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DOI: 10.1007/s10404-019-2269-z License:

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Document Version Publisher's PDF, also known as Version of record

Citation for published version (Harvard):

Kovalchuk, NM, Sagisaka, M, Steponavicius, K, Vigolo, D & Simmons, MJH 2019, 'Drop formation in microfluidic cross-junction: jetting to dripping to jetting transition', *Microfluidics and Nanofluidics*, vol. 23, no. 8, 103. https://doi.org/10.1007/s10404-019-2269-z

Link to publication on Research at Birmingham portal

Publisher Rights Statement:

Kovalchuk, N.M., Sagisaka, M., Steponavicius, K. et al. Microfluid Nanofluid (2019) 23: 103. https://doi.org/10.1007/s10404-019-2269-z

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RESEARCH PAPER



Drop formation in microfluidic cross-junction: jetting to dripping to jetting transition

Nina M. Kovalchuk¹ · Masanobu Sagisaka² · Kasparas Steponavicius¹ · Daniele Vigolo¹ · Mark J. H. Simmons¹

Received: 29 January 2019 / Accepted: 23 July 2019 © The Author(s) 2019

Abstract

The regimes of drop generation were studied in a Dolomite microfluidic device which combined both hydrodynamic and geometrical flow focusing over a broad range of flow rates. A series of aqueous dispersed phases were used with a viscosity ratio between continuous and dispersed phases of close to unity. Surfactants were added to alter the interfacial tension. It was shown that the transition from dripping to jetting is well described by the capillary numbers of both the dispersed and continuous phases. Only the jetting regime was observed if the capillary number of the dispersed phase was above a critical value, whereas at smaller values of this parameter a jetting \rightarrow dripping \rightarrow jetting transition was observed by increasing the capillary number of the continuous phase. The analysis performed has shown that the conditions for a dripping to jetting transition at moderate and large values of the capillary number of the continuous phase can be predicted theoretically by comparison of the characteristic time scales for drop pinch-off and jet growth, whereas the transition at small values cannot. It is suggested that this transition is geometry mediated and is a result of the interplay of jet confinement in the focusing part and a decrease of confinement following entry into the main channel. The flow fields inside the jet of the dispersed phase were qualitatively different for small and large values of the capillary number of the continuous phase revealing the relative contribution of the dispersed phase flow in jet formation. The volume of the drops formed in the jetting regime increased as a power law function of the flow rate ratio of the dispersed to continuous phase, independent of the interfacial tension.

Keywords Flow focusing · Flow map · Regime transitions · Drop size · Interfacial tension · Capillary number

1 Introduction

Drop microfluidics is a rapidly developing area enabling considerable scale reduction for synthetic and analytical processes by manipulation of drops with volumes in the nanoand picolitre range (DeMello 2006; Whitesides 2006; Marre and Jensen 2010; Rakszewska et al. 2014; Holtze et al. 2017; Vladisavljević et al. 2017). Drops can be formed using coflow of dispersed and continuous phases (Herrada et al.

Electronic supplementary material The online version of this article (https://doi.org/10.1007/s10404-019-2269-z) contains supplementary material, which is available to authorized users.

2008; Utada et al. 2008; Capretto et al. 2012; Mitropoulos et al. 2014), cross-flow in T-junction (Garstecki et al. 2006; Christopher et al. 2008; van Steijn et al. 2010; Glawdel et al. 2012) and flow focusing. Flow focusing has become the most popular method of drop production in flow microfluidics because it allows the formation of highly monodisperse drops over a wide size range using devices which are easily designed by soft lithography. According to the Web of Science, the number of articles published on flow-focusing microfluidics over the last 2 years was four and ten times larger than for T-junction and co-flow designs, respectively. Flow focusing can be achieved in various ways: by interaction of the flows of dispersed and continuous phases in a cross-junction (hydrodynamic focusing) (Cubaud and Mason 2008; Carrier et al. 2015; Chen et al. 2015), by passing coflowing dispersed and continuous phases through an orifice (geometrical focusing) (Anna et al. 2003; Garstecki et al. 2005; Lee et al. 2009), by combination of hydrodynamic and geometrical focusing (Ward et al. 2010; Kovalchuk et al.

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2018b) or by optical focusing (de Saint Vincent and Delville 2016).

Flow-focusing devices produce drops in four different regimes which are denoted as squeezing, dripping, jetting and tip streaming (Anna and Mayer 2006; Cubaud and Mason 2008; Lee et al. 2009; Kovalchuk et al. 2018b). The squeezing regime usually results in the formation of plugs with a length larger than the channel width whilst smaller drops are formed in the dripping regime. The jetting regime covers a range of drop sizes which are similar to both dripping and squeezing, whereas tip streaming enables the formation of a train of very small droplets, much smaller than the channel size, periodically following the formation of a main drop of the order of the channel size.

The main requirement for drops serving as isolated chemical reactors, precursors for drug-loaded capsules or a controlled environment for biological objects, is monodispersity. Reliable size control is thus required, often when the fluid properties of the dispersed phase, such as viscosity and interfacial tension, are fixed. It is generally accepted that drops with uniform size distribution can be produced in a microfluidic device operating in the squeezing and dripping regime, which is related to the absolute instability. Conversely, in the jetting regime, where drops are formed due to convective instability, a broader size distribution is observed (Cordero et al. 2011; Chen et al. 2013). Therefore, finding the parameter space where drops are formed in the squeezing/dripping regime (thereafter referred to as dripping regime) is of critical importance and many microfluidic studies have been carried out to produce such flow maps (Anna and Mayer 2006; Cubaud and Mason 2008; Fu et al. 2012; Shahriari et al. 2016; Mastiani et al. 2017; Wu et al. 2017; Kovalchuk et al. 2018b).

In the simplest case of liquid flow in an unconfined inviscid environment, transition from dripping to jetting is determined by the competition of liquid inertia and surface tension described by the Weber number $We = \frac{\rho V^2 L}{\sigma}$, where ρ is the liquid density, *V* is the liquid velocity, *L* is the characteristic length scale (normally the radius of the nozzle from which the liquid is flowing) and σ is the surface tension. However, even in this case the critical value of the Weber number We_{cr} depends on the liquid viscosity, the relative importance of gravity represented by the Bond number and the thickness of the nozzle wall (Clanet and Lasheras 1999; Ambravaneswaran et al. 2004). In particular, an increase in viscosity results in a decrease of We_{cr} (Ambravaneswaran et al. 2004).

Adding a viscous outer (continuous) liquid phase complicates things further. The moving continuous phase applies a drag force to the dispersed phase causing a transition to jetting at a certain threshold value of the continuous phase velocity (Cramer et al. 2004; Utada et al. 2007). Therefore, an additional parameter, the capillary number of continuous

phase, $Ca_c = \frac{\mu_c V_c}{c}$, comes into play. Here, μ_c is the dynamic viscosity of continuous phase and V_c is the velocity of continuous phase. In Cramer et al. (2004), the transition from dripping to jetting for unconfined co-flow was observed only if the velocity of the continuous phase exceeded a certain threshold value. A more sophisticated flow map was found for the co-flow of liquid phases over a broad range of viscosity ratios (0.01-10) in Utada et al. (2007), where also a large ratio between the diameter of the channel for the continuous phase and the nozzle for the dispersed phase was employed. According to Utada et al. (2007), the dripping regime is observed only under the condition of $We_d < We_{cr} \cap$ $Ca_{c} < Ca_{cr}$. If the Weber number of the dispersed phase, We_{d} , exceeded the threshold value, We_{cr} , the jetting regime was observed over the whole studied range of capillary number of the continuous phase. In this case, the transition to jetting is driven by the inertia of the dispersed phase. The regime is characterised by a widening jet and a drop size larger than the nozzle size. On the other hand, if the capillary number of the continuous phase, Ca_c exceeded the threshold value, and Ca_{cr} , a jetting regime driven by the viscous drag imposed by the continuous phase, was observed independently of We_d . In this case, the jet narrows down the channel and the drop size is smaller than the nozzle. Similar results were obtained also in Chen et al. (2013) where the experimental data obtained were compared with numerical simulations.

For the case when the drop formation under co-flow occurs under confinement, i.e. the drop size is comparable to the size of continuous phase channel, the dripping area of the flow map is found to be no longer rectangular. According to the theoretical analysis confirmed by the experimental study in Guillot et al. (2007), the transition line between dripping and jetting becomes curvilinear and for flow rates of dispersed phase smaller than a threshold value, the transition jetting \rightarrow dripping \rightarrow jetting was found by the increase in the flow rate of continuous phase. In flow-focusing devices, the sizes of input channels for dispersed and continuous phase as well as the size of the output channel are of the same order of magnitude and the drop size is comparable to the channel size. In this case, not only does the continuous phase affect the flow field inside the dispersed phase, but the forming drop of the dispersed phase affects considerably the flow field of continuous phase, by decreasing the available cross-section of the output channel through which it can flow. Thus, it can be expected that the flow maps reflecting the transition from dripping to jetting in flow-focusing devices are more complicated than those reported in Utada et al. (2007) and can be similar to that reported in Guillot et al. (2007). This is surprising, as to our knowledge, that the transition jetting \rightarrow dripping \rightarrow jetting was not previously observed in flow-focusing devices.

In a number of studies (Cubaud and Mason 2008; Fu et al. 2012; Shahriari et al. 2016; Mastiani et al. 2017; Kovalchuk

et al. 2018b), the transition from dripping to jetting in various microfluidic flow-focusing devices was observed by an increase in the flow rate of the dispersed phase but in Wu et al. (2017), this transition was reported to happen by an increase in the flow rate of both continuous and dispersed phases, whereas in Anna and Mayer (2006) it was observed only by an increase of the flow rate of the continuous phase. Besides in most studies (Fu et al. 2012; Shahriari et al. 2016; Mastiani et al. 2017; Wu et al. 2017; Kovalchuk et al. 2018b), the critical flow rate of the transition-defining phase was dependent on the flow rate of the second phase, whereas in Anna and Mayer (2006), Cubaud and Mason (2008) it was practically constant.

The discrepancies between the various studies on the regime transition from dripping to jetting in microfluidic flow-focusing devices demonstrate the lack of understanding of the precise mechanisms and the necessity for further thorough study. Here, we present the results of such a study for the case when the viscosity ratio of the dispersed to the continuous phase is of order of unity, the condition being similar to Wu et al. (2017), Kovalchuk et al. (2018b). To prove the generality of the obtained results, the interfacial tension between the dispersed and continuous phases was systematically varied over a large range by addition of surfactants. Further attention was paid to the size of the drops formed in the jetting regime.

2 Materials and methods

The cationic surfactant dodecyltrimethylammonium bromide, C_{12} TAB, critical micelle concentration, cmc = 16 mM (Across organics, 99%) and non-ionic surfactant Triton X-100, TX-100, cmc = 0.6 mM (Sigma-Aldrich, laboratory grade); glycerol (Alfa Aesar, ultrapure, HPLC grade); and silicone oil, viscosity standard 5 cSt (Aldrich) were used without additional purification. Anionic surfactant sodium bis(2,6-dimethyl-4-heptyl)-2-sulfoglutarate, di-BC₉SG, cmc = 4.3 mM was synthesised and purified as described in Sagisaka et al. (2014). The purity of the di-BC₉SG > 99%

Table 1 Physical properties ofthe liquid phases

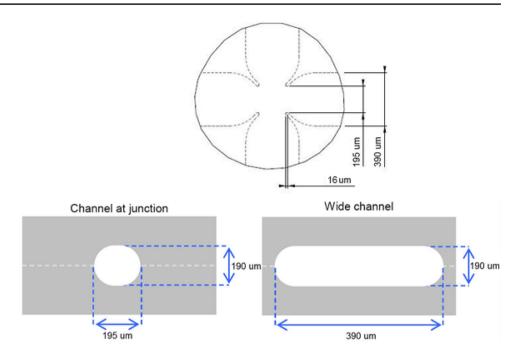
was confirmed by HPLC and elemental analysis. Doubledistilled water was produced by a still (Aquatron A 4000 D, Stuart).

A surfactant was dissolved in the aqueous (dispersed) phase, being a mixture of 52% glycerol and 48% water (G_W) by mass. The same concentration, 50 mM, was used for all surfactants in the flow map studies. This concentration is far above the cmc for all surfactants under study. Additionally, several concentrations of di-BCoSG below and at the cmc were used to study the drop size. Silicone oil was used as the continuous phase. The continuous and dispersed phase had matched refractive indices, n = 1.403, which enabled avoidance of optical distortions near the interface while measuring the flow fields inside the dispersed phase. The ionic surfactants used are insoluble in the continuous phase. The non-ionic surfactant is soluble; however, its partition is considerably in favour of the aqueous phase and the mass transfer to the oil phase can be neglected on the sub-second timescale of the experiments in this study. The physical properties of the continuous and dispersed phases used are given in Table 1. To enhance optical contrast, methyl violet dye was added to the aqueous phase at a concentration ~1 g/L. The dye addition did not affect the interfacial tension between the oil and aqueous phases (Kovalchuk et al. 2018b).

Experiments were performed using a glass Droplet Junction Chip (cross-junction) with a hydrophobised inner surface (Dolomite Microfluidics, UK). The geometry, as presented in the Dolomite Product Datasheet, is shown in Fig. 1. The liquids were supplied to the chip using syringe pumps Al-4000 (World Precision Instruments, UK), equipped with 10 mL syringes (BD PlastipakTM) for flow rates, $Q \ge 1 \mu$ L/min and with 1 mL syringes (BD PlastipakTM) for flow rates, $Q \ge 1 \mu$ L/min. The same types of syringes were used for both continuous and dispersed phases and consistent results were obtained with both types of syringes in the range 1μ L/min $\le Q_{c,d} \le 6 \mu$ L/min. Here, Q_d is defined as the flow rate of dispersed phase supplied through the central input channel and Q_c is the flow rate of the continuous phase in each of the side input channels, i.e. the total

Liquid	Density (kg/m ³)	Dynamic viscosity (mPa s)	Equilibrium interfacial tension (mN/m)
Silicone oil, continuous phase	920	4.6	29
Surfactant-free G_W, disperse phase	1133	6.4	29
50 mM of C ₁₂ TAB in G_W	1133	6.4	10
50 mM TX-100 in G_W	1133	6.4	3.6
2.15 mM di-BC ₉ SG in G_W	1133	6.4	6.7
4.3 mM di-BC ₉ SG in G_W	1133	6.4	4.2
50 mM di-BC ₉ SG in G_W	1133	6.4	1.4

Fig. 1 Microfluidic chip geometry. Adapted from the Dolomite Product Datasheet with permission from Dolomite Microfluidics, UK



flow rate in the output channel $Q_s = Q_d + 2Q_c$. After any change in flow rate, the system was allowed to stabilize for 0.5–10 min depending on flow rate.

As the transition between the dripping and jetting regimes of drop formation exhibits noticeable hysteresis (Clanet and Lasheras 1999; Cramer et al. 2004), in this study the threshold values of flow rates were determined by moving from dripping to jetting. To find the transition, the flow rate of continuous phase was kept constant whilst the flow rate of dispersed phase was increased from its minimum value. The increment depended on the flow rate and the presence of surfactant: it was smaller for the surfactant solutions providing smaller interfacial tension. For the surfactant-free system, the increment was normally 2-4 µL/min at flow rates up to 10 µL/min and 10 µL/min thereafter. For selected $Q_{\rm d}$, experiments were repeated by increasing the flow rate of the continuous phase starting from the Q_c in the region of jetting regime dominated by the dispersed phase. The obtained transition points were in good agreement with those obtained in experiments by varying the flow rate of the dispersed phase.

The complex chip geometry, with varying cross-sectional area, allows several definitions of velocity for the capillary number. In the following, the capillary numbers for dispersed and continuous phase were calculated using superficial velocities in the feeding channels, $U_c = Q_c/S$ and $U_d = Q_d/S$, where $S = 6.63 \times 10^{-8}$ m² is the area of the channel cross-section. Capillary numbers based on the velocities in the flow-focusing parts are ~2.3 times larger and Ca_c based on the axial elongation rate (Anna and Mayer 2006; Anna 2016) is ~1.3 times larger than the values used in this study. The flow regimes were monitored at 2000 fps using a high-speed video camera (Photron SA5) equipped with a Navitar, 2X F-mount objective giving a spatial resolution of 4 μ m/pixel. The kinetics of drop formation was studied with a high-speed video camera connected to an inverted microscope (Nikon eclipse Ti-U) at 20,000 fps with an exposure time set to 0.02 ms. A 20× objective (Nikon, CFI Plan Fluor DLL) was used giving an image resolution of 1 μ m/pixel. Image processing was performed using the ImageJ free software (Schneider et al. 2012). All results obtained are an average of between 3 and 5 experiments. For the transition from dripping to jetting, often both regimes were observed around the transition flow rate. The flow rate was ascribed to the jetting regime, if jetting was observed in at least two experiments at this flow rate.

The flow fields inside the dispersed phase were studied using Ghost Particle Velocimetry (GPV) (Buzzaccaro et al. 2013; Kovalchuk et al. 2018a; Riccomi et al. 2018). This technique uses the speckle patterns produced by light scattered by particles smaller than the diffraction limit as a flow tracer. In this study, 200 nm polystyrene particles were used (10% solid, Sigma), diluted in the dispersed phase at a ratio of 1:50 (v:v). The small size of the tracers and their low concentration ensured the non-intrusive nature of the measurement. Additionally, GPV permitted an accurate evaluation of the flow fields close to the interface between the dispersed and continuous phase.

The particles used as tracers generate a pattern presenting a uniform distribution of speckles that reflects the distribution of the nanoparticles. This is advantageous when compared to standard PIV measurements where the fluctuations of particle density at the interface can affect the spatial resolution. Conversely, the cross-correlation of the speckle pattern gave accurate values for the flow velocities in any regime explored with a resolution of about $15 \times 15 \ \mu\text{m}^2$ up to the boundary between the two phases. The video recording was carried out using a high-speed camera connected to the microscope at 10 000 fps with an exposure time of 0.05 ms. At least 100 frames were recorded. Images were processed by ImageJ to remove background noise (Pirbodaghi et al. 2015) and then analysed using the open-source MATLAB toolbox PIVlab (Thielicke and Stamhuis 2014).

The equilibrium interfacial tension was measured using a tensiometer K100 (Krüss) equipped with a Du Noüy platinum ring and a pendant-drop tensiometer made in-house using a gauge 28 needle (o.d. 0.362 mm and i.d. 0.184 mm) (Sagisaka et al. 2008).

3 Results and discussion

Figure 2a depicts the transition lines between the dripping and jetting regimes in the parametric space of capillary numbers of the continuous and dispersed phases, respectively, for surfactant-free and three surfactant-laden dispersed phases, all with the same surfactant concentration of 50 mM. The choice of capillary numbers as the dimensionless parameters used in this study will be discussed later. Note that the surfactant concentration used, 50 mM, is much higher than the cmc for all surfactant-laden solutions; therefore, the dynamic effects of the surfactant can be neglected, as shown in Kovalchuk et al. (2018b) for C_{12} TAB, the surfactant with the highest cmc value. Therefore, the equilibrium interfacial tension was used to calculate the capillary numbers. The experimental error for the transition points does not exceed $\Delta Ca = 5 \times 10^{-4}$. Although there is a certain shift of the transition lines to larger Ca_c and smaller Ca_d with a decrease of the interfacial tension, Fig. 2a shows that the transition from dripping to jetting can be described reasonably well using the capillary numbers of the dispersed and continuous phases as parameters. The difference between the curves is much larger if the Weber number of the dispersed phase is used as a parameter.

According to Fig. 2a, dripping is limited to small values of the capillary numbers of the dispersed phase and small to moderate capillary numbers of the continuous phase. The transition lines have a trapezoidal shape: the critical value of Ca_d at transition, Ca_{dcrit} , increases with an increase of Ca_c at small Ca_c (ascending branch), is constant at moderate Ca_c (plateau) and decreases with an increase in Ca_c at larger Ca_c (descending branch). If Ca_d is below the critical value for the jetting region, $Ca_{dcrit0} \sim 6 \times 10^{-3}$, an increase of Ca_c results in the transition jetting \rightarrow dripping \rightarrow jetting illustrated by Fig. 3. Note that the transition from dripping to jetting by an increase in the capillary number was not found in Kovalchuk

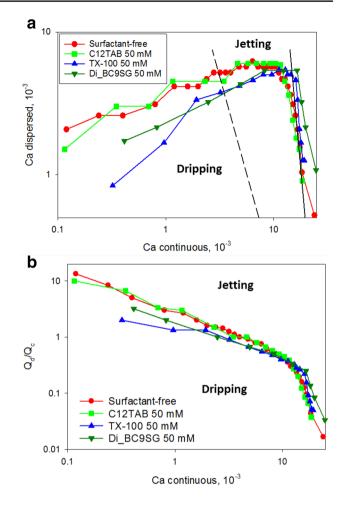


Fig. 2 Flow map depicting transition from dripping to jetting regime: the area below curves corresponds to dripping regime and the area at and outside curves correspond to jetting regime of drop formation. The solid line in Fig. 2a is a plot of inequality 7 (see below) at C=25, and the dashed line is a plot for C=65

et al. (2018b) despite a similar system being used, due to the limited range of capillary number of continuous phase examined in that study.

Redrawing Fig. 2a in coordinates $Ca_c - Q_d/Q_c$ (Fig. 2b) shows that the flow rate ratio below which the dripping regime was observed decreases with an increase of flow rate of the continuous phase (Ca_c). Ascending and horizontal branches in Fig. 2a correspond to a slow monotonic decrease from $Q_d/Q_c = 10$ at $Ca_c = 10^{-4}$ to $Q_d/Q_c \sim 0.2-0.3$ at the end of the horizontal branch. Afterwards, the slope of the transition line increases considerably, indicating the change in the governing force responsible for the transition from dripping to jetting. It is noticeable that in the range of Ca_c where the transition is governed by the flow of the dispersed phase (ascending branch and plateau in Fig. 2a), the drop size generated in the jetting regime at transition is larger than both the channel height and the diameter of the focusing channel. However, the drops formed at transition

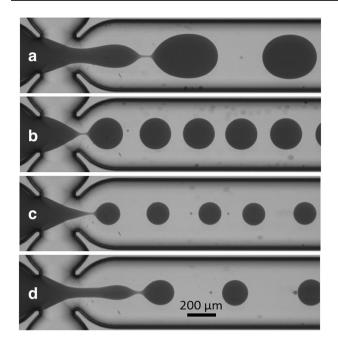


Fig. 3 Transition from jetting to dripping and again to jetting by an increase in the flow rate of the continuous phase. Dispersed phase is solution of 50 mM TX-100 in 52% GL_W. Flow rate of the dispersed phase $Q_d = 9 \ \mu L/min \ (Ca_d = 3.8 \times 10^{-3})$, flow rates of the continuous phase: **a** $Q_c = 15 \ \mu L/min \ (Ca_c = 4.8 \times 10^{-3})$, **b** $Q_c = 18 \ \mu L/min \ (Ca_c = 50 \ \mu L/min \ (Ca_c = 16.1 \times 10^{-3})$

along the descending branch are smaller than both the channel height and the diameter of the focusing channel (Fig. 3).

Comparing Fig. 2a with flow maps available in the literature, it can be assumed that in Cramer et al. (2004) only the descending branch of transition was found for co-flow in a weakly confined geometry at $Ca_c > 0.1$ and viscosity ratio O(1), in good agreement with our results. Two different mechanisms of transition from dripping to jetting were found in Utada et al. (2007): at $Ca_c < O(1)$, the transition was driven by the inertia of the dispersed phase and occurred at $We_d = O(1)$, whereas at $Ca_c > O(1)$ the transition was driven by the viscous drag from the continuous phase. Thus, the dripping regime was possible only under the condition $We_d < O(1) \cap Ca_c < O(1)$. Our results are in line with (Utada et al. 2007); however, in the flow-focusing device, the range of parameters for the dripping regime is restricted to $Ca_d < O(10^{-2}) \cap O(10^{-3}) < Ca_c < O(10^{-1})$ and the critical capillary (Weber) number of the dispersed phase at transition depends on the capillary number of the continuous phase.

The sequence of regimes arising by an increase of the flow rate of continuous phase, keeping the flow rate of dispersed phase constant and below Ca_{dcrit0} , is shown in Fig. 3. The corresponding videos are presented in the Electronic Supplementary Material (S1–S4). Note, in co-flow, the jet

formed due to the inertia of the dispersed phase widens in the downstream direction as its (initially very high) average velocity adjusts to the equilibrium two-phase flow profile, whereas the (slower) jet formed due to viscous drag from the continuous phase narrows in the downstream direction. Figure 3 shows that in the flow-focusing device considered here the jet shapes are identical for both types of jetting: the jets become wider after leaving the focusing part of the channel to adapt to the wider channel.

An increase of the flow rate of the continuous phase at constant Q_d , i.e. a decrease of Q_d/Q_c results in a decrease of the drop size in both the dripping and jetting regimes. In the jetting regime, it is due to a decrease of the jet radius, which is proportional to $(Q_d/Q_c)^{1/2}$ (Cubaud and Mason 2008). In the dripping regime with the drop length larger than the channel width (often called squeezing regime), it is due to a faster growth of excessive pressure build-up in the continuous phase, due to the obstruction caused by the forming drop of the dispersed phase (Garstecki et al. 2005). When the drop length is noticeably smaller than the channel width (Fig. 3b, c), the shear stress from the continuous phase becomes the driving force for drop detachment (Umbanhowar et al. 2000) and the decrease in the drop size is due to an increase in the drag force per unit of area of the growing drop. Figure 3 shows, however, that the changes can be opposite at regime transition. In particular, the transition from dripping to jetting at high Ca_c results in an increase in the drop size (sf. Fig. 3c, d). Such an increase was not observed in co-flow, where the transition to jetting occurred when the size of the drop formed in the dripping regime decreased to the nozzle size and the size of the drops formed in the jetting regime was always smaller than the nozzle (Utada et al. 2007). For the flow-focusing device, the increase can be attributed to the decrease in the superficial velocity of the continuous phase at the position of drop formation: in Fig. 3c, the drop is formed in a narrower part of the channel than in Fig. 3d. The change in the superficial velocity of the continuous phase can also explain an abrupt decrease in the drop size at the transition from jetting to dripping at small Ca_{c} . This results in an additional increase of superficial velocity of the continuous phase as the formed drop moves from the wide straight channel to the smaller transitional channel.

For flow-focusing devices based on the cross-junction geometry, the transition to drop formation in the jetting regime was found to occur at a nearly constant value of $Ca_d \sim 10^{-1}$ at $10^{-3} < Ca_c < 3 \times 10^{-2}$ in Cubaud and Mason (2008). A slightly descending transition line with threshold value of We_d decreasing from 10^{-1} to 10^{-2} when Ca_c increases from 10^{-2} to 5×10^{-2} was found in Fu et al. (2012). The ascending branch in these studies corresponds to the transition from dripping to tubing, the regime where a tube of the continuous phase occupies most of the channel cross-section and slightly invades the input channels of

the continuous phase. The transition to the tubing regime in Cubaud and Mason (2008), Fu et al. (2012) instead of jetting observed in the present study can result from much higher viscosity ratio and the difference in geometry, as only hydrodynamic focusing in the cross-junction was used in Cubaud and Mason (2008), Fu et al. (2012). It can be assumed, however, that the difference in geometry is the most important parameter because in Shahriari et al. (2016) the ascending branch with transition to jetting was reported for the case of high viscosity ratio in a flowfocusing device of more sophisticated geometry including geometrical flow-focusing, whereas transition to tubing/ threading along the ascending branch was observed in Wu et al. (2017) for a viscosity ratio of 0.6. Transition from dripping to jetting was found in Wu et al. (2017) at $Ca_c > 2 \times 10^{-3}$ demonstrating a plateau and partially descending branch in good agreement with our study. In a flow-focusing device with only geometrical focusing, the transition to jetting for a surfactant-free dispersed phase was observed only at $Ca_c > 0.2$, independent of flow rate ratio (Anna and Mayer 2006). Transition to the jetting caused by the inertia of dispersed phase was not found in (Anna and Mayer 2006) due to the low values of We studied.

According to Utada et al. (2005), conditions for transition from dripping to jetting can be estimated by comparison of the time scale of drop pinch-off with the time scale of jet growth. For the case $\mu_d < \mu_c$, the time scale of pinch-off was estimated as (Powers et al. 1998)

$$t_{\rm p} = \frac{CR_{\rm jet}\mu_{\rm c}}{\sigma} \tag{1}$$

where C is a constant depending on the viscosity ratio and R_{jet} is the unperturbed jet radius. In the present study, $\mu_d/\mu_c \sim 1$; therefore, Eq. (1) can still be used as an approximation.

For the case of low Reynolds number, the radius of liquid jet co-flowing inside another immiscible liquid in a cylindrical capillary of radius R_c can be found from (Utada et al. 2005)

$$\frac{Q_{\rm d}}{Q_{\rm c}} = \frac{\mu_{\rm c}}{\mu_{\rm d}} \frac{\varepsilon^2}{(1-\varepsilon)^2} + 2\frac{\varepsilon}{1-\varepsilon}$$
(2)
where $\varepsilon = \frac{R_{\rm jet}^2}{R_{\rm c}^2}$. Solving Eq. (2) for ε gives

$$\varepsilon = \frac{R_{\rm jet}^2}{R_{\rm c}^2} = \frac{S_{\rm jet}}{S} = \frac{1 + \frac{Q_{\rm d}}{Q_{\rm c}} - \sqrt{1 + \frac{Q_{\rm d}}{Q_{\rm c}} \frac{\mu_{\rm c}}{\mu_{\rm d}}}}{2 + \frac{Q_{\rm d}}{Q_{\rm c}} - \frac{\mu_{\rm c}}{\mu_{\rm d}}}$$
(3)

where S_{jet} is the area of jet cross-section and S is the area of capillary cross-section. The cross-section of the channel

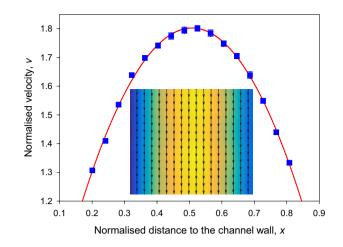


Fig. 4 Flow distribution in a stable jet of surfactant-free glycerolwater mixture at $Q_c = 10 \ \mu L/min (Ca_c = 4.0 \times 10^{-4})$, $Q_d = 100 \ \mu L/min (Ca_d = 5.2 \times 10^{-3})$: the points are the average values of the velocity on each streamline, the curve is a parabolic fitting according to the equation $v = 0.44 + 5.36x - 5.27x^2$ with $R_{sqr} = 0.998$. The jet is situated at $0.2 \le x \le 0.8$. The velocity is normalised by the superficial velocity in the output channel, $U_o = 30 \ \text{mm/s}$

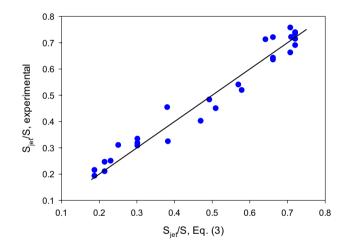


Fig. 5 Relation between the values of jet cross-section normalised by the channel cross-section, S_{jel}/S , calculated from Eq. (3) and found from the experimental jet images. The line corresponds to S_{jel}/S , Eq. (3) = S_{iel}/S , experimental

used in this study (Fig. 1) is not circular; nevertheless, the flow profile inside the stable jets is parabolic in agreement with the model used to derive Eq. (2) as shown in Fig. 4. A jet is considered to be stable if it does not break into drops inside the length of the output channel. It was assumed that the jets with a width smaller than the channel height are cylindrical, whereas those with a larger width fit the shape of the channel. Under this assumption, the series of measurements in the range of $0.5 \le Q_d/Q_c \le 10$ for jets formed by surfactant-free and surfactant-laden dispersed phases has shown that the values of S_{jet}/S calculated from the corresponding

images coincide with those found from Eq. 3 within an error of 20% (Fig. 5). The errors can be related not only to deviation of the channel geometry from the circular one, but also to some jet asymmetry in experiments and inaccuracy of the assumptions made above with respect to jet shape. Therefore, Eqs. (1) and (3) can be used for the estimation of pinch-off time scale.

Equation (3) also provides the opportunity to estimate the Reynolds numbers at the dripping to jetting transition using Q_d/S_{jet} and $2Q_c/(S-S_{jet})$ as the characteristic velocity scale and $\sqrt{S_{jet}/\pi}$ and $\sqrt{(S-S_{jet})/\pi}$ as characteristic length scale for the dispersed and continuous phases, respectively. The results of the calculations for the surfactant-free system and for the dispersed phase containing C₁₂TAB surfactant are presented in Fig. 6 versus the capillary number of the continuous phase for convenience of comparison with Fig. 2. For the dispersed phase containing 50 mM of TX-100 or di-BC₉SG, the Reynolds numbers decrease further, with Re < 1 for both the continuous and dispersed phases over the whole range of flow rates studied.

Comparison of Fig. 6 with Fig. 2a shows that the ascending branch in Fig. 2a corresponds to the condition $Re_d > Re_c$, whereas the plateau and descending branch are characterised by $Re_c > Re_d$. The transition from plateau to descending branch occurs at Ca_c where Re_d begins to decrease.

Despite the fact that $Re_c > 1$ for the larger range of flow rates in Fig. 6, the viscosity of the continuous phase is extremely important because it determines the velocity distribution inside the continuous phase and the shear stress imposed by the continuous phase at the water/oil interface. That is why the capillary number of the continuous phase rather than the Weber number is used in microfluidic

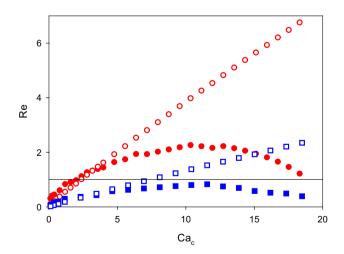


Fig.6 Reynolds numbers of continuous (empty symbols) and dispersed (filled symbols) phases for the surfactant-free dispersed phase (circles) and dispersed phase with 50 mM of C12TAB (squares) at dripping \rightarrow jetting transition

studies (Utada et al. 2007; Christopher et al. 2008; Cubaud and Mason 2008; Castro-Hernández et al. 2009; Bertrandias et al. 2017; Deng et al. 2017; Wu et al. 2017). At the same time, for the dispersed phase both capillary (Cubaud and Mason 2008; Deng et al. 2017) and Weber (Utada et al. 2007; Castro-Hernández et al. 2009; Bertrandias et al. 2017; Wu et al. 2017) numbers are used. In the case considered here, the Reynolds number of the surfactant-free dispersed phase is of the order of unity, whereas for the surfactantladen dispersed phases, Re_d is always < 1. Therefore, the capillary number was chosen as a characteristic dimensionless parameter for the dispersed phase.

The time scale of jet growth, t_g , can be estimated as the time required for the jet length to become comparable to its radius (Utada et al. 2005):

$$t_{\rm g} = \frac{\pi R_{\rm jet}^3}{Q_{\rm d}}.\tag{4}$$

Jetting regime occurs if $t_{\rm g} < t_{\rm p}$, i.e. $\frac{S_{\rm jet}}{Q_{\rm d}} < \frac{C\mu_{\rm c}}{\sigma}$ or taking into account Eq. (3)

$$\frac{S}{Q_{\rm d}} \frac{1 + \frac{Q_{\rm d}}{Q_{\rm c}} - \sqrt{1 + \frac{Q_{\rm d}}{Q_{\rm c}} \frac{\mu_{\rm c}}{\mu_{\rm d}}}}{2 + \frac{Q_{\rm d}}{Q_{\rm c}} - \frac{\mu_{\rm c}}{\mu_{\rm d}}} < \frac{C\mu_{\rm c}}{\sigma}.$$
(5)

For simplicity, let us consider the condition (5) for the case $\mu_c = \mu_d = \mu$ where the viscosity ratio is close to unity as considered here. The inequality (5) in this case simplifies to

$$1 - Ca_{\rm d}C < \sqrt{\frac{Q_{\rm c}}{(Q_{\rm c} + Q_{\rm d})}},\tag{5a}$$

which is true for any Q_c in the case

$$Ca_{\rm d} > 1/C \tag{6}$$

providing conditions for the plateau of Fig. 2a. Using C=65 obtained from numerical simulations for the case $\mu_c = \mu_d$ (Powers et al. 1998), one obtains the result that the jetting regime is expected for $Ca_d > 0.015$, which is in reasonable agreement with Fig. 2a. If $Ca_d < 1/C$ then solving (5a) predicts jetting regime for

$$Ca_{\rm c} > \frac{\left(\frac{1}{c} - Ca_{\rm d}\right)^2}{\frac{2}{c} - Ca_{\rm d}}.$$
(7)

Inequality (7) shows that the jetting regime is established when the capillary number exceeds a certain threshold value, increasing with a decrease of Ca_d , i.e. it describes the descending branch in Fig. 2a. For C=65, the threshold value of Ca_c according to (7) increases from 0.003 at $Ca_d = 0.006-0.007$ at $Ca_d = 0.001$, as shown by the dashed line in Fig. 2a. With a decrease of C, the slope of the line calculated from (7) increases and it moves to larger values of Ca_c . The best fitting of the descending branch is obtained for C = 25 (solid line in Fig. 2a), however a decrease of C results in the shifting of Ca_{dcrit0} to larger values. Taking into account all assumptions and simplifications present in the mathematical model, these results are in reasonably good agreement with the experiments.

Inequalities (6) and (7) have a clear physical meaning: if the velocity of dispersed phase is high enough then the jetting regime is driven by the flow of dispersed phase only, whereas at smaller velocities of dispersed phase, an additional contribution from the continuous phase results in a decrease of the jet radius and therefore an increasing velocity inside the dispersed phase. The smaller the velocity of dispersed phase becomes, the larger should be the contribution from the velocity of the continuous phase. Note, (5) does not provide any conditions for the ascending branch in Fig. 2a, which is now considered in more detail.

As shown in Fig. 3a and in the video S1, immediately before drop detachment the jet has a second neck inside the focusing channel. After drop detachment, the convex part of the jet retracts and the formation of the next drop starts. As the remaining neck is still situated inside the focusing part of the channel, initially the drop formation follows the root characteristic for the dripping regime (Fig. 7a, video S1). It is noticeable that the flow profile in the neck (the narrowest cross-section) is parabolic with a very good precision. The parabolic fitting is given by the equation $V = -44.8 + 287.4x - 292.1x^2$ with (extrapolated) V < 0 at the channel walls (x=0 and x=1). Taking into account that at the high concentration of surfactant used in this study, dynamic effects of surfactant can be neglected and shear stress should be continuous at the interface, $\mu_c \nabla V_c = \mu_d \nabla V_d$. Therefore, the negative value of velocity at the wall obtained from the fitting of flow in the dispersed phase is in contradiction to the condition $\mu_c < \mu_d$, because according to the tangential stress balance at the water/oil interface, the velocity in the continuous phase should change faster than in the dispersed phase (Yiantsios and Higgins 1988; Cubaud and Mason 2009). To obtain a zero flow velocity of the continuous phase on the wall, extrapolation of the velocity profile in the dispersed phase to the wall should give small positive value (sf. Fig. 4). Thus, a negative value demonstrates that, contrary to the case of a stable jet, the flow of continuous phase around the forming drop is not fully developed, i.e. there is no hydrodynamic equilibrium and the velocity gradient of the continuous phase should be smaller than that of dispersed phase.

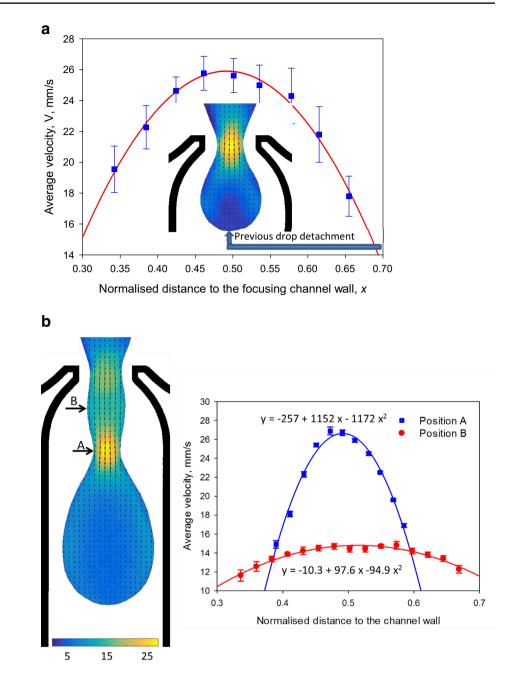
Downstream of the neck, where the new drop is formed, the velocity of the continuous phase decreases due to an increase of available channel cross-section, but so does the velocity of the dispersed phase resulting in the drag force from continuous phase moving both drop and the neck position downstream. For example, the average velocity of the dispersed phase in the cross-section of maximum drop width in Fig. 7a is around 5.7 mm/s, whereas the average velocity of the continuous phase calculated from geometrical considerations is around 7.5 mm/s. If the drag force is relatively small, then the neck can move out of the narrow focusing part of geometry into the wider main output channel before drop detachment. As the channel cross-section increases, the neck diameter stabilises and a jet is formed.

To confirm this mechanism, the neck kinetics for dripping and jetting regimes were compared at flow rates of the dispersed and continuous phases where both regimes coexist (Fig. 8). Initially, both kinetics are rather similar. The neck thinning accelerates for the drop formed in the dripping regime at t ~ 20 ms after transition to the pinch-off stage of drop formation. The beginning of the pinch-off stage corresponds to the critical neck diameter below which the capillary pressure in the neck becomes larger than that in the drop resulting in system instability and fast drop detachment. In Fig. 8, the pinch-off stage can be identified by the sharp acceleration of the neck kinetics.

For the dripping regime, the critical value of the neck diameter in Fig. 6 was reached while the neck was still in the focusing part of the channel. Conversely, for the jetting regime, the neck left the focusing part of the channel just before the critical diameter was reached. Afterwards, the neck thinning slowed down to adapt to the increasing channel width and a drop was formed much later in the jetting regime.

Thus, it can be concluded that the jetting regime occurring at small capillary numbers of both the dispersed and continuous phases corresponding to the ascending branch in Fig. 2a is geometry mediated. It should appear only in flowfocusing devices with geometrical focusing, when the forming neck elongates beyond the focusing part. This conclusion is in line with the literature data: transition from the dripping to tubing regime for an increase of Ca_d in the range of low $Ca_{\rm c}$ was observed in devices with hydrodynamic focusing (Cubaud and Mason 2008; Fu et al. 2012; Wu et al. 2017), whereas transition to drop formation in jetting regime was found using a combination of hydrodynamic and geometrical focusing (Shahriari et al. 2016). If the flow rate of the continuous phase increases, the drag force increases. Therefore, drops will detach faster and at a certain value of the continuous phase flow rate, detachment happens when the neck is still inside the focusing part, resulting in transition from jetting to dripping.

It should be stressed that the size of the drops formed in the jetting regime at low Ca_c is much larger than the jet diameter. Therefore, it can be assumed that drop detachment is caused not by jet instability, but rather by the drag **Fig. 7** Velocity distribution in the dispersed phase during the drop formation in jetting regime at low Ca_c for 50 mM Triton-X100 in dispersed phase, $Q_c = 8 \mu L/min$ $(Ca_c = 2.6 \times 10^{-3})$, $Q_d = 9 \mu L/$ min $(Ca_d = 3.8 \times 10^{-3})$: **a** 6 ms and **b** 90 ms after the previous pinch-off. Position A is a node, whereas position B is an antinode. The velocities on the colour scale are given in mm/s



force from the continuous phase: when a drop grows large enough, the drag force exceeds the surface tension force and the drop detaches.

To test this hypothesis, for the drop presented in Fig. 7, the surface tension force at the neck and the drag force at the beginning of pinch-off stage are compared. From the neck kinetics corresponding to Fig. 7 (not shown), the critical neck diameter at the transition to pinch-off can be estimated as $d_n \sim 60 \ \mu\text{m}$, similar to that in Fig. 8. Therefore, the surface tension force opposing the drop detachment is $F_{\sigma} \sim 6.8 \times 10^{-7}$ N. The drag force acting on the drop can be roughly estimated using the modified Stokes equation

proposed in Umbanhowar et al. (2000): $F_d = 3\pi\mu_c(d_d - d_n)$ ($v_c - v_d$), $d_d = 290 \ \mu\text{m}$ is the drop diameter at the beginning of the pinch-off stage, v_c is the velocity of the continuous phase at the drop surface and v_d is the longitudinal component of the drop velocity. The velocities can be estimated from the known flow rates and areas occupied by the dispersed and continuous phases. Assuming that the velocity of the continuous phase changes linearly between the wall and the surface of the drop, the velocity can be estimated as $v_c = 48.4 \ \text{mm/s}$ and $v_d = 2.7 \ \text{mm/s}$; the last value is in good agreement with Fig. 7b, and the drag force can be estimated as $F_d \sim 4.6 \times 10^{-7} \ \text{N}$. Considering the very rough

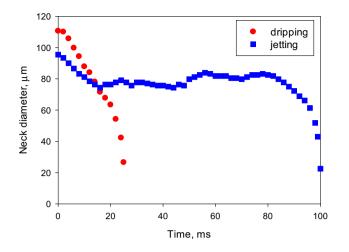


Fig.8 Comparative neck kinetics at dripping and jetting regime. Dispersed phase is 50 mM solution of Triton-X100, $Q_c = 6 \mu L/min$ $(Ca_c = 1.9 \times 10^{-3})$, $Q_d = 9 \mu L/min$ ($Ca_d = 3.8 \times 10^{-3}$)

approximations used to calculate the drag force, this value is in good agreement with the surface tension force. Therefore, the assumption about the drag being the main driving force for drop detachment is quite plausible.

In this case, the drop formation around the ascending branch occurs nearly always at the same position and the drop size distribution is rather narrow. Therefore, the jetting regime at small Ca_c can be used for the production of large but still quite monodisperse drops. The similarity of the drop formation mechanisms in the jetting regime dominated by the flow of dispersed phase and dripping regime was found in numerical simulations for co-flow (Chen et al. 2013). In particular, it was shown in Chen et al. (2013) that drop detachment in the dripping regime and in the jetting regime driven by the flow of the dispersed phase occurs due to high excessive capillary pressure in the neck, whereas drop detachment in the jetting regime mediated by the flow of the continuous phase is due to a lateral velocity gradient inside the jet.

As already mentioned, the transition jetting \rightarrow dripping \rightarrow jetting was predicted theoretically for co-flow in confined geometry (Guillot et al. 2007). At low flow rates of the continuous phase, the transition from jetting to dripping was ascribed to a reduction of the jet confinement enabling faster development of an instability. This mechanism is applicable for the case considered here: the decrease of confinement accelerates the instability development at small Ca_c and, therefore, when Ca_c increases the drop becomes unstable while the neck is still inside the focusing part of the channel, i.e. an increase in Ca_c results in the transition to the dripping regime.

It should be stressed that over all the timescales of jet evolution at small Ca_c the velocity profiles inside it remain parabolic (Fig. 7b), with (extrapolated) large negative values

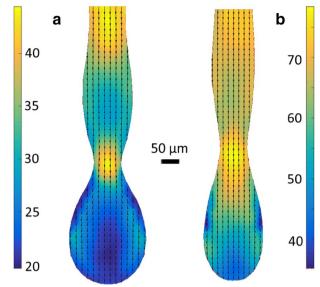


Fig. 9 Velocity distribution during the drop formation in jetting regime at intermediate and high Ca_c for 50 mM Triton-X100 in dispersed phase: **a** $Q_c = 30 \ \mu L/min (Ca_c = 9.6 \times 10^{-3})$, $Q_d = 20 \ \mu L/min (Ca_d = 8.3 \times 10^{-3})$, **b** $Q_c = 60 \ \mu L/min (Ca_c = 19.2 \times 10^{-3})$, $Q_d = 40 \ \mu L/min (Ca_d = 16.6 \times 10^{-3})$. The velocities of the colour scale are given in mm/s

at the wall, i.e. the flow of the dispersed phase dominates the flow of the continuous phase (see also Fig. 6). However, the velocity profiles in the jets forming around the plateau and descending branch of Fig. 2a can be even reversed, with velocity decreasing towards the jet axis in the convex part of the jet, antinode, (Fig. 9), identifying the growing importance of the continuous phase contribution inside the horizontal branch. This implies that the contribution from the continuous phase becomes noticeable inside the plateau, although it becomes dominant only on the descending branch (Figs. 2b, 6).

Drop formation in the jetting regime mediated by the continuous phase is due to the Rayleigh–Plateau instability. Often in this case, the jet does not break at each node (Fig. 10a, video S5), resulting in a multimodal drop size distribution. Moreover, drops formed in the dripping regime under the condition $Ca_c \gg Ca_d$ are accompanied by multiple satellite drops of various sizes (Fig. 10b), some of them of comparable size to the main drop. Therefore, these conditions are unfavourable for the production of monodisperse drops. Satellite drops have been observed over the whole range of flow rates in both dripping and jetting regimes. However, in most cases they are much smaller than the main drop (sf. Figs. 3, 10b).

If drop formation results from the Rayleigh–Plateau instability, it was found that the drop radius is proportional to the jet radius and ~ $Q_d/Q_c^{0.5}$ for both co-flow and hydrodynamic flow focusing (Utada et al. 2007; Cubaud and Mason 2008).

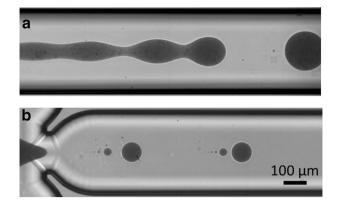


Fig. 10 Drop formation in jetting (**a**) and dripping (**b**) regime mediated by continuous phase: **a** dispersed phase 50 mM solution of di-BC₉SG, $Q_c = 40 \ \mu L/min (Ca_c = 33.0 \times 10^{-3})$, $Q_d = 10 \ \mu L/min (Ca_d = 10.8 \times 10^{-3})$, **b** dispersed phase 50 mM solution of Triton-X100, $Q_c = 60 \ \mu L/min (Ca_c = 19.2 \times 10^{-3})$, $Q_d = 2 \ \mu L/min (Ca_d = 0.8 \times 10^{-3})$

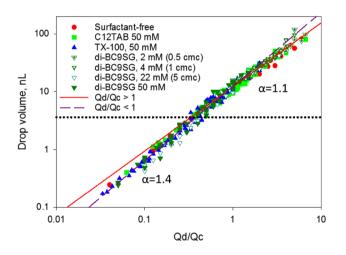


Fig. 11 Drop size versus flow rate ratio for drop formation in jetting regime. The solid and dashed lines correspond to the linear regression fitting. The dotted horizontal line corresponds to the drop size equal to the channel height

For the case when drop formation in the jetting regime is mediated by the dispersed phase (co-flow) $R_{\rm drop} \sim Q_d/Q_c^{0.46}$ (Utada et al. 2007). The device used by Utada et al. (2007) has a circular cross-section and the device used by Cubaud and Mason (2008) has a square cross-section, whereas the channel used in this study has a difference between the width and height. Therefore, in this work the changes in the observed drop length depend on the drop shape. In particular, the drops formed at low Ca_c have a pancake-shape (1-D confinement by the channel height, only drop length and width can change) or plug (2-D confinement by the channel height and width, only drop length can change). To make a consistent comparison of drop size, the drop volume, which was found from the known flow rate of the dispersed phase and the frequency of drop formation, is presented in Fig. 11 as a function of the flow rate ratio. Figure 11 presents 307 data points including those for concentrations of the surfactant di-BC₉SG smaller than 50 mM, where the surfactant dynamic should be of importance. It is seen from Fig. 11 that independently of surfactant type and concentration all data fall onto the same master curve, a power law with an exponent $\alpha \sim 1.4$ for $Q_d/Q_c < 1$ and $\alpha \sim 1.1$ for $Q_d/Q_c > 1$. This result is in good agreement with (Utada et al. 2007; Cubaud and Mason 2008), where the scaling exponent for the volume should be in the range 1.38–1.5. A smaller exponent value at $Q_d/Q_c > 1$ is most probably due to the effect of geometrical confinement on drop formation, which was absent in Utada et al. (2007) and Cubaud and Mason (2008). The transition to the smaller exponent occurs when the drop size becomes noticeably larger than the channel height, see the dotted line in Fig. 11.

4 Conclusions

A study of drop formation in a microfluidic flow-focusing device was carried out for a surfactant-free and three surfactant-laden dispersed phases under the condition of similar viscosities of continuous and dispersed phases. It has been shown that the transition from dripping to jetting for all studied dispersed phases occurs along similar transition curves in coordinates of capillary numbers of continuous and dispersed phase. The jetting regime was only observed when the capillary number of dispersed phase, Ca_d , exceeded a critical value Ca_{dcrit0} . This critical value can be derived from the comparison of the characteristic time scale of drop pinch-off with the time scale of jet growth.

If $Ca_d < Ca_{dcrit0}$ an increase in the capillary number of the continuous phase results in a jetting \rightarrow dripping \rightarrow jetting transition. This is the first observation of such transition in a flow-focusing device.

The jetting regime at large Ca_c is mediated by the continuous phase flow rate with Ca_{dcrit} decreasing with an increase of Ca_c from Ca_{dcrit0} to at least $Ca_{dcrit0}/10$. This descending branch of the transition line can also be derived from the comparison of the characteristic time scale of drop pinchoff with the time scale of jet growth. In the jetting regime at large Ca_c , drops are formed due to the Rayleigh–Plateau instability often with multimodal size distribution. The flow field inside the jet is parabolic in nodes and reversed parabolic (with minimum flow rate at the axis) in antinodes.

The jetting regime at small Ca_c is mediated by the dispersed phase flow rate with Ca_{dcrit} increasing with an increase of Ca_c from ~ $Ca_{dcrit0}/10$ to Ca_{dcrit0} . This ascending branch of the transition line cannot be derived from the comparison of the characteristic time scale of drop pinch-off with the time scale of jet growth. It is suggested that it is

geometry mediated and the drop detachment mechanism is similar to that in the dripping regime resulting in a narrow size distribution. The flow field inside the jet is parabolic in both nodes and antinodes.

The volume of the drops produced in the jetting regime at a similar flow rate ratio is independent of interfacial tension and any dynamic effects due to surfactant redistribution. It depends only on flow rate ratio with the power law exponent $\alpha \sim 1.4$ being close to the theoretical prediction for the jetting regime at $Q_d/Q_c < 1$. The slightly smaller value of the exponent $\alpha \sim 1.1$ at $Q_d/Q_c > 1$ is most probably related to the effect of confinement on drop formation in the microchannel.

Acknowledgements This work was funded by the EPSRC Programme Grant "MEMPHIS – Multiscale Examination of Multiphase Physics in Flows" (EP/K003976/1), the Royal Society Research Grant 2017 R1 (RG160698), JSPS [KAKENHI, Fund for the Promotion of Joint International Research (Fostering Joint International Research) No. 15KK0221, Grant-in-Aid for Scientific Research (B), No. 19H02504], and by Procter & Gamble. K. Steponavicius acknowledges the University of Birmingham for financial support of his summer research project.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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