

Dynamic vehicle-track interaction with multiple short rail defects over long wavelength track settlement

Kaewunruen, Sakdirat; Aikawa, Akira

License:

None: All rights reserved

Document Version

Peer reviewed version

Citation for published version (Harvard):

Kaewunruen, S & Aikawa, A 2019, Dynamic vehicle-track interaction with multiple short rail defects over long wavelength track settlement. in ICSVLCIM (ed.), *Proceedings of the 26th International Congress on Sound and Vibration.*, 28, International Congress on Sound and Vibration Conference series, Canadian Acoustical Association, The 26th International Congress on Sound and Vibration, Montreal, Quebec, Canada, 7/07/19. <https://iav.org/icsv26_proceedings_874110_/content/papers/papers/full_paper_28_20190226212649578.pdf>

[Link to publication on Research at Birmingham portal](#)

Publisher Rights Statement:

Checked for eligibility: 17/07/2019

General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

- Users may freely distribute the URL that is used to identify this publication.
- Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.
- User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?)
- Users may not further distribute the material nor use it for the purposes of commercial gain.

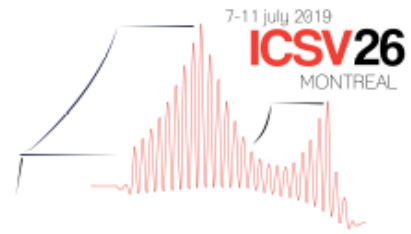
Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.



DYNAMIC VEHICLE-TRACK INTERACTION WITH MULTIPLE SHORT RAIL DEFECTS OVER LONG WAVELENGTH TRACK SETTLEMENT

Sakdirat Kaewunruen

School of Engineering, University of Birmingham, Birmingham, United Kingdom
e-mail: s.kaewunruen@bham.ac.uk

Akira Aikawa

Railway Dynamics, Railway Technical Research Institute, Tokyo, Japan
e-mail: aikawa.akira.11@rtri.or.jp

Daily, hundreds of millions of train journeys are operated around the world. Trains are running on tracks at a wide range of speeds, causing dynamic effects onto track systems. The dynamic interactions between vehicle and track impose vibrations and acoustic radiations and become moving vibro-acoustic sources along the railway corridor. Especially when there is imperfection of either wheel or rail, the dynamic amplification of loading conditions and reflected vibration effects on infrastructure and rolling stocks is significantly higher. Therefore, dynamic resistance of every component (derived from dynamic testing of materials and structure) is vital in improving dynamic performance of track system. In real life, imperfection of rail tracks is inevitable and can be classified into short wave length and long wave length defects. The short wavelength defects include high-frequency related rail surface defects such as dipped joint rails, rail squats, rolling contact fatigues (RCFs), rail gabs and crossing nose. The long wavelength defects are those associated with low frequency vibrations such as differential track settlement, mud pumping, bridge ends, stiffness transition zone, etc. Most previous studies into vehicle-track interactions are concerned only to a single discreet defect individually. This study is the world first to evaluate the coupling dynamic vehicle-track interactions over coupled multiple short and long wavelength rail defects. The vehicle model has adopted multi degrees of freedom coupling with a discrete supported track model using Herzian contact theory. The validated multi-body simulations have been used to investigate the effects of the multiple short defects (e.g. multiple squats or continuous RCFs). This paper highlights the dynamic impact load factors experienced by railway track components due to wheel/rail contacts. The insight into the dynamic amplification will enable predictive track maintenance and risk-based track inspection planning to enhance public safety and reduce unplanned maintenance costs.

Keywords: Dynamic interaction, Coupling vehicle-track modelling, short wavelength defect, long wavelength defect, multiple rail surface defects

1. Introduction

For nearly a hundred years, modern ballasted railway tracks have become the most efficient and effective infrastructure catering operations below 250 km/h of train speed. Over time, ballasted tracks

have been tailored and optimised to suit its purposes, such as light rail tracks, metro networks, suburban rail network and intercity rail lines. Ballasted tracks are relatively inexpensive and quite superior in terms of maintainability and constructability [1-4]. In contrast, ballastless tracks or concrete slab tracks are often utilised for highspeed rail lines (with train speed over 250 km/h) to reduce maintenance costs due to faster degradation of ballast due to high-frequency dynamic problems (e.g. accelerated densification and dilation of ballast, poor ride comfort due to differential track settlement/stiffness, ground-borne noise and vibration problems, etc.) [1, 5-9]. In practice, railway maintainers and operators are suffering from many of rail surface defects that lead to increased maintenance (either planned or unplanned), operational downtime and delay, more frequent monitoring and track patrol, and possibly the broken rails leading to train derailments [10-12]. These are clear evidences of actual dynamic problems in railway infrastructures, which have been neglected totally overtime.

In recent years, the majority of people have been moving to and dwelling in cities and urban space. Social problems of ground-borne, structure-borne noise and vibration induced by short and long wavelength rail defects have become noticeable by the publics, reinforcing the political influences to resolve the issues. For instance, extra level of noise excited by rail squats (short wavelength type) was observed by residents near Woolloomooloo viaduct in Sydney, Australia [13-14]. Reportedly, the cost of rail replacement due to rail squats and studs has become a major part of the whole track maintenance cost in European countries [15]. It is important to note that the rail squats and studs are typically classified as the growth of any cracks that has grown longitudinally through the subsurface. The subsurface lamination crack later results in a depression of rail surface sometimes called ‘dark spot’ [16]. There are two initiation types of the rail surface defects. Such rail defects are commonly referred to as ‘*squats*’ when they were initiated from rolling contact fatigue (RCF) cracks, and as ‘*studs*’ when they were associated with white etching layer due to wheel slips or excessive tractive effort [16]. In addition, with heavier and faster trains, the realistic dynamic load conditions transferring on to track and its components such rails, sleepers, ballast and formations are higher and amplified by the traffic speeds and rail surface defects. These dynamic impact loading conditions will excite and resonate each component; frequently deteriorate the track support; and, cause initial differential settlement and plastic deformation. The track maintenance issue does not stop here. Such the plastic deformation and initial differential settlement further form and couple with short wavelength defects (if any) to exponentially aggravate the dynamic loading conditions [17-19]. Therefore, *it is highly important to know the dynamic properties of components and its materials (which constitute the components)*, in order to understand the coupled dynamic effect of rail defects on the rail infrastructure so that rail operators and maintainers can develop suitable cost-effective strategies for operations and maintenance. An example of strategies is to carry out preventative track maintenance (such as re-tamping, re-grinding and ballast cleaning when early sign of damage is inspected). In many regional railways (such as freight services), speed restrictions have been adopted to delay the maintenance regime when the rail defects exist. Note that these strategies are often called ‘Base Operating Conditions (BOCs)’ in railway industry practices. The BOCs have been developed from internal R&D activities and extensive empirical experience in the rail industry over the centuries.

Rail track dynamic and wheel-rail interaction was studied thoroughly in 1992 using a detailed programming, D-track program, for dynamic simulations considering appropriate track components [20]. Afterward, Iwnick has done a benchmark, which was called Manchester Benchmarks in 1998 [21]. In 2005; Steffens [22] has adopted the parameter of Manchester Benchmarks to compare performance of vary dynamic simulation programs and also developed the user-interface of D-track. On the other hand, D-track had still an issue of lower result than others and then the owner has revised the program after this benchmark. Subsequently, Leong has done the Benchmark II with the revised version of D-Track in 2007 [23]. In this study, the dynamic simulation concept by Cai [20] has been adopted as seen in Fig.1 since the track model has included Timoshenko beam theory for rail and sleepers, which enable a more accurate behaviours of tracks. Note that rail cross section and sleeper pre-stressing are among the

key influences on shear and rotational rigidities of Timoshenko beam behaviors in numerical modelling of railway tracks [24-27]. The irregularity of wheel and rail will cause higher dynamic impact force that the design condition level or serviceability limit state. The exceeding magnitude of the force generated by wheel and rail irregularities will damage track components and impair ride quality [28-37]. This study thus is the first to present the wheel-rail dynamic forces over multiple short-pitch defects (see Fig. 2) coupled with a long-wavelength defect (track settlement). The dynamic amplification factor will be highlighted to identify the effect of train speeds. The scope of this study will be focused on ballasted railway tracks. The commonly used passenger wagons (14t axle load) will be modeled and coupled with the discrete supported track model. The track model will be based on a standard rail gauge (1.435m). The outcome of this study will help rail engineers improve the predictive maintenance and inspection regimes of railway track systems.

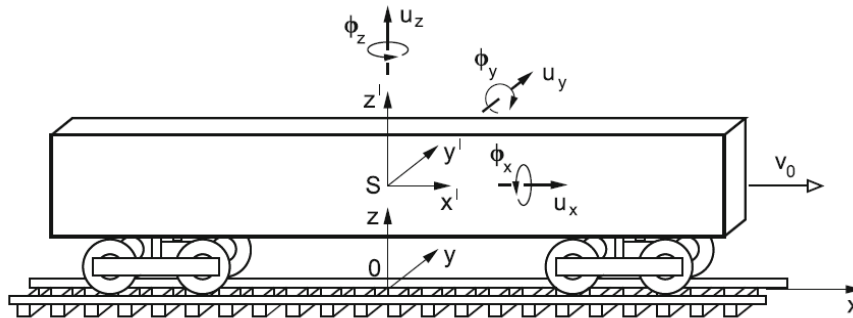


Figure 1: Vehicle-track modelling.



Figure 2: Multiple short-pitch rail surface defects.

2. Train-track modelling

A railway track is commonly idealised as beams on Winkler foundation in which the cross-section and dynamic responses of track can be considered symmetrical. Both rails and sleepers can be represented by elastic Timoshenko beams, taking into account both bending and shear rigidity. The sleepers support the rails as discrete cross-beam elements. A free-body diagram of the track model is shown in

Fig. 3(a) where $P(t)$ is a moving wheel force at a constant speed (v). Fig. 3 (b) represents the force (f) from rail to sleeper through the rail seat (i^{th}) and the support reaction force $k_s z_i(y,t)$ per unit length.

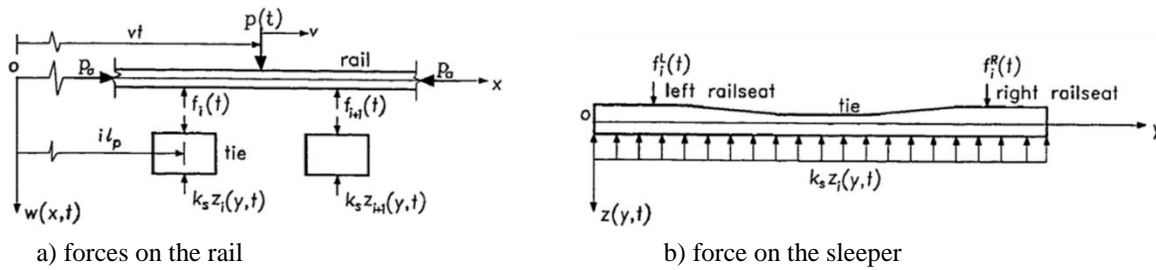


Figure 3: Track model.

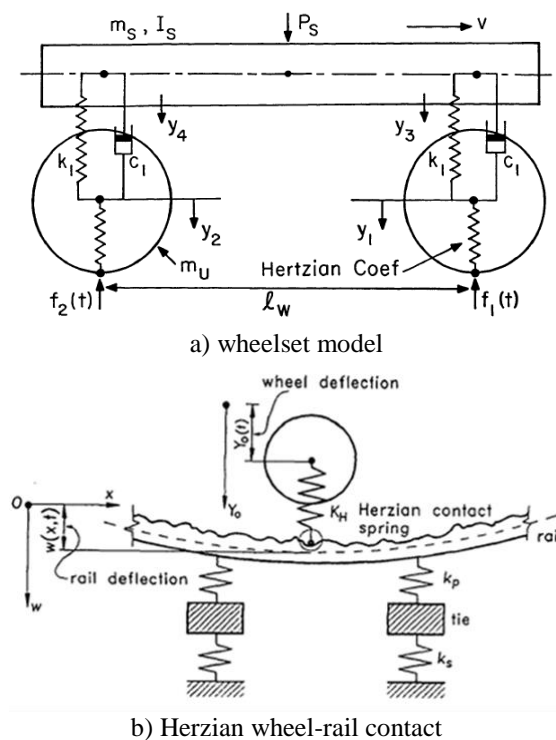


Figure 4: Vehicle model.

The wheelset in this modelling consists of a four-degree of freedom system which includes one bogie with two axles over a rail track. The wheelset model uses the unsprung masses (m_u) and the sideframe mass (m_s, I_s) to calculate forces acting on a rail through the primary suspension (k_1, c_1) as shown in Fig. 4(a). The vehicle components are idealised by using Hertzian contact spring model. In addition, the equations of motion in this model adopt the principles of Newton’s law and beam vibration. Integration between wheelset and track equations can be formulated by the nonlinear Hertzian wheel-rail interaction model as illustrated in Fig. 4 (b). The train-track model, called ‘D-Track’ is adopted for this study. D-Track has been benchmarked by [28] in order to assess the accuracy and precision of numerical results. The track structure used for analyses is based on UIC 60kg/m rail, HDPE rail pads (stiff), prestressed concrete sleepers with 600mm spacing, ballast with 300mm depth, and medium stiffness soil (compacted soil) [38]. The profile irregularity of each rail squat can be esimated as inverse half-sinusoidal curve. The dimension of moderate rail squats is around 50mm in length and 0.1mm indentation [5, 39-41]. This rail squat dimension will be used for the track loading simulations.

3. Results and discussion

The numerical simulations have been carried out using 14.5t axle-load Manchester passenger train with wheel radius of 0.46m and Hertzian spring constant of $0.734 \times 10^{11} \text{ N/m}^{3/2}$. The dynamic wheel/rail contact forces can be seen in Fig. 5. It can be seen that long-wavelength track settlement can cause dynamic factor from 1.8 to 2.2 (static wheel load is 70 kN), while the multiple rail squats (wavelength of 100 mm and amplitude of 5mm) can induce dynamic impact loading up from 6 to 8 times of the static wheel load. This implies that more dynamic load will be transferred to fastening systems, sleepers, and ballast support, especially when the multiple impacts are generated by multiple short-pitch surface defects.

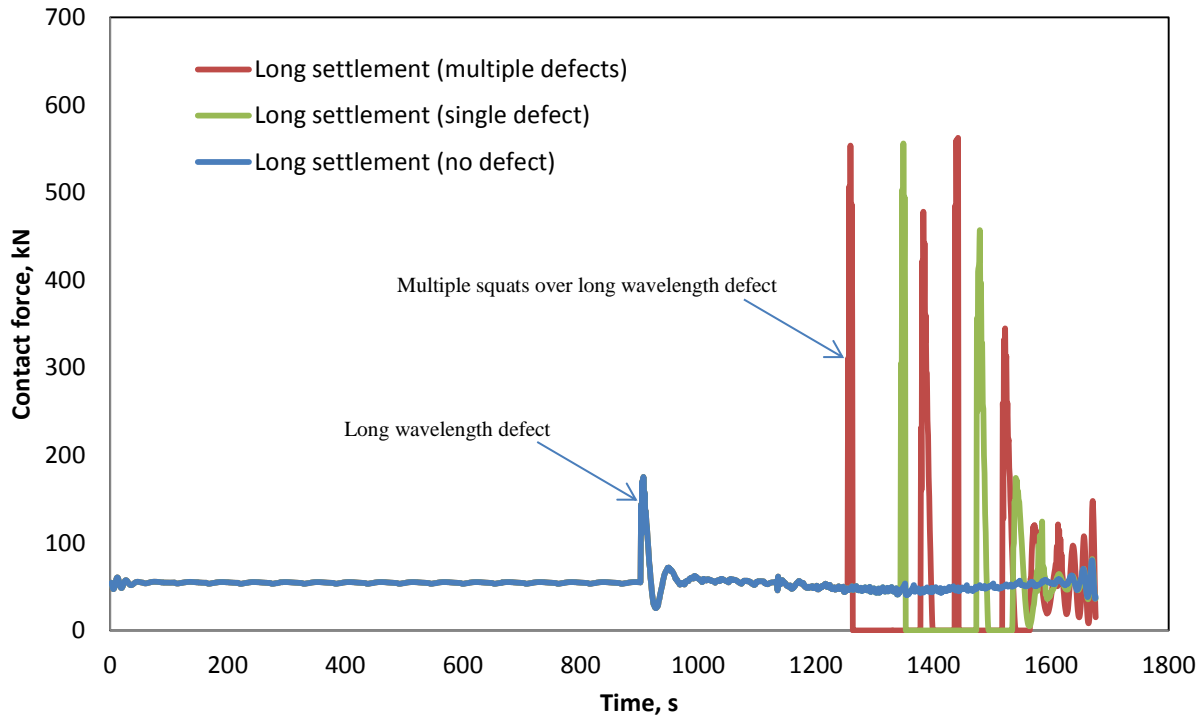


Figure 5: Wheel/rail contact forces (time staging to pronounce the force level differences).

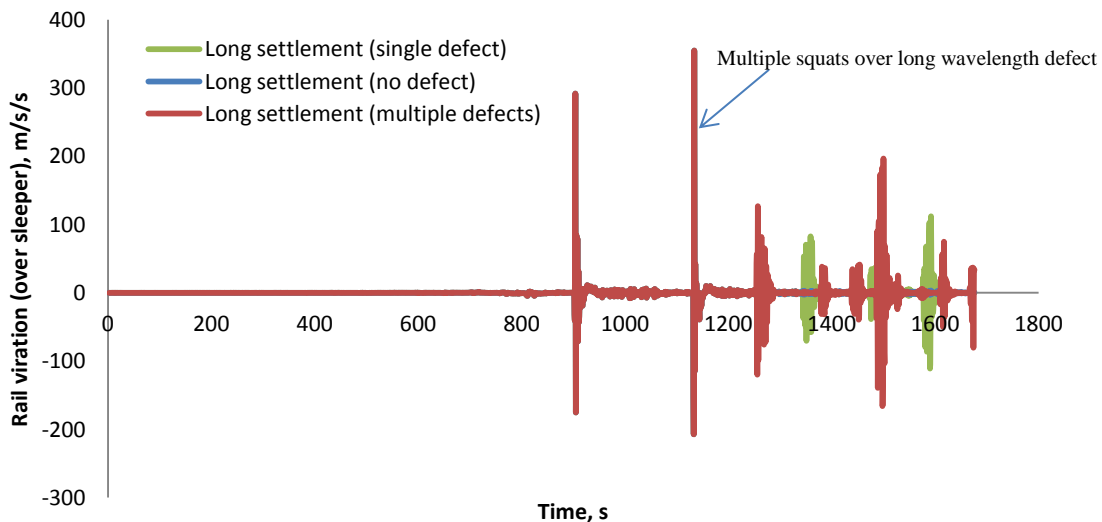


Figure 6: Rail vibrations (the multiple defect data overlays on top of the data of settlement without defect).

The vibrations of rail and sleeper can be observed in Figs. 6 and 7. It is clear that multiple short defects tend to play a more influential role on vibrations of both sleepers and rail. The multiplication of short-pitch defects tends to amplify the vibration levels of both rail and sleeper, causing higher dynamic amplitude and longer duration of the vibrations. Noticeably, the sleepers will vibrate over a longer duration due to the short-pitch defects. These vibrations could cause densification and dilation of ballast, which are the root cause of differential track settlements. This also implies that sleepers no longer behave in a static or fatigue loading condition (based merely on axle counting) as initially designed for.

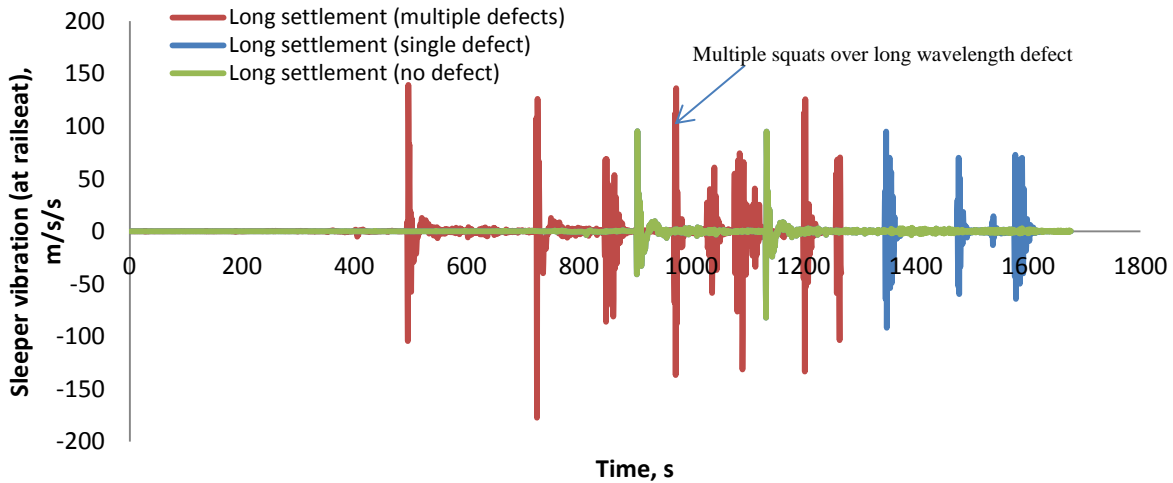


Figure 7: Sleeper vibrations (short-pitch defects generate longer duration and larger amplitude vibrations).

4. Conclusion

This paper highlights the dynamic effects of short-pitch rail surface defects coupled with track settlement on the contact forces between train and track, which can cause large-amplitude vibrations and acoustic radiations to railway neighbourhood. The effects of multiple short-pitch rail defects on track loading conditions and load distribution have been clearly demonstrated. It is the first to evaluate the coupling dynamic vehicle-track interactions over multiple rail defects coupled with long-wavelength track settlement. The results show that short-pitch rail defects are more dominant than track settlement; and the multiplication of short-pitch rail defects can magnify the rail/sleeper contact forces (railseat loads) up to 8 times as well as can amplify the vibrations of both rail and sleeper. The insight implies that sleepers will experience excessive dynamic behaviours in real life, which can deteriorate and weaken ballast-sleeper friction and lateral track stiffness. It is thus important to consider dynamic resistance and properties of track components in order to mitigate vibro-acoustic problems in railway industry. The insight is imperative to improve long term track maintenance strategy by appropriate design of rail infrastructure and its components.

Acknowledgement

The first author wishes to thank the Australian Academy of Science and Japan Society for the Promotion of Sciences for his Invitation Research Fellowship (Long term), Grant No. JSPS-L15701 at the Railway Technical Research Institute and The University of Tokyo, Tokyo Japan. The authors wish to gratefully acknowledge the financial support from European Commission for H2020-MSCA-RISE Project No. 691135 “RISEN: Rail Infrastructure Systems Engineering Network,” which enables a global research network that tackles the grand challenges [42] in railway infrastructure resilience and advanced sensing under extreme events (www.risen2rail.eu). This project is also partly sponsored by H2020-S2R Project No. 730849 “S-CODE: Switch and Crossing Optimal Design and Evaluation”.

REFERENCES

- 1 Ishida, M. "Statistical Analysis of Rail Shelling on Shinkansen", Proc. of 4th International Heavy Haul Conference, Institution of Engineers, pp.205-209, Brisbane, Sep. (1989).
- 2 Grassie, S. "Studs: a squat-type defect in rails". Proceedings of the Institution of Mechanical Engineers Part F Journal of Rail and Rapid Transit, 226(3), 243-256, (2012).
- 3 Kaewunruen, S., Bin Osman, M.H., Rungskunroch, P., "Total track inspection," *Frontiers in Built Environment* 4, 84, (2019).
- 4 Kaewunruen, S., Ishida, M., Marich, S., "Dynamic wheel-rail interaction over rail squat defects," *Acoustics Australia*, 43(1): 97-107, (2015). doi: 10.1007/s40857-014-0001-4
- 5 Wilson, A., Kerr, M.B., Marich, S. and Kaewunruen S., "Wheel/rail conditions and squat development on moderately curved tracks", Conference of Railway Engineering, Nov 17-19, Brisbane, Australia, (2012).
- 6 Kaewunruen, S., Ishida, M.: Field monitoring of rail squats using 3D ultrasonic mapping technique. *J. Can. Inst. Non-destr. Eval.* (invited), 35(6), 5–11 (2014).
- 7 Kaewunruen, S., Ishida, M.: In Situ Monitoring of Rail Squats in Three Dimensions Using Ultrasonic Technique. *Experimental Techniques*. 40(4): 1179-1185 (2016).
- 8 Molodova, M., Li, Z., Nunez, A., Dollevoet, R., "Automatic Detection of Squats in Railway Infrastructure", *IEEE Intelligent Transportation Systems, Transactions*, 15(5),1980-1990, 2014.
- 9 Kaewunruen, S., 'Discussion of "Field Test Performance of Noncontact Ultrasonic Rail Inspection System" by Stefano Mariani, Thompson Nguyen, Xuan Zhu, and Francesco Lanza di Scalea', *Journal of Transportation Engineering, Part A: Systems* 144 (4), 07018001 (2018). doi: 10.1061/JTEPBS.0000134
- 10 Kaewunruen, S., 'Identification and prioritization of rail squat defects in the field using rail magnetisation technology', *Proceedings of SPIE - The International Society for Optical Engineering* 9437, 94371H (2015). doi: 10.1117/12.2083851
- 11 Kerr, M.B., Wilson, A., Marich, S.: The epidemiology of squats and related defects. In: *Proceedings of Conference on Railway Engineering*, Perth, Australia (2008).
- 12 Burstow, M.C.: A model to predict and understand rolling contact fatigue in wheels and rails. In: *Proceedings of the 7th World Congress on Railway Research (WCRR 2006)*, Montreal, Canada (2006).
- 13 Remennikov A.M. and Kaewunruen S., "A review on loading conditions for railway track structures due to train and track vertical interaction". *Structural Control and Health Monitoring*, 15(2), 207-234, (2008).
- 14 Kaewunruen S. and Ishida M. 'Rail squats: understand its causes, severity, and non-destructive evaluation techniques,' *Proceedings of the 20th National Convention on Civil Engineering*, Pattaya, Thailand, 8-10 July, (2015). (Best Paper Award in Infrastructure Engineering), [URL https://works.bepress.com/sakdirat_kaewunruen/56/]
- 15 Kaewunruen, S.: Monitoring in-service performance of fibre-reinforced foamed urethane sleepers/bearers in railway urban turnout systems. *Structural Monitoring and Maintenance* 1(1), 131–157 (2014).
- 16 Kaewunruen, S.: Monitoring structural deterioration of railway turnout systems via dynamic wheel/rail interaction. *Case Stud. Non-destr. Test. Eval.* 1(1), 19–24 (2014).
- 17 Remennikov, A., Kaewunruen, S.: Determination of dynamic properties of rail pads using instrumented hammer impact technique. *Acoust. Aust.* 33(2), 63–67 (2005).
- 18 Kaewunruen, S., Remennikov, A.M.: On the residual energy toughness of prestressed concrete sleepers in railway track structures subjected to repeated impact loads. *Electron. J. Struct. Eng.* 13(1), 41–61 (2013).
- 19 Kaewunruen, S., Remennikov, A.M.: Dynamic properties of railway track and its components: recent finding and future research directions. *Insight Non-destr. Test. Cond. Monit.* 52(1), 20–22 (2010).
- 20 Kaewunruen, S., Remennikov, A.M.: Nonlinear finite element modeling of railway prestressed concrete sleeper, *Real Structures: Bridges and Tall Buildings - Proceedings of the 10th East Asia-Pacific Conference on Structural Engineering and Construction*, EASEC 2010 Volume 4, 2006, Pages 323-328 (2006).

- 21 Kaewunruen, S., Remennikov, A.M.: Current state of practice in railway track vibration isolation: an Australian overview, *Australian Journal of Civil Engineering* 14(1), pp. 63-71 (2016).
- 22 Deng X, Qian Z, Li Z, Dollevoet R., Investigation of the formation of corrugation-induced rail squats based on extensive field monitoring, *International Journal of Fatigue*, in press (2018).
- 23 Kaewunruen S, Chiengson C, Railway track inspection and maintenance priorities due to dynamic coupling effects of dipped rails and differential track settlements, *Engineering Failure Analysis* 93, 157-171, (2018).
- 24 Al-Juboori A., Wexler, D., Li H., Zhu H., Lu C., McCusker A., McLeod J., Pannil S., Wang Z., Squat formation and the occurrence of two distinct classes of white etching layer on the surface of rail steel, *International Journal of Fatigue*, 104: 52-60.
- 25 Jamshidi A, Núñez A, Dollevoet R, Li, Z., Robust and predictive fuzzy key performance indicators for condition-based treatment of squats in railway infrastructures, *ASCE Journal of Infrastructure Systems*, 23(3): 04017006 (2017).
- 26 Li, Z, Zhao, X, Esveld, C An investigation into the causes of squats correlation analysis and numerical modeling. *Wear*; 265: 1349–1355 (2008).
- 27 Kaewunruen, S., Remennikov, A.M., “Field trials for dynamic characteristics of railway track and its components using impact excitation technique,” *NDT & E International* 40 (7), 510-519, (2007).
- 28 Leong, J. Development of Limit State Design of Methodology for Railway Track. MEng Thesis, Queensland University of Technology, Australia (2007).
- 29 Tuler, M.V., Kaewunruen, S., “Life cycle analysis of mitigation methodologies for railway rolling noise and groundbourne vibration”, *Journal of Environmental Management* 191, 75-82, (2017).
- 30 Cai, Z. Modelling of rail track dynamics and wheel/rail interaction. PhD Theses, Queen’s University, Canada, (1992).
- 31 Iwnicki, S. Manchester Benchmarks for Rail Vehicle Simulation, *Vehicle System Dynamics*, 30 (3-4): 295-313, (1998).
- 32 Steffens, D. M. Identification and development of a model of railway track dynamic behavior. MEng Thesis, Queensland University of Technology, Australia (2005).
- 33 Kaewunruen, S. and Remennikov, A.M., “Sensitivity analysis of free vibration characteristics of an in-situ railway concrete sleeper to variations of rail pad parameters.” *Journal of Sound and Vibration*, 298(1-2), 453-461, (2006).
- 34 Kaewunruen, S., Ishida, T., and Remennikov, A.M., “Dynamic performance of concrete turnout bearers and sleepers in railway switches and crossings”, *ASTM Advances in Civil Engineering Materials*, 7(3), 446-459, (2018). doi:10.1520/ACEM20170103
- 35 Li, D., Kaewunruen, S., “Effect of extreme climate on topology of railway prestressed concrete sleepers”. *Climate* 7, 17, (2019).
- 36 Binti Sa’adin, S. L., Kaewunruen, S., Jaroszweski, D., “Operational readiness for climate change of Malaysia high-speed rail”, *Proceedings of the Institution of Civil Engineers – Transport*, 169 (5), 308-320, (2016).
- 37 Binti Sa’adin, S. L., Kaewunruen, S., and Jaroszweski, D. Heavy rainfall and flood vulnerability of Singapore-Malaysia high speed rail system. *Australian Journal of Civil Engineering*, 14(2): 123-131 (2016). doi:10.1080/14488353.2017.1336895.
- 38 Janeliukstis R, Clark A, Papaalias M, Kaewunruen S. Flexural cracking-induced acoustic emission peak frequency shift in railway prestressed concrete sleepers. *Engineering Structures* 178, 493-505 (2019).
- 39 Grassie S.L. Studs and squats: A best practice approach. *The PWI Journal* 136, 16-19 (2018).
- 40 Li, Z., Dollevoet, R., Molodova, M., Zhao, X., Squat growth – some observations and the validation of numerical predictions, *Wear*, 2011, 271, 148-157. (2011).
- 41 Deng, X., Qian, Z., Li, Z., Dollevoet, R., Investigation of the formation of corrugation induced rail squats based on extensive field monitoring, *International Journal of Fatigue*, 112, 94-105. (2018).
- 42 Kaewunruen, S., Sussman, J. M., and Matsumoto, A. Grand challenges in transportation and transit systems. *Frontiers in Built Environments*, 2:4, (2016) doi:10.3389/fbuil.2016.00004