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1    **A wind tunnel study of the aerodynamic characteristics of a scaled, aeroelastic, model tree**

2    Yanfeng Hao[1], Gregory A. Kopp[2,*], Chieh-Hsun Wu[2], Stefanie Gillmeier[3]

3    [1] Key Laboratory of Building Safety and Energy Efficiency of the Ministry of Education, Hunan

4    University, Changsha, China, 410082

5    [2] Boundary Layer Wind Tunnel Laboratory, Faculty of Engineering, University of Western

6    Ontario, London, ON, Canada, N6A 5B9

7    [3] Department of Civil Engineering, University of Birmingham, Birmingham, United Kingdom,

8    B15 2TT

9    [*] Correspondence: Gregory A. Kopp

10    Email: gakopp@uwo.ca

11    Tel: 519-661-2111

12    Fax: 519-661-3339

13    **Abstract**

14    Tree crowns, unlike most bluff bodies, are both porous and flexible, so frontal area and crown

15    deflection vary with wind speed. The former leads to aerodynamic force characteristics that vary

16    with frontal area and wind speed while the latter is related to energy transfer between wind and

17    tree. In order to investigate aerodynamic force characteristics and energy transfer, an approach to

18    constructing an aeroelastic model of a tree, which satisfies geometric similarity, dynamic

19    similarity and dimensionless parameters including Froude number, Cauchy number and density

20    ratio, was developed and wind tunnel tests were carried out. The model was designed with eight

21    distinct crown configurations according to different quantities of leaves. Aerodynamic forces,

22    wind speed and frontal area were measured synchronously. The results showed that crown

23    sheltering effects effectively limited mean crown deflection, which limit the mean base

24    overturning moment coefficient. In addition, the energy transfer of the model with different

25    crown configurations were investigated, and the characteristics of energy transfer were identified.

26    It was shown that the crown frequency effectively controls the response via mechanical

27    admittance, which amplified the response at the crown frequency.

28    **Keywords**

29    Tree aerodynamics; wind loads; aeroelastic modeling; wind tunnel methods.

## Nomenclature

| Line | Symbol | Description |
|---|---|---|
| 31 | $A_U$ | wind-speed-specific frontal area of the aeroelastic model tree |
| 32 | C1 | configuration 1: trunk |
| 33 | C2 | configuration 2: trunk and branches |
| 34 | C3 | configuration 3: trunk and branches with 1 set of 90 leaf clusters |
| 35 | C4 | configuration 4: trunk and branches with 2 sets of 180 leaf clusters |
| 36 | C5 | configuration 5: trunk and branches with 3 sets of 270 leaf clusters |
| 37 | C6 | configuration 6: trunk and branches with 4 sets of 360 leaf clusters |
| 38 | C7 | configuration 7: trunk and branches with 5 sets of 450 leaf clusters |
| 39 | C8 | configuration 8: trunk and branches with 6 sets of 540 leaf clusters |
| 40 | Ca | Cauchy number |
| 41 | $C_M$ | base overturning moment coefficient |
| 42 | $CS_{C_m U}$ | normalized co-spectral density function between base overturning moment |
| 43 | | coefficient and reference wind speed |
| 44 | $CS_{MU}$ | normalized co-spectral density function between base overturning moment |
| 45 | | measured by force balance and reference wind speed |
| 46 | $F_D$ | measured drag force in the direction of the wind flow |
| 47 | Fr | Froude number |
| 48 | $d_{cc}$ | crown center displacement |
| 49 | $D_{crown}$ | diameter of the crown projected to the ground |
| 50 | E | elastic modulus |
| 51 | $f$ | frequency |
| 52 | $f_{crown}$ | crown frequency |
| 53 | Fr | Froude number |
| 54 | g | gravitational acceleration |
| 55 | $H$ | height of the model or the prototype |
| 56 | $I_U$ | turbulence intensity |
| 57 | $L$ | characteristic length |
| 58 | $L_x$ | integral scale |
| 59 | $M$ | base overturning moment measured by force balance |
| 60 | $M_{qs}$ | estimated base overturning moment based on quasi-steady assumption |
| 61 | Re | Reynolds number |
| 62 | real($\bullet$) | real part |
| 63 | $S_{aa}$ | power spectral density function of acceleration at the top of the trunk derived from |
| 64 | | free vibration decay tests |
| 65 | $S_{CmCm}$ | power spectral density function of base overturning moment coefficient |
| 66 | $S_{dccdcc}$ | power spectral density function of crown center displacement |
| 67 | $S_{CmU}$ | cross spectral function between base overturning moment coefficient and |
| 68 | | reference wind speed |
| 69 | $S_{MM}$ | power spectral density function of base overturning moment measured by force |
| 70 | | balance |
| 71 | $S_{M_{qs}M_{qs}}$ | power spectral density function of estimated base overturning moment based on |

| 72 | | quasi-steady assumption |
|---|---|---|
| 73 | $S_{MU}$ | cross spectral function between base overturning moment measured by force |
| 74 | | balance and reference wind speed |
| 75 | $S_{UU}$ | power spectral density function of reference wind speed |
| 76 | T | terrain configuration |
| 77 | $U$ | wind speed |
| 78 | $U_H$ | wind speed at the top of tree |
| 79 | $U_{ref}$ | reference wind speed at $z = 0.85$m |
| 80 | $Var(\bullet)$ | variance |
| 81 | $z$ | height above the wind tunnel floor |
| 82 | $z_{ref}$ | reference height in model scale or full scale |
| 83 | $\delta_{model}$ | damping ratio of the model |
| 84 | $\delta_{prototype}$ | damping ratio of the prototype |
| 85 | $\theta$ | momentum thickness of terrain configuration |
| 86 | $\nu$ | kinematic viscosity of air |
| 87 | $\rho_{air}$ | air density |
| 88 | $\rho_{model}$ | model density |
| 89 | $H(f)$ | mechanical admittance function |

## 1. Introduction

The magnitude of wind load is known to have a significant effect on trees. For example, it is known that relatively small wind loads over relatively long durations affect tree growth, while larger wind loads over short durations bring leaf loss or branch fracture and extreme wind loads bring stem breakage or uprooting (Robertson 1987). Different species of trees can experience different magnitudes of wind load under nominally similar wind conditions. This is mainly because the details of the crown, such as frontal area and flexibility of branches, are critical in setting the aerodynamic characteristics (Gillies et al., 2002; Tanaka et al., 2011; Cao et al., 2012). There are two main methods to study aerodynamic characteristics of trees. One is field measurements, which have mainly focused on the aerodynamic force coefficients (Grant and Nickling, 1998; Kane and Smiley, 2006; Kitagawa et al., 2015; Koizumi et al., 2010, 2016; Borisevich and Vikhrenko, 2018; Gonzales et al., 2018) and overall capacity (Gillies et al., 2000; Kane et al., 2008). Estimated aerodynamic forces acting on tree crowns obtained from field measurements are of value; however, are rarely conducted because of the obvious challenge. An alternative method that tends to focus on determining aerodynamic force coefficients relies on experimental modeling in wind tunnels (Mayhead, 1973; Vogel, 1989; Stacey et al., 1994; Gillies et al., 2002; Guan et al., 2003; Rudnicki et al., 2004; Vollsinger et al., 2005; Gromke and Ruck, 2008; Tanaka et al., 2011; Cao et al., 2012; Gromke, 2018). It is worth noting that Stacey et al. (1994) manufactured 12000 aeroelastic tree models to study wind flows and forces in a forest, in which the trees are somewhat simplified compared to full-scale prototype in order to achieve the objective of studying wind effects on a forest.

The two main wind tunnel approaches have been to use rigid models and dwarf potted trees. Rigid models cannot capture the shape changes due to branch and stem inflexibility. While dwarf potted trees capture flexibility effects; however these wind tunnel studies may not meet similarity

114 criteria because of mismatches between stem, branch and leaf sizes. This makes it challenging to
115 transfer the measured results into full-scale dimensions for high wind speed ranges (Gromke and
116 Ruck, 2008). A hybrid of the two aforementioned approaches is to use very large wind tunnels
117 with small, but full-scale, trees. Some of these tests have ignored the effects of turbulence in the
118 atmospheric boundary layer (Mayhead, 1973; Aly et al., 2013), which limits the usefulness of the
119 results. However, more recent studies have also included gust effects (Miller et al., 2015;
120 Giammanco et al., 2016). Therefore, the first objective of the study is to develop an approach to
121 constructing an aeroelastic model of a tree with different crown configurations which satisfies the
122 geometric similarity, dynamic similarity and dimensionless parameters including Froude number,
123 Cauchy number and density ratio on the base of filed measurement, and use multiple turbulence
124 profiles in order to show their effects.

125     The classical formula for drag, $F_D$, in the direction of wind flow on a bluff body is

126 $$F_D = \frac{1}{2} C_D \rho A U^2 \tag{1}$$

127 where $C_D$ is the drag coefficient, $\rho$ is the air density, $A$ is the frontal area, and $U$ is the wind
128 speed. For a rigid bluff body, the frontal area does not vary with wind speed; however, this is not
129 the case for trees, which change shape with wind speed, as discussed above. Crown streamlining
130 is defined as the tendency of leaves and branches to align with the wind direction. Because this
131 has the effect of decreasing the frontal area of the crown, the frontal area as a function of wind
132 speed needs to be measured. Rudnicki et al. (2004) found that crown streamlining effects reduce
133 the frontal area of conifer species by 36% to 54% at 20 m/s. Vollsinger et al. (2005) also found
134 that this effect decreases the frontal area of hardwood species to about 20% to 37% of their initial
135 values at 20 m/s. These results indicate that crown streamlining is a key factor for the frontal area
136 under wind load.

137     Prior to the 21st century, frontal area measurements were mainly obtained via photography and
138 tended to focus only on mean values or singular moments in time. Mayhead (1973) was the first
139 to estimate frontal area through photography. Vogel (1989) used an area meter, which was a
140 geometric figure of accurately known area, to measure leaf area. Since the beginning of the 21st
141 century, digital photography has transformed the methods for identifying frontal area. With this
142 technique, frontal area is calculated by counting pixels using gray scale images (Gillies et al.,
143 2002; Rudnicki et al., 2004; Vollsinger et al., 2005; Cao et al., 2012; Gonzales et al., 2018).
144 Although this research has provided significant contributions in estimating the frontal area, time
145 dependent measurements are lacking, which limits the ability to model the gust effects caused by
146 turbulence. Therefore, the second objective of the study is to use high frequency camera to record
147 and analyze time histories of the frontal area and the crown deflection under wind load.

148     Aerodynamic force coefficients mainly depend on aerodynamic shape, surface roughness, and
149 inflow turbulence. For flexible bodies, they also depend on the motion. For bluff bodies at high
150 Reynolds numbers, aerodynamic force coefficients are usually fairly constant (Vogel, 1989).
151 However, this does not appear to be the case for trees because of the porous structure and the
152 changing geometry with wind speed due to crown streamlining. For example, Mayhead (1973)
153 found that mean drag coefficients of trees decrease with increasing wind speed. This result has
154 been validated by Rudnicki et al. (2004), Vollsinger et al. (2005) and Cao et al. (2012). Vogel
155 (1989) found that drag coefficients of leaves decrease with increasing wind speed. Clearly, frontal
156 area, wind speed and aerodynamic force coefficients affect each other. However, it is difficult to

157    measure fluctuations of these parameters synchronously, which limits accurate modeling of
158    overall wind loads using dynamic models. Therefore, the third objective of the study is to
159    measure the responses of the aeroelastic model tree including the frontal area, wind speed and
160    aerodynamic forces simultaneously, and study the effects of numbers of branches and leaves on
161    the mean and fluctuating values of aerodynamic forces, crown deflections, and the frequency
162    content of these parameters.
163    Gardiner (1994) regarded the tree as a linear system and studied the transfer function between
164    wind speed and tree displacement by field measurements. Moore and Maguire (2008) use finite
165    element analysis to calculate the similar transfer function for trees. Such research has provided
166    significant contributions regarding the energy transfer in trees. However, crown configurations
167    for trees are always changing because of the seasonal effect on leaves. Therefore, the fourth
168    objective of the study is to use the obtained data for different crown configurations to study the
169    effects of different numbers of branches and leaves on energy transfer function.
170    The layout of this paper is as follows. The aeroelastic model tree, terrain simulation,
171    measurements and instrumentation are described in Section 2. Section 3 presents the
172    aerodynamics of the aeroelastic model tree, Section 4 presents the dynamic response of the
173    aerodynamic model tree, while Section 5 presents energy transfer (mechanical admittance).
174    Finally, conclusions are offered.

175    **2. Materials and methods**
176    *2.1 Design of the aeroelastic model tree*
177    *2.1.1 Overview of dynamic similarity requirements*
178    The prototype for the study is a camphor tree (*Cinnamomum camphora*), which is broad-leaved
179    and located widely in the south of China. Flexible structures, such as a tree, require matching
180    several non-dimensional parameters in order to achieve dynamic similarity. These are
181    summarized in Table 1. In addition to geometric similarity, an aeroelastic model should match the
182    full-scale values of the following dimensionless parameters:
183    • Density ratio $(\rho_{model}/\rho_{air})$;
184    • Froude number $(Fr = U^2/gL)$;
185    • Reynolds number $(Re = UL/v)$;
186    • Cauchy number $(Ca = \rho U^2/E)$;
187    • Damping ratio $(\delta_{model}/\delta_{prototype})$ (Holmes, 2001).
188    The geometric scale of the aeroelastic model was chosen to be 1/6. This scale was mainly
189    chosen to meet the requirement of the blockage ratio to be below about 5% while having a
190    Reynolds number as large as possible because of the circular cross-sections of the branches and
191    trunk, and noting that Reynolds number cannot typically be matched for scale model testing. The
192    scale of the atmospheric boundary layer also needs to match this geometric scale, a point which is
193    discussed further below.
194    Density ratio and length scale determine the model mass. Because of the use of a wind tunnel
195    to simulate the natural wind, the air density of the prototype and the model are the same. This
196    then leads to the requirement that the densities for the prototype and model components are the
197    same. The current model had both the correct total mass and the distribution placed correctly.
198    The Froude number is a dimensionless parameter that represents the ratio of inertial to
199    gravitational forces. Because of the importance of both gravitational and inertial loads on the

branches, crown, and trunk, matching the Froude number is required. This parameter is generally required in full aeroelastic model testing of long-span bridges and cable-suspended roofs (Holmes, 2001). Once the length scale is set, matching the Froude number leads to the velocity scale requirements, which becomes the square-root of the length scale (because the gravitational constant is fixed). Of course, once the length and velocity scales are fixed, the time (or frequency) scaling is also set.

On the other side, once the geometric and velocity scales are fixed in the wind tunnel, the Reynolds number is also set. Reynolds number similarity is not possible in most cases for boundary layer wind tunnels, as noted above. However, because the velocity scale is 1:2.45 and the length scale is 1:6, the model-scale Reynolds number is only a factor of 15 lower than full-scale. This may have some effect on the results, although the circular surfaces were roughened to minimize these.

The Cauchy number is a dimensionless parameter that represents the ratio of the inertia forces to the elastic forces. Here, we scaled the bending stiffness instead of the elastic modulus. Bending stiffness is the product of elastic modulus and second moment of area. Cauchy number is a difficult parameter to scale because of limitations in the availability of materials. In this case, modelling the stiffness of a branch or stem leads to significant challenges, which are discussed further below.

Finally, the damping ratio plays a key role in the dynamic response. The adjustment of the damping ratio is not straightforward to control in aeroelastic models generally and is difficult to determine in natural structures such as tree. This is mainly because collisions among branches and leaves contribute significantly to the damping of tree, and these random collisions cannot be controlled effectively and independently in the model tree with the approach to the numerous branches and leaves. The damping of the prototype is 5.4% based on modal measurements in the field, as described in Section 2.1.3. This damping, which is called "internal damping", arises from two sources: the friction of the root-soil connection, and structural damping resulting from the movement of branches and the internal friction of the wood (Moore and Maguire, 2004). Although the damping of the aeroelastic model is about 2%, this discrepancy is not unexpected. In particular, it is reasonable that the damping of the model is smaller than the prototype because the model is fixed to the ground and the damping does not include the contribution from the friction of the root-soil connection. However, it is difficult to determine how much the friction of the root-soil connection of the prototype contributes to the damping. This mismatch will cause some discrepancy of the swaying behavior.

### 2.1.2 Description of the aeroelastic model tree

Camphor trees are widely used as raw materials for medicine and furniture (Hu et al., 2012). A transplanted camphor tree (which was transplanted 2 years prior to analysis) was selected as the prototype of the aeroelastic model tree. The main dimensions, including trunk height, trunk diameters at different heights, crown height, crown diameter, diameters and inclinations of main branches, and leaves, were measured by gradienter, vernier caliper and laser range finder. Based on these sizes, the tree skeleton (Figure 1b) was extracted from the prototype (Figure 1a) and the physical model (Figure 1c) recovered. The dynamic characteristics of the prototype were measured in field, which are discussed further in Section 2.1.3. The physical parameters of the trunk and branches of the prototype, including density and elastic modulus, were derived from the

method of Jiang and Peng (2001). The resulting designs of each component of the model tree are described below.

The trunk model borrows from the method of full aeroelastic model of long-span bridges. The structural skeleton of the trunk is made of a high-strength aluminum rod ($\rho = 2700\text{kg/m}^3$, $E = 6.91 \times 10^{10}\text{Pa/m}^2$) of circular cross section, which is 0.5m long. In addition to diameter at breast height (DBH = 0.15m), the diameters at 15 distinct heights of the prototype trunk were also measured. Based on these sizes, the aluminum spine was machined so that the variation of trunk diameter on the model stiffness was modelled. Such variation is important in modelling the bending responses. The connection at the top of the trunk was designed to provide a fixed joint for branches. In order to simulate the aerodynamic shape of the trunk, 15 segments of "cladding components" were attached to the trunk spine. Adjacent segments were separated by a 0.8 mm gap in order to prevent their contacting each other with a subsequent contribution to the trunk stiffness. These were 3D printed to have the correct mass by adjusting the "wall" thickness.

There were 10 main "first-class" branches and 102 "higher-class" branches to support the crown frame. The method used to build the trunk was not suitable for making aeroelastic branches because the diameters of the branches are too small at a scale of 1:6 (ranging from 0.003 - 0.009 m). As an alternative, a combination of aluminum wire ($\rho = 2700\text{kg/m}^3$, $E = 6.91 \times 10^{10}\text{Pa/m}^2$) and rigid hollow rods ($\rho = 930\text{kg/m}^3$) was used, based on the principle of equivalent mass and displacement. To describe the concept of equivalent displacement, the true deformation of an aeroelastic branch (Figure 2a) is replaced by the alternative model (Figure 2b). One obvious distinction is that the aeroelastic branch deformation is curved while the alternative deformation is a combination of curve and linear. Although it may affect local displacements of branches, crown streamlining of branches of two models would be consistent, because the free ends of the branches of the two models have the same displacement under the same load. Based on this, the stiffnesses of the two models are the same. The appropriate mass of the model is obtained by altering wall thickness of the hollow rod, so that the resulting natural frequencies match those of the prototype.

The range of leaf mass was determined by comparison between natural frequency of the prototype measured in field and that of a finite element model in which leaf weight can be adjusted to match the natural frequency. Because the field measurements happened during autumn, considering the seasonal effect on leaves, this mass range was altered suitably so that the natural frequency and crown area of the model were in the range of the prototype over the course of a year. There were 540 leaf clusters in total added to the model, which is much smaller than for the prototype over much of the year. Based on the fact that the typical leaf cluster of the prototype usually includes 5 leaves (Figure 3a), model leaf clusters (Figure 3c) were made according to a computer-based 3D model of a leaf cluster (Figure 3b).

Leaves are made of lamina and petiole. Lamina determines leaf deformation while petiole determines leaf direction (Vogel, 1989). Dynamic characteristics of leaves were neglected because of material limitations: sheet plastic and steel piano wire were used to model the lamina and petiole, respectively. Because the clusters are made of steel piano wire and sheet plastic, the stiffness of man-made cluster is larger than the prototype, which causes different deformations and directions of the leaves between the prototype and the model under wind load. This is a limitation of the model, which needs to be addressed in the interpretation of the results.

Eight crown configurations of the aeroelastic model were designed by assembling different

287    quantities of branches and leaf clusters. These include the trunk portion of the model tree, which
288    is named as case C1 (Figure 4a). Case C2 (Figure 4b) includes both trunk and branches. Case C3
289    is formed by adding one leaf cluster to each of the branches in case C2 (Figure 4b). Following the
290    same way, the branches were incrementally added with clusters for cases C4 to C8 shown in
291    Figures 4d-h, respectively. Six sets of clusters were incrementally increased in order from free-
292    ends to fixed-ends of branches to simulate the growth sequence of leaves in reality. The
293    dimensions and mass of eight configurations of the aeroelastic model tree are listed in Table 2.

294    ### 2.1.3 Dynamic characteristics of the aeroelastic model tree
295    For the accurate identification of the natural frequencies of the aeroelastic model tree, a modal
296    analysis using a finite element model (FEM), along with experimental displacement tests of the
297    model tree were conducted. The former was accomplished using the ANSYS finite element
298    software package according to the dimensions and material properties of the aeroelastic model
299    tree (Figure 5a). The latter was completed by free vibration decay tests of the trunk. For the
300    experiments, the crown was released from a relatively large displacement within the elastic range
301    of the materials in order to increase collisions among branches and leaves as much as possible in
302    the process of free vibration. Then, the free vibration decay time history was recorded by a high
303    sensitivity accelerometer attached to the top of the trunk. Figure 5(b) illustrates the overall mode
304    shape at $f = 2.0$ Hz for case C8, in which all branches sway in phase, but without trunk
305    swaying. This frequency is close to the frequency range where the first peaks are identified in the
306    power spectral density functions of the acceleration atop the trunk (Figures 5d, e). Based on these
307    characteristics, this frequency range is defined as the "crown frequency". In addition, based on
308    case C1 (just the trunk without the crown; Figure 4a), and the continuity of frequency variation of
309    the trunk (from cases C1 to C8) shown in Figure 5(d) and (e), this frequency range is defined as
310    the "trunk frequency". Figure 5(c) illustrates the overall mode shape at the trunk frequency range
311    ($f = 12.2$ Hz) for case C8, in which trunk sways out of phase with crown.
312    Figure 5(f) shows frequencies of the aeroelastic model for the eight crown configurations in the
313    two orthogonal directions. The crown frequency, which is far smaller than trunk frequency, as
314    shown in the Figure 5(f), indicates that the crown with a spreading canopy and no central trunk
315    sways as a whole (James, 2010). The collisions among branches and leaves are key factors in this
316    process. In addition, crown frequency is also governed by the stiffness and mass of branches,
317    along with the leaf mass. The crown vibration is more obvious than trunk vibration in this
318    frequency range (Figure 5b). Crown frequencies in the along-wind direction are almost the same
319    as those in the across-wind direction, which indicates the symmetry of the model. Crown
320    frequencies decrease with increasing numbers of leaf clusters (Figure 5f) because of the added
321    mass.
322    On the other hand, trunk frequency depends on the stiffness and mass of the trunk and crown.
323    The trunk frequency of the prototype camphor tree was identified by modal measurement method
324    of Single Input Single Output (SISO). This method measures response at one fixed point of the
325    trunk while lightly hitting different points along the height of the trunk with a force hammer
326    (Reynders et al., 2010). The trunk frequency of the prototype is found to be 5.18 Hz. Based on
327    the frequency scale of 2.45:1, the target frequency of the model trunk is 12.68 Hz. Trunk
328    frequency of C6 is 12.70 Hz (Figure 5f), which is reasonably close to the target trunk frequency
329    (i.e., 0.2% higher).

The ratio between the crown and trunk frequencies for different crown configurations is about 1:3 to 1:4. Serigo et al. (2008) found that the ratio of the first two frequencies of an olive tree with a spreading canopy and no central trunk is about 1:2. Schindler et al. (2010) found that the ratio for a plantation-grown Scots tree with a central trunk is about 1:3. These indicate that the first two frequencies of the aeroelastic model tree are reasonable.

## *2.2 Terrain simulation*

The turbulent atmospheric boundary layer (ABL) flows were simulated in the high-speed section of the Boundary Layer Wind Tunnel Laboratory (BLWTL) II at University of Western Ontario (UWO), Canada. It has a fetch of 39 m for flow development. The cross section at the test location is 3.36 m wide and 2.05 m high. Three spires with 1.22m height and 0.1 m base width were placed at the upstream inlet. Sets of roughness blocks are distributed along the fetch between the inlet and the test location. By altering the heights of roughness blocks and barriers, four different ABL flows (T1-T4) were generated.

Mean wind speed profiles were measured using a Cobra Probe (TFI, Model no. 900, 311) at a sampling frequency of 625 Hz. In what follows, the ABL flow conditions to which the aeroelastic model tree was exposed are described in detail. Therefore, mean wind speeds and turbulence intensities of the ABL flows simulated with terrains T1-T4 are analyzed. Vertical profiles of the aforementioned ABL flows are shown in Figure 6. In addition, ESDU 85020 (2008a) guideline is used to illustrate flow characteristics of two full-scale ABL flows which develop as a result of "open" (T5) and "suburban" (T6) terrains. While the mean velocity profiles of T1, T2 and T3 are similar to that of T5 for heights similar to the crown height, the velocity profile of T4 differs at all heights investigated, which indicates that wind tunnel terrains provide realistic bounds on full-scale terrains in the mean flow sense. There is a clear increase in turbulence intensities ($I_{U,T1} < I_{U,T2} < I_{U,T3} < I_{U,T4}$) from terrain T1 to terrain T4. While turbulence intensities of T4 are similar to those obtained for terrain T5, turbulence intensities of terrain T6 are the largest among the different ABL flows investigated. This finding may suggest that gust effects are underestimated for measurements conducted for terrains T1-T3. Table 3 provides turbulence intensities at the reference height ($z_{ref} = 0.85H$) for all terrain types investigated.

Figure 7 shows plots of power spectral density functions of streamwise velocities for all six ABL flows at corresponding measurement heights, namely, at $z_{ref} = 0.85$m for terrains T1 - T4 and at $z_{ref} = 5.1$m for terrains T5 and T6. The power spectra of terrains T1-T4 illustrated in Figure 7 shows that the magnitudes of the measured power spectra seem to be primarily dependent on the turbulence intensity; the magnitude increases with increasing turbulence intensity. Figure 7 furthermore illustrates the power spectral density functions of terrains T5 and T6, and thereby highlights large differences between the power spectra of terrains T1-T4 and T5-T6. Table 3 shows that the dimensionless integral length scale ($L_x/D_{crown}$) of terrains T5 and T6 are significantly larger compared to those obtained for terrains T1-T4, which is caused by the primary issue when using relatively large scale models in typical boundary layer wind tunnels. The mismatch of integral length scales causes the tree's crown to miss the response caused by relatively large scale wind gusts. As a result, investigations conducted with terrains T1-T4 will likely underestimate the tree's response compared to full-scale. Momentum thicknesses

normalized by the height of the model tree, $\theta/H, \theta = \int_0^H \frac{U}{U_H}\left(1 - \frac{U}{U_H}\right)dz$, are shown in Table 3.

372    The momentum thicknesses of terrains T5 and T6 lie within a similar range as terrains T1-T4,

373    which indicates that the analyzed terrains will not miss the effects caused by momentum

374    thickness.

375    *2.3 Measurements and instrumentation*

376        The wind tunnel tests were carried out in BLWTL II at UWO. The set-up of the wind tunnel

377    tests and coordinate system definition are shown in Figure 8. Aerodynamic forces, wind speed,

378    and crown area were measured synchronously to determine characteristics of aerodynamic forces,

379    aerodynamic force coefficients and crown deflections, and energy transfer functions.

380        Aerodynamic forces were measured by a six-component force balance (JR3, Model no. 5640).

381    Background noise of the force balance is typically less than 4% of the measured coefficients of

382    variations (COV) with the wind. Because aerodynamic forces caused by the crown dominate the

383    total aerodynamic forces of the tree, four cobra probes are used to measure the local wind speed

384    around the crown. The locations of the four cobra probes are shown in Figure 8. Cobra probe 1

385    was used as reference wind speed to calculate aerodynamic force coefficients. The data for

386    aerodynamic forces and wind speeds were collected synchronously at a sampling rate of 625 Hz

387    for a duration of 245 s, which relates to approximately 10 minutes in full-scale.

388        Two 1 Mb Photron FASTCAM-1024 PCI CMOS cameras (maximum sampling frequency:

389    1000 Hz) were used to record digital images of the aeroelastic model. A more detailed description

390    of the cameras can be found in Taylor et al. (2010). Two digital cameras were installed: one

391    downstream of the aeroelastic model and the other one at the side of the model, as can be seen in

392    Figure 8. These cameras were used to capture images to calculate frontal area and crown

393    deflection during each run at a sampling rate of 60 Hz for 20 s, which is sufficient to resolve all

394    dynamic effects. Images from both cameras were synchronized in time with the aerodynamic

395    force and wind speed measurements.

396        Figure 9(a) shows that compared to the dark wind tunnel background, the model tree is shown

397    white on the gray-scale images. For the digital image processing, first, a threshold was defined by

398    means of which a program could determine whether pixels in the gray-scale image are counted as

399    white (tree) or black (no tree). Within this process, all "tree pixels" were assigned a bright white

400    color, as shown in Figure 9(b). The frontal area of the tree was then estimated by counting the

401    number of white pixels in the processed image. In this processing, the reference scale ($6.818 \times$

402    $10^{-7}$ m$^2$/pixel) between pixel size and length was determined by a calibration block in the

403    image. In order to enhance the accuracy of determining the frontal area, the contrast between tree

404    and background was increased by covering components that reflected light in black tape and

405    lighting only the test section of the wind tunnel while turning off all other lights.

406        The identification of crown deflection follows a similar method as described for the frontal

407    area. Firstly, the crown center, which is defined as the center of mass of all white pixels, was

408    identified. The reference crown center was calculated without wind, and by subtracting the

409    location of the reference crown center from the location of the deflected crown center (due to

410    wind), the crown's displacement due to wind was obtained.

411        The process for recording data is described as follows. Still images of the aeroelastic model

412    were first captured from both cameras to calculate the frontal area and the original position in still

413    air. Then, the wind speed was increased to the desired level and the measurements of images,

414    wind speeds, and base reactions were conducted synchronously. The aeroelastic model tree was

415  subjected to six different average reference wind speeds (nominally about 3.7, 4.6, 5.6, 6.7, 7.8
416  and 8.8m/s). The Reynolds numbers based on the average trunk diameter and these wind speeds
417  range from 6,200 to 14,700.

418  **3. Aerodynamics of the aeroelastic model tree**
419  *3.1 Mean base overturning moments*
420  The trunk is usually described as a cantilever fixed perpendicularly to the ground. Trunk
421  breakage is usually caused by large tensile stresses that occur when the bending moment and self-
422  weight exceed the tensile strength of the trunk. In this failure mode, the bending moment plays a
423  key role. The base overturning moment caused by crown mass and crown displacement is
424  separated to obtain the purely aerodynamic moment. The corresponding base overturning
425  moment coefficient is defined as

426  $$C_M = \frac{M - m_{crown} * d_{cc}}{0.5 \rho U_{ref}^2 A_U H} \qquad (2)$$

427  where $C_M$ is the base overturning moment coefficient, $M$ is the base overturning moment
428  measured by the force balance, $m_{crown}$ is the crown mass corresponding to the different
429  configurations, $d_{cc}$ is the crown center displacement, $\rho$ is the air density, $A_U$ is the wind-
430  speed-specific frontal area, which is defined as frontal area corresponding to mean reference wind
431  speed and crown configuration, $U_{ref}$ is the reference wind speed, and $H$ is the height of the
432  aeroelastic model tree. For the mean base overturning moment coefficient, $\bar{C}_M$, mean values are
433  used for all time-varying quantities in equation (2). For time-varying analyses of $C_M$,
434  simultaneously measured time-varying quantities are used in equation (2).
435  Figure 10(a) shows the relationships between frontal area and reference wind speed in terrain
436  T1. For the different crown configurations, frontal areas are essentially constant with wind speed
437  and depend on the number of leaves included in the crown configurations, ranging from 0.05 to
438  0.14 m$^2$ (model scale) for cases C2 to C8, which indicates that it has little effect on base
439  overturning moment coefficient. Thus, crown streamlining effects are not observed directly with
440  this model. We attribute this to the excess stiffness of the model lamina and petiole, as indicated
441  in Section 2.1.2.
442  The effects of crown configuration and wind speed on the mean base overturning moment
443  coefficient in terrain T1 are shown in Figure 10(b). The different values along the abscissa in the
444  Figure 10(b) are determined by different crown configurations. The mean base overturning
445  moment coefficients increase with increased numbers of leaf clusters and tend to be steady when
446  there are relatively more leaf clusters (for case C8). This is mainly because the initial increased
447  number of leaves significantly change the aerodynamics of the model tree. This leads to increased
448  base overturning moment coefficients. The steady trends are mainly caused by crown deflection,
449  and not by frontal area, because frontal areas are basically constant with wind speed (Figure 10a),
450  and crown center displacements are consistent with the increasing trends followed by steady
451  trends for base overturning moment coefficients, which are discussed later in the paper (Figure
452  15a). At the same time, the mean base overturning moment coefficients decrease for larger wind
453  speeds, which is discussed further in Section 3.2. This result is also found in wind tunnel tests of
454  broad-leaved species (Cao, et al., 2012; Vollsinger, et al., 2005) and field measurements of broad-
455  leaved species (Kitagawa, et al., 2015; Koizumi, et al., 2010).
456  Figure 11 shows the effects of the ABL momentum thickness on the mean base overturning

457    moment coefficient. For a specific crown configuration, it can be seen that the mean base

458    overturning moment coefficients are basically constant in the range of momentum thickness from

459    0.07 to 0.10, associated with the smoother terrain T1, T2 and T3, and then decrease for 0.17,

460    which represents the rougher terrain T4. This is mainly because momentum thickness is a

461    parameter which reflects the differences in the mean wind speed profile. Increased momentum

462    thickness corresponds to reduced mean wind speeds in the profile, which reduces the

463    aerodynamic forces acting on the tree.

464    *3.2 Fluctuating base overturning moments*

465       In order to determine fluctuating characteristics of base overturning moments and moment

466    coefficients, various statistical parameters were investigated for cases C2 to C8. Table 4 provides

467    the mean values, standard deviations (SD) and COV of reference wind speeds, base overturning

468    moments, and moment coefficients in terrain T1. The COV for the base overturning moments

469    decrease from 12% to 8% for the increased numbers of leaves (for cases C2 to C8), with

470    turbulence intensities hold constant at about 6%, even though both the mean and fluctuating (SD)

471    of the base overturning moments increase substantially with increased numbers of leaves. It is not

472    clear what causes this, because there is no apparent correlation between COV of base overturning

473    moments and damping (including both structural and aerodynamic damping; Table 4), which is

474    derived from crown deflection calculated by both the random decrement method and the

475    logarithmic decrement method (James, 2010). In contrast, the COV for the base overturning

476    moment coefficients fluctuate around the average value about 14% for the different crown

477    configurations (with turbulence intensities hold constant at about 6%). Further analyses will be

478    presented below and in the following sections.

479       Figures 12(a) and (b) show the effects of turbulence intensity (in terrain T1, T2, T3 and T4) on

480    the COV for the base overturning moments and moment coefficients, respectively. The COV for

481    the base overturning moments increase linearly with larger values of turbulence intensity (Figure

482    12a). It is worth noting that the increased numbers of leaf clusters tend to slightly mitigate the

483    fluctuations of base overturning moments. The COV for the moment coefficients as a function of

484    turbulence intensity exhibit similar trends, although the frontal area has some effect on the

485    normalized response.

486       The characteristics in frequency domain about base overturning moment are described in

487    Figure 13. Figure 13(a) illustrates the power spectral density functions of the base overturning

488    moments for cases C2 to C8 in the along-wind direction at the reference wind speed of 8.8 m/s in

489    terrain T1. The broad peaks for base overturning moments are identified to be close to the crown

490    frequency. The secondary peaks, which are relatively smaller in magnitude and narrower in

491    bandwidth, are identified to be in the range of the trunk frequency (Figure 5f). These indicate that

492    crown frequencies dominate the fluctuations of the base overturning moments. Figure 13(b) show

493    the similar results for the base overturning moment coefficients. Figure 13(c) illustrates the power

494    spectral density functions of the base overturning moment coefficients for case C8 at the

495    reference wind speeds of 3.7 to 8.8 m/s at same conditions. The broad peaks for different wind

496    speeds are constant and identified to be close to the crown frequency, which indicates that the

497    base response is determined by crown frequency and not related to a possible vortex induced

498    vibration.

499       The normalized co-spectral density function in the along-wind direction is defined as (Holmes,

500    2001)

501    $CS_{MU} = \text{real}(S_{MU}/\sqrt{S_{MM} * S_{UU}})$                                                (3)

502    $CS_{C_mU} = \text{real}(S_{C_mU}/\sqrt{S_{C_mC_m} * S_{UU}})$                                            (4)

503    where $CS_{MU}$ is the normalized co-spectral density function between the base overturning

504    moment (measured by the force balance) and the reference wind speed, while $CS_{C_mU}$ is the

505    normalized co-spectral density function between base overturning moment coefficient and
506    reference wind speed. $S_{MU}$ is the cross spectral function between the base overturning moment

507    (measured by the force balance) and the reference wind speed, $S_{C_mU}$ is the cross spectral

508    function between the base overturning moment coefficient and the reference wind speed, $S_{MM}$,

509    $S_{C_mC_m}$, $S_{UU}$ are the power spectral density functions of the base overturning moment (measured

510    by the force balance), base overturning moment coefficient and reference wind speed,
511    respectively, and $\text{real}(\bullet)$ is real part. The time history of the base overturning moment
512    coefficient is calculated by equation (2), which is determined by the fluctuations of the base
513    overturning moment (measured by the force balance), reference wind speed and frontal area.
514        The details of the correlation between the wind speed fluctuation and the base overturning
515    moment are described in Figure 14. Subplot (a) in Figure 14 shows a 3-sec time segment of the
516    normalized velocities and base overturning moment fluctuations (i.e., $(U(t+\tau)-\bar{U})/(U'^2)^{0.5}$
517    and $(M(t)-\bar{M})/(M'^2)^{0.5}$) in terrain T1. Because the velocity probe is placed a short distance
518    upstream of tree model (Figure 8), the velocity signal shown in Figure 14(a) is delayed by a lag
519    time, $\tau$, for better visual comparison with the moments. Figures 14(b) shows the corresponding
520    base overturning moment coefficients by using the two quantities in Figure 14(a), i.e., $C_M(t) =$

521    $(M(t)-m_{crown} * d_{cc})/(0.5 * \rho * U_{ref}(t+\tau)^2 * A_U(t) * H)$. Figure 14(c) further shows the co-

522    spectra to quantify the correlation (in the frequency domain) between velocity and base
523    overturning moment, while Figure 14(d) shows the co-spectra for the velocity and moment
524    coefficients.
525        From Figure 14(a), it is clear that the large-scale turbulence (i.e., slowly varying wind speed
526    traces) correlates well with base overturning moment responses, while the pattern of the small-
527    scale turbulence (i.e., fast-changing velocities) are not found in the base overturning moments.
528    This observation is consistent with the co-spectral plot shown in Figure 14(c), in which the
529    correlation coefficients reach a maximum (of about 0.5) at frequencies lower than the crown
530    frequency, and decay to zero for frequencies larger than crown frequency. This is mainly because,
531    at frequencies lower than the crown frequency, the base overturning moment of the model is
532    basically determined by the large-scale gust, and the model is behaving in quasi-steady manner.
533    The sudden drop of the co-spectra at the crown frequency is thought to be induced by the
534    mismatch of random turbulent fluctuations and the regularized crown vibration.
535        From the co-spectra plot shown in Figure 14(d), however, negative correlations can be found
536    between the velocity fluctuations and the base overturning moment coefficients. Because the
537    moments are nearly unresponsive to small-scale turbulence (i.e., for $f > f_{crown}$), as already

shown in Figures 14(a) and (c), a positive small-scale gust means a direct increase of the denominator in Eq. (2), and, hence, a direct decrease of moment coefficient. The examples of these situations are labeled as blue boxes in Figures 14(a) and (b), which provides the explanation for the negative correlation between small-scale gusts and moment coefficients. On the other side, Figure 14(d) implies a negative correlation between the large-scale gust (i.e., $f < f_{\text{crown}}$) and base overturning moment coefficients. This is further asserted by looking at the yellow-circled region of the time histories shown in Figures 14(a) and (b), in which the stronger gust is associated with lower moment coefficient, and vice versa. Note that this observation also echoes the negative correlation between mean velocity and mean base moment coefficients in Figure 10(b).

To explain the negative correlation between the (large-scale) gust and the base overturning moment coefficient, the side-view snapshot of the tree model taken at a time, $\tau$, after the peak positive gust is shown in Figure 14(e) and compared to that corresponding to a negative gust shown in Figure 14(f). It is clear that the crown is displaced more into the stream direction for the positive gust. Such an instantaneous shape is thought to be more aerodynamic than the shape associated with the negative gust, and, hence, reduces the base moment coefficients for the positive gust. This mechanism is postulated to explain the negative correlation between the large-scale turbulence and the base overturning moment coefficient.

## 4. Dynamic response of the aeroelastic model tree

### 4.1 Mean crown deflections

The crown center displacement is a primary parameter to evaluate crown deflection. The effects of crown configuration and wind speed on mean crown center displacements in the along-wind and across-wind directions in terrain T1 are shown in Figures 15(a) and (b). Figure 15(c) depicts the relationships between mean crown center displacements and mean base overturning moment coefficients for different reference wind speeds in terrain T1. The monotonically increasing trends for crown center displacements in the along-wind direction cease at about $\bar{A}_U^{0.5}/H \approx 0.34$, which are followed by stable trends for $\bar{A}_U^{0.5}/H \approx 0.37$. This is mainly because, as the number of leaves is increased from zero, the area that the wind can act on directly is also increased, which leads to increased aerodynamic forces. This causes increased crown center displacement. However, for crown configurations with larger numbers of leaves and branches, sheltering effects play an important role in reducing the relative importance of further increased quantities of leaves and branches such that the crown center displacement tends to level off with increased area, which indicates that some branches and leaves are sheltered from each other under wind load. In fact, the current study indicates that up to 33% of the leaves (relative to case C8) can be removed without affecting the crown center displacement. The crown center displacements in the along-wind direction clearly increase with increasing the reference wind speed, as expected. The relationships between mean crown center displacements and mean base overturning moment coefficients present similar changes. These can be explained by the crown sheltering effects. The crown center displacements in the across-wind direction are far less than these in the along-wind direction, which indicates that the crown response in the along-wind direction dominates crown behavior in windy conditions.

### 4.2 Fluctuating crown deflections

In order to determine fluctuating characteristics of the crown center displacements, the COV

581  and power spectral density functions were obtained from the time histories of crown center
582  displacements in the along-wind and across-wind directions for cases C2 to C8.
583     Table 4 shows the mean values, SD and COV of reference wind speeds and crown center
584  displacements in the along-wind and cross-wind directions in terrain T1. There is a decreasing
585  trend of the COV of crown center displacements in the along-wind direction from 10% to 6% for
586  increased numbers of leaves, with turbulence intensities hold constant at about 6%. However, the
587  COV of crown center displacements in the across-wind direction are much larger than those in
588  the along-wind direction. This is mainly because the crown center displacements in the across-
589  wind direction fluctuate about zero, and noting that the variance is about two thirds of that for the
590  along-wind direction. This is likely a reflection of the differences between the longitudinal and
591  lateral turbulence intensities.
592     Figure 16 shows the effects of turbulence intensity (in terrain T1, T2, T3 and T4) on the COV
593  for the crown center displacements in the along-wind direction. The relationships are observed to
594  be similar as those between the base overturning moments and turbulence intensity. There is a
595  similar slight reduction in the COV for the crown center displacements with larger numbers of
596  leaf clusters.
597     The vibration characteristics in frequency domain about crown center displacement in
598  orthogonal directions are described in Figure 17. Figures 17(a) and (b) show the power spectral
599  density functions of the crown center displacements in the along-wind and across-wind directions
600  for cases C2 to C8 at reference wind speed of 8.8 m/s in terrain T1. The frequency range where
601  the main peaks for the crown center displacements in both two directions are located is close to
602  the crown frequency range. The secondary peaks in the trunk frequency range are less significant,
603  indicating that the crown frequencies dominate the fluctuations of the crown center displacements
604  and not the trunk fluctuations. Figures 17(c) and (d) show power spectral density functions of the
605  crown center displacements in the two directions for case C8 at reference wind speeds of 3.7 to
606  8.8 m/s at same conditions. The constant frequency where the main peaks are located indicates
607  crown deflection is not related to a possible frequency of vortex shedding.

608  **5. Energy transfer (mechanical admittance)**
609     Based on quasi-steady assumption, the base overturning moment is estimated:

610  $$M_{qs} = 0.5\rho \bar{C}_M U_{ref}^2 \bar{A}_U H \qquad (5)$$

611     The mechanical admittance function in the along-wind direction is defined (Davenport, 1963)

612  $$S_{MM}(f) = |H(f)|^2 S_{M_{qs}M_{qs}}(f) \qquad (6)$$

613     Where $M_{qs}$ is estimated base overturning moment based on the quasi-steady assumption,
614  $S_{MM}(f)$ is the power spectral density function of base overturning moment measured by the
615  force balance, $S_{M_{qs}M_{qs}}(f)$ is the power spectral density function of estimated base overturning
616  moment based on the quasi-steady assumption, and $H(f)$ is the mechanical admittance function.
617     In fact, the mechanical admittance based on the quasi-steady assumption that aerodynamic
618  force fluctuations are determined by the turbulent fluctuations of the wind is the combination of
619  aerodynamic and mechanical admittance. To separate the aerodynamic admittance, wind tunnel
620  tests with a rigid model would be necessary. Therefore, a general experimental formula for

621 aerodynamic admittance, $\chi(f) = 1/(1 + \left[\frac{2f\sqrt{\bar{A}_U}}{\bar{U}_{ref}}\right]^{4/3})$ (Holmes, 2001), is included to provide a

622 reference for "typical" characteristics (Figure 18). It is generally accepted that for large-scale

623 gusts, that the aerodynamic admittance is 1 since quasi-steady theory will tend to hold for these

624 relatively slowly changing wind speeds. In contrast, the overall loads are not affected

625 significantly by the smaller-scale turbulence so the admittance falls off rapidly at high

626 frequencies. However, body-generated turbulence (such as that caused by periodic vortex

627 shedding) increases the aerodynamic admittance. Holmes (2001) model is provided in Figure 18,

628 which accounts for only the usually large-scale and small-scale effects.

629     Figure 18 shows the measured mechanical admittance functions in the along-wind direction for

630 different crown configurations in terrain T1. The mechanical admittance functions reflect

631 background parts caused by the wind and resonant parts caused by the aeroelastic model tree at

632 low and relatively higher frequency, respectively. For $f/f_{crown} < 1$, the admittance is almost

633 constant with values of about 1. This is mainly because there is a highly positive correlation

634 between base overturning moments and wind speeds in the same frequency range, as shown in

635 Figure 14(a). This is the quasi-steady range, where large-scale gusts control the response,

636 consistent with aerodynamic admittance model included in the figure. Here "large-scale" implies

637 gusts larger than $U_{ref}/f_{crown}$, rather than a typical dimension proportional to a physical

638 dimension. The first peaks appear near the crown natural frequency, while the secondary peaks,

639 which are smaller in magnitude (except for case C2) and narrower in bandwidth, appear near the

640 trunk frequency. This indicates that the crown frequency effectively controls the response via

641 mechanical admittance, which amplifies the response at the crown frequency. However, this

642 effect is slightly reduced as more leaves or branches are added to the tree because of a reduction

643 of peak values in the admittance at $f/f_{crown} = 1$. For smaller scale gusts, with $f/f_{crown} > 1$,

644 the admittance falls off rapidly (except for an increase in values at the trunk frequency), as these

645 smaller gusts do not coherently act on the crown. The fall-off is more rapid than Holmes' model

646 for $f/f_{crown} > 1.5$ which may be due to branches moving out of phase with the bulk of the

647 crown.

648 **6. Conclusions**

649     In this study, the characteristics of base overturning moments, base overturning moment

650 coefficients, and crown deflections were investigated for the aeroelastic model of a tree with eight

651 distinct crown configurations in four terrain conditions. The energy transfer functions for

652 different crown configurations were also examined. The main findings are as follows:

653 (i)     An approach to constructing an aeroelastic model of a tree which satisfies geometric

654     similarity, dynamic similarity and dimensionless parameters including Froude number,

655     Cauchy number and density ratio was developed. Although the model could not include

656     leaf deformation, it captured the major aerodynamic characteristics related to the branch

657     structure.

658 (ii)     Crown sheltering effects of leaves, which effectively limit the increases of crown

659     deflections with increased crown area, occur when there are more than 67% of the leaves

660     (relative to the case C8). Then crown deflections limit the increases of base overturning

661     moment coefficients with increased crown area. In addition, the base overturning moment

662     coefficients decrease with increased wind speeds and increased ABL momentum

| 663 | | thickness, respectively. |
| 664 | (iii) | The COV for base overturning moments, moment coefficients and crown deflection in the |
| 665 | | along-wind direction are determined by turbulence intensity of the wind. Crown |
| 666 | | frequencies dominate the fluctuations of base overturning moments, moment coefficients, |
| 667 | | and both crown deflections in the along-wind and across-wind directions for different |
| 668 | | crown configurations (i.e., number of leaves and branches). |
| 669 | (iv) | The energy transfer functions for different crown configurations in the along-wind |
| 670 | | direction indicate that mechanical admittance presents obvious peaks in the crown |
| 671 | | frequency, with much smaller peaks in the trunk frequency. The crown responds to large- |
| 672 | | scale gusts in a quasi-steady manner for gusts larger than $U_{ref}/f_{crown}$, with dynamic |
| 673 | | amplification at the crown frequency, and a rapid drop for gusts smaller than |
| 674 | | $U_{ref}/f_{crown}$. Increased numbers of branches and leaves reduce the dynamic amplification |
| 675 | | effect in the crown frequency. |

676     The primary limitations of the current model tree are as follows:

| 677 | (i) | The inflexible leaves clearly affect the streamlining of the crown. Material limitations |
| 678 | | restrict the accurate simulation of the bending stiffness of lamina and petiole. These |
| 679 | | limitations should be a focus of future work, although they will remain a challenge. |
| 680 | (ii) | The mismatch about integral length scale between wind tunnel terrains and theoretical |
| 681 | | full-scale terrains causes the discrepancy on swaying behavior between the aeroelastic |
| 682 | | model tree and the prototype. It would be useful to know if the quasi-steady behavior is |
| 683 | | maintained for larger integral scales. |
| 684 | (iii) | The mismatch on internal damping between the aeroelastic model tree and the prototype |
| 685 | | also causes the discrepancy on swaying behavior, which affects the application of the |
| 686 | | results in full scale. |

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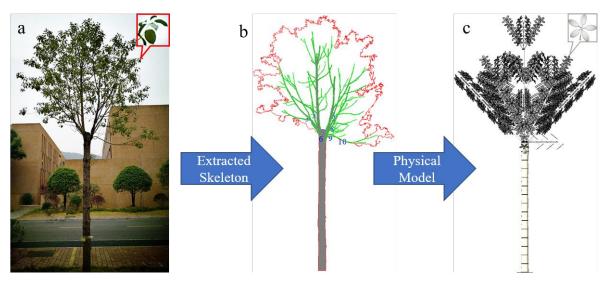
**Figures**



Figure 1: Schematic of the aeroelastic model tree: (a) prototype, (b) skeleton and (c) model.
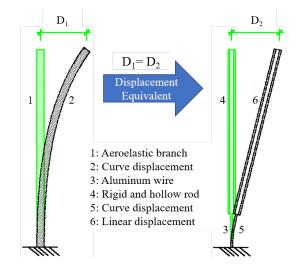
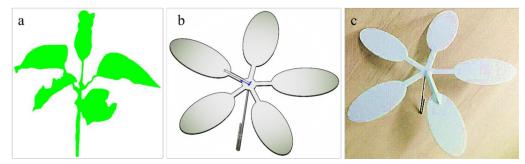Figure 2: Schematic of equivalent displacement for the free ends of branches

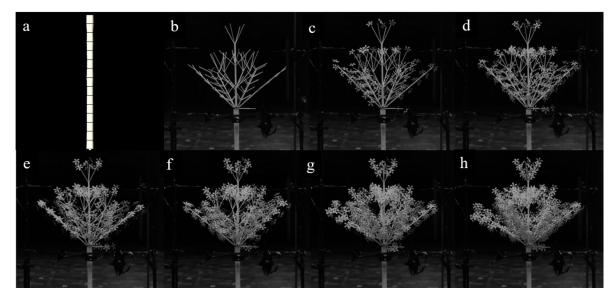Figure 3: Leaf cluster: (a) prototype, (b) 3D computer model and (c) actual model.

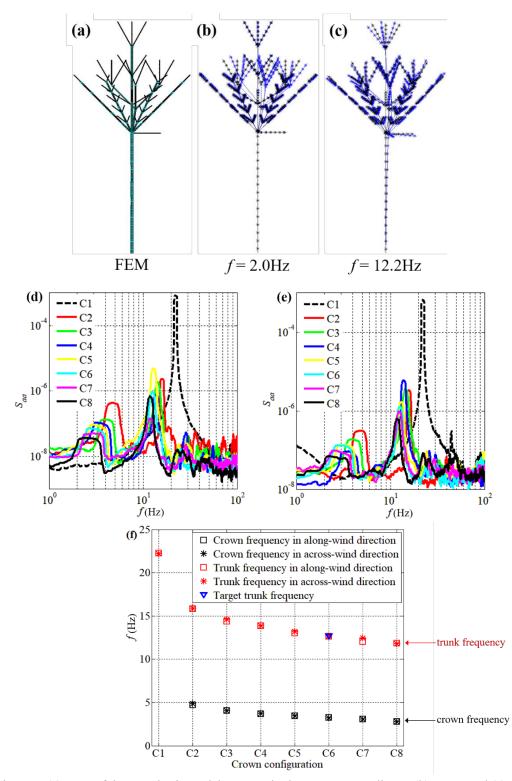Figure 4: Eight configurations, C1 (a), C2 (b), C3 (c), C4 (d), C5 (e), C6 (f), C7 (g) and C8 (h).

Figure 5: (a) FEM of the aeroelastic model tree, mode shapes corresponding to (b) crown and (c) trunk frequencies for case C8, power spectral density functions of accelerations at the top of the trunk for different configurations in the (d) along-wind and (e) across-wind directions derived from free vibration decay tests, and (f) frequencies of the aeroelastic model tree in the along-wind and across-wind directions.

Figure 6: (a) Non-dimensional mean velocity profiles normalized by the mean velocity at the top of tree and (b) turbulence intensity profiles for four wind tunnel terrains (T1, T2, T3, T4), and two theoretical full-scale terrains named as "Open" and "Suburban" (T5, T6).
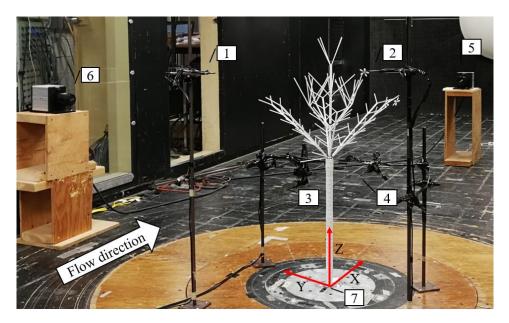
Figure 7: Power spectral density functions of streamwise velocities at reference height in four wind tunnel terrains (T1, T2, T3, T4, $z_{ref} = 0.85$m), and two theoretical full-scale terrains named as "Open" and "Suburban" (T5, T6, $z_{ref} = 5.1$m).

Figure 8: The set-up of the wind tunnel tests: 1 cobra probe 1 (-0.22, 0.36, 0.85)，2 cobra probe 2 (-0.22, -0.25, 0.85), 3 cobra probe 3 (-0.075, 0.15, 0.35), 4 cobra probe 4 (-0.075, -0.15, 0.35), 5 downstream camera (2.8, 0, 0.65), 6 lateral camera (0.05, 1.35, 0.65), 7 JR3 force balance (0, 0, -0.025). (X, Y, Z), unit: m.

Figure 9: Frontal area of the aeroelastic model tree, (a) cropped image, (b) spatially discretized image.
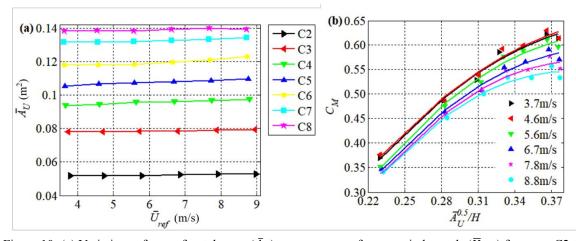
Figure 10: (a) Variations of mean frontal areas ($\bar{A}_U$) versus mean reference wind speeds ($\bar{U}_{ref}$) for cases C2 to C8, (b) variations of mean base overturning moment coefficients ($\bar{C}_M$) versus square roots of mean frontal areas divided by the height of the model tree ($\bar{A}_U^{0.5}/H$) at reference wind speeds of 3.7 m/s to 8.8 m/s in T1.
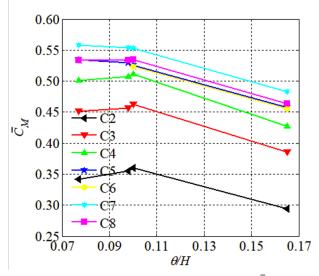
Figure 11: Variations of mean base overturning moment coefficients ($\bar{C}_M$) versus momentum thickness normalized by the height of the model tree ($\theta/H$) for cases C2 to C8 at a reference wind speed of 8.8 m/s, for terrains T1, T2, T3 and T4.
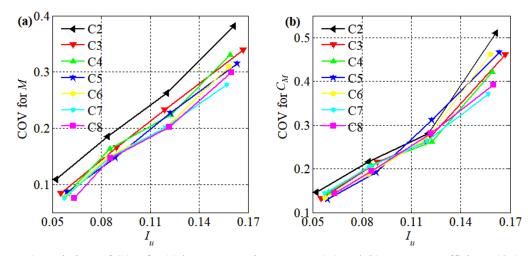
Figure 12: Variations of COV for (a) base overturning moment ($M$) and (b) moment coefficients ($C_M$) versus turbulence intensity ($I_U$) for cases C2 to C8 at a reference wind speed of 8.8 m/s, for terrains T1, T2, T3 and T4.
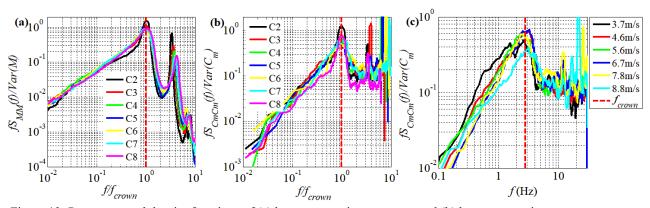
Figure 13: Power spectral density functions of (a) base overturning moments and (b) base overturning moment coefficients versus frequency normalized by crown frequency ($f/f_{crown}$) for cases C2 to C8 in the along-wind direction at reference wind speed of 8.8 m/s, and (c) base overturning moment coefficients versus frequency for case C8 in the along-wind direction at reference wind speeds of 3.7 m/s to 8.8 m/s in T1.
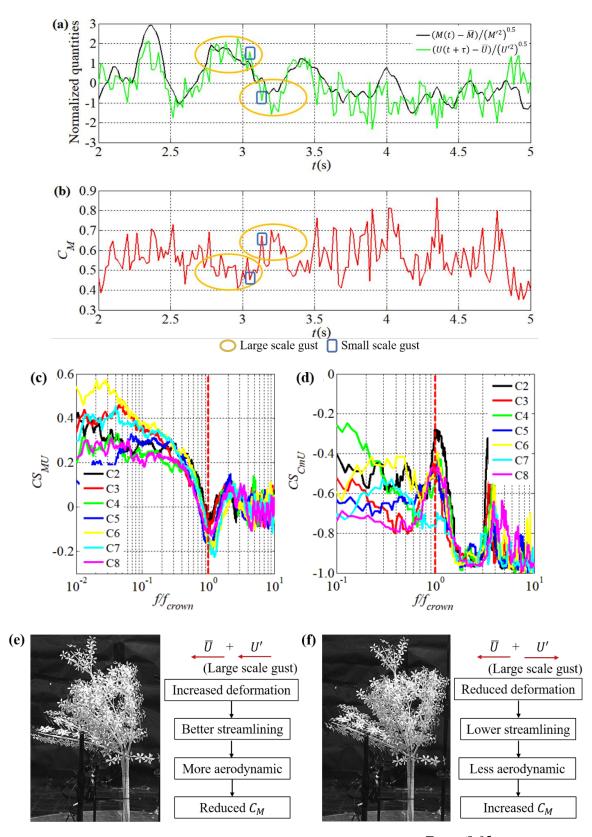
Figure 14: Time history of (a) normalized base overturning moment $((M(t) - \bar{M})/(M'^2)^{0.5})$ and normalized reference wind speed $((U(t + \tau) - \bar{U})/(U'^2)^{0.5})$, and (b) base overturning moment coefficient $(C_M(t) =$

$(M(t) - m_{crown} * d_{cc})/(0.5 * \rho * U_{ref}(t + \tau)^2 * A_U(t) * H))$ for case C8, normalized co-spectral density functions between (c) base overturning moments and reference wind speeds, and (d) base overturning moment coefficients and reference wind speeds versus frequency normalized by crown frequency ($f / f_{crown}$) for cases C2 to C8, and crown deformation with (e) positive and (f) negative large scale gusts for case C8, at reference wind speed of 8.8 m/s in T1.
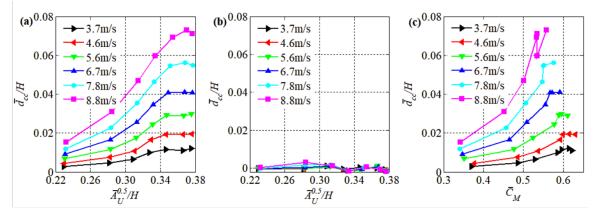
Figure 15: Variations of mean crown center displacements ($\bar{d}_{cc}/H$) in the (a) along-wind and (b) across-wind directions versus square roots of mean frontal areas divided by the height of the model tree ($\bar{A}_U^{0.5}/H$), and (c) mean crown center displacements ($\bar{d}_{cc}/H$) in the along-wind direction versus base overturning moment coefficients ($\bar{C}_M$) at reference wind speeds of 3.7 m/s to 8.8 m/s in T1.
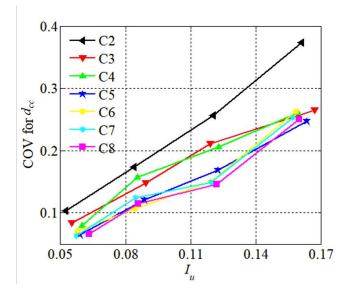
Figure 16: Variations of COV for crown center displacement ($d_{cc}$) in the along-wind direction versus turbulence intensity ($I_U$) for cases C2 to C8 at a reference wind speed of 8.8 m/s, for terrains T1, T2, T3 and T4.
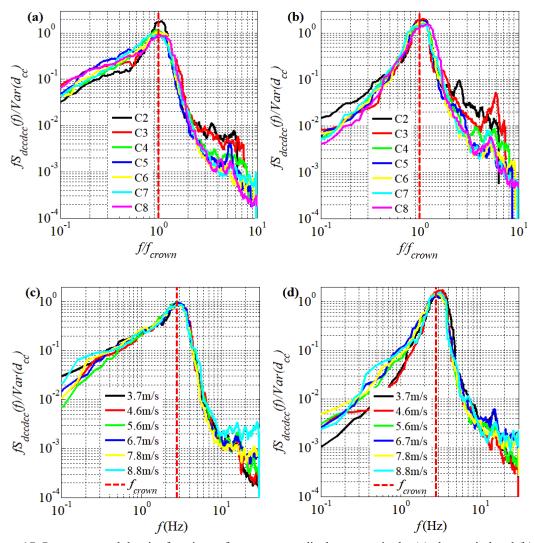
Figure 17: Power spectral density functions of crown center displacements in the (a) along-wind and (b) across-wind directions versus frequency normalized by crown frequency ($f/f_{crown}$) for cases C2 to C8 at reference wind speed of 8.8 m/s, and in the (c) along-wind and (d) across-wind directions versus frequency for case C8 at reference wind speeds of 3.7 to 8.8 m/s in T1.
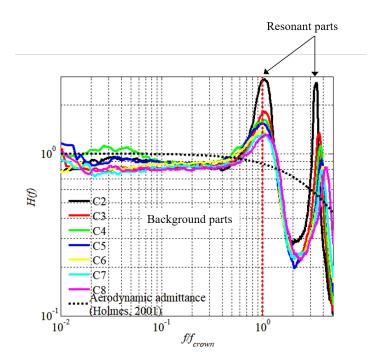
Figure 18: Mechanical admittance functions versus frequency normalized by crown frequency ($f/f_{crown}$) for cases C2 to C8 in the along-wind direction at reference wind speed of 8.8 m/s in T1.

## Tables

Table 1: Aeroelastic scaling parameters

| Parameter | Unit | Reduced ratio | Similarity requirement |
|---|---|---|---|
| Length | m | $\lambda_L = 1:6$ | Wind tunnel scale |
| Velocity | m/s | $\lambda_U = 1:2.45$ | Froude number |
| Density | kg/m$^3$ | $\lambda_\rho = 1:1$ | $\lambda_\rho = \rho_m/\rho_p$ |
| Frequency | Hz | $\lambda_f = 2.45:1$ | $\lambda_f = \lambda_U/\lambda_L$ |
| Time | s | $\lambda_t = 1:2.45$ | $\lambda_t = \lambda_L/\lambda_U$ |
| Mass per meter | kg/m | $\lambda_m = 1:6^2$ | $\lambda_m = \lambda_\rho \lambda_L^2$ |
| Mass Moment of Inertia per meter | kg$\cdot$m$^2$/m | $\lambda_j = 1:6^4$ | $\lambda_j = \lambda_m \lambda_L^2$ |
| Bending Stiffness | N$\cdot$m$^2$ | $\lambda_{EI} = 1:(2.45^2 \cdot 6^4)$ | $\lambda_{EI} = \lambda_U^2 \lambda_L^4$  Cauchy number |

Table 2: Dimensions and mass for the aeroelastic model tree without wind

| Configuration | Tree height(m) | Crown height(m) | Tree mass(kg) | Frontal area(m$^2$) | Crown area(m$^2$) |
|---|---|---|---|---|---|
| C1 | 0.50 | 0.00 | 0.1568 | 0.0135 | 0.0000 |
| C2 | 0.96 | 0.46 | 0.2587 | 0.0516 | 0.0381 |
| C3 | 0.99 | 0.49 | 0.2759 | 0.0775 | 0.0640 |
| C4 | 0.99 | 0.49 | 0.2941 | 0.0924 | 0.0789 |
| C5 | 0.99 | 0.49 | 0.3122 | 0.1035 | 0.0900 |
| C6 | 0.99 | 0.49 | 0.3297 | 0.1162 | 0.1027 |
| C7 | 0.99 | 0.49 | 0.3470 | 0.1310 | 0.1175 |
| C8 | 0.99 | 0.49 | 0.3657 | 0.1366 | 0.1231 |

Table 3: Characteristics of the four wind tunnel terrains and two theoretical full-scale terrains

| Terrain | Turbulence intensity, $I_u$ $z_{ref} = 0.85H$ | Integral scale, $L_x$ $L_x/D_{crown}$ $z_{ref} = 0.85H$ | Momentum thickness, $\theta$ $\theta/H$ |
|---------|---------|---------|---------|
| T1 | 0.051 | 0.76 | 0.100 |
| T2 | 0.088 | 1.68 | 0.077 |
| T3 | 0.12 | 2.02 | 0.098 |
| T4 | 0.19 | 1.35 | 0.165 |
| T5 | 0.17 | 19.58 | 0.107 |
| T6 | 0.25 | 16.93 | 0.136 |

Table 4: Characteristics of reference wind speeds, base overturning moments, damping, base overturning moment coefficients and crown deflections for cases C2 to C8 at reference wind speed of 8.8 m/s in T1.

| Configuration | | C2 | C3 | C4 | C5 | C6 | C7 | C8 |
|---|---|---|---|---|---|---|---|---|
| Reference wind speed in the along-wind direction $U_{ref}$ (m/s) | mean | 8.98 | 8.95 | 8.80 | 8.76 | 8.73 | 8.72 | 8.73 |
| | SD | 0.47 | 0.49 | 0.53 | 0.52 | 0.50 | 0.50 | 0.55 |
| | COV | 0.053 | 0.055 | 0.060 | 0.059 | 0.058 | 0.057 | 0.063 |
| Base overturning moment in the along-wind direction $M$ (N.m) | mean | 0.8698 | 1.7119 | 2.2463 | 2.6855 | 2.9822 | 3.3976 | 3.3775 |
| | SD | 0.1035 | 0.1541 | 0.2065 | 0.2468 | 0.2502 | 0.2751 | 0.2743 |
| | COV | 0.1190 | 0.0900 | 0.0919 | 0.0919 | 0.0839 | 0.0810 | 0.0812 |
| Damping in the along-wind direction (%) | structural and aerodynamic damping | 6.1 | 5.6 | 6.3 | 5.0 | 4.0 | 6.1 | 3.2 |
| Base overturning moment coefficient in the along-wind direction $C_M$ | mean | 0.3416 | 0.4513 | 0.5007 | 0.5348 | 0.5337 | 0.5579 | 0.5339 |
| | SD | 0.0532 | 0.0609 | 0.0761 | 0.0723 | 0.0732 | 0.083 | 0.0797 |
| | COV | 0.1557 | 0.1349 | 0.1520 | 0.1352 | 0.1372 | 0.1488 | 0.1493 |
| Crown deflection in the along-wind direction $d_{cc}$(m) | mean | 0.0150 | 0.0305 | 0.0464 | 0.0590 | 0.0685 | 0.0721 | 0.0704 |
| | SD | 0.0016 | 0.0026 | 0.0037 | 0.0039 | 0.0049 | 0.0045 | 0.0046 |
| | COV | 0.1067 | 0.0852 | 0.0797 | 0.0661 | 0.0715 | 0.0624 | 0.0653 |
| Crown deflection in the across-wind direction $d_{cc}$(m) | mean | 0.0003 | 0.0029 | 0.0011 | 0.0016 | 0.0007 | 0.0006 | 0.0018 |
| | SD | 0.0010 | 0.0015 | 0.0020 | 0.0029 | 0.0027 | 0.0033 | 0.0035 |
| | COV | 3.3333 | 0.5172 | 1.8182 | 1.8125 | 3.8571 | 5.5000 | 1.9444 |

SD is standard deviation, COV is coefficient of variation, mean = mean (●), SD = std (●), and COV = SD / mean.