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### 2-MINIMAL SUBGROUPS OF MONOMIAL, LINEAR AND UNITARY GROUPS

#### CHRIS PARKER AND PETER ROWLEY

ABSTRACT. This paper gives a detailed and explicit description of all the 2-minimal subgroups for the finite linear and unitary groups defined over fields of odd characteristic. Also the 2-minimal subgroups of all the subgroups of these groups which contain the special linear and special unitary subgroups are described. For a finite group G, a subgroup P of G is 2-minimal if B < P, where  $B = N_G(S)$  for some Sylow 2-subgroup S of G, and B is contained in a unique maximal subgroup of P. The 2-minimal subgroups of certain monomial groups, which play an important role in this work, are also determined.

#### 1. INTRODUCTION

In one fell swoop, with the inauguration of the theory of buildings, Tits [48] introduced a geometric perspective to the study of groups of Lie type. Previously, at the hands Chevalley [16], Steinberg [45] and Ree [36, 37], this class of groups had been given a unified treatment as certain groups of automorphisms of Lie algebras and fixed points of automorphisms of algebraic groups. The utility of buildings was amply demonstrated in [48] where groups with a spherical BN-pair of rank at least 3 are classified. Buildings are important in the study of other classes of groups such as the simple algebraic groups and, with the emergence of twin buildings, Kac-Moody type groups [50]. The various successes of the theory of buildings (see for example [41], [49], [33]) have led to attempt to widen the underlying ideas of buildings to obtain geometric information about other simple groups, with a particular eye upon the sporadic finite simple groups. Early contributions to this endeavor were made by Buekenhout [14], Ronan and Smith [38, 39] and Ronan and Stroth [40].

Here we shall be interested in finite groups. So suppose that G is a finite group, p is a prime number and S a Sylow p-subgroup of G. Set  $B = N_G(S)$ . A subgroup P of G which properly contains B is called a p-minimal subgroup of G (with respect to B) if B is contained in a

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unique maximal subgroup of P. Put

 $\mathcal{M}(G, B) = \{ P \mid B < P \le G \text{ and } P \text{ is } p\text{-minimal} \}$ 

and

$$\mathcal{LL}(G,B) = \{H \mid B \le H \le G\}.$$

So  $\mathcal{LL}(G, B)$  is the set of over-groups of B in G, and clearly  $\mathcal{M}(G, B) \subseteq \mathcal{LL}(G, B)$ . Now suppose that G is a group of Lie type whose characteristic is p. Then the associated building of G is the simplicial complex obtained from the poset on  $\{H^g \mid g \in G, H \in \mathcal{LL}(G, B)\}$  given by reverse containment. The notion of a building may be rephrased in terms of chambers (see [49]). With this reinterpretation  $\mathcal{M}(G, B)$  is precisely the set of stabilizers of the panels of the chamber corresponding to B. The subgroups in  $\mathcal{M}(G, B)$  in this context are called minimal parabolic subgroups and for each  $P \in \mathcal{M}(G, B)$ , B is actually a maximal subgroup of P. Indeed, for any  $H \in \mathcal{LL}(G, B) \setminus \{G\}$  we also have that  $O_p(H) \neq 1$  (see [10]); that is H is a p-local subgroup of G which explains the choice of  $\mathcal{LL}$  for local lattice.

Now assume that G is an arbitrary finite group. Attempts to generalize buildings, mentioned above, have used various subsets of  $\mathcal{LL}(G, B)$ as a means of passing to a geometric object in the spirit of buildings. Much attention has been focussed upon subsets of  $\mathcal{M}(G, B)$ . An important notion is that of a minimal parabolic system – a subset  $\{P_1,\ldots,P_m\}$  of  $\mathcal{M}(G,B)$  is a minimal parabolic system for G (of rank m) if  $G = \langle P_1, \ldots, P_m \rangle$  and no proper subset of  $\{P_1, \ldots, P_m\}$  generates G. The minimal parabolic systems for the sporadic simple groups are collated in Ronan and Stroth[40] for all cases when S is non-cyclic (though they also require  $O_p(P_i) \neq 1$  for  $i = 1, \ldots, m$ ). While Lempken, Parker and Rowley in [27] determined all the minimal parabolic systems when G is a symmetric group and p = 2. For further work in this direction see Covello [17], Magaard [29] and Rowley and Sanita[42]. Unlike the case of Lie type groups of characteristic p, in other groups, such as the sporadic simple groups and the symmetric groups, there is not usually a unique minimal parabolic system.

Lattices of subgroups have long been of interest. For some indication of earlier work see Suzuki [46] and Schmidt [44]. A recent topic of interest was suggested by a theorem of Pálfy and Pudlák [34] raising the question as to whether each nonempty finite lattice is isomorphic to an over-group lattice for some subgroup of some finite group. The answer is almost certainly negative – for investigations into this and related questions see Aschbacher [4, 5, 6], Aschbacher and Shareshian [8] and Feit [20]. The set  $\mathcal{M}(G, B)$  has some relevance to this type of question as it is the case that for any  $H \in \mathcal{LL}(G, B) \setminus \{B\}$  we have that  $H = \langle P \mid P \in \mathcal{M}(H, B) \rangle$  (see Lemma 3.2) and therefore the subgroups in  $\mathcal{M}(G, B) \supseteq \mathcal{M}(H, B)$  in a certain sense control the lattice of subgroups of G above B. In [7], Aschbacher determined those non-abelian simple groups which have the property that a Sylow 2subgroup is contained in a unique maximal subgroup. This of course enumerates those simple groups G for which  $\mathcal{LL}(G, B)$  has a unique maximal member when p = 2.

One of the main purposes of this paper, and its successors, is to describe all of the 2-minimal subgroups for the finite groups of Lie type. A secondary aim is to then probe the minimal parabolic systems. If the characteristic of the Lie type group is also 2, then we just have the panel stabilizers and these subgroups are well understood. Thus we focus our attention upon Lie type groups of odd characteristic. We begin with the general linear and unitary groups, using the usual notation  $\operatorname{GL}_n^{\epsilon}$ ,  $\epsilon = \pm$ , to denote these two classes simultaneously. However, before stating our first theorem, we briefly discuss some classes of subgroups, detailed definition being given in later sections. For  $G = \operatorname{GL}_n^{\epsilon}(q)$  where  $q = p^a$  is odd, a certain Sylow 2-subgroup S of G was described by Carter and Fong [15] (see also Theorem 5.1). Using this description when  $q \equiv \epsilon \pmod{4}$  we may view S within H, a subgroup of G which is identified as a wreath product  $\operatorname{GL}_1^{\epsilon}(q) \wr \operatorname{Sym}(n)$  As a consequence the 2-minimal subgroups, called fusers and linkers, appearing in [27] metamorphosis into 2-minimal subgroups of G. Such subgroups we also refer to as fusers and linkers, denoting the set of them respectively by  $\mathcal{F}$ and  $\mathcal{L}$ . The base group of H also contributes to our haul of 2-minimal subgroups yielding the set  $\mathcal{T}$  of so-called toral 2-minimal subgroups. Similar 2-minimal subgroups are present when  $q \equiv -\epsilon \pmod{4}$ . These monomial subgroups arise in  $N_G(A)$  where A is a certain abelian subgroup introduced at the beginning of Section 5. A further source of 2-minimal subgroups arises from the parabolic subgroups of G (parabolic being used in the traditional sense) when  $\epsilon = +$ . These subgroups have non-trivial *p*-radicals and so are referred to as radical 2-minimal subgroups. We let  $\mathcal{R}$  denote the set of all such 2-minimal subgroups of G. When n is odd and  $\epsilon = -$  the radical 2-minimal subgroups are replaced by a family of unitary 2-minimal subgroups and these we denote by  $\mathcal{U}$ . Two additional classes of 2-minimal subgroups of G, denoted by  $\mathcal{Q}$  and  $\mathcal{S}$ , and called quaternion and  $\epsilon$ -linear force their attention upon us. They owe their ancestry to small dimensional linear and unitary groups which are themselves 2-minimal. So now to our first main result.

**Theorem 1.1.** Suppose that  $G = \operatorname{GL}_n^{\epsilon}(q)$  where  $n \ge 2$  and  $q = p^a$  is odd. Let  $S \in \operatorname{Syl}_2(G)$  and set  $B = N_G(S)$ . Then

$$\mathcal{M}(G,B) = \mathcal{T} \cup \mathcal{F} \cup \mathcal{L} \cup \mathcal{Q} \cup \mathcal{S} \cup \mathcal{R} \cup \mathcal{U}.$$

As to whether any of the above sets of 2-minimal subgroups are empty depends upon certain specified conditions on  $\epsilon$ , n and q. For a comprehensive overview of the set  $\mathcal{M}(G, B)$  in Theorem 1.1 see Tables 1 and 2. Although there is a deal of complexity in their definition, particularly of the toral 2-minimal subgroups, the overall list of 2-minimal subgroups is pleasingly short. Moreover, aside from the congruences of  $q \pmod{8}$ , the 2-minimal subgroups not in  $\mathcal{T}$  are defined without reference to the underlying field. A further noteworthy feature is that the groups in  $\mathcal{M}(G, B)$  for  $G = \operatorname{GL}_n^{\epsilon}(q)$  are for the most part soluble groups, and these soluble groups have a very restricted structure.

The description of the 2-minimal subgroups of the special linear and special unitary groups for n > 2 is covered in the next theorem. For unexplained notation appearing in this theorem see later, particularly Sections 2, 5, 9 and 11.

**Theorem 1.2.** Suppose that  $G = \operatorname{GL}_n^{\epsilon}(q)$  where n > 2 and  $q = p^a$ is odd. Assume that H is a subgroup of G containing  $\operatorname{SL}_n^{\epsilon}(q)$ . Let  $S \in$  $\operatorname{Syl}_2(G)$ ,  $B = N_G(S)$  and let  $P \in \mathcal{M}(H, B \cap H)$ . Set k = |G : HZ(G)|and let  $\operatorname{GF}(q_0^2)$  be the minimal subfield of  $\operatorname{GF}(q^2)$  containing all the  $k^{th}$ powers of elements of  $\operatorname{GF}(q^2)$ . Then one of the following holds.

- (i)  $PB \in \mathcal{M}(G, B)$  and  $P = PB \cap H$ .
- (ii)  $n = 2^{n_1} + 2^{n_2}$  and  $P \leq P(n_1+n_2)$  where  $\mathcal{F} = \{P(n_1+n_2)\}$ . Furthermore, there are |G: HZ(G)S| H-conjugacy classes of subgroups  $P \in \mathcal{M}(P(n_1+n_2)\cap H, B\cap H)$  with  $P(n_1+n_2)C_G(A) = PC_G(A)$ .
- (iii)  $\epsilon = -, q \equiv 1 \pmod{4}, n = 2^{n_1} + 1, q \neq q_0, P \in \mathcal{M}(U(n_1) \cap H, B \cap H)$  and  $P \cong (\mathrm{GU}_{2^{n_1}+1}(q_0) \circ (q+1)) \cap H$ . Furthermore, there are  $\left(\frac{q+1}{q_0+1}, k\right)$  H-conjugacy classes of such subgroups in  $U(n_1)$ .
- (iv) n = 4,  $(q \epsilon)_2 \le 4$ , |G/H| is even and one of the following holds
  - (a)  $k \in \{2, 4\}$  and  $P \in \mathcal{M}(Q(2) \cap H, B \cap H)$  and  $|P/Z_{n_1}| = 3 \cdot 2^9/k$ . There are two *H*-conjugacy classes of such subgroups contained in  $Q(2) \cap H$ ;
  - (b)  $(q-\epsilon)_2 = 2, q \equiv 1, 7 \pmod{8}, P \in \mathcal{M}(S(4,2) \cap H, B \cap H)$ and  $P \cong ((q-\epsilon) \circ \operatorname{Sp}_4(p^{a_2}) : 2) \cap H$ . There are two Hconjugacy classes of such subgroups in  $S(4,2) \cap H$  and they intersect in a subgroup of  $(\operatorname{GL}_2(q) \wr \operatorname{Sym}(2)) \cap H$ ; and

- (c)  $(q-\epsilon)_2 = 2, q \equiv 3, 5 \pmod{8}, P \in \mathcal{M}(S(4,2) \cap H, B \cap H)$ and  $P \cong (2^{1+4}_{-}.\mathrm{Sym}(5) \circ (q-\epsilon)) \cap H$ . There are two Hconjugacy classes of such subgroups and the unique maximal subgroup of P containing  $B \cap H$  is one of the groups in (a).
- (v)  $G = GU_3(5)$ ,  $|G/H| \in \{3, 6\}$ , and  $P \cong 3 \cdot Mat(10)$ . There are three *H*-conjugacy classes of such subgroups.

Notice that in part (iii) of Theorem 1.2, the requirement that  $q \neq q_0$  is a stringent condition in that, for example, if n is small in comparison to q, then we usually have  $q = q_0$  in which case the subgroup  $U(n_1) \cap H$  is captured in part (i) of Theorem 1.2.

We point out one immediate consequence of Theorem 1.2.

**Corollary 1.3.** Assume that G, H and B are as in Theorem 1.2. If  $P \in \mathcal{M}(G, B)$ , then  $P \cap H \in \mathcal{M}(H, B \cap H)$  unless one of the cases (ii) to (v) of Theorem 1.2 occurs. In particular, this holds provided there are at least three parts in the 2-adic decomposition of n.

The proof of Theorem 1.2 depends on showing that almost all 2minimal subgroups of H are normalized by B and then with the aid of Lemma 3.7 and Theorem 5.1 the 2-minimal subgroups for H are just intersections with H of the members of  $\mathcal{M}(G, B)$  as given in Theorem 1.1.

We remark that, in the set-up of Theorems 1.1 and 1.2 we clearly have  $Z(G) \leq B$  and thus these theorems directly yield the 2-minimal subgroups of  $\mathrm{PGL}_n^{\epsilon}(q)$  and  $\mathrm{PSL}_n^{\epsilon}(q)$ .

Next we describe the layout of this paper and the main features of the proof of Theorem 1.1. As already mentioned, the wreath product subgroups appearing in [15] demand our attention. Thus in Section 2 we set up notation enabling us to describe explicitly the 2-minimal subgroups of the symmetric groups. In Section 4, for E cyclic of odd order and X a symmetric group we analyze the wreath product  $H = E \wr X$ we sometimes call such groups monomial groups. But also observe that, in another guise they are complex reflection groups (denoted G(m, 1, n)in Shephard and Todd's list [43]). The S-module structure of the base group of H is the main focus here resulting in subgroups of the form  $U(n_i; s^c; j)$ . These subgroups in turn give birth to the toral 2-minimal subgroups. Also, but with less technicalities, the linker and fuser 2minimal subgroups are introduced in this section.

Section 3 is a repository for general results on p-minimal subgroups (for p an arbitrary prime) which are needed in this paper. A number of these play a critical role in our proofs. For example Lemma 3.13 means that 2-minimal subgroups behave very well with respect to direct products, and hence facilitates certain induction arguments.

The proof of Theorem 1.1 begins in Section 5, with further notation relating to S, B, and the standard vector space of  $\operatorname{GL}_n^{\epsilon}(q)$ , and gathers pace in the ensuing sections with the proof being completed in Section 11. The penultimate section is devoted to proving Theorem 1.2, while the final section catalogues the 2-minimal subgroups of  $\operatorname{PSL}_2(q)$ , when q is odd.

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#### 2. Preliminaries

As intimated in Section 1, Section 4 sees us probing the 2-minimal subgroups of monomial groups, that is wreath products  $E \wr \operatorname{Sym}(n)$  where E is cyclic of odd order and  $\operatorname{Sym}(n)$  is the symmetric group of degree n. Accordingly, we need to assemble appropriate notation relating to  $\operatorname{Sym}(n)$  and its 2-minimal subgroups. So let  $\Omega$  be a set of cardinality  $n \ge 1$  and fix the following notation for the 2-adic decomposition of n:

$$n = 2^{n_1} + 2^{n_2} + \dots + 2^{n_r}$$
 where  $n_1 > n_2 > \dots > n_r \ge 0$ .

Set  $X = \text{Sym}(\Omega)$ , the symmetric group on  $\Omega$ , and let T be a fixed Sylow 2-subgroup of X. Now T has r orbits on  $\Omega$ , and we denote these orbits by  $\Omega_1, \Omega_2, \ldots, \Omega_r$  where  $|\Omega_i| = 2^{n_i}$ . Putting  $I = \{1, \ldots, r\}$ , we have that

$$T = T_{n_1} \times T_{n_2} \times \dots \times T_{n_r}$$

where, for  $i \in I$ ,  $T_{n_i} \in \text{Syl}_2(\text{Sym}(\Omega_i))$ . Observe that  $T_0$  is the trivial group. From [23, Satz 15.3, p. 378] we have that each  $T_{n_i}$  is an iterated wreath product of  $n_i$  cyclic groups of order 2 and that  $N_X(T) = T$ . Thus, we note, for  $j, k \geq 0$ ,

$$T_{n_i} \wr T_{n_k} = T_{n_i + n_k}.$$

We next introduce two types of subgroups of X. Let  $i \in I$ . Then, for  $j \in \{1, \ldots, n_i - 1\}$ , let  $\Sigma_{n_i;j}$  be the collection of T-invariant block systems of  $\Omega_i$  consisting of sets of order  $2^k$  where  $k \in \{0, \ldots, n_i\} \setminus \{j\}$ , and define

$$X(n_i; j) = \operatorname{Stab}_{\operatorname{Sym}(\Omega_i)}(\Sigma_{n_i; j}) \times (\prod_{\ell \in I \setminus \{i\}} T_{n_\ell}).$$

Put

$$\mathcal{L}(X,T) = \{ X(n_i; j) \mid i \in I, j \in \{1, \dots, n_i - 1\} \}.$$

The subgroups in  $\mathcal{L}(X,T)$  are called *linkers* as they link block systems together and so generating subgroups using linkers we create groups which preserve fewer block systems of  $\Omega$ .

For  $i, j \in I$ , with i < j (so  $n_j < n_i$ ) set  $\Lambda_{n_i+n_j} = \Omega_i \cup \Omega_j$ . Let  $\Gamma_i$ be the collection of all block systems for T on  $\Omega_i$  and  $\Gamma_j$  the collection of all block systems of T on  $\Omega_j$ . We define  $\Sigma_{n_i+n_j}$  to be the collection of T-invariant systems of subsets of  $\Lambda_{n_i+n_j}$  which are the union of one block system from  $\Gamma_i$  and one from  $\Gamma_j$  with the proviso that the blocks of the two chosen block systems have equal numbers of elements. Then

$$X(n_i + n_j) = \operatorname{Stab}_{\operatorname{Sym}(\Lambda_{n_i + n_j})}(\Sigma_{n_i + n_j}) \times (\prod_{k \in I \setminus \{i, j\}} T_{n_k})$$

and we set

$$\mathcal{F}(X,T) = \{X(n_i + n_j) \mid i, j \in I, i < j\}.$$

The subgroups in  $\mathcal{F}(X,T)$  are called *fusers* and we note that  $\Omega_i \cup \Omega_j$  is an  $X(n_i + n_j)$ -orbit on  $\Omega$ .

**Theorem 2.1.** Assume that  $\Omega$  is a set with  $|\Omega| > 2$ ,  $X = \text{Sym}(\Omega)$  and  $T \in \text{Syl}_2(X)$ . Then  $\mathcal{M}(X,T) = \mathcal{L}(X,T) \cup \mathcal{F}(X,T)$ .

*Proof.* This is proved in [27, Theorem 1.1].

In our investigations of monomial groups, or subgroups of  $\operatorname{GL}_n^{\epsilon}(q)$ where subgroups isomorphic to  $\operatorname{Sym}(n)$  can be identified the above notational conventions will be employed. So the use of X as a subgroup alerts us to the fact that  $X \cong \operatorname{Sym}(n)$  and that (unless indicated otherwise) all the accompanying notation  $n_i, r, I, X(n_i; j), X(n_i + n_j),$ T and  $T_{n_i}$  will be used. From time to time, we shall also use subscripts to indicate the size of the set upon which X is acting. So in these cases we write  $X_n$  for example.

At this point we also note that [3] will be our bible for standard group theoretic notation. We follow the ATLAS [18] conventions for describing the shapes of groups. As we have seen, we use Sym(n) to denote the symmetric group of degree n, and we further write Alt(n) for the alternating group of degree n and Mat(10) for the "Mathieu group of degree 10".

**Definition 2.2.** For  $\ell$  a positive integer  $\ell_2$  denotes the largest 2-power which divides  $\ell$  and  $\Pi(\ell)$  is the set of all odd prime powers greater than 1 which divide  $\ell$ .

As an example illustrating Definition 2.2, if  $\ell = 180$ , then  $\ell_2 = 2^2$  and

$$\Pi(\ell) = \{3, 3^2, 5\}.$$

Our next theorem plays an invaluable role in determining the structure of 2-minimal linker subgroups of monomial groups.

**Theorem 2.3.** Suppose G is a finite soluble group, Q is a nilpotent normal subgroup of G with K and L subgroups of G. Assume that

- (i) no G-chief factor of G/Q is G-isomorphic to a G-chief factor of Q; and
- (ii) K and L are supplements to Q in G with  $K \cap Q = L \cap Q$ .

Then K and L are G-conjugate.

*Proof.* See [35].

**Lemma 2.4.** Suppose that  $X \cong \text{Sym}(n)$  and E is a cyclic group of odd order. Let  $H = E \wr X$  and F be the base group of H. Considering F as a  $\mathbb{Z}X$ -module, we have  $H^1(X, F) = 0$ .

Proof. Let  $X_1 \leq X$  be a one-point stabilizer of X. So  $X_1 \cong \text{Sym}(n-1)$ . Then we can consider E as a trivial  $\mathbb{Z}X_1$ -module. With this interpretation we have  $F = \text{Ind}_{X_1}^X(E)$ . Since |E| is odd, we have  $H^1(X_1, E) = 0$ . Now the result follows from Shapiro's Lemma [12, Proposition III.6.2].

**Lemma 2.5.** Let E be a cyclic group of odd order, n a natural number and X = Sym(n). Let  $H = E \wr X$ , F be the base group of H and  $[F, X]C_F(X) \le Y \le F$ . Then YX contains exactly |F/Y| conjugacy classes of complements to Y.

*Proof.* We view Y and F as  $\mathbb{Z}X$ -modules. By Lemma 2.4  $\mathrm{H}^1(X, F) = 0$ . We have a short exact sequence of X-modules  $0 \to Y \to F \to F/Y \to 0$ . Hence by [12, Proposition III.6.1 (ii)] we have a long exact sequence which starts

 $\begin{array}{rcl} 0 & \to & \mathrm{H}^{0}(X,Y) \to \mathrm{H}^{0}(X,F) \to \mathrm{H}^{0}(X,F/Y) \to \mathrm{H}^{1}(X,Y) \\ & \to & \mathrm{H}^{1}(X,F) \to \dots. \end{array}$ 

By [12, III.1.8]  $\mathrm{H}^{0}(X, F) \cong \mathrm{H}^{0}(X, Y) \cong C_{F}(X)$  and  $\mathrm{H}^{0}(X, F/Y) \cong C_{F/Y}(X) \cong F/Y$ . Hence the map  $\mathrm{H}^{0}(X, F) \to \mathrm{H}^{0}(X, F/Y)$  is the zero

map and as  $H^1(X, F) = 0$ , the map  $H^0(X, F/Y) \to H^1(X, Y)$  is an isomorphism. Hence  $|H^1(X, Y)| = |Y/F|$  and the result now follows from [3, 17.7] or [12, Proposition III.2.3].

**Lemma 2.6.** Let E be a cyclic group of odd order, n a natural number and X = Sym(n). Let  $H = E \wr X$  and F be the base group of H. Assume that K is a subgroup of H with KF = H. Then K contains a conjugate of X.

Proof. We proceed by induction on |E|. Suppose that p is a prime which divides |E| and set  $E_p = E/E^p$ . If  $|E^p| \neq 1$ , then the result follows by induction applied to  $E_p \wr X$  and  $E^p \wr X$ . Hence we may assume that |E| = p and  $|F| = p^n$ . Let V = [F, H] and Z = Z(H). Assume that  $K_1 \leq K$  has minimal order subject to  $K_1F = H$ . Obviously if  $K_1 = H$ we are done. Hence  $K_1 < H$ . If  $K_1 \geq V$ , then  $K_1/V \cong X$ . Hence, as pis odd,  $K_1 = XV$  and we are done. It follows that  $K_1 \cap F \leq Z$ . Hence  $K_1Z/Z \cong \text{Sym}(n)$ . Since p is odd and the Schur multiplier of Sym(n)is a 2-group, the minimal choice of  $K_1$  implies that  $K_1$  is a complement to F in X. Now Lemma 2.5 yields  $K_1$  and X are conjugate. This proves the claim.  $\Box$ 

Finally in this section we have the following elementary lemma.

**Lemma 2.7.** Suppose that H is a normal subgroup of a finite group G. Let  $S \in Syl_p(G)$  and  $R = S \cap H$ . If  $N_G(S) = N_G(R)$ , then S is the unique Sylow p-subgroup of G which contains R.

*Proof.* Using the Frattini Argument we have  $|G: N_G(S)| = |N_G(R)H:$  $N_G(R)| = |H: N_H(R)|$ . Hence the map  $T \mapsto T \cap H$  is a bijection between  $\operatorname{Syl}_p(G)$  and  $\operatorname{Syl}_p(H)$ .

#### 3. *p*-minimal subgroups

In this section p is a prime, G is a finite group, S a Sylow p-subgroup of G and  $B = N_G(S)$ . We recall that a subgroup P of G containing Bis called p-minimal so long as  $P \neq B$  and B is contained in a unique maximal subgroup of P and we denote the set of p-minimal subgroups of G containing B by  $\mathcal{M}(G, B)$ .

**Lemma 3.1.** If H and K are G-conjugate subgroups of G which contain B, then H = K.

*Proof.* Let  $g \in G$  be such that  $H^g = K$ . Then both S and  $S^{g^{-1}}$  are Sylow p-subgroups of H. By Sylow's Theorem there exist  $h \in H$  such that  $g^{-1}h = b \in B$ . So  $g = hb^{-1} \in H$  which means that  $K = H^g = H$ .

**Lemma 3.2.** Either G is p-closed or  $G = \langle \mathcal{M}(G, B) \rangle$ . In particular,

$$G = \langle O^{p'}(Y) \mid Y \in \mathcal{M}(G, B) \rangle B.$$

Proof. Assume that G is a minimal counterexample to the statement that  $G = \langle \mathcal{M}(G, B) \rangle$  and that G is not p-closed. Then  $\mathcal{M}(G, B)$  is not empty and  $G > \langle \mathcal{M}(G, B) \rangle$ . Suppose that U is a maximal subgroup of G containing B. If U = B, then  $G \in \mathcal{M}(G, B)$ , and we have a contradiction. So, by the minimality of  $G, U = \langle \mathcal{M}(U, B) \rangle$ . Since  $\mathcal{M}(U, B) \subseteq$  $\mathcal{M}(G, B)$ , we have  $U \leq \langle \mathcal{M}(G, B) \rangle < G$ . Hence  $U = \langle \mathcal{M}(G, B) \rangle$  is the unique maximal subgroup of G containing B. Thus  $G \in \mathcal{M}(G, B)$  and again we have a contradiction. For the final equality, we note that, if G is p-closed, then G = B and otherwise  $\mathcal{M}(G, B)$  is nonempty. In this case B normalizes  $\langle O^{p'}(Y) | Y \in \mathcal{M}(G, B) \rangle$  and therefore

$$\langle O^{p'}(Y) \mid Y \in \mathcal{M}(G,B) \rangle B = \langle O^{p'}(Y)B \mid Y \in \mathcal{M}(G,B) \rangle = \langle \mathcal{M}(G,B) \rangle = G$$

**Definition 3.3.** For H a group and X a group which admits an action of H, we say that X is H-minimal provided X has a unique maximal H-invariant subgroup.

So a p-minimal group P is a B-minimal group where B acts on P by inner automorphisms.

**Lemma 3.4.** Suppose that  $P = BK \in \mathcal{M}(G, B)$  for some normal subgroup K of P of order coprime to p. Then P = B[K, S] and [K, S] is B-minimal. If additionally, [K, S] is nilpotent, then it is an r-group for some prime r.

Proof. Set L = [K, S]. Then  $K = C_K(S)L$  and so  $P = BK = BC_K(S)L = BL$  as  $B \cap K = C_K(S)$ . Assume that  $L_1$  and  $L_2$  are maximal B-invariant subgroups of L. Then  $BL_1$  and  $BL_2$  are both subgroups of P. If, say,  $P = BL_1$ , then we have

$$L \le P \cap K = BL_1 \cap K = L_1(B \cap K)$$

which yields the contradiction

$$L = [L, S] \le [L_1(B \cap K), S] = [L_1C_K(S), S] \le L_1.$$

Therefore  $BL_1$  and similarly  $BL_2$  are both proper subgroups of P containing B. Hence  $BL_1$  and  $BL_2$  are both contained in the unique maximal subgroup of P containing B. Thus  $B\langle L_1, L_2 \rangle$  is a proper subgroup of P. Hence by maximality  $L_1 = L_2$  and so L is B-minimal.

Finally, assuming that L is nilpotent, as L is B-minimal, we conclude that it must be an r-group for some prime r.

**Lemma 3.5.** Suppose that K is a normal subgroup of G and  $P \in \mathcal{M}(G, B)$ . Assume that  $PK \neq BK$  and that  $P > U \geq B$  is the unique maximal subgroup of P containing B. Then  $PK/K \in \mathcal{M}(G/K, BK/K)$  and UK/K is the unique maximal subgroup of PK/K containing BK/K.

Proof. First observe that  $P > B(P \cap K)$  and that PK/K does not normalize SK/K by the Frattini Argument. Hence  $B(P \cap K)$  is contained in U. Then  $U/(P \cap K)$  is the unique maximal subgroup of  $P/(P \cap K)$  which contains  $B(P \cap K)/(P \cap K)$ . Hence BK/K is contained in a unique maximal subgroup of PK/K and so  $PK/K \in \mathcal{M}(G/K, BK/K)$ .

**Lemma 3.6.** Suppose that K is a normal subgroup of G and G/K is p-minimal. Then there exists  $P \in \mathcal{M}(G, B)$  such that G = PK.

*Proof.* By the Frattini Argument  $BK/K = N_{G/K}(SK/K)$ . Therefore Lemmas 3.2 and 3.5 give the result.

**Lemma 3.7.** Suppose that K is a normal subgroup of G and  $R = S \cap K$ . Assume that  $P \in \mathcal{M}(K, N_K(R))$  and PB is a group. If  $B \cap K = N_K(R)$ , then  $PB \in \mathcal{M}(G, B)$ .

*Proof.* First we observe that

 $B \cap P = B \cap P \cap K = P \cap N_K(R) = N_K(R).$ 

Also  $PB \cap K = P(B \cap K) = PN_K(R) = P$  and so P is normal in PB. Now suppose that M is a proper subgroup of PB containing B. Then  $M = B(M \cap P)$  and  $M \cap P < P$ . Since  $M \cap P \ge B \cap P = N_K(R)$ , we have that  $M \cap P \le U$  where U is the unique maximal subgroup of P containing  $N_K(R)$ . Since B normalizes both  $N_K(R)$  and P and U is the unique maximal subgroup of P containing  $N_K(R)$ , we get that Bnormalizes U and  $M \le UB < PB$ . Thus UB is the unique maximal subgroup of PB containing B. Hence  $PB \in \mathcal{M}(G, B)$ 

In the next lemmas, we note that  $\mathcal{M}(B, B)$  is the empty set.

**Lemma 3.8.** Suppose that K is a normal subgroup of G and  $P \in \mathcal{M}(G, B)$ . Then either

(i)  $P \in \mathcal{M}(BK, B)$ ; or

(ii)  $PK/K \in \mathcal{M}(G/K, BK/K)$  and  $P \in \mathcal{M}(N_G(S \cap K), B)$ .

In particular,  $\mathcal{M}(G, B) = \mathcal{M}(BK, B) \cup \mathcal{M}(N_G(S \cap K), B).$ 

Proof. Assume that  $P \notin \mathcal{M}(BK, B)$ . Then  $PK/K \in \mathcal{M}(G/K, BK/K)$  by Lemma 3.5. Since  $S \cap K \in \operatorname{Syl}_p(P \cap K)$  and  $P \cap K$  is a normal subgroup of P, we have  $P = N_P(S \cap K)(P \cap K)$  by the Frattini Argument. Thus, because  $N_P(S \cap K) \geq B$  and P is p-minimal, we now have  $P = N_P(S \cap K)$ . Hence  $P \in \mathcal{M}(N_G(S \cap K), B)$ .

**Lemma 3.9.** Suppose that K is a normal subgroup of G and  $G = BKC_G(K)$ . Assume that  $N_K(S \cap K) = B \cap K$  and  $P \in \mathcal{M}(G, B)$ . Then  $P \in \mathcal{M}(BK, B) \cup \mathcal{M}(BC_G(K), B)$ .

Proof. Since  $B \cap K = N_K(S \cap K)$  and  $G = BKC_G(K)$ , we infer that  $N_G(S \cap K) \leq BC_G(K)$ . From Lemma 3.8 we have  $P \in \mathcal{M}(BK, B)$  or  $P \in \mathcal{M}(N_G(S \cap K), B)$ . Hence  $P \in \mathcal{M}(BK, M) \cup \mathcal{M}(BC_G(K), B)$ .  $\Box$ 

**Definition 3.10.** Let A be a group which acts on the group G and  $P \in \mathcal{M}(G, B)$ . Then P is an A-immutable p-minimal subgroup of G provided that for all  $\alpha \in A$ ,  $P^{\alpha} \in \mathcal{M}(G, B)$  implies  $P^{\alpha} = P$ . We say that G is A-immutable provided all the members of  $\mathcal{M}(G, B)$  are A-immutable. If G is Aut(G)-immutable, we say that G is p-immutable.

The next lemma highlights our interest and is the key property of B-immutable normal subgroups of G.

**Lemma 3.11.** Suppose that K is a normal subgroup of G, G = BK,  $R = S \cap K$  and  $N_K(R) = B \cap K$ . If K is B-immutable, then the map  $P \mapsto P \cap K$  is a bijection between  $\mathcal{M}(G, B)$  and  $\mathcal{M}(K, N_K(R))$ .

*Proof.* Let  $P \in \mathcal{M}(G, B)$ . Note that  $P \cap K \geq B \cap K = N_K(R)$ . We first show that P = BQ for some  $Q \in \mathcal{M}(P \cap K, N_K(R))$ . We claim that  $P \cap K$  is not *p*-closed. For if it were, we get  $P \cap K = B \cap K$ , whence

$$P = P \cap G = P \cap BK = B(P \cap K) = B,$$

a contradiction. Hence, by Lemma 3.2,

$$P \cap K = \langle Q \mid Q \in \mathcal{M}(P \cap K, N_K(R)) \rangle.$$

Since K is B-immutable and B leaves the set  $\mathcal{M}(P \cap K, N_K(R))$  invariant, B normalizes each  $Q \in \mathcal{M}(P \cap K, N_K(R))$  and so  $BQ \leq P$ . Since P is p-minimal, there exists  $Q \in \mathcal{M}(P \cap K, N_K(R))$  such that QB is not contained in the unique maximal subgroup of P containing B and therefore P = QB. Moreover

$$P \cap K = QB \cap K = Q(B \cap K) = Q.$$

Consequently the map  $P \mapsto P \cap K$  is a bijection from  $\mathcal{M}(G, B)$  to  $\mathcal{M}(K, N_K(R))$ .

**Lemma 3.12.** Suppose that G is a group, H is a subgroup of G and  $H_0 = Z(G)H$ . Assume that  $R \in \text{Syl}_p(H_0)$ . Then the assignment  $P \mapsto P \cap H$  defines a bijection between  $\mathcal{M}(H_0, N_{H_0}(R))$  and  $\mathcal{M}(H, N_H(R \cap H))$ .

*Proof.* First observe that  $R = (R \cap Z(G))(R \cap H)$  and  $N_{H_0}(R) = Z(G)N_{H_0}(R \cap H)$ . Hence  $N_H(R \cap H) = H \cap N_{H_0}(R)$ . Since H is  $N_{H_0}(R)$ -immutable, that  $P \mapsto P \cap H$  is a bijection follows from Lemma 3.11.  $\Box$ 

**Lemma 3.13.** Suppose G = KL where K and L are normal subgroups of G with  $K \cap L = 1$  and let  $P \in \mathcal{M}(G, B)$ . Assume that neither Knor L is p-closed. Then either  $P \cap K \in \mathcal{M}(K, B \cap K)$  or  $P \cap L \in \mathcal{M}(L, B \cap L)$ .

Proof. We have  $G = KL = BKC_G(K)$  and, as  $S = (S \cap K)(S \cap L)$ ,  $N_K(S \cap K) \leq B$ . So  $B \cap K = N_K(S \cap L)$  and  $B = (B \cap K)(B \cap L)$ . Furthermore, since  $C_G(K) = Z(K)L$  and  $Z(K) \leq B$ , we have  $BC_G(K) = BL$ . Hence, using Lemma 3.9,  $P \in \mathcal{M}(BK, B) \cup \mathcal{M}(BL, B)$ . Since  $B = (B \cap K)(B \cap L)$ , K and L are B-immutable and so Lemma 3.11 completes the result.

We now make some remarks concerning central products and projection maps. Suppose that  $K_1, \ldots, K_n$  are groups. Then a *central product* of  $K_1, \ldots, K_n$  is the image of  $K_1 \times \cdots \times K_n$  by a homomorphism with a central kernel. If  $X = K_1 \ldots K_n$  is a central product by a homomorphism  $\theta$ , then the projection of X to  $K_1$  is the composition of the standard projection of  $\overline{X} = K_1 \times \cdots \times K_n$  to  $K_1$  considered as a homomorphism from  $\overline{X}$  to  $\overline{X}$  with  $\theta$ .

**Lemma 3.14.** Suppose that G is a group and K is a normal subgroup of G such that G = KB. Assume that  $K = K_1K_2...K_n$  is a central product and B acts on the set  $\{K_1,...,K_n\}$  by conjugation. Let  $\pi_1$ be the projection map from K to  $K_1$ . If  $Y \in \mathcal{M}(K_1, N_{K_1}(S \cap K_1))$  is  $N_B(K_1)$ -immutable, then  $\pi_1(\langle Y^B \rangle) = Y$ .

*Proof.* Let  $g \in B$ . Then  $Y^g \leq K_1^g = K_j$  for some  $1 \leq j \leq n$  and, as g normalizes S,

$$Y^g \ge N_{K_i}(S \cap K_j).$$

If  $j \neq 1$ , then, as  $Y^g$  centralizes  $S \cap K_1$ ,

$$\pi_1(Y^g) \le N_{K_1}(S \cap K_1) \le Y.$$

If j = 1, then g normalizes  $K_1$  and, as Y is  $N_B(K_1)$ -immutable,  $Y^g = Y$ . Hence, as  $\pi_1$  is a homomorphism from K to  $K_1, \pi_1(\langle Y^B \rangle) = Y$ .  $\Box$ 

The next lemma is of fundamental importance when we consider p-minimal subgroups of wreath products.

**Lemma 3.15.** Suppose that G is a group and K is a normal subgroup of G such that G = KB. Assume, additionally, that  $K = K_1K_2...K_n$ is a central product and B acts transitively on the set  $\{K_1, \ldots, K_n\}$  by conjugation. Let  $\pi_1$  be the projection map from K to  $K_1$  and assume that

- (a)  $\pi_1(B \cap K) = N_{K_1}(S \cap K_1)$ ; and
- (b)  $K_1$  is  $N_B(K_1)$ -immutable.

Then we have the following.

- (i) Let  $P \in \mathcal{M}(G, B)$ , and set  $L = \pi_1(P \cap K)$ . Then either  $P \in \mathcal{M}(N_G(S \cap K), B)$  or  $P = \langle O^{p'}(L)^B \rangle B$  and  $L \in \mathcal{M}(K_1, N_{K_1}(S \cap K_1))$ .
- (ii) If  $L \in \mathcal{M}(K_1, N_{K_1}(S \cap K_1))$  and  $P = \langle O^{p'}(L)^B \rangle B$ , then  $P \in \mathcal{M}(G, B)$  and  $\pi_1(P \cap K) = L$ .

In particular, there is a bijection between the sets

$$\mathcal{M}(G,B) \setminus \mathcal{M}(N_G(S \cap K), B) \text{ and } \mathcal{M}(K_1, N_{K_1}(S \cap K_1)).$$

Proof. Suppose first that  $P \in \mathcal{M}(G, B) \setminus \mathcal{M}(N_G(S \cap K), B)$  and set  $P_0 = P \cap K$ . Then  $P = P_0 B$  by Lemma 3.8. We have  $P_0 \geq B \cap K = N_K(S)$ . Hence, by assumption (a),  $\pi_1(P_0) \geq \pi_1(B \cap K) = N_{K_1}(S \cap K_1)$ . Set

$$R_1 = \langle (S \cap K_1)^{\pi_1(P_0)} \rangle \le \pi_1(P_0) \le K_1$$

and

$$R = \langle R_1^B \rangle (S \cap K) \le K.$$

Then, as K is a central product of  $K_1, \ldots, K_n$ ,  $R_1 = \langle (S \cap K_1)^{\pi_1(P_0)} \rangle$ is normal in  $P_0$  and so R is normal in  $P_0$ . Since R is normal in  $P_0$ , the Frattini Argument delivers  $P_0 = RN_{P_0}(S \cap K)$  and so

$$P = P_0 B = R N_{P_0}(S \cap K) B.$$

Since RB and  $N_{P_0}(S \cap K)B$  are both subgroups of P containing B,  $P \not\leq N_G(S \cap K)$  and  $P \in \mathcal{M}(G, B)$ , we have P = RB. We now show that  $L = \pi_1(P_0) \in \mathcal{M}(K_1, N_{K_1}(S \cap K_1))$ . Note that  $L \geq R_1 N_{K_1}(S \cap K_1) = R_1 \pi_1(B \cap K)$  and so, as  $R_1$  is normal in L, the Frattini Argument implies

$$L = R_1 N_{K_1} (S \cap K_1).$$

Let  $Y \in \mathcal{M}(L, N_L(S \cap K_1))$  with  $Y \neq L$  and set  $Q = \langle (S \cap K_1)^Y \rangle = O^{p'}(Y)$ . Note that, as  $Y \leq L = \pi_1(P_0)$  and  $S \cap K_1 \leq P_0$ , we have  $Q \leq P_0$ . Because  $Y \in \mathcal{M}(K_1, N_{K_1}(S \cap K_1))$  is  $N_B(K_1)$ -immutable and K is a central product, Lemma 3.14 implies that

$$\pi_1(\langle Y^B \rangle) = Y \le L.$$

It follows that

$$\pi_1(\langle Q^B \rangle(B \cap K)) \le Y < L = \pi_1(P_0)$$

and so  $\langle Q^B \rangle (B \cap K) < P_0$ . In particular,  $\langle Q^B \rangle B$  is contained in the unique maximal subgroup of P. Hence, if  $L \notin \mathcal{M}(L, N_L(S \cap K_1))$ , then

$$\langle O^{p'}(Y) \mid Y \in \mathcal{M}(L, N_L(S \cap K_1)) \rangle B < P,$$

but this contradicts

$$L = \langle O^{p'}(Y) \mid Y \in \mathcal{M}(L, N_L(S \cap K_1)) \rangle \pi_1(N_K(S))$$

and  $\langle O^{p'}(L), B \rangle = P$ . We have shown that  $L = \pi_1(P_0) \in \mathcal{M}(K_1, N_{K_1}(S \cap K_1))$  and  $P = \langle Q^B \rangle B$ . Hence (i) holds.

Now assume that P = RB where  $R = \langle O^{p'}(L)^B \rangle$  and  $L \in \mathcal{M}(K_1, N_{K_1}(S \cap K_1))$ . We have that  $P_0 = P \cap K = R(B \cap K)$  and, as  $K_1$  is  $N_B(K_1)$ immutable, Lemma 3.14 gives  $\pi_1(P_0) = L$ . Let U be the unique maximal subgroup of L which contains  $N_L(S \cap K_1)$ . Assume that  $Y \in \mathcal{M}(P, B)$ . Then using part (i) either  $Y = N_Y(S \cap K)$  or  $Y = \langle O^{p'}(\pi_1(Y \cap K))^B \rangle B$ . In the former case  $\pi_1(Y \cap K) = N_{K_1}(S \cap K_1) \leq U$ . So suppose the second possibility arises. Then  $\pi_1(Y \cap K) \leq \pi_1(P_0) = L$ . If we have equality, then  $O^{p'}(\pi_1(Y \cap K)) = O^{p'}(L)$  and so Y = P which means that  $P \in \mathcal{M}(G, B)$ . So we should assume, using (a), that  $\pi_1(Y \cap K) \leq U$ . Then, for all  $Y \in \mathcal{M}(P, B)$ , we have  $\pi_1(Y \cap K) \leq U$ . However,

$$P = \langle Y \mid Y \in \mathcal{M}(P,B) \rangle = \langle B(Y \cap K) \mid Y \in \mathcal{M}(P,B) \rangle$$

and  $P_0 = (B \cap K) \langle Y \cap K | Y \in \mathcal{M}(P, B) \rangle = \langle Y \cap K | Y \in \mathcal{M}(P, B) \rangle$ . Since  $\pi_1$  is a homomorphism we now have that  $\pi_1(P_0) \leq U < L = \pi_1(P_0)$  which is absurd. This completes the proof.  $\Box$ 

**Lemma 3.16.** Assume that H is a normal subgroup of G,  $R = S \cap H \in$ Syl<sub>2</sub>(H),  $P \leq H$  and  $P \geq N_H(R)$ . Assume

- (i)  $J = J_1 \times J_2$  is a normal subgroup of G with G permuting  $\{J_1, J_2\}$  transitively;
- (ii)  $R \cap J = N_J(R \cap J);$
- (iii)  $S = C_S(J_1)R$ ; and
- (iv)  $P = N_H(R)(P \cap J)$ .

Then S normalizes P.

*Proof.* Set  $Y = C_S(J_1)$  and  $Q_1 = \langle (R \cap J_1)^{P \cap J} \rangle$ . Then  $Q_1 \leq P \cap J_1$  and is normalized by  $\langle P \cap J, N_{N_H(R)}(J_1), Y \rangle$ . Condition (iii) implies that Hpermutes  $\{J_1, J_2\}$  transitively.

Since  $N_H(R)$  normalizes  $P \cap J$  and  $P \cap J \leq N_G(Q_1)$ ,  $Q = \langle Q_1^{N_H(R)} \rangle$ is normalized by  $N_H(R)(P \cap J)$  which by (iv) is equal to P. Note that (iv) together with (ii) also implies that

$$N_P(R \cap J) = N_P(R \cap J) \cap N_H(R)(P \cap J) = N_H(R)N_{P \cap J}(R \cap J)$$
  
=  $N_H(R)(R \cap J) = N_H(R).$ 

Since  $R \cap J_1 \leq Q_1$  and, by (i) and (iii),  $R \cap J = (R \cap J_1)(R \cap J_2) \leq Q \leq J \cap P$ , we have that  $R \cap J \in \text{Syl}_2(Q)$ . Thus the Frattini argument gives

$$P = N_P(R \cap J)Q = N_H(R)Q.$$

Furthermore, we have Y normalizes  $Q_1$  and, as H and R are normalized by S, Y normalizes  $N_H(R)$  and so Y normalizes Q. As S = YR, S normalizes Q. Since S normalizes  $N_H(R)$  by (iii), we have S normalizes P, as claimed.

#### 4. 2-minimal subgroups in monomial groups

Recall that  $T_m$  is a Sylow 2-subgroup of  $\text{Sym}(2^m)$  as described in Section 2. Also the definition of *H*-minimal groups is given in Definition 3.3.

**Lemma 4.1.** Let s be an odd prime and b and m be positive integers. Suppose that  $U = \langle u_1, \ldots, u_{2^m} \rangle$  is a homocyclic group of rank  $2^m$  and exponent s<sup>b</sup>. Let  $T = T_m \in \text{Syl}_2(\text{Sym}(2^m))$  permute the set  $\{u_1, \ldots, u_{2^m}\}$  of generators of U naturally and thereby realize T as a subgroup of Aut(U). For  $0 \leq j \leq m$ , define

$$U_{j} = U_{j}(s^{b}) = \langle (\sum_{i=1}^{2^{m-j}} u_{i} - u_{2^{m-j}+i})^{T} \rangle$$

where, by convention, all elements  $u_k$  with  $k > 2^m$  are ignored. Then

(i)  $U_0 = C_U(T)$  is cyclic of order  $s^b$  and, for  $1 \le j \le m$ ,  $U_j$  is homocyclic of rank  $2^{j-1}$  and exponent  $s^b$ ;

(ii) 
$$U = \bigoplus_{i=0}^{m} U_i;$$

- (iii) the centralizer in T of  $U_j$  is the base group of T when T is viewed as the wreath product  $T_j \wr T_{m-j}$ ; and
- (iv) the set  $\{U_j(s^c) = s^{b-c}U_j \mid 0 \le j \le m, 1 \le c \le b\}$  comprises all the non-zero *T*-minimal subgroups of *U*.

*Proof.* We prove the result by induction on m noting that it is easy to check for m = 1. So we now assume that m > 1. Let

$$R = \langle (1,2), (3,4), \dots, (2^m - 1, 2^m) \rangle$$

be the base group of T. Then  $[U, R] = \langle u_1 - u_2, \ldots, u_{2^{m-1}} - u_{2^m} \rangle$ and  $C_U(R) = \langle u_1 + u_2, \ldots, u_{2^{m-1}} + u_{2^m} \rangle$ . Thus  $U_m = [U, R]$  and  $U = C_U(R) \oplus U_m$ . Furthermore  $C_U(R)$  is an abelian group of exponent  $s^b$  and rank  $2^{m-1}$  which admits T/R as a group of automorphisms permuting its generating set exactly as a Sylow 2-subgroup of  $\text{Sym}(2^{m-1})$  does. By induction we obtain  $C_U(R) = \bigoplus_{j=0}^{m-1} U_j$ . Thus  $U = \bigoplus_{j=0}^m U_j$ . Since any minimal T-invariant subgroup of U is contained in either  $C_U(R)$  or  $[U, R] = U_m$ , it remains, again by induction, to show that  $U_m$  is a minimal *T*-invariant subgroup of *U* of exponent  $s^b$ . Suppose that  $0 \neq W < U_m$  and that *W* is *T*-invariant and of exponent  $s^b$ . Then *W* is homocyclic and  $[W, (1, 2)] \leq \langle u_1 - u_2 \rangle$ . If  $[W, (1, 2)] \leq s \langle u_1 - u_2 \rangle$ , then, as *T* acts transitively on the given generators of *R*, we have  $[W, R] \leq sU_m$ . But then W/sW is centralized by *R* and consequently  $W \leq C_U(R) \cap [U, R] = 0$ , which is against our assumption. Therefore  $[W, (1, 2)] = \langle u_1 - u_2 \rangle$  and the action *T* delivers  $W = U_m$ . If *W* has exponent  $s^c$  with c < b, then  $W \leq sU$  and the final statement now follows by an induction on *b*.

To clear the air, notationally speaking, we consider the following example.

**Example 4.2.** Suppose that  $2^m = 16$  and  $s^b = 9$ . Then the non-zero  $T_4$ -minimal subgroups of U are as follows:

- (i)  $U_0 = U_0(3^2) = \langle u_1 + \dots + u_{16} \rangle$  of rank 1 and order 9 and  $3U_0 = U_0(3^1)$  of order 3;
- (ii)  $U_1 = U_1(3^2) = \langle u_1 + \dots + u_8 (u_9 + \dots + u_{16}) \rangle$  of rank 1 and order 9 and  $3U_1 = U_1(3^1)$  of order 3;
- (iii)  $U_2 = U_2(3^2) = \langle u_1 + \dots + u_4 (u_5 + \dots + u_8), u_9 + \dots + u_{12} (u_{13} + \dots + u_{16}) \rangle$  of rank 2 and of order  $9^2$  and  $3U_2 = U_2(3^1)$  of order  $3^2$ ;
- (iv)  $U_3 = U_3(3^2) = \langle u_1 + u_2 (u_3 + u_4), \dots, u_{13} + u_{14} (u_{15} + u_{16}) \rangle$ of rank 4 and order 9<sup>4</sup> and  $3U_3 = U_3(3^1)$  order 3<sup>4</sup>; and
- (v)  $U_4 = U_4(3^2) = \langle u_1 u_2, \dots, u_{15} u_{16} \rangle$  of rank 8 and order 9<sup>8</sup> and  $3U_4 = U_4(3^1)$  of order 3<sup>8</sup>.

Our next lemma is similar to the preceding one.

Let  $j \in \{1, \ldots, m-1\}$ . The subgroup of  $\operatorname{Sym}(2^m)$  denoted by  $X_{2^m}(1; j)$ (note that r = 1 and  $n_1 = m$  here) in Section 2 is isomorphic to  $T_{j-1} \wr \operatorname{Sym}(4) \wr T_{m-j-1}$ . Also note that here we are indicating the degree, writing  $X_{2^m}(1; j)$  rather than X(1; j). Set  $Y_{m,j} = X_{2^m}(1; j)$ . Let  $F_{m,j}$  be the base group of  $Y_{m,j}$  where we think of  $Y_{m,j}$  as the wreath product

$$X_{2^{j+1}}(1;j) \wr T_{m-j-1} = Y_{j+1,j} \wr T_{m-j-1}.$$

So  $F_{m,j}$  is a direct product of  $2^{m-j-1}$  copies of  $Y_{j+1,j}(=T_{j-1} \wr \operatorname{Sym}(4))$ . The set-up just described will be assumed in Lemmas 4.3, 4.5 and 4.6.

**Lemma 4.3.** Let s be an odd prime and b and  $m \ge 2$  be positive integers. Suppose that  $U = \langle u_1, \ldots, u_{2^m} \rangle$  is a homocyclic group of rank  $2^m$  and exponent s<sup>b</sup>. Let the group  $Y_{m,j}$  permute the set  $\{u_1, \ldots, u_{2^m}\}$ of generators of U naturally and thereby realizes  $Y_{m,j}$  as a subgroup of  $\operatorname{Aut}(U)$ . For  $0 \leq j \leq m$ , set

$$U_j = U_j(s^b) = \langle (\sum_{i=1}^{2^{m-j}} u_i - u_{2^{m-j}+i})^{T_m} \rangle.$$

Then the following hold.

- (i) For  $1 \leq j \leq m-1$ ,  $U = C_U(F_{m,j}) \oplus [U, F_{m,j}]$  is a  $Y_{m,j}$ -invariant
- (i)  $C_U(F_{m,j}) = \bigoplus_{k=0}^{m-j-1} U_k$  and  $[U, F_{m,j}] = W \oplus \bigoplus_{k=m-j+2}^m U_k$ (ii)  $C_U(F_{m,j}) = \bigoplus_{k=0}^{m-j-1} U_k$  and  $[U, F_{m,j}] = W \oplus \bigoplus_{k=m-j+2}^m U_k$ where  $W = U_{m-j} \oplus U_{m-j+1}$  are decompositions of  $C_U(F_{m,j})$ and  $[U, F_{m,i}]$  into  $Y_{m,i}$ -minimal subgroups of exponent  $s^b$ .

*Proof.* We prove the result by induction on j. Assume that j = 1. So  $F_{m,1}$  is a direct product of groups isomorphic to Sym(4). Then  $C_U(F_{m,1}) = \langle \{u_1 + u_2 + u_3 + u_4\}^{Y_{m,1}} \rangle \text{ which has rank } 2^{m-2} \text{ and } [U, F_{m,1}] = \langle \{u_1 - u_2, u_2 - u_3, u_1 - u_4\}^{Y_{m,1}} \rangle \text{ which has rank } 2^{m-2} + 2^{m-1}. \text{ Thus, as } 2^{m-2} + 2^{m-2} + 2^{m-1} = 2^m \text{ and } C_U(F_{m,1}) \cap [U, F_{m,1}] = 0, U = C_U(F_{m,1}) \oplus$  $[U, F_{m,1}]$  and this is a  $Y_{m,1}$ -invariant decomposition. We may identify  $C_U(F_{m,1})$  with the natural permutation module for  $Y_{j,1}/F_{j,1} \cong T_{m-2}$ and thus by applying Lemma 4.1 and making the appropriate identi-fications we have  $C_U(F_{m,1}) = \bigoplus_{k=0}^{m-2} U_k$ . Applying Lemma 4.1 again this time for  $T_m$ , we see that  $[U, F_{m,1}] = U_{m-1} \oplus U_m$  and as  $U_m$  is not  $Y_{j,1}$ -invariant we deduce that  $W = [U, F_{m,1}]$  is a minimal  $Y_{m,1}$ -invariant subgroup of exponent  $s^b$ . This proves the lemma for j = 1.

Now assume that j > 1 and let  $S_0 = \langle (1,2)^{Y_{m,j}} \rangle$ . Then  $S_0$  is elementary abelian of order  $2^{2^{m-1}}$  and  $Y_{m,j}/S_0 \cong Y_{m-1,j-1}$ . Since U has odd order, we have  $U = C_U(S_0) \oplus [U, S_0]$  is a  $Y_{m,j}$ -invariant decomposition of U and we observe that  $[U, S_0] = U_m$  is irreducible as a  $Y_{m,j}$ module as its restriction to  $T_m$  is already irreducible by Lemma 4.1. So  $U = C_U(S_0) \oplus U_m$ . Since  $C_U(S_0) = \langle (u_1 - u_2)^{Y_{m,j}} \rangle$  we may identify  $C_U(S_0)$  with the natural  $Y_{m,j}/S_0 \cong Y_{m-1,j-1}$ -module. By induction we then have  $C_U(S_0) = C_{C_U(S_0)}(F_{m-1,j-1}) \oplus [C_U(S_0), F_{m-1,j-1}]$  and we can write  $C_{C_U(S_0)}(F_{m-1,j-1}) = \bigoplus_{k=0}^{m-j-1} U_k$  and  $[C_U(S_0), F_{m-1,j-1}] =$  $W \oplus \bigoplus_{k=m-j+2}^{m-1} U_k$ . Thus we have decomposed U as a direct sum of irreducible modules as described in the lemma. We complete the proof by noting that  $C_{C_U(S_0)}(F_{m-1,j-1}) = C_U(F_{m,j})$  and that  $[U, F_{m,j}] =$  $[C_U(S_0), F_{m-1,j-1}] \oplus U_m.$  $\square$ 

We further embellish Example 4.2 to illustrate the phenomena in Lemma 4.3.

**Example 4.4.** We again take  $2^m = 2^4$  and  $s^b = 9$ . See Example 4.2 for an explicit description of  $U_0$ ,  $U_1$ ,  $U_2$ ,  $U_3$  and  $U_4$ . Then

$$X_{2^4}(1;1) = Y_{4,1} = \text{Sym}(4) \wr T_2$$

with  $C_U(F_{4,1}) = U_0 \oplus U_1 \oplus U_2$  and  $[U, F_{4,1}] = U_3 \oplus U_4$ . Further the  $Y_{4,1}$ -minimal subgroups of U are  $U_0$ ,  $3U_0$ ,  $U_1$ ,  $3U_1$ ,  $U_2$  and  $3U_2$  (which are all centralized by the base group of  $F_{4,1}$ ), together with  $U_3 \oplus U_4$  and  $3(U_3 \oplus U_4)$  (which both admit  $F_{4,1}$  faithfully). For  $X_{2^4}(1; 2) = Y_{4,2} = T_1 \wr \text{Sym}(4) \wr T_1$ ,  $C_U(F_{4,2}) = U_0 \oplus U_1$  and  $[U, F_{4,2}] = W \oplus U_4$  with  $W = U_2 \oplus U_3$  (so the  $Y_{4,2}$ -minimal subgroups of U are  $U_0$ ,  $3U_0$ ,  $U_1$ ,  $3U_1$ ,  $U_2 \oplus U_3$  and  $3(U_2 \oplus U_3)$ ,  $U_4$  and  $3U_4$ ).

Similarly for  $X_{2^4}(1;3) = Y_{4,3} = T_2 \wr \text{Sym}(4)$ , we get the  $Y_{4,3}$  minimal subgroups are  $U_0$  and  $3U_0$ ,  $(U_1 \oplus U_2)$ ,  $3(U_1 \oplus U_2)$ ,  $U_3$ ,  $3U_3$ ,  $U_4$  and  $3U_4$ .

**Lemma 4.5.** Suppose that  $P = Y_{m,j}$ , and set  $C = O_{2,2'}(P)/O_2(P)$ . Then  $P/O_{2,2'}(P) \cong T_{m-j}$ , C is a composition factor of P and as a  $T_{m-j}$ -module over GF(3), C is isomorphic to  $U_{m-j}(3^1)$ .

Proof. We have  $P/O_{2,2'}(P) \cong T_1 \wr T_{m-j-1}$  and so  $P/O_{2,2'}(P) \cong T_{m-j}$ . Since  $P/O_2(P) \cong \text{Sym}(3) \wr T_{m-j-1}$ , we see that the composition factor C is a faithful  $T_{m-j}$ -module. Furthermore we may view  $T_{m-j}$  acting on the set of 3-cycles in  $\text{Sym}(3) \wr T_{m-j-1}$  which is a set of size  $2^{m-j}$  and we see that the stabilizer of a point in this action has index  $2^{m-j}$  and corresponds to the centralizer of a 3-cycle. From the universal property of permutation modules it follows that the chief factor C is isomorphic to a quotient of the GF(3) permutation module of  $T_{m-j}$ . Since C is faithful it follows from Lemma 4.1 that C is isomorphic to  $U_{m-j}(3^1)$ , as claimed.

**Lemma 4.6.** Let *s* be an odd prime and *b*, *m* and *n* be positive integers. Suppose that  $W = \langle w_{i,j} | 1 \leq i \leq 2^m, 1 \leq j \leq n \rangle$  is a homocyclic group of rank  $2^m n$  and exponent  $s^b$ . Assume that  $T = T_m \in \text{Syl}_2(\text{Sym}(2^m))$ , set  $H = T \wr \text{Sym}(n)$  and let *H* permute the set  $\{w_{i,j} | 1 \leq i \leq 2^m, 1 \leq j \leq n\}$  of generators of *W* naturally and thereby realize *H* as a subgroup of Aut(W). For  $0 \leq j \leq m$ , define

$$W_{j} = \langle (\sum_{i=1}^{2^{m-j}} w_{i,1} - w_{2^{m-j}+i,1})^{H} \rangle$$

where, by convention, all elements  $w_{k,\ell}$  with  $k > 2^m$  are ignored. Then

- (i)  $W_0 = C_W(\langle T^H \rangle)$  has order  $s^{bn}$  is the natural permutation module for  $H/\langle T^H \rangle \cong \text{Sym}(n)$ , and for  $1 \leq j \leq m$ ,  $W_j$  is a homocyclic group of rank  $2^{j-1}n$  and exponent  $s^b$ ;
- (ii)  $W = \bigoplus_{j=0}^{m} W_j;$

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- (iii) the centralizer in H of  $W_j$  is the base group of H when H is viewed as the wreath product  $T_j \wr (T_{m-j} \wr \operatorname{Sym}(n))$ ; and
- (iv) for  $1 \leq j \leq m$ , the homocyclic subgroups  $W_j$  comprise the minimal H-invariant subgroups of  $W_1 \oplus \cdots \oplus W_m$  of exponent  $s^b$ .

Proof. Let F denote the base group of H. We have  $F \cong T \times \cdots \times T$ with exactly n factors. For  $0 \leq j \leq m$  and  $1 \leq k \leq n$ , set  $W_{j,k} = \langle (\sum_{i=1}^{2^{m-j}} w_{i,k} - w_{2^{m-j}+i,k})^F \rangle$  and note that as a module for the k-th direct factor of F,  $W_{j,k}$  is isomorphic to  $U_j = U_j(s^b)$  as defined in Lemma 4.1. Furthermore,  $W_j = \bigoplus_{k=1}^n W_{j,k}$ . This together with Lemma 4.1 (i) provides the exponent and rank of the homocyclic groups  $W_j$ . Since Fcentralizes  $W_0$ ,  $W_0$  is naturally isomorphic to the permutation module for  $H/F \cong \text{Sym}(n)$ . This completes the proof of (i).

Part (ii) is transparent from the definition of the subgroups  $W_j$ ,  $0 \le j \le m$ .

Suppose now that j > 0 and let  $W^*$  be a non-zero *H*-invariant subgroup of  $W_1 \oplus \cdots \oplus W_m$  of exponent  $s^b$ . Since  $j \neq 0$  we have  $C_F(W_{j,k}) \neq C_F(W_{\ell,k})$  for  $j \neq \ell$  and as a consequence the homocylic subgroups  $W_{j,k}$  are pairwise non-isomorphic as *F*-modules. Therefore the set  $\{W_{j,k} \mid 1 \leq k \leq n\}$  is the set of minimal *F*-invariant submodules of  $W_j$ . In particular, as  $W^*$  is *F*-invariant there exists an  $\ell$ such that  $W_{j,\ell} \leq W^*$ . But then  $W^*$  contains  $W_j$  and we have that  $\{W_j \mid 1 \leq j \leq m\}$  is the set of all minimal *H*-invariant subgroups of exponent  $s^b$  contained in  $W_1 \oplus \cdots \oplus W_m$ .  $\Box$ 

As promised in the introduction we now give explicit descriptions of the toral, linker and fuser 2-minimal subgroups. We begin with the toral ones. We take  $H = E \wr \operatorname{Sym}(n)$  where E is a finite cyclic group of odd order, F is the base group of H and  $X = X_n \cong \text{Sym}(n)$  is a complement to F in H containing a fixed Sylow 2-subgroup T of H. We have  $F = \langle e_1, \ldots, e_n \rangle$  where X permutes the generators of F naturally. As usual, we write  $n = 2^{n_1} + \cdots + 2^{n_r}$  and accordingly decompose T as  $T_{n_1} \times \cdots \times T_{n_r}$  (see Section 2). Corresponding to this decomposition of n, there is an associated decomposition of F namely  $F = F_1 \times \cdots \times F_r$  where the generators of  $F_i$ , say, are  $e_{2^{i-1}+1}, \ldots, e_{2^i}$ . For  $i \in I$ , we set  $Z_{n_i} = C_{F_i}(T_{n_i})$  and then we have  $N_H(T) = \prod_{i \in I} Z_{n_i} T_{n_i}$ . Set  $\Pi = \Pi(|E|)$ . So  $\Pi$  is the set of all prime powers greater than one dividing |E| and hence of  $|F_i|$  for each  $i \in I$ . Each  $F_i$  is a direct product of Sylow s-subgroups  $S_i$  for primes  $s \in \Pi$ . These Sylow s-subgroups are homocylic and admit  $T_{n_i}$  naturally as in Lemma 4.1. Every  $N_H(T)$ minimal subgroup of F is contained in some  $S_i$  for appropriate choices

of  $i \in I$  and prime  $s \in \Pi$ . Using Lemma 4.1 we see that each such  $N_H(T)$ -minimal subgroup of F is of the form  $U_j(s^c)$  for some  $1 \leq j \leq n_i$ and  $s^c \in \Pi$ . We now denote these  $N_H(T)$ -minimal subgroups of F by  $U(i; s^c; j)$ . Define

$$T(n_i; s^c; j) = U(n_i; s^c; j) N_H(T).$$

Notice that  $U(n_i; s^c; 0) \leq Z_{n_i}$  for each  $s^c \in \Pi$ . Furthermore,  $T(n_i; s^c; j)$  is a 2-minimal subgroup of H by Lemma 3.4.

For  $i \in I$  and for  $j \in \{1, \ldots, n_i - 1\}$  we set

$$P(n_i; j) = X_n(n_i; j)C_F(T).$$

And for  $i, j \in I$  with i < j, set

$$P(n_i + n_j) = X_n(n_i + n_j) \langle C_F(T)^{X_n(n_i + n_j)} \rangle.$$

So  $P(n_i; j)$  and  $P(n_i + n_j)$  are subgroups of H which contain  $N_H(T)$ .

**Definition 4.7.** Suppose that E is a cyclic group of odd order and  $H = E \wr X$  where  $X \cong \text{Sym}(n)$ . We employ the notation already developed for H.

(i) 
$$\mathcal{T}(H, N_H(T)) = \{T(n_i; s^c; j) \mid i \in I, s^c \in \Pi \text{ and } 1 \le j \le n_i\};$$
  
(ii)  $\mathcal{L}(H, N_H(T)) = \{P(n_i; j) \mid i \in I, j \in \{1, \dots, n_i - 1\}\};$ 

(n)  $\mathcal{L}(H, N_H(T)) = \{P(n_i; j) \mid i \in I, j \in \{1, \dots, n_i \ (\text{iii}) \ \mathcal{F}(H, N_H(T)) = \{P(n_i + n_i) \mid i, j \in I, i < j\}.$ 

For future use we observe the following lemma.

Lemma 4.8. (i)  $|\mathcal{T}(H, N_H(T))| = |\Pi| \sum_{i \in I} n_i.$ (ii)  $|\mathcal{L}(H, N_H(T))| = (\sum_{i \in I} n_i) - r$ (iii)  $|\mathcal{F}(H, N_H(T))| = {r \choose 2}.$ 

The subgroups in Definition 4.7 (i), (ii) and (iii) are, respectively, the 2-minimal *toral*, *linkers* and *fusers* of H. We have already observed that the  $T(n_i; s^c; j)$  are 2-minimal subgroups and it is transparent that the linkers are also 2-minimal subgroups of H. The structure of the subgroups in  $\mathcal{F}(H, N_H(T))$  is the subject of our next lemma.

**Lemma 4.9.** Suppose that  $P = P(n_i + n_j) \in \mathcal{F}(H, N_H(T))$ . Then  $P \in \mathcal{M}(H, N_H(T))$ . Additionally, we have the following.

- (i)  $X_n(n_i + n_j)/O_2(X_n(n_i + n_j)) \cong \text{Sym}(2^{n_i n_j} + 1)$  and in its action on  $\{e_k \mid k \in \Omega_i \cup \Omega_j\}$  has  $2^{n_j}$  orbits each of which is natural for  $\text{Sym}(2^{n_i n_j} + 1)$  and  $\{e_k \mid k \in \Omega_j\}$  a maximal block of imprimitivity.
- (ii)  $P \cap F = \langle (\prod_{k \in \Omega_j}^{r} e_k)^{X_n(n_i+n_j)} \rangle C_F(T)$  is homocyclic of order  $|E|^{2^{n_i-n_j}+1+(r-2)}$ .

(iii)  $P/O_2(P)C_F(T) \cong E \wr \text{Sym}(2^{n_1-n_2}+1).$ 

Proof. Recall that  $P(n_i + n_j) = X_n(n_i + n_j) \langle C_F(T)^{X_n(n_i + n_j)} \rangle$ . Set  $X^* = X_n(n_i + n_j)$ . Then  $P = X^* \langle C_F(T)^{X^*} \rangle$ . By Lemma 3.6 there exists a 2-minimal subgroup R of P containing  $N_H(T)$  such that RF = PF. Then

$$R \ge \langle C_F(T)^R \rangle = \langle C_F(T)^P \rangle = P \cap F,$$

whence P = R.

From the description of  $X_n(n_i + n_j)$  given in Section 2 we have  $X^*/O_2(X^*) \cong \text{Sym}(2^{n_i-n_j}+1)$  and in its action on  $\{e_k \mid k \in \Omega_i \cup \Omega_j\}$  has  $2^{n_j}$  orbits each of which is natural for  $\text{Sym}(2^{n_i-n_j}+1)$  and  $\{e_k \mid k \in \Omega_j\}$  a maximal block of imprimitivity. This is the statement in (i).Parts (ii) and (iii) are easy consequences of (i).

**Lemma 4.10.** If  $P \in \mathcal{M}(H, N_H(T))$ , then one of the following holds:

- (i)  $P \in \mathcal{M}(TF, N_H(T));$
- (ii)  $P \in \mathcal{L}(H, N_H(T)) \cup \mathcal{F}(H, N_H(T)).$

Proof. If  $P \leq TF$ , then P does indeed belong to  $\mathcal{M}(TF, N_H(T))$ , so we may as well assume that  $P \not\leq TF$ . Then  $PF/F \in \mathcal{M}(H/F, N_H(T)F/F)$ by Lemma 3.5. Let  $X^* \in \mathcal{M}(X,T)$  be such that  $X^*F = PF$ . Then, as F is abelian,  $P \cap F$  is normalized by  $X^*$ . Assume that  $X^* \in \mathcal{L}(X,T)$ . Then, by Theorem 2.3 and Lemma 4.5, P and  $X^*(P \cap F)$  are conjugate in PF. Because both  $N_H(T) = TC_F(T)$  and  $C_F(T) \leq P \cap F$ , P and  $X^*(P \cap F)$  both contain  $N_{PF}(T)$  and therefore applying Lemma 3.1 to PF yields  $P = X^*(P \cap F)$ . Since P is 2-minimal, we get that  $P = X^*C_F(T) \in \mathcal{L}(H, N_H(T))$ . So (ii) holds in this case.

Suppose now that  $X^* \in \mathcal{F}(X, T)$  and let  $R = O_2(X^*)$  (we may have R = 1). Set  $J = \langle C_F(T)^{X^*} \rangle$ . Then, by Lemma 4.6 (i),  $J = C_F(R)$ . Since  $P \cap F$  is normal in  $X^*F$ ,  $P \cap F \geq J$ . Because  $R \leq P$ , we have that  $(P \cap F)R = P \cap FR$  is normalized by P. Therefore  $P = N_P(R)(P \cap F)$ . Since  $P \in \mathcal{M}(H, N_H(T))$  and  $P \not\leq N_H(T)F$ , we get  $N_P(R) = P$ . Because  $P \leq X^*F$  and  $N_{X^*F}(R) = X^*J$ , we now have  $P \leq X^*J$  and by comparing the orders of these groups we get  $P = X^*J \in \mathcal{F}(H, N_H(T))$ . This completes the proof of the lemma.

Lemma 4.11. If  $P \in \mathcal{M}(TF, N_H(T))$ , then  $P \in \mathcal{T}(H, N_H(T))$ .

*Proof.* Since F is abelian and of odd order, we may apply Lemma 3.4 to see that  $P = N_H(T)L$  where  $L = [P \cap F, T]$  is a  $N_H(T)$ -minimal *s*-group for some prime *s*. It follows that  $P \leq RN_H(T)$  where *R* is a Sylow *s*-subgroup of *F*. Since  $N_H(T) \cap F$  centralizes *R*, we have  $R = \langle x_1, \ldots, x_n \rangle$  admits  $T \in \text{Syl}_2(\text{Sym}(n))$  permuting the generators naturally. Therefore *R* can be decomposed as a product  $R_{n_1} \ldots R_{n_r}$  of

*T*-invariant subgroups with  $R_{n_i}$  of rank  $2^{n_i}$  which may then each be regarded as  $T_{n_i}$ -invariant homocylic subgroups. Since *L* is *T*-minimal, we infer that  $L \leq R_{n_i}$  for some  $i \in I$ . By Lemma 4.1 we now have  $P = U(n_i; s^c; j)N_H(T) = T(n_i; s^c; j) \in \mathcal{T}(H, N_H(T))$  for some *c*, as claimed.  $\Box$ 

**Theorem 4.12.** Suppose that  $H = E \wr \text{Sym}(n)$  where  $n \ge 2$  and E is a cyclic group of odd order. Then

$$\mathcal{M}(H, N_H(T)) = \mathcal{T}(H, N_H(T)) \cup \mathcal{F}(H, N_H(T)) \cup \mathcal{L}(H, N_H(T)).$$

*Proof.* Combining Lemmas 4.10 and 4.11 we have

$$\mathcal{M}(H, N_H(T)) \subseteq \mathcal{T}(H, N_H(T)) \cup \mathcal{F}(H, N_H(T)) \cup \mathcal{L}(H, N_H(T)).$$

Since the members of the righthand side of this containment are 2-minimal subgroups of H, we have the result.

We close this section by presenting a modest example of the 2minimal subgroups of  $H = E \wr X$  where E has order  $3^{2}5$  and  $X \cong$ Sym(12).

**Example 4.13.** We have  $n = 2^3 + 2^2$  so  $n_1 = 3$ ,  $n_2 = 2$  and  $I = \{1, 2\}$ . Also  $\Pi = \Pi(|E|) = \{3, 3^2, 5\}$ . Structurally, we have  $T = T_3 \times T_2$  with

$$N_H(T) = Z_3 Z_2 T = Z_3 T_3 \times Z_2 T_2$$

and  $C_F(T) = Z_2 Z_3$  homocylic of rank 2 and order  $3^4 5^2$ .

The 2-minimal linkers of H are the groups  $P(n_i; j) = X_{12}(n_i; j)Z_2Z_3$ where  $i \in I, j \in \{1, \ldots, n_i - 1\}$ . Thus we have

 $P(1;1) = Z_2 Z_3 \times (\operatorname{Sym}(4) \wr \operatorname{Sym}(2) \times T_2);$ 

 $P(1;2) = Z_2 Z_3 \times (2 \wr \operatorname{Sym}(4) \times T_2);$ 

 $P(2;1) = Z_2 Z_3 \times (T_3 \times \text{Sym}(4));$ 

There is a single 2-minimal fuser and this, by Lemma 4.9, has shape

 $P(3+2) \sim (45) \times (45 \times T_2) \wr \operatorname{Sym}(3),$ 

where 45 stands for the cyclic group of order 45.

The toral 2-minimal subgroups of H are  $T(n_i; s^c; j)$  where  $i \in I$ ,  $s^c \in \Pi$  and  $1 \leq j \leq n_i$ . Thus we have

 $\begin{array}{ll} T(3;3^1;1)\sim 3.\overline{T_3Z_3}\times T_2Z_2 & T(3;3^2;1)\sim 9.T_3Z_3\times T_2Z_2 \\ T(3;5^1;1)\sim 5.T_3Z_3\times T_2Z_2 & T(3;3^1;2)\sim 3^2.T_3Z_3\times T_2Z_2 \\ T(3;3^2;2)\sim 9^2.T_3Z_3\times T_2Z_2 & T(3;5^1;2)\sim 5^2.T_3Z_3\times T_2Z_2 \\ T(3;3^1;3)\sim 3^4.T_3Z_3\times T_2Z_2 & T(3;3^2;3)\sim 9^4.T_3Z_3\times T_2Z_2 \\ T(3;5^1;3)\sim 5^4.T_3Z_3\times T_2Z_2 & T(2;3^1;1)\sim T_3Z_3\times 3.T_2Z_2 \\ T(2;3^2;1)\sim T_3Z_3\times 9.T_2Z_2 & T(2;5^1;1)\sim T_3Z_3\times 5.T_2Z_2 \\ T(2;3^1;2)\sim T_3Z_3\times 3^2.T_2Z_2 & T(2;3^2;2)\sim T_3Z_3\times 9^2.T_2Z_2 \\ T(2;5^1;2)\sim T_3Z_3\times 5^2.T_2Z_2. \end{array}$ 

We note that for each 2-minimal subgroup of H we can give explicit generators.

#### 5. Subgroups of the linear and unitary groups

The purpose of this section is to present some lemmas illustrating structural properties of  $\operatorname{GL}_n^{\epsilon}(q) = \operatorname{GL}^{\epsilon}(V)$ , where  $\epsilon = \pm 1$  and q is odd. We recall our notation  $q = p^a$  where p is an odd prime,  $a \in \mathbb{N}$ , and  $a_2$ is the largest power of 2 dividing a.

We let V be an n-dimensional vector space over GF(q) or  $GF(q^2)$  and in the latter case we assume that V supports a non-degenerate unitary form. For ease of expression we will refer to orthogonal decompositions of V in both cases – so in effect we are supposing that V supports a trivial form when it is defined over GF(q).

We let  $S_1 \in \text{Syl}_2(\text{GL}_2^{\epsilon}(q))$  and for  $2^m > 2$  a 2-power we set  $S_m = S_1 \wr T_{m-1}$ . Let  $Z_m$  be the centre of  $\text{GL}_{2m}^{\epsilon}(q)$ . Then  $B_m = S_m Z_m$  is the normalizer of a Sylow 2-subgroup of  $\text{GL}_{2m}^{\epsilon}(q)$  by [15, Lemma 1]. Finally we let  $B_0 = \text{GL}_1^{\epsilon}(q)$  and  $S_0$  be a Sylow 2-subgroup of  $B_0$ . Notice that  $S_0$  is cyclic of order  $(q - \epsilon)_2$  and that

$$S_1 \cong (q - \epsilon)_2 \wr T_1$$

when  $q \equiv \epsilon \pmod{4}$  and otherwise

$$S_1 \cong \langle x, y \mid y^2 = x^{(q^2 - 1)_2} = 1, x^y = x^{\epsilon q} \rangle,$$

which is a semidihedral group of order  $2(q^2 - 1)_2$ .

**Theorem 5.1.** Suppose that  $G = \operatorname{GL}_n^{\epsilon}(q)$  and  $n = 2^{n_1} + \cdots + 2^{n_r}$  with  $n_1 > \cdots > n_r \ge 0$ . Let  $S = S_{n_1} \times \cdots \times S_{n_r}$  and  $B = B_{n_1} \times \cdots \times B_{n_r}$  where  $B_{n_i} = S_{n_i}Z_{n_i}$ . Then  $S \in \operatorname{Syl}_2(G)$  and  $N_G(S) = B = SC_G(S)$ . Furthermore, if  $G \ge H \ge O^{p'}(G) \cong \operatorname{SL}_n^{\epsilon}(q)$ , then, unless n = 2,  $N_H(S \cap H) = B \cap H = (S \cap H)C_H(S)$ .

*Proof.* See [15, Theorems 1 and 4] or [26, Theorem 1].

The exclusion of n = 2 in the final sentence of Lemma 5.1 is required as can be seen in  $SL_2(5)$ . See Section 13 for more on this these cases.

The decomposition of B leads to a corresponding decomposition of V. Namely,  $V = V_{n_1} \oplus \cdots \oplus V_{n_r}$  where  $V_{n_i} = [V, B_{n_i}], i \in I$ .

If  $q \equiv \epsilon \pmod{4}$ , we let  $A_0$  be a Sylow 2-subgroup of  $\operatorname{GL}_1^{\epsilon}(q)$ . Suppose that  $q \equiv -\epsilon \pmod{4}$ . Then  $A_1$  is defined to be the maximal cyclic subgroup of  $S_1$ . Thus we have  $|A_0| = (q - \epsilon)_2$  and  $|A_1| = (q^2 - 1)_2 = 2(q + \epsilon)_2$  and both groups have order at least 4. Furthermore,  $|\det(A_0)| = (q - \epsilon)_2 = |\det(A_1)|$ . If  $q \equiv \epsilon \pmod{4}$ , then A denotes the base group of  $A_0 \wr \operatorname{Sym}(n)$ while if  $q \equiv -\epsilon \pmod{4}$ , we use A to denote the base group of  $A_1 \wr \operatorname{Sym}(\lfloor n/2 \rfloor)$ .

In the next lemma we encounter the group  $J_2^{\epsilon}$  which is defined only when  $q \equiv -\epsilon \pmod{4}$  and is then the normalizer of  $A_1$  in  $\operatorname{GL}_2^{\epsilon}(q)$ . We have that

$$J_2^{\epsilon} \cong \langle x, s \mid x^{q^2 - 1} = s^2 = 1, x^s = x^{\epsilon q} \rangle.$$

Thus  $J_2^{\epsilon}$  contains a cyclic subgroup C of order  $q^2 - 1$  and index 2,  $|[J_2^{\epsilon}, J_2^{\epsilon}]| = q + \epsilon$  and  $|Z(J_2^{\epsilon})| = q - \epsilon$ . Note that  $S_1 \in \text{Syl}_2(J_2^{\epsilon})$  in this case.

**Lemma 5.2.** For  $G = GL_n^{\epsilon}(q)$ , the following hold.

(i) If q ≡ -ε (mod 4), then N<sub>G</sub>(A) ≅ J<sub>2</sub><sup>ε</sup> ≥ Sym(<sup>n</sup>/<sub>2</sub>) if n is even and J<sub>2</sub><sup>ε</sup> ≥ Sym([<sup>n</sup>/<sub>2</sub>]) × GL<sub>1</sub><sup>ε</sup>(q) if n is odd.
(ii) If q ≡ ε (mod 4), then N<sub>G</sub>(A) = GL<sub>1</sub><sup>ε</sup>(q) ≥ Sym(n).

*Proof.* We consider case (i) first writing  $A = A_1 \times \cdots \times A_{\lfloor n/2 \rfloor}$  and set  $W_k = [V, A_k]$ . Then dim  $W_k = 2$  and we have an orthogonal decomposition

$$[V,A] = W_1 \oplus \cdots \oplus W_{\lfloor n/2 \rfloor}.$$

These 2-dimensional spaces are permuted naturally by  $\text{Sym}(\lfloor n/2 \rfloor)$ . Since the  $A_i$  are the maximal subgroups of A with 2-dimensional commutators, we infer that  $N_G(A)$  is as described.

If  $q \equiv \epsilon \pmod{4}$ , then a similar argument shows that  $N_G(A) = \operatorname{GL}_1^{\epsilon}(q) \wr \operatorname{Sym}(n)$ .

**Lemma 5.3.** Suppose that  $G = \operatorname{GL}_n^{\epsilon}(q)$  and  $g \in G$ . If  $A^g \leq S$ , then  $A^g = A$ . In particular, if R is a subgroup of S containing A, then  $N_G(R) \leq N_G(A)$ .

*Proof.* We prove this explicitly for the case  $q = -\epsilon \pmod{4}$ , the case  $q \equiv \epsilon \pmod{4}$  being easier. Again we let  $A = A_1 \times \cdots \times A_{\lfloor n/2 \rfloor}$ . For  $1 \leq k \leq \lfloor n/2 \rfloor$ , set  $W_k = [V, A_k]$ . Then dim  $W_k = 2$  and again we have an orthogonal decomposition

$$[V, A] = W_1 \oplus \cdots \oplus W_{\lfloor n/2 \rfloor}.$$

These 2-dimensional spaces are permuted naturally by  $T \in \operatorname{Syl}_2(\operatorname{Sym}(\lfloor \frac{n}{2} \rfloor))$ . Suppose that  $A^g \leq S$  and  $A^g \neq A$ . Then, without loss,  $Y = A_1^g \not\leq A$ . If Y centralizes A, then either n is even and  $Y \leq A$  or n is odd and  $Y \leq AA_0$  where  $A_0 \in \operatorname{Syl}_2(\operatorname{GL}_1^{\epsilon}(q))$  from the decomposition of  $N_G(A)$ as  $J_2^{\epsilon} \wr \operatorname{Sym}(\lfloor \frac{n}{2} \rfloor) \times \operatorname{GL}_1^{\epsilon}(q)$ . In particular, if y is a generator of Y, then  $y^2 \in A$  is non-trivial. But then [V, Y] has dimension at least 3, which is impossible. Therefore Y does not centralize A. Since Y is cyclic of order at least 8, and every element of order 8 in the base group C of  $N_G(A)$  is contained in  $C_G(A)$  (as the Sylow 2-subgroups of C are a direct product of semidihedral groups with a possible direct factor of order 2), we now have that Y permutes the spaces  $W_k$  non-trivially. As  $\dim[V, Y] = 2$ , we deduce that YC/C has order 2 and is generated by a transposition of  $\{W_1, \ldots, W_{\lfloor n/2 \rfloor}\}$ . Let y be an element of order at least 4 in Y which is not contained in C. Then  $y^2 \in C$  and  $[V, y^2] \leq [V, y]$ . Since  $y^2 \in C$  is non-trivial,  $[V, y^2] \cap W_j \neq 0$  for some  $1 \leq j \leq \lfloor n/2 \rfloor$  whereas, for all  $1 \leq i \leq \lfloor n/2 \rfloor$ ,  $[V, y] \cap W_i = 0$ . Thus no such y exists and the lemma is proved in this case.

**Lemma 5.4.** Let  $W = \langle c, d, t | c^{q^2-1} = d^{q^2-1} = t^2 = 1, c^t = d, [c, d] = 1 \rangle$ . Then  $W \cong C \wr T_2$  where C is cyclic of order  $q^2-1$  and the assignment  $c \mapsto x, d \mapsto x^{\epsilon q}$  and  $t \mapsto s$  determines a homomorphism from W onto  $J_2^{\epsilon}$  with kernel  $\langle (cd)^{q-\epsilon}, (cd^{-1})^{q+\epsilon} \rangle$ .

*Proof.* This is easy to verify.

**Lemma 5.5.** Let C be cyclic of order  $q^2 - 1$  and  $m \ge 1$ . Let  $F = C \wr (T_1 \wr \operatorname{Sym}(m))$  with  $W = C \wr T_1$  having the presentation given in Lemma 5.4. Then there is a surjective homomorphism from  $C \wr (T_1 \wr \operatorname{Sym}(m))$  to  $J_2^{\epsilon} \wr \operatorname{Sym}(m)$  with kernel the normal closure in F of  $\langle (cd)^{q-\epsilon}, (cd^{-1})^{q+\epsilon} \rangle$ .

*Proof.* This follows from Lemma 5.4 as generally, if  $H/K \cong L$ , then  $(H \wr M)/(K \wr M) \cong L \wr M$ .

For the case  $q \equiv -\epsilon \pmod{4}$ , when defining toral 2-minimal subgroups in Section 8, we need to further investigate the groups  $F = C \wr (T_1 \wr \operatorname{Sym}(m))$  which featured in Lemma 5.5. Thus we continue the notation introduced in Lemma 5.5. Our perspective is to view  $T_1\wr\operatorname{Sym}(m)$  as a subgroup of  $\operatorname{Sym}(2m)$  and we set up notation so as T is our standard Sylow 2-subgroup of  $\operatorname{Sym}(2m)$  which is also contained in  $T_1\wr\operatorname{Sym}(m)$ . Our aim is to make explicit the generators of the images of the  $N_F(T)$ -minimal subgroups contained in the base group of F. Recall that these subgroups are parameterized by triples  $s^e \in \Pi(|C|), i \in I$ and  $1 \leq j \leq n_i$  giving us subgroups which we denoted by  $U(n_i; s^e; j)$ . Let  $c_1, d_1 \ldots c_m, d_m$  be the generating elements (see Lemma 5.4) from the canonical factors of the base group of F permuted transitively by F and having order  $s^e$  and satisfying  $\{\{d_i, c_i\} \mid 1 \leq i \leq m\}$  is a system of imprimitivity. Let  $i \in I$  and set  $w = (2^{n_1} + \cdots + 2^{n_{i-1}})/2$ . Then, when  $j < n_i, U(n_i; s^e; j)$  is generated by

$$\langle (\prod_{k=w+1}^{w+2^{n_i-j-1}} c_k d_k c_{2^{n_i-j}+k}^{-1} d_{2^{n_i-j}+k}^{-1})^{T_{n_i}} \rangle.$$

Now we take  $x_1, \ldots, x_m$  to be the generators of the cyclic subgroups of order  $2^{2(q+\epsilon)}$  in the factors of the base group of  $J_2^{\epsilon} \wr \operatorname{Sym}(m)$ . Then the image of  $U(n_i; s^e; j)$ , which we denote by  $\overline{U(n_i; s^e; j)}$ , is equal to

$$\langle (\prod_{k=w+1}^{w+2^{n_i-j-1}} x_k^{\epsilon q+1} x_{2^{n_i-j}+k}^{-\epsilon q-1})^{T_{n_i}} \rangle.$$

When  $j = n_i$ ,  $U(n_i; s^e; j)$  is generated by

$$\langle (c_k d_k^{-1})^{T_{n_i}} \rangle.$$

which maps to

$$\langle (\prod_{k=w+1}^{w+2^{n_i-j-1}} x_k^{-(q+\epsilon)})^{T_{n_i}} \rangle.$$

**Lemma 5.6.** Assume that  $m \ge 2$ ,  $n = \ell_1 + \cdots + \ell_m$  with  $\ell_i \ge 2$  for all  $1 \le i \le m$ ,  $K = \operatorname{GL}_{\ell_1}^{\epsilon}(q) \times \cdots \times \operatorname{GL}_{\ell_m}^{\epsilon}(q)$  is a subgroup of  $\operatorname{GL}_n^{\epsilon}(q)$ and  $K_0 = K \cap \operatorname{SL}_n^{\epsilon}(q)$ . Let  $R_0 \in \operatorname{Syl}_2(K_0)$ . Then  $R_0$  is contained in a unique Sylow 2-subgroup of K.

Proof. Let R be a Sylow 2-subgroup of K containing  $R_0$ . For  $1 \leq i \leq m$ , we let  $K_i$  be the *i*-th component of K. Thus  $K_i \cong \operatorname{GL}_{\ell_i}^{\epsilon}(q)$ . Set  $R_i = R \cap K_i$  and  $D_i = Z(N_{K_i}(R_0))$ . So  $N_K(R) = RD_1 \dots D_m$  by Theorem 5.1. Plainly  $D_1 \dots D_m$  centralizes R and hence  $D_1 \dots D_m \leq N_K(R_0)$ . Thus  $D_i \leq \pi_i(N_K(R_0))$  and, since  $m \geq 2$ ,  $\pi_i(R_0) = R_i$ . It follows that  $D_i R_i \leq \pi_i(N_K(R_0)) \leq N_{K_i}(R_i) = R_i D_i$ . Hence  $N_K(R_0) \leq R_1 D_1 \dots R_m D_m = N_K(R) \leq N_K(R_0)$ . Therefore  $N_K(R) = N_K(R_0)$  and the lemma follows from Lemma 2.7.

The next two theorems, which rely upon the simple group classification, are important as they tell us where to look for 2-minimal subgroups. We use (b, c) to denote the greatest common divisor of integers b and c.

**Theorem 5.7.** Suppose that H is a subgroup of  $GL_n(q)$  containing  $SL_n(q)$  where q is an odd prime power and n > 2 is an integer. If M is a maximal subgroup of H of odd index, then at least one of the following holds.

- (i)  $q_0^c = q$ , where c is an odd prime and  $M \cong \operatorname{GL}_n(q_0) \circ (q-1)$ . (There are  $((\frac{q-1}{q_0-1}, n), k)$ -conjugacy classes of these subgroups in H where |G:H| = k.)
- (ii) M is a maximal parabolic subgroup of H.
- (iii) *M* stabilizes a decomposition of *V* into proper subspaces of equal dimension.

- (iv) n = 4,  $(q 1)_2 = 2$ , H has index 2m where m is odd, and  $H \circ (q 1)$  has two conjugacy classes of subgroups  $M \cong (q 1) \circ \operatorname{Sp}_4(q):2$ .
- (v) n = 4,  $(q 1)_2 = 4$ , H has index 4m or 2m where m is odd and  $H \circ (q - 1)$  has two conjugacy classes of subgroups  $M \cong (4 \circ 2^{1+4}_+.\text{Alt}(6)) \circ (q - 1)$  or  $(4 \circ 2^{1+4}_+.\text{Sym}(6)) \circ (q - 1)$ respectively.

**Theorem 5.8.** Suppose that H is a subgroup of  $GU_n(q)$  containing  $SU_n(q)$  where q is an odd prime power and n > 2 is an integer. If M is a maximal subgroup of H of odd index, then at least one of the following holds.

- (i)  $q_0^c = q$ , where c is an odd prime and  $M \cong \operatorname{GU}_n(q_0) \circ (q+1)$ . (There are  $((\frac{q+1}{q_0+1}, n), k)$ -conjugacy classes of these subgroups in H where |G:H| = k.)
- (ii) M stabilizes a decomposition of V into an orthogonal sum of non-degenerate proper subspaces.
- (iii) n = 4,  $(q + 1)_2 = 2$ , H has index 2m where m is odd, and  $H \circ (q + 1)$  has two conjugacy classes of subgroups  $M \cong (q + 1) \circ \operatorname{Sp}_4(q)$ :2.
- (iv) n = 4,  $(q + 1)_2 = 4$ , H has index 4m or 2m where m is odd and  $H \circ (q + 1)$  has two conjugacy classes of subgroups  $M \cong (4 \circ 2^{1+4}_+.\text{Alt}(6)) \circ (q + 1)$  or  $(4 \circ 2^{1+4}_+.\text{Sym}(6)) \circ (q + 1)$ , respectively.
- (v)  $H = SU_3(5)$  and there are three conjugacy classes of subgroups  $M \cong 3 \cdot Mat(10)$ .

Proof of Theorems 5.7 and 5.8. That the given groups contain a Sylow 2-subgroup is readily verified using the orders of the group. We cite either Liebeck and Saxl [28] and Kantor [24] to provide the proof that no other maximal over-groups of a Sylow 2-subgroup exist (see also [30, 31]). Referring to [25, Propositions 4.1.4, 4.1.14, 4.2.9, 4.3.6, 4.6.6] and [11, Tables] we see that the number of  $\operatorname{GL}_n^{\epsilon}(q)$  conjugacy classes, c in their notation, is as indicated in all but the last case of Theorem 5.8 in which case we refer to the ATLAS [18] to see that the number is three.

We make two observations concerning Theorems 5.7 and 5.8. First, parts (iv), (v) of Theorem 5.7 and parts (iii), (iv) and (v) of Theorem 5.8 do not occur when, respectively,  $G = \operatorname{GL}_n(q)$  or  $\operatorname{GU}_n(q)$ . The second observation, if n is not a power of two then the subfield subgroups listed in parts (i) do not contain B as  $Z_1$  does not normalize a subfield subgroup when n is not a power of 2 (see Theorem 5.1). We

turn our attention for a moment to the 2-minimal subgroups of  $\operatorname{GL}_n^{\epsilon}(q)$  in general.

**Proposition 5.9.** Suppose that  $n \ge 3$ ,  $G = GL_n(q)$  and  $P \in \mathcal{M}(G, B)$ . Then either

- (i) P is contained in a parabolic subgroup of G;
- (ii) P acts irreducibly on V and there exists  $b \ge 1$  such that  $n = 2^b m$  and  $P \le \operatorname{GL}_{2^b}(q) \wr \operatorname{Sym}(m)$ ; or
- (iii)  $q \equiv 3 \pmod{4}$ , n = 4, and  $P = GL_4(p) \circ (q 1)$ .

In particular, if  $G \in \mathcal{M}(G, B)$ , then n = 4 and  $q = p \equiv 3 \pmod{4}$ .

Proof. So suppose first that  $G \in \mathcal{M}(G, B)$ . If n is not a power of 2, then B leaves invariant at least two proper subspaces of different dimensions and so is contained in two distinct parabolic subgroups of G. Hence  $n = 2^{n_1}$ . If  $n_1 \geq 3$ , then B preserves a decomposition into 2-spaces and 4-spaces and the stabilizers of these decompositions generate G. Hence n = 4. If  $q \equiv 1 \pmod{4}$ , then  $\operatorname{GL}_1(q) \wr \operatorname{Sym}(4)$  and  $\operatorname{GL}_2(q) \wr \operatorname{Sym}(2)$  both contain B. So  $q \equiv 3 \pmod{4}$ . If c is an odd prime divisor of a, then B is also contained in  $\operatorname{GL}_4(q_0) \circ (q-1)$  with  $q_0^c = q$ , and so we deduce that  $a = a_2$ . Since  $q \equiv 3 \pmod{4}$ , q = p. This proves the final statement in the theorem.

Now suppose that  $P \in \mathcal{M}(G, B)$  is a proper subgroup of G. Then, if (i) and (ii) do not hold, Theorem 5.7 asserts that P is contained in a subfield subgroup and so by iteration this yields part (iii).  $\Box$ 

**Proposition 5.10.** Suppose that  $n \ge 3$ ,  $G = \operatorname{GU}_n(q)$  and  $P \in \mathcal{M}(G, B)$ . Then either

- (i) P preserves an orthogonal decomposition of V;
- (ii)  $q \equiv 1 \pmod{4}$ ,  $n = 2^{n_1} + 1$  and P = G; or
- (iii)  $p^{a_2} \equiv 1 \pmod{4}$ , n = 4 and  $P = \text{GU}_4(p^{a_2}) \circ (q+1)$ .

In particular, if  $G \in \mathcal{M}(G, B)$ , then either  $q \equiv 1 \pmod{4}$  and  $n = 2^{n_1} + 1$  or  $q = p^{a_2} \equiv 1 \pmod{4}$  and n = 4.

Proof. It suffices to show that G is not 2-minimal unless  $q \equiv 1 \pmod{4}$ and  $n = 2^{n_1} + 1$  or  $q = p^{a_2} \equiv 1 \pmod{4}$  and n = 4. We use Theorem 5.8 liberally. Recall that  $n = 2^{n_1} + \cdots + 2^{n_r}$ . If  $r \geq 3$ , then we have that both  $\operatorname{GU}_{2^{n_1}}(q) \times \operatorname{GU}_{n-2^{n_1}}(q)$  and  $\operatorname{GU}_{n-2^{n_r}}(q) \times \operatorname{GU}_{2^{n_r}}(q)$  are maximal subgroups containing B. Hence we must have  $r \leq 2$ . If  $n_r > 0$ , then  $\operatorname{GU}_{2^{n_1}}(q) \times \operatorname{GU}_{2^{n_2}}(q)$  and  $\operatorname{GU}_2(q) \wr \operatorname{Sym}(n/2)$  both contain B and together generate G. Hence if r = 2, we have  $n = 2^{n_1} + 1$ . If  $q \equiv 3$ (mod 4), then G is generated by  $\operatorname{GU}_1(q)\wr\operatorname{Sym}(n)$  and  $\operatorname{GU}_{2^{n_1}}(q)\times\operatorname{GU}_1(q)$ both of which contain B. Thus we must have  $q \equiv 1 \pmod{4}$ . Notice that as the subfield subgroups  $\operatorname{GU}_n(q_0)$  where  $q_0^c = q$  for some odd prime c do not contain B, we have that G is 2-minimal in this case.

So suppose that r = 1. Then  $n = 2^{n_1} \ge 4$ . Assume that  $2^{n_1} \ge 8$ . Then both  $\operatorname{GU}_2(q)\wr\operatorname{Sym}(n/2)$  and  $\operatorname{GU}_4(q)\wr\operatorname{Sym}(n/4)$  contain B and so  $n \le 4$ . If  $q \equiv 3 \pmod{4}$ , then we use the subgroups  $\operatorname{GU}_1(q)\wr\operatorname{Sym}(n)$  and  $\operatorname{GU}_2(q)\wr\operatorname{Sym}(n/2)$ . Hence we have n = 4 and  $q \equiv 1 \pmod{4}$ . Finally we note that this time B is contained in the normalizer of the subfield subgroups and so if  $q \neq p^{a_2}$  we would again have two proper over-groups of B which generate G. Hence  $q = p^{a_2}$  and these groups are indeed 2-minimal.

#### 6. 2-MINIMAL SUBGROUPS IN LINEAR AND UNITARY GROUPS

The one and only theorem of this section highlights the five subdivisions of our later investigations.

**Theorem 6.1.** Suppose that  $n \geq 3$ ,  $G = \operatorname{GL}_n^{\epsilon}(q)$ ,  $S = S_{n_1} \times \cdots \times S_{n_r}$ ,  $B = N_G(S)$  and let A be as in Section 5. Assume that  $P \in \mathcal{M}(G, B)$ . Then at least one of the following holds.

- (i) r = 1;
- (ii)  $P = G = GU_{2^{n_1}+1}(q);$
- (iii)  $P \in \mathcal{M}(N_G(A), B);$
- (iv)  $\epsilon = +$  and P is contained in a parabolic subgroup of G; or
- (v)  $P \in \mathcal{M}(\mathrm{GL}^{\epsilon}(U) \times \mathrm{GL}^{\epsilon}(W), B)$  for some non-zero subspaces U and W such that  $V = U \oplus W$ .

Proof. Assume that r > 1. Thus, if G = P, Propositions 5.9 and 5.10 yield alternative (ii). So we may now suppose that  $G \neq P$ . Employing Propositions 5.9 and 5.10 again shows that either (iv) or (v) holds, or  $P \leq H \leq \operatorname{GL}_{2^d}^{\epsilon}(q) \wr \operatorname{Sym}(n/2^d)$  for some d such that  $2^d$  divides n. So assume that  $P \leq H = \operatorname{GL}_{2^d}^{\epsilon}(q) \wr \operatorname{Sym}(n/2^d)$  where  $2^d$  divides n. Let K be the base group of H. If P is not transitive on the wreathed direct factors of K, then (v) holds. Therefore we may suppose that  $PK \neq PB$ . Finally, Lemma 3.8 implies that  $P = N_P(S \cap K)$ . Since  $S \cap K$  contains A, we now have that (iii) holds by Lemma 5.3.

#### 7. Radical 2-minimal subgroups

In this section we assume that  $G = \operatorname{GL}_n(q)$  and that  $\operatorname{SL}_n(q) \leq H \leq G$ . We investigate 2-minimal subgroups of H which lie in a parabolic subgroup of G (so we are pursuing case (iv) of Theorem 6.1). Notice that in this case we must have r > 1.

**Lemma 7.1.** Suppose that  $P \in \mathcal{M}(H, B \cap H)$  and that P does not act irreducibly on V. Then either

- (i) there exist non-zero subspaces U and W of V such that  $V = U \oplus W$  and  $P < GL(U) \times GL(W)$ ; or
- (ii)  $O_p(P) = O_p(R) \cap P$  and  $P = O_p(P)(B \cap H)$  for all maximal parabolic subgroups R of G which contain P.

Proof. Since P is contained in a parabolic subgroup of G, there exist maximal parabolic subgroups of G containing P. Let R be any such maximal parabolic subgroup. Then  $R = N_G(W)$  where W is a non-zero proper subspace of V which is of course P-invariant. Let L be a Levi complement in R chosen so as  $B \leq L$ . Then there is a complement U to W in V such that  $L = \operatorname{GL}(U) \times \operatorname{GL}(W)$ . If  $P \leq L$ , then (i) holds. So we may assume that  $P \not\leq L$ . Let  $w \in Z(L)$  act fixed-pointfreely on  $O_p(R)$ . Obviously  $w \in Z(B)$ . Now  $P = C_P(w)(O_p(R) \cap P)$ by a Frattini Argument. Since P is 2-minimal,  $B \cap H \leq C_P(w)$  and  $B \cap H \leq (O_p(R) \cap P)B$ , we get that either  $P = C_P(w) \leq L$  or P = $(O_p(R) \cap P)(B \cap H) = O_p(P)(B \cap H)$  and so (ii) holds.  $\Box$ 

**Theorem 7.2.** Suppose that  $P \in \mathcal{M}(H, B \cap H)$  and P is contained in a parabolic subgroup of G. Then either

- (i) there exist non-zero subspaces U and W of V such that  $V = U \oplus W$  and  $P \leq GL(U) \times GL(W)$ ; or
- (ii)  $n = 2^{n_1} + 2^{n_2}$  and there exists  $i \in I = \{1, 2\}$  such that  $V_{n_i} = [V, O_p(P)] = C_V(O_p(P))$  and  $P = O_p(N_G(V_{n_i}))(B \cap H)$ . In particular, P is normalized by B.

*Proof.* We suppose that (i) does not hold. Deploying Lemma 7.1 we now have  $O_p(P) \leq O_p(R)$  for all maximal parabolic subgroups R of G containing P. Let  $V = W_1 > \cdots > W_k > 0$  be a P-invariant flag such that  $\overline{W}_i = W_i/W_{i+1}$  is an irreducible *P*-module. Then  $O_p(P)$ centralizes  $\overline{W}_i$  and thus  $\overline{W}_i$  is an irreducible  $(B \cap H)$ -module. Thus  $\{\overline{W}_i \mid 1 \leq i \leq k\}$  is in natural correspondence with  $\{V_{n_i} \mid 1 \leq i \leq r\}$ . In particular, k = r. Let  $V_{n_i}$  correspond to  $\overline{W}_r$  and  $V_{n_i}$  correspond to  $W_1/W_2$ . Set  $R_2 = N_G(W_2)$  and  $R_r = N_G(W_r)$ . Then  $P \leq R_2 \cap R_r$  from which we infer that  $O_p(P) \leq O_p(R_2) \cap O_p(R_r)$ . Set  $U_0 = V_{n_i} + V_{n_j}$  and  $U_1 = \bigoplus_{m \notin \{i,j\}} V_{n_m}$  and note that  $U_0$  and  $U_1$  are both  $(B \cap H)$ -invariant. As  $U_1 \leq W_2$  and  $[W_2, O_p(R_2)] = 0$ , we have that  $U_1$  is P-invariant and that P acts on  $U_1$  just as  $(B \cap H)$  does. Similarly we have that  $[V_{n_j}, O_p(P)] = 0.$  Now  $[V_{n_i}, O_p(P)] \leq [V_{n_i}, O_p(R_r)] \leq [V, O_p(R_r)] =$  $W_r = V_{n_j} \leq U_0$ . So  $U_0$  is also  $O_p(P)$ -invariant. Hence  $U_0$  is P-invariant. Now we have that  $P \leq \operatorname{GL}(U_0) \times \operatorname{GL}(U_1)$  where  $V = U_0 \oplus U_1$  which, as (i) is assumed not to hold, implies that  $U_1 = 0$ . Hence r = 2 and  $V = V_{n_1} \oplus V_{n_2}$  and  $V_{n_1}$  and  $V_{n_2}$  are the only  $B \cap H$  invariant subspaces of V. It follows that either  $C_V(O_p(P)) = V_{n_1}$  or  $C_V(O_p(P)) = V_{n_2}$ .

So suppose that  $C_V(O_p(P)) = V_{n_1}$ , for example. Then  $P \leq N_G(V_{n_1})$ and  $O_p(N_G(V_{n_1}))$  is elementary abelian and admits  $B \cap H$  irreducibly. Since  $O_p(P) \leq O_p(N_G(V_{n_1}))$  by Lemma 7.1, we now have  $O_p(P) = O_p(N_G(V_{n_1}))$ . Thus (ii) holds and the theorem is proved.  $\Box$ 

Recalling our standard setup of  $n = 2^{n_1} + \cdots + 2^{n_r}$  with  $I = \{1, \ldots, r\}$ , we now define another type of 2-minimal subgroup an example of which has just emerged in Theorem 7.2 (ii).

**Definition 7.3.** Let  $\{i, j\}$  be a 2-element subset of  $I, W = V_{n_i} \oplus V_{n_j}$ and  $M = (\operatorname{GL}(W) \cap H)(B \cap H)$ . Then the 2-minimal subgroups of M which do not act irreducibly on W are determined in Theorem 7.2. The example arising in Theorem 7.2 (ii) with  $C_W(O_p(N_{\operatorname{GL}(W)}(V_{n_i})) =$  $V_{n_j}$  will be denoted by  $R(n_i \gg n_j)$ . These 2-minimal subgroups will collectively be called 2-minimal radical subgroups and the set of such subgroups of H is denoted by  $\mathcal{R}$ .

Note that  $|O_p(R(n_i \gg n_j))| = q^{n_i n_j} = |O_p(R(n_j \gg n_i))|.$ 

From Definition 7.3 we see that each two element subset of I gives us two 2-minimal radical subgroups. Thus we have

Lemma 7.4.  $|\mathcal{R}| = r(r-1)$ .

**Example 7.5.** Suppose that  $G = \operatorname{GL}_{26}(q)$ . Then  $26 = 2^4 + 2^3 + 2^1$  so that  $n_1 = 4$ ,  $n_2 = 3$ ,  $n_3 = 1$  and r = 3. By Lemma 7.4 there are 6 conjugacy classes of 2-minimal radical subgroups of G. Matrices representing these p-minimal subgroups are depicted in the following schematic where a \* indicates an appropriate  $M_{x,y}(q)$  and  $B_{n_i}$  denotes the Sylow 2-normalizer in  $\operatorname{GL}_{2^{n_i}}(q)$ . Also we shall assume that G acts on V by right matrix multiplication.

$$R(4 \gg 3) = \begin{pmatrix} B_4 & * & 0\\ 0 & B_3 & 0\\ 0 & 0 & B_1 \end{pmatrix} \qquad R(3 \gg 4) = \begin{pmatrix} B_4 & 0 & 0\\ * & B_3 & 0\\ 0 & 0 & B_1 \end{pmatrix}$$
$$R(4 \gg 1) = \begin{pmatrix} B_4 & 0 & *\\ 0 & B_3 & 0\\ 0 & 0 & B_1 \end{pmatrix} \qquad R(1 \gg 4) = \begin{pmatrix} B_4 & 0 & 0\\ 0 & B_3 & 0\\ * & 0 & B_1 \end{pmatrix}$$
$$R(3 \gg 1) = \begin{pmatrix} B_4 & 0 & 0\\ 0 & B_3 & *\\ 0 & 0 & B_1 \end{pmatrix} \qquad R(1 \gg 3) = \begin{pmatrix} B_4 & 0 & 0\\ 0 & B_3 & 0\\ 0 & * & B_1 \end{pmatrix}$$

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#### 8. TORAL, FUSER AND LINKER 2-MINIMAL SUBGROUPS OF LINEAR AND UNITARY GROUPS

In this section, we first describe the 2-minimal subgroups of  $G = \operatorname{GL}_n^{\epsilon}(q)$  which normalize A where A is defined as in Section 5 immediately after Theorem 5.1. Throughout this section we set  $H = N_G(A)$ .

We first consider the case when  $q \equiv \epsilon \pmod{4}$ . In this case  $H \cong (q - \epsilon) \wr \operatorname{Sym}(n)$  where  $(q - \epsilon)$  denotes a cyclic group of order  $q - \epsilon$ . Recall that A is a direct product of n cyclic groups of order  $(q - \epsilon)_2$  and so has order at least  $4^n$ . The 2-minimal subgroups of H are in one to one correspondence with the 2-minimal subgroups of  $H/A \cong (q - \epsilon)_{2'} \wr \operatorname{Sym}(n)$  (which if  $q - \epsilon$  is a power of 2, we understand to be isomorphic to  $\operatorname{Sym}(n)$ ). We extend the notation from Section 4 by taking preimages. Thus we set

$$\mathcal{T}(H,B) = \{T(n_i; s^c; j) \mid i \in I, s^c \in \Pi(q-\epsilon) \text{ and } 1 \le j \le n_i\}.$$

The linkers and fusers for H are defined in a similar fashion by pulling back from  $H/O_2(H)$  and we continue to denote these sets by  $\mathcal{L}(H, B)$ and  $\mathcal{F}(H, B)$ . So our first result is

**Theorem 8.1.** Suppose that  $q \equiv \epsilon \pmod{4}$ . Then  $\mathcal{M}(H, B) = \mathcal{T}(H, B) \cup \mathcal{F}(H, B) \cup \mathcal{L}(H, B)$ .

*Proof.* Taking into account our modified notation, this is just a restatement of Theorem 4.12.  $\Box$ 

The corresponding subsets of 2-minimal subgroups when  $q \equiv -\epsilon$ (mod 4) are more technical to define. Recall that in this case  $H = N_G(A) \cong J_2^{\epsilon} \wr \operatorname{Sym}(n/2)$  when n is even and  $H = N_G(A) \cong J_2^{\epsilon} \wr \operatorname{Sym}(\lfloor n/2 \rfloor) \times \operatorname{GL}_1^{\epsilon}(q)$  when n is odd. When n is odd, the final factor is contained in B and is normal in H and so we can, and will, be suppressed in our considerations. By Lemma 5.5, we have that  $N_G(A)$  is a quotient of W where  $W = C \wr (T_1 \wr \operatorname{Sym}(\lfloor n/2 \rfloor))$  and C has order  $q^2 - 1$ . By Lemma 3.6 every 2-minimal subgroup of H is an image of a 2-minimal subgroup of W. Hence we read off the 2-minimal subgroups of H from those that we have described in Theorem 4.12 for W considered as a subgroup of  $C \wr \operatorname{Sym}(n)$ . Let  $L = \operatorname{Sym}(\lfloor n/2 \rfloor)$  be a complement to the base group of H containing T.

Using bars to denote images, we have

$$\mathcal{F}(H,B) = \{\overline{P} \mid P \in \mathcal{F}(W)\} = \{\langle B, P^* \rangle \mid P^* \in \mathcal{F}(L,T)\}$$

are the fusers and these all have images greater than B.

The linkers become

 $\mathcal{L}(H,B) = \{\overline{P} \mid P \in \mathcal{F}(W), P \neq P(i;1)\} = \{BP^* \mid P^* \in \mathcal{F}(L,T)\}$ and finally the toral 2-minimal subgroups of H are

$$\mathcal{T}(H,B) = \{ T(n_i; s^c; j) \mid i \in I, 1 \le j < n_i, s^c \in \Pi(q-\epsilon) \} \\ \cup \{ T(n_i; s^c; n_i) \mid i \in I, s^c \in \Pi(q+\epsilon) \}.$$

We refer to the discussion in Section 5 for a vibrant description of these toral subgroups.

## **Theorem 8.2.** Suppose that $q \equiv -\epsilon \pmod{4}$ . Then $\mathcal{M}(H, B) = \mathcal{T}(H, B) \cup \mathcal{F}(H, B) \cup \mathcal{L}(H, B)$ .

*Proof.* This follows from the foregoing discussion.

Corollary 8.3.  $N_G(A)$  is 2-immutable.

*Proof.* This follows from the description of the 2-minimal subgroups of  $N_G(A)$  given in Theorems 8.1 and 8.2.

#### 9. 2-minimal subgroups in dimensions 2 and 4

In this section we determine the 2-minimal subgroups of  $\operatorname{GL}_2^{\epsilon}(q)$  and  $\operatorname{GL}_4^{\epsilon}(q)$ . These are the base cases for our inductive proof of Theorem 1.1. We first look at the dimension 2 case. Let V be the natural  $\operatorname{GL}_2^{\epsilon}(q)$ -module. Two subgroups of  $\operatorname{GL}_2^{\epsilon}(q)$  play a leading role. The first is the monomial group  $\operatorname{GL}_1^{\epsilon}(q) \wr T_1$  which has order  $2(q - \epsilon)^2$  and the second is the group  $J_2^{\epsilon}$  which we have already introduced in Section 5. We now give an alternative description of  $J_2^{\epsilon}$ . If  $\epsilon = +$ ,  $J_2^+ = \operatorname{GL}_1(q^2) : \langle \alpha \rangle$  where  $\alpha$  is the field automorphism of  $\operatorname{GF}(q^2)$  which maps every element to its  $q^{th}$  power. If  $\epsilon = -$ , then  $J_2^-$  preserves a decomposition of V as a sum of two isotropic subspaces and is isomorphic to  $\operatorname{GL}_1(q^2) : \langle \beta \rangle$  where  $\beta$  is the automorphism of the multiplicative group of  $\operatorname{GF}(q^2)$  which maps every element to the inverse of its  $q^{th}$  power. In particular, note that  $Z(J_2^{\epsilon})$  is cyclic of order  $q - \epsilon$ .

**Lemma 9.1.** Suppose that p is an odd prime,  $q = p^a > 5$  and  $G = \operatorname{GL}_2^{\epsilon}(q)$ . Then the maximal subgroups of G containing Z(G) and of odd index are as follows.

- (i)  $\operatorname{GL}_1^{\epsilon}(q) \wr T_1$  when  $q \equiv \epsilon \pmod{4}$ .
- (ii)  $J_2^{\epsilon}$  when  $q \equiv -\epsilon \pmod{4}$ .
- (iii)  $\operatorname{GL}_2^{\epsilon}(p^{a/c}) \circ (q-\epsilon)$  for each odd prime divisor c of a.
- (iv)  $Q_8.Sym(3) \circ (q \epsilon)$  when  $q \equiv 3, 5 \pmod{8}$  is a prime.

Furthermore, in each case there is exactly one conjugacy class of such subgroups.

*Proof.* This result is deduced from the list of maximal subgroups of  $\operatorname{GL}_2^{\epsilon}(q)$  given in [9, Theorem 3.4].

**Corollary 9.2.** With  $G = GL_2^{\epsilon}(q)$ , we have  $G \in \mathcal{M}(G, B)$  if and only if one of the following holds:

- (i)  $a = a_2 > 1;$
- (ii)  $a = 1, q \not\equiv 3, 5 \pmod{8}$ ; or
- (iii)  $G = \operatorname{GL}_2^{\epsilon}(3)$  or  $\operatorname{GL}_2^{\epsilon}(5)$ .

*Proof.* If  $G = \operatorname{GL}_2^{\epsilon}(3)$  or  $\operatorname{GL}_2^{\epsilon}(5)$ , then it is easily verified that G is 2-minimal. So we may assume that q > 5.

We first check that if (i) or (ii) hold, then G is 2-minimal. Note first that exactly one of the groups in (i) and (ii) of Lemma 9.1 can contain B. If (i) holds, then, as  $a = a_2$ , (iii) of Lemma 9.1 cannot occur, and, as  $a_2 > 1$ ,  $q \not\equiv 3,5 \pmod{8}$  and (iv) of Lemma 9.1 cannot occur. Hence G is 2-minimal in this case. If (ii) holds, then once again there is only one conjugacy class of maximal subgroups of odd index in G.

Suppose now that  $G \in \mathcal{M}(G, B)$ . Then as exactly one of the subgroups listed in (i) and (ii) of Lemma 9.1 contain B, the groups listed in (iii) and (iv) of the same lemma cannot arise in G. Hence either (i) or (ii) holds and the corollary is proved.

We can now harvest the 2-minimal subgroups for the groups  $\operatorname{GL}_2^{\epsilon}(q)$ .

**Proposition 9.3.** Assume that  $G = GL_2^{\epsilon}(q)$  (where  $q = p^a$ ). Then under the given conditions  $\mathcal{M}(G, B)$  is as follows.

(i) 
$$q \equiv \epsilon \pmod{8}$$
 and  
 $\mathcal{M}(\operatorname{GL}_{1}^{\epsilon}(q) \wr T_{1}, B) \cup \{\operatorname{GL}_{2}^{\epsilon}(p^{a_{2}}) \circ (q - \epsilon)\}.$   
(ii)  $q \equiv -\epsilon \pmod{8}$  and  
 $\mathcal{M}(J_{2}^{\epsilon}, B) \cup \{\operatorname{GL}_{2}^{\epsilon}(p^{a_{2}}) \circ (q - \epsilon)\}.$   
(iii)  $q \equiv 4 - \epsilon \pmod{8}, p \neq 5, and$   
 $\mathcal{M}(J_{2}^{\epsilon}, B) \cup \{\operatorname{Q}_{8}.\operatorname{Sym}(3) \circ (q - \epsilon)\}.$   
(iv)  $q \equiv 4 + \epsilon \pmod{8}, p \neq 5 and$   
 $\mathcal{M}(\operatorname{GL}_{1}^{\epsilon}(q) \wr T_{1}, B) \cup \{\operatorname{Q}_{8}.\operatorname{Sym}(3) \circ (q - \epsilon)\}.$   
(v)  $q = 5^{a}$  with a odd and  
 $\mathcal{M}(\operatorname{GL}_{1}^{\epsilon}(q) \wr T_{1}, B) \cup \{\operatorname{GL}_{2}^{\epsilon}(5) \circ (q - \epsilon)\} \cup \{\operatorname{Q}_{8}.\operatorname{Sym}(3) \circ (q - \epsilon)\}.$ 

*Proof.* Assume that  $P \notin \mathcal{M}(\mathrm{GL}_1^{\epsilon}(q) \wr T_1, B)$  when  $q \equiv \epsilon \pmod{4}$  and  $P \notin \mathcal{M}(J_2^{\epsilon}, B)$  when  $q \equiv -\epsilon \pmod{4}$ . We prove the result by induction on a. Assume that a = 1. If q = 3 or q = 5, then we observe that the proposition holds. Hence we may take q > 5. If P = G, then (i) or (ii)

holds by Lemma 9.2. If P < G, then Lemma 9.1 indicates that  $q \equiv 3, 5 \pmod{8}$  and that one of (iii) and (iv) holds. Assume now that a > 1. Again if P = G, we get  $a = a_2 > 1$  from Lemma 9.2 and (i) or (ii) holds. For P < G we again apply Lemma 9.1 to get  $P \leq \operatorname{GL}_2^{\epsilon}(q_0)$  where  $q_0^c = q$  for some odd prime c. Noting that  $q \equiv q_0 \pmod{8}$ , induction yields the result.

For completeness we re-record, from Theorems 8.1 and 8.2, the 2minimal subgroups of  $\mathcal{M}(\mathrm{GL}_1^{\epsilon}(q)\wr T_1, B)$  for  $q \equiv \epsilon \pmod{4}$  and  $\mathcal{M}(J_2^{\epsilon}, B)$  for  $q \equiv -\epsilon \pmod{4}$ .

Lemma 9.4. (i) For 
$$q \equiv \epsilon \pmod{4}$$
,  $\mathcal{M}(\operatorname{GL}_1^{\epsilon}(q)\wr T_1, B) = \{T(1, s^c, 1) \ s^c \in \Pi(q - \epsilon)\}.$   
(ii) For  $q \equiv -\epsilon \pmod{4}$ ,  $\mathcal{M}(J_2^{\epsilon}, B) = \{T(1, s^c, 1) \mid s^c \in \Pi(q + \epsilon)\}.$ 

Corollary 9.5.  $G = GL_2^{\epsilon}(q)$  is 2-immutable.

*Proof.* From Proposition 9.3 and Lemma 9.4 it follows that pairs of distinct members of  $\mathcal{M}(G, B)$  are not isomorphic. Hence G is 2-immutable.

In the next theorem we determine the 2-minimal subgroups of  $H = \operatorname{GL}_{2}^{\epsilon}(q) \wr T_{m-1} \leq \operatorname{GL}_{2m}^{\epsilon}(q)$  where  $B \leq H$  (notice here that  $m = n_1$  and r = 1). These subgroups break into two types as indicated by Proposition 9.3. Thus we introduce the *quaternion* 2-minimal subgroups when  $q \equiv 3, 5 \pmod{8}$ 

$$Q(m) = Z_m \left( (q - \epsilon)_2 \circ \mathcal{Q}_8.\mathrm{Sym}(3) \right) \wr T_{m-1}$$

and the  $\epsilon$ -linear 2-minimal subgroups

$$S(2,m) = Z_m \left( \operatorname{SL}_2^{\epsilon}(p^{a_2}) \cdot (q-\epsilon)_2 \right) \wr T_{m-1}$$

for  $q \equiv 1,7 \pmod{8}$  or  $q = 5^a$  with a odd. Note that when  $q = 5^a$  with a odd there are both quaternion and  $\epsilon$ -linear 2-minimal subgroup. With reference to our notation at this point, we note that  $\operatorname{SL}_2^{\epsilon}(p^{a_2}).(q-\epsilon)_2 = O^{2'}(\operatorname{GL}_2^{\epsilon}(p^{a_2}))$  is the subgroup of  $\operatorname{GL}_2^{\epsilon}(p^{a_2}) \circ (q-\epsilon)$  consisting of elements with determinant in the subgroup of  $\operatorname{GF}(q)^*$  when  $\epsilon = +$  or  $\operatorname{GF}(q^2)^*$  when  $\epsilon = -$  of order  $(q-\epsilon)_2$ .

**Theorem 9.6.** Suppose that  $H = \operatorname{GL}_2^{\epsilon}(q) \wr T_{m-1}$  for some natural number m. Then

$$\mathcal{M}(H,B) = \mathcal{M}(N_H(A),B) \cup \{Q(m), S(2,m)\}.$$

In particular, H is 2-immutable.

Proof. Let K be the base group of H and suppose that  $P \in \mathcal{M}(H, B)$ . Then by the construction of  $H, P \leq KS$  and S operates transitively on the factors  $K_1, \ldots, K_{2^{m-1}}$  of K. Now  $S \cap K \in \text{Syl}_2(K)$  and  $N_K(S \cap K) = (S \cap K)Z_m$  by Theorem 5.1. It follows that  $\pi_1(N_K(S \cap K)) =$  $N_{K_1}(S \cap K)$ . Finally,  $K_1$  is 2-immutable by Corollary 9.5. Thus the conditions of Lemma 3.15 are satisfied and so we have  $P \in \mathcal{M}(N_H(S \cap K), B) = \mathcal{M}(N_H(A), B)$  by Lemma 5.3 or  $P = Z_m \langle O^{2'}(L)^{T_{m-1}} \rangle T_{m-1}$ where  $L \in \mathcal{M}(K_1, N_{K_1}(S \cap K_1))$ . If  $L \leq N_{K_1}(A_1)$ , then we also have  $P \in \mathcal{M}(N_H(A), B)$ . Proposition 9.3 now delivers the result.  $\Box$ 

By Propositions 5.9 and 5.10 we now see why  $\operatorname{GL}_4^{\epsilon}(q)$  is most interesting for us when  $q \equiv -\epsilon \pmod{4}$ .

**Lemma 9.7.** Suppose that  $G = \operatorname{GL}_{4}^{\epsilon}(q)$  and  $q \equiv -\epsilon \pmod{4}$ . Then  $\mathcal{M}(G, B) = \mathcal{M}(\operatorname{GL}_{2}^{\epsilon}(q) \wr T_{1}, B) \cup \{\operatorname{GL}_{4}^{\epsilon}(p^{a_{2}}) \circ (q - \epsilon)\}$ . In particular, G is 2-immutable.

*Proof.* The first part follows from Propositions 5.9 and 5.10 and then we see that G is 2-immutable by applying Theorem 9.6.

Finally, for  $q \equiv -\epsilon \pmod{4}$ , we consider groups of the form  $H = \operatorname{GL}_4^{\epsilon}(q) \wr T_{m-2}$  contained in  $\operatorname{GL}_{2^m}^{\epsilon}(q)$  and containing B. Our aim is to determine all the 2-minimal subgroups of H. Thus we additionally define

$$S(4,m) = Z_m \left( \operatorname{SL}_4^{\epsilon}(p^{a_2}) \cdot (q-\epsilon)_2 \right) \wr T_{m-2}$$

for  $q \equiv -\epsilon \pmod{4}$ . Note that if  $\epsilon = +$ , then  $a_2 = 1$ . The group S(4, m) is also called a  $\epsilon$ -linear 2-minimal subgroup.

**Theorem 9.8.** Suppose that  $H = \operatorname{GL}_{4}^{\epsilon}(q) \wr T_{m-2}$  with  $q \equiv -\epsilon \pmod{4}$ . Then  $\mathcal{M}(H, B) = \mathcal{M}(\operatorname{GL}_{2}^{\epsilon}(q) \wr T_{m-1}, B) \cup \{S(4, m)\}$ . In particular, H is 2-immutable.

*Proof.* Just as in Theorem 9.6 we get that Lemma 3.15 is applicable. It then follows from Lemma 9.7 that  $\mathcal{M}(H, B)$  is precisely as described.

### 10. 2-MINIMAL SUBGROUPS OF $\operatorname{GL}_{2^{n_1}}^{\epsilon}(q)$

In this section we assume that  $n = 2^{n_1}$ , write  $m = n_1$  and intend to describe in detail the members of  $\mathcal{M}(G, B)$ . We first examine the basic action of the 2-minimal subgroups of G.

**Proposition 10.1.** Suppose that  $G = GL_{2m}^{\epsilon}(q)$  with m > 1.

(i) If 
$$q \equiv \epsilon \pmod{4}$$
, then  
 $\mathcal{M}(G, B) = \mathcal{M}(\mathrm{GL}_1^{\epsilon}(q) \wr \mathrm{Sym}(2^m), B) \cup \mathcal{M}(\mathrm{GL}_2^{\epsilon}(q) \wr T_{m-1}, B).$ 

(ii) If  $q \equiv -\epsilon \pmod{4}$ , then

 $\mathcal{M}(G,B) = \mathcal{M}(J_2^{\epsilon} \wr \operatorname{Sym}(2^{m-1}), B) \cup \mathcal{M}(\operatorname{GL}_2^{\epsilon}(q) \wr T_{m-1}), B) \cup \mathcal{M}(\operatorname{GL}_4^{\epsilon}(q) \wr T_{m-2}, B).$ In particular, G is 2-immutable.

*Proof.* Define

$$\mathcal{M}_* = \mathcal{M}(\mathrm{GL}_1^{\epsilon}(q) \wr \mathrm{Sym}(2^m), B) \cup \mathcal{M}(\mathrm{GL}_2^{\epsilon}(q) \wr T_{m-1}, B)$$

if  $q \equiv \epsilon \pmod{4}$  and

$$\mathcal{M}_* = \mathcal{M}(\mathrm{GL}_2^{\epsilon}(q) \wr \mathrm{Sym}(2^{m-1}), B) \cup \mathcal{M}(\mathrm{GL}_4^{\epsilon}(q) \wr T_{m-2}, B)$$

if  $q \equiv -\epsilon \pmod{4}$ . Note that by Lemmas 3.8, 5.2 and 5.3 we have  $\mathcal{M}(\operatorname{GL}_2^{\epsilon}(q))\operatorname{Sym}(2^{m-1}), B) = \mathcal{M}(J_2^{\epsilon})\operatorname{Sym}(2^{m-1}), B) \cup \mathcal{M}(\operatorname{GL}_2^{\epsilon}(q))T_{m-1}, B).$ Observe that the members of  $\mathcal{M}_*$  are 2-immutable in their signified over-groups by Corollary 8.3 and Theorems 9.6 and 9.8.

We may assume that m > 1 when  $q \equiv \epsilon \pmod{4}$  and that m > 2when  $q \equiv -\epsilon \pmod{4}$ . Denote by  $\mathcal{M}_j$  the set of 2-minimal subgroups of  $\operatorname{GL}_{2^j}^{\epsilon}(q) \wr \operatorname{Sym}(2^{m-j})$  and note that  $\mathcal{M}_1$  is non-empty if and only if  $q \equiv \epsilon \pmod{4}$ . Then using Propositions 5.9 and 5.10,  $\operatorname{GL}_{2^m}^{\epsilon}(q)$  is not 2-minimal so long as m > 1 when  $q \equiv \epsilon \pmod{4}$  and m > 2 when  $q \equiv -\epsilon \pmod{4}$ , employing Propositions 5.9 and 5.10 again gives

$$\mathcal{M}(G) = \bigcup_{j=1}^{m-1} \mathcal{M}_j$$

Suppose that the theorem is false. Then there exist a minimal  $j \leq j$ m-1 such  $P \in \mathcal{M}_j$  but P is not in  $\mathcal{M}_*$ . Let  $M = \operatorname{GL}_{2j}^{\epsilon}(q) \wr \operatorname{Sym}(2^{m-j})$ and C be the base group of M. Lemma 3.8 implies that  $P = N_P(S \cap C)$ or  $P \in \mathcal{M}(CB, B)$ . As  $S \cap C$  contains A as described before Lemma 5.3 we can apply Lemma 5.3 when  $P = N_P(S \cap C)$  to get  $P \leq N_G(A)$  and consequently  $P \in \mathcal{M}_1$  if  $q \equiv \epsilon \pmod{4}$  and  $P \in \mathcal{M}_2$  if  $q \equiv -\epsilon$ (mod 4), which is against the choice of P. Hence PC = BC = SC as  $Z(G) \leq C$ . In particular we have  $P \leq \operatorname{GL}_{2^j}^{\epsilon}(q) \wr T_{m-j}$ . Thus j > 1 if  $q \equiv \epsilon \pmod{4}$  and j > 2 if  $j \equiv -\epsilon \pmod{4}$ . We now intend to apply Lemma 3.15, so write  $C = K_1 \times \cdots \times K_{2^{m-j}}$  where  $K_{\ell} \cong \operatorname{GL}_{2^j}^{\epsilon}(q)$ ,  $1 \leq \ell \leq 2^{m-j}$ . Proceeding by induction we may assume  $G = \operatorname{GL}_{2^j}^{\epsilon}(q)$ is 2-immutable and  $\pi_1(N_C(S)) = \pi_1((S \cap C)Z(G)) = N_{K_1}(S \cap K_1),$ hence Lemma 3.15 and induction shows that there exists  $P_0$  with P = $\langle P_0, B \rangle$  where  $P_0 \in \mathcal{M}(\mathrm{GL}_1^{\epsilon}(q) \wr \mathrm{Sym}(2^j), B) \cup \mathcal{M}(\mathrm{GL}_2^{\epsilon}(q) \wr T_{j-1}, B)$ when  $q \equiv \epsilon \pmod{4}$  and  $P_0 \in \mathcal{M}(G) = \mathcal{M}(\mathrm{GL}_2^{\epsilon}(q) \wr \mathrm{Sym}(2^{j-1}), B) \cup$  $\mathcal{M}(\mathrm{GL}_4^{\epsilon}(q) \wr T_{i-2}, B)$  when  $q \equiv -\epsilon \pmod{4}$ . But then  $P \in \mathcal{M}_*$  and we have a contradiction. Consequently  $\mathcal{M}(G,B) = M^*$  so proving the proposition.

## 11. Proof of Theorem 1.1

We first recollect the 2-minimal toral subgroups

$$\mathcal{T} = \mathcal{T}(G, B) = \{ T(n_j; s^c; k) \mid j \in I, s^c \in \Pi(q - \epsilon) \text{ and } 1 \le k \le n_j \}$$

when  $q \equiv \epsilon \pmod{4}$  and

 $\mathcal{T} = \mathcal{T}(G, B) = \{T(n_i; s^c; j), T(n_i; t^d; n_i) \mid i \in I, 1 \le j < n_i, s^c \in \Pi(q - \epsilon), t^d \in \Pi(q + \epsilon)\}$ when  $q \equiv -\epsilon \pmod{4}$ .

The 2-minimal linkers and fusers also vary according to the congruence of q so we have

$$\mathcal{F} = \mathcal{F}(G, B) = \mathcal{F}(H, B) = \{ \langle B, P \rangle \mid P = P(n_i + n_j) \in \mathcal{F}(\mathrm{Sym}(n), T) \}$$

when  $q \equiv \epsilon \pmod{4}$  and

$$\mathcal{F} = \mathcal{F}(G, B) = \mathcal{F}(H, B) = \{ \langle B, P \rangle \mid P = P(n_i + n_j) \in \mathcal{F}(\text{Sym}(\lfloor n/2 \rfloor), T) \}$$
  
when  $q \equiv -\epsilon \pmod{4}$ . Similarly

$$\mathcal{L} = \mathcal{L}(G, B) = \mathcal{L}(H, B) = \{BP \mid P = P(n_i; j) \in \mathcal{L}(\operatorname{Sym}(n), T)\}$$

when  $q \equiv \epsilon \pmod{4}$  and

$$\mathcal{L} = \mathcal{L}(G, B) = \mathcal{L}(H, B) = \{BP \mid P = P(n_i; j) \in \mathcal{L}(\mathrm{Sym}(\lfloor n/2 \rfloor), T)\}$$

when  $q \equiv -\epsilon \pmod{4}$ .

The quaternion 2-minimal subgroups Q(m) defined so far only in  $\operatorname{GL}_{2m}^{\epsilon}(q)$  (see just after Corollary 9.5) extend to 2-minimal subgroups

$$Q(n_i) \times \prod_{k \in I \setminus \{i\}} B_{n_k}$$

of  $\operatorname{GL}_n^{\epsilon}(q)$ . We abuse notation and also denote this 2-minimal subgroup of  $\operatorname{GL}_n^{\epsilon}(q)$  by  $Q(n_i)$ . The set of quaternion 2-minimal subgroups is

$$\mathcal{Q} = \mathcal{Q}(G, B) = \{ Q(n_i) \mid i \in I \}.$$

We recollect that this set is non-empty precisely when  $q \equiv 3, 5 \pmod{8}$ . Similarly we have  $\epsilon$ -linear 2-minimal subgroups

$$S(2,n_i) \times \prod_{k \neq i} B_{n_k}$$

for  $q \equiv 1, 7 \pmod{8}$  or  $q = 5^a$  with a odd and

$$S(4, n_i) \times \prod_{k \neq i} B_{n_k}$$

for  $q \equiv -\epsilon \pmod{4}$  of  $\operatorname{GL}_n^{\epsilon}(q)$ . We again abuse notation and denote these subgroups by  $S(2, n_i)$  and  $S(4, n_i)$  respectively. Put

$$\mathcal{S} = \mathcal{S}(G, B) = \{ S(2, n_i), S(4, n_i) \mid i \in I \}.$$

When  $\epsilon = +$  we have radical 2-minimal subgroups

$$R(n_i \gg n_j)$$

and the set of radical 2-minimal subgroups is

$$\mathcal{R} = \mathcal{R}(G, B) = \{ R(n_i \gg n_j) \mid \{i, j\} \subseteq I, i \neq j \}.$$

When  $\epsilon = -$ , *n* is odd and  $q \equiv 1 \pmod{4}$ , the counterparts of the radical 2-minimal subgroups are the unitary 2-minimal subgroups

$$U(n_j) = \mathrm{GU}_{2^{n_j}+1}(q) \times \prod_{k \notin \{j,r\}} B_{n_k}$$

where  $j \in I \setminus \{r\}$  and the set of these subgroups is

$$\mathcal{U} = \mathcal{U}(G, B) = \{ U(n_j) \mid 1 \le j \le r - 1 \}$$

**Theorem 11.1.** For  $G = \operatorname{GL}_n^{\epsilon}(q)$ ,

$$\mathcal{M}(G,B) = \mathcal{T} \cup \mathcal{F} \cup \mathcal{L} \cup \mathcal{Q} \cup \mathcal{S} \cup \mathcal{R} \cup \mathcal{U}.$$

Proof. We proceed by induction on n noting that the result is true for n = 1 and n = 2. Suppose that  $P \in \mathcal{M}(G, B)$ . Then by Theorem 6.1, either  $P = G \in \mathcal{U}(G, B)$  or  $r = 1, P \in \mathcal{M}(N_G(A), B)$ ,  $\epsilon = +$  and  $P = O_p(P)B$  or  $P \in \mathcal{M}(\mathrm{GL}^{\epsilon}(U) \times \mathrm{GL}^{\epsilon}(W), B)$  for some non-zero subspaces U and W of V. If r = 1, Proposition 10.1 together with Theorems 9.6 and 9.8 show that either  $P \in \mathcal{S}(G, B), \mathcal{Q}(G, B)$ or  $\mathcal{M}(N_G(A), B)$ . If indeed  $P \in \mathcal{M}(N_G(A), B)$ , Theorems 8.1 and 8.2 indicate that  $P \in \mathcal{T}(G, B) \cup \mathcal{F}(G, B) \cup \mathcal{L}(G, B)$ . So we may suppose that  $P \in \mathcal{M}(\mathrm{GL}^{\epsilon}(U) \times \mathrm{GL}^{\epsilon}(W), B)$  for some non-zero subspaces Uand W of V. Let  $K = \mathrm{GL}^{\epsilon}(U)$  and  $L = \mathrm{GL}^{\epsilon}(W)$ . Then by Lemma 3.13 either  $P \cap K \in \mathcal{M}(K, B \cap K)$  or  $P \cap L \in \mathcal{M}(L, B \cap L)$ . The proof is now completed by using induction.  $\Box$ 

# 12. Descent to normal subgroups of $\operatorname{GL}_n^{\epsilon}(q)$ -proof of Theorem 1.2

Throughout this section  $G = \operatorname{GL}_n^{\epsilon}(q)$  and  $G \ge H \ge \operatorname{SL}_n^{\epsilon}(q)$  with  $n \ge 3$ . We continue the notation developed in earlier sections.

We intend to show that if  $P \in \mathcal{M}(H, B \cap H)$ , then  $PB \in \mathcal{M}(G, B)$  or find the exceptions when this is not the case. Thus our aim is to prove Theorem 1.2.

subgroups	conditions	number
$T(n_i; s^c; j)$	$i \in I,  s^c \in \Pi(q-\epsilon),  1 \le j \le n_i$	$ \Pi(q-\epsilon)  \sum_{i \in I} n_i$
$P(n_i + n_j)$	$\{i, j\} \subseteq I,  i \neq j$	r(r-1)/2
$P(n_i; j)$	$i \in I,  n_i \ge 2,  1 \le j < n_i$	$\sum_{i \in I, n_i \ge 2} (n_i - 1)$
$R(n_i \gg n_j)$	$\{i, j\} \subseteq I,  i \neq j,  \epsilon = +$	r(r-1)
$Q(n_i)$	$i \in I, n_i \ge 1, q \equiv 3,5 \pmod{8}$	$\int r - 1  n \text{ odd}$
		r n even
$S(2, n_i)$	$i \in I, n_i \ge 1, q \equiv 1, 7 \pmod{8}$	$\int r - 1  n \text{ odd}$
		r n even
	or $q = 5^a a$ odd	
TABLE 1	The 2-minimal subgroups of (	$\gamma I \epsilon(a) = c$

TABLE 1. The 2-minimal subgroups of  $\operatorname{GL}_n^{\epsilon}(q), q \equiv \epsilon \pmod{4}, n = 2^{n_1} + \cdots + 2^{n_r}$ 

subgroups	conditions	number
$T(n_i; s^c; j)$	$i \in I, n_i \ge 1 \ s^c \in \Pi(q - \epsilon)$	$\left \Pi(q-\epsilon)\right \sum_{i\in I}(n_i-1)$
	$1 \le j \le n_i - 1$	
$ T(n_i; s^c; n_i) $	$i \in I,  s^c \in \Pi(q+\epsilon),$	$r \Pi(q+\epsilon) $
$P(n_i + n_j)$	$\{i, j\} \subseteq I,  i \neq j,  n_j > 1$	(r-1)(r-2)/2
$P(n_i;j)$	$i \in I,  n_i \ge 2,  1 < j < n_i$	$\sum_{i \in I, n_i \ge 2} (n_i - 2)$
$R(n_i \gg n_j)$	$\{i, j\} \subseteq I,  i \neq j,  \epsilon = +$	r(r-1)
$U(n_i)$	$i \in I \setminus \{r\}, n_r = 0, \epsilon = -$	r-1
		$\int r - 1  n \text{ odd}$
$Q(n_i)$	$i \in I, n_i \ge 1, q \equiv 1, 7 \pmod{8}$	r n even
	$S(2, n_i)$ $i \in I, n_i \ge 1, q \equiv 3, 5 \pmod{8}$	$\int r - 1  n \text{ odd}$
$S(2,n_i)$		r n even
	or $q = 5^a a$ odd	C
		$r \qquad n \equiv 0 \pmod{4}$
$S(4, n_i)$	$i \in I, n_i \ge 2$	$\begin{cases} r-1 & n \equiv 2,3 \pmod{4} \end{cases}$
		$\begin{bmatrix} r-2 & n \equiv 1 \pmod{4} \end{bmatrix}$
		$\left[\begin{array}{cc} r-2 & n \equiv 1 \pmod{4} \\ \end{array}\right]$

TABLE 2. The 2-minimal subgroups of  $\operatorname{GL}_n^{\epsilon}(q), q \equiv -\epsilon \pmod{4}, n = 2^{n_1} + \cdots + 2^{n_r}$ 

Because of Lemma 3.12 to understand the 2-minimal subgroups of the groups H with  $G \ge H \ge \operatorname{SL}_n^{\epsilon}(q)$ , we may just study those groups which also contain Z(G). Such subgroups have index dividing  $(q - \epsilon, n)$ so assume k divides  $(q - \epsilon, n)$  and define  $H_k$  to be the unique normal subgroup of G of index k which contains Z(G) and  $SL_n^{\epsilon}(q)$ . We define  $B^* = B \cap H_k, S^* = S \cap H_k$  and, more generally, for a subgroup  $Y \leq G$ ,  $Y^* = Y \cap H_k$ . As  $n \ge 3$ , Theorem 5.1 implies  $B^* = N_{H_k}(S^*)$ .

**Lemma 12.1.** For each  $1 \le j \le r$ , the following hold.

- (i)  $G = Z_{n_i}AH_k$  and  $B = Z_{n_i}AB^*$ .
- (ii)  $G = Z_{n_j} S_{n_j} H_k$  and  $B = Z_{n_j} S_{n_j} B^*$ . (iii)  $S = S_1 S^*$  where  $S_1 \in \text{Syl}_2(\text{GL}_2^{\epsilon}(q))$  is as described in Section 5.

*Proof.* We consider the image of  $Z_{n_j}A$ , for  $1 \leq j \leq r$ , under the determinant homomorphism. Let  $\lambda$  be a generator of  $GF(q)^*$  when  $\epsilon = +$ and of the subgroup of order q + 1 in  $GF(q^2)^*$  when  $\epsilon = -$ . Then  $\det(Z_{n_j}) = \langle \lambda^{2^{n_j}} \rangle$  and  $|\det(A)| = |\det(S_{n_j})| = |\det(S_1)| = (q - \epsilon)_2$ . It follows that  $|\det(Z_{n_i}A)| = |\det(Z_{n_i}S_{n_i})| = |\det(Z_{n_i}S_1)| = q - \epsilon$  and this proves the result. 

We next describe the subgroup  $B^*$  in a special case.

**Lemma 12.2.** Suppose that  $n = 2^{n_1} + 1$ ,  $G = \operatorname{GU}_n(q)$ ,  $\lambda \in \operatorname{GF}(q^2)$  has order q + 1 and k divides (q + 1, n). Then

- (i)  $B^* = \langle S^*, Z(G), s \mid s \in Z_{n_1}, |Z_{n_1} : \langle s \rangle| = k \rangle.$
- (ii) If  $M \cong \operatorname{GU}_n(q_1) \circ (q+1)$  is a subfield subgroup of G with  $(q+1)/(q_1+1)$  odd, then  $B^* \leq M^*$  if and only if  $\lambda^k \in \mathrm{GF}(q_1^2)$ (which is if and only if  $(q+1)/(q_1+1)$  divides k).

*Proof.* Because n is odd,  $S^* = S$ ,  $BH_k = G$  and so  $B^*$  is the unique subgroup of B of index k containing  $S^*$  and Z(G). This proves (i).

To see (ii), we note that  $B^* \leq M$  if and only if  $s \in M$  where  $|Z_{n_1}:\langle s\rangle|=k$ . We fix  $\lambda\in \mathrm{GF}(q^2)$  of order q+1. Then we may suppose the non-one entries in the diagonal matrix s are  $\lambda^k$ . Since  $(q+1)/(q_1+1)$ is odd, det  $s = (\lambda^k)^{2^{n_1}} \in \widetilde{\mathrm{GF}}(q_1^2)$  if and only if  $\lambda^k \in \widetilde{\mathrm{GF}}(q_1^2)$ . Hence  $s \in M$  if and only if  $\lambda^k \in GF(q_1^2)$  which is if and only if  $(q+1)/(q_1+1)$ divides k. 

Another exceptional case which appears when n = 4 is covered in Lemma 12.3.

**Lemma 12.3.** Assume that n = 4 and  $(q - \epsilon)_2 = 4$ . Let  $B^* \leq L \leq H_k$ be such that

$$L \cong \begin{cases} (q-\epsilon) \circ 2^{1+4}_+. \operatorname{Alt}(6) & \text{if } k = 4, \text{ or} \\ (q-\epsilon) \circ 2^{1+4}_+. \operatorname{Sym}(6) & \text{if } k = 2. \end{cases}$$

Then

$$\mathcal{M}(L, B^*) \subseteq \mathcal{M}((\mathrm{GL}_2^{\epsilon}(q) \wr \mathrm{Sym}(2))^*, B^*) \cup \mathcal{M}(((q+1) \wr \mathrm{Sym}(4))^*, B^*).$$

*Proof.* Let  $Q = O_2(L)$ . Then Q is of symplectic type and  $Q' = \Phi(Q)$  has order 2. We have  $\mathcal{M}(L, B^*) = \{P_1, P_2\}$  has size 2 and  $P_i/Q \cong \text{Sym}(4)$ if k = 4 and  $P_i/Q \cong 2 \times \text{Sym}(4)$  if k = 2. We choose notation so that  $P_1$  normalizes a subgroup  $W_1$  of Q of order 8 and  $P_2$  normalizes a subgroup  $W_2$  of order 16. Observe that the action of L/Q on Q/Z(Q)shows that  $W_1$  and  $W_2$  are uniquely determined and correspond to isotropic subspaces. Since  $|W_1: Z(Q)| = 2$ ,  $W_1$  is abelian and we have  $W_1 \cong 4 \times 2$ . Hence  $P_1$  normalizes an elementary abelian subgroup of order 4 and thus  $P_1 \in \mathcal{M}(\mathrm{GL}_2^{\epsilon}(q) \wr \mathrm{Sym}(2))^*, B^*)$ . So consider  $W_2$ . Suppose that  $W_2$  is non-abelian. Then  $W_2 \cong 4 \circ \text{Dih}(8) \cong 4 \circ Q_8$ . If  $C_Q(W_2) \not\leq W_2$ , then, as  $C_Q(W_2)$  is normalized by  $P_2, C_Q(W_2)$  has order 16, whereas  $W_2$  is unique with this property. Hence  $C_Q(W_2) = Z(Q)$ . Let  $F \leq W_2$  be non-abelian of order 8. Then F is extraspecial and so  $Q = FC_Q(F)$  by [21, Lemma 5.4.6]. As  $C_Q(F)$  centralizes FZ(Q) = $W_2$ , this contradicts  $C_Q(W_2) = Z(Q)$ . Hence  $W_2$  is abelian and so  $P_2$ normalizes an elementary group of order 8. It follows that  $P_2$  preserves a decomposition of V into a decomposition of at least 3 subspaces. Hence  $P_2 \in \mathcal{M}(((q+1) \wr \operatorname{Sym}(4))^*, B^*)$ . This proves Lemma 12.3. 

Recall that when  $n = 2^{n_1} + 1$ ,  $U(n_1) \in \mathcal{M}(G, B)$  from Section 11. Let  $\mathrm{GF}(q_0^2)$  be the minimal subfield of  $\mathrm{GF}(q^2)$  containing all the  $k^{th}$  powers of elements of  $\mathrm{GF}(q^2)$ . Of course  $q_0$  varies with k.

**Proposition 12.4.** Suppose that  $G = \operatorname{GU}_n(q)$ , k divides (q+1, n) and  $P \in \mathcal{M}(H_k, B^*)$ . If n = 3 and p = 5, assume that a is even. Then either

- (i) P preserves an orthogonal decomposition of V;
- (ii)  $q \equiv 1 \pmod{4}$ ,  $n = 2^{n_1} + 1$ ,  $P \in \mathcal{M}(U(n_1)^*, B^*)$ ,  $P = (\operatorname{GU}_{2^{n_1}+1}(q_0) \circ (q+1))^*$  and there are  $(\frac{q+1}{q_0+1}, k)$   $H_k$ -conjugacy classes of such subgroups; or
- (iii) n = 4  $(q + 1)_2 = 2$ ,  $|G/H_k|$  is even, and  $P \in \mathcal{M}(K, B^*)$ where  $K \cong ((q + 1) \circ \operatorname{Sp}_4(q) : 2)^*$  of which there are two  $H_k$ conjugacy classes.

In particular, for k > 1,  $H_k \in \mathcal{M}(H_k, B^*)$  if and only if  $n = 2^{n_1} + 1$ ,  $q \equiv 1 \pmod{4}$  and  $q_0 = q$ .

*Proof.* We first determine the cases where  $P = H_k$  is 2-minimal. We know  $n = 2^{n_1} + \cdots + 2^{n_r}$ .

Suppose that r = 1 so that  $n = 2^{n_1}$ . If  $n \ge 8$ , then both  $(\operatorname{GU}_2(q) \wr \operatorname{Sym}(n/2))^*$  and  $(\operatorname{GU}_4(q) \wr \operatorname{Sym}(n/4))^*$  contain  $B^*$  and so  $H_k$  is not 2-minimal in this case. If n = 4 and  $(q+1)_2 \ge 4$ , we have  $B^* \le (\operatorname{GU}_1(q) \wr \operatorname{Sym}(4))^*$  and  $(\operatorname{GU}_2(q) \wr \operatorname{Sym}(2))^*$ , and again  $H_k$  is not 2-minimal. So suppose that n = 4 and  $(q+1)_2 = 2$ . Then, by Theorem 5.8,  $H_2$  has two

 $H_2$ -conjugacy classes of subgroups isomorphic to  $((q+1) \circ \text{Sp}_4(q) : 2)^*$  contain  $B^*$  and so G is not 2-minimal in this case.

If  $r \geq 3$ , then both  $(\operatorname{GU}_{2^{n_1}}(q) \times \operatorname{GU}_{n-2^{n_1}}(q))^*$  and  $(\operatorname{GU}_{n-2^{n_r}}(q) \times \operatorname{GU}_{2^{n_r}}(q))^*$  are maximal subgroups containing  $B^*$ . Hence  $r \leq 2$ . If  $n_r > 0$ , then  $(\operatorname{GU}_{2^{n_1}}(q) \times \operatorname{GU}_{2^{n_2}}(q))^*$  and  $(\operatorname{GU}_2(q) \wr \operatorname{Sym}(n/2))^*$  both contain  $B^*$  and together generate  $H_k$ . Therefore  $n = 2^{n_1} + 1$ . If  $q \equiv 3 \pmod{4}$ , then G is generated by  $(\operatorname{GU}_1(q) \wr \operatorname{Sym}(n))^*$  and  $(\operatorname{GU}_{2^{n_1}}(q) \times \operatorname{GU}_1(q))^*$ . Hence  $q \equiv 1 \pmod{4}$ .

If  $B^*$  is contained in  $(\mathrm{GU}_n(q_0) \circ (q+1))^*$ , then by Lemma 12.2 (ii) we have  $(q+1)/(q_0+1)$  divides k and k divides (q+1,n). Since there are  $(((q+1)/(q_0+1),n),k) = ((q+1)/(q_0+1),k)$  such conjugacy classes by Proposition 5.8(i), we have more than one such subgroup containing  $B^*$ , which is a contradiction. Hence  $B^*$  is not contained in the normalizer of any such subfield subgroup of G with  $(q+1)/(q_0+1)$ odd. Using Lemma 12.2 gives  $q = q_0$  and so  $H_k$  is as described in part (ii) of the proposition.

Assume now that  $P < H_k$  and that (i) does not hold. Then, using the extra condition when n = 3 and p = 5, Theorem 5.8 yields either  $B^* \leq P \leq \operatorname{GU}_n(q_1) \circ (q+1)$  for some  $\operatorname{GF}(q_1^2) \leq \operatorname{GF}(q^2)$  and with  $q_1$ chosen minimal with this property or n = 4 and  $(q+1)_2 \leq 4$  and cases Theorem 5.8 (iii) and (iv) appear. Consider the first case. Applying Theorem 5.8 again, we find that  $P = (\operatorname{GU}_n(q_1) \circ (q+1))^*$  is 2-minimal. Since  $\operatorname{GF}(q_0^2)$  is the smallest subfield of  $\operatorname{GF}(q^2)$  which contains the  $k^{\text{th}}$ powers, we have  $\operatorname{GF}(q_0^2) \leq \operatorname{GF}(q_1^2)$  and so  $B^* \leq (\operatorname{GU}_{2^{n_1}+1}(q_0^2))^* \leq P$ . Now, since  $B_k \leq (\operatorname{GU}_{2^{n_1}}(q_1) \times \operatorname{GU}_1(q_1))^*$  and P is 2-minimal, we deduce that  $q_1 = q_0$ . The number of conjugacy classes of such subgroups is given in Theorem 5.8. Thus (ii) holds in this case.

If n = 4 and  $(q + 1)_2 = 2$ , then, if in addition k is even,  $H_k$  has two conjugacy classes of subgroups isomorphic to  $(q + 1) \circ \text{Sp}_4(q)$ :2 which contain  $B^* = S^*$  so (iii) holds.

If n = 4 and  $(q + 1)_2 = 4$ , then we apply Lemma 12.3 to see that P appears in (i).

**Proposition 12.5.** Suppose that  $G = GL_n(q)$ , k divides (q-1, n) and  $P \in \mathcal{M}(H_k, B^*)$ . Then either

- (i) P is contained in a parabolic subgroup of G;
- (ii) P acts irreducibly on V and there exists  $b \ge 1$  such that  $n = 2^b m$  and  $P \le \operatorname{GL}_{2^b}(q) \wr \operatorname{Sym}(m)$ ; or
- (iii) n = 4,  $(q-1)_2 = 2$ ,  $|G/H_k|$  is even, and  $P \in \mathcal{M}(K, B^*)$  where  $K \cong ((q-1) \circ \operatorname{Sp}_4(q):2)^*$  of which there are two  $H_k$ -conjugacy classes.

Proof. We first show that  $H_k \notin \mathcal{M}(H_k, B^*)$ . Suppose this is false. If n is not a power of 2, then  $B^*$  leaves invariant at least two proper subspaces of different dimensions and so is contained in two distinct parabolic subgroups of G. Hence  $n = 2^{n_1}$ . If  $n_1 \ge 3$ , then  $B^*$  preserves a decomposition into 2-spaces and 4-spaces and the stabilizers of these decompositions generate  $H_k$ , a contradiction. Hence n = 4. If  $(q-1)_2 > 2$ ,  $B^*$  is contained in  $(\operatorname{GL}_1(q) \wr \operatorname{Sym}(4))^*$  and  $(\operatorname{GL}_2(q) \wr \operatorname{Sym}(2))^*$ , again a contradiction. So suppose that  $(q-1)_2 = 2$ . Then Theorem 5.7 shows that  $H_k$  contains two  $H_k$ -conjugacy classes of subgroups isomorphic to  $((q-1) \circ \operatorname{Sp}_4(q):2)^*$ . Thus  $H_k$  is not 2-minimal.

Now suppose that  $P \in \mathcal{M}(H_k, B^*)$  is a proper subgroup of  $H_k$ . Theorem 5.7 together with Lemma 12.3 yield that (i), (ii) or (iii) holds or P is contained in a subfield subgroup. In the latter case an induction argument yields the result.

We now start to determine which 2-minimal subgroups of  $H_k$  are normalized by B.

**Lemma 12.6.** If  $P \in \mathcal{M}(H_k, B^*)$  where k divides  $(q - \epsilon, n)$  and  $P \leq \operatorname{GL}^{\epsilon}(U) \times \operatorname{GL}^{\epsilon}(W)$  for U and W non-zero subspaces of V with  $V = U \oplus W$  an orthogonal decomposition, then B normalizes P.

Proof. Without loss we may suppose dim  $U \ge \dim W$ . If n = 3, then  $H_k = H_3$  or  $H_1$  and, in particular,  $S \le P$ . As n = 3, dim U = 2 and so  $B = S(Z(\operatorname{GL}^{\epsilon}(U)) \times Z(\operatorname{GL}^{\epsilon}(V))$ . Since  $S \le P$  and  $P \le \operatorname{GL}^{\epsilon}(U) \times \operatorname{GL}^{\epsilon}(W)$ , P is normalized by B.

If n = 4, then  $B^*$  acts irreducibly on V and so there is nothing to do in this case.

Suppose now that  $n \geq 5$ . Then  $\dim U \geq 3$ . Put  $K = \mathrm{SL}^{\epsilon}(U)$  and observe that  $K \leq \mathrm{SL}_n^{\epsilon}(q) \leq H_k$ . Then  $N_K(S \cap K) = B \cap K$  by Theorem 5.1. Using Lemma 3.9 we know that  $P \leq \mathcal{M}(KB^*, B^*)$  or  $P \in \mathcal{M}(C_{H_k}(K)B^*, B^*)$ . Furthermore, either  $P = B^*(P \cap C_{H_k}(K))$  or  $P = B^*(P \cap K)$ .

Recall that  $S_1 \in \operatorname{Syl}_2(\operatorname{GL}_2^{\epsilon}(q))$  and so  $S_1 \cap H_k \leq S^*$  contains a quaternion subgroup  $Q_1$  and we have  $[V, S_1] = [V, Q_1]$  is irreducible of dimension 2. Now note that U and W are  $S^*$ -invariant and so also  $Q_1$ invariant. It follows that  $[U, S_1] = [U, Q_1] \leq U$  and  $[W, S_1] = [W, Q_1] \leq$ W. Hence Lemma 12.1 yields that U and W are S-invariant. Since all S-invariant subspaces are sums of  $V_{n_j}$ 's, we have that U and W are B-invariant. In particular,  $B \leq \operatorname{GL}^{\epsilon}(U) \times \operatorname{GL}^{\epsilon}(W)$ .

Note that  $P \cap K$  is centralized by  $B \cap \operatorname{GL}^{\epsilon}(W)$  and, by Lemma 12.1 (ii),  $B = B^*(B \cap \operatorname{GL}^{\epsilon}(W))$  and, similarly,  $P \cap C_G(K)$  is centralized by

 $B = (B \cap \operatorname{GL}^{\epsilon}(U))B^*$ . Hence, since  $B^*$  is normalized by B, we have that P is normalized by B.

We now take care of the special case omitted from Proposition 12.4.

**Lemma 12.7.** Assume that  $G = GU_3(q)$  with  $q = 5^a$ , a odd, and that  $P \in \mathcal{M}(H_3, B^*)$ . Then either

- (i) B normalizes P; or
- (ii) a = 1, and there are three  $H_3$ -conjugacy classes of subgroups  $P \cong 3 \cdot \text{Mat}(10)$ .

Moreover,  $H_3$  is 2-minimal unless q = 5.

Proof. We may assume that (i) and (ii) do not hold. If q = 5, then, using [18], the result can be read from the list of maximal subgroups of G. So assume that q > 5. By Lemma 12.2,  $B^*$  is never in a subfield subgroup of  $H_3$ . As  $q \equiv 1 \pmod{4}$ ,  $B^*$  does not preserve an orthogonal decomposition into three one-spaces. Hence the unique maximal overgroup of  $B^*$  in  $H_3$  preserves the orthogonal sum of V into a 2-space and a 1-space. In particular,  $H_3$  is 2-minimal and employing Lemma 12.6 gives that any other 2-minimal subgroup is normalized by B.

**Lemma 12.8.** Suppose that n = 4, k = 2,  $(q - \epsilon)_2 = 2$ , and  $K \leq H_2$  with  $K \cong (q - \epsilon) \circ \operatorname{Sp}_4(q)$ :2. If  $P \in \mathcal{M}(K, B^*)$ , then one of the following holds.

- (i)  $P \in \mathcal{M}((\mathrm{GL}_2(q) \wr \mathrm{Sym}(2))^*, B^*).$
- (ii)  $q = p \equiv 3,5 \pmod{8}$  and  $P \cong (q \epsilon) \circ 2^{1+4}_{-}$ .Sym(5).
- (iii)  $q \equiv 1,7 \pmod{8}$  and  $P \cong (q \epsilon) \circ \operatorname{Sp}_4(p^{a_2}):2$ .

Proof. Suppose that  $P \in \mathcal{M}(K, B^*) \setminus \mathcal{M}(\operatorname{GL}_2(q) \wr \operatorname{Sym}(2))^*, B^*)$ . By [11, Table 8.12], P = K if and only if  $K = (q - \epsilon) \circ \operatorname{Sp}_4(p^{a_2})$  and  $q \equiv 1, 7 \pmod{8}$ . If  $q \equiv 3, 5 \pmod{8}$ , then B is contained in a unique K-conjugacy class of subgroups of shape  $X = (q - \epsilon) \circ 2^{1+4}_-$ .Sym(5). This group intersects  $(\operatorname{GL}_2(q) \wr \operatorname{Sym}(2))^*$  in the maximal subgroup  $(q - \epsilon) \circ 2^{1+4}_-$ .Sym(4) and this is one of the groups  $P_1$  or  $P_2$  which we will encounter in Theorem 12.9 (v) and in this case (i) holds. It follows that P = X. This completes the proof of the lemma. □

**Theorem 12.9.** Suppose that  $P \in \mathcal{M}(H_k, B^*)$  where k divides  $(q - \epsilon, n)$ . Then at least one of the following holds.

- (i) B normalizes P.
- (ii)  $P \leq N_G(A)$ .
- (iii)  $\epsilon = -, q \equiv 1 \pmod{4}, n = 2^{n_1} + 1, q \neq q_0, P \in \mathcal{M}(U(n_1)^*, B^*)$ and there are  $(\frac{q+1}{q_0+1}, n)$  subgroups isomorphic to  $(\mathrm{GU}_{2^{n_1}+1}(q_0) \circ (q+1))^*$ .

- (iv) n = 4, (q − ε)<sub>2</sub> = k = 2, P ∈ M(S(4, 2)\*, B\*) and we have two H<sub>2</sub>-conjugacy classes of subgroup in each of the following cases
  (a) q = p ≡ 3,5 (mod 8) and P ≅ (q − ε) ∘ 2<sup>1+4</sup><sub>-</sub>.Sym(5); and
  (b) q ≡ 1,7 (mod 8) and P ≅ (q − ε) ∘ Sp<sub>4</sub>(p<sup>a<sub>2</sub></sup>):2.
- (v)  $n = 4, k \in \{2, 4\}, (q \epsilon)_2 \leq 4, and P \in \mathcal{M}(Q(2)^*, B^*)$  with  $|P/Z_{n_1}| = 3 \cdot 2^9/k$ . There are two  $H_k$ -conjugacy classes of such subgroups.
- (vi) n = 3 and q = 5, and P is one of three H<sub>3</sub>-conjugacy classes of subgroups  $P \cong 3 \cdot \text{Mat}(10)$ .

*Proof.* If n = 3 and p = 5 with a odd, then we refer to Lemma 12.7 to obtain either (i) or (vi).

If  $P = H_k$ , then B normalizes P and (i) holds. Hence we assume that  $P < H_k$ .

If P does not act irreducibly on V, then Propositions 12.4 and 12.5 and Lemma 12.6 yield that either (i) holds or  $\epsilon = +$  and P is contained in a parabolic subgroup of G. In the latter case, Theorem 7.2 shows that (i) holds as well. Thus P acts irreducibly on V.

Suppose that  $P \leq \operatorname{GL}_{2^d}^{\epsilon}(q) \wr \operatorname{Sym}(n/2^d)$  for some d such that  $2^d$  divides n, set  $L = \operatorname{GL}_{2^d}^{\epsilon}(q) \wr \operatorname{Sym}(n/2^d)$  and take K to be the base group of L. If d = 1, then  $P \leq N_G(A)$  and we find that (ii) holds. By Lemma 3.5 either  $PK^*/K^* \in \mathcal{M}(L^*/K^*, B^*K^*/K^*)$  or  $PK^* = B^*K^*$ .

If  $PK^*/K^* \in \mathcal{M}(L^*/K^*, BK^*/K^*)$ , Lemma 3.8 shows that  $P = N_P(S \cap K^*)$ . Then Lemma 5.6 implies that P normalizes  $S \cap K$ . Since  $A \leq S \cap K$ ,  $P \leq N_{H_k}(A)$  by Lemma 5.3. Again showing that P is in case (ii).

Assume that  $P \leq B^*K^* = S^*K^*$ . Then, as P acts irreducibly on V,  $n = 2^{n_1}$  and  $P \leq K^*B^* \leq \operatorname{GL}_{2^{n_1-1}}^{\epsilon}(q) \wr \operatorname{Sym}(2)$ . In particular,  $Z_{n_1} = Z(G)$  centralizes P and so to show that P is normalized by B we need to show that P is normalized by S by Lemma 12.1. Suppose that when  $n = 4, q \not\equiv 3, 5 \pmod{8}$ . Taking  $J = J_1 J_2 = \operatorname{SL}_{2^{n_1-1}}^{\epsilon}(q) \times \operatorname{SL}_{2^{n_1-1}}^{\epsilon}(q)$ and setting  $R = S^*$ , Theorem 5.1 with the fact that  $q \not\equiv 3, 5 \pmod{8}$ when n = 4, yields  $N_{J_1}(R \cap K) = B^* \cap J_1$ . Thus, noting that Lemma 12.1 (iii) provides hypothesis (iii) of Lemma 3.16, we apply Lemma 3.16 to see that (i) holds.

In the case that n = 4 and  $q \equiv 3, 5 \pmod{8}$ , we have that  $S^* \cap J \cong Q_8 \times Q_8$ ,  $N_J(S^* \cap J) \cong SL_2(3) \times SL_2(3)$  and so Lemma 3.16 no longer applies. We have that  $SJ/JC_S(J) \cong Out(J) \cong Dih(8)$ . Let  $S_0 = N_S(J_1)$ . Then  $S_0$  has elements with determinant of order  $(q - \epsilon)_2$ and, when acting on  $T = N_J(S^* \cap J)/(S^* \cap J) \cong 3 \times 3$ ,  $S_0/C_{S_0}(T)$ is elementary abelian of order 4. Notice that as 2 divides k, we have  $S_0^*/C_{S_0^*}(T)$  has order 2 and inverts T. We consider J acting preserving the subspaces  $\langle e_1, e_2 \rangle$  and  $\langle e_3, e_4 \rangle$  where  $(e_1, e_2, e_3, e_4)$  is the standard basis for V. We may suppose that  $y = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix} \in S$ . Since  $\det(y) = 1$ ,  $y \in S^*$  and surely  $y \notin S_0$  and y does not centralize T. It follows that  $S^*/C_{S^*}(T)$  is elementary abelian. Since  $S_0^*$  inverts T, we have [T, y]and  $C_T(y)$  are S\*-invariant and conjugate by some element  $x \in S$ . Therefore, if we set  $P_1 = [N_J(S^* \cap J), y]SZ_{n_1}$  and  $P_2 = P_1^x$ . Then

$$\{P_1, P_2\} = \mathcal{M}(N_J(S^* \cap J)S^*Z_{n_1}, B^*) \subseteq \mathcal{M}(JS^*Z_{n_1}, B^*).$$

Since  $N_J(S^* \cap J)SZ_{n_1} = Q(2)$ , we have the 2-minimal subgroups are as listed in (v).

Assume that  $P \in \mathcal{M}(JS^*Z_{n_1}, B^*) \setminus \mathcal{M}(N_J(S^* \cap J)S^*Z_{n_1}, B^*)$ . Then  $S^* \cap J$  is not normalized by P. We know that

$$P = B^*(P \cap J) = S^*Z_{n_1}(P \cap J)$$

and so, as  $S^* \cap J$  is not normalized by P,  $P \cap J$  does not normalize  $S^* \cap J$ . Set  $Q_1 = \langle (S^* \cap J_1)^{P \cap J} \rangle$  and  $Q = \langle Q_1^{S^*} \rangle$ . Then Q is normalized by  $S^*Z_{n_1}(P \cap J) = P$ . As  $S^* \cap J \in \text{Syl}_2(Q)$ ,  $P = QN_P(S^* \cap J)$  and so  $P = S^*Z_{n_1}Q$ , as  $S^* \cap J$  is not normal in P and P is 2-minimal. Now observe that  $C_S(J_1)$  normalizes  $Q_1$  and  $S^*$ , hence Q is normalized by  $C_S(J_1)$  and by  $S^*$ . Therefore  $S = C_S(J_1)S^*$  normalizes Q. It follows from  $P = S^*Z_{n_1}Q$ , that  $B = SZ_{n_1}$  normalizes P, a contradiction.

Finally Propositions 12.4 and 12.5 combined with Lemma 12.8 yield parts (iii), (iv) or (v).  $\hfill \Box$ 

We mention in passing that the 2-minimal subgroups in (v) are contained in the subgroup L considered in Lemma 12.3.

**Lemma 12.10.** Assume that  $P \in \mathcal{M}(H_k, B^*)$  where k divides  $(q - \epsilon, n)$ and that  $P \leq N_G(A)$ . Then S normalizes P and either

(i)  $PB \in \mathcal{M}(G, B)$ ; or (ii)  $n = 2^{n_1} + 2^{n_2}, P \leq P^+ = P(n_1 + n_2) \in \mathcal{F}(G, B)$  and  $PC_G(A) = P^+C_G(A)$ .

*Proof.* Because of Lemma 12.1 to show that P is normalized by B we just need to show that it is normalized by A and by  $Z_{n_j}$  for some  $1 \leq j \leq r$ . Since  $H_k$  is a normal subgroup of G and P normalizes A by hypothesis we have

$$[P,A] \le A \cap H_k \le S^* \le P.$$

Therefore A and hence S normalizes P. If  $Z_{n_j}$  normalizes P for some  $1 \leq j \leq r$ , then, because of Theorem 5.1, Lemma 3.7 implies  $PB \in \mathcal{M}(G, B)$ . And so we may suppose that no  $Z_{n_j}$  normalizes P. By Lemma 5.2, we have  $C_G(A)$  is abelian. Therefore, if  $P \leq C_G(A)S$ ,

then, as each  $Z_{n_j} \leq Z(C_G(A)S)$ , we have P centralizes  $Z_{n_j}$  for all j, contrary to our assumption. Also, if  $n = 2^{n_1}$ , then we have  $Z_{n_1} \leq Z(G)$ . Hence we may suppose that  $n \neq 2^{n_1}$  and  $P \not\leq C_G(A)S$ .

Since  $P \not\leq C_G(A)S = C_G(A)B$  and  $PC_G(A) \geq S$ , it follows from Lemma 3.5 that  $PC_G(A)/C_G(A)$  is a 2-minimal subgroup of  $N_G(A)/C_G(A)$ . Let E be the largest normal subgroup of  $N_G(A)$  contained in  $C_G(A)S$ . Note that  $E/C_G(A)$  is a (perhaps trivial) 2-group. By Lemma 5.2 (i) and (ii), we have  $N_G(A)/E \cong \text{Sym}(n)$  if  $q \equiv \epsilon \pmod{4}$  and  $N_G(A)/E \cong$  $\text{Sym}(\lfloor n/2 \rfloor)$  if  $q \equiv -\epsilon \pmod{4}$ . Using Theorem 2.1, we get that PE/Eis either a linker or a fuser 2-minimal subgroup. In the former case,  $P \leq C_G(Z_{n_j})$  for all  $1 \leq j \leq r$  and so we deduce that PE/E is a fuser. If  $r \geq 3$ , then there exists  $j \in I$  such that  $Z_{n_j}$  is centralized by P. Hence  $n = 2^{n_1} + 2^{n_2}$  and, furthermore, if  $q \equiv -\epsilon \pmod{4}$ , then n is even (because  $\lfloor n/2 \rfloor = 2^{m_1} + 2^{m_2}$ ). Now let  $P^+ \in \mathcal{F}(G, B)$  be the unique fuser 2-minimal subgroup of G. Then  $PE = P^+E$ . Since  $E/C_G(A)$  is a 2-subgroup of S, we have  $E = (S \cap E)C_G(A)$ . We also know that  $PC_G(A) \geq S^*A = S$ . Therefore

$$PC_G(A) = PSC_G(A) = P(S \cap E)C_G(A) = PE = P^+E = P^+C_G(A).$$

To prove part(ii) we must show that  $P \leq P^+$ . Put  $Y = \langle P, B \rangle$  and  $W = \langle Z_{n_2}^P \rangle A$ . Then by Lemma 12.1

$$Y = \langle P, B^* A Z_{n_2} \rangle = \langle PA, Z_{n_2} \rangle = \langle Z_{n_2}^P \rangle A P = W P.$$

We claim that Y is a 2-minimal subgroup of G. Let M be a maximal subgroup of Y containing B. Assume first that WM = Y = WP, then, as W is abelian and  $A \leq B$ ,

$$W = \langle Z_{n_2}^P \rangle A \le \langle Z_{n_2}^{WM} \rangle A \le M.$$

But then M = Y, a contradiction. Hence MW < Y and the maximality of M implies  $W \le M$ . Obviously,  $M/W \ge B^*W/W$  and so, as PW/Wis 2-minimal, M/W is contained in the unique maximal subgroup of PW/W containing  $B^*W/W$ . It follows that Y is 2-minimal. Now using Theorem 1.1, we have  $Y = P^+$  and, in particular,  $P \le P^+$ .  $\Box$ 

**Lemma 12.11.** Suppose that  $n = 2^{n_1} + 2^{n_2}$  and that  $P^+ = P(n_1 + n_2) \in \mathcal{F}(G, B)$ . Then  $P^+ \cap H_k$  contains exactly  $k_{2'} = |G : SH_k|$  2-minimal subgroups P of  $H_k$  with  $P^+C_G(A) = PC_G(A)$ . Furthermore, all such 2-minimal subgroups P of  $P^+ \cap H_k$  are conjugate in  $P^+$  and PS is conjugate in  $P^+$  to  $X(n_1 + n_2)\langle (Z_{n_2} \cap H_k)^{P^+} \rangle Z(G)$ .

Proof. Let  $P \in \mathcal{M}(P^+ \cap H_k, B^*)$  with  $P^+C_G(A) = PC_G(A)$ . From the construction of  $P^+$  in Section 4, we know  $P^+ \leq N_G(A)$ . By Lemma 12.10, S normalizes P. Therefore we may suppose that  $H_k$  contains S as

this does not change the number of conjugacy classes of subgroups in  $\mathcal{M}(P^+ \cap H_k, B^*)$ . In particular, we now have k is odd and this explains the appearance of S in the description of the number of conjugates of 2-minimal subgroups in  $\mathcal{M}(P^+ \cap H_k, B^*)$ .

Let  $F = \langle Z_{n_2}^{P^+} \rangle$ . Then  $F \geq Z_{n_1} Z_{n_2} = Z_{n_2} Z(G)$  and from the definition of  $P^+$ ,

$$P^{+} = FX(n_{1} + n_{2}) \cong Z_{n_{2}} \wr (T_{n_{2}} \wr \operatorname{Sym}(2^{n_{1} - n_{2}} + 1)).$$

Note that the base group of  $X(n_1 + n_2) = (T_{n_2} \wr \text{Sym}(2^{n_1 - n_2} + 1))$  centralizes F.

As  $PC_G(A) = P^+C_G(A)$ , we have  $P^+ = FP$ . We also know that  $Z_{n_2}H_k = G$  by the Frattini argument and so  $|Z_{n_2} : Z_{n_2} \cap H_k| = k$ . Since  $Z_{n_2} \cap H_k \leq P$ , we have  $\langle (Z_{n_2} \cap H_k)^{P^+} \rangle = \langle (Z_{n_2} \cap H_k)^P \rangle \leq P \cap F$ . Furthermore, as  $S \leq P$ ,  $O_2(P^+)$  is a normal subgroup of P. Notice that  $O_2(P^+) = O_2(F)\langle T_{n_2}^{P^+} \rangle$ . Set  $K = \langle (Z_{n_2} \cap H_k)^{P^+} \rangle O_2(P^+)$ . Then  $K \leq P$  and

$$P^+/K \cong E \wr \operatorname{Sym}(2^{n_1 - n_2} + 1)$$

where  $E \cong Z_{n_2}/(Z_{n_2} \cap H_k)$  is cyclic of order k. Observe that the definition of K depends on  $P^+$  and  $H_k$  but not P.

We set  $\overline{P^+} = P^+/O_2(P^+)$ . Then  $\overline{P^+} \cong Y_{n_2} \wr \operatorname{Sym}(2^{n_1-n_2}+1)$  where  $Y_{n_2} = Z_{n_2}/O_2(Z_{n_2})$  and the base group of  $\overline{P^+}$  is  $\overline{F}/O_2(F)$ . Furthermore,  $\overline{PF} = \overline{P^+}$ . Hence Lemma 2.6 implies that  $\overline{P}$  contains a conjugate of  $\overline{X(n_1+n_2)} \cong \operatorname{Sym}(2^{n_1-n_2}+1)$ . Hence we may assume that P contains  $X(n_1+n_2)$ . Now  $(\overline{P} \cap \overline{F})\overline{B^*}$  and  $\overline{X(n_1+n_2)KZ(G)}$  are both over-groups of  $\overline{B^*}$  and their product is  $\overline{P}$ . It follows that  $\overline{P} = \overline{X(n_1+n_2)KZ(G)}$ . Hence every 2-minimal subgroup of  $\mathcal{M}(P^+ \cap H_k, B^*)$ is conjugate to  $X(n_1+n_2)KZ(G)$  and the number of  $P^+ \cap H_k$  classes follows from Lemma 2.5.

Proof of Theorem 1.2. We consider the scenarios laid out in Theorem 12.9. If B normalizes P, then Theorem 5.1 and Lemma 3.7 combine to give  $PB \in \mathcal{M}(G, B)$ . This gives possibility (i) of Theorem 1.2. So we may suppose that B does not normalize P. If  $P \leq N_G(A)$ , then Theorem 1.2 (ii) follows from Lemmas 3.11 and 12.10. The remaining parts of Theorem 1.2 are already itemized in Theorem 12.9.

### 13. The 2-minimal subgroups of $PSL_2(q)$

In this short final section we determine the 2-minimal subgroups of  $PSL_2(q)$  (and hence those of  $SL_2(q)$ ). As will be apparent, the main result of this section is a minefield of congruences. Unlike the configuration of 2-minimal subgroups in  $GL_2(p^a)$ , where the Sylow 2-subgroups coincide with their normalizers, the fact that when  $q \equiv 3,5 \pmod{8}$ the normalizers of Sylow 2-subgroups of  $\text{PSL}_2(q)$  are isomorphic to Alt(4), leads to the case divisions we see below. In particular, the toral type 2-minimal subgroups which dominate the scene when  $q \equiv 1,7$ (mod 8) do not arise in this situation and this permits a torrent of further 2-minimal subgroups of type  $\text{PSL}_2(p^c)$  for various c dividing a.

We record a remark regarding the number of conjugacy classes of certain subgroups of  $PSL_2(q)$  which forms a part of the famous theorem of Dickson describing all the subgroups of  $PSL_2(q)$ .

**Lemma 13.1.** Suppose that r is an odd prime,  $s = r^b$  and  $L = PSL_2(s)$ . Then L has

- (i) one conjugacy class of subgroups isomorphic to Dih(s-1);
- (ii) one conjugacy class of subgroups isomorphic to Dih(s+1);
- (iii) two conjugacy classes of subgroups isomorphic to  $PGL_2(r^c)$  if b/c is even;
- (iv) one conjugacy class of subgroups isomorphic to  $PSL_2(r^c)$  if b/c is odd;
- (v) two conjugacy classes of subgroups isomorphic to Alt(5) if  $s \equiv \pm 1 \pmod{10}$ ; and
- (vi) two conjugacy classes of subgroups isomorphic to Sym(4) if  $s \equiv \pm 1 \pmod{8}$ .

Proof. Consult [19, statement 260, page 285].

We now itemize the 2-minimal subgroups of  $PSL_2(q)$ . In Theorem 13.2, the superscript [2] indicates that there are two conjugacy classes of the given group.

**Theorem 13.2.** Suppose that  $G = PSL_2(q)$  with  $q = p^a$  odd.

(i) If q ≡ 3,5 (mod 8) and p ≠ 3,5, then one of the following holds:
(a) q ≡ ±11,±19 (mod 40) and
M(G, B) = {Alt(5)<sup>[2]</sup>, PSL<sub>2</sub>(p<sup>s<sup>t</sup></sup>) | s<sup>t</sup> ∈ Π(a)}; or
(b) q ≠ ±11,±19 (mod 40) and
M(G, B) = {PSL<sub>2</sub>(p<sup>s<sup>t</sup></sup>) | s<sup>t</sup> ∈ Π(a) ∪ {1}}.
(ii) If q ≡ 3,5 (mod 8) and p = 3, then
M(G, B) = {PSL<sub>2</sub>(3<sup>s<sup>t</sup></sup>) | s<sup>t</sup> ∈ Π(a)}.
(iii) If q ≡ 3,5 (mod 8) and p = 5, then
M(G, B) = {PSL<sub>2</sub>(5<sup>s<sup>t</sup></sup>) | s<sup>t</sup> ∈ Π(a) ∪ {1}}.

(iv) If  $q \equiv 1 \pmod{8}$ , then one of the following holds: (a)  $a_2 > 2 \text{ or } a_2 = 2 \text{ and } q \equiv 1 \pmod{16}$ ,  $\mathcal{M}(G, B) = \mathcal{M}(\text{Dih}(q-1), B) \cup \{\text{PGL}_2(p^{a_2/2})^{[2]}\};$ (b) p = 5,  $a_2 = 2$  and  $\mathcal{M}(G, B) = \mathcal{M}(\text{Dih}(q-1), B) \cup \{\text{PGL}_2(5)^{[2]}\} \cup \{\text{Sym}(4)^{[2]}\};$ (c)  $p = 3, a_2 = 2$  and  $\mathcal{M}(G, B) = \mathcal{M}(\operatorname{Dih}(q-1), B) \cup \{\operatorname{PGL}_2(3)^{[2]}\};$ (d)  $a_2 = 2 \text{ and } q \equiv 9 \pmod{16}$  with p > 5,  $\mathcal{M}(G, B) = \mathcal{M}(\mathrm{Dih}(q-1), B) \cup \{\mathrm{Sym}(4)^{[2]}\};\$ (e)  $q \equiv 1 \pmod{16}, a_2 = 1$ ,  $\mathcal{M}(G,B) = \mathcal{M}(\operatorname{Dih}(q-1),B) \cup \{\operatorname{PSL}_2(p)\}; or$ (f)  $q \equiv 9 \pmod{16}, a_2 = 1$ ,  $\mathcal{M}(G, B) = \mathcal{M}(\mathrm{Dih}(q-1), B) \cup \{\mathrm{Sym}(4)^{[2]}\}.$ (v) If  $q \equiv 7 \pmod{8}$ , then one of the following holds: (a)  $q \equiv 7 \pmod{16}$ ,  $\mathcal{M}(G, B) = \mathcal{M}(\text{Dih}(q+1), B) \cup \{\text{Sym}(4)^{[2]}\}; or$ (b)  $q \equiv 15 \pmod{16}$ ,  $\mathcal{M}(G, B) = \mathcal{M}(\mathrm{Dih}(q+1), B) \cup \{\mathrm{PSL}_2(p)\}.$ 

*Proof.* We use Dickson's list of subgroups of  $PSL_2(q)$  as provided by Huppert in [23, II.8.27] together with Lemma 13.1.

If  $q \equiv 3,5 \pmod{8}$ , then  $a_2 = 1$ , S is elementary abelian and  $B \cong \operatorname{Alt}(4)$ . So B is contained in every subgroup containing S of the form  $\operatorname{PSL}_2(p^b)$  where b divides a. Suppose that  $p \notin \{3,5\}$ . Then (i)(a) and (i)(b) follow after noting that G has two conjugacy classes of subgroup isomorphic to  $\operatorname{Alt}(5)$  whenever 5 divides |G| and this is whenever  $q \equiv \pm 11, \pm 19 \pmod{40}$ . Thus in these cases  $\operatorname{PSL}_2(p)$  is not 2-minimal whereas the subgroups  $\operatorname{Alt}(5)$  are.

If p = 3 and  $a_2 = 1$ , then we note that  $B \cong \text{Alt}(4) \cong \text{PSL}_2(3)$  and so this must be excluded from  $\mathcal{M}(G, B)$  and hence we obtain (ii). For the case p = 5 and  $a_2 = 1$ ,  $\text{PSL}_2(5) \cong \text{Alt}(5)$  and there is a single conjugacy class of such subgroups by Lemma 13.1 (iv). Thus (iii) holds.

For  $q \equiv 1, 7 \pmod{8}$ , we have that  $S = N_G(S)$ . Thus we are required to consider all the subgroups of odd index. We consider the cases  $q \equiv 1 \pmod{8}$  and  $q \equiv 7 \pmod{8}$  separately.

Suppose that  $q \equiv 1 \pmod{8}$ . Then S is contained in a subgroup  $\operatorname{Dih}(q-1)$  and so  $\mathcal{M}(G,B) \supseteq \mathcal{M}(\operatorname{Dih}(q-1),B)$ . The only other subgroups which contain S are the subfield subgroups and, when  $q \equiv 9 \pmod{16}$ , two conjugacy classes of subgroups isomorphic to  $\operatorname{Sym}(4)$  emerge.

Suppose in addition that  $a_2 > 1$ . The subgroups  $\operatorname{PGL}_2(p^{a_2/2})$  contain a Sylow 2-subgroup of G and, by Corollary 9.2, are 2-minimal if and only if any of the following hold  $a_2 > 2$  or  $a_2 = 2$  and  $p^{a_2/2} \equiv 1, 7$ (mod 8), or  $a_2 = 2$  and  $p \in \{3, 5\}$ . We add these subgroups to those tallied in (iv) (a), (iv)(b) and (iv)(c). Note that when  $a_2 = 2$  and p = 5, we have a further 2-minimal subgroup isomorphic to Sym(4) contained in each PGL<sub>2</sub>(5). These are included is (iv)(b).

In the cases where  $\mathrm{PGL}_2(p^{a_2/2})$  is not 2-minimal, we have  $a_2 = 2$  and  $q \equiv 9 \pmod{16}$  with p > 5. In this case Proposition 9.3 provides two conjugacy classes of subgroups isomorphic to  $\mathrm{Sym}(4)$  (one representative in each conjugacy class of  $\mathrm{PGL}_2(p^{a_2/2})$ ). These are added to the ever burgeoning part (iv) item (d).

If c divides a and  $c > a_2$  is such that  $c_2 = a_2$ , then  $PGL_2(p^c)$  also contains a Sylow 2-subgroup but is not 2-minimal also by Corollary 9.2. Hence the lists in (iv) (a), (iv)(b), (iv)(c) and (iv)(d) are complete.

If  $q \equiv 1 \pmod{16}$  and  $a_2 = 1$ , then  $\text{PSL}_2(p)$  contains a Sylow 2subgroup and is 2-minimal. As  $\text{PSL}_2(p^c)$  with c > 1 and c dividing ais generated by the 2-minimal subgroups in  $\text{Dih}(p^c - 1)$  and  $\text{PSL}_2(p)$ these groups are not 2-minimal and consequently (iv) (e) holds.

When  $q \equiv 9 \pmod{16}$  and  $a_2 = 1$ , the Sylow 2-subgroups of G are isomorphic to Dih(8) and the subgroup  $\text{PSL}_2(p)$  contains two conjugacy classes of subgroups isomorphic to Sym(4). This gives the 2-minimal subgroups as described (iv)(f).

Finally suppose that  $q \equiv 7 \pmod{8}$ . Then  $a_2 = 1$ . We note that Dih(q+1) contains a Sylow 2-subgroup of G and then by considerations as in part (iv)(e) and (f) we obtain the stated results.

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