

Mid- to- late Holocene hydroclimatic changes on the Chinese Loess Plateau

Sun, Huiling; Bendle, James; Seki, Osamu; Zhou, Aifeng

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Journal of Paleolimnology

Mid- to- late Holocene hydroclimatic changes on the Chinese Loess Plateau: evidence from n-alkanes from the sediments of Tianchi Lake

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Corresponding Author:	Aifeng Zhou Lanzhou University Lanzhou, Gansu CHINA	
Corresponding Author Secondary Information:		
Corresponding Author's Institution:	Lanzhou University	
Corresponding Author's Secondary Institution:		
First Author:	Huiling Sun	
First Author Secondary Information:		
Order of Authors:	Huiling Sun	
	James Bendle	
	Osamu Seki	
	Aifeng Zhou	
Order of Authors Secondary Information:		
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Abstract:	<p>We have reconstructed the history of mid-late Holocene paleohydrological changes in the Chinese Loess Plateau using n-alkane data from a sediment core in Tianchi Lake. We used Paq (the proportion of aquatic macrophytes to the total plant community) to reflect changes in lake water level, with a higher abundance of submerged macrophytes indicating a lower water level and vice versa. The Paq -based hydrological reconstruction agrees with various other lines of evidence, including ACL (average chain length), CPI (carbon preference index), C/N ratio and the n-alkane molecular distribution of the sediments in Tianchi Lake. The results reveal that the lake water level was relatively high during 5.7 to 3.2 ka BP, and decreased gradually thereafter. Our paleohydrological reconstruction is consistent with existing paleoclimate reconstructions from the Loess Plateau, which suggest a humid mid-Holocene, but is asynchronous with paleoclimatic records from central China which indicate an arid mid-Holocene. Overall, our results confirm that the intensity of the rainfall delivered by the EASM (East Asian summer monsoon) is an important factor in affecting paleohydrological changes in the region and can be considered as further evidence for the development of a spatially asynchronous "northern China drought and southern China flood" precipitation pattern during the Holocene.</p>	
Suggested Reviewers:	Cheng Zhao Professor, Nanjing Institute of Geography and Limnology Chinese Academy of	

	Sciences czhao@niglas.ac.cn
	Zhonghui Liu Professor, University of Hong Kong zhliu@hku.hk
	James Russell associate professor, Brown University James_Russell@brown.edu
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1 **Mid- to- late Holocene hydroclimatic changes on the Chinese Loess**

2
3 **Plateau: evidence from *n*-alkanes from the sediments of Tianchi Lake**

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9 1 Huiling Sun^a · James Bendle^b · Osamu Seki^c · Aifeng Zhou^{d*}

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13
14 3 *a. Key Laboratory of Plateau Lake Ecology and Global Change, College of Tourism*

15
16
17 4 *and Geography, Yunnan Normal University, Kunming, 650500, China*

18
19 5 *b. School of Geography, Earth and Environmental Sciences, University of*

20
21 6 *Birmingham, Edgbaston, Birmingham, B15 2TT, UK*

22
23 7 *c. Institute of Low Temperature Science, Hokkaido University, N19W8, Kita-ku,*

24
25 8 *Sapporo, 060-0819, Japan*

26
27 9 *d. Key Laboratory of Western China's Environmental Systems (Ministry of Education),*

28
29 10 *College of Earth and Environmental Sciences, Lanzhou University, Lanzhou, 730000,*

30
31 11 *China*

32
33 12

34
35 13 * Corresponding Author: Aifeng Zhou (zhouaf@lzu.edu.cn)

36
37 14 Address: 222 Tianshui South Road, Lanzhou, Gansu, P.R.China. 730000

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39 15 Phone: (+86)13893612602

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43 17 **Key words**

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45 18 *n*-Alkanes · P_{aq} · Lake level · Mid-late Holocene · Loess Plateau

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1 20 **Abstract**

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6 22 We have reconstructed the history of mid-late Holocene paleohydrological changes in
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9 23 the Chinese Loess Plateau using *n*-alkane data from a sediment core in Tianchi Lake.
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11 24 We used P_{aq} (the proportion of aquatic macrophytes to the total plant community) to
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14 25 reflect changes in lake water level, with a higher abundance of submerged
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23 28 ACL (average chain length), CPI (carbon preference index), C/N ratio and the
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25 29 *n*-alkane molecular distribution of the sediments in Tianchi Lake. The results reveal
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28 30 that the lake water level was relatively high during 5.7 to 3.2 ka BP, and decreased
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42 35 the rainfall delivered by the EASM (East Asian summer monsoon) is an important
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45 36 factor in affecting paleohydrological changes in the region and can be considered as
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48 37 further evidence for the development of a spatially asynchronous “northern China
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50 38 drought and southern China flood” precipitation pattern during the Holocene.
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1 42 **Introduction**

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6 44 Climatic and environmental changes in the Chinese Loess Plateau are mainly
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9 45 controlled by the EASM, which directly affects almost all aspects of the hydrology
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12 46 and ecology of East Asia (Clift and Plumb 2008). An increase in EASM intensity
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15 47 would be expected to result in a northward movement of the rainfall belt in China and
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17 48 a corresponding rainfall increase in the Loess Plateau (Chen et al. 2008). Many
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20 49 regional paleoclimatic records have been produced from this semi-arid, monsoon
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23 50 marginal zone (Zhao et al. 2010; Dong et al. 2012; Liu and Feng 2012; Lu et al. 2013;
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25 51 Qiang et al. 2013). However, regional high-resolution paleohydrological
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28 52 reconstructions are extremely limited because proxies or archives that record ancient
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31 53 hydrological conditions, with good age control, are scarce on the Loess Plateau. A
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34 54 humid mid-Holocene has been proposed based on a pollen-based record (Chen et al.
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36 55 2015a) and a hydrogen isotope reconstruction of long-chain *n*-alkanes (Rao et al.
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38 56 2016) from Gonghai Lake, one of the few natural lakes on the Loess Plateau. Their
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41 57 paleohydrological reconstruction is inconsistent with records from the core
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44 58 monsoon-controlled regions of central China. It shows an arid interval from 7.0-3.0 ka
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47 59 BP (Xie et al. 2013; Zhu et al. 2017). Therefore, more high-resolution lacustrine
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50 60 reconstructions of hydroclimatic variations during the mid-late Holocene are needed
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53 61 to explore the underlying mechanism of this asynchronous hydroclimatic variability.
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56 62 Here, a high-resolution lacustrine record based on *n*-alkanes of sediments from
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58 63 Tianchi Lake on the Loess Plateau will be discussed.

1 64 *n*-Alkanes preserved in lake sediments can be used to infer variations in the
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3 65 composition and origin of organic inputs to the lacustrine environment, because they
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6 66 are widely preserved in various environmental contexts, such as plants, soils and
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9 67 lacustrine sediments, and can resist degradation actions (Meyers 1997). In particular
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11 68 the Average Chain Length (ACL) (Poynter and Eglinton 1990), Carbon Preference
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13 69 Index (CPI) (Meyers and Ishiwatari 1993), and P_{aq} (Ficken et al. 2000) *n*-alkane
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16 70 indices, have been widely used in paleoenvironmental research (Nichols et al. 2006;
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19 71 He et al. 2014). In general, terrestrial plants and emergent macrophytes are typically
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21
22 72 dominated by the long-chain length homologues (C₂₇-C₃₃) (Ficken et al. 2000; Gao et
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24
25 73 al. 2011), while submerged and floating-leaved macrophytes mainly produce C₂₃ and
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28 74 C₂₅ *n*-alkanes (Ficken et al. 2000), and short chain ones are produced by algae and
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31 75 bacteria (Cranwell et al. 1987). Consequently, higher ACL and CPI are commonly
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34 76 considered to be predominantly produced by terrestrial plants. A higher P_{aq} may result
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37 77 from an increase in submerged macrophytes in combination with a recession of the
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40 78 terrestrial plants around the lake. Moreover, the biomass of submerged macrophytes is
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43 79 related to the variation of the water table (Wagner and Falter 2002; Liu et al. 2015),
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46 80 and lake level fluctuations have the potential to simultaneously constrain the spatial
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48
49 81 distribution and the biomass of submerged macrophytes in a lake (Duarte and Kalf
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52 82 1986; Hudon 1997; Middelboe and Markager 1997). However, Aichner et al. (2010)
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55 83 and Liu et al. (2015) found that higher amounts of long chain *n*-alkanes can be
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58 84 produced by submerged macrophytes in several lakes. Therefore, it is necessary to
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61 85 understand the extent to which long chain *n*-alkanes in lacustrine sediments are
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1 86 influenced by terrestrial plants and submerged macrophytes in a study lake when
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3 87 reconstructing the paleoenvironments.
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6 88 In this study, we first define the potential sources and the contributions from the
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8 89 various plants (e.g. terrigenous plants vs. submerged macrophytes) in Tianchi Lake.
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10 90 Second, we give an interpretation of the proxies (P_{aq} , ACL, CPI of *n*-alkanes, and
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12 91 C/N), especially P_{aq} as an effective indicator of lake level changes in Tianchi Lake.
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14 92 Additionally, we seek to compare regional climate reconstructions with those from
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16 93 Tianchi Lake and other nearby sites to confirm a spatially asynchronous
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18 94 hydroclimatic variability occurred in China during the Holocene.
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28 96 Study site
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33 98 Tianchi Lake (lat. 35°15'55"N, long. 106°18'43"E, elevation 2430 m a.s.l.) is a small
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35 99 freshwater alpine lake located in the Liupan Mountains, southwestern Loess Plateau,
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37 100 northwest China (Fig. 1a). The length of the lake from east to west is 250 m and the
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39 101 width is 120 m. The maximum water depth is 8.2 m, and the lake covers an area of
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41 102 2×10^4 m² (Fig. 1b). The lake receives no surface run off, and it is fed by meteoric
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43 103 water and groundwater recharge. There is no apparent surface outflow, except for a
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45 104 possible transient outflow in the western part of the lake basin, which is possibly
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47 105 active during the rainy season. The mean annual temperature is 8.2 °C and mean
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49 106 annual precipitation is 677 mm based on data from the nearest meteorological station
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51 107 (Liupan Mountain station, at 2845 m a.s.l.). Most of the precipitation occurs as
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1 108 rainfall during summer, accounting for nearly 72.2% of the annual total. The
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3 109 vegetation of the upland slopes of the lake is dominated by shrubs and steppe. Grassy
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6 110 steppe with sparse shrub covers the north slopes, and shrubs dominate the south
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9 111 slopes (Zhao et al. 2010). Emergent (*Phragmites australis* (Cav.) Trin. ex Steud) and
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11 112 submerged (*Potamogeton* sp. and *Chara* sp.) macrophytes are widely distributed in
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13
14 113 the shallow areas of the lake (Fig. 1d), but floating-leaved macrophytes are absent,
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17 114 based on our field observations in 2010.
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21 22 116 **Materials and methods**

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26 27 28 118 **Field sampling**

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33 120 Two parallel sediment cores of lengths 11.2 m (GSA07-1) and 10.4 m (GSB07-1)
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36 121 were collected using a UWITEC piston corer system (6 cm in diameter) from the lake
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39 122 center in 2007 (Fig. 1b). The lithology of core GSB07-1 consisted of alternating
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41
42 123 brown-colored sandy clay and grey-brownish clay between 1040-746 cm, and
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45 124 grey-brownish clay above 746 cm. The sediments are characterized by 1 to
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48 125 2-mm-thick organic detritus-rich laminations (Fig. 1c), which yielded abundant
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51 126 terrestrial macrofossils for radiocarbon dating. Fifty-six down-core sedimentary
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54 127 samples were taken at 15-cm intervals throughout core GSB07-1. Additionally, we
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57 128 collected six surface soil samples, three surface lake sediments, nine dominant
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59 129 terrestrial plant samples (*Cedrus* sp., *Larix* sp., *Abies* sp., *Betula* sp., *Rosa* sp., *Rubus*

1 130 sp., *Salix* sp., *Berberis* sp. and *Artemisia* sp.), which surround the lake, and one
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3
4 131 emergent macrophyte (*P. australis*) and two submerged macrophytes (*Potamogeton*
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6 132 and *Chara*) within the lake for modern process study. All the above samples were
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9 133 carried out for TOC, TN analyses, and lipid extraction.

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14 135 Laboratory analyses

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20 137 Samples for TOC and TN measurements were pretreated with 10 ml of 10% HCl to
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22 138 remove carbonates, washed with distilled water until the pH was neutral, and then
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25 139 measured using a CE Model 440 Elemental Analyzer. The C/N ratio was derived from
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27
28 140 the ratio of TOC and TN. *n*-Alkanes were extracted based on methods described
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31 141 previously (Kawamura et al. 2003) in G-MOL lab of the University of Glasgow.

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34 142 Briefly, 2-10 g of freeze-dried, homogenized sediment were transferred to a test tube
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36 143 and hydrolyzed with 15 ml of 0.3 M KOH dissolved in 95:5

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39 144 methanol/dichloromethane-extracted water. The samples were then hydrolyzed and
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42 145 centrifuged and the supernatant and pipetted into a round-bottomed flask. The

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45 146 sediment was then extracted three times with 10 ml dichloromethane/methanol (3:1)
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47 147 using ultrasonication. The extracts were combined and concentrated, using a rotary

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50 148 evaporator, under vacuum and then separated into neutral and acidic fractions using
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53 149 the methods of Kawamura (1995). The neutral fraction was further separated using

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56 150 silica gel column chromatography to get *n*-alkane fraction. Dried *n*-alkane fraction
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59 151 was redissolved in hexane and analyzed using a gas chromatograph (GC; Shimadzu

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1 152 2010) with a flame ionization detector (FID) and hydrogen as carrier gas at constant
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3 153 pressure (190 kPa). Separation of the different compounds was achieved using an
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6 154 identical column (length: 60 m, diameter: 0.25 mm, film thickness: 0.25 μm , coating:
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9 155 100 % dimethyl-polysiloxane). The gas chromatograph temperature program was set
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11 156 to increase from 50 -120 $^{\circ}\text{C}$ at 30 $^{\circ}\text{C min}^{-1}$, then 120 -310 $^{\circ}\text{C}$ at 5 $^{\circ}\text{C min}^{-1}$, with a
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14 157 final isothermal time of 20 min at 300 $^{\circ}\text{C}$. Compound identification was confirmed by
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17 158 GC/MS (Shimadzu OP2010-Plus Mass Spectrometer (MS) interfaced with a
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20 159 Shimadzu 2010 GC) based on retention times and mass spectra.
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23 160 The *n*-alkane proxies (equation (1) from Poynter and Eglinton (1990); equation (2)
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25 161 from Marzi et al. (1993); and equation (3) from Ficken et al. (2000) were calculated
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28 162 as follows:
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31 163
$$\text{ACL} = (19 \cdot C_{19} + 20 \cdot C_{20} + 21 \cdot C_{21} + \dots + 33 \cdot C_{33}) / (C_{19} + C_{20} + C_{21} + \dots + C_{33}) \quad (1)$$

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$$\text{CPI} = 7/8 \cdot (C_{19} + C_{21} + C_{23} + \dots + C_{33}) / (C_{20} + C_{22} + C_{24} + \dots + C_{32}) \quad (2)$$

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$$P_{\text{aq}} = (C_{23} + C_{25}) / (C_{23} + C_{25} + C_{29} + C_{31}) \quad (3)$$

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39 166 where C_i is the concentration of *n*-alkane of *i* number of carbon.
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45 168 Age model
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50 170 The chronology of core GSA07-1 used in this study mainly consists of 19 dates from
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53 171 Zhao et al. (2010) and 6 new dates (Table 1). All ^{14}C dates were measured in the AMS
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56 172 Dating Laboratory of Beijing University and are based on the leaves of terrestrial
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59 173 plants. The ages were calibrated to calendar years before present (AD 1950) using the
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1 174 program CALIB Rev. 5.0.1 with the IntCal04 calibration data set (Reimer et al. 2004).

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3 175 The depths of characteristic laminations in cores GSA07-1 and core GSB07-1 are
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6 176 consistent. Therefore, the chronology of core GSB07-1 was calibrated based on the
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9 177 corresponding depths in GSA07-1 (Table 1). The chronology indicates that the age of
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12 178 core GSB07-1 spans the past 5720 years (Fig. 2). The average accumulation rate
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15 179 based on the age-depth model is about 1.85 mm a⁻¹.

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18 181 **Results**

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24 183 *n*-Alkane distributions and P_{aq} variations in modern vegetation

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29 186 The P_{aq} index has been proposed as an indicator of the relative contributions of
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32 187 *n*-alkanes from submerged/floating aquatic plants versus those from emergent and
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35 188 terrestrial plants in the lake. Generally, P_{aq} < 0.1 corresponds to terrestrial plants,
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38 189 0.1-0.4 to emergent macrophytes, and 0.4-1.0 to submerged/floating macrophytes
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41 190 (Ficken et al. 2000). In this study, average P_{aq} values and *n*-alkane molecular
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44 191 distribution patterns vary considerably in the three types of plant material (terrestrial,
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46
47 192 and emergent and submerged macrophytes: Fig. 3a-c). Terrestrial plants (Fig. 3a),
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49 193 which have a lower average P_{aq} value (0.18), are dominated by the *n*-C₃₁ homologue.
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52 194 Emergent macrophytes (Fig. 3b) growing in the near-shore environment are mainly
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55 195 dominated by the *n*-C₂₇ homologue and have a higher P_{aq} value (0.65). In contrast,
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58 196 *n*-C₂₃ is the dominant homologue in the submerged macrophytes (Fig. 3c) with a
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61 197 secondary peak at *n*-C₂₅. The average P_{aq} value of submerged macrophytes is 0.93. In
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1 198 addition, a bimodal *n*-alkane distribution pattern with high abundances at *n*-C₂₃ and
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3 199 *n*-C₃₁ is observed in the surface sediments of Tianchi Lake which have an average P_{aq}
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6 200 value of 0.51 (Fig. 3d), indicating a specific mixture of inputs from terrestrial plants
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9 201 and submerged macrophytes. The distribution pattern for the surface soil has an
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12 202 overwhelming preponderance of the *n*-C₃₁ homologue, and the average P_{aq} value of
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15 203 the surface soils is 0.25 (Fig. 3e).

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20 205 *n*-Alkane proxies and C/N ratios in the down-core sediments
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25 207 Time series of the various sedimentary parameters are illustrated in Fig. 4. The
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28 208 records span the last 5.7 ka BP. P_{aq} (Fig. 4a) ranges from 0.32 to 0.78 with a mean of
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31 209 0.56. The average P_{aq} value is 0.46 during 5.7-3.2 ka BP, and 0.65 from 3.2 ka BP to
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34 210 the present. It is noteworthy that prior 3.2 ka BP most of the P_{aq} values are less than
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37 211 0.52, while subsequently they are greater than 0.52. ACL ranges from 25 to 29 with a
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40 212 mean of 27.4 (Fig. 4b). The CPI values range from 1.7 to 8.8 with a mean of 5.2 over
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43 213 the last 5.7 ka BP (Fig. 4c). The C/N ratios (Fig. 4d) range from 9.5 to 26 with a mean
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46 214 of 15. The ACL, CPI, and C/N ratios exhibit similar patterns of variation, and they all
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49 215 exhibit an obvious shift at 3.2 ka BP, as do the P_{aq} values. The threshold values of
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52 216 ACL, CPI, and C/N ratios are almost the same as their average values.

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56 218 **Discussion**
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1 220 Sources of organic matter to the lake

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6 222 The organic component of lake sediments represents a pool of organic matter derived
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9 223 from the decomposing detritus of aquatic plants growing in the littoral and marginal
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12 224 zone of the lake and from terrestrial plants growing in the catchment (Meyers and
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14 225 Ishiwatari 1993; Meyers 1997). Lacustrine sediment *n*-alkanes often have multiple
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17 226 sources, including terrestrial plants, aquatic macrophytes and lower organisms.

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20 227 Generally, *n*-alkane distributions of terrestrial and emergent plants tend to exhibit

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22 228 high proportions of the *n*-C₃₁ homologue (Rielley et al. 1991; Ficken et al. 2000;

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25 229 Sachse et al. 2006), whereas those of submerged and floating plants are generally

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28 230 dominated by *n*-C₂₃ and *n*-C₂₅ homologues (Ficken et al. 2000; Gao et al. 2011; Seki

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30 231 et al. 2012). Therefore, *n*-alkanes can be used to identify local and regional sources of

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33 232 organic matter. However, recent studies have indicated that aquatic plants also make a

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36 233 large contribution to the long chain *n*-alkanes in lake sediments (Aichner et al. 2010;

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39 234 Liu et al. 2015; Liu and Liu 2016). For example, Liu et al. (2015) found that the long

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42 235 chain *n*-alkanes produced by submerged plants in Qinghai Lake had a significant

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45 236 influence on *n*-C₂₇ and *n*-C₂₉ alkanes in sediments. Even for the same submerged

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48 237 plant (*Potamogeton* sp.) from 16 Tibetan Plateau lakes, the distribution patterns of all

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51 238 the *n*-alkane homologs show obvious differences (Liu and Liu 2016). It is thus

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53 239 necessary to make a distinction between the various sources that contribute to the

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56 240 organic matter in given study area. At present, the two types of submerged

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59 241 macrophytes (*Potamogeton* and *Chara*) in Tianchi Lake are dominated by mid-chain

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1 242 *n*-alkanes (*n*-C₂₃ and *n*-C₂₅) (Fig. 3c). The average P_{aq} value is as high as 0.93. There
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4 243 is no evidence that they exhibit relatively high abundance of long chain *n*-alkanes as
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6 244 Liu and Liu (2016) described. The unimodal distribution pattern with the maxima at
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9 245 *n*-C₃₁ alkanes and relatively low P_{aq} values of modern terrestrial plants (Fig. 3a) and
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11 246 surface soils (Fig. 3e) in Tianchi Lake, suggest again that *n*-C₃₁ alkanes can be traced
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13
14 247 to terrestrial plant inputs and not to lake macrophytes. In addition, a bimodal
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17 248 molecular distribution pattern with major peaks at the *n*-C₂₃ and *n*-C₃₁ homologues in
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20 249 the surface lake sediments (Fig. 3d) probably represents a combination of inputs from
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23 250 submerged macrophytes (Fig. 3c) and terrestrial plants (Fig. 3a)/emergent (Fig. 3b).
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26 251 Our observations are consistent with those of previous studies (Cranwell 1984; Ficken
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28 252 et al. 2000; Gao et al. 2011; Street et al. 2013), which indicate that P_{aq} can be used to
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31 253 reflect the contribution from submerged macrophytes.
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35 36 255 Interpretation of *n*-alkane indices

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42 257 It has been demonstrated that the abundance of submerged macrophytes in lake is
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45 258 affected by irradiance and the littoral slope (Hudon 1997; Hudon et al. 2000;
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48 259 Cheruvilil and Soranno 2008). Thus, lake level has the potential to constrain the
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51 260 spatial distribution of submerged macrophytes via both a reduction in light intensity
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54 261 (Duarte and Kalf 1986; Middelboe and Markager 1997) and a change in the spatial
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57 262 extent of the littoral habitat (Hudon 1997). Hence, changes in the relative inputs of
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59 263 submerged macrophytes can potentially be ascribed to fluctuations in lake level. Most
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1 264 of the submerged macrophytes in Tianchi Lake are distributed in a shallow area close
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4 265 to the shoreline and very few floating macrophytes can be observed (Fig. 1d).
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6 266 *Potamogeton* and *Chara* are the two dominant submerged species, which grow in a
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9 267 narrow zone down to a depth of ~1.2 m. A bathymetric survey of Tianchi Lake (Fig.
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12 268 1b) reveals that the shoreline forms a narrow shelf from a depth of 0.5 m down to 2.8
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15 269 m, followed by a steep slope that causes a decrease in the occurrence of submerged
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18 270 macrophytes. Assuming that the basic bathymetry of the basin has remained similar
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21 271 through time, the reductions in lake level would result in a relatively larger shelf area,
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24 272 which would produce an expansion of the shallow-water habitat for submerged
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27 273 macrophytes. Accordingly, the lower P_{aq} values in Tianchi Lake could be interpreted
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30 274 as reflecting less abundance of submerged macrophytes and the raising of lake level.
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32 275 On the other hand, the contribution from terrestrial plants can also exert an influence
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34 276 on P_{aq} values since the P_{aq} index is a proxy for evaluating the contribution of
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37 277 *n*-alkanes from submerged/floating aquatic plants relative to emergent and terrestrial
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40 278 plants (Ficken et al. 2000). During intervals of high rainfall and lake level, an
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43 279 increased contribution of terrestrial plant material delivered by increased catchment
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46 280 rain and runoff could lower P_{aq} values, and vice versa. This coincides with the
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49 281 findings of Liu and Liu (2016), which indicated a negative relationship between the
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52 282 P_{aq} value of surface lake sediments and the water level of Qinghai Lake.

53 283 As an important parameter of *n*-alkanes, the climate implications of ACL have
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56 284 been discussed a lot in the literature, but there is no unified agreement as to their
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59 285 interpretation because ACL often appears highly specific to regional or local
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1 286 conditions (Ling et al. 2017). Furthermore, ACL can not be used to reconstruct
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3 287 temperature or precipitation change if the plant species or sedimentary environment in
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6 288 the catchment area underwent considerable change (in parallel with or forced by
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9 289 climatic variation) (Pu et al. 2010). CPI is another *n*-alkane index, which has been
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12 290 widely accepted as an indicator for terrestrial sources of sedimentary organic matter.
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14 291 Terrestrial plants have abundant long-chain *n*-alkanes, and show distinct odd-even
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17 292 predominance, thus their CPI is always greater than 5. On the contrary, CPI values of
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20 293 the aquatic plants and planktonic bacteria are considerably lower than those usually
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23 294 reported for terrestrial plant sourced *n*-alkanes (Cranwell 1987).

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28 296 Reconstruction of the lake-level evolution

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34 298 *n*-Alkane based records and C/N ratios from Tianchi Lake are presented in Fig. 4.

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36 299 Overall, there is an obvious shift at ~3.2 ka BP among the various proxies. During
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39 300 5.7-3.2 ka BP, the ACL (Fig. 4b) and CPI (Fig. 4c) proxies show relatively high
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42 301 values in the core. CPI values almost greater than 5 and ACL values range from 27 to
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45 302 29, likely indicate a predominance of terrestrial plant inputs to the lake basin. The
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48 303 results are supported by the higher C/N ratios (mean >15, with occasional values up to
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51 304 26) (Fig. 4d) in this phase since the C/N ratios from terrestrial plants and emergent
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54 305 macrophytes can be as high as 20 (Lamb et al. 2004). On the other hand, relatively
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56 306 lower P_{aq} values (0.32 - 0.56) (Fig. 4a) and a contrary changing trend of P_{aq} with ACL,
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59 307 CPI, C/N ratios, suggest a recession of the submerged macrophytes growing in

1 308 Tianchi Lake. Based on interpretations discussed above, less abundance of submerged
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3 309 macrophytes input and more abundance of terrestrial plants input to the sediments are
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6 310 likely a response to relatively high lake levels during this phase in Tianchi Lake.
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9 311 We interpret the increase in average P_{aq} values (0.51-0.78) and a decrease in ACL
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11 312 (25-27) and CPI (1.6-5.4) after 3.2 ka BP (Fig. 4a) as corresponding to an increase in
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14 313 the proportion of submerged and floating-leaved macrophytes, and a decrease in
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17 314 terrestrial inputs. Furthermore, the C/N ratios are generally low (<15) during this
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20 315 interval. In view of the absence of floating-leaved macrophytes in Tianchi Lake,
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23 316 based on our field observations, the increasing contribution from submerged
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26 317 macrophytes accordingly indicates a gradually falling lake level from 3.2 ka BP.
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28 318 The variations in lake level inferred by the *n*-alkanes record is also supported by a
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31 319 shift in pollen assemblages from Tianchi Lake, which indicate that closed canopy
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34 320 forest was replaced by an open landscape at around 3.0 ka BP (Zhao et al. 2010).
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36 321 Another high-resolution pollen record from the Dadiwan peatland (Fig. 1a), 50 km
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39 322 southwest of Tianchi Lake on the Loess Plateau, also reveals a significant decrease in
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42 323 tree pollen frequencies at around 3.0 ka BP (An et al. 2003).
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47 325 Asynchronous hydroclimatic variability

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53 327 The P_{aq} record from Tianchi Lake reveals a transition from higher lake levels to lower
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56 328 lake levels after 3.2 ka BP, and thus wetter conditions during 5.7-3.2 ka BP and drier
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59 329 conditions after 3.2 ka BP (Fig. 5d). This accords with other paleoclimatic records
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1 330 from the nearby Chinese Loess Plateau (Lu et al. 2013; Chen et al. 2015a; Liu et al.
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3 331 2015; Rao et al. 2016). The pollen-based annual precipitation reconstruction from
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6 332 Tianchi Lake suggests a rapid precipitation decrease since ~3.3 ka BP (Fig. 5e; Chen
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9 333 et al. 2015a). Another pollen-based annual precipitation reconstruction from nearby
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12 334 Gonghai Lake (Fig. 5f) reveals a humid interval around 8-3 ka BP (Chen et al. 2015a).
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14 335 A recent study of palaeosol development as an indicator of the strength of the EASM
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17 336 (Wang et al. 2014) suggests a wet interval during 8.6-3.2 ka BP in the Chinese Loess
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20 337 Plateau. In addition, a TOC record from the Dadiwan peat profile also revealed a
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23 338 similar pattern of wet and dry episodes as at Tianchi Lake (Zhou et al. 1996; Huang et
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26 339 al. 2013). This evidence supports the contention that a moist climate was a
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29 340 widespread phenomenon on the Chinese Loess Plateau during the mid-Holocene. It is
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32 341 in accord with the gradually decreasing solar insolation (Fig. 5g). However, the
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35 342 paleohydrological conditions reconstructed from Dajiuhu peatland (Fig. 5c) in the
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38 343 middle reaches of the Yangtze River of central China are in contrast with these
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41 344 previous paleoclimatic records. Changes in the aerobic bacteria-derived hopanoid flux
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44 345 in Dajiuhu peatland (Fig. 5c) imply relatively arid conditions from 7.0-3.0 ka BP and
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47 346 relatively wet conditions from 3.0-1.0 ka BP (Xie et al. 2013; Huang et al. 2013; He et
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50 347 al. 2015). Another late-Holocene paleohydrological reconstruction based on sediment
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53 348 grain-size and *n*-alkane data from Longgan Lake in the middle and lower reaches of
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56 349 the Yangtze River (Xue et al. 2017), indicated drought conditions from 4 to 2.7 ka BP
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59 350 and a humid interval from 2.7 to 1.2 ka BP. In addition, the studies on the $\delta^{18}\text{O}$ (Fig.
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62 351 5a) and the flux of soil-derived magnetic minerals preserved (Fig. 5b) in stalagmite
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1 352 HS4 from Heshang cave in central China also revealed a relatively arid interval from
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3 353 6.7 to 3.4 ka BP (Hu et al. 2008; Zhu et al. 2017). Therefore, it seems that mid-late
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6 354 Holocene paleohydrological evolution was asynchronous in the middle reaches of the
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9 355 Yangtze River of central China and in the Yellow River region of north China.

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11 356 Tianchi Lake is located in the ‘far-field’ northwestern marginal region of the
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14 357 EASM, whereas the Dajihu peatland (Fig. 1a) is located in the ‘core’ monsoonal area
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17 358 of the EASM (Qian et al. 2007). Summer rainfall is the predominant contributor to the
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20 359 annual precipitation at both sites (Gao and Xie 2014). The northwards advance of the
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23 360 rainfall front resulting from an enhanced EASM intensity could result in increased
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26 361 precipitation in the marginal region of the EASM but decreased precipitation in the
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29 362 core monsoonal area of EASM (Ding et al. 2008; Rao et al. 2016). The occurrence of
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32 363 this contrasting spatial pattern of moisture conditions, with more frequent droughts in
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35 364 north China and more frequent floods in the mid-low Yangtze River valley during
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38 365 summer, has also been observed during the last few decades (Gemmer et al. 2004;
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41 366 Qian and Lin 2005; Zhai et al. 2005). It has been designated the “northern China
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44 367 drought and southern China flood” precipitation pattern (Zhou et al. 2009), and is also
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47 368 evident on millennial and centennial time scales (Chen et al. 2015b).

48 369 Previous workers have analyzed the main factors responsible for the
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51 370 asynchronous pattern of hydroclimatic variability between the marginal and core
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54 371 monsoonal area of EASM in China. For example, He et al. (2014) suggested that
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57 372 terrestrial temperature-induced evaporation changes and the extent of the Asian
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60 373 monsoonal front could potentially explain the out-of-phase pattern of hydrological
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1 374 changes during the mid-Holocene. Chen et al. (2015a) emphasized that insolation
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3 375 forcing, especially the tropical ocean conditions might be responsible for the abrupt
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6 376 decline at 3.3 ka. Chen et al. (2015b) concluded that ENSO is one of the most
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9 377 important factors affecting the precipitation of monsoonal northern and central China
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11 378 on the centennial scale. Rao et al. (2016) emphasized the important influence of the
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14 379 west-east thermal gradient in the equatorial Pacific on the climate of monsoonal China.
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17 380 Zhu et al. (2017) concluded that a mid-Holocene reduction in ENSO intensity was
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20 381 related to a decrease in storm frequency in the middle reaches of Yangtze River
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23 382 between 6.7 and 3.4 ka BP. Finally, it is likely that the sea surface temperature (SST)
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26 383 anomaly in the equatorial Pacific during the mid-Holocene probably played a key role
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29 384 in facilitating the influence of ENSO on the asynchronous pattern of precipitation in
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32 385 the marginal and core monsoonal area of the EASM in China.

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35 36 387 **Conclusions**

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42 389 We have used the record of *n*-alkanes extracted from a lacustrine sediment core from
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45 390 Tianchi Lake on the Chinese Loess Plateau to reconstruct lake-level variations during
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48 391 the past 5.7 ka BP. P_{aq} values and C/N ratios through the sequence in general exhibit a
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51 392 gradually increasing trend through the past 5.7 ka BP, indicating an increasing (and
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54 393 more variable) abundance of submerged macrophytes in response to a falling lake
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57 394 level. Terrestrial plants dominated the record before 3.2 ka BP, and subsequently
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60 395 there was a shift to the dominance of submerged macrophytes. The predominance of

1 396 terrestrial plants agree with higher ACL, higher CPI, and lower P_{aq} values from
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3 397 5.7-3.2 ka BP, whereas the dominance of submerged macrophytes resulted in lower
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6 398 ACL, lower CPI, and higher P_{aq} values after 3.2 ka BP. These changes indicate a
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9 399 relatively humid interval during 5.7-3.2 ka BP and a drier but more variable interval
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11 400 after 3.2 ka BP on the Chinese Loess Plateau. These findings are consistent with
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14 401 previous paleoclimatic reconstructions for the Loess Plateau which indicate a humid
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17 402 mid-Holocene. However, they are in disagreement with paleoclimatic records from
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20 403 central China, which indicate an arid mid-Holocene. Overall, this spatial pattern
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23 404 indicates that an enhanced intensity of monsoon rainfall delivered by the EASM
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26 405 during the mid-Holocene was an important factor in affecting paleohydrological
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28 406 changes in the region.
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56 416 laboratory in Glasgow.
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58 572 **Figure captions**
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1 573 Fig. 1. (a) Location of Tianchi Lake in North China. Solid dots represent the study
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3 574 area and other study sites referenced in the text. The map shows the correlation
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6 575 coefficients between summer precipitation in China and summer monsoon intensity
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9 576 from 1951-2000 (Wang et al. 2008), (b) schematic representation of the bathymetry of
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11 577 Tianchi Lake (depths in m), (c) laminated structure of the sediment cores from
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14 578 Tianchi Lake, (d) photo of submerged macrophytes in the shallow area of Tianchi
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22 581 Fig. 2. Age-depth model for core GSB07-1 from Tianchi Lake

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28 583 Fig. 3. Histogram of the molecular distributions of *n*-alkanes from (a) modern
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30 584 terrestrial plants, (b) modern emergent macrophytes, (c) modern submerged
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33 585 macrophytes, (d) surface lake sediments and (e) surface soils from around Tianchi
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36 586 Lake. Only odd carbon number distributions are shown for the *n*-alkanes

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42 588 Fig. 4. Time series of sedimentary parameters for core GSB07-1 from Tianchi Lake
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45 589 over the past 5.7 ka BP. (a) P_{aq} values based-on *n*-alkanes, (b) *n*-alkane ACL, (c)
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47 590 *n*-alkane CPI, (d) C/N ratios

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53 592 Fig. 5. Comparison of regional paleohydrological records. (a) Heshang cave
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55 593 speleothem $\delta^{18}O$ records (Hu et al. 2008), (b) the flux of soil-derived magnetic
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58 594 minerals ($IRM_{soft-flux}$) preserved in stalagmite HS4 (Zhu et al. 2017), (c) hopanoids

1 595 flux in Dajiuhu peatland (Xie et al. 2013), (d) P_{aq} values based-on *n*-alkanes in
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3 596 Tianchi Lake, (e) pollen-based reconstruction of mean annual precipitation (MAP)
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6 597 from Tianchi Lake (Chen et al. 2015a), (f) pollen-based reconstruction of mean annual
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9 598 precipitation (MAP) from Gonghai Lake (Chen et al. 2015a), (g) July Insolation at
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12 599 30 °N (Berger and Loutre 1991)

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1 617 Table 1. AMS radiocarbon dates of core GSA07-1 in Tianchi Lake and the chronology

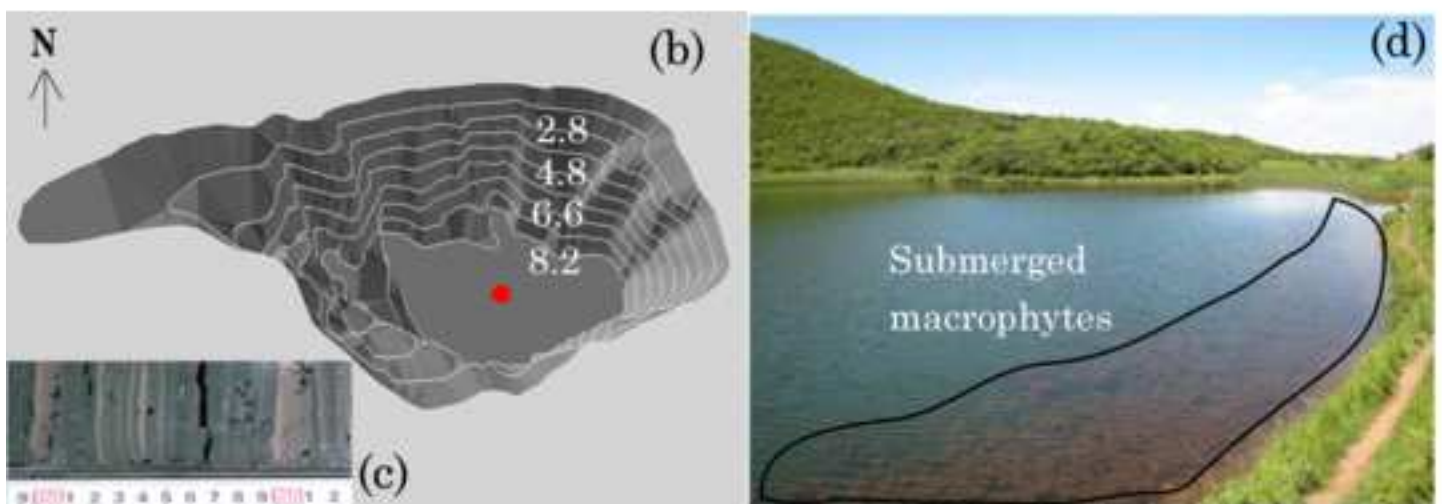
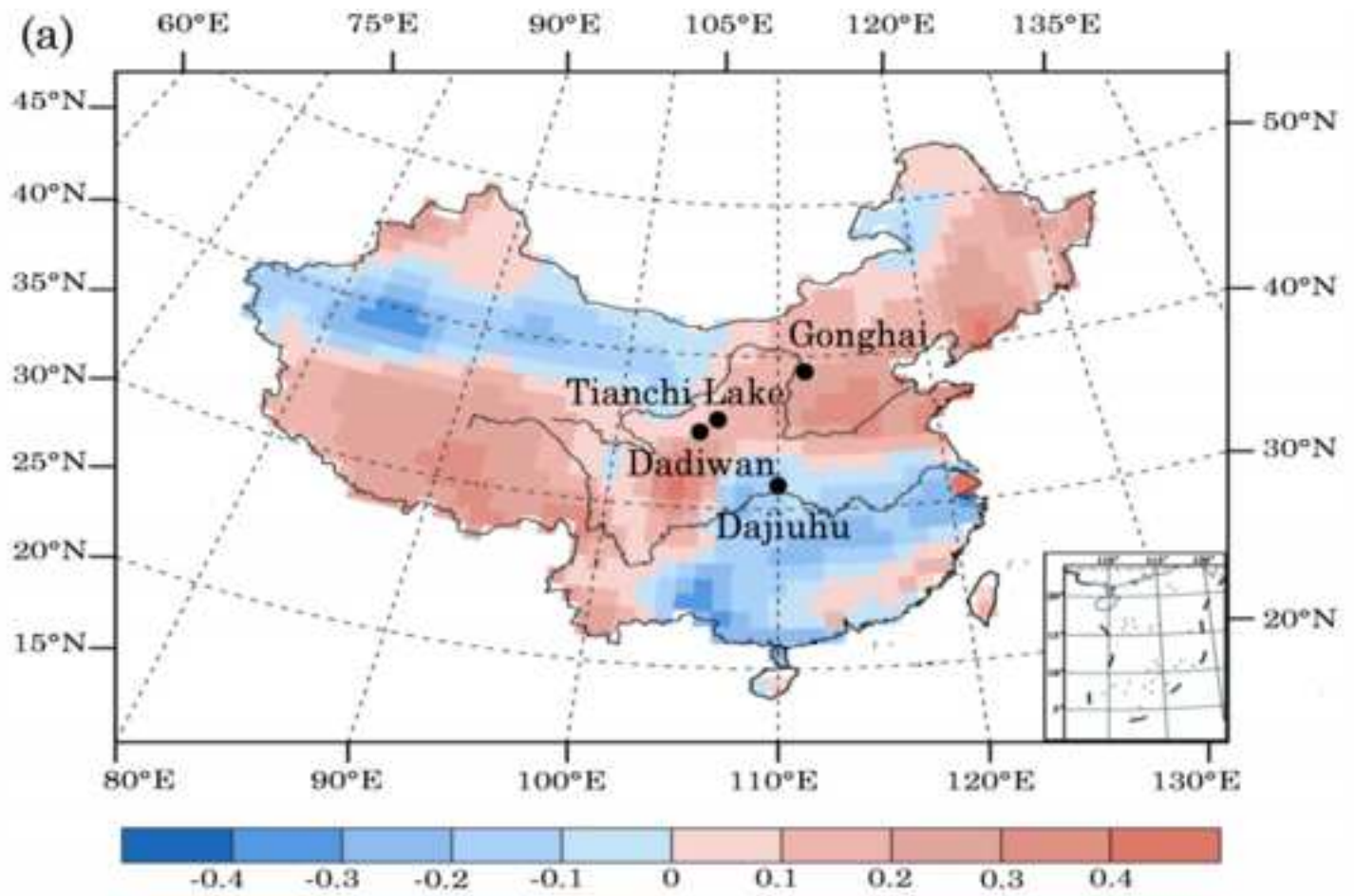
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3 618 of core GSB07-1 based on depth calibration with core GSA07-1

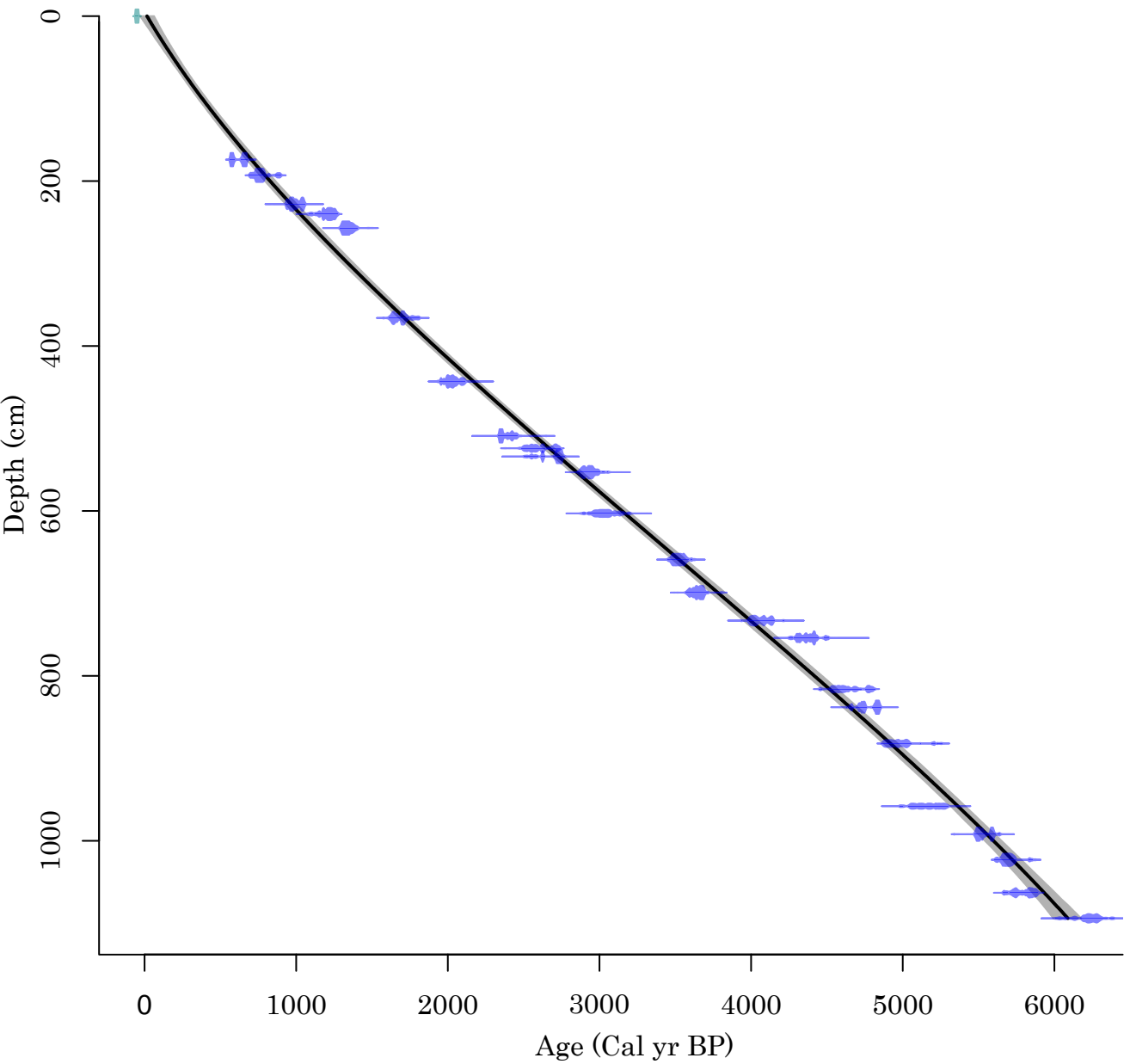
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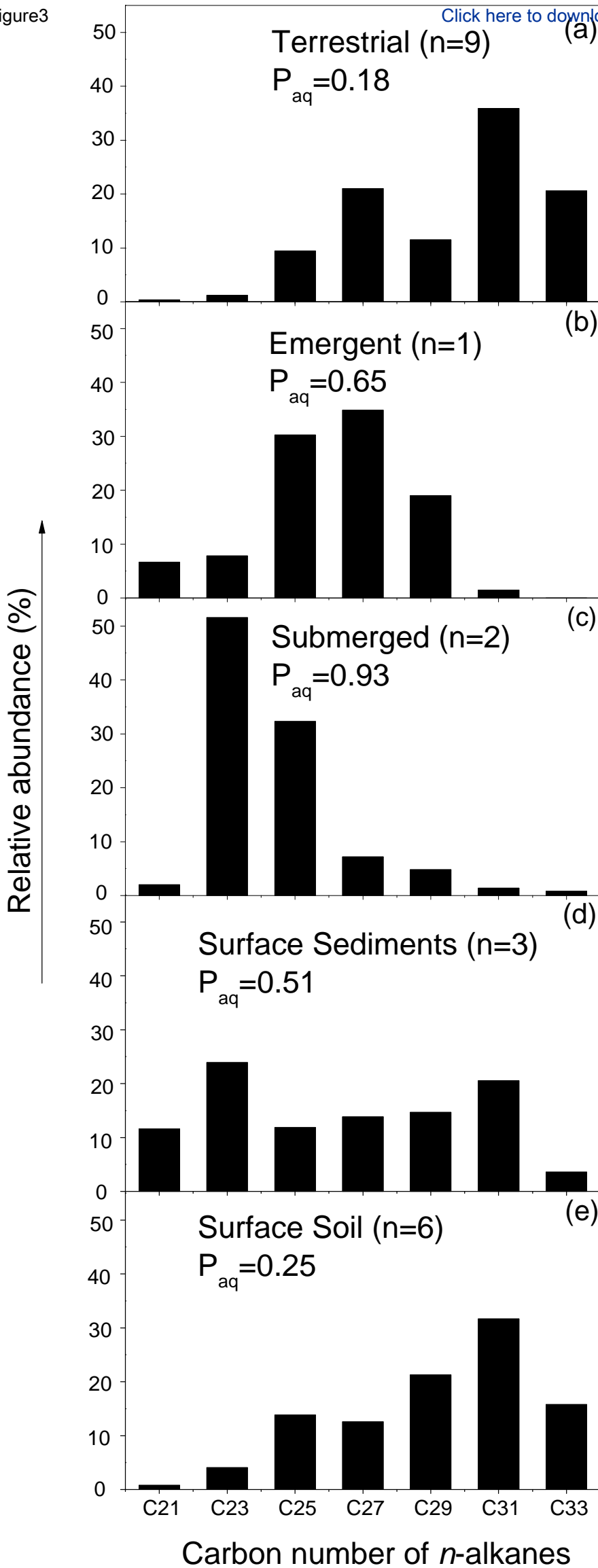
Core GSA07-1						Core GSB07-1	
Depth (cm)	Material dated	$\delta^{13}\text{C}$ (‰ VPDB)	^{14}C date (yr BP)	Error (\pm yr)	Calibrated age (Cal yr BP-2 σ range)	Calibrated depth (cm)	Calibrated age (Cal yr BP)
162	Tree leaves	-29.0	680	30	619 \pm 56	174	662 \pm 21
183	Tree leaves	-26.9	855	35	740 \pm 47	193	776 \pm 27
221	Tree leaves	-21.1	1080	35	963 \pm 35	228	1009 \pm 33
260	Tree leaves	-11.6	1255	30	1169 \pm 42	240	1088 \pm 23
302	Tree leaves	-18.7	1440	45	1378 \pm 37	257	1192 \pm 14
383	Tree leaves	-21.0	1775	30	1793 \pm 30	366	1768 \pm 58
436	Tree leaves	-24.3	2060	30	2089 \pm 42	443	2217 \pm 82
489	Tree leaves	-23.1	2355	30	2398 \pm 52	509	2633 \pm 37
510	Tree leaves	-17.6	2520	35	2537 \pm 49	526	2719 \pm 26
518	Tree leaves	-12.7	2585	40	2580 \pm 52	532	2754 \pm 25
554	Tree leaves	-26.3	2415	35	2789 \pm 51	545	2830 \pm 25
570	Tree leaves	-25.4	2830	30	2898 \pm 45	554	2891 \pm 30
600	Tree leaves	-16.6	2895	45	3097 \pm 51	593	3130 \pm 43
660	Tree leaves	-19.7	3300	30	3520 \pm 43	658	3538 \pm 38
701	Tree leaves	-30.3	3400	30	3793 \pm 36	700	3810 \pm 19
732	Tree leaves	-24.4	3720	35	4002 \pm 33	730	4012 \pm 28
751	Tree leaves	-20.2	3935	35	4149 \pm 45	754	4174 \pm 21
815	Tree leaves	-14.7	4100	40	4547 \pm 33	816	4540 \pm 29
848	Tree leaves	-19.5	4230	35	4726 \pm 33	838	4653 \pm 28
893	Tree leaves	-18.3	4400	40	4937 \pm 41	882	4899 \pm 33
966	Tree leaves	-16.3	4495	40	5277 \pm 33	957	5290 \pm 25
1014	Tree leaves	-25.6	4820	40	5513 \pm 32	992	5497 \pm 26
1049	Tree leaves	-27.1	4970	35	5689 \pm 34	1023	5673 \pm 33
1086	Tree leaves	-25.4	5030	35	5870 \pm 33		
1114	Tree leaves	-24.4	5440	70	6038 \pm 41		

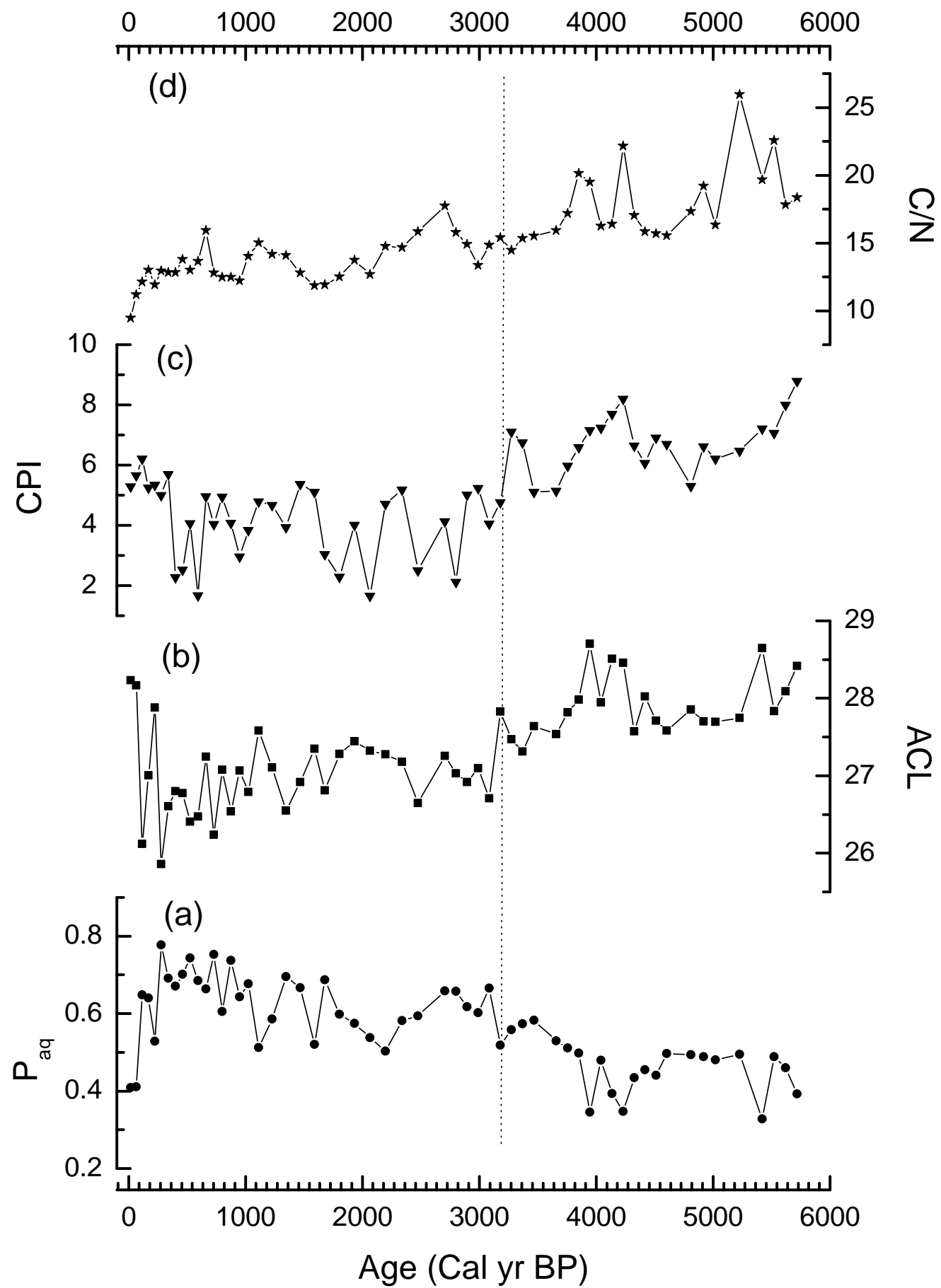
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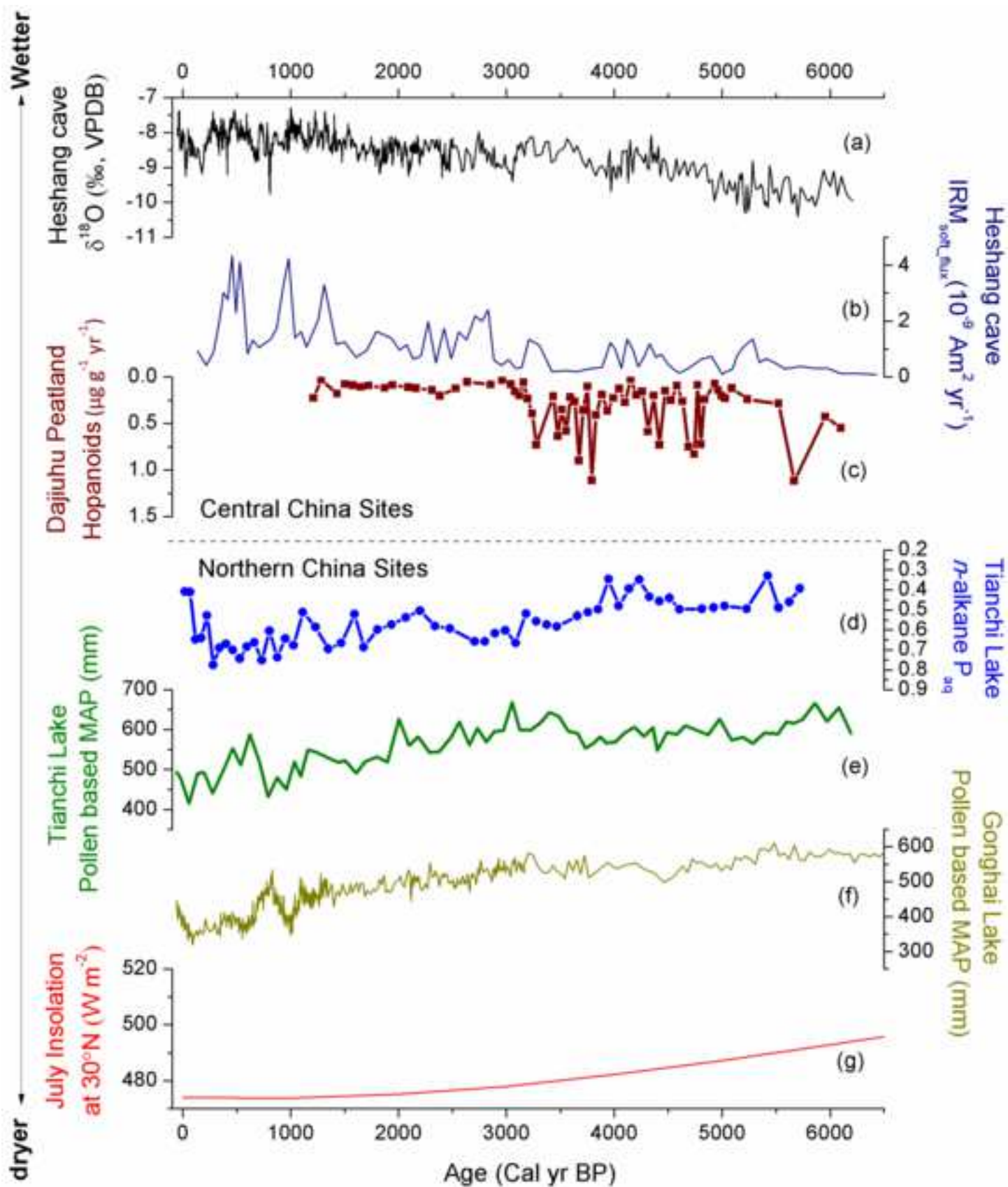


Table 1

Core GSA07-1						Core GSB07-1	
Depth (cm)	Material dated	$\delta^{13}\text{C}$ (‰ VPDB)	^{14}C date (yr BP)	Error (\pm yr)	Calibrated age (Cal yr BP- 2σ range)	Calibrated depth (cm)	Calibrated age (Cal yr BP)
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1086	Tree leaves	-25.4	5030	35	5870 \pm 33	1060	5871 \pm 35
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