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A step towards the live identification of pipe obstructions in channels with the use of passive acoustic emission and supervised machine learning

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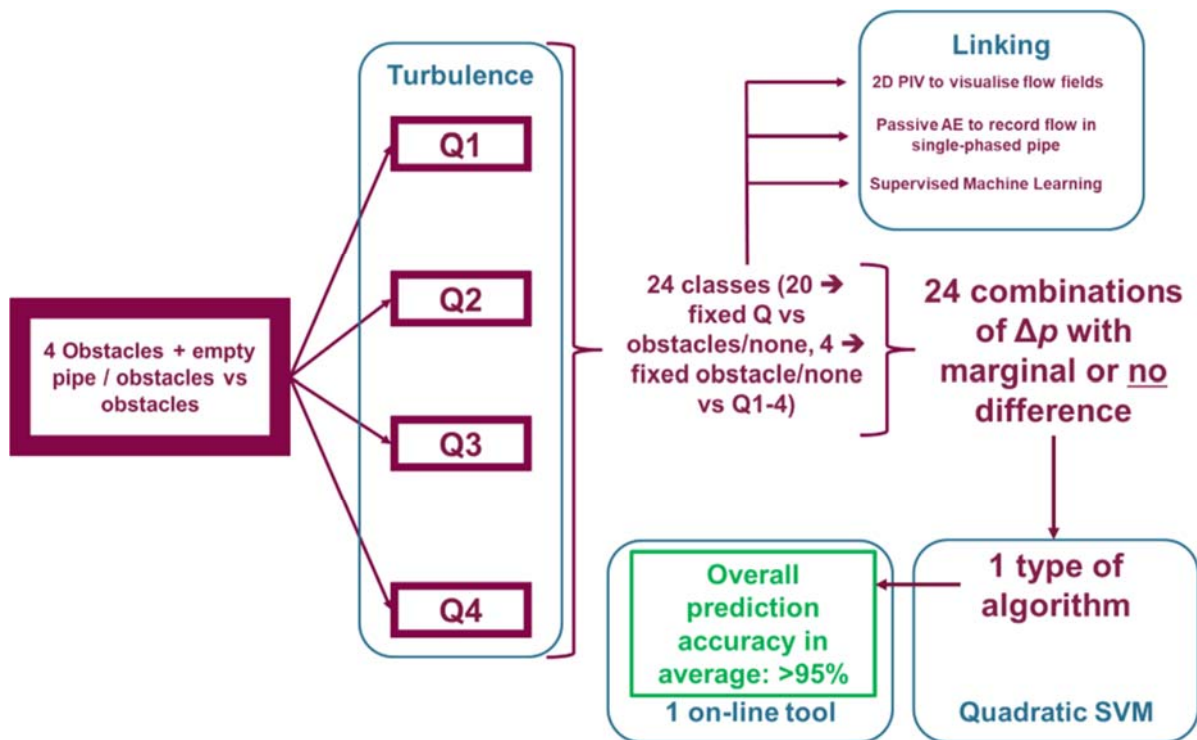
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Abstract

A single passive acoustic emission sensor is used to collect signals coming from an obstructed pipe in a water recirculation system. Four geometrically different obstructions are under investigation. The flow field of water around each obstruction is visualised with the use of 2D Particle Image Velocimetry to identify the different flow features. In parallel, the acoustic emission signals have been acquired by locating a piezoelectric sensor on the outer wall of the pipe at the tip of the obstruction. The acoustic emission signals are then pre-processed and the frequency domain is extracted for 100 recordings in each case. Signals are processed further by using Principle Component Analysis and a matrix is created for Supervised Machine Learning algorithms. This methodology is applied over a range of four flow rates, all in fully developed turbulent flow.

Results show that different obstructions generate different acoustic signals and flow fields, which reflect the different flow fields observed with PIV. The average velocity and amplitude of the acoustic signals are increasing in magnitude with an increase in flow rate. The machine-learning algorithm with highest prediction values is quadratic SVM with predictions in the area of 95% accuracy or above. This makes the combination of machine learning and a single passive acoustic sensor a viable option to predict pipe obstructions and the type of obstruction. This may lead to a useful application for urban water supply or sewage system as well as agricultural practice for field irrigation or the detection of nozzle blockages.

Graphical Abstract



Keywords

Obstruction; hydrology; pipe blockage; machine learning; Particle Image Velocimetry; acoustics

Research Highlights

- Online tool to predict blockages in pipes.
- The use of a single acoustic emission sensor.
- Combination of supervised machine learning and passive acoustics.
- Prediction accuracies of 95% and more.

Symbols and abbreviations

Roman

A_{circle}	Area of the pipe
b	A scalar in the hyperplane equation. A physical quantity that does not change with changes in position or orientation
D	Diameter
d_{pipe}	Internal diameter of the pipe
H	Height
L	Length
L_p	Length of the interrogation area
v_{max}	maximum velocity in the pipe
$v_{\text{superficial}}$	Superficial velocity in the pipe
\dot{V}	Volumetric flow rate
W	Normal vector to the hyperplane (W, b)
X	Position vector
Y	Peak Intensity

Greek

Δt	Time difference of laser pulses
η_{fluid}	Dynamic viscosity
ρ	Density

Acronyms

AE	Acoustic emission
AI	Artificial Intelligence
AVG%	arithmetic mean percentages
CCD	Charge-coupled device
CLA	Classification Learner Application
FFT	Fast Fourier Transform
H	Height
ID	Internal Diameter

k-NN	k-nearest neighbour, a machine learning classifier
IoT	Internet of things
Nd:Yag	Neodymium-doped yttrium aluminium garnet
ML	Machine Learning
PIV	Particle Image Velocimetry
SVM	Support-Vector Machine

1 1. Introduction

2 Water is the most precious resource on the planet and even subject to several local conflicts on
3 the globe (Gleick, 2014; Lohmar, Wang, Rozelle, Huang, & Dawe, 2003; Treszkai, 2018).
4 Agriculture is by distance the largest sector in water consumption, by using up 60% to 90% of
5 the total water available from human use (Thenkabail, Hanjra, Dheeravath, & Gumma, 2017).
6 Also with projections of population increase and climate change will make this resource scarcer
7 than ever before.

8 That makes it necessary to create effective engineering solutions to limit losses and detect
9 faulty supply systems. Blocked water pipes will have a negative impact on field irrigation and
10 livestock. Also, recent trends towards intelligent precision farming makes it necessary to
11 develop online tools to check farming systems, improve farming practice and increase
12 sustainability. The so-called internet of things (IoT) or algorithm driven systems (machine
13 learning, artificial intelligence (AI)) support this trend (The Economist Newspaper Limited,
14 2016).

15 This paper explores the combination of passive acoustic emission sensing (AE) and supervised
16 machine learning to create prediction algorithms for different pipe obstructions and flow rates.

17 This is a first approach to combine a patent pending mechanical design, a single passive
18 acoustic emission sensor and supervised machine learning to detect changes of the fluid (not
19 the structure (i.e. the pipe wall)) to detect blockages in a unbranched pipe system.

20 The flow field is visualised by using 2D Particle Image Velocimetry (PIV) and the pressure
21 drop is measured for each obstacle.

22 For the measurements of acoustic emissions, there are two sensor systems available. Such
23 sensors can either be *active*, meaning they are based on an emitter-receiver system, or *passive*.
24 Where *active* acoustic emission sensors measure the change of introduced acoustic waves
25 (sometimes referred as slot waves) over the distance from the emitter to the receiver, *passive*
26 acoustic emission sensors only detect the acoustic emission that the process emits itself (Boyd
27 & Varley, 2001). Hence, *active* acoustic emission is based on an active energy input whereas
28 *passive* acoustic emission simply measures the energy release.

29 Although *active* acoustic emission sensors are more present in research and in industry they
30 face several challenges, which include loss of signals due to obstructions, bubbles, distance or
31 simply not reaching the necessary penetration depth (Borenstein & Koren, 1988; Hauptmann,
32 Hoppe, & Püttmer, 2002). Overall, *active* acoustic emission sensors prove to give good
33 predictions on factors such as flow rate, degree of gassing or solid content. This technology
34 works well for Newtonian and non-Newtonian fluids (Kotzé, Ricci, Birkhofer, & Wiklund,
35 2016; Rahman, Håkansson, & Wiklund, 2015).

36 There have been several attempts to make use of acoustic emission data to gain a greater
37 understanding of pipe flow (Joseph D. Butterfield, Collins, Krynkin, & Beck, 2017; Hou, Hunt,
38 & Williams, 1999; Li, Song, & Zhou, 2018). However, work has mainly been focussed on
39 active acoustic emission (Borodina, Zaitsev, & Teplykh, 2018; Das, Das, & Mazumdar, 2013),
40 multiphase flow (Hou et al., 1999; O'Keefe, Maron, Felix, van der Spek, & Rothman, 2010)
41 or water (Khulief & Khalifa, 2012; Li et al., 2018; Martini, Troncossi, & Rivola, 2016).

42 *Passive* acoustic emission sensors are used for leak detection in water pipes by employing in-
43 pipe hydrophones (Chatzigeorgiou, Youcef-Toumi, & Ben-Mansour, 2015; Khulief & Khalifa,
44 2012) or by aiming to recognise acoustic patterns based on the signals of a series of sensors (Li
45 et al., 2018). Other work on passive acoustic emission sensing has mainly focused on

46 multiphase systems (Finfer et al., 2015; Hou et al., 1999; O’Keefe et al., 2010). However, no
47 solutions have been created so far on enclosed, fully flooded and single-phased pipe systems.
48 This also includes creating a new system to use passive acoustic emission sensing as a mean to
49 get information about fluid flow fields and as a tool for process control.

50 In addition, all know passive acoustic emission technologies are based on clamp on solutions
51 and at least 2 sensors (J.D. Butterfield, Krynkin, Collins, & Beck, 2017). The solution proposed
52 is only based on the application of a single passive acoustic emission device that is capable to
53 predict blockages upstream. The technology looks at changes to the acoustic information of the
54 fluid (by implementing a T shaped pin) and not like traditional approaches to structural changes
55 of the pipe. To overcome issues around attenuation (Martini, Rivola, & Troncossi, 2018; Pavić,
56 1992) the T shaped pin is made from metal, however, can easily be incorporated in other
57 materials. Other technologies to overcome attenuation problems can be overcome by
58 computational solutions and pattern recognition technologies, however, do require additional
59 computational power (Sause, Gribov, Unwin, & Horn, 2012). Another advantage of the
60 technology compared to hydrophones is that the sensor is placed on the outer wall of the pipe.
61 Hydrophones are in-pipe solutions, which makes it difficult to maintain or replace such
62 equipment. A hydrophone are microphones that have been waterproofed which makes them
63 suitable to be immersed in fluids. By positioning the hydrophone close to a suspected leak or
64 by applying those inside a pipe system the distinctive sound of water escaping can be detected.
65 Hydrophones can additionally be used to listen for leaks in pressurised pipe systems that run
66 close to the wall (Khalifa, Chatzigeorgiou, Youcef-Toumi, Khulief, & Ben-Mansour, 2010)
67 and work for plastic piping well (Hunaidi & Chu, 1999).

68 A key challenge making use of such data is the sheer volume of incoming data points. To make
69 use of such complex and high-volume data machine learning can be used.

70 The term *machine learning* (ML) applies to artificial systems that can generate knowledge
71 based on experience. Such systems learn on given, and to the problem representative, examples.
72 It is the system’s task to create logical paths or identify logical patterns to the given problem.

73 In general, *machine learning* can either solve classification or regression problems. In the first
74 case *machine learning* aims to classify data into classes, such as fault classification. Regression
75 problems are those where the system will be asked to provide future predictions of a continuous
76 variable (e.g. temperature trends) (Kubat, 2015; Moraru, Pesko, Porcius, Fortuna, & Mladenic,
77 2010).

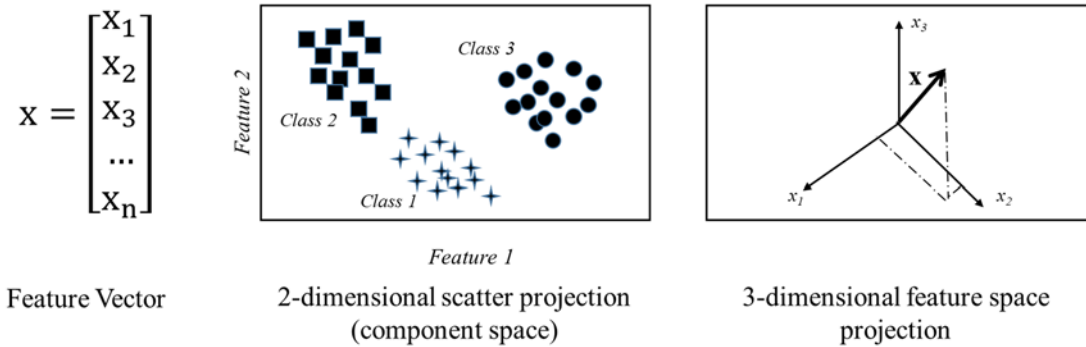
78 In the end of this process, the system will create a general algorithm/ system that is capable to
79 solve problems to previously unseen datasets. The system/ algorithm can also evaluate
80 unknown datasets (learning transfer) or fail to learn unknown data (overfitting) (Koza, Bennett,
81 Andre, & Keane, 1996; Reitmaier, 2015).

82 Based on the methodology ML approaches a problem and makes use of datasets three types of
83 ML learning algorithms can be distinguished; namely *supervised*, *unsupervised* and *reinforced*
84 learning (Fischer, 1999).

85 The majority of machine learner employed in industry (between 80 to 90%) are of supervised
86 and unsupervised nature with a dominance towards supervised machine learning (Ge, Song,
87 Ding, & Huang, 2017).

88 The way the system learns is by giving it labelled examples, hence the answer to the question
89 is already known. The system will try to build a logical path with the help of algorithms
90 (Quadratic SVM, Decision Trees etc.). This will be done with only a selected proportion of

91 dataset, whereas the remaining parts are used to optimise the algorithm and finally test it to an
 92 unknown dataset, with a common split being 60% for training, 20% for optimisation and 20%
 93 for testing (Chong, Abraham, & Paprzycki, 2004; Wuest, Weimer, Irgens, & Thoben, 2016).
 94 Results are projected as observations, which are dependent on the number of features. An
 95 observation is a specific number set that characterises a class (Kotsiantis, Zaharakis, & Pintelas,
 96 2007). Hence, data can be seen as points in an n -dimensional space. The number of attributes
 97 n are directly related to the class observed from experience or the experiments. In order to
 98 achieve a better classification between data classes, each class will be plotted in another
 99 dimensional space, the so-called feature space (Guan et al., 2019; Masud et al., 2010). *Figure*
 100 *2.1* illustrates the presentation of machine learning data.



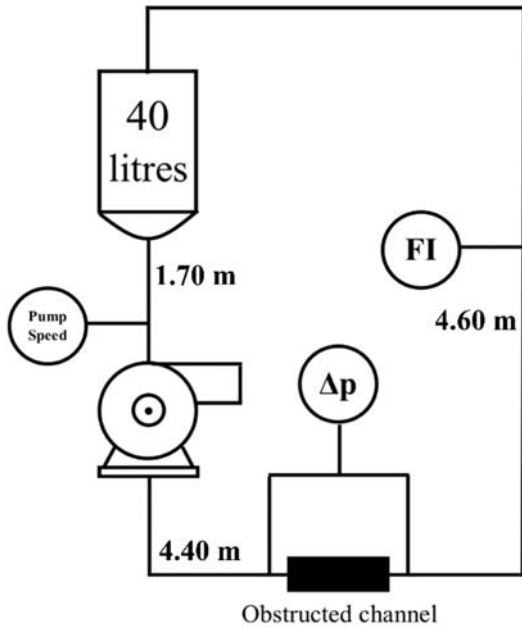
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102 *Figure 1 Schematic projections of machine learning data, adopted from (Liu & Wang, 2017).*

103 **2. Materials and Method**

104 **2.1 Water recirculation system and pipe obstructions**

105 A water recirculation system fed by a 40 L water tank and is powered by a centrifugal pump
106 (Alfa Laval, Sweden) of the type I KA-5 132SSS1. The internal diameter ID of the pipework
107 is 25.4 mm with a 120 mm in length pipe segment from stainless steel, which is used for the
108 AE data acquisition of passive acoustic signals. A schematic drawing of the test rig is given
109 below (*Figure 12*).



110
111 *Figure 12 Experimental setup (schematic).*

112 All four in-pipe obstructions are designed in AutoCad 2018 (Autodesk Inc., USA), and
113 extruded by a FlashForge Dreamer 3D printer (Zhejiang Flashforge 3D Technology Co., Ltd.,
114 China). Each obstruction can be slotted into the pipe and has a length of 1.5 times the inner
115 diameter (38.1 mm). The four geometric obstructions are a wall-leaning cone, cross (four
116 wedges meeting in the central focal point), three triangular aligned holes and a semicircle. An
117 IMO iDrive AC Inverter Drive control unit (IMO Precision Controls Ltd, United Kingdom) is
118 used to set the four flow rates of 1300, 3000, 4530 and 6350 l h⁻¹. The pressure drop has been
119 measured by using a TPI 665L Digital Manometer (Test Products International Europe Ltd.,
120 UK).

121 All four obstacles in-pipe obstructions under investigation are presented in *Figure 23*.



122

123 *Figure 34 3D animation of extruded obstacles to be slotted as obstructions into the pipe. ID=25.4 mm, H=38.1*
 124 *mm, drawings are not to scale. Obstruction types are “cone”, “three holes”, “semicircle” and “cross” (top left*
 125 *to right, bottom left to right).*

126 The free area of each obstruction is:

127 Cone: $1.287 \cdot 10^{-4}$ m.

128 Three holes: $2.83 \cdot 10^{-5}$ m.

129 Semicircle: $2.53 \cdot 10^{-4}$ m.

130 Cross: $2.53 \cdot 10^{-4}$ m.

131 The geometries chosen represent either potential deposit types (cone type for slight build-up,
 132 semi circular for half blocked pipe and three holes for a full blockage with perforations
 133 delivering partial flow) and the potential design of s spray nozzle (cross).

134 2.2 2D Particle Image Velocimetry

135 PIV is an established technology in fluid dynamics to visualise flow fields by a combining laser
 136 technology, a camera and seeding particles. PIV is a non-intrusive technology that delivers
 137 time-resolved velocity information and flow maps on a nearly instantaneous basis, however, is
 138 still mainly exclusively used within academia (Adrian, 2005). A pulsed laser beam is bend into
 139 a light slab that is fired onto a translucent chamber. The fluid within the chamber contains
 140 seeding particles that are assumed to behave as the fluid within the chamber. The laser pulse
 141 delay is referred as Δt . By the help of cross-correlation algorithms, the particle displacement
 142 (X, Y direction) and velocity can be determined.

143 The time delay Δt between each frame depends on the maximum distance a particle could travel
 144 within the interrogation window. The formula Δt for is given below and follows *Adrian* (1986):

145

$$146 \Delta t < 0.25 * \frac{S \cdot L_p}{v_s} \quad (1)$$

147 with S being the magnification factor, L_p is the length of the interrogation area in pixels
 148 v_s for the superficial velocity in the pipe.

149 The superficial velocity v_s , a hypothetical flow velocity calculated which does not take local
 150 differences into account, in the pipe has been calculated by the division of the volumetric flow
 151 rate \dot{V} by the area A_{circle} of the channel:

152
$$v_s = \frac{\dot{V}}{A_{\text{circle}}} \quad (2)$$

153 The Reynolds number in the pipe has been calculated by using

154

155
$$Re = \frac{v * d_{\text{pipe}} * \rho_{\text{fluid}}}{\eta_{\text{fluid}}} \quad (3)$$

156 with d_{pipe} being the internal pipe diameter and η_{fluid} being the dynamic viscosity of
157 water.

158

159 For the flow field visualisation a TSI 2D PIV system (TSI Inc., USA) has been used. A dual
160 head green 532 nm Nd:Yag laser (Litron Nano PIV, UK) pulsing at 7 Hz has been formed to
161 light sheet. The laser is mounted and levelled 500 mm above the acrylic chamber, with the light
162 sheet follows the length of the chamber. The system is synchronised to a single TSI Power
163 View 4 megapixels (2048 x 2048 pixels) 12-bit CCD camera that can be controlled in its X-Y-
164 Z direction by a remotely controllable frame construction. The CCD camera is connected to
165 another synchroniser (TSI 610035) which is attached to a desktop PC (DELL Inc., USA). The
166 2D PIV system is controlled by TSI Insight 4G software. Since fully developed turbulent flow
167 is apparent, 2x500 images are taken. The area is set to 32 x 32 pixels under the application of
168 a recursive *Nyquist* grid. The resolution has been adjusted for each experiment as changeover
169 between obstructions make readjustments necessary. Captured images are combined, *Fourier*
170 transformed, averaged and debugged in order to determine the final averaged flow field. The
171 generated flow field is visualised with Tecplot 360 software (Constellation Software, Canada).
172 The seeding particles used are silver coated, hollow glass spheres ($D=10\mu\text{m}$, $\rho=1400\text{ kg m}^{-3}$)
173 (Dantec Dynamics, Denmark).

174 2.3 Passive Acoustic Emission

175 The acoustic emissions are detected with a piezoelectric VS375-M sensor (Vallen Systeme
176 GmbH, Germany). The sensor is linked to an AEP5H preamplifier (Vallen Systeme GmbH,
177 Germany) along with a DCPL2 decoupling unit (Vallen Systeme GmbH, Germany), a
178 PicoScope 5000 Series oscilloscope (Pico Technology Ltd, UK) and a personal computer using
179 PicoScope version 6.13.15 software (Pico Technology Ltd, UK). One hundred recordings, the
180 so-called buffers, each of a length of 500 ms, a resolution of 16-bit and an amplitude of
181 maximum $\pm 1\text{ V}$ are taken. The sampling number is set to 600 kS to ensure that the sampling
182 frequency [of 1.2 MHz](#) is at least twice the number of the resonance frequency to meet
183 Shannon's theorem (Shannon, 1948). The choice of 500 ms is justified as a 10 s buffer is
184 divided that long until the lowest recording time is reached where Fast Fourier Transform
185 (FFT) spectra are still visually similar. [The sensor has been placed on the outer side of the pipe
186 wall and sits on top of a circular shaped pinhead, located on the tip of the obstruction. This
187 means that sensor and fluid are never getting into direct contact, which brings hygienic as well
188 as maintenance benefits \(patent application GB1909291.5\).](#)

189 2.3.1 Data Processing

190 Recorded acoustic emission are converted from the psdata file into mat files to make them
191 available for Matlab R2018a (MathWorks Inc, USA). All data are processed on a computing
192 system with 8-gigabyte memory. In a first step, each buffer gets assigned with a corresponding

193 label, describing the experimental condition (e.g. flow rate 1, obstacle “cross”). Once assigned
 194 the data is cleared from environmental noise. This is regarded to be frequencies below 4 kHz,
 195 meeting literature reporting environmental noise being in the range between 2-6 kHz and below
 196 (Chang et al., 2011; Forrest, 1994).

197 In a consecutive step, *Discrete Fourier Transform* is applied to transform the time-bound
 198 signals into the frequency domain (FFT spectrum). ~~In addition, When converted into Matlab,~~
 199 positive and negative infinitive values appear, representing over range values. These values are
 200 filtered and replaced by the value ± 1 or for those values that are underneath the detection limit
 201 of the sensor the value zero is inserted.

202 The last reduction step is the selection of only the 5,000 FFT values with the largest relative
 203 variance. To get the relative variance the mean and the variance for each column (frequency)
 204 has to be found by using the following formulae:

$$205 \quad V_j = \frac{1}{N-1} * \sum_{i=1}^N |A_{ij} - \mu_j|^2 \quad (4)$$

206

$$207 \quad \mu_j = \frac{1}{N} * \sum_{i=1}^N A_{ij} \quad (5)$$

208

$$209 \quad V_{Relative,j} = \frac{\frac{1}{N-1} * \sum_{i=1}^N |A_{ij} - \mu_j|^2}{\frac{1}{N} * \sum_{i=1}^N A_{ij}} \quad (6)$$

210

211

212 with A_{ij} being the magnitude of the FFT transform of the frequency j for the i -th row
 213 which corresponds to the i -th buffer.

214

215 The relative variance $V_{Relative}$ was chosen instead of the variance as this way absolute values
 216 can be weighted on the arithmetic mean values. This means in consequence that small values
 217 with a relative small absolute change in value but big relative change become considered.

218

219 **2.4 Supervised Machine Learning**

220 *Support-Vector machines* (SVM) are a classifier type within machine learning. The basic
 221 concept of SVM is the establishment of hyperplanes, seeking to create separation between
 222 classes. A hyperplane (for problems higher than 2-dimensional or binary classification) is the
 223 n -dimensional space that creates the boundary of data points for different clusters that belong
 224 to different classes.

225 The general equation for a hyperplane for the case of linear separability is given in *Equation*
 226 7:

$$227 \quad W^T * X + b = 0 \quad (7)$$

228 where $W = [w_1 \ w_2 \ \dots \ w_m]^T$ and b is a scalar.

229 W represents the normal vector to the hyperplane (W, b) and X being the position vector
230 of the points lying on the plane.

231

232 The classes are separated by their value being either positive (+) or negative (-) measured based
233 upon their distance from the hyperplane (Dagher, 2008).

234 This idea of the hyperplane dates back to the mid-1930s (Fisher, 1936), however, a first
235 appearance within the background of artificial networks was not done until 1958 (Rosenblatt,
236 1958). These initial concepts were further developed by *Vapnik & Chervonenkis* (1974) and
237 lead to today's SVMs.

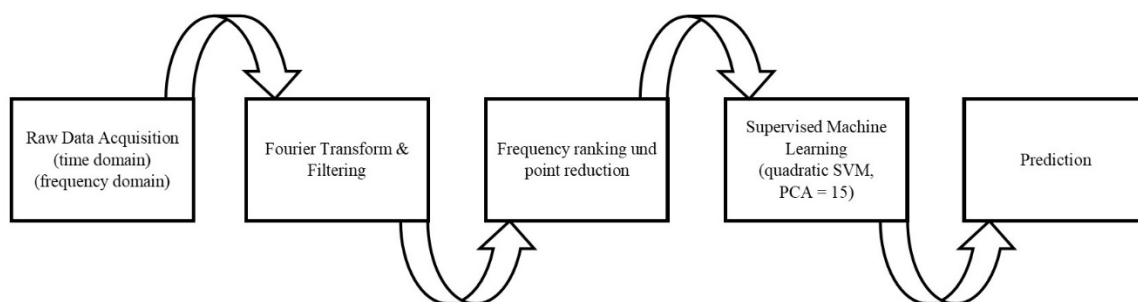
238 *Noble & Street* (2006) state four key concepts behind every SVM. Those are

- 239 • [The separation of the hyperplane.](#)
- 240 • [The maximum margin hyperplane.](#)
- 241 • [The soft margin, and](#)
- 242 • [The kernel function.](#)

243 To make the data available for the Matlab R2018a Classification Learner Application (CLA)
244 (MathWorks Inc, USA) feature scaling and mean normalisation was applied to the reduced
245 FFT spectrum. The necessity of this operation is to have features with a comparable range of
246 values.

247 Principal Component Analysis (15 components) is enabled for the CLA. The goal of the PCA
248 is to project the data points of the matrix into an n -dimensional subspace in such a way that as
249 little information as possible is lost and existing redundancy is summarised in the form of
250 correlation in the data points. This enhances the supervised ML performance, contributing to a
251 better support vector delimitation. The dataset for the Machine Learning is further split into in
252 a training (60%), optimisation (20%) and a test dataset (20%). The test dataset is given to the
253 supervised classifiers (e.g. k-NN, Decision Tree, SVM) whilst the second dataset is not fed into
254 the CLA to evaluate the accuracy of the algorithms.

255 *Figure 3.4* shows a summary of the steps from the raw data acquisition to a supervised machine
256 learning prediction:

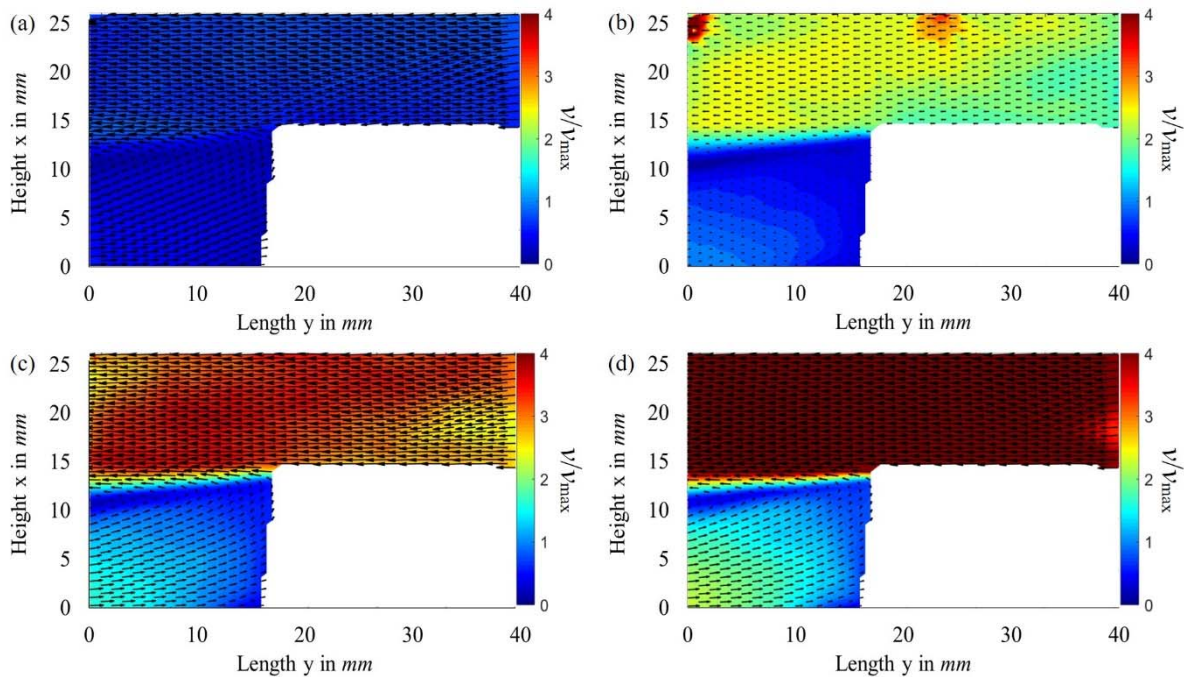


257

258 *Figure 2.4* Transformation schematic from the raw data acquisition to a prediction.

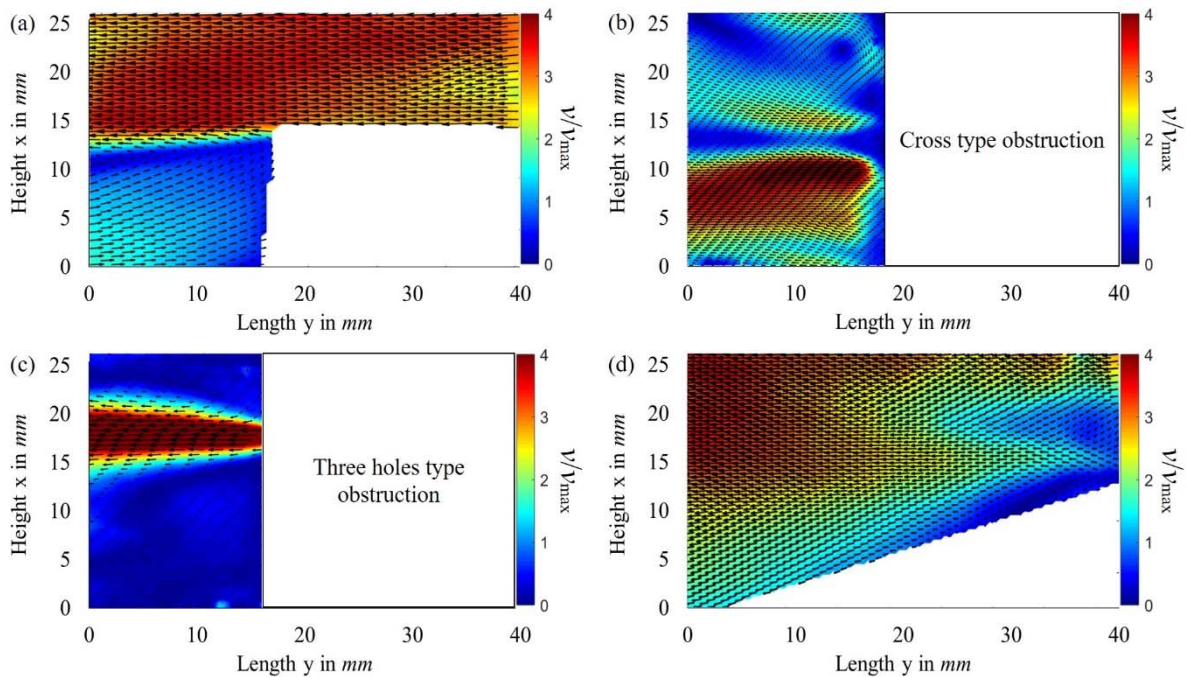
260 3.1 2D Particle Image Velocimetry

261 2D PIV has been used to visualise the flow around four geometrically different in-pipe
 262 obstruction on fixed flow rates (1'300, 3'000, 4'530 and 6'350 l h⁻¹). All pictures represent fully
 263 developed turbulent flow, with flow running from right to left (abscissa). Selected pictures
 264 represents the time-averaged data over the 500 image pairs. The images acquired are presented
 265 in *Figures 4.5 and 5.6*:



266

267 *Figure 3.5 Exemplary contour plot of 2D PIV results for one obstruction (semicircle) over four flow rates. The*
 268 *Reynolds numbers for the highest point of restriction are (a) $Re \approx 36,251$, (b) $Re \approx 83,701$, (c) $Re \approx 126,195$ and*
 269 *(d) $Re \approx 176,182$. Blanked parts show the in-pipe obstruction.*



270

271 *Figure 4.6 Exemplary contour plot of 2D PIV results for one flow rate ($4,530 \text{ lh}^{-1}$) against all obstruction types.*
 272 *The Reynolds numbers for the highest point of restriction are (a) $Re \approx 126,195$, (b) $Re \approx 126,195$, (c) $Re \approx 267,489$*
 273 *and (d) $Re \approx 252,390$.*

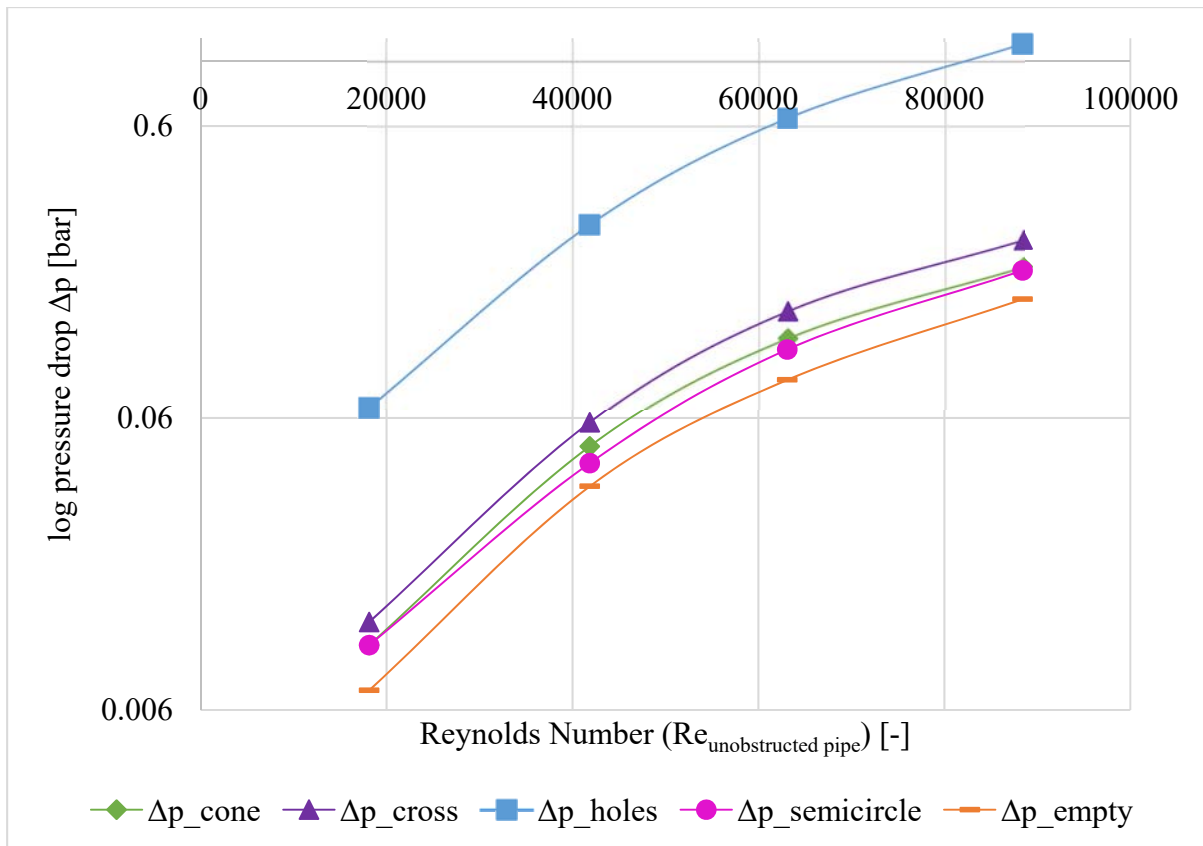
274 With increasing flow rates increases in velocity magnitude can be observed (*Figure 4.5 (a)-*
 275 *(d)*).

276 Looking at the semicircular obstruction, a drag up effect is apparent along the *semicircle's* tip
 277 (*Figure 4.5 (a)-(d)*).

278 For the *cone* type obstruction (*Figure 5.6 (d)*) preferential flow is present following the open
 279 space above the cone. When inserting the *cross* type obstruction (*Figure 5.6 (b)*) a butterfly
 280 wing-like structure appears, provoked by swirls at the direct exit of the obstruction. In *Figure*
 281 *5.6 (c)* jetting is present for the three holes obstruction. The jet opens up in width with
 282 increasing flow rates.

283 3.2 Pressure Drop Measurements

284 The pressure drop has been measured 5 mm before and 120 mm behind the obstruction. Plotting
 285 the pressure drop Δp against the Reynolds number for the unobstructed pipe as per equation 3
 286 the following figure can be obtained (*Figure 7.6*).



287

288

Figure 5.7 Pressure drop Δp of different obstacles against Re *as determined for the unobstructed pipe*.

289

290

291

292

293

294

The obstruction type with the three holes shows a very different pressure drop to the other three obstructions. This will be explained with the high degree of restriction along each hole ($D=2$ mm). Overall, all curves are in a parallel arrangement. For $Re \approx 18,125$ the semicircle and the cone share a value at 0.01 bar. Also for $Re \approx 88,406$ the data points are nearly congruent as they differ only by 0.007 bar. This shows that it is challenging to distinguish between different runs purely based on pressure drop measurements.

295

3.3 Pressure Drop Measurements versus Acoustics

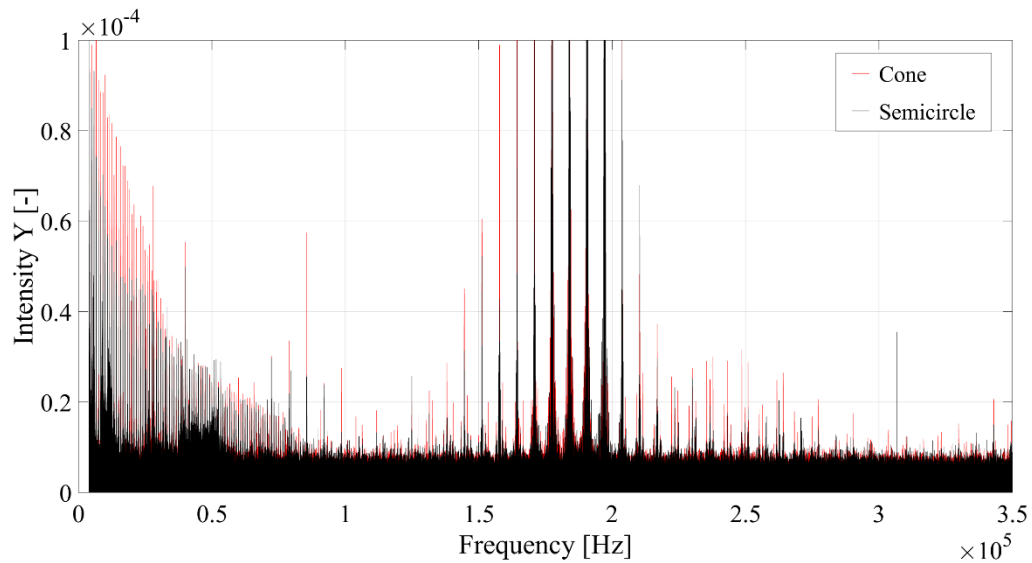
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As per 3.1-2 it is challenging to distinguish between different experimental runs when looking at the pressure drop values. However, when linking the pressure drop to acoustic response, distinguishable responses can be retrieved. Even for the case where obstructions share the same pressure drop of 0.001 bar, it is possible to get different obstructions (Figure 78).



300

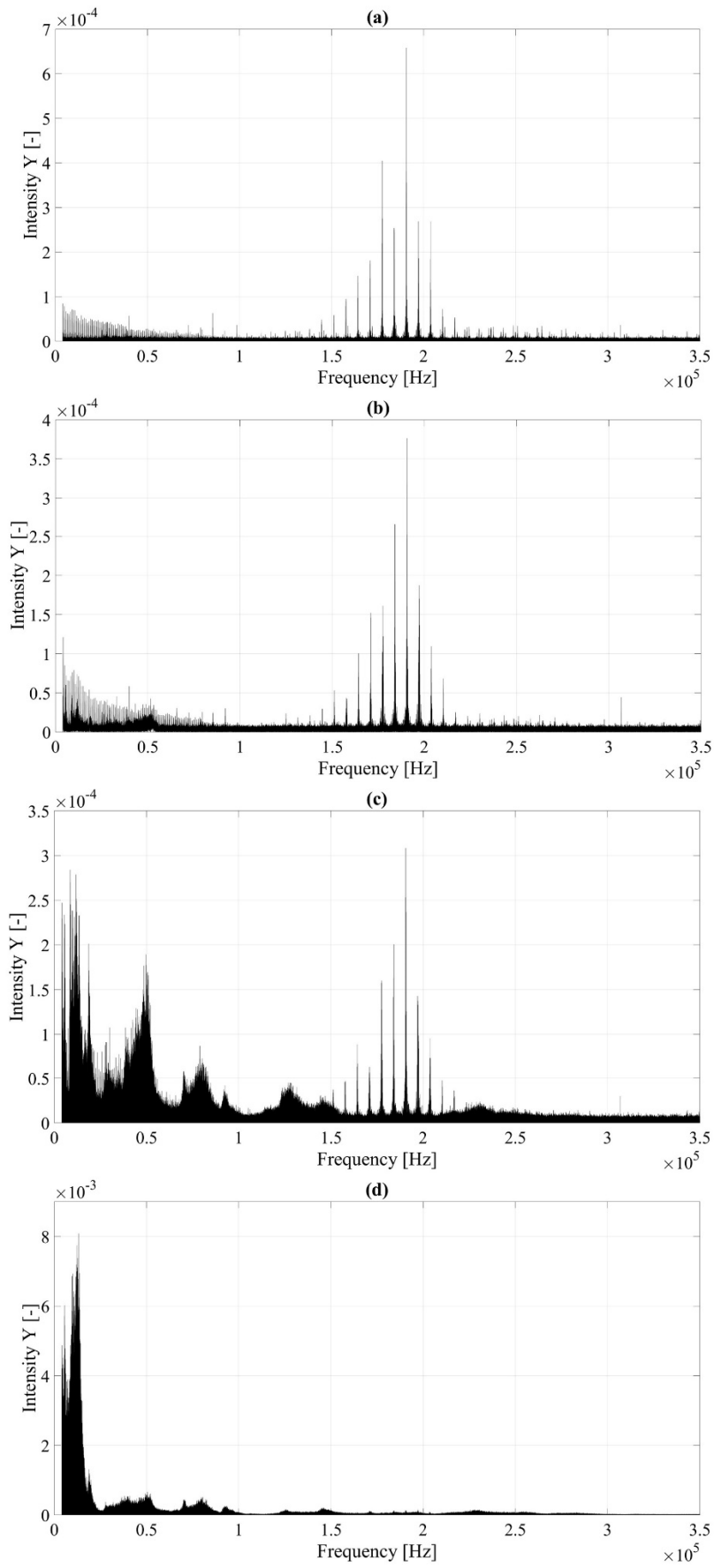
301 *Figure 7.8 Cropped and from environmental noise cleared FFT spectra for different obstructions (cone (red)*
 302 *versus semicircle (black)) but the same pressure drop Δp (0.01 bar).*

303

304 This results in the advantage that the sensing technique is more sensitive than conventional
 305 methods. In addition, the detection of these pressure drops can consequently be used to predict
 306 leakages in a very early stage.

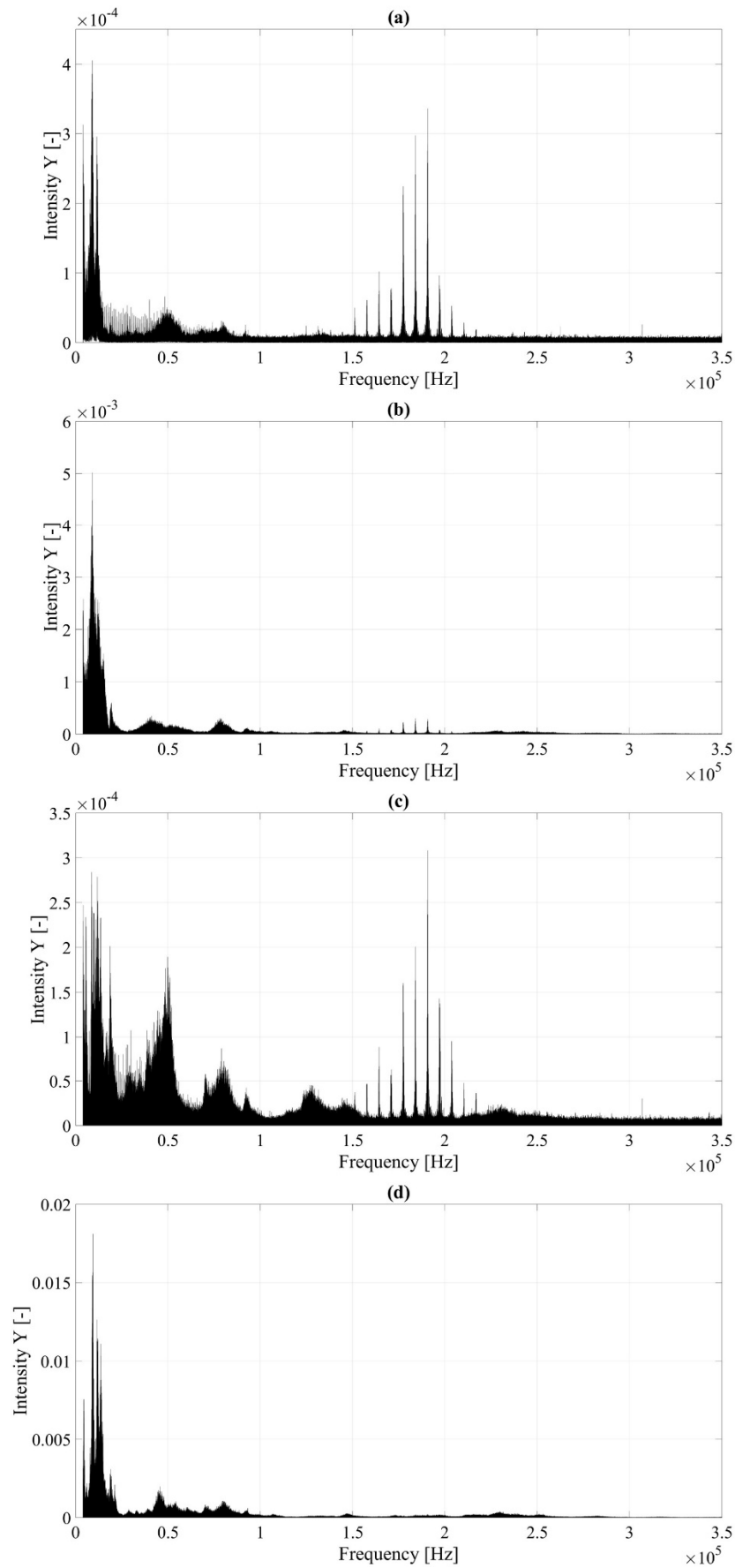
307 **3.4 Acoustic Emission Signals**

308 The signal collected from a piezoelectric passive acoustic emission sensor has been collected.
 309 After the removal of the environmental noise, the discrete Fourier Transform was applied to
 310 convert the signal from the time-domain into a frequency-domain. These acoustic
 311 “fingerprints” are presented in *Figures 8.9 and 9.10.*



312

313 *Figure 89 Acoustic fingerprints for the obstruction type semicircle across all flow rates, with (a) $Re \approx 36,251$, (b)*
 314 *$Re \approx 83,701$, (c) $Re \approx 126,195$ and (d) $Re \approx 176,182$.*



315

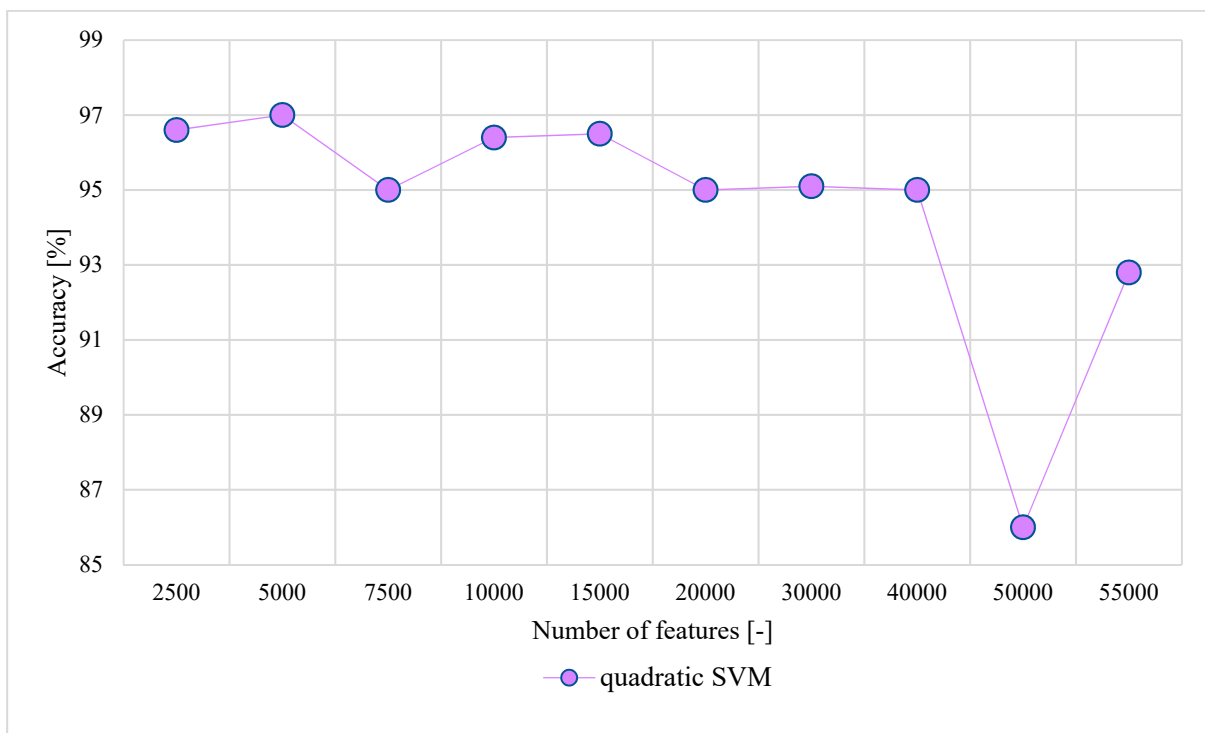
316 *Figure 6-10. Acoustic fingerprints for all obstruction types ((a) cross, (b) cone, (c) Semicircle, (d) holes and (e)*
 317 *unobstructed) against a fixed flow rate (4,530 lh⁻¹).*

318 Increases in flow rate come with increases in the peak intensity Y . In addition, an increase in
319 flow rate leads to the formation of wider mountains and more defined shapes. A peak shift
320 towards the lower frequencies (left side) is characteristic for increases in flow rate (*Figure 89*).
321 Towards the side of the higher frequencies (right) a periodic pattern of spike and valley can be
322 observed, that remains throughout flow rate increases.

323 *Figure 9-10* shows that for each obstruction type on a fixed flow rate of $4,530 \text{ lh}^{-1}$ unique
324 acoustic “fingerprints” can be retrieved as well. Building this into a database this sensing
325 technique cannot just only be used to detect blockages in a pipe system, but also the type of
326 obstruction or degree of obstruction. This can be used to determine the point of servicing or
327 cleaning the system.

328 3.5 Supervised Machine Learning

329 A total of 22 different machine learning algorithms have been tried, with quadratic SVM
330 delivering best prediction accuracies. Quadratic SVM also has shown to be capable to give
331 highly accurate predictions with only a limited number of input data points. The figure below
332 represents a selection of a few of the algorithms tested and their performance against the
333 number of features (*Figure 1011*).



334
335 *Figure 7.11* Performance plot for quadratic SVM learner against the number of features (PCA 15 components
336 enabled).

337
338
339
340
341

342 Amongst all 22 algorithms, Sub Space KNN performed the poorest in terms of prediction
 343 accuracies and consistency of predictions. The prediction accuracies for the machine learning
 344 on unseen datasets are presented in Table 1:

345 *Table 1 Presentation of Machine Learning predictions on previously unseen data sets.*

Case	Prediction Accuracy water run 1	Prediction Accuracy water run 2 (repeat 1)	Prediction Accuracy water run 3 (repeat 2)	Prediction Accuracy water run 4 (repeat 3)	AVG%
Cross vs all Q	95	98	97	97	96.75
Cone vs all Q	96	96	95	98	96.25
Semi vs all Q	94	95	96	96	95.25
Holes vs all Q	99	98	97	97	97.75
Empty vs all Q	95	95	95	96	95.25
Obstruction vs Obstruction	96	96	95	96	95.75

346

347 The results show that machine learning is a powerful tool to predict obstructions and flow in
 348 single-phased and fully flooded water channels, which delivers instant results. This possibility
 349 to use passive acoustic emission on such as system is not reported in literature previously. It
 350 shows that results are consistent over several **repetitive** runs **for the same settings**. All results
 351 are in average in the region of 95% or above. In general a case describes the condition machine
 352 learning has been tested upon. For example, the first case “Cross vs Q” means that machine
 353 learning has been given the labels for all flow rates on the fixed obstruction type “cross”. After
 354 training the algorithm machine and having applied PCA, the system will deliver a prediction
 355 based on unknown data. In terms of output machine learning provides a confusion matrix where
 356 the systems prediction is plotted against its true class. This result describes the accuracy
 357 prediction percentage. Each percentage is the output of a test of 100 test sets. This equates to
 358 100,000 individual test data points for 1 individual class (e.g. Q1 and cross).

359 The table (Table 1) shows that when comparing different obstructions against a fixed flow rate
 360 can be confidently distinguished as well as each obstruction against its different flow rate. The
 361 same applies when investigating the case of the unobstructed pipe. Machine learning also
 362 delivers very consistent predictions when looking into all cases investigated against each other.
 363 The range of the poorest performing prediction and the best prediction in its average deviate
 364 by only 2.5%. Within runs for the same cases (e.g. obstruction cross against run 1 to 4) the
 365 range is in the 3%. Hence, data is repeatable which is of high importance when aiming for an
 366 industrial solution to build a surveillance for irrigation systems.

367 **4. Conclusions**

368 A single passive acoustic emission sensor has been placed on a water channel. This channel
369 has been obstructed by different geometries, aiming to represent blockages through build up
370 and a spray nozzle. The different geometries lead to different flow patterns as supported by 2D
371 PIV. When analysing the acoustic signals, higher flow rates lead to higher peak values and
372 different obstructions to distinguishable different FFT spectra. Even marginally different or
373 same pressure drops still deliver distinguishable different acoustic responses. This technology
374 also makes it possible for the first time (in reported literature) to measure such features in a
375 single phased, fully flooded channel. In addition this work shows that the proposed technology
376 is also capable to deliver very different “acoustic fingerprints” when the pressure drop is
377 marginal. This makes it a highly sensitive technology that might be applied in the future to
378 detect upstream blockages at a very early stage.

379 Highest prediction accuracy levels in supervised ML are attained when applying the quadratic
380 SVM learner. For all cases under investigation accuracy levels are in the region of 95% or
381 above with all experiments having been repeated four times and a hundred buffers for each
382 case.

383 The combination of the sensor, pipe device and machine learning can be used as an in situ
384 measuring tool for pipe blockages and may be implemented as a prediction tool for water
385 supply system, spray systems etc.

386 Future research will focus on the refining of the algorithms and create a user interface. Further,
387 scale-up must be considered. Other work shall focus on branched systems and the maximum
388 detection distance of the sensor system. Also, in field applications on irrigation and spraying
389 systems shall be investigated. The application for other materials must also be studied.

390

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394

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401

402 Declaration of interest

403 None

404

405

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