

Clinical utility of existing and second-generation interferon- γ release assays for diagnostic evaluation of tuberculosis

Interferon- γ Release Assays for Diagnostic Evaluation of Active Tuberculosis study group

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1 **TITLE: An observational cohort study to evaluate the clinical utility of current and**
2 **second-generation interferon-gamma release-assays in diagnostic evaluation of**
3 **tuberculosis**

4

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51 **RESEARCH IN CONTEXT**

52 **Evidence before study**

53 Although the role of IGRAs in diagnosis of active TB is unclear, their use in clinical practice
54 is common. A comprehensive systematic review and meta-analysis published in 2011
55 describes data from studies evaluating diagnostic accuracy of IGRAs in active TB up to
56 November 2009. We therefore searched PubMed for original research studies published in
57 any language between December 2009 and June 2018, using search terms for tuberculosis
58 AND interferon gamma release assays, T-SPOT.TB or Quantiferon AND diagnosis,
59 evaluation, rule-in or rule-out. The evidence-base to-date suggests that current IGRAs have
60 insufficient specificity to rule in TB and insufficient sensitivity to rule out TB. However, this
61 is derived primarily from studies that are either small, low quality, or not representative of
62 patient populations seen in real-life clinical practice. Only one large prospective cohort study
63 embedded in routine practice was identified, but in a high TB-incidence setting. Thus, fifteen
64 years after introduction of IGRAs, the ability of policy-makers in low TB-incidence settings
65 to generate recommendations and guidelines for the role of IGRAs in active TB is still
66 hampered by a paucity of reliable and informative evidence.

67 **Added value of this study**

68 This is the largest prospective study specifically to define the role of IGRAs in diagnosis of
69 active TB in a low TB incidence setting. Because the study was multicentre and embedded in
70 routine clinical practice in England, and recruited patients representing the full natural
71 clinical spectrum of TB, the results are generalisable to other high income, low incidence
72 settings. By demonstrating that existing IGRAs have no useful role in diagnosis of active TB,
73 it resolves a major clinical uncertainty and represents a significant new high-quality
74 component of the evidence-base. Simultaneous evaluation of second-generation IGRA

75 identifies this as a potentially useful high-sensitivity triage test that meets a major unmet
76 clinical need.

77 **Implications of all the available evidence**

78 Results from this and previous studies can now be used to generate evidence-based national
79 guidelines and recommendations for TB diagnosis. Specifically, neither T-SPOT.TB nor
80 QFT-GIT have sufficient sensitivity or negative predictive value (NPV) to rule out a
81 diagnosis of TB. Taken together with their low specificity and consequent inability to rule in
82 a diagnosis of TB, existing IGRAs do not have a clinically useful role in the diagnostic work-
83 up of TB. The finding that the second-generation IGRA may have sufficiently high
84 sensitivity, low negative likelihood ratio and high NPV to serve as a triage test to help rule-
85 out a diagnosis of TB within 24 hours indicates a clinically useful role for this novel test and
86 provides the basis for evidence-based guidelines on its use in low incidence settings once it is
87 widely available post-licensure.

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104 **ABSTRACT**

105 **Background**

106 The role of interferon-gamma release assays (IGRAs) in diagnosis of active tuberculosis (TB)
107 is unclear, yet they are commonly used in low-TB-incidence countries. This study sought to
108 resolve this clinical uncertainty by determining the diagnostic accuracy and role of current
109 and second-generation IGRAs in the diagnostic assessment of suspected TB in a low-
110 incidence setting.

111 **Methods**

112 This was a prospective cohort study of 1,060 adults with suspected TB, conducted in routine
113 secondary care in England. Patients were tested for *M. tuberculosis* (Mtb) infection at
114 baseline using current and second-generation IGRAs, the latter incorporating novel Mtb
115 antigens, and followed up for 6-12m to establish definitive diagnoses. Sensitivity, specificity
116 and positive and negative likelihood ratios (LRs) and predictive values (PVs) of the tests for
117 TB were determined.

118 **Findings**

119 TB was diagnosed in 363 (43%) of 845 patients included in analyses. Sensitivity of T-
120 SPOT.TB was 81.4% (95%CI 76.6-85.3%), higher than Quantiferon-Gold In-Tube at 67.3%
121 (95%CI 62.0-72.1%). Second-generation IGRA had higher sensitivity than current tests, at
122 94.0% (95%CI 90.0–96.4%) for culture-confirmed TB and 89.2% (95%CI 85.2–92.2%) when
123 including highly-probable TB, giving a negative LR for all TB of 0.13 (95%CI 0.10-0.19).
124 Specificity ranged from 86.2% (95%CI 82.3-89.4%) for T-SPOT.TB to 80.0% (95%CI 75.6-
125 83.8%) for second-generation IGRA.

126 **Interpretation**

127 Currently-available IGRAs lack sufficient accuracy for diagnostic evaluation of suspected
128 TB. Second-generation tests, however, may have sufficiently high sensitivity, low negative
129 LR and correspondingly high negative PV in low-incidence settings to facilitate prompt rule-
130 out of TB.

131 **Funding**

132 This study was funded by the National Institute for Health Research.

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153 INTRODUCTION

154 Prompt diagnosis and treatment of active tuberculosis (TB) are essential for optimal patient
155 outcomes and preventing onward transmission in the community and healthcare facilities.¹

156 However, diagnostic assessment of suspected TB can be lengthy, costly and burdensome for
157 patients and healthcare systems,² often resulting in significant delays in diagnosis and
158 treatment of other diseases in cases where suspected TB is eventually ruled out. Improving

159 and accelerating diagnostic evaluation thus remains a clinical and public health priority in
160 high-income, low-incidence countries, as well as high-burden regions. Recently, great

161 advances in molecular diagnostics, such as GeneXpert (Cepheid Inc, Sunnyvale, CA, USA),
162 have improved the speed and accuracy of microbiologic diagnosis and enabled prediction of
163 antibiotic susceptibility.³ However, whilst such tests have high specificity (which is important

164 for ‘rule-in’), they have insufficient sensitivity to rule out TB and require clinical specimens
165 from anatomical disease sites, often requiring resource-intensive invasive procedures.⁴ A

166 blood test of high diagnostic sensitivity could help to promptly (e.g. in 24h) triage patients at
167 clinical presentation (Appendix: Supplementary Panel, page 1); this would address a major

168 unmet clinical need and has been prioritised by the World Health Organisation (WHO).⁵

169 Given the paucibacillary nature of most cases of culture-negative TB, such a test would likely
170 be based on measurement of immune responses to *M. tuberculosis* (Mtb) rather than direct
171 detection of the bacteria or nucleic acids.

172 Interferon-gamma release-assays (IGRAs) are regulatory-approved immune-based blood tests
173 for detecting Mtb infection. By measuring T-cell responses to two strongly immunogenic but

174 highly specific Mtb antigens (ESAT-6 and CFP-10), they are not confounded by prior

175 *Bacillus Calmette-Guérin* (BCG) vaccination and provide higher diagnostic specificity than

176 the tuberculin skin test (TST).⁶ Since Mtb infection is a pre-requisite for TB disease, a
177 negative IGRA result could potentially rule-out a diagnosis of TB disease (i.e. exclude TB
178 from the differential diagnosis), though prior evidence suggests the sensitivity of current
179 IGRAs may be insufficient to fulfil this triage function.^{1,7-9}

180 Although established as the new standard-of-care for diagnosing latent TB infection (LTBI),
181 IGRAs are currently not recommended in diagnosis of active TB other than in specific
182 scenarios, such as paediatric TB, with caveats around interpretation and level of expertise
183 required.^{10,11} However, development of definitive recommendations has been hindered by a
184 lack of robust and informative evidence. Most studies of diagnostic accuracy of IGRAs in
185 active TB to date are retrospective reviews of hospital records and TB registry data or small-
186 scale case-control studies, typically not representative of the heterogeneous patient
187 population seen in real-life clinical practice. Although one large prospective cohort study
188 embedded in routine practice and including head-to-head comparison of T-SPOT.TB and
189 QFT-GIT was recently published, this was in a high TB-incidence setting.¹² Prospective
190 cohort studies conducted in low-incidence settings have been substantially smaller.^{1,8}

191 Given the shortfalls associated with available TB diagnostics, IGRAs continue to be used
192 widely in clinical practice in the UK, albeit resulting in complexities and challenges in
193 interpretation of results.¹¹ A large-scale prospective head-to-head comparison of diagnostic
194 performance of IGRAs in routine practice is therefore required to conclusively define what, if
195 any, clinical role they have in diagnosis of active TB, allowing development of evidence-
196 based and authoritative recommendations in this setting.

197 Discovery of other highly-specific Mtb antigens as strongly immunogenic as ESAT-6 and
198 CFP-10 presents an opportunity to develop second-generation IGRAs of higher
199 sensitivity.^{13,14} Furthermore, they may allow development of an 'ESAT-6-free' IGRA for
200 application in populations vaccinated with new ESAT-6-based TB vaccines, as previously

201 described.¹⁵ Studies suggest adaptation of existing IGRAs with these novel antigens is
202 possible,^{1,14,16} but no large-scale prospective clinical evaluation of this novel approach has
203 been conducted in routine practice in a low TB-incidence setting.

204 We therefore sought to evaluate the clinical utility of existing IGRAs, T-SPOT.TB (Oxford
205 Immunotec plc, Abingdon, UK) and Quantiferon-Gold In-tube (QFT-GIT; Qiagen NV), and
206 second-generation IGRAs in patients presenting with suspected TB in UK clinical practice.

207

208 **METHODS**

209 We conducted a prospective, multicentre, cohort study in routine clinical practice to
210 determine the diagnostic accuracy of commercially-available and second-generation IGRAs
211 in active TB. A within-patient design was used to compare test accuracy by performing all
212 IGRAs on blood samples from each study participant, with the presence or absence of active
213 TB verified using a composite reference standard (Table 1).¹ This design minimises between-
214 patient variability. The study was approved by Camden and Islington National Research
215 Ethics Committee (11/H0722/8). The study protocol is available at [https://njl-
216 admin.nihr.ac.uk/document /download/2006627](https://njl-admin.nihr.ac.uk/document/download/2006627), and a STARD checklist is provided in the
217 Appendix (Supplementary Checklist, pages 2-3).

218 **Study participants**

219 Adult inpatients and outpatients presenting with suspected active TB (based on signs and
220 symptoms assessed by the attending hospital clinician) were consecutively enrolled from ten
221 National Health Service (NHS) hospitals in five UK cities (London, Slough, Oxford,
222 Leicester and Birmingham). Patients were enrolled at presentation to infectious disease and
223 respiratory medicine secondary care services, before a final diagnosis was made, and a wide
224 spectrum of pre-test probabilities for active TB were included. Exclusion criteria were limited

225 to age <16y and inability/unwillingness to provide informed consent. Centres were selected
226 to ensure the population was representative of ethnic mix and range of co-morbidities.

227 **Participant enrolment and follow-up**

228 Participants were first seen by research nurses at enrolment. Following consent, a baseline
229 blood sample was drawn and data collected in a case report form on the demographics and
230 medical history of the participant, and investigations performed in their routine diagnostic
231 work-up. Participants were followed up two and six months thereafter with data collected on
232 any subsequent investigations, test results and clinical diagnoses, and response to TB
233 treatment if initiated. Patients with a definitive non-TB diagnosis who were discharged from
234 routine care were not required to attend follow-up visits but, where necessary, data were
235 collected from hospital records up to 12 months after enrolment to identify final diagnoses
236 made by hospital clinicians.

237 **Diagnosis and diagnostic categorisation**

238 Participants were investigated in routine practice under the direction of the infectious disease
239 or respiratory medicine attending physician. After completion of follow-up in this routine
240 hospital setting, participants' final diagnoses were verified using a composite reference
241 standard¹ by a panel of ≥ 4 respiratory medicine and infectious disease clinicians specialising
242 in TB. The panel assessed anonymised clinical data (patient demographics, medical history,
243 TB symptoms, previous TB information, TB exposure history, current medication, human
244 immunodeficiency virus (HIV) status, relevant clinical correspondence, test results during
245 diagnosis and follow-up, and any other relevant clinical information) whilst blinded to all
246 IGRA results (including IGRAs carried out as part of routine practice at recruiting sites).
247 Diagnoses of all participants were categorised into the following groups, as previously
248 defined¹ (Table 1): definite TB (category 1); highly-probable TB (category 2); clinically
249 indeterminate (category 3); and non-TB (category 4). Category 4 participants were sub-

250 divided based on risk factors for LTBI (Table 1). Final diagnoses and diagnostic categories
251 were determined by consensus across the panel.

252 **Laboratory procedures**

253 Blood samples (35ml) were collected into heparinised and QFT-GIT blood collection tubes
254 from all participants at enrolment, before any diagnosis was made. QFT-GIT and T-
255 SPOT.TB were carried out and interpreted in real-time at the TB Research Centre (Imperial
256 College London) according to the manufacturer's instructions, and as described in Whitworth
257 et al.⁶ The second-generation IGRA used the T-SPOT.TB platform and incorporated ESAT-6,
258 CFP-10 and Rv3615c; the 'ESAT-6-free' IGRA incorporated CFP-10, Rv3615c and
259 Rv3879c. Further details on assay methods and interpretation of results are provided in the
260 Appendix (Supplementary Methods, pages 4-5). Laboratory scientists performing study
261 IGRAs were blinded to all clinical information, diagnoses and personal identifiers.

262 **Statistical Analyses**

263 The study was powered to detect a 10% difference in sensitivity between T-SPOT.TB and
264 QFT-GIT, assuming a sensitivity of 85% for T-SPOT.TB and 75% for QFT-GIT.^{1,7-9}
265 Accounting for the paired nature of the data and assuming independence of errors,¹⁶ 855
266 patients (after loss-to-follow-up (LTFU)/withdrawal and missing/excluded index/reference
267 test results) were required to detect this difference at the 5% significance level (two-tailed)
268 with 90% power, based on a predicted 40% prevalence of active TB in the study population.
269 Sensitivity, specificity, positive and negative predictive values (PPV; NPV), and positive and
270 negative likelihood ratios (PLR; NLR) for each test were calculated. Ninety-five percent
271 confidence intervals (CIs) were calculated using the Wilson method for proportions^{18,19} and
272 the method by Simel *et al* for LRs.²⁰ All patients in diagnostic categories 1, 2 and 4 were
273 included in analyses (Table 1); category 3 patients were reported but not included in analyses.

274 Patients with indeterminate IGRA or borderline TSPOT-TB results were excluded from
275 primary analyses, but included as test-positives in sensitivity analyses. Sensitivity analyses
276 were also conducted to investigate the impact of (1) excluding category 2 patients on IGRA
277 sensitivity and (2) excluding category 4A-C patients on IGRA specificity. To compare the
278 accuracy of two IGRAs, we fitted separate generalized estimating equation (GEE) models for
279 patients with and without active TB to estimate differences in sensitivity and specificity,
280 respectively. This approach exploits the paired nature of the data whilst allowing use of all
281 available data if test results were missing for either IGRA. We computed ratios of
282 sensitivities (relative-sensitivity) and specificities (relative-specificity) from the GEE models
283 using a post-estimation procedure with CIs computed using the delta method. Analyses were
284 performed using Stata, version 13.0 (Stata, College Station, Texas).

285 **Role of the funding source**

286 The study funder, the National Institute for Health Research (NIHR), played no role in study
287 design, data collection, analysis or interpretation, or writing of the report. The corresponding
288 author had full access to all data in the study and had final responsibility for the decision to
289 submit for publication.

290

291 **RESULTS**

292 **Participant flow**

293 Participant flow in the study is shown in Figure 1. Patients (n=1,060) with suspected active
294 TB were consented and enrolled between 25 November 2011 and 31 August 2013. Those
295 with a history of prior TB diagnosis (n=99) were excluded from analyses, as in previous
296 studies.¹² Additionally, 116 patients were excluded for reasons provided in Figure 1, giving a
297 final study population of 845 patients.

298 **Demographic & clinical characteristics**

299 Demographic and clinical characteristics for the final study population are shown in Table 2.
300 The median age of the cohort was 38y (range 16-86y); 501/845 (59%) were male, and
301 412/845 (48%) were of Indian Subcontinent origin. One or more co-morbidities were
302 reported in 427/845 (51%) participants (Table 2). Medications at presentation are shown in
303 the Appendix (Supplementary Table 1, page 6). The most common symptoms reported at
304 presentation were cough, weight-loss and lethargy (Appendix: Supplementary Table 2, page
305 7).

306 **Diagnostic classification of patients**

307 Among the study cohort, 363/845 patients (43%) had a final diagnosis of active TB (Table 1);
308 261/845 (31%) culture-confirmed (category 1), and 102/845 (12%) highly-probable
309 (Category 2). Of all active TB cases (categories 1 and 2), 129/363 (36%) were pulmonary,
310 189/363 (52%) were extra-pulmonary and 45/363 (12%) were both (Table 3); most 154/363
311 (42%) had lymph node involvement. Of Mtb isolates undergoing drug-susceptibility testing,
312 21/261 (6%) were drug-resistant and one was multi-drug-resistant. TB was excluded
313 (category 4) in 439/845 (52%) patients. These were sub-classified according to risk factors
314 for LTBI or inactive TB into categories 4A-D in decreasing likelihood of having Mtb
315 infection (Table 1).¹ Most common non-TB diagnoses are listed in Table 3. Only 43/845
316 patients (5.1%) were classified as clinically indeterminate (category 3).

317 **Diagnostic accuracy of T-SPOT.TB and QFT-GIT**

318 T-SPOT.TB and QFT-GIT results were available for 809/845 (96%) and 820/845 (97%)
319 study participants, respectively; 805/845 (95%) patients had data for both IGRAs. Diagnostic
320 sensitivity, specificity, PPV, NPV, PLR and NLR are shown in Table 4, with a cross-
321 tabulation of T-SPOT.TB and QFT-GIT results in patients with active TB and non-TB
322 diagnoses provided in the Appendix (Supplementary Table 3, page 8). Sensitivity of T-
323 SPOT.TB was 84.9% (95%CI 79.5-89.0%) for culture-confirmed TB and 81.4% (95%CI

324 76.6-85.3%) for all TB, giving an NPV of 84.7% (95%CI 80.6-87.9%) and NLR of 0.22
325 (95%CI 0.17-0.27) for all TB. Specificity was 86.2% (95%CI 82.3-89.4%) for all non-TB
326 patients and 93.5% (95%CI 86.6-97.0%) for cases with no risk factors for LTBI (category
327 4D). Sensitivity of QFT-GIT was 70.6% (95%CI 64.4-76.1%) for culture-confirmed TB and
328 67.3% (95%CI 62.0-72.1%) for all TB, giving an NPV of 74.0% (95%CI 69.5-78.0%) and
329 NLR of 0.41 (95%CI 0.35-0.48) for all TB. Specificity was 80.4% (95%CI 76.1-84.1%) for
330 all non-TB patients and 93.4% (95%CI 86.4-96.9%) for cases with no risk factors for LTBI.
331 Sensitivity and specificity of T-SPOT.TB were superior to QFT-GIT; relative sensitivity was
332 1.20 (95%CI 1.12-1.29) with $p<0.0001$, and relative specificity was 1.07 (95%CI 1.02-1.12)
333 with $p=0.004$.

334 **Diagnostic accuracy of second-generation and ESAT-6-free IGRA**

335 Second-generation and ESAT-6-free IGRA results were available for 809/845 (96%) patients
336 (Table 4). Sensitivity of second-generation IGRA was 94.0% (95%CI 90.0-96.4%) for
337 culture-confirmed TB and 89.2% (95%CI 85.2-92.2%) for all TB, giving an NPV of 90.0%
338 (95%CI 86.2-92.8%) and NLR of 0.13 (95%CI 0.10-0.19) for all TB. Specificity was 80.0%
339 (95%CI 75.6-83.8%) for all non-TB patients and 91.3% (95%CI 83.8-95.5%) for cases with
340 no risk factors for LTBI. Sensitivity of ESAT-free IGRA was 93.4% (95%CI 89.2-96.0%) for
341 culture-confirmed TB and 88.0% (95%CI 83.8-91.2%) for all TB, giving an NPV of 89.2%
342 (95%CI 85.4-92.1) and NLR of 0.15 (95%CI 0.11-0.21) for all TB. Specificity was 79.6%
343 (95%CI 75.2-83.4%) for all non-TB patients and 90.3% (95%CI 82.6-94.8%) for cases with
344 no risk factors for LTBI. Comparing second-generation IGRA with T-SPOT.TB, relative
345 sensitivity was 1.08 (95%CI 1.04–1.11) with $p<0.0001$, and relative specificity was 0.94
346 (95%CI 0.91–0.96) with $p<0.0001$. For ESAT-6-free IGRA versus T-SPOT.TB, relative
347 sensitivity was 1.07 (95%CI 1.03–1.10) with $p=0.0002$, and relative specificity was 0.93
348 (95%CI 0.90–0.96) with $p<0.0001$. A cross-tabulation of second-generation IGRA against T-

349 SPOT.TB results and table of response magnitudes for each individual antigen are provided
350 in the Appendix (Supplementary Tables 4 (page 9) and 5 (page 10) respectively).

351 **Test performance in key patient subgroups**

352 Of culture-confirmed TB cases with available smear microscopy results, 165/232 (71%) were
353 smear-negative (57/165 with pulmonary TB, 80/165 with extra-pulmonary TB and 28/165
354 with both). Sensitivities of T-SPOT.TB, QFT-GIT, second-generation IGRA and ESAT-6-
355 free IGRA in this population were 85.9% (95%CI 79.2%-90.7%), 68.6% (95%CI 60.9%-
356 75.4%), 93.8% (95%CI 88.5%-96.7%) and 92.9% (95%CI 87.4%-96.1%), respectively.

357 Among HIV-infected study participants, 25/135 (19%) had a final diagnosis of active TB and
358 108/135 (80%) had TB excluded; 27/88 (31%) diabetic participants had a final diagnosis of
359 TB (Table 2). Sensitivity and specificity of all IGRAs for active TB in patients with HIV-
360 infection and diabetes are shown in the Appendix (Supplementary Tables 6 (page 11) and 7
361 (page 12), respectively).

362 **Indeterminate and borderline results**

363 There was a trend towards a higher indeterminate rate for QFT-GIT (79/820; 9.6%) than
364 T-SPOT.TB (57/809; 7.0%; $p=0.07$), and rates for QFT-GIT were higher than second-
365 generation IGRA (55/809; 6.8%; $p=0.04$) and ESAT-6-free IGRA (55/809; 6.8%; $p=0.04$).
366 Most indeterminate results occurred in non-TB patients (Appendix: Supplementary Tables 3
367 (page 8) and 4 (page 9)). T-SPOT.TB results were borderline in 17/345 (4.9%) patients with
368 active TB and 16/423 (3.8%) with non-TB diagnoses. Lowering the cut-off of T-SPOT.TB
369 from eight to five SFCs (thereby scoring all borderline results as positive) did not improve
370 diagnostic performance of T-SPOT.TB or either of the second-generation IGRAs, giving only
371 a marginal increase in sensitivity at the cost of a decrease in specificity (Supplementary Table
372 8; page 13). Scoring both indeterminate and borderline results as positives also did not affect
373 test performance in sensitivity analyses (Table 4, footnote f).

374

375 **DISCUSSION**

376 This is the largest prospective cohort study embedded in real-life clinical practice to assess
377 and compare the role of IGRAs in the evaluation of suspected pulmonary and extrapulmonary
378 TB in a low TB-incidence setting. Although T-SPOT.TB had significantly higher sensitivity
379 than QFT-GIT, neither assay had sufficient sensitivity or NPV to rule out a diagnosis of
380 active TB. In contrast, the second-generation IGRAs, incorporating Rv3615c alongside ESAT-
381 6 and CFP-10, had significantly higher diagnostic sensitivity than T-SPOT.TB and QFT-GIT.
382 Interestingly, and reflecting common practice despite the absence of good evidence or
383 guidelines supporting use of IGRAs in this setting, 35% of study patients, distributed across
384 the recruiting sites, had IGRAs performed as part of their routine diagnostic work-up for
385 active TB (data not shown).

386 The NLR of 0.13 for second-generation IGRAs means a negative test result would reduce the
387 odds of TB post-test by a clinically-meaningful factor of 7.7-fold compared to pre-test. The
388 NPV for all TB, including highly-probable cases, was 90% despite the 43% prevalence in this
389 population presenting to urban infectious diseases and respiratory medicine services with
390 suspected TB. Since our study was performed in routine clinical practice and encompassed
391 the full, natural clinical spectrum of TB and non-TB diagnoses, the results are likely
392 generalizable across clinical practice in high-income, low-incidence countries. Accordingly,
393 in clinical settings with a low-to-moderate pre-test probability of TB, such as general medical
394 inpatient and outpatient services or primary care, second-generation IGRAs have sufficiently
395 low NLR to almost rule out TB. For example, a negative test result would convert pre-test
396 probabilities of 20% and 10% to post-test probabilities of 3.1% and 1.4%, respectively. This
397 would provide a useful prompt triage of patients on initial presentation, similar to the role
398 played by other diagnostic tests of high sensitivity and limited specificity, such as serum D-

399 dimer to triage patients with low-to-moderate suspicion of venous thromboembolism.²¹ To
400 our knowledge, other currently-available tests for TB lack required diagnostic sensitivity to
401 fulfil this role. Although Xpert MTB/RIF Ultra has shown diagnostic sensitivity of 88%, its
402 sensitivity in smear-negative, culture-positive TB is only 63%³ (and sensitivity of Xpert even
403 lower⁴), compared to 93.8% (CI 88.6%-96.7%) for second-generation IGRA in this
404 diagnostically challenging subgroup who frequently have paucibacillary disease. However,
405 the very high specificity of molecular tests such as Xpert provides high PPV, enabling rule-in
406 of active TB. Second-generation IGRA may thus play a complementary role to rapid
407 molecular tests in the diagnostic work-up of suspected TB.

408 Given that IGRAs are the standard-of-care for detecting LTBI,^{10,11} they will inevitably
409 identify LTBI in cases where active TB has been excluded. Because most people with
410 possible TB in low-burden countries are from ethnic groups with a high prevalence of
411 LTBI,²² as in our study, the diagnostic specificity for active TB is low for all IGRAs, and
412 would be lower still in high-burden countries. The enhanced diagnostic sensitivity of the
413 second-generation IGRA was accompanied by only a modest reduction in specificity to 80%,
414 similar to QFT-GIT. Our study confirms that the low specificity and PLR of current and
415 second-generation IGRAs mean that a positive result cannot rule in a diagnosis of TB.
416 Interestingly, the specificity of all IGRAs increased to 90-93% in patients with active TB
417 excluded and no risk factors for LTBI (Category 4D). Thus, a positive IGRA result may help
418 to keep a diagnosis of active TB in the differential diagnosis in populations with a very low
419 prevalence of LTBI, which however is not usually the case in patient populations being
420 assessed for possible TB.

421 Two of the leading new TB vaccine candidates, Hybrid 1-IC31²³ and H56:IC31,²⁴ contain
422 ESAT-6 and may induce conversion of IGRA results in vaccinated individuals. If these
423 vaccines show protective efficacy in ongoing clinical trials and achieve licensure, ESAT-6-

424 containing IGRAs will give false-positive results in vaccinated persons who are not Mtb-
425 infected, analogous to false-positive TST results in Mtb-uninfected persons with prior BCG
426 vaccination. Diagnostic accuracy of ESAT-6-free IGRA was very similar to second-
427 generation IGRA and thus has potential to replace other IGRAs in populations immunised
428 against TB with ESAT-6-based vaccines.

429 Two of the most important global risk factors for TB are HIV co-infection²⁵ and diabetes,²⁶
430 both of which have been reported to adversely affect IGRA performance.^{27,28} Performance of
431 current IGRAs in patients with HIV-infection and diabetes in this study was insufficient to be
432 of value in the diagnosis of active TB. Performance appeared to be lower in HIV-infected and
433 diabetic subgroups, but the small numbers of patients with TB in these subgroups precluded
434 statistical comparisons. This was also the case for other types of immunosuppression
435 associated with TB, such as chronic kidney disease and immunosuppressive medication.

436 Strengths of our study include the rigorous case definitions, including six-months follow-up
437 to confirm that a diagnosis of TB was excluded where a non-TB diagnosis was not made at
438 presentation. For highly-probable TB, we used a composite reference standard¹ that was
439 applied by a panel of expert and experienced clinicians, blinded to IGRA results. Despite this
440 stringent case definition, it is likely that a proportion of patients without TB were incorrectly
441 categorised as having highly-probable TB, which would explain why all IGRAs had lower
442 sensitivity for highly-probable TB than for all TB, which includes culture-confirmed cases.
443 Thus, our estimates of diagnostic sensitivity for all TB, which includes highly-probable TB,
444 are likely conservative. This highlights the significance of increased IGRA sensitivity in
445 culture-confirmed TB (and the importance of including this sub-group in study analyses) as
446 this is the only population in whom TB diagnoses are definitive.

447 Our study has some limitations. First, it does not include children, in whom the unmet clinical
448 need for improved diagnosis of TB is high. Second, the numbers of patients with risk-factors

449 associated with immunosuppression that do (e.g. HIV-infection) or might (e.g. diabetes)
450 affect test performance were modest, precluding clear conclusions about test performance in
451 these subpopulations. Third, whilst blood collection and assays were performed strictly in
452 accordance with manufacturers' instructions, IGRAs were not performed in a routine
453 diagnostic service laboratory, and re-testing of new samples was not performed in cases
454 where initial results were indeterminate or borderline (as recommended by manufacturers).
455 Although the QFT-GIT has been replaced by the QFT-GIT-Plus since our study was
456 conducted, its diagnostic accuracy does not appear to be significantly better than QFT-GIT
457 and there is no evidence it is as sensitive as T-SPOT.TB.^{29,30} Therefore, our conclusion that
458 neither existing IGRA has a clinically useful role in the evaluation of suspected active TB is
459 unaffected by availability of QFT-GIT-Plus.

460 In conclusion, our study provides conclusive and generalizable evidence that existing IGRAs
461 do not have a useful role as rule-in or rule-out tests in routine clinical practice. However,
462 second-generation IGRAs have higher sensitivity and NPV which may help to rule out a
463 diagnosis of TB in clinical settings with a low-to-moderate prevalence of TB.

464

465 **CONTRIBUTORS**

466 HSW was responsible for day-to-day management of the IDEA study, including oversight of
467 clinical and laboratory data collection and management. AB managed participant recruitment,
468 follow-up activities and clinical data collection, and contributed to data management. AAB
469 contributed to laboratory data collection, data management and quality assurance. YT led
470 statistical analyses and producing data tables and figures, and contributed to data
471 interpretation. MRR led the study set-up and initial management, and built the study
472 databases. CP contributed to statistical analyses and producing data tables and figures. HL
473 contributed to laboratory data collection and managed the laboratory database. JI led the

474 expert clinical panel. GC, ML, CC, DM, FC, FP, MW and GW contributed to patient
475 recruitment, data collection and the study expert diagnostic clinical panel. JD contributed to
476 study design, data analyses and interpretation of results. OMK and AL co-led study
477 conceptualisation, design, oversight and interpretation of results. Writing of the manuscript
478 was co-led by HSW and AL, and all authors contributed to its drafting and revision.

479

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491

492 **DECLARATION OF INTERESTS**

493 HSW, AB, AAB, MRR and HL were employed by Imperial College London using grant
494 funding from NIHR to conduct the work described in this paper. JD, YT and CP, whilst
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496 work described in this paper. The funding contributed to their salary costs. JI, GC, ML, CC,
497 DM, FC, FP, MW and GW have no conflicts of interest to declare. JD reports grants from
498 NIHR during the conduct of the study outside the submitted work. OMK is employed by

499 Imperial Healthcare Trust and was partially paid by the NIHR grant from Imperial College
500 London. OMK received other grants from NIHR during the conduct of the study and has
501 received speaker fees from Oxford Immunotec. He chairs a non-remunerated independent
502 committee that organizes an annual educational symposium on tuberculosis, sponsored by
503 Qiagen. AL is named inventor on patents pertaining to T cell-based diagnosis, including
504 current and second-generation IGRA technologies. Some of these patents were assigned by
505 the University of Oxford to Oxford Immunotec plc, resulting in royalty entitlements for the
506 University of Oxford and AL.

507

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518

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631 **Table 1: Pre-defined criteria for case definitions and diagnostic categories.¹**

Diagnostic category	Criteria	Number of Patients
1: Culture-confirmed TB ^a	Microbiological culture of <i>M. tuberculosis</i> , AND suggestive clinical and radiological findings.	261
2: Highly-probable TB ^a	Clinical and radiological features highly suggestive of TB unlikely to be caused by other disease, AND a decision to treat made by a clinician, AND appropriate response to therapy, AND histology supportive if available.	102
3: Clinically indeterminate	Final diagnosis of TB neither highly-probable, nor reliably excluded.	43
4: Active TB excluded		
Sub-classification		
4A: Inactive TB	Stable CXR changes, AND TST positive ^b (if done), AND bacteriologically negative (if done), AND no clinical evidence of active disease.	7
4B: One or more risk factors for TB exposure ^c , TST positive ^b	TST positive ^b , AND bacteriologically negative (if done) AND no clinical evidence of active disease.	48
4C: One or more risk factors for TB exposure ^c , TST negative	History of TB exposure, AND TST negative (if done).	267
4D: No risk factors for TB exposure ^c , TST negative	No history of TB exposure, AND TST negative (if done)	117
Total		845

632 CXR, chest radiograph; TB, tuberculosis; TST, tuberculin skin test.

633 ^aMtb culture is the gold standard test for diagnosis of active TB. However, given that even culture does not
634 detect all TB cases, our previously-validated reference standard includes a second category for culture-negative
635 but highly-probable active TB diagnoses, made based on other available evidence.¹

636 ^bTST using Mantoux test with threshold ≥ 15 mm considered positive

637 ^cRisk factors for TB exposure: recent exposure to active TB patient; born in country of high prevalence; or
638 belonging to an ethnic group with a very high prevalence of TB (incidence >100/100,000).

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646 **Table 2: Demographics and clinical characteristics.** Column percentages for each characteristic are shown.

Characteristic	Diagnosis as per Reference Standard ¹				Total N=845
	Culture-confirmed TB N=261	Highly-probable TB N=102	Clinically indeterminate N=43	Active TB excluded N=439	
Clinical setting, n (%)					
Outpatient	171 (65.5)	72 (70.6)	32 (74.4)	269 (61.3)	544 (64.4)
Inpatient	90 (34.5)	30 (29.4)	11 (25.6)	170 (38.7)	301 (35.6)
Median age (range), years	32 (16–81)	36 (18–76)	38 (16–79)	44 (17–86)	38 (16–86)
Male, n (%)	177 (67.8)	53 (52.0)	21 (48.8)	250 (56.9)	501 (59.3)
Ethnic origin, n (%)					
Indian Subcontinent	167 (64.0)	61 (59.8)	16 (37.2)	168 (38.3)	412 (48.8)
Black	50 (19.2)	22 (21.6)	10 (23.3)	102 (23.2)	184 (21.8)
White	22 (8.4)	9 (8.8)	12 (27.9)	126 (28.7)	169 (20.0)
Asian	16 (6.1)	6 (5.9)	5 (11.6)	14 (3.2)	41 (4.9)
Middle Eastern	4 (1.5)	0	0	12 (2.7)	16 (1.9)
Mixed	1 (0.4)	4 (3.9)	0	8 (1.8)	13 (1.5)
Hispanic	1 (0.4)	0	0	7 (1.6)	8 (0.9)
Unknown	0	0	0	2 (0.5)	2 (0.2)
Median years in UK (range)	4.9 (0.1–52.9)	6.1 (0.3–59.7)	10.5 (0.4–56.9)	13.2 (0.0–60.3)	8.3 (0.0–60.3)
Profession, n (%) ^a					
Paid employment	130 (49.8)	52 (51.0)	21 (48.8)	214 (48.7)	417 (49.4)
Unemployed	62 (23.8)	24 (23.5)	16 (37.2)	164 (37.4)	266 (31.5)
Student	50 (19.2)	13 (12.8)	3 (7.0)	26 (5.9)	92 (10.9)
Healthcare/laboratory worker	16 (6.1)	9 (8.8)	2 (4.7)	24 (5.5)	51 (6.0)
Social/prison worker	1 (0.4)	1 (1.0)	0	2 (0.5)	4 (0.5)
Sex worker	0	1 (1.0)	0	2 (0.5)	3 (0.4)
Unknown	2 (0.8)	2 (2.0)	1 (2.3)	7 (1.6)	12 (1.4)
Median height (range), m	1.7 (1.4–2.0)	1.7 (1.5–1.9)	1.6 (1.5–1.8)	1.7 (1.3–2.0)	1.7 (1.3–2.0)
Median weight (range), kg	63 (35–127)	64 (40–116)	71 (37–110)	68 (38–157)	65 (35–157)
Median BMI (range)	22 (14–48)	22 (16–42)	24 (13–45)	24 (15–47)	23 (13–48)
BCG vaccinated, n (%)	194 (74.3)	79 (77.5)	36 (83.7)	340 (77.4)	649 (76.8)
BCG scar visible, n (%)					

Yes	172 (65.9)	72 (70.6)	29 (67.4)	283 (64.5)	556 (65.8)
No	12 (4.6)	3 (2.9)	3 (7.0)	19 (4.3)	37 (4.4)
Unknown	16 (6.1)	8 (7.8)	6 (14.0)	44 (10.0)	74 (8.8)
Missing	61 (23.4)	19 (18.6)	5 (11.6)	93 (21.2)	178 (21.1)
Recent known TB contact, n (%)	70 (26.8)	25 (24.5)	12 (27.9)	83 (18.9)	190 (22.5)
Other pre-existing conditions/co-morbidities, n (%) ^b					
None	169 (64.8)	61 (59.8)	19 (44.2)	169 (38.5)	418 (49.5)
HIV-infected	13 (5.0)	12 (11.8)	2 (4.7)	108 (24.6)	135 (16.0)
Diabetes	22 (8.4)	5 (4.9)	8 (18.6)	53 (12.1)	88 (10.4)
Asthma	12 (4.6)	5 (4.9)	4 (9.3)	50 (11.4)	71 (8.4)
Cancer	1 (0.4)	1 (1.0)	0	12 (2.7)	14 (1.7)
Chronic/end stage kidney disease	5 (1.9)	1 (1.0)	2 (4.7)	4 (0.9)	12 (1.4)
Hepatitis C	1 (0.4)	1 (1.0)	0	10 (2.3)	12 (1.4)
Hepatitis B	5 (1.9)	1 (1.0)	0	5 (1.1)	11 (1.3)
Organ transplantation	0	0	0	2 (0.5)	2 (0.2)
Sarcoidosis	1 (0.4)	0	0	0	1 (0.1)
Other	74 (28.4)	37 (36.3)	20 (46.5)	228 (51.9)	359 (42.5)

647 BMI, body mass index

648 ^aSome patients had more than one profession.

649 ^bSome patients had multiple co-morbidities.

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Table 3: Final diagnoses of patients with and without active TB

Confirmed or highly probably TB	n (%)	Active tuberculosis excluded^b	n (%)
N = 363		N = 439	
All TB	363 (100)	Pneumonia	104 (23.7)
Pulmonary	129 (35.5)	Sarcoidosis	38 (8.7)
Extrapulmonary	189 (52.1)	Cancer	36 (8.2)
Pulmonary and extrapulmonary	45 (12.4)	Lower respiratory tract infection	23 (5.2)
Site of disease ^a		Reactive lymphadenopathy	18 (4.1)
Lungs	174 (47.9)	Chest Infection	16 (3.6)
Lymph node	154 (42.4)	Exacerbation of asthma	14 (3.2)
Pleura	26 (7.2)	Upper respiratory tract infection	13 (3.0)
Spine	16 (4.4)	Non-tuberculosis mycobacterium infection	12 (2.7)
Miliary TB (disseminated)	11 (3.0)	Exacerbation of bronchiectasis	11 (2.5)
Abdomen	9 (2.5)	Exacerbation of COPD	8 (1.8)
Pericardium	6 (1.7)	Other ^c	158 (36.0)
Brain	6 (1.7)		
Musculoskeletal	5 (1.4)		
Chest wall	2 (0.6)		
Other	31 (8.5)		

COPD, Chronic obstructive pulmonary disease

^aSome patients had TB at multiple anatomical sites.

^bSome patients had multiple diagnoses.

^cLess than five cases per diagnosis.

Table 4: Diagnostic accuracy of current and second-generation IGRAs for diagnosis of active TB. Sensitivity, specificity and predictive values are presented as percentages.

Test performance	T-SPOT.TB ^{a,e,f}		QFT-GIT ^{a,e,f}		ESAT+ CFP10 + Rv3615c ^{a,e,f}		CFP10 + Rv3615c + Rv3879c ^{a,e,f}	
	n/N	Estimate (95%CI)	n/N	Estimate (95%CI)	n/N	Estimate (95%CI)	n/N	Estimate (95%CI)
Sensitivity for active TB								
All TB	253/311	81.4 (76.6–85.3)	220/327	67.3 (62.0–72.1)	273/306	89.2 (85.2–92.2)	263/299	88.0 (83.8–91.2)
Culture-confirmed TB	185/218	84.9 (79.5–89.0)	163/231	70.6 (64.4–76.1)	203/216	94.0 (90.0–96.4)	197/211	93.4 (89.2–96.0)
Highly-probable TB ^b	68/93	73.1 (63.3–81.1)	57/96	59.4 (49.4–68.7)	70/90	77.8 (68.2–85.1)	66/88	75.0 (65.0–82.9)
Smear-positive TB ^c	45/55	81.8 (69.7–89.8)	42/56	75.0 (62.3–84.5)	48/51	94.1 (84.1–98.0)	47/50	94.0 (83.8–97.9)
Smear-negative TB ^{c,d}	169/206	82.0 (76.2–86.7)	148/222	66.7 (60.2–72.5)	183/207	88.4 (83.3–92.1)	176/202	87.1 (81.8–91.1)
Pulmonary TB	79/105	75.2 (66.2–82.5)	79/115	68.7 (59.7–76.5)	88/100	88.0 (80.2–93.0)	85/97	87.6 (79.6–92.8)
Extra-pulmonary TB	141/169	83.4 (77.1–88.3)	113/171	66.1 (58.7–72.8)	148/167	88.6 (82.9–92.6)	142/164	86.6 (80.5–91.0)
Specificity for active TB								
Active TB excluded	319/370	86.2 (82.3–89.4)	304/378	80.4 (76.1–84.1)	296/370	80.0 (75.6–83.8)	296/372	79.6 (75.2–83.4)
Active TB excluded, TST-negative, no risk factors for LTBI	87/93	93.5 (86.6–97.0)	85/91	93.4 (86.4–96.9)	84/92	91.3 (83.8–95.5)	84/93	90.3 (82.6–94.8)
Predictive values for all TB								
Positive predictive value	253/304	83.2 (78.6–87.0)	220/294	74.8 (69.6–79.5)	273/347	78.7(74.1–82.7)	263/339	77.6 (72.8–81.7)
Negative predictive value	319/377	84.6 (80.6–87.9)	304/411	74.0 (69.5–78.0)	296/329	90.0 (86.2–92.8)	296/332	89.2 (85.4–92.1)
Likelihood ratios for all TB								
Positive likelihood ratio		5.90 (4.55–7.66)		3.44 (2.76–4.27)		4.46 (3.62–5.49)		4.31 (3.51–5.28)
Negative likelihood ratio		0.22 (0.17–0.27)		0.41 (0.35–0.48)		0.13 (0.10–0.19)		0.15 (0.11–0.21)

LTBI, latent tuberculosis infection; TST, tuberculin skin test.

^a25/845 QFT-GIT and 36/845 T-SPOT.TB and second-generation IGRAs results were missing due to blood draw difficulties, samples being unsuitable for testing, or samples being destroyed for laboratory reasons. Missing results were spread across all diagnostic categories.

^b'Highly-probable' TB includes culture-negative TB cases plus 10 patients with a final diagnosis of TB who did not have Mtb culture performed. Sensitivity (95%CI) results for culture-negative TB alone were as follows: T-SPOT.TB – 69.9% (59.3–78.7); QFT-GIT – 57.1% (46.5–67.2); second-generation IGRA (ESAT-6, CFP-10, Rv3615c) – 75.0% (64.5–83.2); ESAT-6-free IGRA (CFP-10, Rv3615c, Rv3879c) – 73.1% (62.3–81.7).

^c56/845 participants did not undergo smear microscopy.

^dAmong 165 patients who were smear-negative but culture-positive, 122/142 were T-SPOT.TB-positive; 105/153 were QFT-GIT-positive; 135/144 were positive in second-generation IGRA and 131/141 were positive in ESAT-6-free IGRA.

^eIndeterminate and borderline IGRA results were excluded from the analysis and thus also from data presented in this table. Numbers of indeterminate and borderline results for T-SPOT.TB/QFT-GIT and second-generation IGRA are presented in the Appendix (Supplementary Tables 3 (page5) and 4 (page 6), respectively).

^fWhen indeterminate and borderline results were included as test positives in sensitivity analyses (positive on the basis that such a result could not exclude a TB diagnosis), sensitivity (95%CI) results for all TB were as follows: T-SPOT.TB – 83.2% (78.9-86.8); QFT-GIT – 69.7% (64.7–74.2); second-generation IGRA (ESAT-6, CFP-10, Rv3615c) – 90.4% (86.9–93.1); ESAT-6-free IGRA (CFP-10, Rv3615c, Rv3879c) – 89.6% (85.9–92.4).