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Prediction of the intestinal resistome by a threedimensional structure-based method

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DOI: 10.1101/196014 10.1038/s41564-018-0292-6

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Document Version Peer reviewed version

Citation for published version (Harvard):

Ruppé, E, Ghozlane, A, Tap, J, Pons, N, Alvarez, A-S, Maziers, N, Cuesta, T, Hernando-Amado, S, Clares, I, Martínez, JL, Coque, TM, Baquero, F, Lanza, VF, Máiz, L, Goulenok, T, de Lastours, V, Amor, N, Fantin, B, Wieder, I, Andremont, A, van Schaik, W, Rogers, M, Zhang, X, Willems, RJL, de Brevern, AG, Batto, J-M, Blottière, HM, Léonard, P, Léjard, V, Letur, A, Levenez, F, Weiszer, K, Haimet, F, Doré, J, Kennedy, SP & Englisher CD 2010. Ehrlich, SD 2019, 'Prediction of the intestinal resistome by a three-dimensional structure-based method', Nature Microbiology, vol. 4, no. 1, pp. 112-123. https://doi.org/10.1101/196014, https://doi.org/10.1038/s41564-018-0292-6

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1 Prediction of the intestinal resistome by a 3D structure-based method

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45 **Opening paragraph**

46 The intestinal microbiota is considered to be a major reservoir of antibiotic resistance determinants 47 (ARDs) that could potentially be transferred to bacterial pathogens via mobile genetic elements (MGEs). 48 Yet, this assumption is poorly supported by empirical evidence due to the distant homologies between 49 known ARDs (mostly from culturable bacteria) and ARDs from the intestinal microbiota. Consequently, 50 an accurate census of intestinal ARDs (i.e. the intestinal resistome) has not yet been fully determined. 51 For this purpose, we developed and validated an annotation method (called pairwise comparative 52 modelling, PCM) based on 3D structure (homology comparative modelling) leading to the prediction of 53 6,095 ARDs in a catalogue of 3.9 million proteins from the human intestinal microbiota. We found that 54 the majority of predicted ARDs (pdARDs) were distantly related to known ARDs (mean amino acid identity 29.8%) and found evidence supporting their transfer between species. According to the 55 56 composition of their resistome, we were able to cluster subjects from the MetaHIT cohort (n=663) into 6 "resistotypes" that were connected to the previously described enterotypes. Finally, we found that the 57 58 relative abundance of pdARDs was positively associated with gene richness, but not when subjects 59 were exposed to antibiotics. Altogether, our results indicate that the majority of intestinal microbiota 60 ARDs can be considered as intrinsic to the dominant commensal microbiota and that these genes are 61 rarely shared with bacterial pathogens.

62

64 Introduction

65 Antimicrobial resistance is one of the major threats to health identified by the World Health Organization 66 for the next decades. The intestinal microbiota plays a pivotal role in this phenomenon as it harbours a 67 vast diversity of bacterial species, some of them possessing antibiotic resistance determinants (ARDs) 68 that may enable their survival under antibiotic exposure. Previous studies attempted to identify ARDs in 69 the intestinal microbiota²⁻⁴ but were confounded by the distant homologies between known ARDs 70 (mostly from culturable bacteria) and ARDs from the intestinal microbiota (which are generally not 71 cultured)^{5,6}. For these reasons, bioinformatic tools based on sequence comparison (ARG-ANNOT⁷, 72 CARD - RGI⁸, Resfinder⁹, DeepARG¹⁰) or motif detection (Resfams¹¹) are often unsuccessful in 73 characterising the diversity of ARDs from metagenomic datasets. Indeed, there is no consensus on an 74 optimal approach to detect ARDs in metagenomic datasets. Consequently, an accurate census of 75 intestinal ARDs (i.e. the intestinal resistome) has not yet been fully determined.

76 While many bacteria have intrinsic, chromosomally-encoded ARDs and the capability of increasing 77 resistance through mutation, they can also enrich their resistance capabilities through the acquisition of 78 exogenous ARDs located on mobile genetic elements (MGEs) such as plasmids, transposons or phages. The intestinal microbiota harbours thousands of bacterial species including well-known 79 80 pathogens (e.g. Enterobacteriaceae and Enterococcus spp.). This unique environment is assumed to 81 be a reservoir of ARDs that can potentially be transferred to bacterial pathogens¹³. Nonetheless despite 82 the high selective pressure exerted on the intestinal microbiota by over seven decades of intensive 83 antibiotic usage, a very low number of transfer events from an intestinal commensal to a bacterial pathogen have been observed^{14,15}. This challenges the hypothesis of a mobile resistome and the 84 85 assumption that the intestinal microbiota serves as a reservoir of ARDs to which pathogenic bacteria 86 have easy access¹⁶. In this study, our objective was to perform an extensive characterization of the 87 human gut resistome (including the capacity of ARDs to transfer between species) and to assess its 88 dynamics under various antibiotic exposures.

89

90 Prediction of ARDs in the intestinal microbiota

To predict ARDs in the intestinal microbiota, we developed a method based on protein homology modelling (see methods) that we termed PCM (for "pairwise comparative modelling"). PCM is a generic method using homology modelling to increase the specificity of functional prediction of proteins,

94 especially when they are distantly related to potential homologs. PCM uses a list of reference proteins 95 sequences from a given family, the ARD structures of this family (used as structural templates in protein 96 data bank [PDB] format) and a series of negative references (Figure 1A, Supplementary Figure 1, 2 and 97 3). Structural models are built using both the ARD reference and negative reference templates. Scores 98 generated from both positive and negative references are used to determine which model performed 99 the best. This is done using a machine-learning algorithm trained on 662 ARD and 522 negative 100 references. The PCM score equals the number of times the query was classified as an ARD for the 101 bootstraps performed, expressed as a percentage. Candidates with a PCM score ≥50% and an 102 alignment score with the reference template (TM score given by TM-align) ≥0.5¹⁷ were predicted as 103 ARDs.

104 The performance of PCM to predict ARDs was assessed using in vitro and in silico methods. We 105 synthesized 71 candidate ARDs from 12 families (Table 1), and expressed them in Escherichia coli (see 106 methods). All 12 pdARDs sharing an amino acid identity >95% with a known ARDs had a detectable 107 resistance activity against antibiotics (Figure 1B). Resistance activity was also detected in 35/41 (85.3%) 108 of the predictions made with a good level of confidence (PCM score >99%, Tm score TmAlign>0.9) and 109 in 8/18 (44.4%) of the predictions with a lower level of confidence (PCM score <80%, Tm score 110 TmAlign<0.8). The mean amino acid identity of the functional pdARDs (good and fair predictions, n=43) 111 with known ARDs was 28.6% (range 19.4%-82.6%, Supplementary Table 1). We then tested PCM 112 against an experimentally-validated functional metagenomics dataset from soils¹⁸. In this case, PCM 113 was able to accurately identify 1,374 ARDs out of 1,423 hits (sensitivity 96.6%) (see methods). Finally, 114 we assessed the performances of PCM with incomplete proteins as inputs, and showed that PCM could 115 correctly predict ARDs when the available amino acid sequence was at least 40% complete 116 (Supplementary Figure 4). After the in vitro and in silico validation of the method, we used PCM to search 117 for ARDs in the in a catalogue made of 3,871,657 proteins which was built from the sequencing of faecal 118 samples of 396 human individuals (177 Danes and 219 Spanish) recruited in the MetaHIT project¹⁹. In total, we predicted 6,095 ARDs (0.2% of the catalogue) from 20 ARD classes conferring resistance to 119 120 nine major antibiotic families²⁰: beta-lactams (class A, B1-B2, B3, C and D beta-lactamases), 121 aminoglycosides (AAC(2'), AAC(3)-I, AAC(3)-II, AAC(6'), ANT, APH, RNA methylases), tetracyclines 122 (Tet(M), Tet(X)), quinolones (Qnr), sulphonamides (Sul), trimethoprim (DfrA), fosfomycin (Fos) and 123 glycopeptides (Van ligases) (Table 1 and Supplementary Table 1). With the same, extensively curated 124 reference ARDs census as input, only 67 ARDs would have been predicted according to conventional BLASTP²¹ search with a specific identity threshold (80% over 80% of the reference sequence)^{3,4}. ARG-125 ANNOT⁷, Resfinder⁹ and DeepARG¹⁰ were able to predict 54, 50 and 2,139 ARDs, respectively, while 126 127 Resfams¹¹ predicted a very high number of ARDs (44,105). The HMM-based search for class B1 beta-128 lactamases published by Berglund et al.22 also yielded a high number of hits (n=3,490) in the 3.9 million 129 protein catalogue (Figure 1C, Supplementary Figure 5). Further analysis on a catalogue of dummy, 130 synthetic 3.9 million proteins indeed showed that Resfams, DeepARG and the Berglund et al. HMM-131 based search lacked specificity (see Supplementary Information). The mean identity shared between predicted (n=6,095) and reference ARDs was 29.8%; it was significantly higher than candidates not 132 133 predicted as ARDs (mean 23.0%, Wilcoxon unpaired test p=2e-16, Figure 1D). Indeed, most of the pdARDs were distantly related to reference ARDs (Supplementary Figure 6 and 7). Besides, PCM failed 134 135 to predict 16 ARDs which shared at least 40% identity with a reference ARD (Supplementary Table 2). 136 The 6,095 pdARDs and their structures are available at <u>http://mgps.eu/Mustard</u>.

137

138 Taxonomic distribution of ARDs

139 A host bacterial phylum could be assigned to 72.3% (4405/6095) pdARDs. The majority was identified 140 as from the dominant human intestinal phyla Firmicutes (2962/4405, 72.3%) and Bacteroidetes 141 (858/4405, 19.5%) (Supplementary Figure 8) with only 5.8% (225/4405) of pdARDs coming from 142 Proteobacteria. An additional seven pdARDs were predicted to be harboured by Archaea 143 (Methanobrevibacter and Methanoculleus genera), putatively conferring resistance to macrolides, 144 tetracyclines, aminoglycosides, sulphonamides and glycopeptides (Supplementary Table 1). We also 145 predicted ARDs in genera of medical interest where no ARDs had been identified such as Akkermansia²³ (10 pdARDs) and Faecalibacterium²⁴ (44 pdARDs). Only 23 out of 6,095 (0.4%) had been previously 146 147 identified in families and genera that include human pathogens (Enterobacteriaceae, Campylobacter, 148 Enterococcus, Streptococcus and Acinetobacter). The distribution of the families of pdARDs differed according to the phyla (Supplementary Figure 9): Firmicutes and Proteobacteria were enriched with 149 150 aminoglycosides-modifying enzymes (AMEs, spanning APH, ANT, and AACs) whereas Bacteroidetes 151 were enriched in Sul and class A beta-lactamases. Interestingly, the tigecycline-degrading monooxygenase Tet(X) was frequently found in Bacteroidetes and Proteobacteria, the two phyla 152 between which transfer of the tet(X) gene has been reported^{14,25}. In order to support these assignments, 153

we sequenced the metagenome of four human faecal samples before and after an overnight culturing using conditions that favoured the growth of oxygen-tolerant bacteria such as Enterobacteriaceae and enterococci (see methods). The results showed an enrichment of Proteobacteria (over Firmicutes and Bacteroidetes), and a commensurate increase of class C beta-lactamases, Fos and Tet(X), along with Van ligases (Supplementary Figure 10).

159

160 Location of the pdARDs and association with mobile genetic elements

161 We investigated the potential for mobility of the pdARDs at different levels. First, we took advantage of 162 the identification of gene clusters based on co-abundance and co-occurrences of genes among the 396 163 faecal metagenomes used to build the 3.9 million MetaHIT gene catalogue¹⁹. A total of 7,381 gene 164 clusters referred to as metagenomic units (MGUs) were identified. Among MGUs, metagenomic species 165 (MGS) are defined as MGUs with ≥700 genes, which are considered to be representative of partial or complete bacterial genomes¹⁹. MGUs of <700 genes include MGEs such as plasmids, phages, 166 167 transposable elements, and incomplete chromosomal sequences. The 7,381 MGUs from the 3.9 million 168 gene catalogue of intestinal microbiota gene were queried with the pdARDs. A total of 3,651 (59.9%) pdARDs could be mapped onto an MGU. The distribution of pdARDs as a function of MGU size is shown 169 170 in Figure 2A. Most (95.6%, 3,489/3,651) pdARDs mapped onto MGS and the relative abundance of 171 pdARDs correlated strongly with the abundance of their respective MGS (Supplementary Information), 172 supporting their location on the same bacterial host across the 396 individuals. We also searched for 173 pdARDs in metagenomic species pan-genomes (MSPs)²⁶ obtained from the 9.9 million intestinal gene 174 catalogue²⁷. Similar to MGS, MSPs are clusters of genes that are co-abundant in a set of sample. In 175 MSPs, genes that are constantly found are referred as "core" while inconsistently found genes are 176 referred to as "accessory". Besides, "shared core" genes are assumed to be conserved genes shared 177 between phylogroups²⁶. We found 4,912 pdARDs located on MSPs, with the majority being assigned to 178 the core pangenome (4,099/4,912, 83.4%) or shared between core-pangenomes (389/4,912, 7.9%). This was different with MGE-associated genes²⁷ with most being not found in MSPs (Figure 2B). 179

180 Then, we investigated whether genes associated with gene mobility (transposases, conjugative 181 elements and integrons) were present on the same contig than the pdARDs. We found that 7.9% 182 (484/6,095) of pdARDs were co-located with homologs of MGE-associated genes. For pdARDs not

found in MGS or in MSPs (n=974), 876 (89.9%) had no detectable MGE-associated genes in their
vicinity.

Finally, we searched for pdARDs homologs (BLASTN >97% identity over >90% of the query length) in the Genbank database (2018 July 11). Only 538 pdARDs homologs were identified, with 49 being located on a plasmid and/or a phage (Supplementary Table 3). Among the 489 remaining pdARDs, 82 (16.8%) were found in multiple species, mainly (60/82, 73.2%) from the same genus (Supplementary Table 4).

The phyla Bacteroidetes, Firmicutes and Tenericutes had the higher proportions of ARDs co-locating with MGEs (Figure 2C). No ARD family was found to be enriched in MGE, with the exception of the Tet(X) family in which 3 out of 9 (33.3%) predictions (2 from *Bacteroides fragilis* and 1 from *E. coli*) were associated with transposases (Figure 2D).

194

195 Distribution of pdARDs in human hosts' microbiota

In the MetaHIT cohort (663 subjects), we found that subjects carried pdARDs with a median relative abundance of 0.22% (range 0.14%-0.38%), with pdARDs from the Tet(M) family being the most abundant (0.07%) and those from class B3 beta-lactamases the least (median: 0.004%). The average number of unique pdARDs genes detected per metagenome was 1,377 (range 258-2,367). Most pdARDs were shared across multiple subjects, 987/6,095 (16.2%) were found in at least 50% of individuals, and only 106/6,095 (1.7%) occurred uniquely in a single individual. All ARD families, with the exception of RNA methylases and AAC(2') families, were found in more than 80% of individuals.

203 Then, we assessed whether subjects with no recent exposure to antibiotics could cluster according to 204 their intestinal resistome. Based on the pdARDs family patterns, six clusters (that we named "resistotypes" by analogy with the enterotypes²⁸) were detected using Dirichlet multinomial mixture 205 206 models (Supplementary Figure 11). The four most frequent resistotypes each represented around 20% 207 of the cohort (the fifth and the sixth representing 8.7% and 7.5%, respectively). The three first 208 resistotypes were characterized by a high abundance of Van ligases (Supplementary Figure 12). 209 Resistotype 1 was enriched in ANT, while resistotype 3 was driven by Tet(M) and class C beta-210 lactamases. Resistotype 4 was enriched with Tet(X) and class A beta-lactamases and resistotype 6 in 211 class B1 beta-lactamases and Sul. We observed that resistotypes, as determined by PCM, were highly 212 connected to the composition of the microbiota, and that this effect was more pronounced than 213 resistotypes determined from the results of BLASTP and Resfams (Figure 3A). The resistotypes of the 214 MetaHIT cohort were found to be associated with enterotypes (chi square test, p=5e-4), Figure 3B-D, 215 Supplementary Figure 13). Resistotypes 1 and 3 had higher gene richness and were associated with 216 the Clostridiales-driven enterotype. Resistotype 4 was more prevalent in enterotypes driven by 217 Bacteroides (known to harbour Tet(X) and class A beta-lactamases) while resistotype 6 was very 218 specific to the Prevotella enterotype (Figure 3C-D). The relative abundance of pdARDs was observed 219 to be positively correlated to the gene richness (Figure 4A, Spearman's rank correlation test Rho=0.31, 220 p=5e-16). Conversely, we did not find any link between resistotypes and body mass index, age or 221 gender.

222

223 Dynamics of the pdARDs under various exposures to antibiotics

224 We investigated the abundances of pdARDs in subjects under various exposures to antibiotics and 225 healthcare environments. Three types of exposure were considered (see methods for details): 226 hospitalization in a French hospital without receiving antibiotics, n=15, chronic exposure (Spanish cystic 227 fibrosis patients frequently exposed to antibiotics, n=30) and short high-dose exposure through selective 228 digestive decontamination [SDD; oral colistin, tobramycin, antifungal amphotericin and parenteral 229 cefotaxime²⁹] at admission in intensive care units in Netherlands, n=10). We again confirmed a positive 230 correlation between relative abundance of pdARDs and gene richness among patients unexposed to 231 antibiotics (Figure 4B, Spearman's rank correlation test Rho=0.37, p=0.01, see methods). However, 232 when all the samples were considered, including those with antibiotic exposure, this relationship was no 233 longer present (Figure 4C). Instead, the relative abundance of pdARDs was found to be higher in 234 subjects with a chronic exposure than in subjects with no recent exposure (Figure 4D, Wilcoxon unpaired 235 test, p=1e-10), and gene richness was lower (Figure 4E, Wilcoxon unpaired test, p=0.006) In particular, 236 subjects with chronic exposure carried more class B1-B2 beta-lactamases, AAC(6'), ANT, APH, Erm, 237 and DfrA with lower abundance of Sul (Supplementary Figure 14). At the phylum level, we observed a 238 decrease of Bacteroidetes and Verrucomicrobia and an increase of Firmicutes and Actinobacteria in 239 patients chronically exposed to antibiotics (Supplementary Figure 15). A total of 74 MGS were found to 240 be differentially abundant among subjects with or without chronic exposure to antibiotics (Supplementary 241 Table 5).

242 This was different with subjects before and after SDD. A drastic loss of gene richness was measured 243 for this group (Figure 4E): from a mean of 295,919 genes to 95,286 (67.8 % reduction, Wilcoxon paired 244 test, p=0.006). Meanwhile, the relative abundance of pdARDs did not change significantly (Figure 4D, 245 p=0.4). At the ARD family level, we observed that some families decreased significantly: class C beta-246 lactamases (commonly found in Enterobacteriaceae and Pseudomonadaceae which are specifically 247 targeted by SDD), Fos, Tet(X), APH and ANT (Supplementary Figure 16). We then analysed the MGS 248 at the phylum level and found that Proteobacteria, Actinobacteria, Firmicutes and Fusobacteria 249 decreased significantly after SDD (Supplementary Figure 17). A total of 358 MGS were found in this 250 cohort and, despite the small number of subjects (n=10), we found 133 MGS for which a significant 251 variation was observed (Supplementary Table 6). We tested whether a high abundance of pdARDs 252 could be protective against the antibiotics used in SDD, but found no association: the relative abundance 253 of pdARDs before SDD was not linked to the gene richness after SDD. Hospitalization without antibiotic 254 therapy, that is, potential exposure to antibiotic-resistant nosocomial pathogens without selective 255 pressure, did not affect the gene richness nor the relative abundance of pdARDs (Figure 4D and 4E).

256

257 Discussion

258 The results of this study support the concept that the majority of ARDs from the intestinal microbiota are 259 hosted by commensal bacteria, and that their transfer between species (including to opportunistic 260 pathogen) is rare³⁰. We provide several findings to support this assumption: 1) we used a 3D structure-261 based method to assess the diversity of ARDs in the intestinal microbiota and confirmed that ARDs 262 predicted by PCM in the intestinal microbiota were distantly related to known ARDs, 2) the sensitivity 263 and the specificity of the method was validated by gene synthesis of a subset of predictions and by 264 benchmarking against various datasets (functional metagenomic of the soil microbiota, genomes and 265 random protein catalogues), 3) the majority of pdARDs could be found in clusters of co-abundant genes 266 (MGS and MSPs) in large cohorts of samples, while only a minority was found on plasmids, phages or 267 in the vicinity of MGE-associated genes, 4) we could stratify subjects into 'resistotypes' that were 268 connected to enterotypes, and 5) gene richness, otherwise associated with a healthy status³¹, was 269 positively correlated to the abundance of ARDs in subjects not exposed to antibiotics.

Our results challenge the paradigm that ARDs of the intestinal microbiota are a threat to public health.
 As was previously demonstrated for environmental samples^{18,32}, ARDs tend to cluster according to the

272 underlying microbial ecology of the ecosystem, suggesting that the vast majority of ARDs are fixed in 273 their microbial hosts and are not, or very rarely, transferred. Our results show that the dominant intestinal 274 microbiota are not a major conduit through which opportunistic pathogens can acquire ARDs. 275 Nevertheless, we acknowledge that such transfer events have been reported^{14,15} and that 276 consequences for public health can be important, as in the case of the vanB vancomycin resistance 277 operon that is shared by *Clostridium* spp. and enterococci¹⁵. Understanding the mechanisms that can 278 lead to the mobilisation of ARDs in the intestinal microbiota, as well as a broader census of 279 environmental reservoirs of ARDs (e.g. sewage, livestock, the subdominant human intestinal 280 microbiota) will continue to be an important area for future research.

281 We found that subjects cluster according to the composition of their resistome into six groups that we 282 named "resistotypes" (as a reference to the previously described enterotypes²⁸). These resistotypes 283 were indeed connected to the enterotypes. Description of this underlying structure is interesting as one 284 might hypothesize that a particular resistotype, or microbiota enriched with ARDs, might be affected to 285 different degrees by antibiotic therapy. This has previously been observed for beta-lactamase-producing 286 Bacteroides which can protect the microbiome against exposure to β -lactams³³. In patients undergoing 287 faecal microbiota transplantation, follow-up antibiotic therapy may be adjusted to favour engraftment of 288 the donor microbiota³⁴. Identifying donors with a resilient microbiota, due to a protective resistotype, 289 could open perspectives for the optimisation of the clinical implementation of faecal microbiota 290 transplants.

291 Contrary to initial expectations, some pdARD families decreased in their abundance under antibiotic 292 exposure, especially when patients were exposed to a combination of antibiotics (such as SDD). In order 293 to resist to a combination of antibiotics, bacteria would need to be intrinsically resistant or to acquire an 294 adequate combination of ARDs. The dynamics of ARDs under antibiotic exposure depend on various 295 parameters: spectrum of the ARD (the level of resistance towards the antibiotic provided by the ARD), 296 the expression level of the ARD, and the presence of other resistance mechanisms (intrinsic or acquired). The large number of possible combinations of these factors can explain that in some 297 298 situations, a bacterium can be inhibited by antibiotics despite the presence of a putatively compatible 299 ARD. Alternatively, we cannot exclude that changes in pdARDs families could also be explained by 300 simple taxonomic shifts that are not connected to the antibiotics studied.

301 The limitations of current techniques and of this study leave a number of important questions unresolved. 302 As mentioned earlier, metagenomic sequencing provides information for the dominant fraction of 303 intestinal bacteria, and so ARDs present in subdominant bacteria remain unobserved. Indeed, several 304 ARDs found in opportunistic pathogens among the Enterobacteriaceae (e.g. Escherichia 305 coli and Klebsiella pneumoniae) originate from other species in the same Proteobacteria phylum³⁵. A 306 recent study indeed cultured many Proteobacteria species that were not detected in metagenomic 307 sequencing³⁶. We cannot rule out that the subdominant bacteria, which were not probed by 308 metagenomic sequencing, could be an additional reservoir of ARDs. In terms of the clinical samples 309 analysed, we cannot exclude that the differences between patients and controls may be resulting from 310 confounding factors other than the antibiotic exposure.

311 The method we used to identify distantly related proteins is based on homology modelling and takes 312 advantage of the observation that proteins sharing the same function have more similar structures than 313 amino acid sequences³⁷. Indeed, PCM could identify functional ARDs with amino acid identity below 314 20% to known ARDs. Notably, PCM can only be used to predict the function of genes that are 315 homologous to known ARDs, and therefore the identification of different classes of ARDs with no 316 homology to known ARDs will still require functional screening. Besides, while PCM was validated in 317 this study, it remains a prediction tool. While similar structures are usually indicative of similar function, 318 this is not always the case and PCM can yield false positives results (as observed in the functional 319 validation of synthesized pdARDs). Due to the scope of our study, gene synthesis validation was not 320 performed for all ARD families, leaving open the possibility that not all pdARDs identified here truly have 321 a role in antibiotic resistance.

In summary, we developed a method, PCM, which could unveil the diversity of ARDs in the intestinal microbiota. Employing this tool, we gathered evidence that the vast majority of the ARDs we predicted showed no sign of mobility and that their abundance was correlated to gene richness. Together with the protective trait of some intestinal bacteria against antibiotics³³, our results suggest that the ARDs from the intestinal microbiota might be considered as our "resilience allies"³⁸ assuring the preservation of the healthy commensal microbiota under antibiotic exposure.

328

329 Acknowledgements

330 The authors are deeply grateful to the GENOTOUL (Toulouse, France), GENOUEST (Rennes, France), ABIMS (Roscoff, France), MIGALE (Jouy-en-Josas) and TGCC-GENCI (Institut Curie) calculation 331 332 clusters. The authors also warmly thank Bruno Perichon (Institut Pasteur, Paris, France) for providing 333 ARD sequences from Acinetobacter baumannii, Patricia Siguier (CNRS, Toulouse, France) for helping 334 the search of insertion sequences with ISfinder, Julien Guglielmini (Institut Pasteur, Paris, France) for 335 his assistance in finding conjugative elements, Stevenn Volant (Institut Pasteur, Paris, France) for the 336 design of the statistical model in SHAMAN, Thomas Jové (University of Limoges, France) for his assistance in finding integrons, Marie Petitjean (IAME Reserch Center, Paris, France) for her assistance 337 338 in bioinformatic analyses, Florian Plaza-Oñate and Mathieu Almeida for their help with MSPs.

339 340

341 Funding

The project was funded in part by the European Union Seventh Framework Programme (FP7-HEALTH-2011-single-stage) under grant agreement n° 282004, EvoTAR. IRYCIS authors acknowledge the European Development Regional Fund "A way to achieve Europe" (ERDF) for co-founding the Spanish R&D National Plan 2012-2019 Work (PI15-0512), CIBER (CIBERESP; CB06/02/0053), and the Government of Madrid (InGeMICS- B2017/BMD-3691). Val F. Lanza was further funded by a Research Award Grant 2016 of the European Society for Clinical Microbiology and Infectious Diseases (ESCMID).

- 348
- 349 Conflicts of interest

350 None.

351

352 Authors' contribution

ER, AG, JT performed the analysis. ER, AG, JT, WvS, AdB and SPK wrote the manuscript. ASA and
NM handled the data management. TC, SHA, IC and JLM performed the gene synthesis experiments.
JLM, TMC, VFL, FB, AdB, JD, SPK, FH and SDE discussed the protocol and results. LM, TG, VdL, NA,
BF, IW, AA, WvS, MR, XZ and RJL recruited the patients and collected the samples. HB, VL, AL and
FL handled the wet lab experiments. NP, PL and JMB managed the informatics and the calculation
clusters. KW and NP designed the website (<u>http://mgps.eu/Mustard/</u>).

- 359
- 360

361 Methods

362 Constitution of the databases of antibiotic resistance determinants

We define as an ARD as in Martinez et al³⁹: a protein encoded by a gene that confers resistance to 363 364 antibiotics when it is present or increases susceptibility to antibiotics when it is absent. This definition 365 excluded housekeeping genes in which mutations can confer resistance to some antibiotics (such as 366 topoisomerases in which mutations can lead to fluoroquinolone resistance) and genes involved in the 367 regulation of antibiotic resistance genes. Also, we excluded efflux pumps such as TetA or QepA as very 368 few or no PDB are available, presumably due to the difficulty to crystallize transmembrane proteins. 369 Amino acid sequences of functionally characterized ARDs from the major antibiotic families used in 370 human medicine (beta-lactams, aminoglycosides, tetracyclines, trimethoprim, sulfonamides, macrolides-lincosamides-synergistines, fluoroquinolones, fosfomycin and glycopeptides)^{20,40} were 371 372 obtained from the following antibiotic resistance databases: Resfinder⁹, ARG-ANNOT⁷, the Lahey Clinic 373 (http://www.lahey.org/studies/), RED-DB (http://www.fibim.unisi.it/REDDB/), Marilyn Roberts's website 374 for macrolides and tetracycline resistance genes (http://faculty.washington.edu/marilynr/) and from 375 functional metagenomics studies^{5,6,41}. When ARDs were provided as nucleic acids sequences, they 376 were translated into proteins with Prodigal⁴². Non-redundancy of the reference ARDs was assessed with CD-HIT v4.5.7⁴³ (100% identity). The final database was manually curated in order to remove incomplete 377 378 sequences and ARDs from families not considered in this work. The cluster of orthologous genes (COG) 379 of each member of the reference dataset was assigned from the v3 eggNOG database⁴⁴. In total, we 380 collected 1,651 non-redundant amino acid sequences spanning 20 ARDs families: Class A betalactamases (Blaa), class B1-B2 beta-lactamases (Blab1), class B3 beta-lactamases (Blab3), class C 381 382 beta-lactamases (Blac), class D beta-lactamases (Blad), aminoglycoside acetyltransferases (AAC) AAC(2'), AAC(3)-I, AAC(3)-II, and AAC(6'), aminoglycoside nucleotidyltransferases (ANT), 383 384 aminoglycoside phosphotransferases (APH), 16S rRNA methylases, Tet(M), Tet(X), type A 385 dihydrofolate reductases (DfrA), dihydropteroate synthases (Sul), erythromycin ribosome methylases (Erm), guinolone resistance proteins (Qnr), fosfomycin resistance proteins (Fos), and D-Ala - D-Lac/Ser 386 387 ligases (Van) (Table 1). The recently described plasmid-mediated colistin resistance mcr-1 gene⁴⁵ could 388 not be included because of the lack of a reliable PDB template obtained by X-ray diffraction at the time 389 of the study.

391 Interrogation of the catalogue for ARDs

We used a 3,871,657 million proteins catalogue previously published¹⁹. This catalogue was built from 392 393 the metagenomic sequencing of the faeces of 396 subjects from Denmark and Spain. In brief, the 3.9 394 million gene catalogue results from a non-redundancy filtering at 95% nucleic acid identity and 90% 395 coverage: predicted genes from all samples (45.4 million in total) were clustered using BLAT by single 396 linkage. Any two genes with greater than 95% identity and covering more than 90% of the shorter gene 397 were clustered together. The contigs were originally built using SOAPdenovo (from the MOCAT 398 pipeline⁵²). We selected this catalogue over the more recent 10 million gene catalogue that was published during the course of this study²⁷ because metagenomic units (MGUs, including the 399 400 metagenomic species [MGS]) had been determined only for the 3.9 million gene catalogue. The genes of the catalogue were translated into proteins using Prodigal⁴² using the -p meta option. For each ARD 401 402 family, we searched for ARDs using the three following methods: (i) we built a hidden Markov model file for each ARD family and searched the catalogue with Hmmsearch (v3. 1)⁴⁶, (*ii*) we performed a Smith-403 404 Waterman alignment with a heuristic seed detection (BLASTP v. 2. 2. 28+)²¹ and (iii) a rigorous Smith-405 Waterman search (SSearch v. 36. 3. 6)⁴⁷ with an E-value threshold of 1E-5. Only the hits with a size 406 ranging from 75% and 125% of the mean amino acid size of the ARD family were further considered. 407 All candidates were assigned a COG/NOG from eggNOG v344. When candidates were found in different 408 ARD families (e.g. a candidate could be a hit in class B1-B2 and class B3 beta-lactamases), the 409 candidate was assigned to the family for which it had the highest amino acid identity with the reference.

410

411 Negative references

412 For each ARD family, COGs/NOGs were attributed to reference ARDs. In parallel, the COGs/NOGs 413 were attributed to the hits obtained during the initial steps of PCM (i.e. the hits obtained by the 414 BLASTP/SSearch and Hmmer search). In the list of candidates from a given ARD family, the 415 COGs/NOGs that were not found in the COGs/NOGs attributed to reference ARDs were assumed to be potential COGs/NOGs from false positives hits (Supplementary Figure 2) as it reproduced the errors of 416 417 functional assignment likely to be generated in sequence-only annotations. The amino acid sequences 418 of the representative proteins from those COGs/NOG groups were obtained from the eggNOG v3 database, and were added to the negative reference dataset. A manual curation step was performed in 419 420 order to ensure that no references were included in the negative references.

421

422 Selection of structural templates

423 The list of protein structures that could be used as structural templates was downloaded (June 2014, 424 and November 2014) from the PDB library (Protein DataBank⁴⁸, <u>http://www.rcsb.org/</u>). Using the 425 reference dataset and the negative references described above, Hmmer⁴⁶, BLASTP²¹ and SSearch⁴⁷ 426 were performed on the PDB database with default settings and E-values of 1E-5. Results were merged 427 into a non-redundant PDB list. Both lists (references and negative templates) were manually curated to 428 ensure that no references were represented in the negative templates dataset, and vice versa. If more 429 than one PDB shared the same UniProt number (i.e. if the structure of a protein has been determined 430 on multiple occasions), we filtered the PDB files in order in include a unique structure per UniProt number 431 using the following positive criteria: absence of ligand, completeness of the protein and high resolution.

432

433 Pairwise comparative modelling

434 The concept of pairwise comparative modelling (PCM) is shown in Supplementary Figure 1-3 and the 435 framework https://github.com/aghozlane/pcm. is available at The concept of 436 leveraging the protein structure in complement to its amino acid sequence was motivated by the fact 437 that proteins sharing common functions would be more conserved in the active site which cannot be 438 observed by the analysis of protein sequence alignments ³⁷. Each candidate was subjected to homology 439 modelling with reference templates and negative templates, generating two 3D structures for each 440 candidate (Fig 1A). The main idea is that if a sequence is truly functionally related to the reference fold, 441 its model must be significantly different from the ones obtained with the negative structural template. 442 Homology modelling was performed by PCM in six main steps (example in Supplementary Figure 3):

Three structural templates were identified by BLASTP (among the lists produced as describedabove) that shared the highest amino acid identity with the candidate protein.

445 2. A multiple sequence alignment was performed between the candidate and the three templates
446 sequences using Clustalo⁴⁹.

A prediction of the secondary structure was performed using psipred (v3.5)⁵⁰. The residues
predicted to fold in helix or in beta-sheet conformation with a level of confidence higher or equal to 7
were considered to constrain the model.

450 4. A comparative modelling was performed with the MODELLER programming interface⁵¹. 451 MODELLER automatically calculates a model by satisfaction of spatial restraints such as atomic 452 distance and dihedral angles in the target sequence, extracted from its alignment with the template 453 structures. Stereo-chemical restraints for residues are obtained from the CHARMM-22 molecular force 454 field and statistical preferences obtained from a representative set of known protein structures.

5. The best model out of a hundred produced by MODELLER (based on the Dope score) was considered for structure assessment analysis using ProQ⁵² and Prosa-web⁵³. The Dope score (Modeller), z-score (Prosa), MaxSub and LG score (ProQ) are statistical potential variables used to predict the model quality. Both ProQ and Prosa-web are trained on the PDB to determine real protein configuration and they estimate the energetic favourability of the conformation of each residue in the model.

461 6. The best model was aligned with the reference set of structures using TM-align¹⁷ and
462 MAMMOTH⁵⁴. The RMSD (TM-align), z-score (MAMMOTH), TM-score (MAMMOTH, TM-align)
463 estimates the degree of superposition of the residue between two structures.

464 The differences (delta) between the scores determined from each modelling path (with the reference set 465 or the negative set) were calculated and used for the PCM machine learning program (see below).

466 For one given candidate, the PCM whole process took an average of 8 CPU-hours (30 minutes on 16467 CPUs).

468

469 **Taxonomic assignation**

pdARDs were taxonomically assigned by combining the results obtained from BLASTN against the NCBI
Genomes database (minimal 70% identity and 80% coverage), a BLASTN against the IMOMI in-house
database (minimal 85% identity and 90% coverage) and the taxonomy of the metagenomic unit
whenever applicable. The lowest taxonomic rank from the results of the three methods was assigned to
the pdARD.

475

476 Statistical analysis

To discriminate reference proteins from negative references, we used model quality predictors and alignment scores (inferred from the semi-automatic pipeline described above) and developed a custom pipeline in R (R Core Team, 2013, <u>http://www.R-project.org</u>) to perform the classification. The LASSO

penalized logistic regression⁵⁵ implemented in LIBLINEAR⁵⁶ was used to compute the classifier. Ten-480 481 fold stratified cross validation (re-sampled 100 times to obtain more stable accuracy estimates) was 482 used to partition the data into a training and test sets. The LASSO hyper-parameter was optimized for 483 each model in a nested 5-fold cross-validation on the training dataset using the area under curve (AUC) 484 as the model selection criterion. From the 100 times re-sampled ten-fold cross validation, receiver 485 operating characteristic (ROC) analysis was used to evaluate model performance using the median 486 AUC. Coefficients extracted for each modelling or alignment score were also evaluated for their stability 487 throughout the computed models. The PCM score was the ratio (expressed as a percentage) between 488 the numbers of time a candidate was classified as a reference and the number of bootstraps. Predicted 489 ARDs were candidates with a PCM score \geq 50% and a TM score given by TM-align \geq 0.5¹⁷. To control 490 how structural modelling brought additional information compared to amino acid sequence alignment 491 only, we built a logistic regression model based on T-coffee alignment score (R glm, ten-fold 492 stratification, re-sampled 100 times). We then compared the two classifiers models used for PCM and 493 for T-coffee alignment based on the reference set (see Supplementary Information).

494

495 Validation of the method with a functional metagenomic dataset

496 The performance of PCM was assessed by analysing the data in Forsberg et al., where the ARD content 497 of different North American soils was analysed using functional metagenomics¹⁸. The screening of the 498 clones was performed on aztreonam, chloramphenicol, ciprofloxacin, colistin, cefepime, cefotaxime, 499 cefoxitin, D-cycloserine, ceftazidime, gentamicin, meropenem, penicillin, piperacillin, piperacillin-500 tazobactam, tetracycline, tigecycline, trimethoprim and trimethoprim-sulfamethoxazole (cotrimoxazole). 501 Here, we collected the nucleotide sequences of the inserts deposited on Genbank (KJ691878-KJ696532). The sequence translation of the open reading frames was performed by Prodigal (using 502 503 default parameters)⁴². A total of 4,654 insert sequences were collected, in which 12,904 amino acid 504 sequences were predicted. We then searched for ARDs belonging to the relevant ARD families according to the antibiotics used for the screening of the clones: beta-lactamases (all classes), APH, 505 506 ANT, AAC(2'), AAC(3)-I, AAC(3)-II, AAC(6'), RNA methylases, Tet(M), Tet(X), Qnr, Sul and DfrA, using 507 the Supplementary Table 2 of the Forsberg et al. paper. Inserts with no putative ARDs (according to the 508 annotation of the gene) were removed (n=269). Inserts selected on cycloserine (n=868) and 509 chloramphenicol (n=129) were not considered here because they were not included in the 20 ARD 510 families in this work. Fourteen inserts which contained more than one putative ARD that could be 511 identified to confer resistance to the antibiotic used for the screening (e.g.; two beta-lactamases) were 512 not considered in this analysis. An additional 1,658 inserts containing no putative ARDs or a putative 513 ARDs that did not confer resistance to the antibiotic used for selection were discarded and so were 294 514 inserts containing efflux pumps, as these were not considered in this study. The resulting validation set 515 contained 1,423 inserts (with resistance genes) for a total of 3,778 genes. To compare the outcome of 516 PCM with other tools, the results for class B1-B2 and B3 beta-lactamases generated by PCM were 517 merged into one class B beta-lactamases group as other tools do not separately consider the different 518 class B beta-lactamases.

519 In total, 1,390 unique hits were found during the initial screen of PCM, of which 1,374 were predicted as 520 ARDs (Supplementary Table 7). Among the 33 ARDs not included for PCM, 12 were not considered 521 because they were undersized and 10 because they were oversized. No hits for AAC(2'), ANT, Qnr or 522 Sul were found. The mean identity shared with reference ARDs was 37.6% (range 18.8-94.5). Overall, 523 the sensitivity was 96.6%, with no false negative. In comparison, only 8 ARDs would have been identified 524 by a conventional method (combination of Hmmsearch, BLASTP and SSearch with both a minimal 525 identity with a reference ARD and coverage over or equal to 80%). Conversely, Resfams¹¹ that was 526 specifically designed to identify ARDs from functional metagenomic datasets showed a similar sensitivity 527 to PCM with the identification of 1,346 ARDs out of 1,423 (94.6% sensitivity).

528

529 Validation of the method for incomplete genes

530 The 3.9 million gene catalogue harbours 41.4% of genes that are predicted to be incomplete either on 531 the 5', the 3' or both extremities¹⁹. As the size parameter is crucial for homology modelling, we tested to 532 what extent the prediction of incomplete ARDs by PCM could remain valid. We selected 12 reference class A beta-lactamases (BlaZ, CbIA-1, CepA-29, CfxA2, CfxA6, CTX-M-8, KPC-10, OXY-1, PER-1, 533 534 SHV-100, TEM-101 and VEB-1) and we then iteratively removed 5% of the amino acid sequence at both edges in order to obtain 16 bi-directionally trimmed candidates (from 100% to 25%) per reference 535 536 ARD. Candidate genes were chosen to span the diversity of known beta-lactamases, but the main 537 representative beta-lactamase of the subfamily (e.g. TEM-1 for TEM beta-lactamase) was not necessarily chosen. Note that SHV-100 has a slightly longer sequence (13 amino acid duplication) than 538 539 other SHV. A total of 192 PCM experiments were performed: we observed that the 12 references were

540 correctly predicted as ARDs when at least 40% of the protein remained (i.e. 30% trim from each 541 extremity, Supplementary Figure 4). Thus, we are confident that with the 75% size threshold used in 542 this study (a maximum of 25% removed from one edge), no misclassification due to an incomplete gene 543 would be expected.

544

545 Gene synthesis

546 We selected 71 pdARDs from 12 ARD families: 14 from class A beta-lactamases, 8 from class B1-B2 547 beta-lactamases, 7 from class B3 beta-lactamases, 4 from class C beta-lactamases, 2 from class D beta-lactamases, 2 AAC(3)-I, 5 AAC(3)-II, 8 AAC(6'), 3 ANT, 4 APH, 13 Tet(M) and 1 Tet(X)) for gene 548 549 synthesis and sub-cloning into Escherichia coli to test the decrease of susceptibility to antibiotics. For beta-lactamases, a chromogenic test (nitrocefin) was used to detect function. Minimal inhibitory 550 551 concentrations (MIC) were determined by E-Test strips (bioMérieux, Marcy-l'Etoile, France) in duplicate. A pdARD was considered to have an activity against an antibiotic (tobramycin for AAC(3)-I, AAC(3)-II, 552 553 AAC(6') and ANT; kanamycin for APH and tetracycline for Tet(M)) when the MIC of the clone was above 554 the MIC of a clone harbouring the plasmid without a synthesized gene or when the colour of the broth 555 containing nitrocefin turned red, in the case of beta-lactamases. We used the plasmid vector pET-22b+ 556 (embedding a beta-lactamase - encoding gene) for pdARDs hypothesized to confer resistance to 557 aminoglycosides and the pET-26b (embedding a gene conferring resistance to kanamycin) for the other 558 pdARDs. The selection of the pdARDs for synthesis was performed as follows:

559 - References (n=12): pdARDs which shared a high identity with known ARDs (≥95% amino acid
 560 identity and ≥80% coverage with a reference ARD).

- Good predictions (n=41): pdARDs with the highest degree of confidence for the prediction (PCM
 score >99%, Tm score TmAlign>0.9 and <70% amino acid identity with a reference ARD.

Fair predictions (n=18): pdARDs with the lowest degree of confidence for the prediction (PCM score
 <80%, Tm score TmAlign<0.8 and <70% amino acid identity with a reference ARD).

565

566 Signatures of mobile genetic elements nearby the predictions of ARDs

567 We searched for mobile genetic elements (MGE) - associated proteins encoded by genes located in the 568 same contigs as pdARDs. The 3.9 million gene catalogue results from a non-redundancy filtering at 95% 569 for the genes¹⁹, but in order to identify the contigs on which pdARDs were identified, we needed to return

570 to the redundant catalogue (i.e. the non-dereplicated catalogue of genes) and identified homologs 571 sharing 95% nucleic acid identity with the pdARDs. By doing so, we could identify contigs (n=16,955) 572 carrying at least one pdARD. The mean size of the contigs was 19,711 bp (min 500, max 461,981, 573 median 8,513). In total, the 16,955 contigs contained a total of 908,888 genes after the subtraction of 574 pdARDs. The 908,888 genes were then translated into proteins with Prodigal⁴² and queried for IS 575 elements using BLASTP (query size threshold 150 amino acids, e-value 1E-30, identity threshold 40%) 576 against the ISfinder database⁵⁷. Conjugative elements were gueried among the same gene set (n=908,888) with Conjscan⁵⁸, using the default parameters and the filters recommended by the authors 577 578 (best e-value<0.001 and sequence coverage of at least 50%). Most proteins belonging to the type IV 579 secretion systems (T4SS), which are involved in conjugation, are ubiquitous in that they have numerous 580 homologs. Hence, when searching for conjugation proteins in a 3.9 million protein catalogue, there 581 would be a high risk of false positives. Accordingly, the colocation of hits was deemed crucial. A 582 conjugative T4SS is made from:

• a protease (VirB4)

• a second coupling protein protease (t4cp)

• a relaxase (MOB)

586

a proteic complex (MPF) composed of at least 10 proteins

587 In order to identify a T4SS on a contig, we required presence of at least 1 virB4 hit, a t4cp1 or t4cp2 hit, 588 a MOB hit and a certain number of MPF hits. All hits must co-localize. A MOB element alone can mobilize 589 a neighboring gene (such as an ARD-encoding gene) via other T4SSs. However, in our dataset the 590 short length of contigs led us to adapt those parameters (following the recommendations of the 591 developers of the Conjscan software). Besides the MOB element, we considered that the presence of 2 hits from the same family (e.g. T_virB6 and T_virB8, or B_traF and B_traH) or virB4+any hit from another 592 593 family on the same contig as a pdARD was a strong indication of the presence of mobility associated elements. Integrons were identified using IntegronFinder⁶⁶ on the 16,955 contigs using default 594 595 parameters.

596 We also searched for pdARDs in metagenomic species pan-genomes (MSPs)²⁶ obtained from the 9.9 597 million intestinal gene catalogue²⁷ using BLASTN with a 95% identity threshold over 90% of the query. 598 We also searched for homologs of pdARDs in Genbank with 97% identity threshold over 90% of the 599 query. We found 820 out of 6095 pdARDs (13.5%) which aligned against 139,413 Genbank entries. We filtered hits corresponding to a virus, a plasmid or a vague taxonomic affiliation by considering the
 following terms: "uncultured bacterium", "artificial", unidentified", "uncultured organism", "environmental
 samples" and "metagenome".

603 Distribution of the pdARDs in the MetaHIT cohort (n=663 subjects)

604 pdARDs profiles were obtained from the abundance matrix of the 3.9 million genes as described in 605 Nielsen et al¹⁹. The "reads per kilobase per million mapped reads" (RPKM) method was used to 606 normalize the mapping counts. After summing the relative abundances of pdARDs genes belonging to 607 the same family, Dirichlet multinomial mixture models were used to find ARDs clusters (*i.e.* resistotypes) 608 using the Dirichlet Multinomial R package. The same method was applied to detect gut microbiota 609 clusters (i.e. enterotypes)⁵⁹. The Laplace criterion was used to define optimal number of clusters as 610 described on oral and faecal microbial dataset⁶⁰. By analogy with the term enterotype, we chose to name 611 a cluster of subjects based on their similarity of their faecal relative abundance of pdARDs a 612 "resistotype". The Chi-squared test was used to assess the associations between resistotypes and 613 enterotypes. Rarefaction analysis at one million reads was done to determine the gene richness per 614 samples. RLQ analysis⁶¹ was conducted to assess the associations between the relative abundances 615 of pdARDs, their characteristics (family, size of the cluster of associated genes [CAG]) and those of 616 subjects (enterotypes, resistotypes, gender, body mass index [BMI], age). Of note, we excluded the 617 patients suffering from inflammatory bowel disorders from this analysis. Co-inertia analysis was conducted to assess the associations between microbiota beta-diversity and pdARDs profiles. 618 619 Microbiota composition was assessed using metagenomics species (MGS, see below) relative 620 abundance and beta-diversity by square root Jensen-Shannon Divergence (JSD). A principal coordinate 621 analysis was done on JSD distance matrix and a principal component analysis was done on ARDs 622 profiles. Both analyses were then subjected to co-inertia analysis and Monte-Carlo permutation was 623 done to asses to robustness of shared inertia.

624

625 Constitution of cohorts of patients with various antibiotic exposures

626 We included three cohorts of patients with various exposures to antibiotics:

Hospitalization without antibiotics: a total of 31 patients with no exposure to antibiotics or hospitalisation
during the three preceding months and admitted to the medicine ward of the Beaujon University

Teaching Hospital (Clichy, France) were included and provided a faecal sample at admission. Among them, 16 also provided a stool sample at discharge. One patient received antibiotics between admission and discharge and was not further considered for the analysis. In total, 15 patients could provide a stool sample soon after admission (T0) and at discharge (T1). The mean time between T0 and T1 samples was 10.7 days. The mean age of patients was 67.8 years old and the gender ratio (M/F) was 1.3. All patients gave informed consent. This work was approved by the French National Institutional Review Board (IRB 00008522) and registered at clinicaltrials.gov (NCT02031588).

636 - Chronic exposure: 30 cystic fibrosis (CF) patients were enrolled at the Cystic Fibrosis Unit of the 637 Ramón y Cajal Hospital in Madrid. One faecal sample was collected at the occasion of a consultation. 638 All subjects for this study were provided a consent form describing the study and providing sufficient 639 information for subjects to make an informed decision about their participation as faecal donors in this 640 study. Cystic fibrosis is a genetic disease that leads to an impairment of the lung function through an uncontrolled production of mucus. The consequence is chronic bacterial colonization, resulting in 641 642 deleterious reactive fibrosis of the lung. Bacterial load is controlled by chronic exposure to antibiotics 643 (home-therapy, mostly oral and inhaled in our cohort), which has resulted in significant life prolongation, 644 and the near-absence of hospital care. Hence, the CF patients had been exposed to various antibiotics 645 during the five years before the faecal sample was collected:

- Beta-lactams (ampicilln, amoxycillin, cloxacillin, piperacillin-tazobactam, cefepime, ceftriaxone,
 ceftazidime, cefditoren, meropenem): 25/30
- 648 Macrolides (azithromycin, clarithromcyin): 17/30
- 649 Colistin: 21/30
- Fluoroquinolones (ciprofloxacin, levofloxacin, moxifloxacin): 26/30
- 651 Cotrimoxazole: 14/30
- Glycopeptides (vancomycin): 1/30
- Aminoglycosides (amikacin, tobramycin): 12/30
- Tetracyclines (doxycycline, minocycline): 2/30
- 655 Linezolid: 3/30
- 656 Rifampin: 1/30
- 657 Fosfomycin: 5/30

658 On average, CF patients had been exposed to 5.9 different antibiotics and had an average of 12.2 659 antibiotic courses during the five years before the sample was taken. The mean age was 36.3 years old 660 and the gender ratio (M/F) was 1.3. This protocol and any amendments were submitted to the Ethics 661 Committee (EC) in agreement with local legal prescriptions, for formal approval of the study conduct. 662 The consent form was obtained before that subject provided any faecal sample for the study and was 663 signed by the subject or legally acceptable surrogate, and the investigator-designated research 664 professional obtaining the consent. According to the National Spanish laws the study did not require the 665 approval of the Ethics Committee. Nonetheless, the Ethics Committee of the Hospital Ramón y Cajal 666 guaranteed that the study was performed done according to the good clinical practices guidelines.

667 - Short high dose exposure: selective digestive decontamination (SDD) consists in administering a 668 mixture of topical and parenteral antibiotics and antifungal agents to a patient at admission in order to 669 eliminate potential bacterial and fungal pathogens. SDD has been showed to significantly reduce 670 mortality in the intensive care unit (ICU)²⁹ and is now part of standard care for intensive care patients in 671 the Netherlands. To assess the effect of SDD on the intestinal microbiota, we analysed the faecal 672 samples from 13 patients admitted to the ICU of the University Medical Centre of Utrecht (UMCU, 673 Netherlands). The samples were collected at admission (T0, first sample passed after admission) and 674 after SDD (T1). Among the 13 patients for whom a faecal sample could be obtained at T0, 10 could 675 provide a faecal sample at T1. The mean age was 59.9 years old and the gender ratio (M/F) was 0.5. 676 SDD consisted of 4 days of intravenous cefotaxime and topical application of tobramycin, colistin, and 677 amphotericin B. Additionally, a subset of samples (n=4) from this cohort was cultured in a brain-heart infusion broth overnight in ambient atmosphere at 37°C. The protocol for the collection of stool samples 678 679 was reviewed and approved by the institutional review board of the University Medical Centre of Utrecht 680 (The Netherlands) under number 10/0225. Informed consent for faecal sampling during hospitalization 681 was waived. Written consent was obtained for the collection of faecal samples after hospitalization.

682

683 Metagenomic sequencing and mapping.

Total faecal DNA was extracted^{62,63} and sequenced using SOLiD 5500 wildfire (Life Technologies) resulting in a mean of 68.5 million sequences of 35-base-long single-end reads. High-quality reads were generated with quality score cut-off >20. Reads with a positive match with human, plant, cow or SOLiD adapter sequences were removed.

25

Filtered high-quality reads were mapped to the MetaHIT 3.9 million gene catalogue¹⁹ using the METEOR 688 689 software⁶⁴. The read alignments were performed in colourspace with Bowtie software (version 1.1.0)⁶⁵. 690 Uniquely mapped reads (reads mapping to a single gene from the catalogue) were attributed to the 691 corresponding genes. Shared reads (mapping different genes of the catalogue) were attributed 692 according to the ratio of their unique mapping counts, as following: as a read can map on different genes 693 of the catalogue, the abundance of a gene $G(A_a)$ depends on the abundance of uniquely mapped reads 694 (A_u) , *i.e.* reads that map only to the gene G, and on the abundance of N shared reads (A_s) that aligned 695 with *M* genes in addition to the gene G:

 A_s

697 where

$$A_s = \sum_{i=1}^N C_{o_i}$$

699

For each shared read, the gain of abundance corresponds to a coefficient C_o that takes in account the total number of uniquely mapped reads on the *M* genes:

$$C_{o_i} = \frac{A_u}{A_u + \sum_{j=1}^M A_{u_j}}$$

703

For instance, if a gene G is mapped by 10 reads that only map to it (unique reads), but also with 1 read that also align on a gene M that was mapped by 5 unique reads, then:

706
$$A_g = 10 + \frac{10}{10+5} \approx 10.7$$

707

To decrease technical biases due to different sequencing depth, samples with at least 5 million mapped reads were downsized to 5 million mapped reads (random sampling of 5 million mapped reads without replacement) using R package momr³¹. The abundance of each gene in a sample was then normalized by dividing the number of reads that mapped to the gene (A_g) by the gene nucleotide length and by the total number of reads from the sample. The resulting set of gene abundances, termed a "microbial gene profile", was used to estimate the abundance of metagenomic species (MGS)¹⁹.

714

715 Gene richness analysis

Microbial gene richness was calculated by counting the number of genes mapped at least once for a given sample. Gene richness was calculated using R package momr for samples where 5 million or more reads had been mapped to the 3.9 million gene catalogue.

719

720 MetaGenomic Species (MGS)

721 MGS are co-abundance gene groups with more than 700 genes and can be considered as part of 722 complete bacterial species genomes. 741 MGS were delineated from 396 human gut microbiome 723 samples¹⁹. In this study, the relative abundance of MGS was determined as the median abundance of 724 90% of the genes composing each cluster, meaning that the 10% genes with the lowest abundance for 725 each MGS were not considered for the calculation of the abundance of the MGS. Typically, these genes 726 correspond to genes with 0 count, to accessory genes (hence their detection is not constant) or to genes 727 that are not detected because of insufficient sequencing depth. The MGS taxonomical annotation was 728 updated by sequence similarity using NCBI BLASTN, when more than 50% of the genes matched the 729 same reference of NCBI database (December 2014 version) at a threshold of 95% of identity and 90% 730 of gene length coverage to get the species annotation¹⁹.

731

732 Statistical analysis for the distribution of pdARDs and MGS between groups

733 Statistical analyses for the differential abundances of pdARDs and MGS were performed using the 734 application SHAMAN⁶⁶ (http://shaman.c3bi.pasteur.fr/). Data are available at 735 (https://github.com/aghozlane/evotar), with the graphical representations using the abundances from 736 the matrix rarefied at 5M reads. The relationship between richness and the abundance of ARDs was 737 assessed by Spearman correlation test. The statistical threshold for significance was set at a p-value of 738 0.05.

739

740 Data availability

The 6,095 pdARDs PDB files, nucleotide and amino acid sequences can be downloaded from <u>http://mgps.eu/Mustard/</u>. The 3.9 million gene catalogue and the metagenomic species database are accessible at <u>https://www.cbs.dtu.dk/projects/CAG/</u>. The reads from the clinical samples generated in this study are available under the accession number PRJEB27799 at the European Nucleotide Archive (ENA).

746					
747	Code availability				
748	The PCM code can be found at <u>h</u>	ttps://github.com/aghozlane/pcm.			
749					
750	References				
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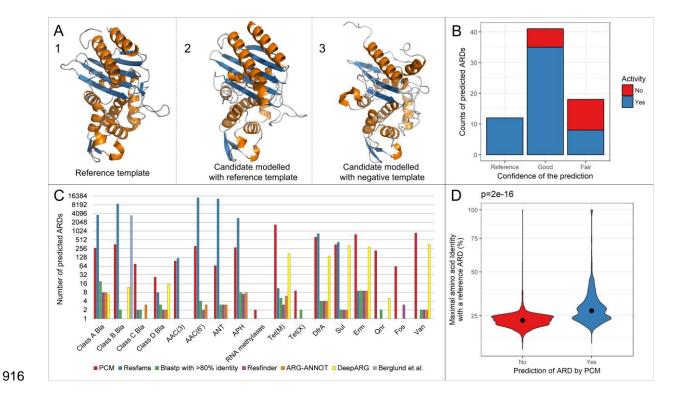
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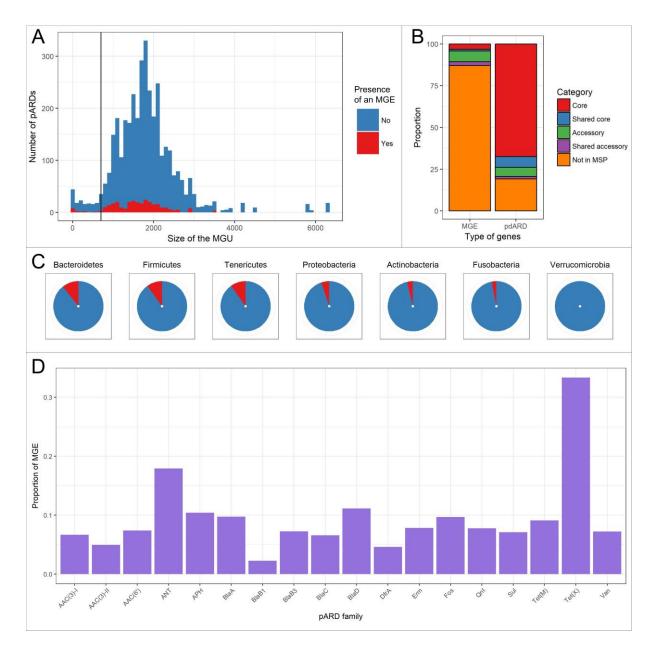
895 Figures

Figure 1: Illustration of the concept of "Pairwise Comparative Modelling" (PCM) with a class A beta-896 897 lactamase (panel A). A1: class A beta-lactamase protein structure (4EWF) obtained from the PDB 898 database. A2: A candidate protein (MC3.MG12.AS1.GP1.C14.G3 from Faecalibacterium prausnitzii) for 899 class A beta-lactamase modelled with a reference class A beta-lactamase structural template. This 900 protein had 26.5% amino acid identity with the closest reference class A beta-lactamase. A3: The same 901 candidate protein (MC3.MG12.AS1.GP1.C14.G3) for class A beta-lactamase this time modelled with a 902 negative reference template. The candidate MC3.MG12.AS1.GP1.C14.G3 was predicted to be a class 903 A beta-lactamase with 100% confidence by our model and later found to be functional after gene 904 synthesis. Panel B: Bar-plot of the activity of the synthesized pdARDs against antibiotics with respect to 905 the degree of confidence of the prediction ("reference" meaning that the protein shares more ≥95% 906 amino acid identity with a functionally proven ARD, "good" meaning a PCM score over 99% and a 907 TmAlign Tm score ≥0.8, "fair" meaning a PCM score between 50% and 80%). Panel C: number of 908 predictions of antibiotic resistance determinants from a 3.9 million gene catalogue of the intestinal 909 microbiota¹⁹ using PCM, BLASTP²¹, ARG-ANNOT⁷, Resfinder⁹, DeepARG¹⁰, Resfams¹¹ and the HMM-910 based method published by Berglund et al. for class B1 beta-lactamases²². Panel D: violin plot of the 911 maximal identity observed with a reference ARD for candidates predicted as ARDs (blue violin, n=6,095) 912 and those not predicted as ARDs (red violin, n=3,982). The point depicts the median. The width of the 913 violins depicts the distribution of pdARDs according to their maximal identity with a reference ARD. See 914 Supplementary Table 2 for details about candidates sharing at least 40% identity with reference ARDs 915 but which were not predicted as ARDs.

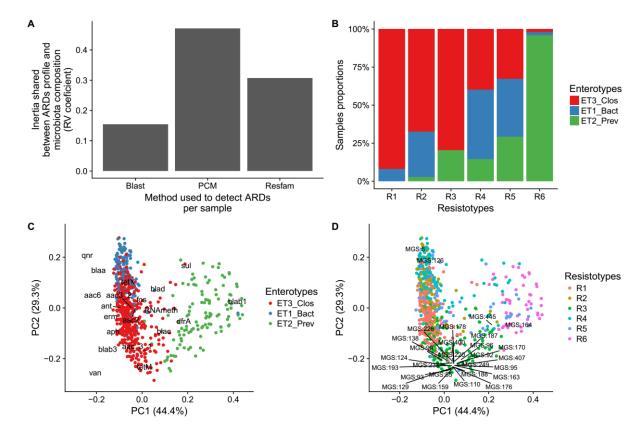


Bla: beta-lactamase; AAC: aminoglycoside acetylase; ANT: aminoglycoside nucleotidyl transferase;
APH: aminoglycoside phosphotransferase; DfrA: type A dihydrofolate reductase; Sul: dihydropteroate
synthase; Erm: erythromycin ribosome methylase; Qnr: quinolone resistance; Fos: fosfomycin
resistance (Fos); Van: D-Ala – D-Lac/Ser ligase (vancomycin resistance).

922 Figure 2: Mobile genetic elements (MGE) and predicted antibiotic resistance determinants (pdARDs). 923 (A) Distribution of the sizes of the metagenomics unit (MGU) where an antibiotic resistance determinant was predicted with respect to the colocation of MGE-associated genes. The vertical line depicts the 924 925 assumed gene size threshold above which MGUs are considered as partial chromosomes referred as 926 metagenomic species (MGS)¹⁹. (B) Bar plot of the categories of metagenomic species pangenomes 927 (MSPs)²⁶ assigned to MGE – associated genes²⁷ and pdARDs. (C) Proportion of pdARDs co-locating 928 with MGE-associated genes with respect to their phylum. (D) Proportion of pdARDs co-locating with 929 MGE-associated genes according to the pdARD family. Of note, the AAC(2') and 16S RNA methylases 930 only included 3 and 2 pdARDs, respectively and were accordingly not depicted in this panel.



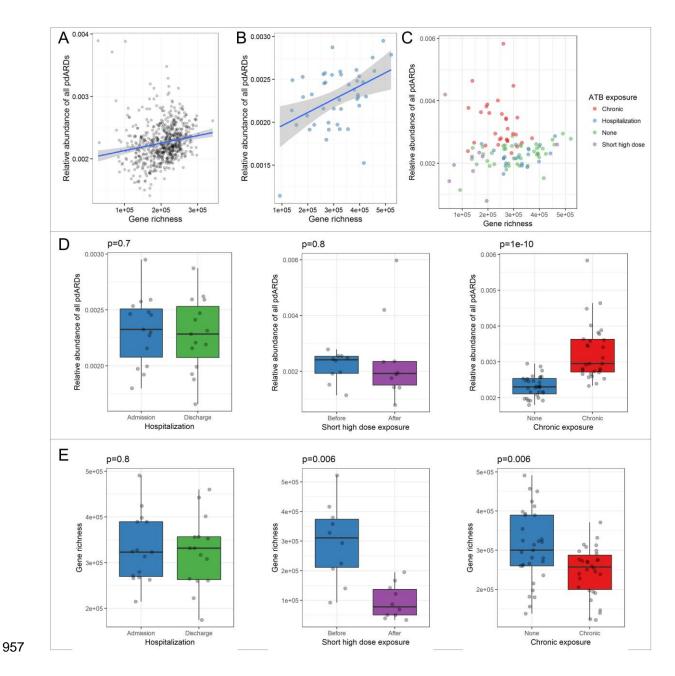
932 Figure 3: Association between resistotypes, enterotypes, metagenomics species (MGS) and pdARDs 933 profiles in the 663 individuals from the MetaHIT cohort. A) inertia shared between pdARDs profiles and 934 microbiota composition as function of bioinformatics methods. We assessed how gut microbiota beta 935 diversity inertia was connected to the abundance of pdARDs. Co-inertia using RV coefficient was 936 analysed to detect significant co-structure between datasets⁶⁷, meaning that different sets of variables 937 (e.g. microbial genera abundance and ARDs profiles) were not independent and shared a fraction of 938 inertia. Monte- Carlo tests were used to confirm observed relations between different datasets, 939 assuming a p-value < 0.05. B) Samples proportions for each resistotype depicted as function of 940 enterotypes using the PCM method. C) and D) Association between pdARDs gene profile and gut 941 microbiota composition using co-inertia analysis with respect to their enterotypes and pdARDs families (C), and to their resistotypes and MGS relative abundance (D). A taxonomical correspondence for each 942 943 MGS number can be found in the original paper¹⁹. Briefly, all MGS were Firmicutes with the exception 944 of MGS:164 and MGS:445 (both Bacteroidetes).



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948 Figure 4: (A) Gene richness and relative abundance of predicted antibiotic resistance determinants 949 (pdARDs) in the MetaHIT cohort (n=663). (B) Gene richness and relative abundance of pdARDs in our cohort of subjects with no recent antibiotic exposure (n=44). (C) Gene richness and relative abundance 950 951 of pdARDs in our cohort of subjects with regards to their antibiotic exposure (n=102 samples). (D) and 952 (E) Boxplots superimposed by dot plots of the comparisons of the relative abundance of all pdARDs and 953 gene richness, respectively, between the various groups differing by their exposure to antibiotics. 954 Hospitalization: n=15, Wilcoxon paired test. Short high dose exposure: n=10, Wilcoxon paired test. 955 Chronic exposure: n=31 for patients not exposed to antibiotics, n=30 for patients chronically exposed to 956 antibiotics, Wilcoxon unpaired test.



ATB: antibiotics. The shaded grey area depicts the 95% confidence interval around the blue, linear regression line. For boxplots, the lower, central and upper hinges correspond to the first, second (median) and third quartiles. The upper and lower whiskers respectively correspond to the higher and lower values at 1.5*IQR from the hinge (where IQR is the inter-quartile range, or distance between the first and third quartiles).

- 963 Table 1: Summary of the predictions of antibiotic resistance determinants (ARDs) from a 3.9 million
- gene catalogue of the intestinal microbiota¹⁹ and of gene synthesis results.

Antibiotic resistance class		Number of candidates	Number of predictions	Rate ARD predictions/candidates (%)	Tested (%)	N functional (%)	N not functional (%)
16S rRNA methylase	17	4	2	50,0	0 (0%)	NA	NA
AAC(2')	5	15	3	20,0	0 (0%)	NA	NA
AAC(3)-I	7	53	15	28,3	2 (13.3%)	2 (100%)	0 (0%)
AAC(3)-II	12	81	81	100	5 (6.2%)	5 (100%)	0 (0%)
AAC(6')	36	1191	312	26,2	8 (2.6%)	6 (75%)	2 (25%)
ANT	29	158	67	42,4	3 (4.5%)	3 (100%)	0 (0%)
APH	30	430	279	64,9	4 (1.4%)	3 (75%)	1 (25%)
Class A beta-lactamase	682	402	267	66,4	14 (5.2%)	9 (64.3%)	5 (35.7%)
Class B1-B2 beta-lactamase	150	554	134	24,2	8 (6.0%)	6 (75%)	2 (25%)
Class B3 beta-lactamase	31	493	221	44,8	7 (3.2%)	5 (71.4%)	2 (28.6%)
Class C beta-lactamase	56	373	76	20,4	4 (5.3%)	4 (100%)	0 (0%)
Class D beta-lactamase	248	76	27	35,5	2 (7.4%)	2 (100%)	0 (0%)
DfrA	35	632	632	100	0 (0%)	NA	NA
Erm	58	873	781	89,5	0 (0%)	NA	NA
Fos	34	84	62	73,8	0 (0%)	NA	NA
Qnr	66	272	219	80,5	0 (0%)	NA	NA
Sul	33	357	353	98,9	0 (0%)	NA	NA
Tet(M)	72	2824	1682	59,6	13 (0.8%)	9 (69.2%)	4 (30.8%)
Tet(X)	12	42	9	21,4	1 (11.1%)	1 (100%)	0 (0%)
Van ligase	16	1163	873	75,1	0 (0%)	NA	NA