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# Clinical reasoning framework for thoracic spine exercise prescription in sport: a systematic review and narrative synthesis

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## ABSTRACT

**Background** The thoracic spine is critical for athletic kinetic chain functioning yet widely overlooked in terms of specific evidenced-based exercise prescription. Thoracic mobility, motor control and strength are required to optimise performance in sport and minimise excessive load/stress on other components of the kinetic chain.

**Objective** To identify and evaluate mobility, motor control, work capacity and strength thoracic exercises for use in athletes.

**Design** Systematic review involving expert reviewers at key stages: searches and screening (n=1), eligibility, evaluation, data extraction and evaluation (n=3). Key databases and social media sources were searched to 16 August 2019. Eligible exercises were thoracic exercises to promote mobility, motor control, work capacity and strength. A narrative synthesis enabled an outcome-based classification of exercises, with level of evidence of individual sources informing overall level of evidence for each outcome (Oxford Centre for Evidence-based Medicine).

**Results** From 2348 sources (social media, database searches and other sources), 38 exercises were included. Sources included images, video clips and written descriptions of exercises. Exercises targeting all planes of motion were evaluated and classified according to outcome. Exercises comprised functional and non-functional exercises for mobility (n=9), work capacity (n=15), motor control (n=7) and strength (n=7). Overall level of evidence for each outcome was level 5.

**Conclusion** This synthesis and evaluation of exercises has captured the scope of thoracic exercises used in 'practice'. Evaluation against an expert-derived outcome-based classification provides practitioners with a framework to facilitate exercise prescription. Evaluation of validity and effectiveness of exercises on outcomes is now required.

## INTRODUCTION

The ability of the musculoskeletal system to generate, mediate and tolerate forces underpins sporting performance. Musculoskeletal adaptations characterise the observed sport-specific bioplasticity and should inform

## Summary box

### What is already known?

- The thoracic spine is a critical component of athletic functional kinetic chains yet little is known about exercise prescription in this relatively stiff spinal region
- Sources detailing thoracic spine exercises are extensive on social media but have not been formally incorporated into evidence based practice.
- There are no trials investigating the effectiveness of thoracic spine exercises in prevention or rehabilitation of sports injuries

### What are the new findings?

- A comprehensive evidence synthesis details thoracic spine exercises drawn from databases and social media sources to support evidence based practice.
- Thoracic spine exercises classified according to aim, mobility, motor control, work capacity and strength are presented within a clinical reasoning framework to support personalised rehabilitation and injury prevention.
- This synthesis of thoracic spine exercise prescription can be used to inform further targeted research of effectiveness according to desired outcome.

exercise prescription in training and rehabilitation. Given the complexity of the musculoskeletal system, 'classification' has long been used to facilitate the study of discrete components with common attributes. Guiding lines of focused research, a plethora of 'classification systems' now exist in skeletal muscle exercise literature related to; structure (architecture), metabolic characteristics (fibre type), location (deep or superficial), exercise type (eccentric or concentric), etc. These mutually exclusive classification systems do however create confusion for practitioners to inform their evidence-based exercise prescription in practice as a focus on functional performance is required. To assist decision-making in spinal exercise prescription in sport, Spencer *et al*<sup>1</sup> conceived and

developed an evidence informed outcome focused spinal exercise classification. Multidisciplinary sport experts agreed four spinal ability dimensions of mobility, motor control, work capacity and strength; with subcategories to delineate static and dynamic spinal displacement. They subsequently classified exercises according to key parameters of function/non-functional, segmental, pillar or whole-body, dissociation or stabilisation, etc. The framework<sup>1</sup> provides the basis to develop and evaluate region-specific exercises to support precision of exercise prescription in sport.

Coined the 'Cinderella' region,<sup>2</sup> the thoracic spine is pivotal to sporting performance. Biomechanically, the thoracic spine contributes to an estimated 55% of the total force and kinetic energy generated during a throw,<sup>3</sup> around 80% of the total available range of trunk axial rotation,<sup>4</sup> and is kinematically linked to the upper limb<sup>5-7</sup> and other spinal regions.<sup>8</sup> Although widely overlooked, evidence does support a focus on this spinal region, with three times higher elbow or shoulder injury prevalence in softball players with low trunk rotation flexibility<sup>9</sup> and altered trunk rotation (timing of movement initiation and peak force) related to increased shoulder external rotation (>7 degrees,  $p < 0.016$ ) in baseball pitchers.<sup>10</sup> The concept of 'regional interdependence' has been adopted to describe how symptoms in one region may be secondary to asymptomatic impairment or 'dysfunction' in another;<sup>11</sup> although originated from the observation that musculoskeletal regions are biomechanically and neurophysiologically inextricably linked with muscles synergies working across joints to execute a movement.<sup>11</sup>

Research investigating thoracic spine exercise is lacking and with just a few reliability studies examining thoracic axial rotation in postures or positions other than sitting.<sup>12-14</sup> Sitting lacks specificity to the thoracic region being a composite of movement occurring in the thoracic and lumbar spines. Favourable outcomes have been reported using passive interventions targeting the thoracic spine in neck and shoulder complaints,<sup>15-17</sup> and to the authors' knowledge just one study has investigated an active intervention, where a shoulder injury prevention programme that included thoracic mobility exercises resulted in 28% and 22% lower risk of shoulder and substantial shoulder problems, respectively.<sup>18</sup>

From a scoping search of the evidence and available resources, there is also a gulf between those thoracic exercises being taught, promoted and advocated on social media platforms and those which have been investigated empirically to inform evidence-based thoracic spine exercise prescription. For practitioners to have confidence in evidenced-based exercise prescription in the thoracic spine, it is vital that we are precise with respect to a focus on outcomes and to establish the effectiveness of a range of exercises. The aim, therefore, of this study was to review exercise prescription in the thoracic spine using the evidence-based spinal exercise classification.<sup>1</sup>

## Objectives

- ▶ To identify prescribed thoracic spine exercises in sport.
- ▶ To evaluate exercises according to aim, mobility, motor control, work capacity and strength.
- ▶ To provide a framework to support precision in thoracic spine exercise prescription.

## METHODS

### Design

A systematic review and narrative synthesis was conducted in accordance with a predesigned unpublished protocol informed by the Centre for Reviews and Dissemination (CRD) guidance.<sup>19</sup> From scoping searches, it was evident that inclusion of non-empirical sources including social media was required to capture the scope of thoracic spine exercises being promoted by practitioners through different media.<sup>20</sup> The search strategy was informed by subject (NRH, SML, IT and AR) and methodological expertise (NRH, IT and AR), and is reported in line with a modified Preferred Reporting Items for Systematic Reviews and Meta-Analyses statement for transparency.<sup>21</sup>

### Eligibility criteria

Eligibility criteria were derived from the search concept tool SPIDER:<sup>22</sup>

- ▶ *Sample*: athletic population (aged 18–40) participating in competitive sport and/or physical exercise aimed at improving athletic ability.
- ▶ *Phenomenon of interest*: exercises and descriptions of exercises targeting the thoracic spine. Exercises focused to breathing or principally investigating scapular motor control were excluded; where the latter of this has been reported elsewhere.<sup>23</sup>
- ▶ *Design*: any source (database, video or image).
- ▶ *Evaluation*: Exercises were classified according to its main aim:
- ▶ *Mobility* defined as 'develop, maintain, or restore global spinal range of movement through a specific range of motion'. (p. 618)<sup>1</sup>
- ▶ *Motor control* defined as 'the maintenance of spinal integrity during skilled movement'. (p. 618)<sup>1</sup>
- ▶ *Work capacity* defined as 'the ability to produce or tolerate variable intensities and duration of work' (p. 618)<sup>1</sup>; synonymous with local muscular endurance. (p. 618)<sup>1</sup>
- ▶ *Strength* defined as 'the ability to produce force and maximal strength is the largest force the musculature can produce'. (p. 619)<sup>1</sup>

### Information sources

Following a number of scoping searches, information sources included databases (Medline, Google Scholar, Pedro, SportDiscus, Pubmed and Index to Chiropractic literature), key journals (*British Journal of Sports Medicine*, *Medicine and Science in Sports and Exercise*, *American Journal of Sports Science and Medicine*, *Journal of Strength and Conditioning Research and Sports Medicine*) and social media

sources (Facebook, Vimeo, Twitter, YouTube and Instagram). Citations were checked of included articles.

### Search strategy

One reviewer (SML) searched information sources from inception to 16 August 2019 and social media. Search terms were derived from keywords found in the scoping search and identified exercises from social media: 'thoracic spine', 't-spine', 'mid back', 'upper back', 'trunk', 'thorax', 'strength training', 'exercises', 'stretching', 'mobility', 'power', 'phase 3', 'endurance', 'motor control', 'neuromuscular control', 'roll-outs', 'bridges', 'windmills', 'open book', 'deadlift', 'zercher squat', 'front squat', 'roman chair', 'bird dog', 'good morning', 'jefferson curl', 'y-lift', 'kettlebell swings', 'turkish get up', 'foam rolling', 'healthy participants', 'athletes or athletic', 'sporting or sport'. Where possible mesh terms, wildcards and limitations to humans, body part thoracic spine and age group were also used. We used the Boolean operators NOT for age groups children, paediatric, elderly and geriatric.

### Study selection

Articles were stored and duplicates removed on Refworks. Articles were screened by one reviewer (SML) first by title and abstract and then by full-text review. In contrast to the database search, the yield through social media was considerable, with a single search (YouTube.com) for thoracic spine exercises yielding 1 490 000 results. From a consensus decision (SML, IT, NRH), it was agreed that where a search using predetermined keywords generated no new videos or images beyond 100 hits, the search was considered saturated and a new search initiated. All exercises were recorded along with a description of the exercise.

Following screening, all eligible sources were stored along with a detailed description of the exercise and its execution. Three reviewers (SML, IT and SL) evaluated all exercises independently and through a process of consensus agreed on final selection of included exercises based on content validity, 'the degree to which items of an instrument sufficiently represent the content domain',<sup>24</sup> where the instrument is the exercise and the content domain the outcome and evaluated by experts, the latter differentiating this from face validity.<sup>24</sup> Evaluation of all included exercises to determine eligibility involved evaluation of each source (textual and visual) as well as 'active physical performance of, and analysis' of the exercise by a specialist physiotherapist with 15+ years of experience of strength and conditioning training (SML), an athlete and physiotherapist with 10+ years of experience of strength and mobility training (IT) and strength and conditioning coach with 10+ years of experience (SL). Final selection was based on an exercise having a primary outcome for example, mobility or motor control as determined by the expert reviewers.

Where similar exercises were identified from different sources, selection through consensus favoured exercises suitable for more than one sport and exercises which could be performed at different levels of intensity.

Additionally, selection included both functional and non-functional exercises, where *functional exercises* describes weight bearing or sport-specific exercises which can be performed in multiple planes of motion involving several joints<sup>1</sup> and *non-functional exercises* describes exercises performed in partial weight-bearing positions moving in a single plain of motion involving few joints.<sup>1</sup>

### Data collection

Reviewers (SML and IT) independently extracted relevant data using bespoke and piloted tables, with the third reviewer (NRH) checking for consistency and accuracy. Where the focus was primarily on the evaluation of the exercise, extracted data were restricted to that required to evaluate quality of source and inform the narrative synthesis.

### Data items

Exercise name, broad description, links to source and thumbprint image were recorded. Exercises were grouped according to each focused outcome.

### Quality assessment and evaluation

In the absence of guidance on quality assessment for social media resources<sup>20</sup> and the inclusion of empirical and non-empirical sources, no formal risk of bias assessment was performed. Evidence was however evaluated based on level of evidence, where 1a represents a systematic review of randomised control trials through to level 5 representing expert opinion without critical appraisal.<sup>25</sup> Overall level of evidence for each outcome was evaluated using grades of recommendation from the Oxford Centre for Evidence based Medicine (CEBM) where A: level 1 studies, B: level 2 or 3 studies, C: level 4 studies and D: level 5 studies.<sup>25</sup>

### Synthesis of results

A narrative synthesis was conducted with exercises tabulated within the expert-derived framework for spinal-exercise classification. The synthesis allowed subclassification for static and dynamic exercises, functional and non-functional exercises, segmental, spinal, whole-body stabilisation/dissociation, pillar or segmental conditioning, pillar strength, stiffness or power development.<sup>1</sup>

## RESULTS

From 2348 sources which included social media, database searches and other sources, exercises were identified and stored. Some studies from the databases included more than one exercise for consideration. Following removal of duplicates and review against eligibility criteria, 38 exercises (with variants) were included from all sources; 18 from articles and 20 from social media. Social media sources were mainly drawn from www.youtube.com. Where not available on YouTube, links to other social media sites (Facebook) were included. Agreement between researchers evaluating exercises was 100%.



### Characteristics of included sources

All 38 exercises included individuals which 'fit' within that of an athletic population, with some evidence derived from database sources being sports specific for example, swimmers,<sup>26 27</sup> golf,<sup>28</sup> basketball players<sup>29</sup> and climbers.<sup>30</sup> Four studies were randomised control trials where thoracic exercise was just one component of an exercise intervention.<sup>16 27 30 31</sup> One large cohort included thoracic exercises as part of a rehabilitation intervention in athletes with groin pain.<sup>32</sup> A further study investigated intrarater and inter-rater reliability of five thoracic rotation measurement techniques.<sup>14</sup> All other remaining sources were either from social media (YouTube n=20 and Facebook n=2), a review or evaluated as bench or 'first principles' research<sup>25</sup> evaluating a movement or movement characteristic in samples of convenience.

### Level of evidence

The majority of included sources were graded as level 5<sup>25</sup> sources being either expert opinion (review articles or social media sources), first principles exploratory laboratory-based studies with small samples (range 8–31). More recent sources included, among others, a large cohort study (n=205)<sup>32</sup> and four small trials (range

22–52),<sup>16 28 30 31</sup> where thoracic spine exercises formed a component part of an intervention. Agreement between reviewers was 100% following discussion.

### Level of evidence across outcomes

For each exercise outcome, mobility, motor control, work capacity and strength, the overall body of evidence was rated as D, based on included sources being in the main derived from level 5 evidence<sup>25</sup> (see online supplementary file 1).

### Physical abilities

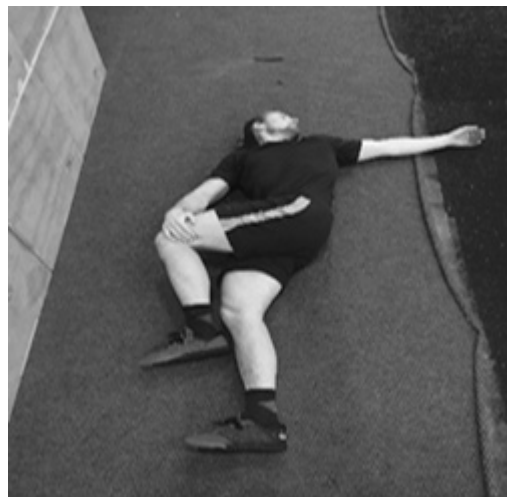
#### Mobility exercises

Nine dynamic exercises (Non functional exercises being: 'Thoracic extension on foam roller',<sup>33 34</sup> or ball',<sup>16</sup> 'Kneeling thoracic spine extension stretch',<sup>34</sup> Thoracic flexion quadruped with and without roller,<sup>35 36</sup> Sidelying side-flexion over Swiss ball,<sup>37</sup> 'Sidelying thoracic rotation',<sup>38</sup> 'Quadruped thoracic rotation',<sup>14 34 39</sup> Squat with extension and rotation<sup>40</sup> and, functional exercises being: Jefferson curl<sup>41</sup> and 'Jefferson curl'<sup>41</sup> and 'Seat side flexion with/without rotation'<sup>42</sup>) aimed to improve thoracic mobility (see [table 1](#)) with three utilising the 'heel-sit' or an equivalent position as a means of 'fixing'

**Table 1** Examples of mobility exercise

#### Mobility development (non-functional)

Thoracic extension on foam roller<sup>33 34</sup> or ball<sup>16</sup>  
 Kneeling thoracic spine extension stretch<sup>34</sup>  
 Thoracic flexion quadruped with and without roller<sup>35 36</sup>  
 Sidelying side-flexion over Swiss ball<sup>37</sup>  
 Sidelying thoracic rotation<sup>38</sup> (illustrated)  
 Quadruped thoracic rotation<sup>14 34 39</sup>  
 Squat with extension and rotation<sup>40</sup>



#### Mobility development (functional)

Jefferson curl<sup>41</sup>  
 Seated side flexion with/without rotation<sup>42</sup> (illustrated)



the lumbar spine to enable targeted thoracic spine motion.<sup>12</sup> Many exercises rely on the integrity of other body regions to assist specificity to the thoracic spine for example, hip and knee flexion for exercises which involve heel sit or squat. Likewise, using the upper limb as a long lever in exercises such as squat with extension and rotation or sidelying thoracic rotation are predicated on unimpaired upper limb function. No studies have investigated effectiveness of thoracic spine exercise for improving mobility in any planes in an athletic population. More non-functional than functional exercises were identified which were partial weight bearing and involved single-plane motion. Detailed descriptions of each exercise and evaluation are included in online supplementary appendix 1.

### Motor control exercises

Seven exercises, two static ('Bird dog',<sup>28 43–45</sup> 'Wall squat'<sup>46 47</sup>) and five dynamic ('Flexion/extension control quadruped',<sup>48</sup> 'Half circle in side lying',<sup>38</sup> 'Sitting side flexion (mermaid)',<sup>49</sup> 'Upper back rotation with lunges'<sup>50</sup> and 'Standing wood chop/chop and lift'<sup>50–53</sup>) were identified as specific for promoting motor control and maintaining spinal integrity during skilled movement (see table 2). A notable gap was exercises specific to segmental stabilisation. The seven exercises were reflective of three distinct subgroups based on their main aim of influencing a specific feature of motor control—'spinal dissociation', 'segmental movement control' or 'whole body coordination'.<sup>1</sup> *Spinal dissociation exercises* included 'Bird dog',<sup>28 43–45</sup> and 'Wall squat',<sup>46 47</sup> to improve static control of the thoracic spine by maintaining a static neutral thoracic posture while moving other body regions. *Segmental movement control exercises* included 'Flexion/extension control quadruped',<sup>48</sup> 'Half circle in side lying',<sup>38</sup> 'Sitting side flexion (mermaid)',<sup>49</sup> with each aiming to improve dynamic control of thoracic spine movement around all three motion planes. *Whole body coordination exercises* included 'Upper back rotation with lunges'<sup>50</sup> and 'Standing wood chop/chop and lift',<sup>50–53</sup> to improve dynamic control of thoracic spine movements in conjunction with movements of other parts of the kinetic chain. As with mobility, performance of many of the included exercises is dependent on the integrity and functional musculoskeletal capacity of other regions, for example, 'Standing wood chop/chop and lift'. Detailed descriptions of each exercise and evaluation are included in online supplementary appendix 2.

### Work capacity

Eight 'static' pillar conditioning exercises ('Y-lift on bosu ball',<sup>26 27 54 55</sup> 'Pike',<sup>56 57</sup> 'Kneeling power-wheel rollout or sliding mat',<sup>30 57–59</sup> 'Roman chair lateral holds',<sup>60</sup> 'One arm inverted row',<sup>61 62</sup> 'Windmills with kettlebells',<sup>63</sup> 'Lateral cable walkout',<sup>64</sup> 'Kettlebell swing'<sup>65 66</sup>) aimed at improving static work capacity of the thoracic spine (ability to maintain a neutral spine with either the athlete's own bodyweight or external load working as

an external perturbation force during a non-functional or functional task)<sup>1</sup> were included (see table 3). Additionally, nine dynamic segmental conditioning exercises ('Upper back extension',<sup>67</sup> 'V-ups',<sup>68</sup> 'Half Turkish get-up',<sup>69</sup> 'Lateral sit ups',<sup>31 70</sup> 'W-sit ball rotation/twister',<sup>71 72</sup> 'Standing thorax extensions',<sup>73</sup> 'Kettlebell swing',<sup>65 66</sup> 'Dumbbell/kettlebell side bend',<sup>74</sup> and 'W-sit ball rotation/twister',<sup>72</sup>) aimed at improving dynamic work capacity of the thoracic spine (ability of sequentially producing or absorbing forces through the thoracic spine during non-functional or functional movement tasks)<sup>1</sup> were included. Considerably, more so than for mobility and motor control performance of included work capacity exercises may be limited by impairments in functional capacity or integrity of other body regions. With some of the included exercises involving muscle activation with long levers (Y-lift on bosu ball, Superman), loading through upper limbs (Pike), or both (Windmills with kettlebell), there is considerable scope for exercise prescription to be personalised based on functional capacity and requirements of the sport. A high degree of kinaesthetic awareness is required to ensure specificity to the thoracic spine. Detailed descriptions of each exercise and evaluation are included in online supplementary appendix 3.



### Strength

Seven exercises were included which aimed to improve strength in the thoracic spine and augment global power production ('Battle ropes',<sup>29 75 76</sup> 'Side medicine ball throws',<sup>72 77 78</sup> or stiffening to resisting outside forces, 'Front lever',<sup>30 79</sup> 'Deadlift',<sup>32 80–83</sup> 'Partner backwards fall',<sup>84</sup> 'Side pull prowler',<sup>1</sup> 'Partner push'<sup>85</sup>) thereby protecting the spine.<sup>1</sup> (see table 4) Exercises prescription may usually include low intensity focusing more on neurological adaptations, or high intensity focusing more on morphological muscular adaptations.<sup>1</sup> While the majority of exercises were rated functional that is, weight bearing, the only included multiplane exercise was the 'Side pull prowler',<sup>1</sup> where the individual is in a forward and side flexed position. Just one exercise, the 'Front lever',<sup>79</sup> was included for pillar strength development, although arguably not thoracic spine specific. Functional power development exercises included one for axial rotation and one flexion/extension, where the aim is to produce maximal sequential spinal force or torque in a functional position; a requirement for sports such as discus or hammer throw. For strength exercises, both a high degree of kinaesthetic awareness is required for specificity to the thoracic spine and dependent on the integrity and functional musculoskeletal capacity of other regions, for example, 'Side medicine ball throws'.<sup>72 77 78</sup> Detailed descriptions of each exercise and evaluation are included in online supplementary appendix 4.

### Evidence synthesis

Table 5 synthesises evidence of mobility, motor control, work capacity and strengthening exercises within the

**Table 2** Examples of motor control exercises

Static	<p><b>Segmental stabilisation (non-functional)</b> None identified</p> <p><b>Spinal dissociation (non-functional)</b> Bird dog<sup>28 43-45</sup> (illustrated)</p> <p><b>Spinal dissociation (functional)</b> Wall squat<sup>46 47</sup></p>	
Dynamic	<p><b>Segmental movement control (non-functional)</b> Flexion/extension control quadruped<sup>48</sup> Half circle in side lying<sup>38</sup> Sitting side flexion (mermaid)<sup>49</sup></p> <p><b>Whole-body coordination (functional)</b> Upper back rotation with lunges<sup>50</sup> Standing wood chop/chop and lift<sup>50-53</sup> (illustrated)</p>	

**Table 3** Examples of work capacity exercises

Static	<p><b>Pillar conditioning (non-functional)</b>          Y-lift on Swiss ball, Superman<sup>26 27 54 55</sup>          (illustrated)          Pike<sup>56 57</sup>          Kneeling power-wheel rollout<sup>30 57–59</sup>          Roman chair lateral holds<sup>60</sup>          One arm inverted row<sup>61 62</sup></p> <p><b>Pillar conditioning (functional)</b>          Kettlebell swing<sup>65 66</sup>          Windmills with kettlebell<sup>63</sup>          Lateral cable walk out<sup>64</sup></p>
Dynamic	<p><b>Segmental conditioning (non-functional)</b>          Upper back extension<sup>67</sup>          V-ups<sup>68</sup>          Half Turkish get-ups<sup>69</sup>          Lateral sit ups<sup>31 70</sup>          W-sit ball rotation<sup>71 72</sup></p> <p><b>Segmental conditioning (functional)</b>          Standing thorax extensions<sup>73</sup>          Kettlebell swing<sup>65 66</sup> (illustrated)          Dumbbell/kettlebell side bends<sup>74</sup>          W-sit ball rotation<sup>71 72</sup></p>



classification framework. Although all outcomes are rated as level D evidence, the synthesis illustrates the scope and nature of exercises being used in practice by ‘experts’ or exploratory, research based on first principles.<sup>25</sup> Exercises are named either according to start position and motion or by an adopted name for example, ‘mermaid’, with the latter requiring a detailed evaluation by experts to ‘correctly’ classify the exercise accordingly to its aim focused to the thoracic spine.

With respect to static spinal displacement, empirical evidence sources exist for motor control, work capacity and strengthening although other sources were required to ensure inclusion of exercises in the frontal plane for example, ‘Roman chair lateral hold’ (work capacity) and ‘Partner push’ (strengthening). For dynamic spinal displacement, considerably more exercises were drawn from social media sources especially in terms of mobility exercises to enable inclusion of single and multiplane movements, for example, ‘Squat with extension and rotation’,<sup>40</sup> or ‘Seated side flexion with/without rotation’.<sup>42</sup>

## DISCUSSION

This is the first comprehensive review and synthesis of thoracic spine exercises for use in sporting populations. Evaluation and adoption of the spinal exercise

classification system<sup>1</sup> may assist practitioners’ thoracic spine exercise prescription for athletes. Appraisal of the intended outcomes for each exercise (mobility, motor control, work capacity or strength) provides a foundation for future research; recognising that description of an exercise is one dimension of exercise prescription. Further research is now required to investigate the effectiveness and optimal dose (frequency, repetitions, hold duration and speed) to realise improvements in meaningful patient reported and performance-based outcomes such as performance, and/or pain; something we have some good evidence for with passive interventions directed to the thoracic spine<sup>86 87</sup> but not yet for active interventions. Drawing from social media as well as empirical sources has enabled an inclusive review, capturing exercises widely used within the field of ‘strengthening and conditioning’. The broad scope of the review has enabled the inclusion of exercises which are being taught and used in practice which currently have no supporting empirical evidence, paving the way for more targeted research into clinical effectiveness.

## Mobility

A range of exercises for thoracic mobility exist, with social media sources offering diversity in range of exercises,



**Table 4** Examples of strengthening exercises

Static	<b>Pillar strength development (non-functional)</b> Front lever <sup>30 79</sup> <b>Stiffness development (functional)</b> Deadlift <sup>32 80–83</sup> Partner backwards fall <sup>84</sup> Side pull prowler <sup>1</sup> (illustrated) Partner push <sup>85</sup>
Dynamic	<b>Power development (functional)</b> Battle ropes <sup>29 75 76</sup> Side medicine ball throws <sup>72 77 78</sup> (illustrated)



and reinforcing the merit of drawing on other evidence sources outside databases. Notwithstanding the level of evidence for individual sources or across the outcomes collation of exercises around different axes and movement planes offer practitioners a foundation for precision rehabilitation in exercise prescription for development of mobility. Given the natural stiffness of this region, many of these exercises are reliant on the large proximal and peripheral joints for stability for example, kneel position, or to generate long levers for example, extended upper limbs to specifically target the thoracic spine. Such exercises may be suitable for upper or lower limb injury prevention, but may be more challenging in early rehabilitation where symptom reproduction may impact optimal body positioning or ability to make best use of long levers. In view of the relatively limited range of exercises, precision training and rehabilitation in this region require further critical consideration of the following influencing factors, including posture,<sup>88 89</sup> age,<sup>90</sup> sport-specific requirements, positioning relative to other linked body regions<sup>89</sup> and dose–response.

### Motor control

Few exercises were identified that specifically targeted thoracic motor control, with overlap observed with those used for the lumbar spine. Further critical consideration of a common language would assist reasoning where reference to ‘neutral trunk position’ is poorly defined and does not capture the ‘sport-specific position’ for the thoracic

spine. There are many deep local thoracic muscles; we have very little knowledge in terms of their contribution to motor control for example, Rotatores, Semispinalis thoracis. The inherent stability of the thoracic spine<sup>90</sup> and lack of differential muscle activation during function<sup>91</sup> highlights the potential for these exercises having a role in movement control coordination using feedback and feed-forward control mechanisms linked to proprioception or sensorimotor control; scientific underpinning is however currently lacking. Motor control deficits and interventions are widely evidenced in the cervical and lumbar spine although little evidence in the thoracic spine.<sup>86 92–94</sup> Adopting the term ‘sensorimotor control’ as has been noted in a recent study<sup>31</sup> acknowledges a potentially greater role for the thoracic spine in proprioception; a requirement for sporting performance and recognises the contribution of the many sensory mechanoreceptors located in thoracic joints and muscles via muscle spindles.<sup>95–98</sup> Impaired proprioception has been identified in healthy individuals, individuals with low back pain during a functional task<sup>99</sup> and with repetitive activities or with fatigue<sup>100</sup> supporting the need for further research in the thoracic spine.

### Work capacity

Synonymous with local muscular endurance,<sup>1</sup> work capacity exercises included in the earlier spinal classification<sup>1</sup> (non-functional plank (front/side), functional single leg loading, half kneel load, single leg load) also

**Table 5** Evidence synthesis

Physical outcome		Motor control	Work capacity	Strength
Spinal displacement	Mobility	Motor control	Work capacity	Strength
Static	<p><b>Not applicable</b></p>	<p>None</p> <p><b>Spinal dissociation (NF)</b> Bird dog<sup>28,45-46</sup></p>	<p><b>Pillar conditioning (NF)</b> Y-lift on Swiss ball, Superman,<sup>28,27,54,55</sup> Pike,<sup>56,57</sup> Kneeling power-wheel rollout,<sup>50,57-59</sup> Roman chair lateral holds,<sup>60</sup> One arm inverted row<sup>61,62</sup></p>	<p><b>Pillar strength development (NF)</b> Front lever<sup>30,79</sup></p>
Dynamic	<p><b>Not applicable</b></p>	<p><b>Spinal dissociation (F)</b> Wall squat<sup>16,47</sup></p>	<p><b>Pillar conditioning (F)</b> Kettlebell swing<sup>63,66</sup> Windmills with kettlebell<sup>63</sup> Lateral cable walk out<sup>64</sup></p>	<p><b>Stiffness development (F)</b> Deadlift<sup>32,60-63</sup> Partner backwards fall<sup>64</sup> Side pull/prowler<sup>1</sup> Partner push<sup>65</sup></p>
	<p><b>Mobility development (NF)</b> Thoracic extension on foam roller or ball<sup>16,33,34</sup> Kneeling thoracic spine extension stretch<sup>64</sup> Thoracic flexion quadruped with and without roller<sup>35,36</sup> Side lying side-flexion over Swiss ball<sup>67</sup> Side lying thoracic rotation<sup>36</sup> Quadruped thoracic rotation<sup>14,34,39</sup> Squat with extension and rotation<sup>40</sup></p>	<p><b>Segmental movement control (NF)</b> Flexion/extension control quadruped<sup>48</sup> Half circle in side lying<sup>38</sup> Sitting side flexion (mermaid)<sup>49</sup></p>	<p><b>Segmental conditioning (NF)</b> Upper back extension<sup>67</sup> V-ups<sup>68</sup> Half Turkish get-ups<sup>69</sup> Lateral sit ups<sup>31,70</sup> W-sit ball rotation<sup>**71,72</sup></p>	<p><b>Not applicable</b></p>
	<p><b>Mobility development (F)</b> Jefferson curl<sup>41</sup> Seated side flexion with/without rotation<sup>42</sup></p>	<p><b>Whole-body coordination (F)</b> Upper back rotation with lunges<sup>50</sup> Standing wood chop/chop and lift<sup>50-53</sup></p>	<p><b>Segmental conditioning (F)</b> Standing torso x extensions<sup>73</sup> Kettlebell swing<sup>**65,66</sup> Dumbbell/kettlebell side bends<sup>74</sup> W-sit ball rotation<sup>**71,72</sup></p>	<p><b>Power development (F)</b> Battle ropes<sup>29,75,76</sup> Side medicine ball throws<sup>72,77,78</sup></p>

\*\*Exercises eligible for more than one category. (F), functional; (NF), non-functional.



met eligibility requirements for this review. This reflects a lack of differentiation between the thoracic and lumbar spine in studies of the 'trunk'. Exercises included in the current review preferentially bias the upper trunk and target work capacity development around axial rotation (windmills with kettlebells, one arm inverted row) to reflect the biomechanical differences across spinal regions.<sup>101</sup> Similarly, segmental conditioning exercises preferentially target the thoracic spine, with many dependent on optimal functioning of shoulder and upper limb given the weight-bearing or load bearing nature of the exercises, potentially limiting the relevance to athletes presenting with upper limb impairment. Many of the included work capacity and strength exercises were poorly described in identified sources. Future research should seek to explore their validity in targeting the thoracic spine and investigate the influence of manipulating parameters within exercise prescription influences muscle activation and exercise kinematics in an athletic population.

### Strength

Strength requirements in the thoracic spine region relate to augmenting global power production (generate and mediate forces) or protecting the spine (moderate) through stiffening. Included exercises are generic and do not fully capture the need for exercises with multi-plane/axes motions to better reflect the demands of sport where specific requirements should inform posture and motion, reinforcing the importance of personalising exercise prescription. Differentiation of 'work capacity' and 'strength' is not widely recognised within the literature although does usefully introduce a further dimension to support evidence informed clinical reasoning in exercise prescription. For some exercises, where descriptions are incomplete, a small modification can affect classification of the exercise, for example static or dynamic spinal displacement is feasible with a kettlebell swing. This is also reflected in defining an exercise functional or non-functional where W-sit rotation would only be considered functional for seated sports.

### Implications

While providing a framework for use in practice, further work is required to tailor this to the requirements of different sports and functional requirements of each athlete. Contrary to a reductionist approach, this classification framework incorporating parameters such as function versus non-function, static versus dynamic and pillar versus segmental facilitates critical clinical reasoning in personalised thoracic spine exercise prescription. For the purpose of this review, exercises were included that best 'fit' the classifications within the framework; however, it is noted that where prescribed for a particular sport the exercise had the potential to overlap categories or, by changing dose (loading, frequency, etc) for functional exercises. Clinically, this is relevant where the desired outcomes may involve development of more than

one domain for example, mobility and work capacity may be relevant for endurance sports involving repeated movements through range such as swimming. Furthermore, the effectiveness of any given exercises has not yet been established with this review offering a foundation from which this can be investigated for each exercise.

### Future research

Research is now required to investigate the validity of included exercises linked to the intended outcomes and to build on this to inform thoracic spine exercise prescription in sport. Understanding how variants such as loading and unloading, ageing and postural positions may impact on exercise prescription is also required to further inform precision rehabilitation. Research is also needed to investigate the effectiveness of included exercises in practice. Conventional approaches with repeated movements or sustained stretches based on empirical evidence and drawn from the lower limb muscles<sup>102</sup> to promote spinal mobility do not recognise the unique tissue properties of different thoracic spine structures (joint, muscle and fascia). While static, dynamic and precontraction stretches are generally effective in increasing flexibility<sup>102</sup> with restoration of lumbar spine flexibility demonstrated in a number of studies<sup>103 104</sup> transferability to this inherently stable 'stiff' spinal region<sup>101</sup> is difficult and manipulating of dosage including prolonged 'stretches' to maximise creep deformation or load-relaxation<sup>105</sup> may be required. Consideration of parameters such as speed, range, starting positions would further strengthen the value of this framework in practice, drawing on principles of motor learning to influence 'neuroplasticity' with targeted exercise prescription.<sup>106</sup>

### Strengths and limitations

This review utilising multidisciplinary and methodological expertise with transparency of methods enables us to have confidence in its findings. Although not yet extensively validated, the adoption of the cross discipline expert derived spinal exercise classification system, has enabled its evolution to the thoracic spine, with consistency in terminology and language within the evaluation. Drawing on social media enabled breadth of resources to be captured, recognising the paucity of thoracic spine specific research. Findings are relevant to all sports, although personalisation is required to consider specific requirements and demands. Findings may be used to inform further research in thoracic spine exercise prescription and place a spotlight on the thoracic spine as a critical component of the functional kinetic chain.

Given the volume of resources available, review of all social media sources was not feasible and some exercises may therefore have been omitted. With one reviewer completing the searches and screening, and inconsistencies in the use of language to describe exercise in the thoracic spine may also have contributed to some exercises being overlooked, with terms such as torso,<sup>64 107</sup> trunk<sup>9 10 108–115</sup> and upper body<sup>116</sup> used in the literature.

Appraisal of quality of resources was not possible in the absence of guidance on critical appraisal of social media sources, although drawing on the CEBM framework enabled an evaluation of individual sources and each outcome. No attempts were made to prioritise selection based on ‘popularity’ of specific exercises as hits for each were not recorded; this may have been useful to inform recommendations for research prioritisation going forward based on popularity. Finally, although a protocol was developed by the authors, this was not published or registered.

## CONCLUSION

This rigorous synthesis provides a framework for practitioners to clinically reason outcome focused thoracic spine exercise prescription for outcomes of mobility, motor control, work capacity and strength. Drawing on the breadth of available resources, this innovative inclusive review of exercises can now be used to inform future focused research to develop greater knowledge and understanding of thoracic spine exercise prescription, specifically to investigate the effectiveness of the included exercises on meaningful outcomes.

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