

## Standardization of antimicrobial testing of dental devices

Camilleri, J; Arias Moliz, T; Bettencourt, A; Costa, J; Martins, F; Rabadijeva, D; Rodriguez, D; Visai, L; Combes, C; Farrugia, C; Koidis, P; Neves, C

DOI:

[10.1016/j.dental.2019.12.006](https://doi.org/10.1016/j.dental.2019.12.006)

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*Document Version*

Peer reviewed version

*Citation for published version (Harvard):*

Camilleri, J, Arias Moliz, T, Bettencourt, A, Costa, J, Martins, F, Rabadijeva, D, Rodriguez, D, Visai, L, Combes, C, Farrugia, C, Koidis, P & Neves, C 2020, 'Standardization of antimicrobial testing of dental devices', *Dental Materials*, vol. 36, no. 3, pp. e59-e73. <https://doi.org/10.1016/j.dental.2019.12.006>

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## Abstract

Dental device is a very broad term that can be used to include any foreign material or product that is introduced in the host oral cavity to replace missing tissues. These devices are subjected to different environments which include dental hard tissues, tissue fluids, blood and saliva. All dental devices are continuously challenged microbiologically and a number of failures in clinical management are related to microbial colonization. Thus, the assessment of the antimicrobial properties of dental devices are extremely important. In this paper, a classification of dental devices is being proposed. This classification distinguishes the devices based on whether they are implantable or not, and also sub-classified based on their specific application and the substrate receiving the device. A literature search was conducted to identify how dental devices have been tested with relation to the microbial strains used and whether the testing has been performed in isolation or reported with other relevant tests such as material characterization and biological activity.

The results of the literature review were analyzed and recommendations for antimicrobial testing of dental devices are proposed. These recommendations include the need for the setting up of pre-testing parameters such as ageing and the details of the pre-testing sterilization procedures, as these may affect the material chemistry and the specification for antimicrobial testing to be done with specific single strains or polymicrobial that are native to the region where the device is located are also suggested. Testing can be undertaken *in vitro*, *ex vivo* and *in vivo*. Since the antimicrobial and biological activities influence/condition one another and the material chemistry may affect both the antimicrobial and biological testing this document also makes recommendations regarding biological assessment which can be carried out in isolation or integrated with the microbiological testing and also material testing methods including chemical and physical characterization of bulk, surface, eluted and degraded materials as well as physical characterization methods.

The level of standardization of antimicrobial testing for the dental devices needs to be based on the device location and host interaction. in order to increase the clinical applicability of the mentioned tests.

**Key words:** standardization, antimicrobial testing, dental devices

## **Standardization of antimicrobial testing of dental devices**

**Lead:** Josette Camilleri<sup>1</sup>

**Sub-leads:** Cher Farrigia<sup>2,3</sup>, Christèle Combes<sup>4</sup>, Petros Koidis<sup>5</sup>, Cristina Neves<sup>6</sup>

**Participants:** Teresa Arias Moliz<sup>7</sup>, Ana Bettencourt<sup>8</sup>, Joana Costa<sup>6</sup>; Livia Visai<sup>9</sup>, Filomena Martins<sup>10</sup>, Diana Rabadijeva<sup>11</sup>, Daniel Rodriguez<sup>12</sup>

**Affiliations:** <sup>1</sup>*School of Dentistry, Institute of Clinical Sciences, College of Medical and Dental Sciences, University of Birmingham, Edgbaston, Birmingham, UK;* <sup>2</sup>*School of Clinical Dentistry, Claremont Crescent, University of Sheffield, Sheffield, United Kingdom;* <sup>3</sup>*Department of Restorative Dentistry, Faculty of Dental Surgery, University of Malta, Malta;* <sup>4</sup>*CIRIMAT, Université de Toulouse, CNRS, Toulouse INP – ENSIACET, Toulouse, France;* <sup>5</sup>*Department of Prosthodontics, School of Dentistry, Aristotle University of Thessaloniki, Greece;* <sup>6</sup>*Oral and Biomedical Sciences Research Unit (UICOB), Faculty of Dental Medicine, University of Lisbon, Portugal;* <sup>7</sup>*Department of Oral Microbiology, University of Granada, Granada, Spain;* <sup>8</sup>*Faculty of Pharmacy, University of Lisbon, Portugal;* <sup>9</sup>*University of Pavia, Department of Molecular Medicine, Center for Health Technologies (CHT), UdR of INSTM, Pavia, Italy;* <sup>10</sup>*Centro de Química e Bioquímica (CQB) and Centro de Química Estrutural (CQE), Department of Chemistry and Biochemistry, Faculty of Sciences, University of Lisbon, Portugal;* <sup>11</sup>*Bulgarian Academy of Sciences, Institute of General and Inorganic, Bulgaria;* <sup>12</sup>*Technical University of Catalonia / Dept. Materials Science, Spain.*

### **Corresponding author**

Josette Camilleri B.Ch.D., M.Phil., Ph.D., FICD, FADM, FIMMM, FHEA

School of Dentistry, Institute of Clinical Sciences

College of Medical and Dental Sciences

University of Birmingham

5, Mill Pool Way, Edgbaston

Birmingham B5 7EG

United Kingdom

[J.Camilleri@bham.ac.uk](mailto:J.Camilleri@bham.ac.uk)

## **Standardization of antimicrobial testing of dental devices**

### **1. Introduction**

There is no proposed definition of a dental device. Dental devices are part of the medical devices which are defined as any instrument, apparatus, appliance, software, material, or other article used in medical practice. This includes devices used alone or in combination with software necessary for diagnosis, prevention, monitoring, treatment or alleviation of disease (1). A dental device can thus also be similarly defined and includes the specific use in the oral cavity. A dental device is any foreign material or product that is introduced in the host oral cavity to replace missing tissues. It is a very broad term that encompasses several devices used in the oral cavity, which serve different purposes, interact with different tissues and are in contact with different oral environments. The oral cavity has various commensal microorganisms that are not pathogenic to the host. Thus, the desired level of antimicrobial activity of dental devices varies with the location, clinical use of the device and the environment in which it is placed and the time of implantation.

Various methods for testing antimicrobial activity of dental devices are described in different papers testing both established materials already in clinical use and prototypes that still need to be further evaluated. Due to the wide range of dental devices, it is necessary to classify and assess the clinical needs prior to assessing the antimicrobial activity. Furthermore, the level of testing should reflect the degree of risk posed on the host. Dental devices can also be classified differently if they have anti-microbiological effects either as a prevention device of future infections or as a treatment device used on already installed infection. Testing should enclose different microbiological characteristics of these different environments. A basic classification is proposed for dental devices as shown in Figure 1. This classification distinguishes

the devices on whether they are implantable or not, and sub-classified depending on their specific application and the substrate receiving the device.

In dentistry, failure in clinical management is mostly related to bacterial infection. Thus, all dental devices should be tested for antimicrobial properties. Unlike the testing of biological properties, there are no specific standards for the testing of antimicrobial properties.

The specific objectives of this paper are to (i) review the literature to identify how dental devices have been tested with relation to the microbial strains used and whether the testing has been performed in isolation or reported with other relevant tests such as material characterization and biological activity; (ii) suggest a level of standardization of antimicrobial testing for the dental devices based on the device location and host interaction.

## **2. Methodology**

### *2.1 Part 1: Literature review*

Based on the classification proposed in Figure 1, where dental devices were classified as implantable and non-implantable and sub-classified on the field of specialization and the contact with specific environments, searches were conducted using PubMed search engine for devices subdivided into 7 groups namely:

- Implantable for oral surgery
- Implantable for implantology
- Implantable for periradicular surgery
- Non-implantable for restorative dentistry-prosthodontics
- Non-implantable for restorative dentistry-operative dentistry
- Non-implantable for restorative dentistry-endodontics
- Non-implantable for orthodontics

For all the groups the following keywords were included: antimicrobial, antibacterial, dental/oral. Following this preliminary search, other terms that were more specific to each group were inserted to reduce the number of articles retrieved. Articles within a 10-year range were included *i.e.*, from 2008 to 2018. Articles not written in English, all review articles and articles where antimicrobials were added to the materials but the testing of other properties was undertaken were excluded. The key words and outputs are shown in Table 1.

For the oral surgery all the five searches indicated in Table 1 were undertaken. For the Implantology group, the output of 695 articles was further filtered to select the most relevant papers: articles with materials tested without specifying a dental application, use of bacterial strains not specific to oral applications, biomaterials not used to manufacture dental implants, or coatings for dental implants, materials/pieces not used for implantation in bone or to test/report medical treatment associated to dental implants or cases in which the antimicrobial testing was not significant, were excluded. These exclusion criteria led to the selection of 68 articles.

For the periradicular surgery the introduction of the term root-end reduced the search to 17 articles and six fulfilled the criteria. For the non-implantable materials, the introduction of specific key words also reduced the number of articles retrieved and all these were read and the exclusion criteria applied as indicated in Table 1.

The details per output included the author's first name, date of publication, full citation, the materials tested, the strains used, whether the testing was performed *in vitro*, *ex vivo* or *in vivo* and also details of any other testing performed.

## ***2.2 Part 2: Recommendations for antimicrobial testing***

Based on the results of the literature review, recommendations for antimicrobial testing of dental devices are given. These recommendations will take into consideration pre-testing parameters such as ageing and the method of pre-sterilization of the device that needs to be noted in every test. Furthermore, the

selection of the strain for both mono-species and multi-species testing and recommendations for the microbiological testing of the devices are made, and include *in vitro*, *ex vivo* and *in vivo* testing. Suggestions for integrated microbial and cellular testing and the need of material characterization including chemical characterization methods for bulk, surface, elution and degraded material and physical and mechanical characterization are also made.

### **3. Results**

After the inclusion and exclusion criteria were applied the articles to be included for each subgroup are shown in Table 1.

#### *3.1 Implantable devices*

##### 3.1.1 Periodontal and oral surgery

In the periodontal and oral surgery materials, after excluding implants, only 14 papers were selected and a further 2 were removed due to hits in more than one search term for the same paper. A total of 12 papers from the last 10 years, were therefore reviewed. Most papers investigated materials developed for use as guided tissue regeneration (GTR) or guided bone regeneration (GBR) membranes (10 papers) while only one of the reviewed papers investigated a scaffold and one investigated microspheres. None of the reviewed articles studied other types of grafts, gels or devices used in oral and periodontal surgery. *In vitro* methods are the most common method of antimicrobial testing, with variations of the agar diffusion test were the most commonly used antimicrobial test (6 publications) and most of the studies (8 publications) only tested for antimicrobial properties using one antimicrobial test. None of the reviewed literature used *ex vivo* methods and only one study used an additional *in vivo* test on Beagle dogs as an animal model. Nonetheless, this model was only used to assess histopathological tissue changes and not antimicrobial efficacy. *Fusobacterium nucleatum* and *Streptococcus mutans* were the most common



microorganisms used for antimicrobial testing (4 publications each) and all testing was done using single species infections. Although 7 different publications tested antimicrobial properties against multiple organisms, only one publication used 2 strains of the same microorganism.

Eight out of the 12 reviewed studies included material characterisation, as well as biological testing in addition to the antimicrobial testing. And out of all of the reviewed literature only 2 articles used or referred to the commercially available equivalent in their publication. Lack of standardization in antimicrobial testing of materials used in oral and periodontal surgery was observed with a large variation of organisms being tested and in one case the strains used were not even described. There is mostly disparity when testing for bone regenerative materials as some studies only test for typical osteomyelitis causing organisms such as *Staphylococcus aureus*, but do not include other typical oral microorganisms in their testing.

### 3.1.2 Dental implants

For the implantable devices, among the 68 papers related to the dental implants sub-group, 52 reported antimicrobial studies involving bacteria single species testing and 16 used bacteria multi-species together for the testing. *Porphyromonas gingivalis* (24 papers), *Streptococcus mutans* (19 papers), *Streptococcus sanguinis* (15 papers) and *Streptococcus gordonii* (10 papers) were the most used bacteria strains. All the 16 studies implementing more than one bacterial strain in the testing investigated biofilm formation. 55 papers reported *in vitro* microbiological testing, three of which also presented an *in vivo* study. One paper was dedicated only to *in vivo* assessment. The *in vitro* methods were dominated by colony forming unit (CFU) counting, followed by minimum inhibitory concentration (MIC) and MTT and live/dead. Twenty-seven of the papers included other biological assessment and 32 papers included characterization of the materials. Commercially pure titanium was by far the most common material tested (54 papers). Titanium alloy (Ti6Al4V, 4 papers), and

hydroxyapatite, PEEK, zirconia, and PLGA were almost single instance materials (one or two papers).

### 3.1.3 Endodontic corrective surgical materials

The root-end filling materials were only investigated for antimicrobial characteristics in 6 papers in the last 10 years. The papers investigated specifically hydraulic calcium silicate cements including mineral trioxide aggregate (MTA) and Biodentine and some antimicrobial additives such as silver nanoparticles and hinokitiol. In 5 papers *in vitro* methods including agar diffusion and the microdilution broth methods were used and only 1 paper used dentine substrate and conducted intratubular infection method thus using an *ex vivo* method. *Enterococcus faecalis* was the most frequent tested microorganism (6 papers); either as the only microorganism employed (4 papers) or with other oral bacteria. Two of the papers used more than one bacterial strain but only 1 used a polymicrobial culture. Only 1 paper carried out material characterization and biological testing of the materials.

## 3.2 *Non-implantable devices*

### 3.2.1 Restorative dentistry – Prosthodontics

In the group of prosthetic restorative materials, after search specifications were applied, only 4 articles were found that studied antimicrobial characteristics in the fixed prosthetic field – one in metal crown, and 3 in ceramics. The antimicrobial properties of surface treatments of each material, such as plasma, silver nanoparticles coating and polarization-induced treatments were studied. These *in vitro* studies used direct contact and CFU counting. *Streptococcus mutans* was used in all papers, either as the only tested microorganism (2 papers) or with other oral bacteria. Two papers that described new surface treatments also carried out a variety of structural and adhesion studies and biological testing.

On the contrary, in the removable prosthetic field, a considerable amount of work in the antimicrobial testing of acrylic dentures - 49 articles were found. So, this group was further subdivided into three sub-groups: drug loaded acrylic resins, drug exposure acrylic resins (e.g. testing after disinfection) and drug coated acrylic resins (e.g. denture adhesives).

Most of these studies tested for *Candida* species – mostly *Candida albicans*, which was referred to as the primary cause of denture-related stomatitis. Single *Candida albicans* was tested in 28 studies, 7 cultured from clinical samples. When multi species were chosen, *Streptococcus mutans* strains were the more relevant bacteria for antimicrobial tests (10 studies). Two studies tested only for *Staphylococcus aureus* and *Pseudomonas aeruginosa*, both focusing on bacteria that can form denture biofilm and can cause other systemic diseases like respiratory pneumonia. Five studies tested total clinical biofilm and one was a randomized clinical study.

Most of the *in vitro* testing consisted of only of one method, usually direct contact assays (e.g. CFU counting by agar plate culture method) and biofilm evaluation for bioactivity (dead/live staining – XTT reduction), biomass (crystal violet assay) and scanning electron microscopy (SEM). Nevertheless, at least 19 papers used two or three combined methods.

A considerable amount of studies of drug loaded acrylic resins also included material characterization and biological studies. Two studies of drug exposure or drug coated acrylic resins and also included biological or material characterization evaluation.

### 3.2.2 Restorative dentistry – Operative

In the group of restorative dentistry and operative materials/devices the initial search revealed also a great number of articles. In the sub-group for amalgam 64 were identified, for composite resin 59, for glass ionomer 329, while for luting

cements 132. After the application of inclusion and exclusion criteria, the secondary outcomes limited the articles to a total number of 135. More specifically, 7 articles for amalgam, 24 for composite resin, 93 for glass ionomer and 11 for luting cements. From the total 135 studies, 15 were *in vivo*, 6 *ex vivo* while 126 were *in vitro* or in combination with the rest. In 104/135 of all the papers *Streptococcus mutans* was the strain tested, primarily as single and in a significant % of papers exclusively (52/135). Characteristically, in the 93 papers for glass ionomer cements 4 papers didn't include *S. mutans* but instead other species (*L. casei* (n 2), *A. viscosus*, *C. albicans*, *S. milleri* and *E. faecalis*). In one study 11 species were involved (*S. mutans*, *S. salivarius*, *S. sorbinus*, *A. viscosus*, *A. naeslundii*, *A. odontolyticus*, *L. acidophilus*, *L. caesi*, *P. gingivalis*, *Prevotella intermedia*, *A. actinomycetemcomitans*), one study used 6 strains, three studies 5 while four studies 4 strains. Similarly, in the reviewed 24 papers for composite resins *S. mutans* was used in 16 (in 10/16 exclusively), in one study 6 different strains (*P. gingivalis*, *P. intermedia*, *P. nigrescens*, *A. actinomycetemcomitans*, *F. nucleatum* and *E. faecalis*), in one study 4 (*P. gingivalis*, *P. intermedia*, *A. actinomycetemcomitans* and *F. nucleatum*), while in 4 studies a dental plaque microcosm biofilm model was used.

For the *in vitro* testing, the methods usually applied were direct contact assays (e.g. CFU counting), ADT, BAT, ABCT and biofilm evaluation for bioactivity (dead/live staining – XTT reduction), biomass (crystal violet assay), adhesion assays, MTT (in 10 studies) or MTS, fluorescence or confocal imaging and SEM. NMR was used in one study and very limited ELISA and rtPCR (in 4 studies). Ageing procedures were applied in 7 studies and thermocycling in only 1.

### 3.2.3 Restorative Dentistry – Endodontics

For the non-implantable devices, sub-groups restorative dentistry and endodontics after the inclusion and exclusion criteria were applied, the quantity of

papers reduced significantly. Only 9 papers tested antimicrobial characteristics of pulp capping materials. From the 9 papers, 5 used the agar diffusion test to assess the antimicrobial activity; One evaluated the leachate rather than the materials themselves. The rest of the *in vitro* studies used the MIC, direct contact test and CFU counting. In general, the materials were tested using a variety of oral bacteria and bacteria related to dental caries. One paper used *E. faecalis* which is more linked to failed root canal therapy. Two of the papers included biological testing. None of the papers characterized the materials used. One of the papers was a clinical study isolating bacteria in samples of carious dentine *in vivo*. This was the only study that used a multispecies biofilm.

Inside the root canal, searches for endodontic filling materials yielded 40 articles that fell within the search specifications. Further searches for sealers and gutta-percha, resulted in finding more related papers. There were 13 papers published on antimicrobial activity of gutta-percha. Nine papers out of the total 13 that met the criteria used single species of *E. faecalis* for testing, 2 used more than 1 species but tested separately and only 2 used multispecies biofilm. Dentine substrate was used in 8 of the 13 papers. Although gutta-percha was tested in these studies, it was used either as control to test the efficacy of sealers used or else modified versions were investigated rather than the gutta-percha per se. Thus, although this material is used extensively in clinical endodontics, it is not tested very rigorously. For Resilon, another core filling material, only 2 papers met the criteria. Both papers used a single species of *E. faecalis* and dentine as a substrate was used in the antimicrobial testing. All the papers reviewed did not include any material characterization or any biological testing.

A considerable amount of work has been published in the antimicrobial testing of root canal sealers. Several prototype sealers have also been tried. So, this group was further subdivided into two, clinically available sealers and experimental sealers. This was done to be able to differentiate the testing undertaken for the

clinically available materials and the experimental ones. For the testing of the clinically available sealers, 53 out of 55 used a single species culture. Thirty four of the papers tested only one strain and 21 included other microorganisms associated with the endodontic infections and also *Candida albicans*. Most of the *in vitro* testing consisted of only one method. Three papers used both *in vitro* and *ex vivo* methods and 11 used only *ex vivo* methods that included dentine substrate. There were no *in vivo* studies. Three papers included material characterization and 3 biological testing.

For the experimental sealers, seven out of the eight papers used *E. faecalis* single microorganism for testing. All the methods employed were *in vitro* without using dentine substrate. Two studies included material characterization and 3 biological assessment of the materials. No literature on the antimicrobial properties of post materials has been found.

#### 3.2.4 Orthodontics

A significant amount of work has been published in the antimicrobial testing of materials for orthodontic application, divided in two major fields: drug-loaded orthodontic cement systems (e.g. resin-based or glass ionomer cements) and nanoparticles coated brackets/wires (e.g. silver). For the evaluation, 54 of the papers used a single species with all of them except one opting for the strain *Streptococcus mutans*. The remaining papers included other microorganisms associated with the oral cavity (e.g. *Streptococcus sanguinis*, *Porphyromonas gingivalis*) and also *Candida albicans*. Multi-species testing was performed in 6 papers which used human saliva as the inoculum resembling the microcosm oral biofilm environment. Additionally, in 1 paper, the presence of 16 gram-negative periodontal-related microorganisms were tested on metallic brackets. The *in vitro* testing consisted mainly of direct contact assays (e.g. agar diffusion assay) and biofilm evaluation (e.g. dead/live staining, SEM). Two papers evaluating orthodontic brackets used *ex vivo* methods that included extracted maxillary premolars. There were 14 *in vivo* studies with all of them

with humans except one using rats. Thirty-six papers included material characterization and 8 cytotoxicity studies.

### *3.3 Recommendations for standardization of antimicrobial testing*

Based on the results of the literature review, it is clear that there is a lack of standardization of testing at all levels. Furthermore, data such as ageing and pre-sterilization if any is not always included. Most of the studies in each field of research did not include material characterization nor cell biological assessment to evaluate the interplay between the antimicrobial efficacy and damage to the host. The following recommendations are thus being proposed to alleviate this lack of standardization.

#### 3.3.1 Pre-testing parameters

The following parameters need to be noted when undertaking antimicrobial testing of dental devices. These include the ageing of the specimens as well as the pre-sterilization method used, if any.

##### Ageing

Ageing of materials and devices refers to the gradual process in which the properties of a material, structure, or system, change (for better or worse), over time or with use, due to biological, chemical, mechanical or physical agents. Ageing affects the shelf life of a device and changes the device profile thus altering its characteristics. Artificial ageing may be necessary for a number of tests. If the material or device is artificially aged this must be done following standard methods. The accelerated ageing methods and the specific duration of the test should also be noted. For all devices, cycles of acidic/neutral/basic pH for a given time are necessary. In addition:

- For implantable devices incubation in simulated body fluid at  $37 \pm 1^\circ\text{C}$  is recommended;

- For non-implantable devices the dynamic ageing dependent on the specific application is recommended. This should follow specifications listed in ISO/TS 11405:2015 (2).

### Pre-sterilization

Pre-sterilization of the device is generally necessary prior to antimicrobial testing. Pre-sterilization should be performed following established standard protocols outlined in ISO standards and should be in line with the device use in the clinical setting. Importantly, the methods employed neither shall affect the material properties nor the antimicrobial properties. The ISO standards outline the use of ethylene oxide in ISO 11135-1:2007 (3), radiation in ISO 11137-1:2015 (4), moist heat in ISO 17665-1:2006 (5), dry heat in ISO 20857:2010 (6), low temperature sterilization and formaldehyde in ISO 25424:2009 (7) and liquid chemicals in ISO 14160:2011 (8). It is important that all microorganisms are eliminated and tests should be conducted to ensure a microorganism free device prior to the start of testing as indicated in the relevant ISO standards (9, 10).

### 3.3.2 Recommendations for antimicrobial testing

#### Description of the relevant strains

The testing can be performed using single or multiple microbial strains. The most relevant strains to be used for testing, categorized according to the material classification in Figure 1 are listed in Table 3. In each case there is only one strain suggested for use as first line material testing. The strains are chosen as they are native to the location where the material is being implanted. Since most of the oral infections are polymicrobial, it is also important to test the antimicrobial activity of the materials against multi-microbial cultures (Table 4) as second line testing procedure. Non-implantable devices in contact with the oral mucosa should also be tested for the relevant strains of fungi, like *Candida albicans* using ATCC 10231 strain.



## Microbiological testing

For microbiological assessment of devices used for dental applications, two sets of controls are necessary. A **positive** and a **negative** control are needed for the claim made and for each experimental technique undertaken. A positive control is any well-characterized material and/or substance that, when evaluated by a specific test method, demonstrates the suitability of the test system to yield a reproducible, appropriately positive or reactive response in the test system. For microbiological assessment, the positive control is an anti-infective material demonstrating X-log reduction in biofilm cells and planktonic bacteria. A negative control is any well-characterized material and/or substance that, when evaluated by a specific test method, demonstrates the suitability of the test system to yield a reproducible, appropriately negative, non-reactive or minimal response in the test system. The negative control can be used to define background values. For microbiological assessment, a material allowing maximal biofilm formation is suggested.

A specific threshold is not necessary for dental devices. However, one should take into consideration the conflicting properties of antimicrobial activity and the cytotoxicity caused by the device when in clinical use. Various levels of testing need to be undertaken including *in vitro*, *ex vivo* and *in vivo* testing.

For *in vitro* testing, at least **one** method from each sub-type should be employed as each method has its limitations. If only antimicrobial activity testing is being undertaken, then at least **two** methods from this sub-type should be used (Table 5). For tests listed in Table 5, the following should be noted:

- Time span
- Time of contact

Refer to Table 6 for specific details of tests.

For *ex vivo* testing (testing performed outside the living organism but using substrates obtained from the living organism before or after placement of a device) one basic methodology is the intra-tubular infection test for devices in contact with

infected dentin. The same methodologies as those employed for *in vitro* testing, *i.e.*, antimicrobial activity testing (Table 5), are to be used for *ex vivo* testing but including the related substrate in the assessment. At least **two** methods of assessment should be considered. The testing can be performed with the device placed in a living organism and retrieved for testing or the substrate can be extracted from the living organism prior to carrying out the test.

*In vivo* testing is all the testing that is performed clinically. Testing should be undertaken in the living organism. Such tests include *in vivo* imaging techniques in animal models, radiographic and/or computer tomography techniques and other clinical methods depending on the site being tested. The list of tests and their suitability depending on specific parameters are shown in Table 6.

### 3.3.3 Cell biological assessment

Elimination of microbial strains from a dental device can make this device cyto/geno-toxic. Thus, cell biological assessment should be carried out following recommendations set out in ISO 7405: 2008 (11) and ISO 10993-5: 2009 (12). The testing should be conducted on specific cell lines depending on the site of implantation of the device. Primary cells cultivated from living organism may be used for further testing. The suggested cell lines, depending on the site of implantation, are reported in Table 7. Three levels of testing are suggested including static tests, proliferation migration assays and genotoxicity testing. Finally integrated microbial and cellular testing should be also undertaken.

#### Static tests

At least **one** static test should be performed when evaluating a device used for dental applications. The seeded cells should be assessed by:

- Methylthiazolyldiphenyl-tetrazolium bromide (MTT) assay (13) or other closely related tetrazolium dye-based assays (14) including:

- methoxynitrosulfophenyl-tetrazolium carboxanilide (XTT) assay
- dimethylthiazol-carboxymethoxyphenyl-sulfophenyl-tetrazolium (MTS) assay
- water-soluble tetrazolium salts (WSTs)
- Scanning electron microscopy (SEM). This method allows visualization of morphological and attachment characteristics of cells grown in direct contact with material specimens
- Live-dead staining and confocal microscopy (CLSM)
- Colony forming unit (CFU) counting after rigorous vortexing and/or sonication (Tween 80® might be added for suspending the biofilm cells) (15)
- Cytotoxicity detection kit (lactate dehydrogenase-LDH)

#### Proliferation migration

A proliferation migration test may be performed when evaluating a device used for dental applications. The seeded cells should be assessed by:

- Transwell migration assay
- Cell cycle delay
- Apoptosis vs. necrosis
- DNA damage

#### Genotoxicity testing

Two types of genetic toxicology studies are considered important: 1) those measuring direct, irreversible, damage to the DNA that is transmissible to the next cell generation, (*i.e.*, mutagenicity); and 2) those measuring early, potentially reversible effects to DNA or to mechanisms involved in the preservation of the integrity of the genome (genotoxicity). *In vitro* genotoxicity tests are currently recognized by the Organization for Economic Co-operation and Development, OECD (16, 17).

### Integrated microbial and cellular testing

Using this method, the dental device under study and the controls will be first contaminated by immersion in a microbial inoculum in its corresponding growth medium. The suggested microbial strains are listed in Table 3. Next, microbial suspension is removed and samples are washed and cells representative of the studied tissue as defined in Table 7 are suspended in modified culture medium (supplemented with 2% of the appropriate microbial growth medium) and seeded on the microbe-coated surfaces. All experiments should be performed in triplicate for each type of surface.

#### 3.3.4 Material characterization methods

The characterization should be performed on set materials if the material requires mixing and has a setting reaction. The age of testing and any ageing procedures should be specified. The characterization shall include both bulk and surface characterization. If the material is degradable, elution and degradation tests should also be included.

### Chemical characterization

Chemical characterization needs to be performed in bulk and on the surface. The methods are suggested in Table 8. Furthermore, the nature of the leachate and degraded material also needs to be assessed.

#### *Bulk characterization*

The aim of bulk characterization is the determination of the chemical composition. For organic polymer-based materials characterization by **either** Fourier transform infrared (FT-IR) spectroscopy in attenuated total reflectance (ATR) mode **or** Raman spectroscopy should be used. Nuclear magnetic resonance (NMR) spectroscopy can be used both on solid and solubilized materials.

For inorganic materials **both** elemental and phase analyses need to be carried out. The suggested methods are inductive coupled plasma (ICP) spectroscopy or X-ray fluorescence analysis (XRF) for elemental analyses and FTIR spectroscopy for identification at the molecular level. Crystalline materials may be identified with X-ray diffraction analyses (XRD). The chemical characterization methods are outlined in ISO 10993-18:2009 (18).

#### *Surface characterization*

Surface characterization shall be carried out to identify thin and ultra-thin coatings and their functionalization. The method of choice is X-ray photoelectron spectroscopy (XPS) or time of flight secondary ion mass spectrometry (TOF-SIMS). In some cases, Fourier transform infrared spectroscopy (FT-IR) in the ATR mode **or** Raman spectroscopy may be used. Surface phase(s) may be analyzed by small angle X-ray scattering (SAXS) or grazing angle X-ray diffraction (GIXD).

#### *Characterization of elution/degraded materials*

If the device is not inert or it is designed to be absorbed *in vivo*, the degradation behavior is suggested to be characterized. Degradation and elution are performed according to ISO 10993-9:2009 (19), ISO 10993-13:2010 (20), ISO 10993-14:2009 (21) and ISO 10993-15:2009 (22). For leachables from organic devices outlined in ISO 10993-13:2010 (20) mass spectroscopy is the suggested method of assessment. For inorganic leachables outlined in ISO 10993-14:2009 (21) and ISO 10993-15:2009 (22) inductively coupled plasma (ICP) spectroscopy is suggested.

If the device is degradable and wear particles are released, the particle size distribution of these particles needs to be assessed. The suggested methods are laser diffraction granulometry, dynamic light scattering or scanning electron microscopy analysis.

## Physical and mechanical characterization

The physical and mechanical characterization of devices used for dental applications is defined in a number of ISO standards based on the material chemistry and/or specific use. Since the properties of the material surfaces and the bulk materials may be different, additional test methods pertinent to surface characterization are also included together with release assay studies.

### *Test methods for implantable materials*

Dentistry -- Membrane materials for guided tissue regeneration in oral and maxillofacial surgery. ISO 22803:2004 (23)

Dentistry -- Implantable materials for bone filling and augmentation in oral and maxillofacial surgery -- Contents of a technical file. ISO 22794: 2007 (24).

Dentistry -- Screw loosening test using cyclic torsional loading for implant body/implant abutment connection of endosseous dental implants. ISO/TR 18130: 2016 (25).

Dentistry -- Implants. Dynamic loading test for endosseous dental implants. ISO 14801: 2016 (26).

Dentistry -- Magnetic attachments. ISO 13017: 2012/Amd 1: 2015 (27).

### *Test methods for non-implantable materials*

Dentistry -- Zinc oxide/eugenol cements and zinc oxide/non-eugenol cements. ISO 3107: 2011 (28)

Dentistry -- Water-based cements -- Part 1: Powder/liquid acid-base cements. ISO 9917-1: 2007 (29)

Dentistry -- Water-based cements -- Part 2: Resin-modified cements. ISO 9917-2: 2010 (30).

Dentistry -- Polymer-based pit and fissure sealants. ISO 6874: 2015 (31).

Dentistry -- Polymer-based restorative materials. ISO 4049: 2009 (32).

Dentistry -- Dental amalgam. ISO 24234: 2015 (33).

Dentistry -- Root canal sealing materials. ISO 6876: 2012 (34).

Dentistry -- Root-canal obturating points. ISO 6877: 2006 (35).

Dentistry -- Base polymers -- Part 1: Denture base polymers. ISO 20795-1: 2013 (36).

Dentistry -- Soft lining materials for removable dentures -- Part 1: Materials for short-term use. ISO 10139-1: 2005 (37).

Dentistry -- Soft lining materials for removable dentures -- Part 2: Materials for long-term use. ISO 10139-2: 2016 (38).

Dentistry -- Metallic materials for fixed and removable restorations and appliances. ISO 22674: 2016 (39).

Dentistry -- Ceramic materials. ISO 6872: 2015 (40).

Dentistry -- Polymer based crown and veneering materials. ISO/CD 10477: 2017 (41).

Dentistry -- Polymer-based luting materials containing adhesive components. ISO/DTS 16506: 2017 (42).

Dentistry -- Brackets and tubes for use in orthodontics. ISO 27020: 2010 (43).

Dentistry -- Coiled springs for use in orthodontics. ISO 17254: 2016 (44).

Dentistry -- Wires for use in orthodontics. ISO 15841: 2014 (45).

Dentistry -- Base polymers -- Part 2: Orthodontic base polymers. ISO 20795-2:2013 (46).

Dentistry -- Elastomeric auxiliaries for use in orthodontics. ISO 21606: 2007 (47).

Dentistry -- Guidance on colour measurement. ISO/TR 28642: 2011 (48).

### *Physical characterization of surfaces*

Physical surface characterization should be carried out to get details regarding the physical properties, such as structure, roughness and wettability. The methods of choice are surface profilometry, atomic force microscopy (AFM) or

scanning electron microscopy (SEM) to obtain information about the homogeneity and roughness of the surface, whilst contact angle measurements will allow the evaluation of the hydrophobicity of the surface (wettability). Physical properties should be analyzed both on freshly prepared surfaces and on aged ones to assess the influence of various factors on the stability and properties of the surface.

#### *Mechanical testing of surfaces and coatings*

The mechanical properties of the surface of a dental device are evaluated by testing the microhardness, scratch testing and adhesion of coatings. The microhardness testing for metallic dental devices is described in ISO 6507: 2005 (49). This test method can be used for other material types ensuring that the number of replicates is higher with resin materials. Non-metallic material surfaces need to be polished to a high level to enable the accurate measurement of the indentation created by the indenter. If an alternative indenter to the Vickers is used the values can be converted using appropriate tables (50). Scratch testing is valuable to assess ultra-thin coatings. All the testing is summarized in ISO 1518: 1992 (51). There are no specific standards to test dental devices for both scratch testing and determination of bond strength of coatings to the device surfaces.

#### *Release assay studies*

The need for pharmaceutical testing of the device will depend on the material properties. Devices used for dental applications may be classified as whether having or not an active component. The former are further sub-classified as releasing or non-releasing systems. *In vitro* release assays are necessary for the devices releasing active components. The release assays will depend on the chemistry of the components released. If the device is being aged, the artificial ageing method should be specified. Several factors affect the release assay. These factors include:



- The medium
- Time span
- Temperature
- Static vs. dynamic test (indicate type and frequency)
- Condition of device (whether the device is subject to compressive or tensile stress)
- Sample shape
- Volume of solution vs. sample surface area ratio
- Cumulative testing vs. batch testing
- Solubility of the active component released in the medium (SINK conditions recommended)

These factors should be noted in the report.

The assays performed depend on the nature of the component being released. Organic components should be assessed by High Performance Liquid Chromatography (HPLC) or Ultraviolet (UV) spectrometry. Inorganic components should be assessed by inductively coupled plasma (ICP) spectroscopy. Testing of specific drugs should be performed based on the European Pharmacopoeia (52). Testing for peptides should be performed using either peptide competition assay (PCA) or colorimetric peptide assay. Validation of the analytical methods should be written in the report. For active releasing compounds, neutralization of the antimicrobial agent must be undertaken prior to testing (53).

### 3.3.5 Statistical considerations

Statistical analysis may vary taking into consideration the following:

- Nature of the material: manually mixed and prepared specimens will need a higher sample number than machined specimens;
- Sample size: appropriate statistical analyses will have to take into consideration the sample size;

- Qualitative vs. quantitative analyses. For all analyses, the sample should be homogenous and representative of the material;
- The specimen size should be appropriate to the specific use and the test performed as it affects the statistical analyses.

At least a minimum number of three specimens should be tested. Depending on the test performed, at least three independent measurements should be done per specimen and three replicates should be considered. The replicate testing should be performed by the same operator in the same conditions. For details of statistical analyses refer to ISO 2602:1980 (54). Level of significance of statistical tests should be set at 5% or inferior ( $p$  value  $\leq 0.05$ ).

## **Conclusions**

This document outlines the recommendations for characterization and standardization of antimicrobial testing of dental devices. The methods suggested are established methods that have been thoroughly tried and tested and are thus reliable. The full testing methodology is shown in Figure 2.

## **Acknowledgements**

COST action TD 1305: IPROMEDAI- Improved Protection of Medical Devices  
Against Infection.

The following are also acknowledged for their contribution in the discussions:

Moter Annette, Charité-Universitätsmedizin Berlin, Germany; Thevissen Karin, KU, Leuven, Belgium; Furtos Gabriel, Raluca Ripan Institute of Research in Chemistry, Babes-Bolyai, University, Romania; Gameiro Paula, Faculdade de Ciências da Universidade do Porto, Portugal; Katsikogianni Maria, Richmond Building, Department of Chemistry and Biosciences, RKT Centre, Advanced Materials Engineering, University of Bradford, UK; Locs Jannis, Rudolfs Cimdins Riga, Biomaterials Innovations and Development Centre, Riga Technical University, Riga, Latvia; Miskovic Stankovic Vesna, Department of Physical Chemistry and Electrochemistry, University of Belgrade, Faculty of Technology and Metallurgy, Belgrade; Chakraborti Soumyananda, Malopolska Centre of Biotechnology Krakow, Poland; Çimenoglu Huseyin, Istanbul Technical University, Istanbul, Turkey; Farzaneh Moghtader, Zanjan University of Medical Sciences, Turkey; Albu Kaya Amadalina, INCOTP – Division ICPI, Collagen Department, Bucharest, Romania; Pavilan Cornelia, University of Basel, Switzerland; Bakopoulou Athina, Department of Prosthodontics, School of Dentistry, Aristotle University of Thessaloniki, Greece, Bousnaki Maria, Department of Prosthodontics, School of Dentistry, Aristotle University of Thessaloniki, Greece.

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40. International Standards Organization. Dentistry -- Ceramic materials. ISO 6872:2015
41. International Standards Organization. Dentistry -- Polymer based crown and veneering materials. ISO/CD 10477:2018.
42. International Standards Organization. Dentistry -- Polymer-based luting materials containing adhesive components. ISO/TS 16506:2017.

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Table 1: Summary of keywords searched for each topic and number of outputs

Classification of device		Key words	Articles	After exclusion
		Antimicrobial, OR Antibacterial, AND Dental, OR Oral	1038156	Not searched
Implantable	Oral surgery	Antimicrobial, OR Antibacterial, AND Periodontal surgery materials	106	9
		Antimicrobial, OR Antibacterial, AND Oral graft materials	55	1
		Antimicrobial, OR Antibacterial, AND Oral guided tissue regeneration membrane	91	4
		Antimicrobial, OR Antibacterial, AND Periodontal surgery gel	27	0
		Antimicrobial, OR Antibacterial, AND Oral surgery gel	55	0
	Implantology	Antibacterial OR antimicrobial AND (Dental OR Oral) AND Implant NOT Review	695	68
	Peri-radicular surgery	Antimicrobial, OR Antibacterial, AND Endodontics	1757	Not searched
		Antimicrobial, OR Antibacterial, AND Endodontics AND Root-end	17	6
Non-implantable	Restorative Dentistry-Prosthodontics	Antimicrobial OR Antibacterial AND (Dental OR Oral) AND Prosthodontics	1738	Not searched
		Antimicrobial, OR Antibacterial, AND (Dental OR Oral) AND Prosthodontics AND Acrylic Dentures	124	49
		Antimicrobial OR Antibacterial, AND (Dental OR Oral) AND prosthodontics AND Ceramics	79	3
		Antimicrobial OR Antibacterial, AND (Dental OR Oral) AND Metal Crowns	66	1
	Restorative Dentistry-Operative	Antimicrobial, OR Antibacterial, AND Dental Amalgam	64	7
		Antimicrobial, OR Antibacterial, AND Dental Composite	59	24
		Antimicrobial, OR Antibacterial, AND Dental Glass Ionomer	329	93
		Antimicrobial, OR Antibacterial, AND Luting	132	11
	Restorative Dentistry-Endodontics	Antimicrobial, OR Antibacterial, AND Endodontics	1757	Not searched
		Antimicrobial, OR Antibacterial, AND Endodontics, AND Pulp Capping Materials	46	9
		Antimicrobial, OR Antibacterial, AND Endodontics, AND Endodontic Filling Materials	98	40
		Antimicrobial, OR Antibacterial, AND Endodontics, AND Gutta-percha	63	13
		Antimicrobial, OR Antibacterial, AND Endodontics, AND Resilon	12	2
		Antimicrobial, OR Antibacterial, AND Endodontics, AND Sealers	91	63
		Antimicrobial, OR Antibacterial, AND Endodontics, AND Posts	2	0
	Orthodontics	Antimicrobial, OR Antibacterial, AND (Dental OR Oral) AND Orthodontics	635	Not searched
		Antimicrobial, OR Antibacterial, AND (Dental OR Oral) AND Orthodontics AND Brackets AND wires	177	61

Table 2: Summary of the details included in the papers searched

Device location	Specialization	Device tested	Articles included	Species		Testing performed			Other tests	
				single	multi*	<i>in vitro</i>	<i>ex vivo</i>	<i>in vivo</i>	Character-ization	biological testing
Implantable	Oral Surgery	Oral GTR and GBR membranes	10	10	0	10	0	1	6	6
		Oral grafts	2	2	0	2	0	0	2	2
		Oral and periodontal gels	0	0	0	0	0	0	0	0
		Dental implants	68	52	16	55	10	3	32	16
		Root-end	6	5	1	5	1	0	1	1
Non-implantable	Prosthodontics	Acrylic dentures	49	44	5	48	0	1	15	6
		Ceramics	3	2	1	3	0	0	2	2
		Metal crowns	1	1	0	1	0	0	0	0
	Operative dentistry	Dental amalgam	7	6	1	4	2	1	0	2
		Dental composite resin	24	18	6	23	1	0	0	4
		Dental glass ionomer	93	91	2	90	2	13	0	0
		Luting cements	11	11	0	9	1	1	1	1
	Endodontics	Pulp preservation	9	8	1	8	0	1	0	2
		Gutta-percha	13	11	2	5	8	0	0	0
		Resilon	2	2	0	0	2	0	0	0
		Sealers	55	53	2	46	12	0	3	3
		Experimental sealers	8	7	1	8	0	0	2	3
		Posts	0	0	0	0	0	0	0	0
	Orthodontics	Brackets/wires	61	54	7	46	2	14	36	8

\*Multispecies testing indicates the use of a polymicrobial culture not testing using different strains separately

Table 3: Specific strains suggested for first line device testing depending on location and procedure performed

Device	Procedure	Substrate	Strain	ATCC number
Implantable	Oral Surgery	Bone	<i>S. aureus</i> / <i>P. gingivalis</i>	25923/ 33277
	Implantology	Bone	<i>P. gingivalis</i>	33277
	Periapical Surgery	Tooth (Dentin)	<i>E. faecalis</i>	29212
Non- Implantable	Restorative Dentistry	Oral Mucosa	<i>S. oralis</i>	10557
		Tooth (Enamel)	<i>S. mutans</i>	25175
		Tooth (Dentin-Crown)	<i>S. mutans</i>	25175
		Tooth (Dentin-Root)	<i>E. faecalis</i>	29212
	Orthodontics	Oral Mucosa	<i>S. oralis</i>	10557
		Tooth (Enamel)	<i>S. mutans</i>	25175

Table 4: Specific strains suggested for multi species microbial testing depending on location and procedure performed

Device	Procedure	Substrate	Strain	ATCC number
Implantable	Oral Surgery	Bone	<i>P. gingivalis</i> <i>F. nucleatum</i> <i>P. intermedia</i>	33277 25586 25611
	Implantology	Bone	<i>P. gingivalis</i> <i>F. nucleatum</i> <i>P. intermedia</i>	33277 25586 25611
	Periapical Surgery	Tooth (Dentin)	<i>E. faecalis</i> <i>F. nucleatum</i> <i>A. naeslundii</i>	29212 25586 12104
Non-Implantable	Restorative Dentistry	Oral Mucosa	<i>S. oralis</i> <i>S. gordonii</i> <i>S. sanguinis</i>	10557 10558 10556
		Tooth (Enamel)	<i>S. mutans</i> <i>S. sobrinus</i> <i>A. naeslundii</i>	25175 33399 12104
		Tooth (Dentin-Crown)	<i>S. mutans</i> <i>S. sobrinus</i> <i>A. naeslundii</i>	25175 33399 12104
		Tooth (Dentin-Root)	<i>E. faecalis</i> <i>F. nucleatum</i> <i>A. naeslundii</i>	29212 25586 12104
	Orthodontics	Oral Mucosa	<i>S. oralis</i> <i>S. gordonii</i> <i>S. sanguinis</i>	10557 10558 10556
		Tooth (Enamel)	<i>S. mutans</i> <i>S. sobrinus</i> <i>A. naeslundii</i>	25175 33399 12104

Table 5: *In vitro* testing methods for testing dental devices

Testing type	Testing methodology	Observations
<b>Basic tests</b>	Agar diffusion Direct contact test Minimum inhibitory concentration (MIC) Minimum bactericidal/fungicidal concentration (MBC/MFC) Biofilm inhibitory concentration (BIC)	For biofilm inhibitory concentration (BIC), the remaining biofilm after drug-treatment needs to be vortexed before evaluation
<b>Antimicrobial activity testing</b>	Colony forming unit counting Confocal laser scanning microscopy (CLSM) Enzymatic activity Fluorescence in situ hybridization (FISH) Nucleic acid techniques e.g. Polymerase chain reaction (PCR)	The antimicrobial activity tests need to be performed either for direct or indirect contact. For the indirect contact, the materials releasing antimicrobials need to be previously incubated with a buffer in a time-dependence mode. The supernatant will be then incubated with the microbial suspension as well as the buffer treated materials.



Table 7: Cell lines suggested for use to test devices for dental applications

Device	Procedure	Substrate	Tissue	Cell line	ATCC number
Implantable	Oral Surgery	Bone	Bone	MG- 63	CRL-1427
	Implantology	Bone	Bone	MG- 63	CRL-1427
	Periapical Surgery	Tooth (Dentin)	Bone	MG- 63	CRL-1427
Non-Implantable	Restorative Dentistry	Oral Mucosa	Fibroblasts	L929	CCL-1
		Tooth (Enamel)	N/A	N/A	N/A
		Tooth (Dentin-Crown)	N/A	N/A	N/A
		Tooth (Dentin-Root)	N/A	N/A	N/A
	Orthodontics	Oral Mucosa	Fibroblasts	L929	CCI-1
		Tooth (Enamel)	N/A	N/A	N/A

N/A: non-applicable

Table 8: Suggested physico-chemical analyses for both bulk and surface testing of devices

Material	Metal				Polymer				Ceramic				Natural Material			
Type	B	C	F	R	B	C	F	R	B	C	F	R	B	C	F	R
XRF	x	x	x	x					x	x	x	x	x	x	x	x
NMR					x	x	x	x					x	x	x	x
ICP	x	x	x	x					x	x	x	x	x	x	x	x
XRD	x	x	x	x					x	x	x	x	x	x	x	x
FTIR- ATR		x	x		x	x	x	x	x	x	x	x	x	x	x	x
RAMAN		x	x		x	x	x	x	x	x	x	x	x	x	x	x
XPS		x	x			x	x			x	x			x	x	
TOF SIMS		x	x			x	x			x	x			x	x	

B: Bulk; C: Coating; F: Functionalization; R: Resorbable



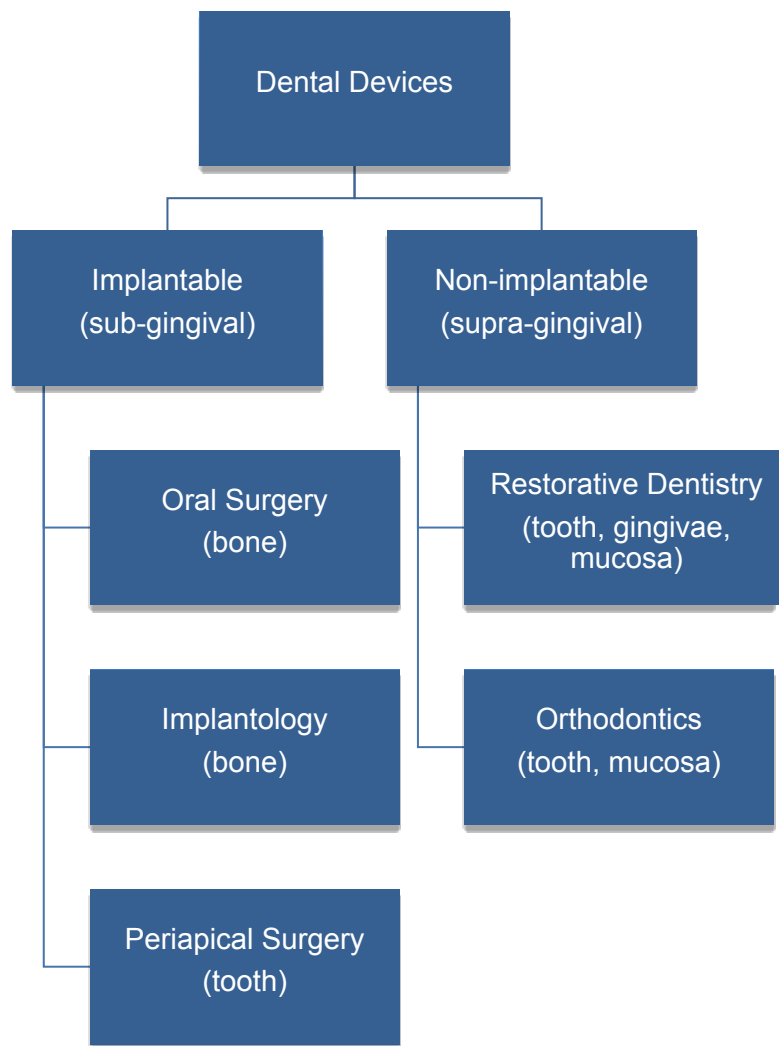


Figure 1: Schematic representation of the classification of devices for use in dentistry taking into consideration the specific use and substrate where the device is placed

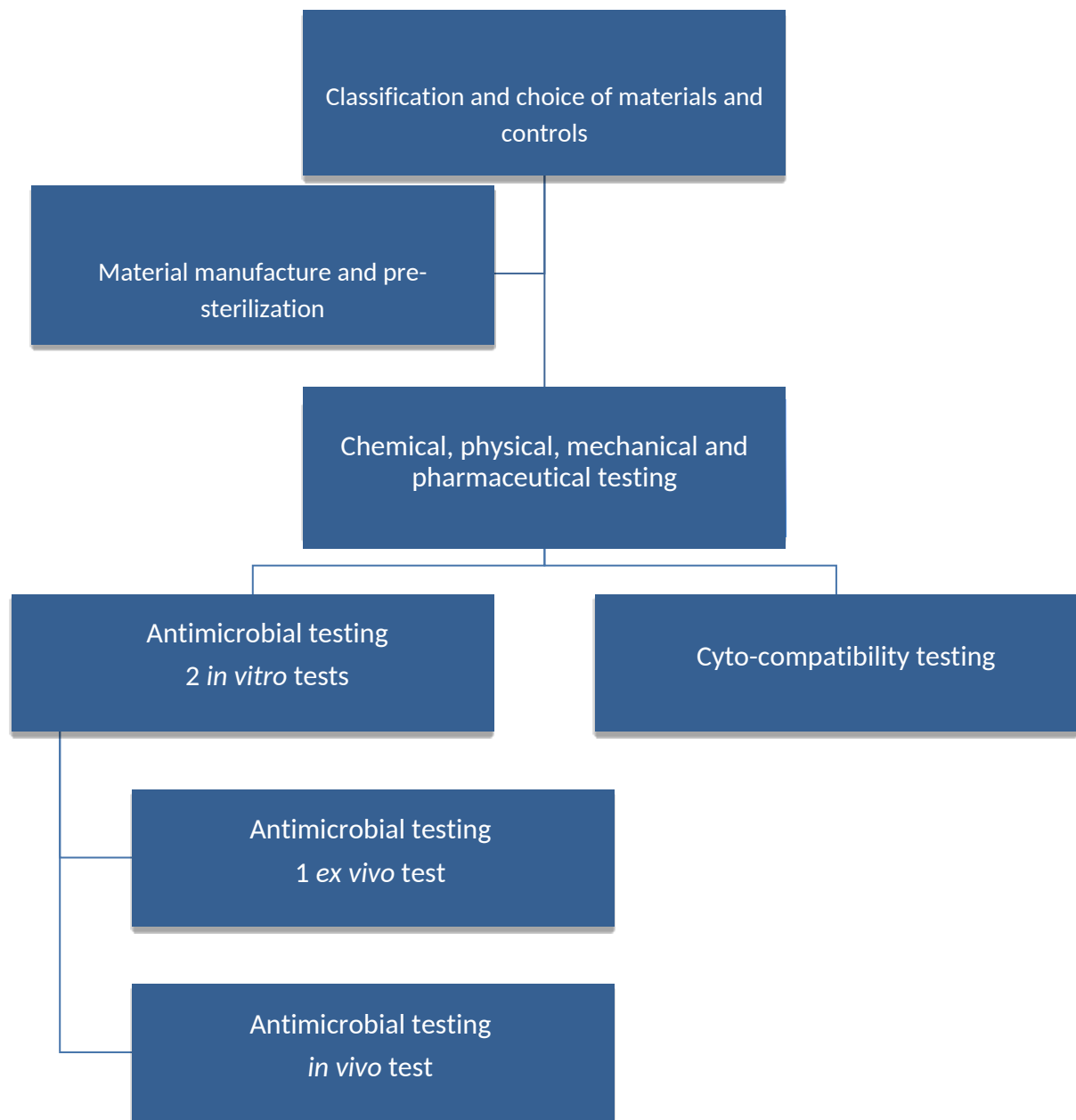


Figure 2: Testing methodology proposed