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DOI:

[10.1016/j.wear.2018.12.069](https://doi.org/10.1016/j.wear.2018.12.069)

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Document Version

Peer reviewed version

Citation for published version (Harvard):

Qi, S, Li, X & Dong, H 2019, 'Reduced friction and wear of electro-brush plated nickel composite coatings reinforced by graphene oxide', *Wear*, vol. 426-427, WOM2019-D-18-00286, pp. 228-238.
<https://doi.org/10.1016/j.wear.2018.12.069>

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Reduced friction and wear of electro-brush plated nickel composite coatings reinforced by graphene oxide

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Abstract

The nano-scale studies in the literature have revealed the excellent anti-friction properties of graphene and its great potential as a nano solid lubricant. However, for macro-scale applications the integrity and durability are main problems for most graphene-based surface coatings. One way to mediate the durability issue while exploiting the tribology of graphene is graphene-based composites. In this work, nickel-graphene oxide (GO) composite coatings were fabricated on steel by electro-brush plating. The effects of GO on the tribological properties were investigated. The results show that the composite coatings possess much lower friction than GO-free nickel coating (up to 47% less against a bearing steel ball, and 30% less against an alumina ball). As the GO load increases from 0 to 4 mg/ml, the wear rate of the resulting composite can be reduced significantly by approximately 90%. Detailed post-test studies of the wear tracks and the counterpart were conducted using SEM, EDS, Raman and FIB/SEM. The improved tribological properties can be attributed to the strengthening effect, the retention of oxide tribo-films and the formation of GO rolls during sliding. The tribological behaviour of the Ni-GO nano-composite coating and a Ni-graphite composite coating was compared, and their different wear mechanisms have been discussed.

Key words: Graphene oxide; Nano-composite coating; Friction and wear; Tribo-film; GO rolls

1. Introduction

Graphene has been deemed as a promising solid lubricant due to its proved extraordinary lubricity [1]. Early studies involving atomic force microscopy (AFM) and lateral force microscopy (LFM) on single to few-layer graphene have demonstrated that the coefficient of friction (COF) of graphene is similar or even superior to bulk graphite [2-4]. Considering the size effect (single-layer graphene measures ~0.34 nm thick), graphene and its derivatives possess great advantages over graphite a well-known engineering solid lubricant for nano and micro tribological applications. It has also been demonstrated that by

32 smart and delicate design of the graphene-surface contact, superlubricity (COF \sim 0.004) can
33 be realised at macro scale [5]. Applying graphene and related materials as surface coatings
34 to combat friction and wear in a common engineering context, however, is less reported due
35 to the normally inadequate bonding between graphene and the substrate.

36 To exploit the tribological potential of graphene while addressing the durability issue
37 with graphene surface coatings, recently a number of graphene-based composite coatings
38 have been developed and their tribological properties reported [6-9]. Coating systems with
39 metallic matrixes, such as TiAl [10], Cu [11, 12] and Ni [13, 14] have been reported. Among
40 these, nickel matrix composite coatings are of particular interest due to the ease of
41 fabrication and wide applications in engineering [8, 15]. Nickel matrix nano-composites
42 have been prepared by conventional electroplating [16-18] or electroless deposition [19]
43 techniques. Alternatively, an electro-brush plating (EBP) technique, which is widely used in
44 the remanufacturing of work pieces [20, 21], can be adapted. However, no work on the
45 brush-plating of nickel-graphene oxide composite coatings for tribological applications has
46 been reported in the literature yet. A number of lubrication mechanisms of composites
47 incorporating graphene and related materials have been reported. One of the most popular
48 explanations is that the easy interlayer shear of the graphene species reduces the energy
49 dissipation between the contact and thus reduces the friction [22, 23]. Other hypotheses
50 include grain refinement effect [22], formation of low-friction carbon films [10, 24, 25], and
51 synergistic lubricating effect of graphene and other nano particles [11, 26]. However, more
52 comprehensive microstructural, mechanical and chemical investigations towards these
53 proposed models are required.

54 In our previous study, nickel-graphene oxide nano-composite coatings were fabricated
55 by EBP, and their mechanical properties and corrosion behaviour were evaluated [27]. In
56 the present work, the tribological behaviour of the nickel-graphene oxide nano-composite
57 coatings against different counter materials in air was investigated. To elucidate the
58 difference between graphene oxide and graphite as the incorporation phase, a nickel-
59 graphite composite coating was prepared and their tribological performance compared.
60 Based on the experimental results and extensive post-test examination of the wear tracks
61 and the counterpart surfaces, wear mechanisms for GO- and graphite-containing metallic-
62 matrix composites were suggested.

63 **2. Experimental**

64 2.1. Coating fabrication

65 Graphene oxide (GO) was synthesised using graphite powder (Alfa Aesar, -325 mesh

66 natural flakes) via a modified Hummers' method and ultrasonication-assisted exfoliation as
 67 described elsewhere [27]. Type 316L stainless steel coupons were ground to grit 2500,
 68 cleaned and degreased before being used as the substrates. Commercially available (Sifco
 69 ASC, part No. 2086) nickel plating solution was used in this work. Composite plating
 70 solutions were prepared by adding concentrated GO suspension dropwise into the original
 71 plating solution under continuous ultrasonication (200 W) and vigorous shaking. Nickel-
 72 graphite plating solution was prepared in the same way but using graphite powder. Five
 73 types of plating solutions with varying GO/graphite incorporation were employed. The
 74 sample codes are listed in Table 1.

75 Table 1. Specification of the coatings

Incorporation load (mg/ml)	GO				Graphite 4.0
	0	0.5	2.0	4.0	
Sample code	Ni	NG05	NG20	NG40	NGr40

76 The coatings were fabricated using a NBP-100 nano electro-brush plating unit where a
 77 plating brush wrapped with absorbent cotton cloth (i.e. anode) and the workpiece (i.e.
 78 cathode) were attached. During the plating process, the plating brush saturated with
 79 electrolyte wiped against the substrates with a constant pace of 10 cm/s and a voltage of 14
 80 V over the substrate. after a plating time of 30 min, the resulting coatings were rinsed with
 81 deionised water and dried in hot air.

82 2.2. Microstructural characterisation

83 A Philips XL-30 field emission scanning electron microscope (FESEM) equipped with
 84 an energy dispersive X-ray spectroscope (EDS) was employed to examine the morphology
 85 and chemical compositions of the coatings. A confocal Raman microscope (Renishaw, 488
 86 nm laser) was used to obtain characteristic spectra of the GO-containing coatings. The
 87 surface roughness of the coatings was measured on an Ambios XP-200 profilometer.
 88 Vickers hardness measurements were conducted using a Mitutoyo MVK-H1 micro-
 89 hardness tester. Nanoindentation measurements were performed on a NanoTest Vantage
 90 testing platform (Micro Materials Ltd.) fitted with a Berkovich indenter. The nano-hardness
 91 and Young's moduli were calculated from the loading-unloading curves based on a power
 92 law fit developed by Oliver and Pharr [28].

93 2.3. Tribological testing

94 Reciprocating wear tests were carried out in ambient air at room temperature on a
 95 ball-on-plate tribometer (TE79 multi-axis, Phoenix Tribology Ltd., UK). The samples

96 involved in the tribological study and the corresponding testing parameters are summarised
 97 in Table 2. Two different counter materials, chromium bearing steel balls (Spheric Trafalgar
 98 Ltd., GD 100, 700-900 Hv) and alumina balls (Spheric Trafalgar Ltd., 1700 Hv), both 8 mm in
 99 diameter, were used. The counter materials were selected to represent two potential
 100 engineering application situations where the coatings may be applied, i.e. metal-to-metal
 101 (coating vs. steel) and metal-to-ceramic (coating vs. alumina) contacts. The frictional
 102 behaviour of the tribo-pairs under varying normal loads at a frequency of 1 Hz, was
 103 observed. The worn surfaces were examined by SEM, EDS and a profilometer. The wear
 104 factor was calculated using the following equation:

$$105 \quad k = \frac{V}{F \cdot s} \quad (1)$$

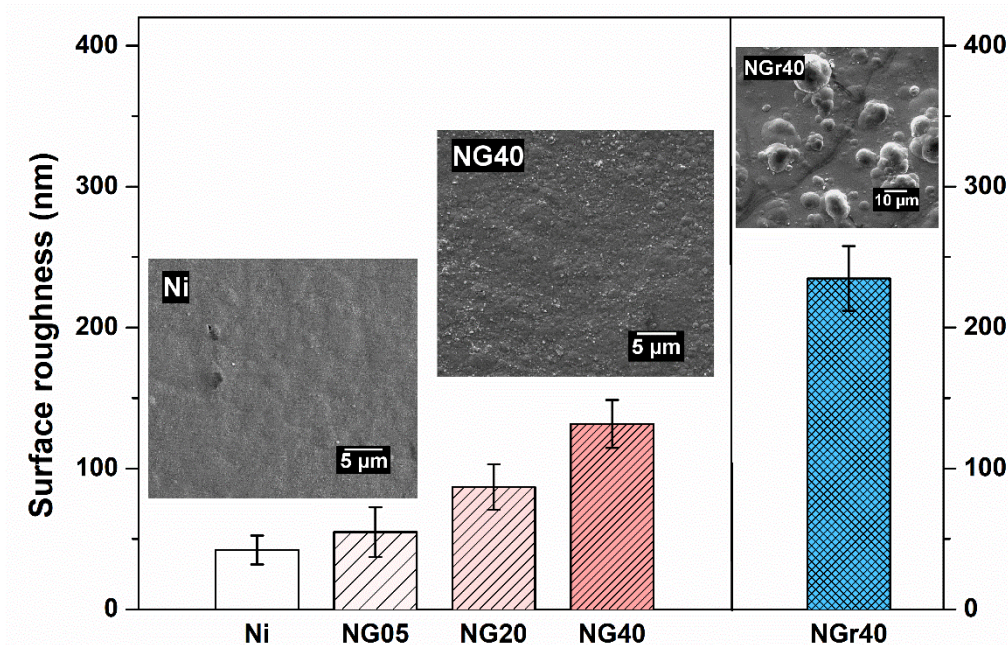
106 where V is the volume of material loss, F is the applied normal load, s is the total sliding
 107 distance, k is the wear factor in $mm^3 N^{-1} m^{-1}$. The wear loss volume was determined using
 108 the profilometer. The cross section of each wear track was scanned at no less than 3
 109 different positions (typically left, middle and right). The wear volume was calculated by
 110 taking the mean value of the measured (3 or more) cross-sectional areas before multiplying
 111 the length of the wear track (which was 5 mm). In addition, observation of the GO rolls
 112 formed on the worn surfaces was performed using a dual beam FEI Quanta 3D FEG
 113 focused ion beam (FIB).

114 Table 2. Summary of the tribological testing parameters

Counter material	Samples tested	Testing conditions		
		Load	Speed & frequency	Atmosphere
Bearing steel Φ 8 mm ball	Ni	1 N	5 mm/s, 1 Hz	Air
	NG05, NG20, NG40 NGr40			
Alumina Φ 8 mm ball	Ni	1/3/5 N		
	NG40 NGr40			

116 **3. Results**

117 **3.1. Surface morphology and roughness**



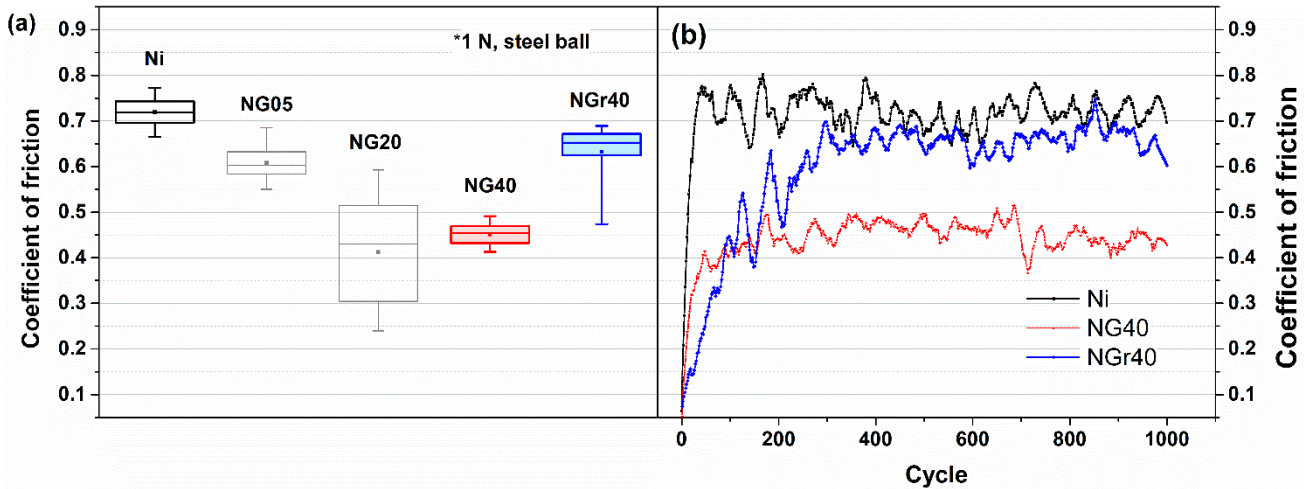
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119 Fig. 1. Surface roughness and morphology of the GO-free Ni coating and those
120 incorporated with GO (NG05, NG20, NG40) or graphite (NGr40).

121 Fig. 1 compares the surface roughness of the samples. Clearly, the surface roughness
122 of the coating increased with the GO load in the plating solution, and the nickel-graphite
123 composite coating (NGr40) nearly doubled the surface roughness of NG40. SEM images
124 (Fig. 1 inset) showed that the surface of the GO-free nickel coating was relatively compact
125 and smooth, while NG40 was full of fine yet dense nodules due to GO incorporation. Our
126 previous study has revealed that GO sheets were introduced homogeneously not only at
127 the surface but also throughout the depth of the coating, wrapping and intersecting the
128 nickel crystallites, thus causing a grain refinement effect and the rise in mechanical strength
129 as well as surface roughness [27]. In contrast, with the same incorporation mass of 4
130 mg/ml, the graphite reinforced coating NGr40 showed irregularities in much greater sizes on
131 the surface due to the thickness of the graphite particles inside. The GO-free Ni deposit
132 exhibited a Vickers' hardness of $H_v 524 \pm 38$, close to the values in the literature on nickel
133 coatings by EBP [20, 29]. The hardness was improved gradually as GO was introduced,
134 with the peak value of $H_v 680 \pm 57$ for NG20, which is 31% higher than that of the GO-free
135 coating. NG40 exhibited a slightly reduced hardness compared to NG20, while the

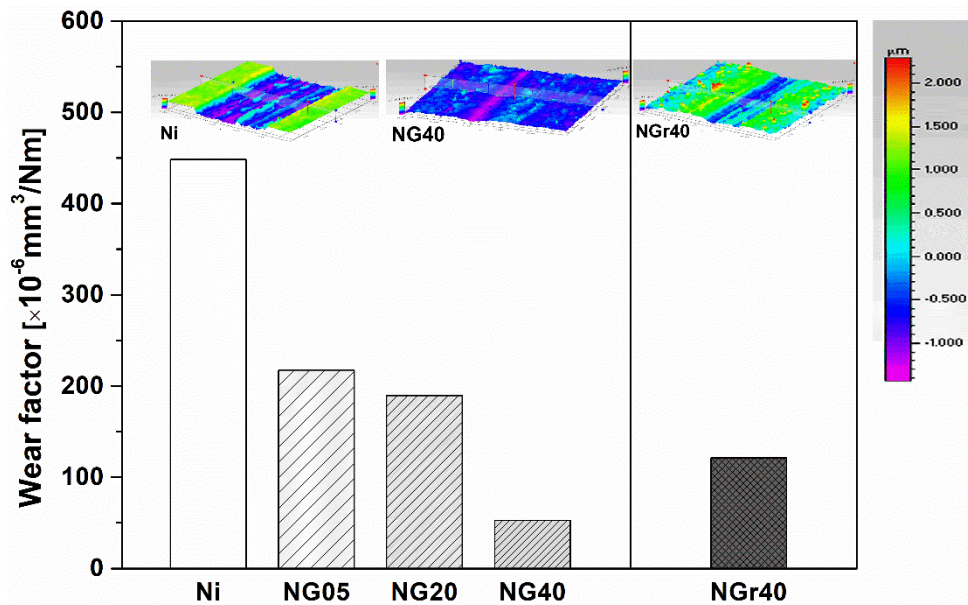
136 hardness of NGr40 was measured to be $H_v 574 \pm 20$.

137 3.2. Sliding against steel



138
139 Fig. 2. (a) Coefficient of friction box plots of the GO-free Ni and the composite coatings
140 against a chromium bearing steel ball over 1000 cycle reciprocating sliding under a load of
141 1 N. The boxes and whiskers represent the 1st quartiles and the 1st standard deviations,
142 respectively. (b) typical COF curves for Ni, NG40 and NGr40.

143 Fig. 2 presents the frictional behaviour of the coatings during reciprocating tribological
144 tests against a bearing steel ball under a normal load of 1 N. As shown in Fig. 2a, all the
145 four composite coatings exhibited reduced coefficient of friction (COF, μ) compared to the
146 GO-free Ni coating ($\mu \sim 0.72$). NG20 achieved the lowest possible friction against the steel
147 ball. However, note that its COF spread over a wide range, indicating unstable friction
148 conditions. NG40 showed a substantially lower steady-state μ of ~ 0.45 . It is also worth
149 noting that the three nickel-GO coatings outperformed the nickel-graphite coating NGr40.
150 As shown in Fig. 2b, the COF of the GO-free Ni coating reached a plateau after a short
151 running-in period. NGr40 showed a marginally reduced μ , levelling off at ~ 0.65 . Its
152 prolonged running-in period can be attributed to its high surface roughness which increased
153 the time required for stabilising the contact.



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Fig. 3. Wear factors for GO-free Ni, NG05, NG20, NG40 and NGr40 measured after 1000-cycle sliding against a bearing steel ball under a normal load of 1 N. The insets show the 3-dimensional profiles of the worn Ni, NG40 and NGr40. A colour scale bar for the height profiles is attached aside the plot.

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The wear factors of the coatings during the tests are summarised in Fig. 3. Compared with the GO-free Ni coating, the wear factors for NG05, NG20 and NG40 were reduced by 51.5%, 58.0% and 88.2%, respectively. The wear factor of NGr40, although clearly lower than that of Ni, was nearly double that of NG40. From the height profiles of the worn coating surfaces as the insets in Fig. 3, it is clear that the wear scar on NG40 is significantly smaller than that on the GO-free Ni coating (60 vs. 310 μm in width, and 0.3 vs. 2 μm in depth). In comparison, NGr40 achieved a moderate reduction in the wear loss.

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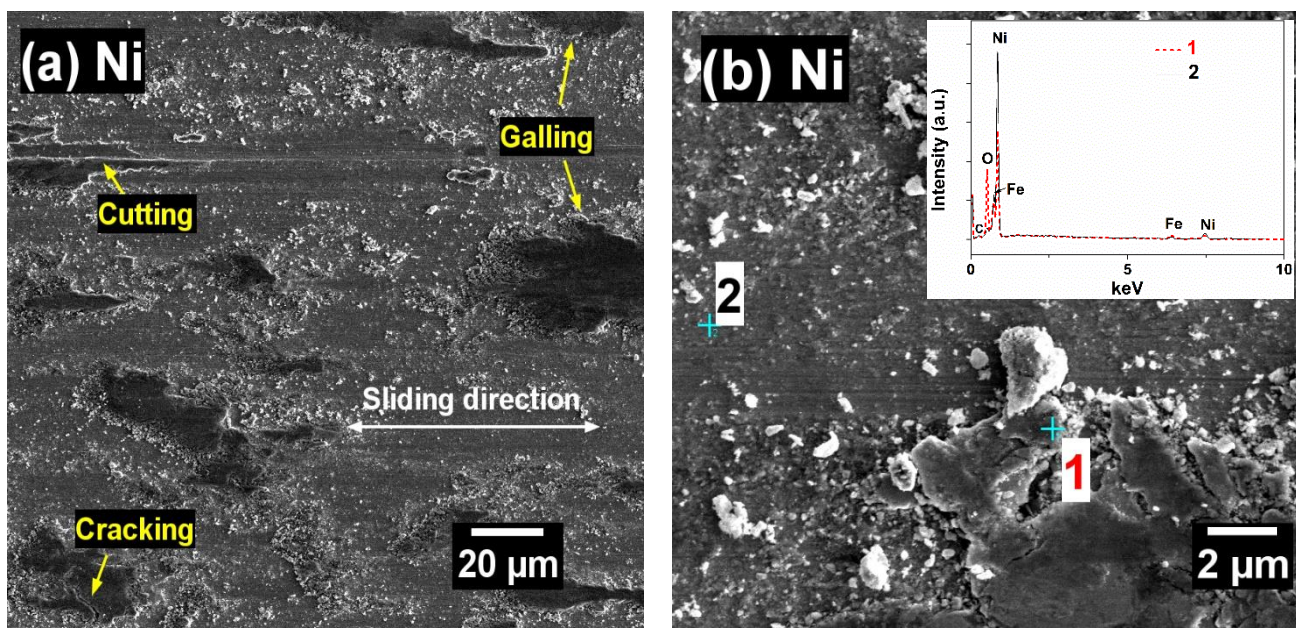
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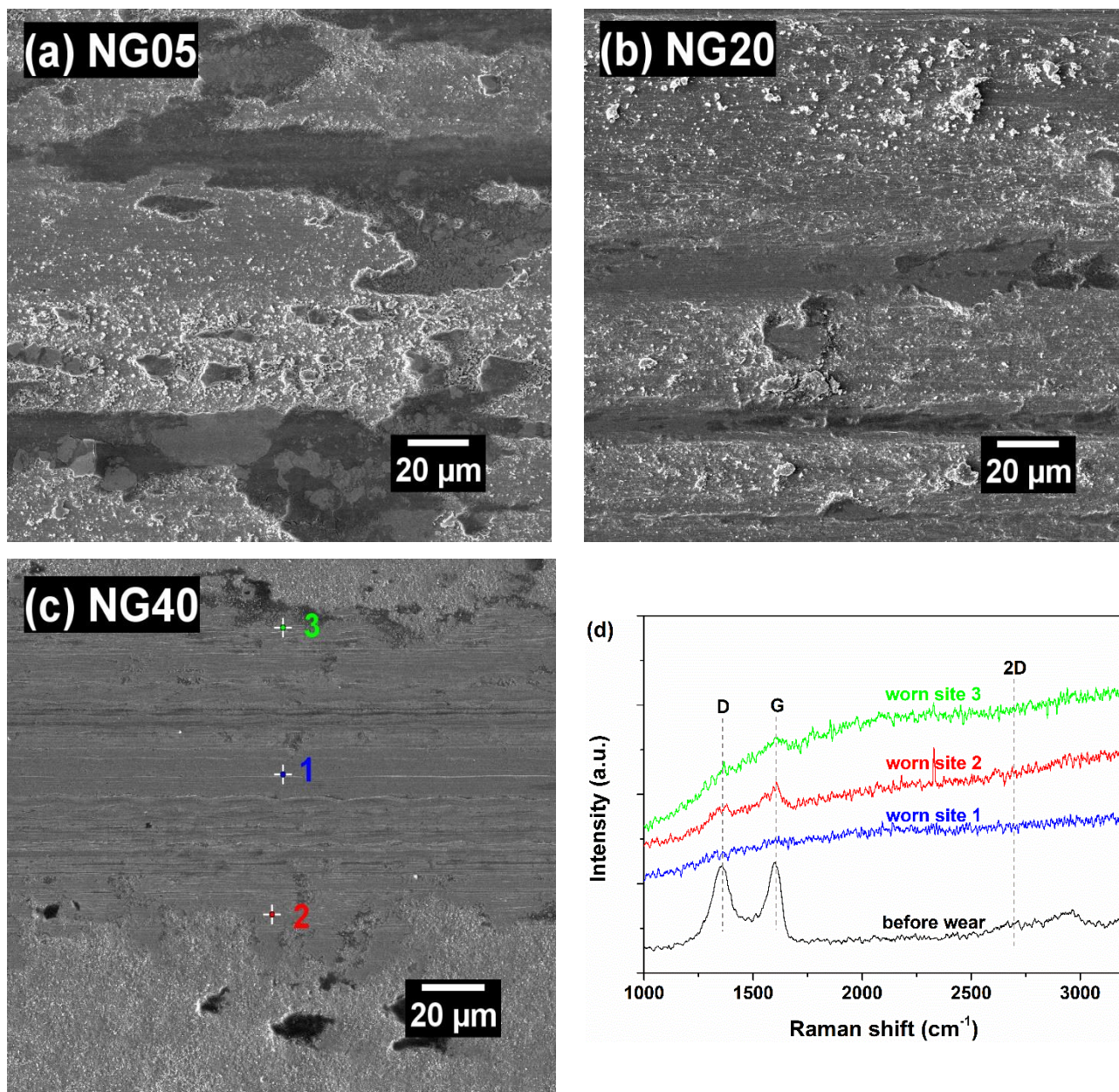
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As shown in Fig. 4, the GO-free nickel coating after wear showed heavy cutting, furrows and push-ups on the bottom of the wear scar, denoting severe sliding adhesive wear and galling. The large density of fine wear debris present within the wear scar implies that three-body abrasion could also have taken place. EDS analysis (Position 1&2 in Fig. 4b) indicates that the build-ups were high in oxygen while the lower positions of the wear scar showed little oxygen. The information above suggests that the coating surface was oxidised but then destructed into debris during the unlubricated wear. Consequently, severe metal-to-metal rubbing occurred.



174 Fig. 4. SEM images and EDS spectra (inset of b) of the worn GO-free Ni coating sliding
 175 against a bearing steel ball in air under a load of 1 N.
 176

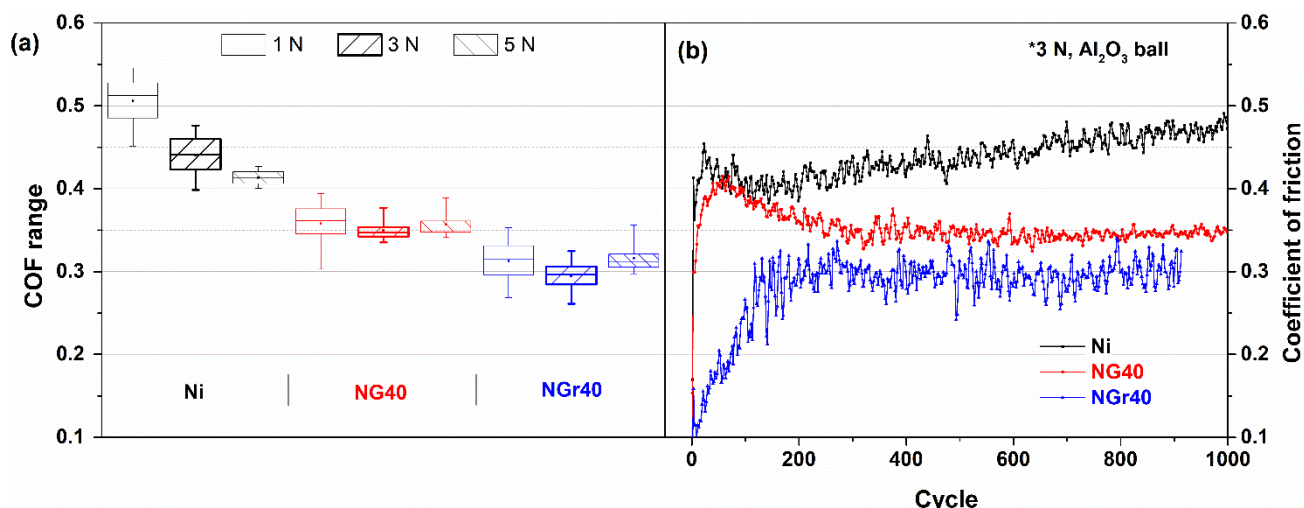
177 Figs. 5a-c demonstrate the morphology of the worn NG05, NG20 and NG40. The
 178 surface of NG05 was rubbed heavily, presenting similar features due to severe adhesive
 179 wear as with the GO-free Ni. Nonetheless, a larger fraction of the wear-induced oxide film
 180 was retained (Fig. 5a). NG20 exhibited less coating deformation after wear (Fig. 5b).
 181 Substantial reduction in wear was achieved on NG40 which showed only a mild degree of
 182 material flow and negligible amount of wear debris (Fig. 5c). The wear track width was
 183 reduced from 250 μm for NG05 to 60 μm for NG40. In order to track the structural changes
 184 of the incorporated GO during wear, the worn surface of NG40 was inspected by Raman
 185 spectroscopy (Fig. 5d). A typical GO spectrum containing a D band around 1350 cm^{-1} and a
 186 G band around 1600 cm^{-1} can be obtained from the unworn surface. After wear, attenuated
 187 D and G bands, characteristic of GO, were still detectable near the edges of the wear scar
 188 (Positions 2 and 3 in Fig. 5c). The Raman D and G bands diminished to minimum around
 189 the centre of the wear scar (Position 1 in Fig. 5c). It is likely that the GO sheets had been
 190 pushed away from the central zone where the contact stress was the highest and replaced
 191 by thick metal oxide grown on site. Nonetheless, more detailed Raman analysis (such as
 192 Raman mapping of the G-band and D/G ratio) should be conducted in order to elucidate the
 193 structural changes in GO within the wear tracks.



194 Fig. 5. Worn surfaces of (a) NG05, (b) NG20 and (c) NG40 after sliding against a Cr ball in
 195 air under a load of 1 N. (d) Raman spectra obtained from the marked positions in (c).

196 The worn NGr40 (Fig. S1, Supplementary document) resembled the worn GO-free
 197 nickel coating. Back-scattered electron microscopy and EDS mapping indicate a
 198 discontinuous oxide film on the worn NGr40, likely due to the insufficient lubricating effect
 199 by the graphite fillers, which led to severe plastic flow at the sliding contact as previously
 200 seen on the GO-free Ni coating.

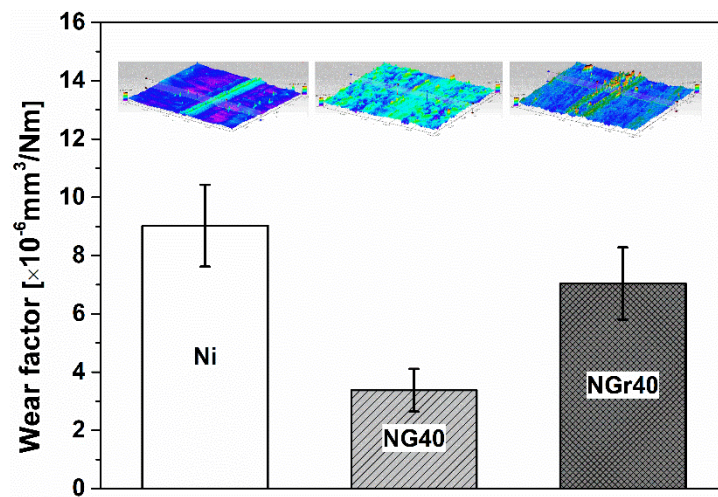
201 **3.3. Sliding against alumina**



202
 203 Fig. 6. (a) Coefficient of friction box plots of Ni, NG40 and NGr40 against an alumina ball
 204 under varying normal loads. (b) typical COF curves under a load of 3 N.

205 Fig. 6 shows the friction behaviour of Ni, NG40 and NGr40 against the alumina ball
 206 under varying loads. Due to reduced metallurgical compatibility between the ceramic and
 207 metallic coating tribo-pairs, all the samples exhibited lower friction against alumina than
 208 against steel as seen in Fig. 2. Under 3 N, the COF of the GO-free Ni increased
 209 progressively over cycles, denoting a changing sliding contact. NG40 showed a steady-
 210 state COF of 0.35 after a quick running-in stage. The COF of NGr40 was lower than NG40,
 211 reaching a steady-state value of 0.3, likely due to the high surface roughness of NGr40 (see
 212 Fig. 1) and the solid lubricating effect of graphite particles.

213 The wear tracks were then scanned by a 3D profilometer, and the wear factors
 214 calculated, as summarised in Fig. 7. Close inspection of the wear scar formed on the GO-
 215 free Ni coating revealed abrasion-induced grooves and push-ups. The wear scar on NGr40
 216 was slightly smaller yet irregular. For NG40, no appreciable material loss but just a
 217 flattening effect was found on the tested site. Accordingly, the wear factor for the GO-free Ni
 218 coating was the largest ($9 \times 10^{-6} \text{ mm}^3 \text{N}^{-1} \text{m}^{-1}$). It decreased by about 60% for NG40,
 219 indicating significantly enhanced wear resistance. Although NGr40 exhibited slightly lower
 220 COF than NG40 (Fig. 6), its wear factor was found to be much higher than NG40.

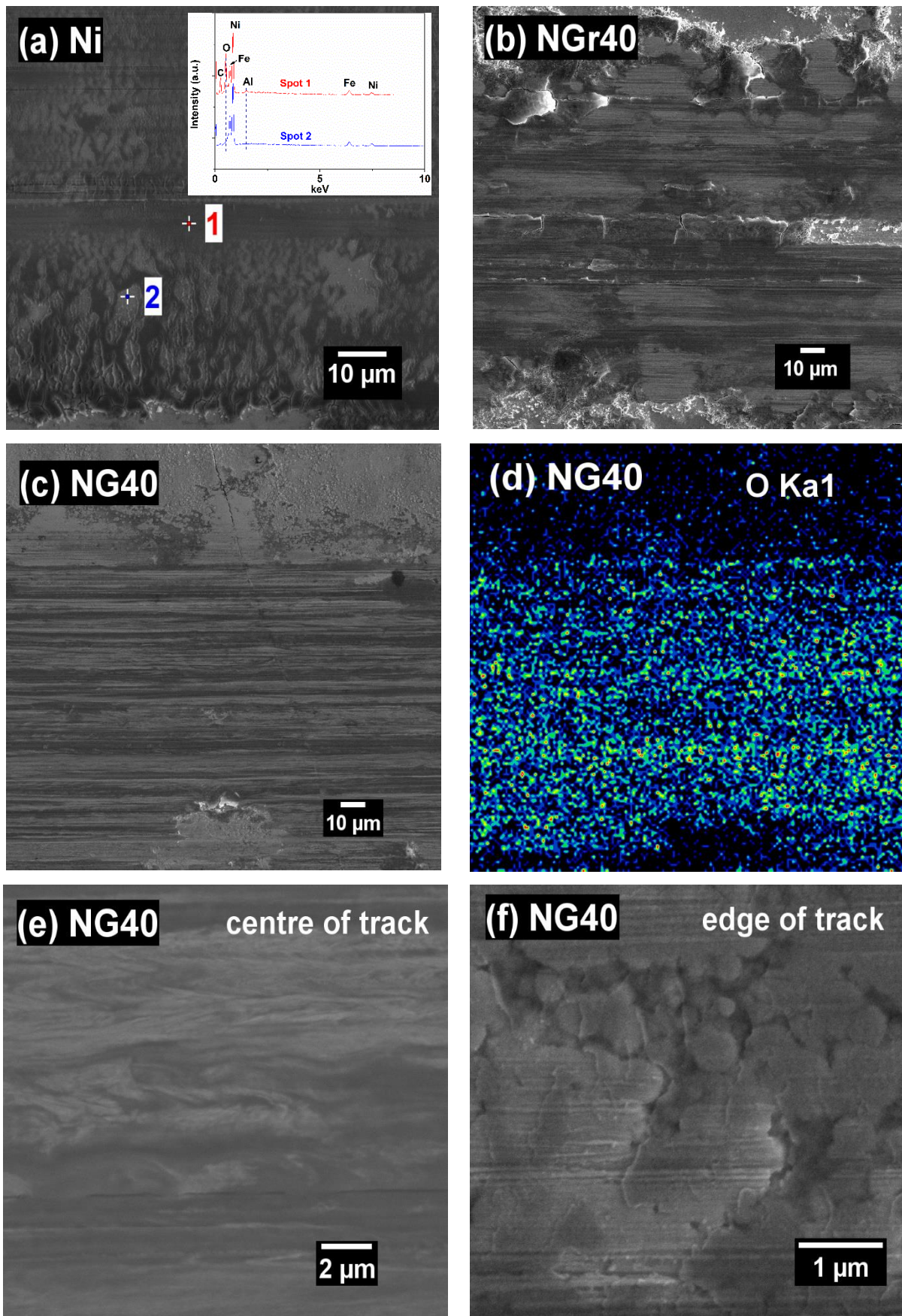


221

222 Fig. 7. Wear factors for GO-free Ni, NG40 and NGr40 sliding in air against an alumina ball
 223 under a normal load of 3 N.

224 Fig. 8 compares the detailed morphology of the coatings worn against the alumina
 225 counterface. The worn Ni coating (Fig. 8a) showed a wavy topography, predominated by
 226 detached, stripe-like tribo-films due to lack of lubrication. EDS analysis indicates that while
 227 the lower positions showed the coating composition with little oxygen signal, the tribo-films
 228 were high in carbon and oxygen. The worn NGr40 (Fig. 8b) showed cracks and spallation.
 229 The original surface lumps due to the underneath graphite particles were flattened by the
 230 slider and the wear debris was accommodated between these surface fluctuations (Fig. S2,
 231 Supplementary document), which could have contributed to the lower COF of NGr40 as
 232 shown in Fig. 6.

233 The wear scar on NG40 (Fig. 8c) appeared to be smooth with very fine grooves,
 234 indicating mild abrasive wear. EDS element mapping (Fig. 8d) showed that oxygen was
 235 homogeneously present over the worn zone, indicating a continuous oxide film formed on
 236 NG40. Within the centre zone of the wear scar, signs of minor material flow along the sliding
 237 direction were observed as shown in Fig. 8e. Around the edge of the wear track exist
 238 translucent carbon-rich scales, as shown in Fig. 8f, which are very likely solid lubricant films
 239 formed of worn GO sheets.



240 Fig. 8. Worn surface of (a) GO-free Ni, (b) NGr40 and (c-f) NG40 sliding against alumina
 241 under a load of 3 N.

242 **4. Discussion**

243 **4.1. Effect of GO**

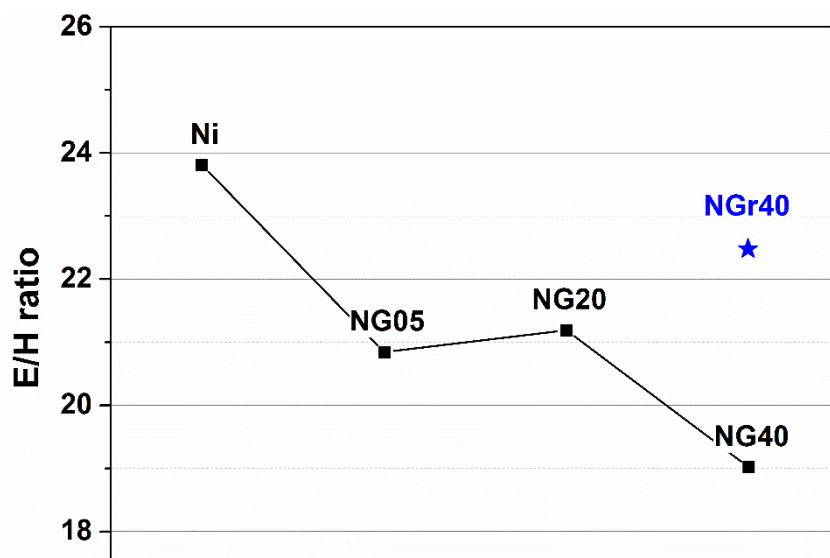
244 In this research, GO was found effective in suppressing friction and improving wear
245 resistance of the nickel matrix. The most pronounced improvement, for instance, was
246 observed for the NG40-steel tribo-pair, whose COF and wear factor were about 37% and
247 88% lower than the Ni-steel tribo-pair, respectively.

248 The reasons for the reduction in friction coefficient and wear could be three-fold.
249 Firstly, it can be ascribed to the increased hardness (H) and the reduced plasticity.
250 According to the adhesive theory of wear [30], the coefficient of friction μ between a tribo-
251 pair, formed of a rigid counterpart and an engineering surface in multi-asperity contact, can
252 be expressed by the following equation:

253
$$\mu_{adh} = \frac{F}{W} = \frac{\tau}{\sqrt{\sigma^2 - a\tau^2}} \approx \frac{\tau_i}{\sigma} \quad (2)$$

254 where F is the tangential frictional force, W is the normal load, τ is the shear strength of the
255 bulk material, σ is the corresponding compression yield strength, and a is a numerical
256 factor, determined empirically. The equation receives a simplified form as shown at its right
257 end when the surface is covered by a weak interfacial film of low shear strength τ_i , such as
258 oxide scale grown in-situ. It is therefore clear that a lower μ_{adh} could be obtained if the yield
259 strength σ , which is proportional to the indentation hardness of the bulk, is improved.

260 Hardness is also known to affect the resistance to abrasive wear inversely. Indeed, the
261 COF evolution (Fig. 2) of the composite coatings NG05, NG20 and NG40 exhibited good
262 compliance to their hardness values; the COFs were reduced to an extent comparable to
263 the degree of their hardness improvement.



264
265 Fig. 9 E/H ratio of the coating as a function of GO/graphite incorporation.

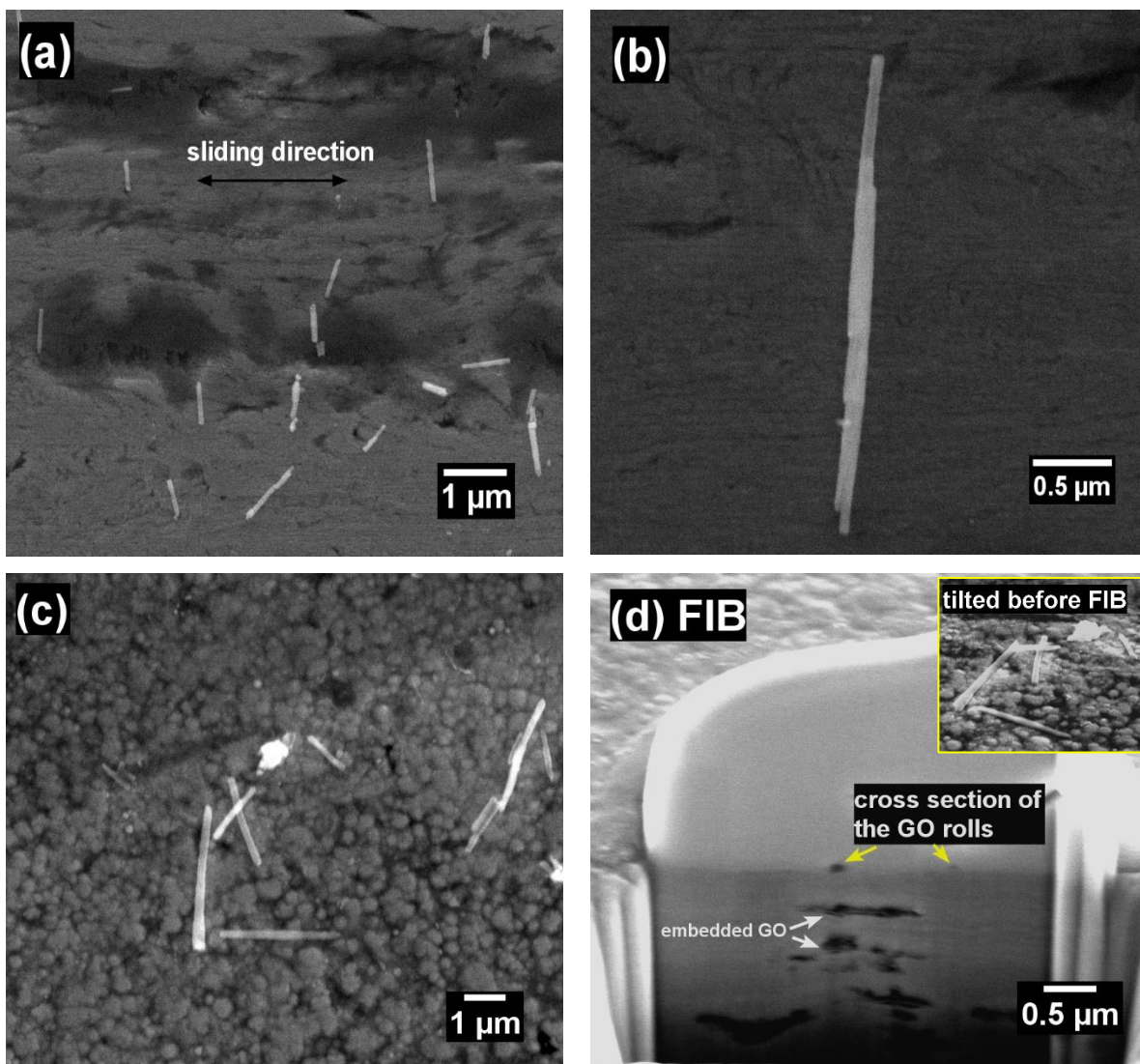
266 The ratio between Young's (elastic) modulus and hardness E/H , which is indicative of
267 the plasticity of a material, can play a significant role in the tribological performance [31, 32].
268 A lower E/H ratio means that the material is less prone to plastic deformation, i.e., the
269 contact between sliding asperities is more elastic. Consequently, the energy dissipation,
270 which is responsible for friction, can be mitigated. In addition, according to Bowden and
271 Tabor's [30] adhesive wear mode, reduced plasticity can effectively reduce cold weld and
272 growth of junction, thus leading to reduced adhesive wear. The E/H ratio values for the GO-
273 free Ni and the Ni-GO composite coatings in this work were calculated from
274 nanoindentation measurements and plotted in Fig. 9. It shows that the E/H ratio values
275 were reduced effectively after introducing GO into the matrixes. Particularly, NG40 showed
276 an E/H ratio of 18.6, which is significantly lower than that (23.8) of the GO-free Ni coating.
277 This is in agreement with its wear factor which is the smallest among all the samples (Fig.
278 3).

279 Secondly, the improved tribological performance of Ni-GO composite coatings is also
280 owing to the formation of a continuous easy-shear oxide film on the coating surface. As can
281 be seen in Fig. 4, the worn GO-free Ni coating showed material 'islands' across the wear
282 track, which were the oxides of the metallic coating. It is known that oxide films, formed
283 naturally on metals in air, are of much significance in determining the tribological behaviour,
284 as the oxides can serve as a low shear strength film which reduces the μ of the tribo-pair
285 (refer to Equation 2). However, if the oxide film is incomplete or penetrated by the slider,
286 high friction is very likely, due to direct metallic contact, and so the wear factor, as in the
287 case of the GO-free Ni. The formation of such an incomplete oxide film was also observed
288 on the worn NGr40 which incorporates graphite flakes (Fig. S1), which could be the reason
289 for its marginally lowered friction and wear. In contrast, the worn Ni-GO composite coatings
290 have showed decreasing amount of surface irregularities as the GO content increased (Fig.
291 5), which implies a better retention of the surface oxides. The enhanced retention of the
292 oxide films is likely due to the reduced plasticity of the bulk coatings as discussed, and can
293 also be related to the lubricating GO sheets which mitigated the tangential frictional force
294 applied to the surface metallic oxide. As a result, the adhesion and plastic flow during
295 sliding were suppressed, and the wear mechanism changed gradually from plasticity-
296 dominated for GO-free Ni (severe adhesive wear) to oxidation-dominated for NG40 (mild
297 oxidation wear).

298 Last but not least, the lubricating effect of GO should be appreciated, too. Graphene
299 and its derivatives (including GO) have been reported to be promising novel solid lubricants
300 [33]. With regards to graphene-containing composites, it has been reported that a carbon-

301 rich transfer layer can be formed on top of the sliding composite surface [25]. This carbon-
302 rich transfer layer can further contribute to a lower τ_i in Equation 2, thus lubricating the
303 sliding. Indeed, Raman spectroscopy showed residues of the features of GO in the edge
304 zone of the wear track (Fig. 5). The features were gone at the centre of the wear scar,
305 suggesting that the GO sheets at such places may have been transformed into amorphous
306 carbon, if not a complete removal.

307 More interestingly, apart from the Raman analysis results, nano-sized GO rolls were
308 also observed existing within the worn scar, as demonstrated in Fig. 10. The sectioning of
309 the GO rolls using focused ion beam (FIB) revealed the hollow structure of the GO rolls
310 (Figs. 10c-d).



311 Fig. 10 GO rolls formed within the wear track during sliding.

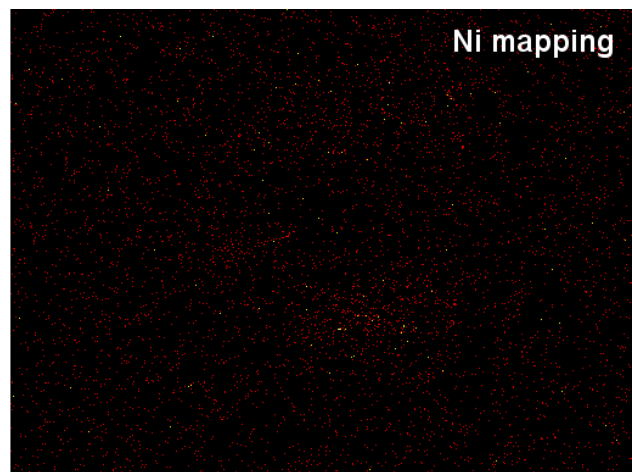
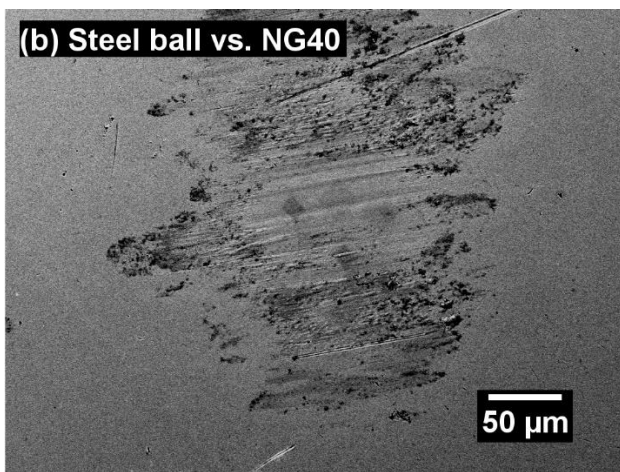
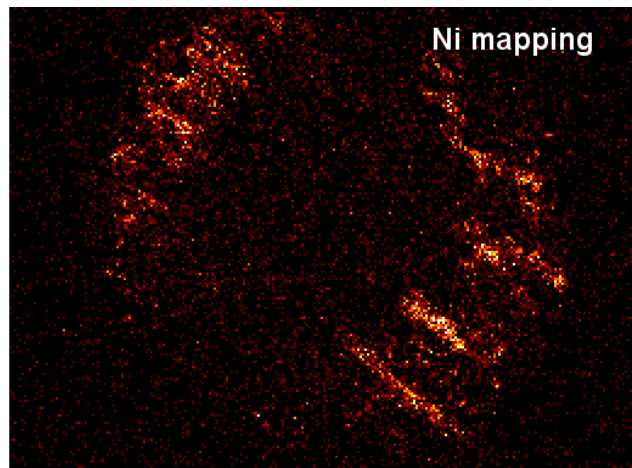
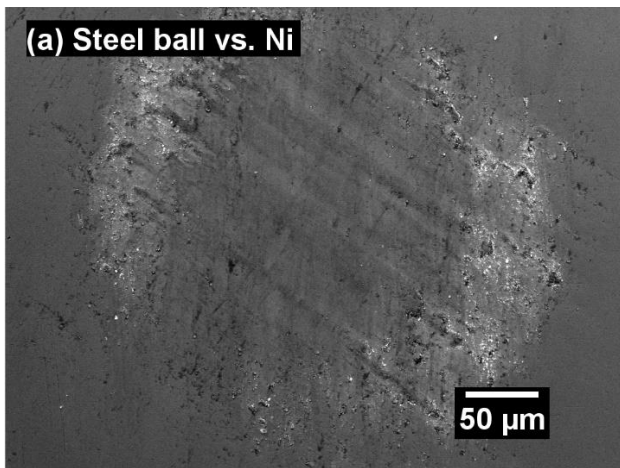
312 Berman et al. [5] report the formation and macroscale superlubricity of graphene
313 nanoscrolls in the presence of nano-diamond particles which could be the first of this kind.
314 Recently, graphene nanoscrolls were found to form on the surface of an amorphous carbon

315 film during sliding which is responsible for the friction drop [34]. A few other rolling-up
316 carbon structures, such as crumpled graphene balls [35], carbon nano-horns [36], have
317 also been reported to improve the tribology of the sliding surface underneath. However, to
318 our awareness, this is the first report on the observed formation of such graphene nanorolls
319 from not a single layer graphene coating or graphene-based lubricants, but a graphene-
320 based composite during sliding contact.

321 As can be seen in Fig. 10, the GO nanorolls were uniform in diameter and distributed
322 mostly perpendicular to the sliding direction. The formation could therefore be attributed to
323 the generation of structural defects on the upside of the GO sheets during sliding in
324 conjunction with the dragging force of the slider [5]. The friction-reduction mechanisms that
325 have previously been suggested for these rolling graphene structures include nano ball
326 bearing, sliding and exfoliation [34, 36]. In view of the high contact pressure (500-1000
327 MPa) applied in this work which may squeeze and permanently deform the hollow GO rolls
328 once upon formation, the nano bearing effect is unlikely to take place (Indeed, the GO rolls
329 were mainly found close the edges of the wear scar, while few GO rolls were observed at
330 the centre where the contact pressure was high). Rather, the sliding mechanism, in which
331 the GO nanorolls acted as separators of low surface energy between the tribo-pair, is more
332 plausible. Moreover, GO sheets are so thin and flexible that a fraction of the sliding motion
333 energy can be easily dissipated by means of nanoroll formation, hence leaving less energy
334 that is converted into friction.

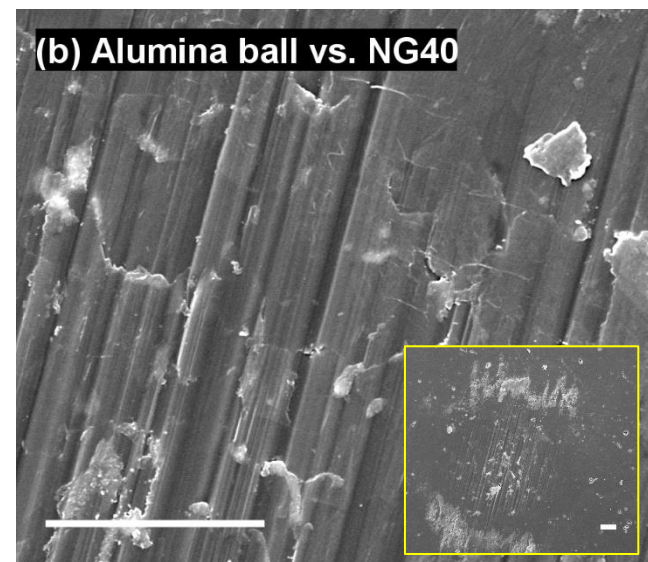
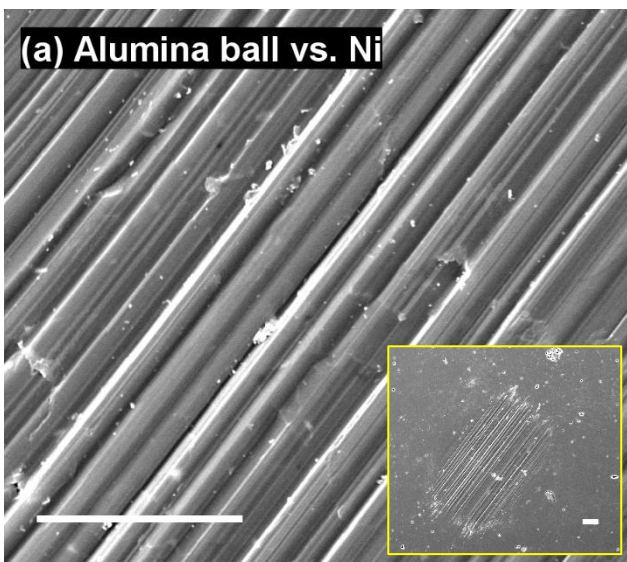
335 **4.2. Effect of counter material**

336 Fig. 11 compares the bearing steel counterface after wear against the GO-free Ni and
337 the NG40 coatings. The size of the wear track on the steel counter ball against NG40 was
338 remarkably smaller than that against Ni. In the meantime, although subject to the same
339 cleaning process after the wear test, the counter ball against the Ni coating showed a
340 greater amount of nickel adhesion as proved by EDS mapping. This is due to high
341 metallurgical compatibility for the metal-to-metal tribo-pair. The cold-welded asperities
342 between the two surfaces were then fractured over time, eventually leading to failure of the
343 tribo-film. This explains the high COF and wear factor of the GO-free Ni coating. The
344 reduced Ni attachment on the counter ball against NG40 could be attributed to the GO
345 sheets within the composite coating which inhibited direct metal-to-metal contact during the
346 wear test.



347 Fig. 11. The bearing steel counter balls after wear against (a) Ni and (b) NG40.

348



349 Fig. 12. The alumina counter balls after wear against (a) Ni and (b) NG40. Scale bars 20
350 μm .

351 Fig. 12 shows the wear on the ceramic counter balls against the same coatings. No
352 appreciable Ni adhesion was detected by EDS in both cases, which explains the lower

353 friction and wear of the coatings compared with those against steel. The ball against Ni
354 exhibited deep and clear grooves. In comparison, the wear grooves on the counter ball
355 against NG40 appeared to be much shallower, suggesting less abrasion during the test.
356 This can be attributed to the transfer film formed on the counter ball (as seen in Fig. 12b)
357 which lubricated the sliding.

358 **4.4. Wear mechanisms for GO and graphite reinforced composites**

359 In the present study, a nickel-graphite composite coating was purposely designed and
360 tested for comparison. Based on the results and discussion above, different wear
361 mechanisms for graphene-metal composite coatings and graphite-metal composite coatings
362 are proposed, as illustrated in Fig. 13. Owing to the extremely thin nature of graphene, the
363 composite incorporating GO (or other graphene derivatives), given that the graphene-based
364 fillers are homogeneously distributed (Fig. 13a), is a nano-structured material, which is free
365 of surface lumps observed on its graphite counterpart (Fig. 13d).

366 During sliding wear, a continuous metallic oxide film, which is favourable for lower
367 friction and wear, can be retained on the surface of the GO-reinforced composite coating,
368 due to the pinning and strengthening effects of GO (Fig. 13b). As the sliding continues, not
369 only the metallic oxide layer gets thicker, an extra thin lubricating film comprising of
370 squeezed GO sheets is also formed on top (Fig. 13c). Meanwhile, there are chances that
371 GO sheets are peeled off by the slider and form nanorolls (see Fig. 10) which reduce the
372 surface energy and thus the friction.

373 The graphite-reinforced composite coating, by contrast, achieves low friction mainly by
374 the smearing of graphite flakes (Fig. 13e). Given the limited availability of graphite sites on
375 the surface, discrete metallic oxide islands instead of a continuous oxide film are formed,
376 and a large amount of wear debris occurs. Meanwhile, the uneven distribution of stress
377 between the graphite-containing lumps and the squeezed wear debris can lead to cracks
378 and spallation (Fig. 13f).

379

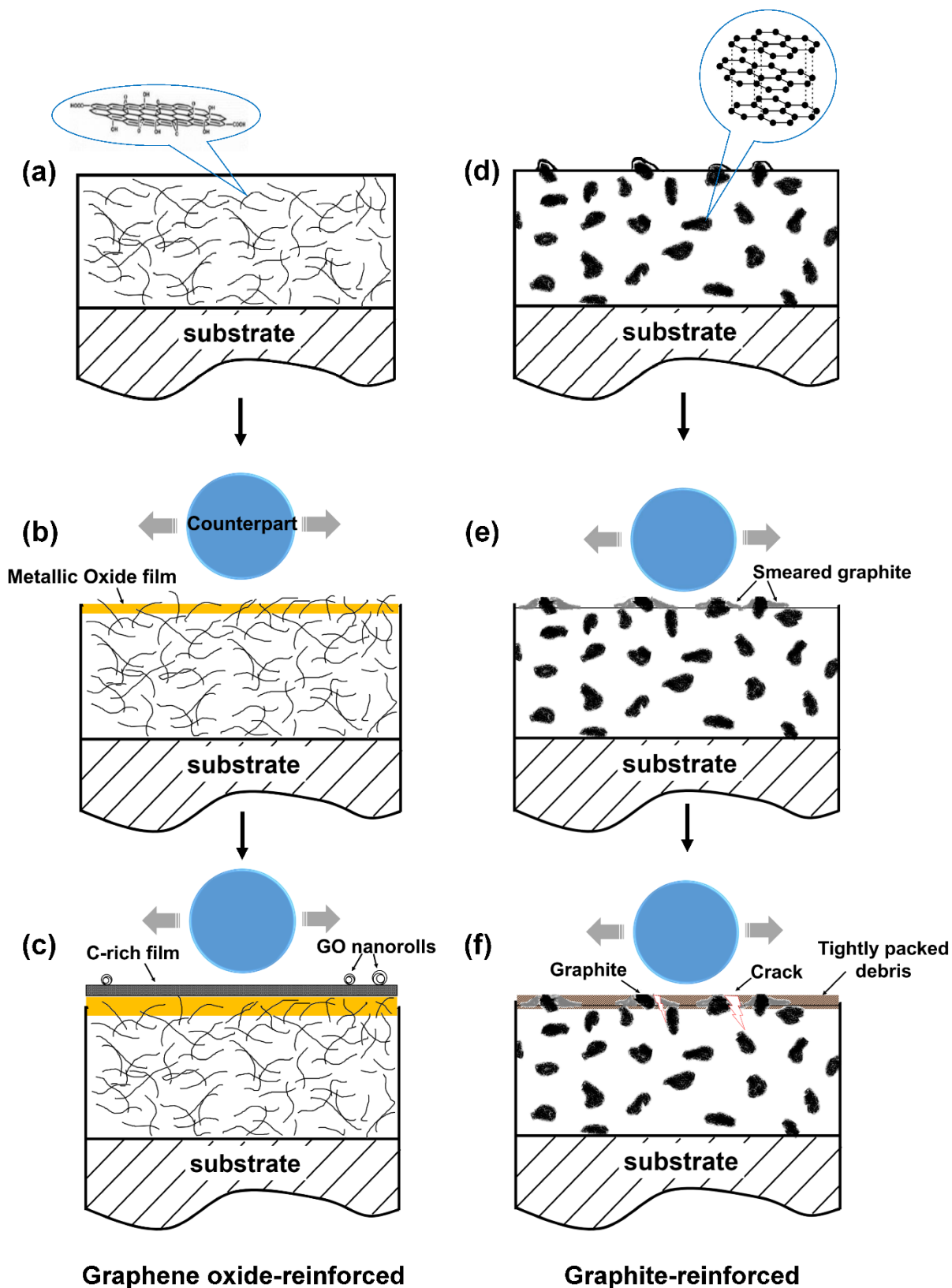


Fig. 13 Illustrations of the different wear mechanisms for (a-c) graphene-metal and (d-f) graphite-metal composites.

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385 **5. Conclusions**

386 In this study, the tribological behaviour of electro-brush plated Ni, Ni-GO and Ni-
387 graphite composite coatings against steel and ceramic was compared. It was found that the
388 incorporation of GO can effectively suppress the friction of the GO-free nickel coating (up to
389 47% less against a bearing steel ball, and 30% less against an alumina ball). As the GO
390 load increases from 0 to 4 mg/ml, the wear rate of the resulting composite can be reduced
391 significantly by approximately 90%. The lubrication and wear mechanisms of the composite
392 coatings were studied by EDS, Raman, FIB and nanoindentation, which suggest the effects
393 of GO as mechanical strengthening, reducing the adhesion between the tribo-pair and
394 promoting the retention of oxide tribo-film on the coating. Interestingly, close microscope
395 examination showed sliding-induced GO rolls in the wear tracks, suggesting an additional
396 lubricating effect. The incorporation of graphite particles can also improve the tribological
397 performance of the coating by the lubricating effect of graphite, but the improvement is
398 limited compared to those with GO due to the size and distribution effect of the graphite
399 incorporations.

400 **Acknowledgements**

401 This work was supported by EC H2020 HIMALAIA project [contract No. 766871]. SQ
402 wishes to express his appreciation to China Scholarship Council (CSC) and School of
403 Metallurgy and Materials of University of Birmingham for his PhD studentship.

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