/3D-OHP unit was studied under a heating power of 80W. The temperature
 196 distribution during melting process was calculated by the thermal imager (FLIR SC660) with an accuracy of ±
 197 1% of the reading. The pictures of the experimental devices including the thermal imager were shown in Fig.
 198 10.



199
200

Figure 10 Schematic of the test room with under floor air distribution

201 The cooling efficiency, φ , is defined as:

$$\varphi = \frac{R_h - R_s}{R_h} \quad (6)$$

$$R_h = \frac{T_h - T_f}{Q} \quad (7)$$

$$R_s = \frac{T_s - T_f}{Q} \quad (8)$$

202 where R_h is the thermal resistance of the electronic component simulator without PCM/3D-OHP unit, R_s is
 203 the thermal resistance of the electronic component simulator coupled with the PCM/3D-OHP unit, T_h is the
 204 equilibrium base temperature of the electronic component simulator without PCM/3D-OHP unit, T_s is the

205 equilibrium temperature of the electronic component simulator coupled with the PCM/3D-OHP unit, T_f is the
 206 ambient temperature, Q is the heat power.

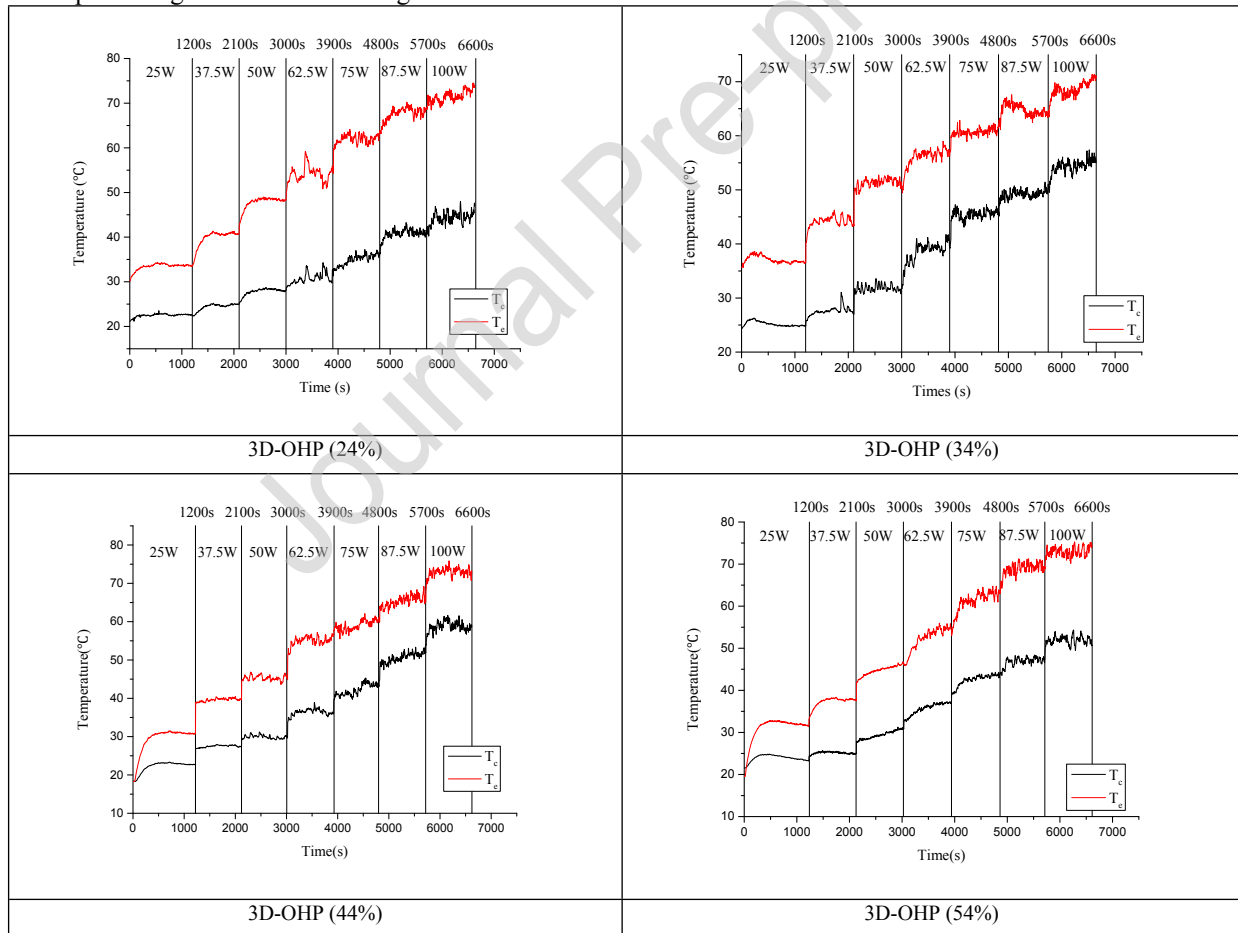
207 3. Results and discussion

208 The temperature variations of the proposed three-dimensional oscillating heat pipes (3D-OHPs) were
 209 studied experimentally at heating powers ranging from 25 to 100 W. The temperatures of the evaporation and
 210 condensation sections were monitored and recorded.

211 3.1 Thermal performance of the three-dimensional oscillating heat pipe

212 3.1.1 Effect of the filling ratio on the 3D-OHP

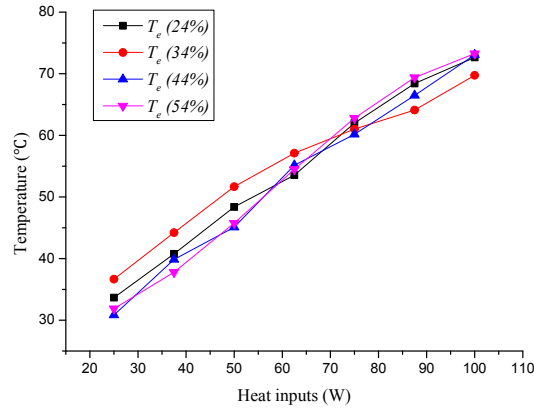
213 Fig. 11 shows the oscillating temperature curves of the proposed 3D-OHPs. The oscillating temperature
 214 curves clearly indicate two periods. When the heating power is relatively low, no oscillation is observed. As the
 215 heating power increases, the heat flux by evaporation occurring at the liquid-vapor interface increases and the
 216 vapor volume variation acts as a spring in the system. The slug/plug flow formed and a thin layer of liquid film
 217 placed on the surface. As a result, the temperature oscillation presents a large fluctuation associated with an
 218 occasionally large amplitude and low frequency. The heating power at which a notable temperature oscillation
 219 can be observed was defined as the start-up power. The results show that the start-up power depends on the FR.
 220 When the FR is 34%, the start-up power is the lowest. This indicates that less power is required to initiate
 221 oscillations. When the FRs are decreased to 24% or increased to 54%, the start-up power significantly increases.
 222 This indicates that different FRs correspond to different thermally excited oscillating motions. At a FR ranging
 223 from 34% to 44%, a small heating power is required to initiate oscillations. At FRs beyond this range, more heat
 224 is required to generate an oscillating motion in the 3D-OHP.



225 **Figure 11** Temperature oscillations of the 3D-OHPs at different FRs

226 We assume that the heating power is constant (without any loss), the low temperature of the evaporation
 227 section indicates that the heat is rejected effectively. Therefore, the temperature of the evaporation section
 228 should be small enough to ensure that the electronic components work in the recommended range. The
 229 temperature variations of the 3D-OHPs under different FRs in the evaporation section are depicted in Fig. 12. At

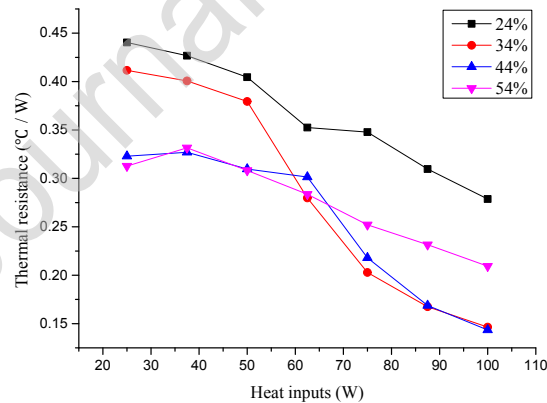
230 a high heating power in the range of 75 to 100W, the 3D-OHP with a FR of 34% exhibits the lowest temperature
 231 and the 3D-OHP with a FR of 44% exhibits the second lowest temperature. Because hot spots mostly occur
 232 when the electronic components are operated at a high heating power, a FR in the range of 34% to 44% is
 233 recommended to achieve a better cooling performance.



234
235

Figure 12 Temperature variations of the 3D-OHPs at different FRs in the evaporation section

236 Fig. 13 illustrates the thermal resistance of the 3D-OHPs at different heating powers depending on different
 237 FRs. In general, the thermal resistance decreases with increasing heating power. At a low heating power, the
 238 thermal resistance slowly decreases or even increases before it decreases. The inflection point is probably due to
 239 thin film evaporation phenomena. As the heating input increases, the slug/plug flow forms and a thin layer of
 240 liquid film develops on the surface. The thermal-excited oscillating motion is generated in the capillary tube,
 241 which significantly improves the forced convection in addition to the phase change heat transfer. Based on the
 242 results of 3D-OHPs charged with deionized water at different FRs, the thermal resistance presents a divergent
 243 trend when the heat input is relatively small (e.g., ≤ 50 W). When the heat input is higher than 50W, the
 244 3D-OHP with a FR in the range of 34% to 44% exhibits the lowest thermal resistance.



245
246

Figure 13 Thermal resistance of the 3D-OHPs at different FRs

247 The above-mentioned analysis shows that 3D-OHPs with filling ratios in the recommended range of 34%
 248 to 44% achieve the best thermal performance.

249 3.1.2 Effect of the cooling air velocities on the 3D-OHP

250 Fig. 14 shows the temperature curves of the 3D-OHP with a FR of 44% at different cooling air velocities.
 251 When the cooling air velocity increases from 5 to 8m/s, the temperatures of the evaporation and condensation
 252 sections notably decrease, which is probably due to the enhancement of the convective heat transfer.

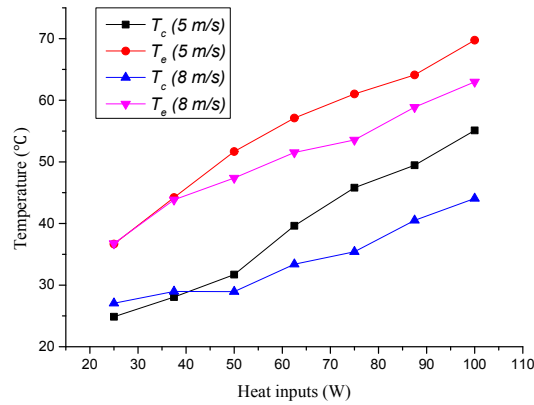
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Figure 14 Temperature variations of the 3D-OHPs at different cooling air velocities

255 When the 3D-OHP is vertically placed, the cooling air is supplied from different directions. We consider
 256 the 3D-OHP as a tube bundle and neglect the effect of turns. As shown in Fig. 6 a), for the 3D-OHP in aligned
 257 arrangement, the Zhukauskas equation was used to calculate the Nusselt number ($Re = 10^3 - 2 \times 10^5$), i.e.,

$$Nu = \epsilon_n 0.27 Re^{0.63} Pr_f^{0.36} (Pr_f/Pr_w)^{0.25} \quad (9)$$

258 where ϵ_n is the correction factor for the tube bundle. The correction factor was set to 0.910 in this case. The
 259 convective heat transfer coefficient can be determined as follows:

$$h = Nu \cdot \frac{\lambda}{d} \quad (10)$$

260 where λ is the heat conductivity and d is the outer diameter of the pipe. The convective heat transfer
 261 coefficients of the 3D-OHPs are 121.4 and $163.3 \text{ W}/(\text{m}^2 \cdot \text{K})$ when the velocities of the cooling air are 5 and
 262 8 m/s , respectively. The convective heat transfer coefficient increases with the increase of cooling air velocity.
 263 The temperatures of the condensation section decrease due to the enhancement of convective heat transfer.

264 As shown in Fig. 13, the thermal resistance at different cooling velocities show the same trend. And the
 265 difference between thermal resistance at different cooling velocities was small. The result demonstrated that the
 266 temperature of the evaporation section decreased with the increase of cooling air velocity. With respect to
 267 electronics cooling, we consider that the temperature of the evaporation section is one of the most important
 268 factors influencing the cooling performance. A high cooling air velocity benefits the cooling performance.
 269 However, increasing the cooling air velocity is accompanied by the increase in the energy consumption. An
 270 optimal trade-off between the cooling air velocity and temperature of the evaporation section should be made to
 271 ensure that the electronic components operate in the recommended temperature range with less energy
 272 consumption.

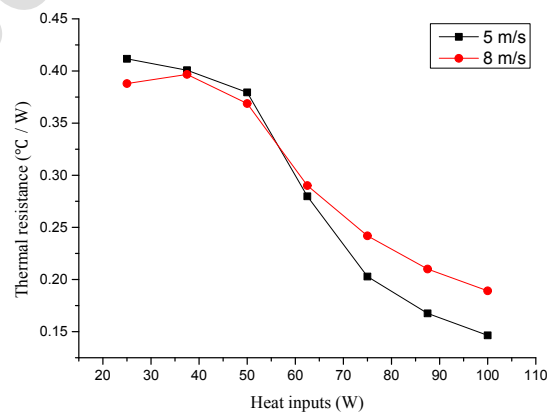
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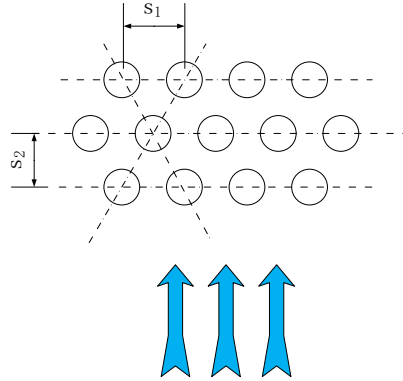
Figure 15 Thermal resistance of the 3D-OHPs at different cooling air velocities

275 3.1.3 Effect of the wind directions on the 3D-OHP

276 Fig. 6 shows the schematic of the 3D-OHP at different wind directions. Fig. 6a shows the flow of cooling
 277 air through the 3D-OHP in aligned arrangement; in this case, Eqs(9) and (10) can be adopted to calculate the

278 convective heat transfer coefficient, that is, $h=121 \text{ W}/(\text{m}^2 \cdot \text{K})$. Fig. 6b illustrates the side flow through the
 279 3D-OHP in staggered arrangement.

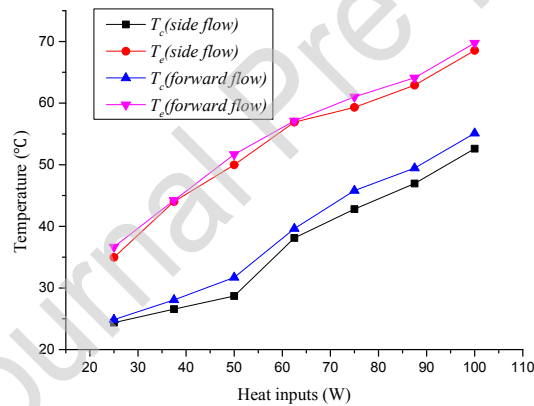
280 For the 3D-OHP in staggered arrangement shown in Fig. 16, the cooling air flowing through the curved
 281 passage alternately contracts and expands between the tubes. Therefore, the disturbance during the flow process
 282 is stronger than the cooling air flowing through the tube bundle in aligned arrangement, which leads to an
 283 enhanced heat exchange. Thus, the convective heat transfer coefficient of the 3D-OHP in staggered arrangement
 284 should be larger than the convective heat transfer coefficient of the 3D-OHP in aligned arrangement.
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286
 287

Figure 16 Air flow through the 3D-OHP in staggered arrangement

288 Fig. 17 shows that the 3D-OHP with side flow exhibits a lower evaporation temperature. This indicates that
 289 the temperature of the evaporation section decreases with increasing convective heat transfer coefficient. The
 290 thermal resistance has little change with different wind directions (Fig. 18).



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Figure 17 Temperature variations of the 3D-OHPs at different wind directions

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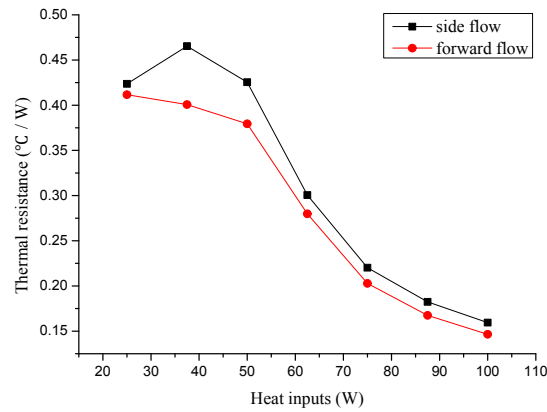


Figure 18 Thermal resistance of the 3D-OHPs at different wind directions

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296 The combined study of the temperature variation and thermal resistance indicates that the cooling
297 performance of the 3D-OHP depends on the wind direction. The 3D-OHP in staggered arrangement exhibits a
298 better cooling performance.

299 3.2 Thermal performance of the leaf-shaped three-dimensional oscillating heat pipe

300 The above-mentioned analysis has proven that a filling ratio in the range of 34% to 44% leads to the best
301 thermal performance. The 3D-OHP in staggered arrangement exhibits a better cooling performance. We assume
302 that the flow structures found in natural systems may provide ideas for the design of new types of 3D-OHPs
303 with better cooling performances. Therefore, we designed a leaf-shaped three-dimensional oscillating heat pipe
304 (LSOHP), which has a complex structure. A LSOHP with a filling ratio of 44% was designed to study the
305 influence of the structure on the cooling performance (Fig. 2).

306 3.2.1 Effect of the wind directions on the LSOHP

307 Fig. 19 shows the oscillating temperature curves of the proposed LSOHP. The oscillating temperature
308 curves show that the start-up power was 50W. The start-up power was constant at different wind directions.

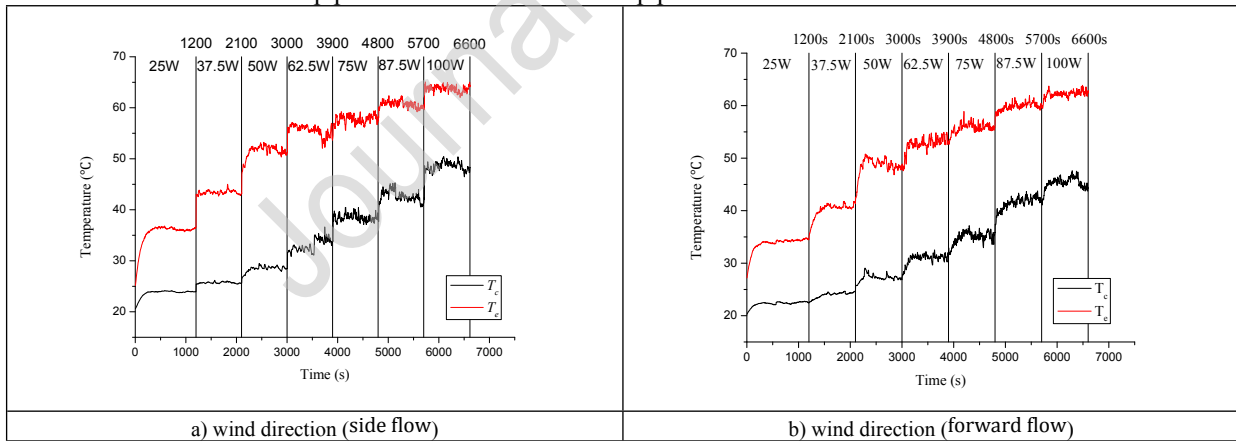
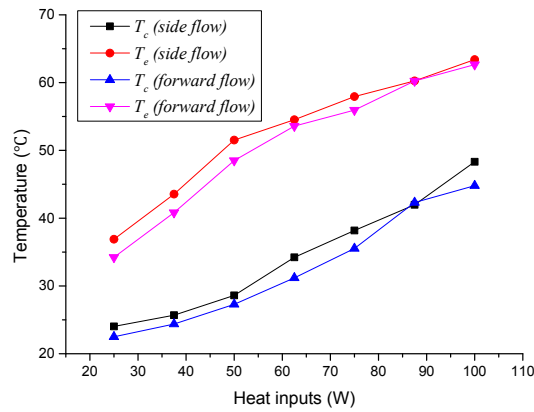


Figure 19 Temperature oscillations of the LSOHP at different wind directions

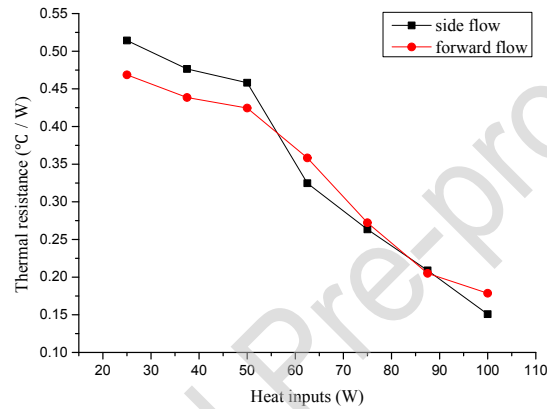
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310 Figs20 and 21 illustrate the temperature variations and thermal resistance of the LSOHP for different wind
311 directions. The LSOHP with forward flow exhibits a low temperature in the evaporation section. It indicates that
312 the LSOHP with forward flow has a better cooling performance than the LSOHP with side flow.



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Figure 20 Temperature variations of the LSOHP at different wind directions



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Figure 21 Thermal resistance of the LSOHP at different wind directions

317 For the LSOHP in staggered arrangement, the Zhukauskas equation was used to calculate the Nusselt
318 number ($Re = 10^3 - 2 \times 10^5$):

$$Nu = \varepsilon_n \cdot 0.35 \left(\frac{S_1}{S_2} \right)^{0.2} Re^{0.6} Pr_f^{0.36} (Pr_f / Pr_w)^{0.25} \quad (11)$$

319 where ε_n is the correction factor for the tube bundle. The correction factor was set to 0.928 and 0.965 for side
320 and forward flow, respectively.

321 Eqs(10) and (11) were adopted to calculate the convective heat transfer coefficients. The convective heat
322 transfer coefficients of the LSOHP were 132 and 137.3 W/(m² · K) when the wind directions were side and
323 forward flow, respectively. Therefore, the temperature in the evaporation and condensation sections decrease
324 with increasing convective heat transfer coefficient. The thermal resistance at different wind directions are
325 approximately equal at a high heat input.

326 When the cooling air velocity was constant, the comparison between the temperature variations of the
327 LSOHP and 3D-OHP shows that the LSOHP exhibits a lower temperature in the evaporation section. This
328 indicates that the LSOHP has a better cooling performance than the typically used 3D-OHP.

329 3.2.2 Effect of the cooling air velocities on the LSOHP

330 Fig. 22 and Fig. 23 show the temperature variations and thermal resistance of the LSOHP. Eqs(10) and (11)
331 were adopted to calculate the convective heat transfer coefficients. The convective heat transfer coefficients of
332 the 3D-OHP are 132 and 170.3 W/(m² · K) when the cooling air velocities are 5 and 8m/s, respectively. The
333 results illustrate that the higher the convective heat transfer coefficient is, the lower is the temperature in the
334 evaporation and condensation sections.

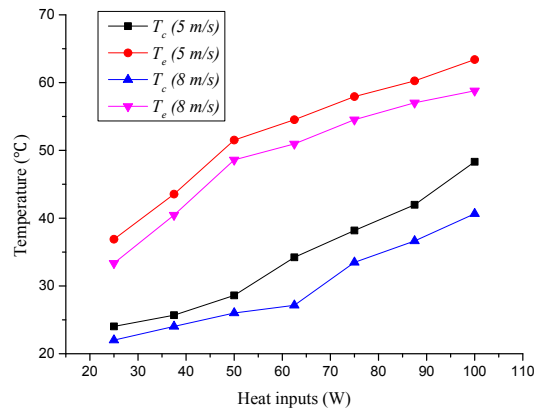


Figure 22 Temperature variations of the LSOHP at different air velocities

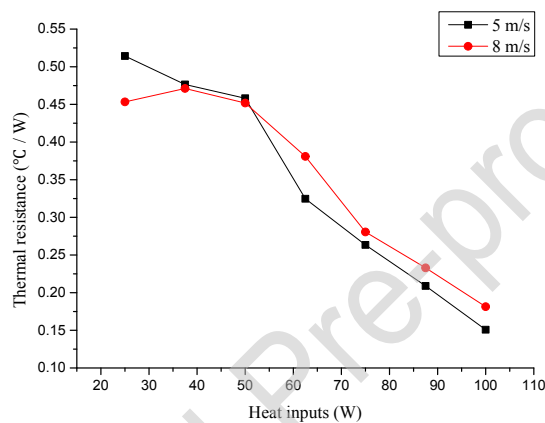


Figure 23 Thermal resistance of the LSOHP at different air velocities

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339 As shown in Figs. 20 and 22, the temperature difference between the evaporation sections of the LSOHP at
 340 different wind directions is much smaller than the temperature difference between the evaporation sections of
 341 the LSOHP at different cooling air velocities. This is probably due to the varying convective heat transfer
 342 coefficient differences. When the convective heat transfer coefficient difference increases, the temperature
 343 difference between the evaporation sections increases.

344 3.3 Cooling performance of the phase change materials embedded with three-dimensional oscillating heat pipe

345 The phase change materials embedded with three-dimensional oscillating heat pipe (PCM/3D-OHP) is
 346 tested to study the cooling performance for electronic devices. The thermal resistance model is developed for the
 347 PCM/3D-OHP unit. The thermal resistance model is shown in Fig. 24. T_h is the temperature of the electronic
 348 component simulator, R_o is the thermal contact resistance, T_b is the base temperature of the copper case, R_m
 349 is the medium thermal resistance, T_{PCM} is the average temperature of the PCM shown in the thermal images,
 350 R_{cc} is the thermal resistance between the base of the copper case and the wall of the copper case, T_{cc} is the
 351 wall temperature of the copper case, R_{cc-f} is the thermal resistance between the wall of the copper case and
 352 the ambient temperature, T_f is the ambient temperature, R_c is the condensation thermal resistance, T_c is the
 353 temperature of the condensation section, R_{c-f} is the thermal resistance between the condensation section and
 354 the ambient temperature.

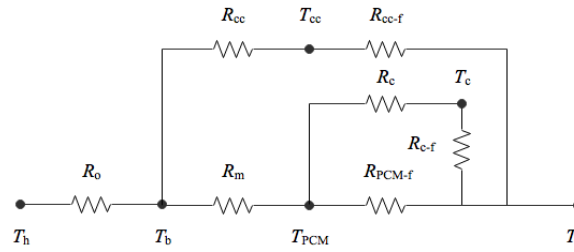


Figure 24 Thermal resistance model of the PCM/3D-OHP unit

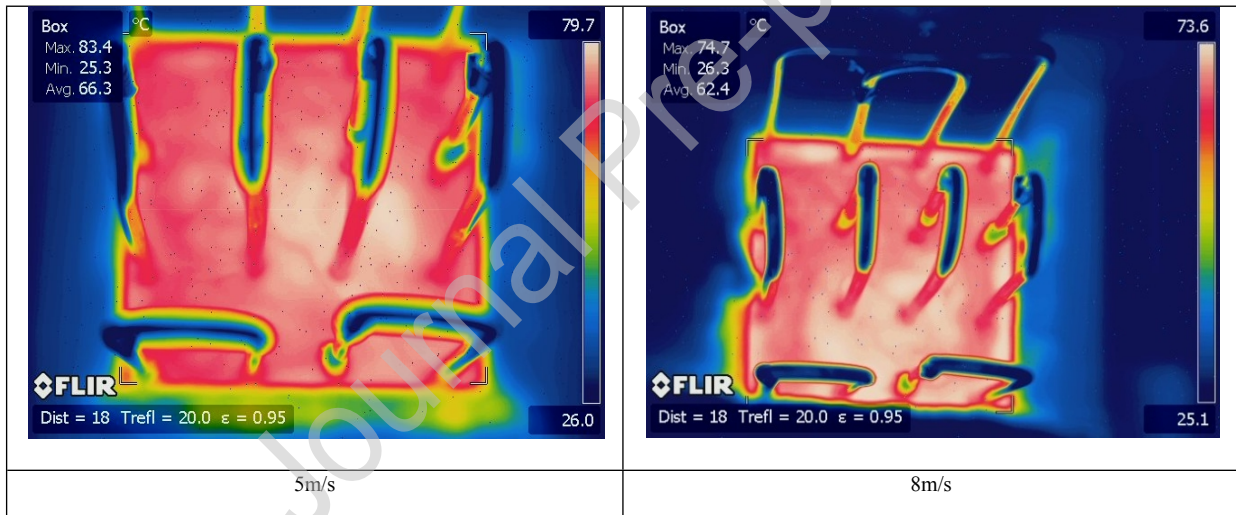
355
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357 We neglect the effect of the copper case on the cooling performance, then the thermal conductivity
358 enhancement of the PCM using 3D-OHP can be determined by the temperature difference between the
359 electronic component simulator and the average temperature of the PCM shown in the thermal images (ΔT_{PCM}
360 $= T_h - T_{PCM}$). The total thermal resistance can be determined by Eqs. 7 and 8.

361 An investigation on the cooling performance of PCM coupled with 3D-OHP was conducted. The
362 equilibrium temperature of the electronic component simulator was recorded. The temperature distribution of
363 the PCM/3D-OHP unit was sketched by the thermal imager. The maximum and average temperatures are shown
364 in the pictures.

365 When the electronic component simulator without PCM/3D-OHP unit was cooled by the air with a velocity
366 of 5 m/s. The resulting base temperature, T_h , was 135 °C when the heating power was 80W.

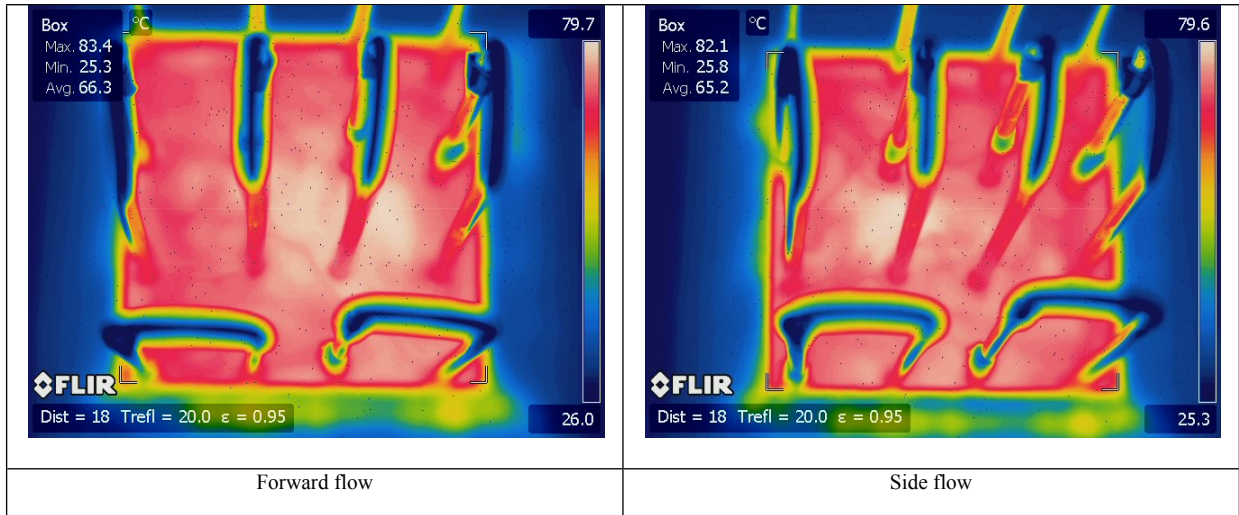
367 Fig. 25 shows the internal temperature distribution of the copper case at different cooling air velocities. The
368 equilibrium temperature of the electronic component simulator were 101 and 94 °C (a difference of 7 °C) when
369 the cooling air velocities were 5m/s and 8m/s, respectively. The calculated cooling efficiency was shown in
370 Table 4. The results indicated that the cooling efficiency was significantly affected by the cooling air velocities.



371 Figure 25 Thermal images of PCM coupled with 3D-OHP charged with water at different cooling air velocities

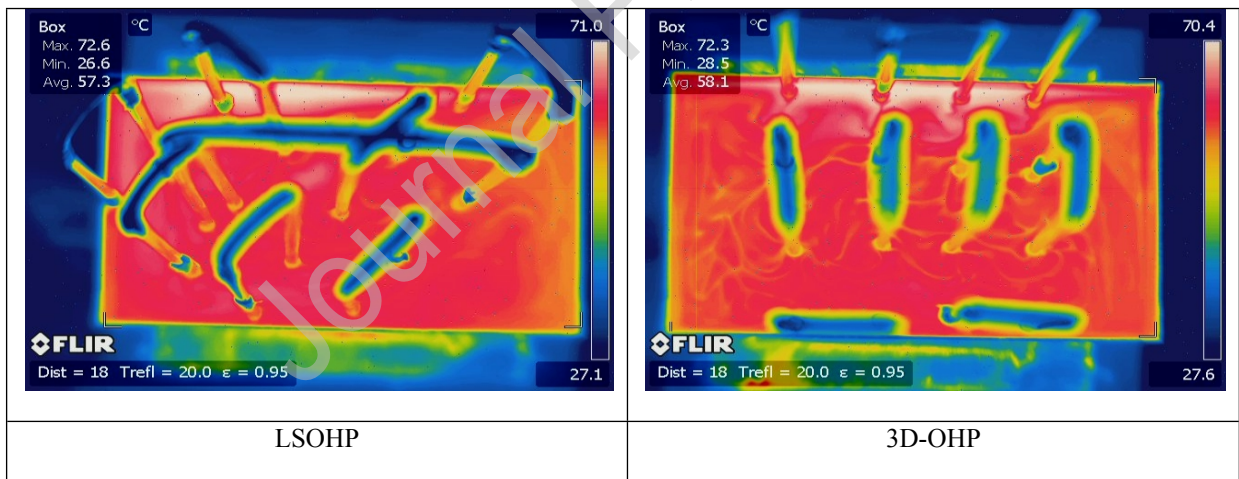
372 A high cooling air velocity benefits the cooling effect; however, increasing the cooling air velocity was
373 accompanied by an increase in energy consumption. An optimal trade-off between cooling air velocity and the
374 temperature of the evaporation section should be established to ensure the electronic components operated in
375 recommended range of temperature with low energy consumption.

376 Fig. 26 shows the thermal images of PCM/3D-OHP unit at different wind directions. When the system
377 reached a thermal equilibrium stage, the PCM/3D-OHP unit with the side flow arrangement exhibited a higher
378 cooling performance. The equilibrium temperature of the electronic component simulator was 100 °C when
379 side flow arrangement was adopted in cooling the PCM/3D-OHP unit. The equilibrium temperature of the
380 electronic component simulator is 1 °C lower than that of the PCM/3D-OHP unit with forward flow arrangement.
381 According to the average temperature provided in the thermal images, ΔT_{PCM} was stay unchanged when the
382 wind direction changed from forward flow to side flow. It indicated that the thermal conductivity of the PCM
383 can not be enhanced by the 3D-OHP at different wind directions. Then the improvement of cooling performance
384 was probably due to the heat transfer performance enhancement in the condensation section. The calculated
385 cooling efficiency was shown in Table 4. The results indicated that the cooling efficiency was slightly improved
386 by using PCM/3D-OHP unit with side flow arrangement.



387 **Figure 26** Thermal images of PCM coupled with 3D-OHP at different wind directions

388 Fig. 27 shows the thermal images of PCM/3D-OHP unit with different structures. The copper case was
 389 replaced by the rectangle case shown in Fig. 2 b). The size of the copper case was $150 \times 90 \times 60$ mm, the
 390 thickness of the copper case was 1 mm. When the system reached a thermal equilibrium stage, the PCM/LSOHP
 391 unit has a higher maximum but lower average temperature than the PCM/3D-OHP unit. The equilibrium
 392 temperature of the electronic component simulator with PCM/LSOHP unit was 108°C , which is 2°C lower
 393 than the equilibrium temperature of the electronic component simulator with PCM/3D-OHP unit. According to
 394 the average temperatures provided in the thermal images, ΔT_{PCM} decreased from 51.9°C to 50.4°C . It indicated
 395 that the thermal conductivity of the PCM was enhanced using LSOHP instead of 3D-OHP. Compared with Δ
 396 T_{PCM} shown in Table 4, ΔT_{PCM} shown in Table 5 was increased dramatically. It was probably due to the large
 397 thermal contact resistance of the rectangle copper case. The calculated cooling efficiency was shown in Table 5,
 398 which indicated that the PCM/LSOHP unit exhibited a higher cooling efficiency.



399 **Figure 27** Thermal images of PCM coupled with 3D-OHP with different structures

400 **Table 4** Cooling efficiency of the PCM/3D-OHP unit under different working conditions

Case	Structure	Velocities (m/s)	Directions	ΔT_{PCM} ($^\circ\text{C}$)	ϕ (%)
1	3D-OHP	5	Forward flow	34.7	30.1
2	3D-OHP	8	Forward flow	31.6	36.3
3	3D-OHP	5	Side flow	34.8	31

401 **Table 5** Cooling efficiency of the PCM/3D-OHP unit with different structures

Case	Structure	Velocities (m/s)	Directions	ΔT_{PCM} ($^\circ\text{C}$)	ϕ (%)
1	LSOHP	5	Forward flow	50.7	24.1

2	3D-OHP	5	Forward flow	51.9	22.1
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402 4. Conclusions

403 Effective electronic cooling is a big challenge with the increasing demand for high-throughput computing
 404 of data centres as well as the fast development of battery energy storage. The current air cooling technology is
 405 not only energy-intensive but also difficult to cool down the electronic devices. In this paper, an effective
 406 cooling technology is proposed for electronic devices by embedding three-dimensional oscillating heat pipe
 407 (3D-OHP) into phase change materials (PCMs). The PCMs are used to store the dissipated heat from electronic
 408 devices and the 3D-OHP to quickly transport the stored heat to the environment. Experimental study is
 409 conducted on the 3D-OHP to optimize its structure with various working parameters such as the cooling air
 410 velocity, wind direction and heat input. The cooling performance of PCMs embedded with the 3D-OHP for
 411 electronic devices is also investigated in terms of temperature variations and thermal resistance. The main
 412 conclusions are shown as follows.

413 1) The proposed cooling method can control the surface temperature of electronic devices well below 100
 414 °C, while the conventional air cooling method can only cool the surface temperature to 135 °C with the same
 415 working conditions. Therefore, it is an effective cooling method by using phase change materials (PCMs)
 416 embedded with three-dimensional oscillating heat pipe (3D-OHP) for electronic devices. What's more, with the
 417 proposed cooling method, thermal resistance by transferring heat to the environment is reduced up to 36.3%.

418 2) A leaf-shaped structure is designed based on the flow structure found in nature for the three-dimensional
 419 oscillating heat pipe. With the same cooling air velocity and wind direction, the leaf-shaped structure contributes
 420 to a 2 °C lower surface temperature on the electronic devices, compared with the typical structure. Thus, the
 421 leaf-shaped structure is suggested for the three-dimensional oscillating heat pipe.

422 3) The operation parameters of the proposed cooling method are optimized. It is found that the
 423 three-dimensional oscillating heat pipe achieves the best thermal performance when the filling ratio is in the
 424 range of 34-44%. The thermal performance also depends on the cooling air velocity and wind direction. A large
 425 cooling air velocity results in a large convective heat transfer coefficient as well as a decrease in the temperature
 426 of the evaporation and condensation sections.

427

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545 (LSOHP)

546 Table 3 Thermo-physical parameters of the paraffin wax

547 Table 4 Cooling efficiency of the PCM/3D-OHP unit under different working conditions

548 Table 5 Cooling efficiency of the PCM/3D-OHP unit with different structures

549

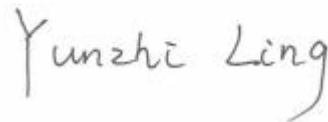
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AUTHOR DECLARATION

We wish to confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome. We confirm that the manuscript has been read and approved by all named authors and that there are no other persons who satisfied the criteria for authorship but are not listed. We further confirm that the order of authors listed in the manuscript has been approved by all of us. We confirm that we have given due consideration to the protection of intellectual property associated with this work and that there are no impediments to publication, including the timing of publication, with respect to intellectual property. In so doing we confirm that we have followed the regulations of our institutions concerning intellectual property.

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Signed by all authors as follows:

Handwritten signature of Ximeng Zhang in black ink.Handwritten signature of Yunzhi Ling in black ink.

Author contributions:

Yunzhi Ling designed the experimental device in laboratory, and photographed and analyzed the heat pipes shown in relevant figures. This paper was guided by Xiao-song Zhang. Yun-zhi Ling worked with Feng Wang and Xiao-hui She on the writing and revision.

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Study of the performance of PCM coupled with three-dimensional oscillating heat pipes with different structures

Highlights

1. The effect of various factors on 3D-OHP performance was investigated
2. The best performance was observed for an FR in the range of 34–44%
3. A LSOHP exhibits the better cooling performance
4. An optimized cooling performance was obtained using PCM/LSOHP unit

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