# UNIVERSITY<sup>OF</sup> BIRMINGHAM University of Birmingham Research at Birmingham

# Influence of clinical, psychological and psychophysical variables on long-term treatment outcomes in carpal tunnel syndrome

Fernández-de-Las-Peñas, César; de-la-Llave-Rincón, Ana I; Cescon, Corrado; Barbero, Marco; Arias-Buría, José L; Falla, Deborah

DOI: 10.1111/papr.12788

License: Other (please specify with Rights Statement)

Document Version Peer reviewed version

Citation for published version (Harvard):

Fernández-de-Las-Peñas, C, de-la-Llave-Rincón, AI, Cescon, C, Barbero, M, Arias-Buría, JL & Falla, D 2019, 'Influence of clinical, psychological and psychophysical variables on long-term treatment outcomes in carpal tunnel syndrome: evidence from a randomized clinical trial', *Pain Practice*, vol. 19, no. 6, pp. 644-655. https://doi.org/10.1111/papr.12788

Link to publication on Research at Birmingham portal

Publisher Rights Statement: Checked for eligibility: 07/05/2019

This is the accepted manuscript for a forthcoming publication in Pain Practice.

#### **General rights**

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

•Users may freely distribute the URL that is used to identify this publication.

•Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

•User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?) •Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

#### Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

DR. CESAR FERNANDEZ DE LAS PEÑAS (Orcid ID : 0000-0003-3772-9690) PROF. MARCO BARBERO (Orcid ID : 0000-0001-8579-0686) DR. DEBORA FALLA (Orcid ID : 0000-0003-1689-6190) Article type : Original Manuscript

# **Title Page**

# Influence of Clinical, Psychological and Psychophysical Variables on Longterm Treatment Outcomes in Carpal Tunnel Syndrome: Evidence from a Randomized Clinical Trial

# Running Head: Prognostic factors in carpal tunnel syndrome

César Fernández-de-las-Peñas<sup>1,2</sup> PT, PhD, DMSc; Ana I. de-la-Llave-Rincón<sup>1,2</sup> PT, PhD; Corrado Cescon<sup>3</sup> PhD; Marco Barbero<sup>3</sup> PT, PhD; José L. Arias-Buría<sup>1,2</sup> PT, MSc, PhD; Deborah Falla<sup>4</sup> PT, PhD

- (1) Department of Physical Therapy, Occupational Therapy, Rehabilitation and Physical Medicine, Universidad Rey Juan Carlos, Alcorcón, Spain
- (2) Cátedra de Investigación y Docencia en Fisioterapia: Terapia Manual y Punción Seca, Universidad Rey Juan Carlos, Alcorcón, Madrid, Spain.
- (3) Rehabilitation Research Laboratory 2rLab, Department of Business Economics, Health and Social Care, University of Applied Sciences and Arts of Southern Switzerland, Manno, Switzerland

This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the Version of Record. Please cite this article as doi: 10.1111/papr.12788

(4) Centre of Precision Rehabilitation for Spinal Pain (CPR Spine), School of Sport, Exercise and Rehabilitation Sciences, College of Life and Environmental Sciences, University of Birmingham, Birmingham, UK

Address for reprint requests / corresponding author.César Fernández de las PeñasTelephone number: + 34 91 488 88 84Facultad de Ciencias de la SaludUniversidad Rey Juan CarlosUniversidad Rey Juan CarlosFax number: + 34 91 488 89 57Avenida de Atenas s/n28922 Alcorcón, Madrid, SPAINE-mail address: cesar.fernandez@urjc.es

#### Abstract

**Objective:** To assess the influence of clinical, psychological and psychophysical variables on longterm clinical outcomes after the application of either physical therapy or surgery in women presenting with carpal tunnel syndrome (CTS).

**Methods:** A secondary analysis of a randomized trial investigating the efficacy of manual therapy including desensitization maneuvers of the central nervous system against surgery in 120 women with CTS was performed. Clinical outcomes including pain intensity, function or symptoms severity were assessed at 6- and 12-months post-intervention. Participants completed at baseline several clinical (pain intensity, function, and symptoms severity), psychological (depression), and psychophysical (pressure pain thresholds and pain extent) variables which were included as predictors. Multiple regression analyses were conducted to assess the relationship between baseline variables and clinical outcomes at 6- and 12-months post-intervention.

**Results:** The regression models indicated that higher scores of each clinical outcome, i.e., intensity of pain or symptom severity, at baseline predicted better outcomes 6- and 12-months post-intervention (from 15% to 65% of variance) in both groups. Lower pressure pain thresholds over the carpal tunnel at baseline predicted poorer clinical outcomes 6- and 12-months post -intervention (from 5% to 20% of variance) in the physical therapy group, whereas higher depressive symptoms at baseline contributed to poorer outcomes at 6- and 12-months post-intervention (from 5% to 15% of the variance) within the surgery group. **Conclusion:** This study found that baseline localized pressure pain sensitivity and depression were predictive of long-term clinical outcomes in women with CTS following physical therapy or surgery, respectively.

Key Words: Carpal tunnel syndrome; Outcome; Depression; Pressure Pain; Physical Therapy, Surgery

# Influence of Clinical, Psychological and Psychophysical Variables on Longterm Treatment Outcomes in Carpal Tunnel Syndrome: Evidence from a Randomized Clinical Trial

# Introduction

Carpal tunnel syndrome (CTS) is a painful condition usually attributed to compression of the median nerve with an incidence rate of 1.8/1000<sup>1</sup> and a prevalence rate ranging from 6.3% to 11.7%.<sup>2</sup> Since CTS usually affects middle-age active workers,<sup>3</sup> it is associated with substantial health care costs and, therefore, presents a large economic burden. For instance, the overall cost associated with CTS in the United States of America (USA) exceeds \$2 billion annually.<sup>4</sup>

The management of CTS includes conservative or surgical approaches; however, no consensus exists on which is the best therapeutic strategy.<sup>5</sup> Although clinical differences between surgery and conservative treatment are smaller than expected,<sup>6,7</sup> surgery continues to be common

for these patients.<sup>8</sup> In fact, carpal tunnel surgery forms the highest utilization rate of surgical procedures performed in USA for the upper extremity.<sup>9</sup> Nevertheless, most patients with CTS attempt to avoid surgery in favor of conservative management.<sup>10</sup>

Most trials comparing conservative interventions to surgery have included localized therapeutic strategies targeting the carpal tunnel as the conservative approach, i.e., splints or steroid injections. Yet, recent studies support the presence of sensitization mechanisms in CTS indicating that this condition should not only be considered as a local entrapment neuropathy and, therefore, therapeutic strategies should consider nociceptive changes in the central nervous system.<sup>11</sup> A recent clinical trial investigating the effects of physical therapy including desensitization maneuver of the central nervous system against surgery in women with CTS found that physical therapy exhibited better short-term and similar long-term effects on pain and function than surgery, providing promising results for the management of CTS.<sup>12</sup> However, depending on the patient's presentation and pain mechanisms driving the painful condition, different results could be expected.

Previous studies have identified predictive factors that indicate likely responses to treatment; but these have mainly focused on surgical, and not conservative, interventions.<sup>13</sup> For example, a recent study reported that localized pressure pain sensitivity, centrally mediated symptoms (assessed by the central sensitivity inventory) and female gender were associated with poorer functional outcomes 3, but not 12 months, after surgery in CTS.<sup>14</sup>Most trials investigating prognostic factors of outcomes following conservative treatments have focused on local treatments such as corticosteroid injections.<sup>13</sup> A recent review found preliminary evidence suggesting that central sensitization in musculoskeletal pain conditions is associated with poorer outcomes in response to either surgical or conservative treatment.<sup>15</sup> Although there is evidence supporting the presence of central sensitization in CTS, studies addressing the relationship between sensitization and treatment outcomes are lacking. Indeed, no previous trial has investigated if pain sensitivity can influence treatment outcomes in response to conservative treatment in this population.

There is also increasing evidence supporting a role of psychological factors in CTS.<sup>16,17</sup> In fact, psychological factors, particularly depression, are strongly correlated with symptoms than electrophysiological findings in CTS patients.<sup>18,19</sup> Nevertheless, the role of depression on treatment outcomes is controversial. Most studies found that preoperative depressive levels were not a predictive factor of functional outcomes after surgery;<sup>20-22</sup> although one study observed that preoperative depressive levels were predictors influencing satisfaction after CTS surgery.<sup>23</sup> No study has investigated the role of depression as a prognostic factor after conservative treatment.

The objective of this study was to determine the influence of clinical, psychological and psychophysical variables on treatment outcomes after the application of physical therapy or surgery in women with CTS. We hypothesized that physical therapy including desensitization maneuvers of the central nervous system will produce better outcomes in those women with CTS showing greater sensitization.

# Methods

## Design

A secondary analysis was conducted alongside a randomized clinical trial,<sup>12</sup> performed in a General Hospital in Madrid (Spain), to determine the predictive influence of clinical, psychological and psychophysical variables on long-term treatment outcomes after the application of physical therapy including desensitization maneuvers of the central nervous system or surgery in women with CTS. Full details of the trial, participants, interventions, and results of the clinical outcomes are reported elsewhere.<sup>12</sup> The design was approved by the Hospital Universitario Fundación Alcorcón (HUFA) Institutional Review Board (PI01223-HUFA12/14) and the clinical trial was prospectively registered (ClinicalTrials.gov: NCT01789645).

Consecutive women diagnosed with CTS according to clinical (i.e., pain and paresthesia in the median nerve distribution, increasing symptoms during the night, positive Tinel sign, and positive Phalen sign) and electrophysiological findings (according to the guidelines of the American Association of Electrodiagnosis, the American Academy of Neurology, and American Physical Medicine and Rehabilitation Academy)<sup>24</sup> from a local regional Hospital (Madrid-Spain) were screened for eligibility criteria. Symptoms had to have persisted for at least 12 months. Patients were excluded if they exhibited: 1, sensory/motor deficits in the ulnar or radial nerves; 2, aged greater than 65 years; 3, previous hand surgery; 4, previous corticosteroid injections; 5, multiple diagnoses of the upper extremity; 6, cervical, shoulder, and/or upper extremity trauma; 7, systemic underlying medical diseases causing CTS, e.g., diabetes mellitus, thyroid disease; 8, co-morbid musculoskeletal medical conditions, e.g., rheumatoid arthritis, fibromyalgia; 9, presence of depressive symptoms (Beck Depression Inventory, BDI-II>8 points); or 10, pregnancy. All subjects signed an informed consent prior to their inclusion in the study.

#### **Randomization and interventions**

Participants were randomly assigned to receive either physical therapy or surgery. Details on the randomization procedure have been previously published.<sup>12</sup> Participants allocated to the physical therapy group received 3 treatment sessions of physical therapy of 30-min duration, which included desensitization maneuvers of the central nervous system, once per week. Briefly, the desensitization maneuvers consisted of soft tissue mobilization including manual techniques targeting those anatomical sites of potential entrapment of the median nerve such as the scalene, pectoralis minor, biceps brachii, bicipital aponeurosis, pronator teres, wrist flexors, transverse carpal ligament, palmar aponeurosis, or lumbricals muscles.<sup>25</sup> Further, lateral glides applied to the cervical spine, and tendon and nerve gliding exercises targeting the median nerve<sup>26</sup> were also applied.

Finally, all participants received an educational session on performing the tendon/nerve gliding exercises as home exercises. A complete description of the intervention can be found elsewhere.<sup>12</sup>

Patients randomly allocated to the surgery group underwent open or endoscopic release of the carpal tunnel. Since no evidence supports one particular surgical procedure, surgery was pragmatically applied based on the surgeon and patient preferences.<sup>27</sup> Patients allocated to this group also received the same educational session for performing the tendon and nerve gliding exercises as the physical therapy group.<sup>12</sup>

#### **Clinical Outcomes**

Outcomes on the original clinical trial were assessed at baseline, and 1, 3, 6, and 12 months after the intervention. <sup>12</sup> The primary outcome was the intensity of hand pain. An 11-point Numerical Pain Rating Scale (NPRS, 0: no pain; 10: maximum pain) was used to determine the patient's mean intensity of hand pain and the worst level of pain experienced in the preceding week.<sup>28</sup> Secondary outcomes included two subscales (functional status and severity) of the Boston Carpal Tunnel Questionnaire (BCTQ).<sup>29</sup> This questionnaire is valid, reliable, and responsive for individuals with CTS.<sup>30</sup>

In the current predictive analysis, changes on each clinical outcome, either primary or secondary, measured as the difference between scores at 6 and 12 months after intervention and scores at baseline, were analysed.

#### **Predictor variables**

Several clinical, psychological, and psychophysical outcomes were included as predictor variables. Clinical variables included mean pain intensity, worst pain intensity, functional status and symptoms severity at baseline.<sup>28-30</sup> Depressive symptoms, as assessed by the Beck Depression Inventory (BDI-II), This article is protected by copyright. All rights reserved. were included as a measure of psychological health.<sup>31</sup> The BDI-II is easily adapted in most pain conditions for detecting depressive symptoms.<sup>32</sup>

Psychophysical outcomes included the analysis of pain extent and pressure pain sensitivity. Participants were asked to draw their perceived pain area on four different paper body charts: palmar/dorsal view of the hand and frontal/dorsal view of the upper extremity. Pain drawings were digitized and imported into custom made image analysis software as previously described.<sup>33</sup> The reliability of this process has been established.<sup>34</sup> The automatic computation of pain extent was performed with custom software developed with Matlab<sup>®</sup> as described previously.<sup>33</sup> The software generates the number of shaded pixels from the pain drawing and exports this data as total pain extent. The total number of pixels (frontal and dorsal) was reported as the pain extent for each patient and expressed as a percentage of total body chart area. Additionally, widespread pressure pain sensitivity was assessed by determining pressure pain thresholds (PPTs) bilaterally over C5-C6 joint, carpal tunnel, and tibialis anterior following previous guidelines<sup>35,36</sup> with an electronic algometer (Somedic AB©, Farsta, Sweden). The pressure was increased approximately at a rate of 30 kPa/sec. The mean of three trials was calculated for each site. Since no side-to-side differences were found in PPT, data of both sides were pooled for each location for the predictive analysis. A 30second resting period was allowed between each measure. The reliability of the pressure algometry is high.37

# Sample Size Calculation

Sample size calculation for the clinical trial was based on changes in the intensity of pain at 12-months follow-up as previously described. <sup>12</sup> A range from 10 to 15 subjects per potential predictor, with no more than 5 predictor variables, are usually recommended to develop an adequate sample size for prediction models and avoiding overestimation of the results.<sup>38</sup> Therefore, a sample size of at least 50 subjects per group was required given the maximum cut-off of five predictors included in the final model.

Descriptive statistics were used to describe participant characteristics in both groups and can be found in the original report of the trial.<sup>12</sup> Multiple linear regression analysis was used, aimed at determining if any predictor variable was associated with the clinical outcomes (changes in pain, function, symptoms severity). The following baseline variables were considered for inclusion within the model: baseline mean pain, worst pain, function, symptoms severity, depressive symptoms, pain extent, PPT neck, PPT carpal tunnel, PPT tibialis anterior. Further, age, severity of CTS (assessed by electrodiagnostic findings), and years with pain were also included as predictor variables.

First, correlations between the predictor variables and the clinical outcomes (changes on pain, function and severity) were investigated using Pearson correlation coefficients to ensure a linear relationship was present between each predictor and the clinical outcomes. Correlations among the predictors were also used to check for multicollinearity and shared variance between the variables. All analyses were conducted in both groups separately at both 6- and 12-month follow-up periods.

Subsequently, all candidate predictors were included in a multiple linear regression model to estimate whether baseline variables predicted outcomes at 6 and 12 months after the intervention. To examine the proportions of explained variance of each clinical outcome a hierarchical regression analysis was conducted by group. The significance criterion of the critical F value for entry into the regression equation was set at P<0.05. Changes in  $R^2$  were reported after each step of the regression model to assess the association of the additional variables. Lastly, those variables that significantly contributed any clinical outcome at each follow-up period were selected for inclusion into parsimonious final regression model.

From a total of 120 patients that were initially included and randomly allocated into physical therapy (n=60) or surgery (n=60) group; 111 (92.5%) were included in the final analysis<sup>12</sup> and the current predictive analysis. Two patients from the physical therapy group dropped out at the 6-month follow up and another three dropped out at 12 months. Similarly, four patients allocated to the surgical group dropped out at the 12-month follow-up. The flow diagram of patient recruitment and retention is illustrated within **Figure 1**.<sup>12</sup> Baseline variables were not significantly different between groups as previously described<sup>12</sup> (**Suppl. Table 1**).

Both groups experienced similar improvements in all clinical outcomes at 6 and 12 months after treatment as previously reported<sup>12</sup> and showed in **Supplementary Table 2**.

## Prediction of outcomes following physical therapy

Significant correlations existed between the predictor variables, but none were considered to be multicollinear (defined as r>0.80); therefore, each significant predictor variable was included in the regression analyses.

Significant correlations between the clinical outcomes and some predictor variables were found at 6 and 12 months within the physical therapy group (**Supplementary tables 3-4**). In particular, PPT over the carpal tunnel was significantly negatively correlated with all clinical outcomes at both 6 and 12 months after the treatment (all, P<.001).

**Tables 1-8** summarize the hierarchical regression analysis in the physical therapy group for each clinical outcome at 6 and 12 months. The regression coefficients indicated that higher scores of each clinical outcome, i.e., mean pain intensity or symptom severity, at baseline predicted better outcomes at 6 and 12-months post-intervention, i.e., higher change scores on mean pain intensity or symptoms severity (explaining from 17% to 65% of the variance in the respective outcome). The

regression model also revealed that lower PPTs over the carpal tunnel at baseline predicted poorer outcomes at 6 and 12 months following the intervention, i.e., smaller change scores, in all the clinical outcomes investigated in this trial (contributing from 5% to 20% of the variance in the respective outcome). Pain extent at baseline was not predictive of outcome.

#### Prediction of outcomes following surgery

Significant correlations between clinical outcomes and some predictor variables in the surgery group were also observed (Supplementary Tables 3-4). In particular, depressive symptoms were significantly and negatively correlated with all clinical outcomes at 6 and 12 months (all, P<.001).

**Tables 1-8** summarize the hierarchical regression analysis in the surgery group for each clinical outcome at 6 and 12 months. In general, the regression coefficients obtained indicate that higher scores of each clinical outcome, i.e., mean pain intensity or symptoms severity, at baseline predicted better outcome at 6- and 12-months following surgery, i.e., higher change scores on mean pain intensity or symptoms severity (explaining from 15% to 55% of the variance in the respective outcome). The regression model also revealed that another predictor in the surgery group was depression, where higher depressive symptoms at baseline contributed to poorer outcomes at both 6- and 12-months following treatment, i.e., smaller change scores (contributing from 5% to 15% of the variance in the respective outcome). The size of the patient's pain extent at baseline was not predictive of outcome.

## Discussion

This study found different predictor variables of long-term outcomes in women with CTS depending on whether they received physical therapy or surgery. The multiple regression analysis revealed different models for each group explaining between 30% to and 70% of the variance in the clinical outcomes (changes in pain intensity, function or symptom severity) at 6- and 12-months This article is protected by copyright. All rights reserved.

post-intervention. In particular, pressure pain hypersensitivity over the carpal tunnel and higher depressive symptoms at baseline were associated with poorer clinical outcomes at 6 and 12 months following physical therapy or surgery, respectively.

All regression models revealed that higher baseline scores on a particular variable, i.e., pain intensity or symptoms severity, predicted better outcomes 6- and 12-months post-intervention (from 15% to 65% of the variance) in both groups. These results seem to be expected since it would be easier to elicit higher changes in a clinical outcome with higher baseline scores. Similarly, it is also possible that individuals who had lower pain and less disability had less room to demonstrate improvement. Our results agree with the results reported by Burke et al supporting that greater preoperative symptoms resulted in higher post-operative improvements.<sup>39</sup> Similar results have been also observed in other pain conditions. For instance, some studies have reported that higher disability scores at baseline were associated with greater reduction in the same clinical outcome in subjects with whiplash-associated neck pain after receiving an exercise intervention.<sup>40,41</sup> However, it is important to consider that cohort studies have shown that higher levels of pain intensity at baseline are a consistent factor for predicting poor outcomes in the same population.<sup>42,43</sup> It is possible that the prognostic role of higher levels of pain and related-disability would be different if patients receive treatment versus just following the natural history of the condition.

We also observed that baseline pressure pain hypersensitivity over the carpal tunnel was consistently associated with poorer clinical outcomes at 6 and 12 months (explaining between 5% to 20% of the variance) when women with CTS received physical therapy, but not surgery. Higher localized pressure hyperalgesia at the carpal tunnel suggests that peripheral sensitization, but not central sensitization, was associated with worse response to physical therapy, probably related to the presence of peripheral neurogenic inflammation of the median nerve and higher nerve damage. This would be a relevant finding for clinicians, since early identification of peripheral sensitization (decreased PPTs) over the carpal tunnel (and potentially more median nerve damage) could lead to

prompt derivation to surgery or other therapeutic approaches, e.g., corticoid steroid injections, instead manual therapy. There is no previous study investigating the prognostic role of sensitivity to pressure pain in patients with CTS receiving physical therapy. Our results are similar to those previously reported by Roh et al. who also showed that localized pressure pain sensitivity was not a predictor of 12-months outcomes after CTS surgery.<sup>14</sup> However, current results are also contrary to those previously observed in subjects with whiplash-associated disorders where patients exhibiting augmented central pain processing obtained worse clinical outcomes than those subjects exhibiting localized mechanical hyperalgesia after receiving an exercise treatment program.<sup>44</sup> In addition, the lack of a prognostic role of central sensitization is also contrary to what is seen in musculoskeletal pain conditions.<sup>15</sup> It is possible that the role of central sensitization in neuropathic conditions would be different than in musculoskeletal pain conditions. It is also plausible that the physical therapy treatment applied in this trial, based on nociceptive pain mechanisms and targeting the central nervous system, would lead to best management of the sensitization process.

Another important result of the current study was that higher depressive symptoms were associated with poorer clinical outcomes at 6 and 12 months (explaining between 5% to 15% of the variance) when women with CTS received surgery, but not physical therapy. Although Rosenberger et al suggested that success of surgical treatment can be complicated by the presence of depressive symptoms;<sup>45</sup> most studies did not find pre-operative levels of depression as a predictive factor of outcomes after surgery in CTS.<sup>20-22</sup> Discrepancies between studies could be related to differences in depression levels between samples. We should consider that depressive levels in our sample were small since we excluded patients with depression (BDI-II>8 points); however, they were similar to previous scores provided in a population-based study.<sup>19</sup> Nevertheless, we should remark that in our study, individuals with depression were excluded; therefore, current results should be considered with caution at this stage. We do not currently know if similar results would be observed in women with CTS with depression.

The fact that higher depressive symptoms were associated with poorer outcomes in the surgery, but not physical therapy, group may be intrinsically related to a higher patient-therapist interaction during the physical therapy intervention or personal expectations. For instance, the personal interaction between the patient and the physical therapist during the treatment sessions could provoke some particular expectative in the patient. This could be related to the intrinsic placebo effect of manual therapies.<sup>46</sup> This patient-therapist personal interaction is not present during the surgical process. Perhaps those patients who had higher expectations for benefit from the surgical intervention expected more than they received and were disappointed. Similarly, surgery usually need one month for proper tissue healing recovery after the procedure; therefore, patients receiving this procedure could also expect a quicker recovery. Therefore, clinicians should evaluate the presence of depressive levels in patients with CTS who will receive surgery for avoiding unexpected outcomes after the procedure and, for instance, for including cognitive or psychological strategies coadjutant to the surgical procedure. Future clinical trials should analyze the prognostic role of patient expectations after physical therapy or surgery in CTS.

Our results should be considered according to the strengths and limitations of the trial as previously described.<sup>12</sup> Potential strengths include its prospective design, the inclusion of patients with clinical and electrophysiological findings, a systematic application of baseline and clinical outcomes, the follow-up period, and the high retention rate. Further, at least 5 individuals were used for each predictor variable when developing the current prediction model, which minimizes the risk of overestimating the results.<sup>47</sup> Among the limitations, multi-center trials including patients from the general population and the inclusion of men would help to better extrapolate the results. Second, patients in the physical therapy group received just three sessions based on the authors' clinical experience; therefore, we do not know if a greater number of sessions would affect the results. In fact, patients and clinicians were not blinded to the treatment group due to the nature of the interventions.<sup>12</sup> Third, we should also recognize that patients in both groups received education about use of tendon and nerve gliding exercises. Therefore, future trials could include a comparison This article is protected by copyright. All rights reserved.

treatment-as-usual group as a control. Fourth, we assessed the clinical outcomes at 6 and 12 months, so we do not know if the identified prognostic variables would change with longer periods of time. Fifth, other potential psychological variables such as anxiety level or sleep quality, or patient's expectative were not included in this study. Finally, these results should not be extrapolated to men with CTS since only women with CTS were included.<sup>12</sup>

# Conclusions

This study found different predictors of long-term outcomes in women with CTS depending on whether they received physical therapy or surgery. Localized pressure pain sensitivity over the carpal tunnel at baseline was associated with poorer outcomes at 6 and 12 months following physical therapy, whereas higher depressive symptoms at baseline were associated with poorer clinical outcomes 6- and 12-months following surgery. These results should be considered when conservative or surgical approaches are applied to patients with CTS.

## Author contributions

All authors contributed to the study concept and design. CFdIP, AldILR and CC contributed to analysis and interpretation of data. CFdIP, MB and DF contributed to drafting the paper. MB and DF provided administrative, technical and material support. JLAB and DB supervised the study. All authors revised the text for intellectual content and have read and approved the final version of the manuscript.

# **Conflict of Interest Statement**

The Author(s) declare(s) that there is no conflict of interest.

# References

- Bongers FJ, Schellevis FG, van den Bosch WJ, van der Zee J. Carpal tunnel syndrome in general practice (1987 and 2001), incidence and the role of occupational and nonoccupational factors. Br J Geneal Prac. 2007; 57: 36-39.
- Thiese MS, Gerr F, Hegmann KT et al. Effects of varying case definition on carpal tunnel syndrome prevalence estimates in a pooled cohort. Arch Phys Med Rehabil. 2014; 95: 2320-6.
- Newington L, Harris EC, Walker-Bone K. Carpal tunnel syndrome and work. Best Pract Res Clin Rheumatol. 2015; 29: 440-53.
- 4. Stapelton MJ. Occupation and carpal tunnel syndrome. ANZ J Surg. 2006; 76: 494-6.
- 5. Huisstede BM, Fridén J, Coert JH, Hoogvliet P; European HANDGUIDE Group. Carpal tunnel syndrome: hand surgeons, hand therapists, and physical medicine and rehabilitation physicians agree on a multidisciplinary treatment guideline: results from the European HANDGUIDE Study. Arch Phys Med Rehabil. 2014; 95: 2253-63.
- Shi Q, Bobos P, Lalone EA, Warren L, MacDermid JC. Comparison of the short-term and long-term effects of surgery and nonsurgical intervention in treating carpal tunnel syndrome: A systematic review and meta-analysis. Hand. 2018 Jul 1:1558944718787892.
- 7. Verdugo RJ, Salinas RA, Castillo JL, Cea JG. Surgical versus non-surgical treatment for carpal tunnel syndrome. Cochrane Database Syst Rev. 2008; 4: CD001552.

- American Academy of Orthopaedic Surgeons. Management of Carpal Tunnel Syndrome Evidence-Based Clinical Practice Guideline. www.aaos.org/ctsguideline. Published 29 February. Accessed December 20, 2018.
- Jain NB, Higgins LD, Losina E, Collins J, Blazar PE, Katz JN. Epidemiology of musculoskeletal upper extremity ambulatory surgery in the United States BMC Musculoskelet Disord. 2014; 15: 4.
- 10. Jarvik JG, Comstock BA, Kliot M et al. Surgery versus non-surgical therapy for carpal tunnel syndrome: a randomised parallel-group trial. Lancet. 2009; 374: 1074-1081.
- 11. Fernández-de-las-Peñas C, Plaza-Manzano G. Carpal tunnel syndrome: just a peripheral neuropathy? Pain Manag. 2018 Jun 5. doi: 10.2217/pmt-2017-0063.
- 12. Fernández-de-las Peñas C, Ortega-Santiago R, de la Llave-Rincón AI et al. Manual physical therapy versus surgery for carpal tunnel syndrome: A randomized parallel-group trial. J Pain. 2015; 16: 1087-9.
- 13. Peters S, Johnston V, Hines S, Ross M, Coppieters M. Prognostic factors for return-to-work following surgery for carpal tunnel syndrome: a systematic review. JBI Database System Rev Implement Rep. 2016; 14: 135-216.
- 14. Roh YH, Kim S, Gong HS, Baek GH. Influence of centrally mediated symptoms on functional outcomes after carpal tunnel release. Sci Rep. 2018; 8: 11134.
- O'Leary H, Smart KM, Moloney NA, Doody CM. Nervous system sensitization as a predictor of outcome in the treatment of peripheral musculoskeletal condition: A systematic review. Pain Pract. 2017; 17: 249-266.

- 16. Fernández-Muñoz JJ, Palacios-Ceña M, Cigarán-Méndez M et al. Pain is associated to clinical, psychological, physical, and neurophysiological variables in women with carpal tunnel syndrome. Clin J Pain. 2016; 32: 122-9.
- 17. Shin YH, Yoon JO, Kim YK, Kim JK. Psychological status is associated with symptom severity in patients with carpal tunnel syndrome. J Hand Surg Am. 2018; 43: 484-8.
- Khan F, Shehna A, Ramesh S, Sandhya KS, Paul R. Subjective symptoms of carpal tunnel syndrome correlate more with psychological factors than electrophysiological severity. Ann Indian Acad Neurol. 2017; 20: 69-72.
- 19. Jerosch-Herold C, Houghton J, Blake J, Shaikh A, Wilson EC, Shepstone L. Association of psychological distress, quality of life and costs with carpal tunnel syndrome severity: a cross-sectional analysis of the PALMS cohort. BMJ Open. 2017; 7: e017732.
- 20. Datema M, Tannemaat MR, Hoitsma E et al. Outcome of carpal tunnel release and the relation with depression. J Hand Surg Am. 2018; 43: 16-23.
- Hobby J, Venkatesh R, Motkur P. The effect of psychological disturbance on symptoms, selfreported disability and surgical outcome in carpal tunnel syndrome. J Bone Joint Surg Br. 2005; 87: 196-200.
- 22. Becker SJ, Makanji HS, Ring D. Expected and actual improvement of symptoms with carpal tunnel release. J Hand Surg Am. 2012;37: 1324-1329.
- 23. Bae JY, Kim JK, Yoon JO, Kim JH, Ho BC. Preoperative predictors of patient satisfaction after carpal tunnel release. Orthop Traumatol Surg Res. 2018; 104: 907-909.
- 24. American Association of Electro-diagnostic Medicine, American Academy of Neurology, American Academy of Physical Medicine and Rehabilitation. Practice parameter: electrodiagnostic studies in carpal tunnel syndrome. Neurology. 2002; 58: 1589-92.
- 25. Moraska A, Chandler C, Edmiston-Schaetzel A, Franklin G, Calenda EL, Enebo B. Comparison of a targeted and general massage protocol on strength, function, and symptoms

associated with carpal tunnel syndrome: a randomized pilot study. J Altern Complement Med. 2008; 14: 259-67.

- 26. Coppieters MW, Alshami AM. Longitudinal excursion and strain in the median nerve during novel nerve gliding exercises for carpal tunnel syndrome. J Orthop Res. 2007; 25: 972-80.
- Zuo D, Zhou Z, Wang H et al. Endoscopic versus open carpal tunnel release for idiopathic carpal tunnel syndrome: a meta-analysis of randomized controlled trials. J Orthop Surg Res. 2015; 10: 12.
- 28. Jensen MP, Turner JA, Romano JM, Fisher L. Comparative reliability and validity of chronic pain intensity measures. Pain. 1999; 83: 157-62.
- 29. Rosales RS, Benseny E, Díez de la Lastra-Bosch I. Evaluation of the Spanish version of the DASH and carpal tunnel syndrome health-related quality of life instruments: cross cultural adaptation process and reliability. J Hand Surg. 2002; 27A:334-43.
- Carvalho-Leite J, Jerosch-Herold C, Song F. A systematic review of the psychometric properties of the Boston Carpal Tunnel Questionnaire. BMC Musculoskeletal Disorders. 2006; 7: 78.
- 31. Beck AT, Steer RA, Brown GK. Beck Depression Inventory, 2nd ed. San Antonio, Texas: The Psychological Corporation; 1996.
- 32. Wang YP, Gorenstein C. Assessment of depression in medical patients: a systematic review of the utility of the Beck Depression Inventory-II. Clinics. 2013; 68: 1274-87.
- 33. Barbero M, Moresi F, Leoni D, Gatti R, Egloff M, Falla D. Test-retest reliability of pain extent and pain location using a novel method for pain drawing analysis. Eur J Pain. 2015; 19: 1129-38.

- 34. Dos Reis FJ, de Barros E Silva V, de Lucena RN, Mendes Cardoso BA, Nogueira LC. Measuring the pain area: An intra- and inter-rater reliability study using image analysis software Pain Pract. 2016; 16: 24-30.
- 35. Fernández-de-las-Peñas C, De-la-Llave-Rincón AI, Fernández-Carnero J, Cuadrado ML, Arendt-Nielsen L, Pareja JA. Bilateral widespread mechanical pain sensitivity in carpal tunnel syndrome: evidence of central processing in unilateral neuropathy. Brain. 2009; 132: 1472-9.
- 36. De-la-Llave-Rincón AI, Fernández-de-las-Peñas C, Laguarta-Val S et al. Increased pain sensitivity is not associated with electrodiagnostic findings in women with carpal tunnel syndrome. Clin J Pain. 2011; 27:747-754.
- 37. Jones DH, Kilgour RD, Comtois AS. Test-retest reliability of pressure pain threshold measurements of the upper limb and torso in young healthy women. J Pain. 2007; 8: 650-6.
- 38. Beneciuk JM, Bishop MD, George SZ. Clinical prediction rules for physical therapy interventions: a systematic review. Phys Ther. 2009; 89: 114-24.
- Burke FD, Wilgis EF, Dubin NH, Bradley MJ, Sinha S. Relationship between the duration and severity of symptoms and the outcome of carpal tunnel surgery. J Hand Surg Am. 2006; 31: 1478-1482.
- 40. Ludvigsson ML, Peterson G, Dedering Å, Falla D, Peolsson A. Factors associated with pain and disability reduction following exercise interventions in chronic whiplash. Eur J Pain. 2016; 20: 307-315.
- 41. Chiarotto A, Fortunato S, Falla D. Predictors of outcome following a short multimodal rehabilitation program for patients with whiplash associated disorders. Eur J Phys Rehabil Med. 2015; 51: 133-141.
- 42. Walton DM, Macdermid JC, Giorgianni AA, Mascarenhas JC, West SC, Zammit CA. Risk factors for persistent problems following acute whiplash injury: update of a systematic review and meta-analysis. J Orthop Sports Phys Ther. 2013; 43: 31-43.

- 43. Sarrami P, Armstrong E, Naylor JM, Harris IA. Factors predicting outcome in whiplash injury: a systematic meta-review of prognostic factors. J Orthop Traumatol. 2017; 18: 9-16.
- 44. Jull G, Sterling M, Kenardy J, Beller E. Does the presence of sensory hypersensitivity influence outcomes of physical rehabilitation for chronic whiplash? A preliminary RCT. Pain. 2007; 129: 28-34.
- 45. Rosenberger PH, Jokl P, Ickovics J. Psychosocial factors and surgical outcomes: an evidencebased literature review. J Am Acad Orthop Surg. 2006; 14: 397-405.
- 46. Bialosky JE, Beneciuk JM, Bishop MD, Coronado RA, Penza CW, Simon CB, George SZ. Unravelling the mechanisms of manual therapy: Modeling an approach. J Orthop Sports Phys Ther 2018; 48: 8-18.
- 47. Royston P, Moons KG, Altman D, Vergouwe Y. Prognosis and prognostic research: developing a prognostic model. BMJ. 2009; 338: b604.

# **Legend of Figure**

Figure 1: Flow diagram of patients throughout the course of the study.

**Table 1**: Summary of the Stepwise Regression Analyses to determine Predictors of Changes in Mean Pain Intensity at 6Months

	Predictor Outcome	В	SE B	95% CI	β	t	Р
	Step 1						
	Mean Pain Intensity	.798	.154	.489, 1.107	.591	5.18	<.001
	Step 2						
	Mean Pain Intensity	.853	.146	.560, 1.145	.632	5.68	<.001
Physical Therapy	Symptoms Severity	900	.322	-1.548,252	301	-2.80	.007
	Step 3						
	Mean Pain Intensity	.852	.141	.569, 1.135	.631	6.06	<.001
	Symptoms Severity	747	.320	-1.390,104	250	-2.33	.024
	PPT over carpal tunnel	.004	.002	.000, .008	.225	2.11	.040
	Step 1						
5	Mean Pain Intensity	.791	.145	.502, 1.081	.577	5.47	<.001
Surgery	Step 2						
	Mean Pain Intensity	1.047	.166	.715, 1.378	.763	6.32	<.001
	Depression (BDI-II)	-1.523	.553	-2.630,416	332	-2.75	.008

Physical Therapy:  $R^2$  adj. = .350 for step 1,  $R^2$  adj. = .439 for step 2,  $R^2$  adj. = .487 for step 3;

Surgery:  $R^2$  adj. = .322 for step 1,  $R^2$  adj. = .389 for step 2

**Table 2**: Summary of the Stepwise Regression Analyses to determine Predictors of Changes in Worst Pain Intensity at 6Months

	Predictor Outcome	В	SE B	95% CI	В	t	Р
	Step 1						
	PPT over carpal tunnel	.011	.003	.005, .016	.457	3.64	<.001
	Step 2						
	PPT over carpal tunnel	.010	.003	.004, .016	.408	3.50	<.001
Physical Therapy	Worst Pain Intensity	.588	.184	.219, .957	.373	3.20	.002
	Step 3						
	PPT over carpal tunnel	.011	.003	.005, .017	.441	3.93	<.001
	Worst Pain Intensity	.591	.175	.239, .943	.375	3.37	.001
	Years with Pain	356	.148	654,058	267	-2.40	.020
	Step 1						
	Worst Pain Intensity	.683	.211	.261, 1.104	.386	3.24	.002
Surgery	Step 2						
	Worst Pain Intensity	1.128	.227	.674, 1.583	.638	4.97	<.001
	Symptoms Severity	-2.465	.672	-3.810, - 1.119	470	-3.66	.001
	Step 3						
	Worst Pain Intensity	1.620	.271	1.078, 2.161	.916	5.98	<.001
	Symptoms Severity	-1.652	.676	-3.006,299	315	-2.44	.018
	Depression (BDI-II)	421	.164	750,0092	301	-2.56	.013

Physical Therapy:  $R^2$  adj. = .193 for step 1,  $R^2$  adj. = .319 for step 2,  $R^2$  adj. = .380 for step 3;

Surgery:  $R^2$  adj. = .135 for step 1,  $R^2$  adj. = .283 for step 2,  $R^2$  adj. = .377 for step 3

**Table 3**: Summary of the Stepwise Regression Analyses to determine Predictors of Changes in Symptoms Severity at 6Months

	Predictor Outcome	В	SE B	95% CI	В	t	Р
	Step 1						
	Symptoms Severity	.770	.119	.530, 1.009	.674	6.46	<.001
Physical Therapy	Step 2						
1,7	Symptoms Severity	.773	.114	.543, 1.002	.677	6.75	<.001
	PPT over carpal tunnel	.002	.001	.000, .004	.230	2.30	.025
	Step 1						
	Symptoms Severity	.656	.089	.478, .835	.688	7.35	<.001
Surgery	Step 2						
	Symptoms Severity	.735	.091	.552, .918	.770	8.03	<.001
	Depression (BDI-II)	103	.010	206,001	236	-2.45	.017

Physical Therapy:  $R^2$  adj. = .444 for step 1,  $R^2$  adj. = .488 for step 2;

Surgery:  $R^2$  adj. = .465 for step 1,  $R^2$  adj. = .507 for step 2

	Predictor Outcome	В	SE B	95% CI	В	t	Р
	Step 1						
	Function	.843	.141	.559, 1.127	.645	5.97	<.001
Physical Therapy	Step 2						
	Function	.862	.133	.595, 1.130	.660	6.48	<.001
	PPT over carpal tunnel	.002	.001	.001, .003	.278	2.73	.009
	Step 1						
	Function	.576	.092	.403, .771	.636	6.37	<.001
Surgery	Step 2						
	Function	.0681	.0.098	.485, .878	.738	6.93	<.001
	Depression (BDI-II)	103	.010	206,001	416	-3.64	.027

**Table 4**: Summary of the Stepwise Regression Analyses to determine Predictors of Changes in Function at 6 Months

Physical Therapy:  $R^2$  adj. = .405 for step 1,  $R^2$  adj. = .473 for step 2;

Surgery:  $R^2$  adj. = .394 for step 1,  $R^2$  adj. = .433 for step 2

Predictor Outcome	В	SE B	95% CI	В	t	Р			
Step 1									
Mean Pain Intensity	.739	.163	.411, 1.067	.539	4.52	<.001			
Step 2									
Mean Pain Intensity	.862	.133	.595, 1.130	.660	6.48	<.001			
PPT over carpal tunnel	.003	.002	.001, .005	.265	2.74	.020			
Step 1									
Mean Pain Intensity	.925	.106	.712, 1.138	.746	8.68	<.001			
Step 2									
Mean Pain Intensity	1.07	.104	.864, 1.279	.865	10.32	<.001			
Depression (BDI-II)	351	.093	536,166	318	-3.79	<.001			
	Step 1Mean Pain IntensityStep 2Mean Pain IntensityPPT over carpal tunnelStep 1Mean Pain IntensityStep 2	Step 1Mean Pain Intensity.739Step 2.739Mean Pain Intensity.862PPT over carpal tunnel.003Step 1.003Mean Pain Intensity.925Step 2.107	Step 1Mean Pain Intensity.739.163Step 2.133Mean Pain Intensity.862.133PPT over carpal tunnel.003.002Step 1.106.106Step 2.106.104	Step 1       .739       .163       .411, 1.067         Step 2       .163       .411, 1.067         Mean Pain Intensity       .862       .133       .595, 1.130         PPT over carpal tunnel       .003       .002       .001, .005         Step 1       .106       .712, 1.138         Mean Pain Intensity       .925       .106       .712, 1.138         Step 2       .107       .104       .864, 1.279	Step 1         Mean Pain Intensity       .739       .163       .411, 1.067       .539         Step 2         Mean Pain Intensity       .862       .133       .595, 1.130       .660         PPT over carpal tunnel       .003       .002       .001, .005       .265         Step 1       .106       .712, 1.138       .746         Step 2       .106       .712, 1.138       .746         Mean Pain Intensity       .925       .106       .864, 1.279       .865	Step 1         Mean Pain Intensity         .739         .163         .411, 1.067         .539         4.52           Step 2			

**Table 5**: Summary of the Stepwise Regression Analyses to determine Predictors of Changes in Mean Pain Intensity at 12Months

Physical Therapy:  $R^2$  adj. = .276 for step 1,  $R^2$  adj. = .312 for step 2;

Surgery:  $R^2$  adj. = .557 for step 1,  $R^2$  adj. = .644 for step 2

**Table 6**: Summary of the Stepwise Regression Analyses to determine Predictors of Changes in Worst Pain Intensity at 12Months

	Predictor Outcome	В	SE B	95% CI	В	t	Р
	Step 1						
	PPT over carpal tunnel	.010	.003	.004, .016	.426	3.32	.002
	Step 2						
	PPT over carpal tunnel	.008	.003	.003, .014	.362	2.88	.006
Physical Therapy	Worst Pain Intensity	.476	.203	.068, .884	.294	2.34	.023
	Step 3						
	PPT over carpal tunnel	.009	.003	.004, .0014	.406	3.29	.002
	Worst Pain Intensity	.470	.196	.076, .865	.291	2.39	.021
	Years with Pain	347	.165	678,016	253	-2.10	.040
	Step 1						
	Worst Pain Intensity	.859	.189	.481, 1.237	.506	4.54	<.001
Surgery	Step 2						
	Worst Pain Intensity	1.18	.194	.796, 1.572	.697	6.10	<.001
	Depression (BDI-II)	559	.153	867,252	416	-3.64	.001
	Step 3						
	Worst Pain Intensity	1.392	.206	.981, 1.804	.820	6.76	<.001
	Depression (BDI-II)	480	.152	775,165	350	-3.09	.003
	Symptoms Severity	-1.439	.600	-2.639,0239	286	-2.40	.020

Physical therapy:  $R^2$  adj. = .165 for step 1,  $R^2$  adj. = .234 for step 2,  $R^2$  adj. = .284 for step 3;

Surgery:  $R^2$  adj. = .244 for step 1,  $R^2$  adj. = .372 for step 2,  $R^2$  adj. = .448 for step 3

**Table 7**: Summary of the Stepwise Regression Analyses to determine Predictors of Changes in Symptoms Severity at 12Months

	Predictor Outcome	В	SE B	95% CI	В	t	Р
	Step 1						
	Symptoms Severity	.967	.097	.773, 1.162	.816	9.69	<.001
Physical Therapy	Step 2						
	Symptoms Severity	.970	.092	.786, 1.155	.818	10.58	<.001
	PPT over carpal tunnel	.001	.001	.000, .002	.205	2.65	.011
	Step 1						
	Symptoms Severity	.688	.092	.503, .872	.693	7.45	<.001
Surgery	Step 2						
	Symptoms Severity	.803	.097	.608, .997	.809	8.27	<.001
	Depression (BDI-II)	071	.026	123,020	269	-2.75	.008

Physical Therapy;  $R^2$  adj. = .659 for step 1;  $R^2$  adj. = .695 for step 2

Surgery:  $R^2$  adj. = .472 for step 1;  $R^2$  adj. = .524 for step 2.

	Predictor Outcome	В	SE B	95% CI	В	t	Р
	Step 1						
Physical Therapy	Function	.881	.139	.601, 1.160	.667	6.32	<.001
	Step 2						
	Function	.901	.130	.640, 1.162	.682	6.93	<.001
	PPT over carpal tunnel	.002	.001	.000, .004	.297	2.98	.005
	Step 1						
	Function	.555	.093	.369, .742	.611	5.97	<.001
Surgery	Step 2						
	Function	.691	.102	.486, .896	.759	6.73	.001
	Depression (BDI-II)	072	.027	127,017	297	-2.63	.011

**Table 8**: Summary of the Stepwise Regression Analyses to determine Predictors of Changes in Function at 12 Months

Physical Therapy:  $R^2$  adj. = .433 for step 1,  $R^2$  adj. = .509 for step 2;

Surgery:  $R^2$  adj. = .362 for step 1,  $R^2$  adj. = .420 for step 2

