UNIVERSITY OF BIRMINGHAM University of Birmingham Research at Birmingham

Spectro-temporal responses of curved railway tracks with variable radii of arc curves

Kaewunruen, Sakdirat; Ngamkhanong, Chayut; Liu, Xin

DOI: 10.1142/S0219455419500445

License: None: All rights reserved

Document Version Peer reviewed version

Citation for published version (Harvard):

Kaewunruen, S, Ngamkhanong, C & Liu, X 2019, 'Spectro-temporal responses of curved railway tracks with variable radii of arc curves', International Journal of Structural Stability and Dynamics, vol. 19, no. 4. https://doi.org/10.1142/S0219455419500445

Link to publication on Research at Birmingham portal

Publisher Rights Statement: Checked for eligibility 04/12/2018

Electronic version of an article published as International Journal of Structural Stability and Dynamics, Volume, Issue, 2019, Pages [https://doi.org/10.1142/S02194554195004451] ©copyright World Scientific Publishing Company [https://www.worldscientific.com/worldscinet/ijssd]

General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

•Users may freely distribute the URL that is used to identify this publication.

•Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

•User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?) •Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

1 2	Spectro-temporal responses of curved railway tracks with variable radii of arc curves
3	
4	Sakdirat Kaewunruen, Chayut Ngamkhanong
5	
6	Department of Civil Engineering, School of Engineering, University of Birmingham, 52 Pritchads
7	Road, Edgbaston B15 2TT, UK
8	s.kaewunruen@bham.ac.uk; cxn649@student.bham.ac.uk
9	
10	Xin Liu
11	Department of Civil Engineering, Beijing Jiaotong University,
12	Haidian district, Beijing 100044, China
13	15125863@bjtu.edu.cn
14	·
15	
16	Received 3 August 2018
17	Accepted 29 November 2018
	On curved railway tracks, wheel/rail interface can usually cause a traveling source of sound and vibration, which constitutes high-pitch or tonal
19	noise pollution causing a considerable concern of rail asset owners, commuters and people living or working along the railway corridor. The sound and vibration can be in various forms and spectra. The undesirable tonal sound on curves caused by excessive lateral wheel/rail
21	dynamics resonance with falling friction states are often called 'squeal noises'. This paper evaluates the transient effect of curve radii on the
22	possible occurrence of lateral track resonances, which is a principal cause of dynamic wheel/rail mode coupling that could trigger 'curve squeal'. This study
23	is devoted to systems thinking approach and better insight into dynamic phenomena of railway tracks that could resolve the railway curve
25	noise problems. Curved track models in three-dimensional space have been developed using a finite element package, STRAND7. The dynamic responses of curved track have been simulated by applying a moving train load. The transient loading model of a common wheel/rail slip has
26	been adopted. The simulations of railway tracks with different curve radii have been carried out to develop state-of-the-art understanding
27	into lateral track dynamics, including rail dynamics, dynamics, dynamics and overall track responses. Parametric studies have been
28 29	conducted to evaluate lateral displacements, velocities and accelerations of rail over sleeper and rail at midspan both in static and dynamic conditions. The study firstly reveals that the lateral resonance of tangent tracks is relatively rare and the mode coupling behavior is unlikely
30	to occur on moderately curved tracks. The lateral vibration responses have been presented in terms of time histories and spectro-temporal
31	responses (also called "Spectogram"). The dynamic lateral responses of the track are found to be sensitive to the change of curve radii.
18 19 22 22 23 24 25 67 28 90 13 23 33 23 33 23 33 23 33	The resonance peak in the lateral direction is related to the agreement of corresponding natural frequencies of rail and the vibration excitation frequencies under an individual rolling velocity. The outcome of this study establishes the new insight into the dominant

- excitation frequencies under an individual rolling velocity. The outcome of this study establishes the new insight into the dominant influences of different track parameters to track lateral dynamic behaviors. Further studies will consider the effect of falling friction to cope the reality behavior.
- 36 *Keywords:* railway noise; system thinking approach; curve radius; lateral nonlinear dynamics; curve squeal; high-frequency vibration.

37 1. Introduction

38

Curve squeal is a strongly tonal noise emitted from wheel/rail contact caused by the passage of the train in tight 39 curve rails with a low speed.^{1, 2} The occurrence of squeal induces significant environmental impacts immensely 40 annoying people living nearby due to its high frequencies characteristics.³ Based on previous studies⁴⁻⁷, unsteady 41 lateral creepage of the wheel is thought to be the prime reason of squeal, while other mechanisms such as 42 longitudinal creepage and flange contact, are determined to be of secondary importance reason of squeal noise 43 but these seem to be a main source of flanging noise.⁸⁻¹⁰ A number of research studies have been carried out to 44 demonstrate the mechanism of squeal noise by means of site investigation and numerical modeling. Negative 45 46 damping mechanism has been primarily proposed [10]. The friction coefficient, which is relevant to the sliding 47 velocity, falls after creepage exceeds the saturation point. This falling characteristic of creep force at high 48 creepage is believed to be the main reason of squeal. However, the mode coupling instability as a new 49 mechanism between normal and tangential dynamic is getting growing support while the negative friction 50 mechanism is insufficient to explain some phenomena especially when the friction coefficient is almost constant 51 .^{1, 12} It is still questionable at which mechanism results in wheel/rail squeal.

52

53 Based on earlier studies, there are two main modeling approaches for curve squeal which are respectively in the 54 time domain and frequency domain. The first approach introduces nonlinearities into the interaction model

while the computational efficiency as the calculation time is respectively high. Heckl and Abrahams,¹³ 1 2 investigated the vibration responses of an annular disc by applying a mathematical model which is excited by an 3 oscillation force, acting at the disc circumference, normal to the plane of the disc. The modeling is performed in time domain rather than frequency domain allows the generation of curve squeal due to lateral creepage to be 4 understood. Another paper¹⁴ expanded the former model by presenting a frequency domain analysis of a 5 friction-driven wheel according to the modal parameters of a wheel derived from the governing equation to 6 7 assess which wheel will be prone to squeal. In order to figure out the frictional instability of wheel/rail contact, a detailed time domain model containing vertical and tangential wheel/rail interaction was contributed.9, 15 The 8 9 simulation demonstrated that squealing even appears in the case of constant friction not only just during the 10 descent stage of friction based on a tangential contact algorithm TANG used to estimate the distribution of 11 friction coefficients from the previous time step.

12

13 The variation of squeal noise have been observed on-site in conjunction with many critical parameters including 14 curve radii, rail dynamics, cant dynamics and overall track response during operation. Previous work showed 15 that squeal only occur when the curve radius is smaller than 100b, where b is the wheelbase of the bogie.¹¹ The 16 results of on-site measurements also presented that there is no substantial reduction in wheel squeal associated 17 with limiting operation speed. The investigation implemented by,¹² conducted the track dynamic in terms of fluctuation of curve noise after upgrading from timber sleepers to concrete sleepers. But the question remains as 18 how other parameters change the lateral track dynamic. In fact, data collected in the field suggest a diverse 19 range of curving behavior, which are largely relevant to curve radii.^{16, 17} However, it is still uncertain to what 20 21 extent the track lateral response is affected by rail radius. The occurrence of cant is believed to have positive 22 impacts on reducing curve squeal due to its counteraction with creep force, an analytic approach has to be 23 implemented for the determination of the effective range of cant. In reality, stable and unstable regimes of track deflection are predicted in operation condition due to high lateral load.¹⁸ The track dynamic response 24 25 characteristics need to be taken into account to justify the track dynamic under different lateral loads.

26

27 The critical review reveals the correlation between squealing and track vibration responses. However, lateral 28 track dynamic characteristic has not been fully understood, especially when it comes to various curve radii, 29 cants and lateral loads. It is noted that curve radii are the radii of circular arc. This study focuses on curved 30 tracks with curve radii between 100m and 500m. This study aims to investigate the lateral track behavior into 31 the effects of their properties. The present analyses are based on a detailed time-domain modeling, using 32 Timoshenko beams in a general-purpose finite element package STRAND7 to build a two-dimensional track 33 model that include the interaction between vertical and lateral directions. Both static and nonlinear transient 34 analysis are carried out. Both vertical and lateral loads are applied to the railway track. Thus, the model covers 35 the generation of squeal noise but does not include the sound radiation from the wheel due to the substitution of 36 wheel by a pre-calculated impulse response function. Dynamic displacement, velocity and acceleration have 37 been evaluated at rail over sleeper and rail at midspan under different curve radii and load cases. The lateral 38 track vibration responses in frequency domain associated with squeal noise have been studied. Dynamic 39 amplification phenomena are then highlighted. Based on previous studies, only a few papers focused on this 40 area. The findings of this paper will provide some key parametric insights into fundamental dynamics of track in 41 the lateral direction and establish the development of the dynamic design of curved track.

42

43 2. Numerical Method

44 2.1 Equation of track motion

45 The track model consists of a two-dimensional Timoshenko beam, which has been validated to be one of the

46 most suitable options for concrete sleepers modeling due to its bending characteristic in the vertical and lateral

47 directions.¹⁹⁻²⁰ To illustrate the nonlinearities of vibration of Timoshenko beam, the classical equations of

48 motion are as follows:

$$\varepsilon = u_x + \frac{1}{2}w_x^2, \tag{1}$$

$$\kappa = \frac{\theta_x}{\sqrt{1 + w_x^2}} \approx \theta_x \left(1 - \frac{1}{2}w_x^2 + \frac{3}{8}w_x^4\right),\tag{2}$$

3
$$\gamma = \tan^{-1} w_x - \theta \approx w_x - \frac{1}{3} w_x^3 - \theta, \qquad (3)$$

4 where $u, w, \theta, \varepsilon, \kappa$ and γ represent the lateral displacement, the deflection, the cross-section rotation, the axial 5 strain, the bending curvature, and the shear strain, respectively. The subscript x denotes the coordinate along the

6 direction with the length of beam. The kinetic energy K and the strain energy U related to beam bending are 7 given by

8
$$K = \frac{1}{2} \int_{0}^{L} \rho A w_{t}^{2} dx + \frac{1}{2} \int_{0}^{L} \rho I \theta_{t}^{2} dx, \qquad (4)$$

9
$$U = \frac{1}{2} \int_{0}^{L} (EA\varepsilon^{2} + EI\kappa^{2} + kGA\gamma^{2}) dx, \qquad (5)$$

10 where the subscript *t* represents partial differentiation with respect to time, ρ is the mass density per unit 11 volume, *A* the area of the beam section, *I* the area moment of the inertia, *E* the Young's modulus, *G* the shear 12 modulus and *k* the shear modification coefficient.²¹

13 2.2 Track Model

2

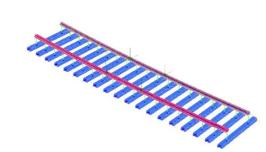
In this investigation, the finite element models of railway tracks have been previously developed and calibrated 14 against the numerical and experimental modal parameters.²⁰⁻²² Fig. 1 presents the finite element models in three-15 dimensional space for an in situ railway track with both curve and tangent types. A commercial software 16 STRAND7 is used for finite element simulation.²³ 2D Timoshenko beam has been chosen for track structure 17 18 idealisation as a beam model since it has been found to be one of the most suitable options for modelling rail and concrete sleeper since its ability reflects the deep-beam-like structural behaviours.²⁴ This includes both 19 20 shear and flexural deformations. Each sleeper consists of 60 beam elements and each rail consists of 200 beam 21 elements. The overall model is represented by 1348 beam elements. The 60kg rail cross section were introduced in track model and the geometric parameters (Area: 17659.8mm²; Second moment of Area: 43.2x10⁶) were 22 derived to be applied based on Australian Standard AS1085.1.²⁵ The trapezoidal cross-section was allocated to 23 24 the sleeper elements with medium section (204mm top-wide250mm bottom-wide180mm deep). The rail pads under track were represented by a series of spring-dashpot elements. The distance offset between rail and 25 sleepers was 100mm which does not have impacts on numerical results.²²⁻²⁴ In the modeling, stiffness and 26 27 damping values of high-density polyethylene pads were assigned to these spring-dashpot elements both in 28 vertical and lateral direction. Ballast was placed under sleeper, supporting the rails and sleepers, which provide 29 compression only. Tensionless beam support was used to achieve the support condition with allowance of hover 30 over the support while the tensile supporting stiffness is omitted. In reality, tensionless support option can correctly reflect the real ballast characteristics.²⁵⁻³¹ Table 1 shows the geometrical and material properties of the 31 finite element model. It was validated in previous studies, effects of length and boundary of track in this 32 investigation (18 bays or 10.8m) on the computation and frequencies of interest are negligible.^{19-20, 22} 33

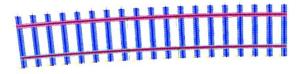
Table 1	. Engineering	properties	of rail
---------	---------------	------------	---------

Length	$l_r = 10.8$	m
Gauge	<i>g</i> =1.5	m
Modulus	$E_r = 2.000e5$	MPa
Poisson's ratio	$v_r = 0.25$	-
Density	$d_r = 7850$	Kg/m ³

1	Table 2. Engineeri	ng properties of rail p	ad	
	Vertical stiffness	$k_{pv} = 17$	MN/m	
	Lateral stiffness	$k_{pl} = 70$	MN/m	
2				
3	Table 3. Engineering p	properties of concrete	sleeper	
	Length	<i>l</i> _s =2.5	m	
	Spacing	<i>s</i> =0.6	m	
	Modulus	$E_s = 3.75 \text{e}4$	MPa	
	Shear modulus	$G_s = 1.09e4$	MPa	
	Density	$d_r = 2740$	Kg/m ³	
4				
5	Table 4. Engineer	Table 4. Engineering properties of ballast		
	Ballast stiffness	<i>k</i> _b =13	MN/m	

7 In total, seven types of curve radii have been evaluated for dynamic investigations using curves ranging from 8 100m to 600m including a tangent one. Also, four types of cants are determined with the range from 0mm to 9 300mm. Engineering properties of each element are illustrated in Tables 1-4. These data have been validated by 10 a parametric analysis which is implemented in consideration of different rails, sleepers and ballast properties. In 11 order to adopt these, the partial support condition is believed to vastly conform with real condition of standard gauge tracks. The nodes at both end of track were fixed in every direction to represent the track boundary. Both 12 13 ends of sleeper are constricted by hinge support to prevent lateral movement of sleeper. Four separated forces 14 with various magnitudes have been used to simulate the load condition of a passenger train bogie (2 per each rail, 2 m apart). This load magnitude has been used for benchmarking purpose.³²⁻³³ The schematic lateral load 15 case used is shown in Fig. 1c. The non-dimensional analyses were implemented to get the dynamic 16 17 amplification over curve radii and over frequency domain. 18

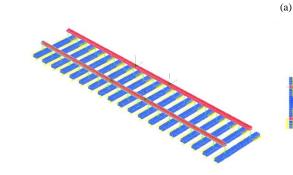




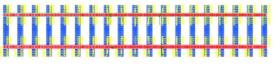
19 20

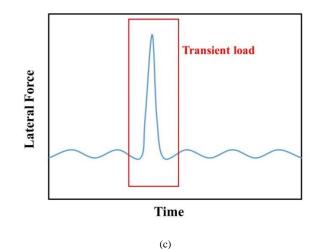
21 22

6



(b)







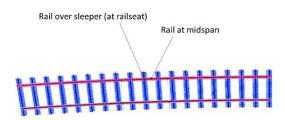
2 3

Fig. 1. Dynamic track models: (a) Model of curved track (b) Model of tangent track (c) Schematic load case

4 **3.** Results and discussions

5 The Linear Static Solver of STRAND7 has been used to evaluate the static response of railway tracks. For the 6 static analysis, the position of lateral load coincides with the centroidal axis of rail model. The Nonlinear 7 Transient Solver is then used to get dynamic behaviors of railway tracks after being verified by quasi-static 8 condition. As indicated in Ref [34], noise is mainly developed by the wheel but not comes from the rail. The 9 whole process of a passing train can be regarded as a series of moving loads and the track is supposed to be rigid 10 for the entire analysis. Therefore, two moving load envelope with a speed of 10m/s have been established to evaluate the dynamic response of the rail based on an impulse excitation of a period of 0.0001s starting at time t 11 =0.005s. The calculation time step is set to be 5×10^{-5} so that it can include high frequency modes for responses 12 13 of curving. With this FE model, the eigenfrequencies and corresponding eigenmodes are calculated up to 14 10kHz, which is believed to cover modes of squeal noises vastly. The dynamic analyses are taken into account 15 for various curve radii, cants and lateral loads. To appropriately take into consideration of dynamic effects of 16 moving load, calculation time has been set to be 5s thus the entire process of dynamic responses can be 17 adaptively reflected in simulation associated with the length of track and vehicle velocity. Lateral track 18 displacements, dynamic acceleration have been evaluated both in static and moving load conditions. The output 19 data obtained from simulation are more centering on dynamic responses at critical positions, including rail over 20 sleeper and rail at midspan. The analysis positions are shown in Fig. 2. Dynamic factor can be computed as a 21 ratio of dynamic response over static response. Typical deformation and stress distribution of a railway track 22 under the lateral moving load are shown in Fig. 3. The rails present positive bending due to the lateral load 23 applied perpendicular to load moving direction.

24



25 26 27

Fig. 2. Analysis positions

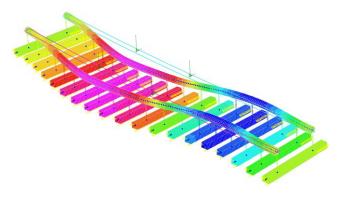


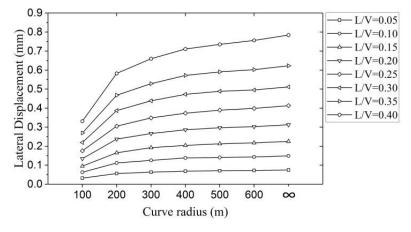
Fig. 3. Example of track's deformation under lateral moving load

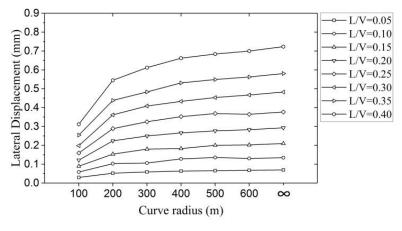
1

4 3.1 Maximum displacement response

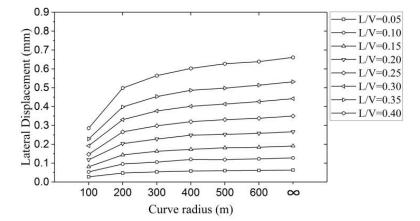
5 Fig. 4 illustrates a summary of maximum lateral dynamic displacements appearing on the track over sleeper 6 with respect to different cants. The L/Vs are lateral to vertical load ratios where vertical load was selected as 7 100KN as a benchmark for passenger train bogie. Varying load ratios were comparatively investigated. 8 Regarding the effects of curve radii, it is clear that the tracks with larger curve radii deform laterally more 9 severe than tight curved tracks due to lower lateral resistance. The result can be effectively explained by arch 10 mechanics that arches with larger curvature possess of higher compressive strength. The increase of track radius 11 leads to a slower growth of rail lateral displacement which appears to be a general tendency for all the load 12 cases. Therefore, the increase of lateral responses is nonlinear with respect to curve radius. It is exemplified by 13 load ratio of 0.2, displacement increases 75.8% for curve radius varying from 100m to 200m, while the increase 14 rate is only 3.2% for radius varying from 500m to 600m. This implies that lateral displacement responses are 15 more sensitive corresponding to low radii, which gave evidence on the appearance of squeal during train 16 negotiating tight curves. It can also be observed from the graph that the lateral track displacement of tangent 17 track is similar to the value of track with a radius of 600m. For large curve radius, the lateral displacement of the 18 track no longer change significantly with increasing radius therefore the increase of radius plays a little role on 19 the dynamic amplitude of track. This phenomenon, in fact, is evident from the less flange contact between wheel 20 and rail while train traveling in large curve. The results above indicate that the increased track radius may have 21 positive effect on reducing curve squeal and squeal noise would disappear when the curve radius comes to a

22 certain value.

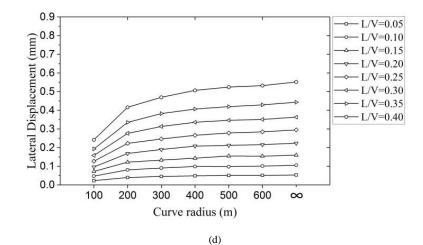










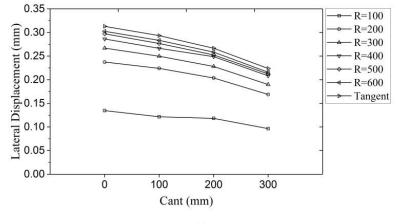


5 6

Fig. 4. Dynamic lateral displacements of track over sleeper at different curve radii under: (a) Cant = 0mm, (b) Cant = 100mm, (c) Cant = 200mm and (d) Cant = 300mm.

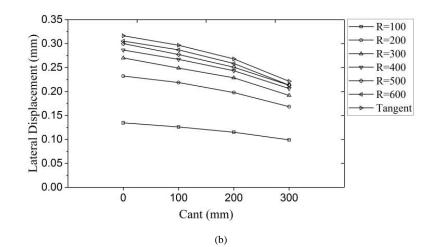
9 To evaluate the effects of cants on dynamic responses, Fig. 5 shows a comparison of dynamic lateral rail 10 displacements based on the simulation performed with a vehicle velocity of V=10m/s for varying cants. The 11 results apparently demonstrate the positive effect of including cant in curved track, which leads to a lower 12 dynamic response when cant goes towards a higher value. The result can be inferred by the occurrence of 13 centrifugal forces and compensative effect of cants. As expected, the railway track without a cant is more likely

- 1 to cause severe damage on track components and even leads to derailment. Therefore, these negative effects,
- 2 which could be avoided by adding suitable cants to railway track, should anyhow not obstruct railway normal
- 3 operation. The net axle lateral load is commonly assumed to be a key parameter that affects the simulation of lateral dynamic responses.
- 4 lateral dynamic responses.









- 7
- 8 9

Fig. 5. Maximum lateral displacements of track at (a) Rail over sleeper and (b) Rail at midspan, with varying cants, L/V=0.2.

11 The load is typically to be represented as the ratio between the net axle lateral load to the axle vertical load 12 (L/V). Fig. 6 presents the results of the simulation in terms of a large range of lateral to vertical load ratios. Both 13 curved tracks and tangent track show almost identical results that an increase of L/V ratio gives rise to a rapid 14 growth rate of lateral rail displacement, causing further increase of displacement especially within a high load 15 range. Surprisingly, over L/V range of 0.05 to 0.2, no significant displacement fluctuation occurs while the 16 amplitude responses are generally to be unstable at load ratio above 0.2. For a curve of R=300m, the increase 17 rate of lateral displacement from ratio of 0.35 to 0.4 is 2.05 times than that of a low load ratio under the same 18 condition. The results suggest the nonlinearity of lateral responses, which is mainly due to the occurrence of 19 residual deflection under high lateral load. Based on previous studies, high lateral forces can cause distortion of 20 the track on the bed of ballast. For safety consideration, a limiting value of lateral to vertical load ratio should be 21 identified. The data in Fig. 6 illustrates that in case L/V ratio is under 0.35, the rail lateral displacements 22 stabilize at some finite value. Above this limit, the lateral displacement is found to increase at a rapid speed. The 23 results are consistent with the 85% Prudhomme formula. In addition, curved tracks are expected to exhibit more 24 severe unstable vibration than tangent track.

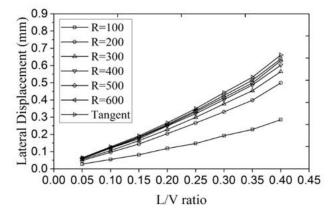
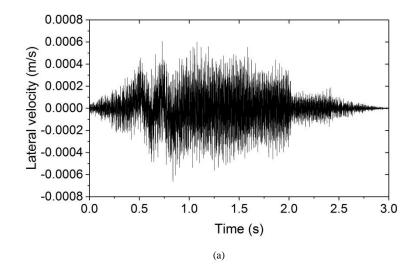


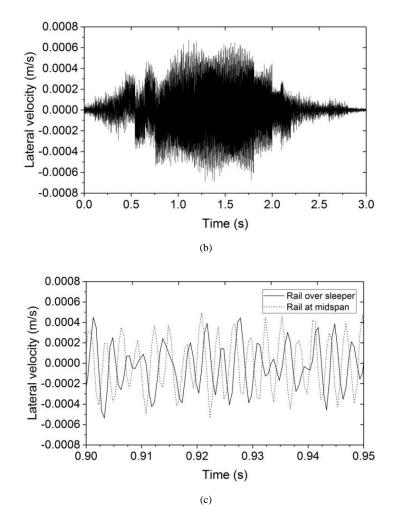


Fig. 6. Maximum lateral rail displacement under varying L/V ratio, cant=200m.

3 3.2 Lateral velocity

4 Fig. 7 shows the time histories of rail lateral velocity over sleeper (at railseat) and at midspan for the first 3 5 seconds in terms of a vehicle traveling at v=10m/s. The results are corresponding to a 20KN lateral load applied 6 to the track with a curve radius of 100m. The lateral velocities at two positions appear to a similar varying 7 pattern, the peak values of which are around 0.0006m/s. The graph for rail at mid-span is found to be fatter than 8 that for rail over sleeper because of the bending behavior in Timoshenko beam at middle point. It can be 9 demonstrated that the peak of the lateral velocity at rail does not occur at the position where train load is 10 applied. There is a delay for the happening of maximum responses. The responses induced by the first sets of 11 loads are smaller than that induced by following train load as a result of the superposition effects of moving 12 loads. As the train load goes away, the velocities of track do not decrease immediately while a stabilization 13 stage can be observed till the decay takes place at 2.0s. When zoomed in, it is found out that the over sleeper and 14 midspan velocities changed from out of- phase to in-phase over approximately 50ms. The phase difference 15 between these two positions reflects the bending characteristic when waves propagate along the track.





5

6

Fig. 7. Time history of track lateral velocity under radius of 100m, L/V=0.2: (a) Rail over sleeper, (b) Rail at midspan and (c) Comparison between rail over sleeper and rail at midspan.

7 The dynamic excitation feature is comprehensively exhibited in terms of the mobilities of the track. The lateral 8 mobility spectrums obtained by a fast Fourier transform are shown in Fig. 8 as a comparison of three types of track by virtue of logarithmic distribution in dB re.10⁻⁹ m/s. The vibration levels in terms of dB are calculated by 9 the ratio of one level respect with respect to a reference level (20log(V/V_{ref}), 20log(A/A_{ref}) where V and A are 10 velocity and acceleration, respectively. It should be noted that the decibel reference levels for velocity and 11 acceleration (V_{ref} and A_{ref}) recommended by ISO R 1683 are 10^{-9} and 10^{-6} , respectively. Both for rail over 12 13 sleeper and rail at mid-span, lateral mobilities in small radius perform higher values than that in large radius 14 predominantly in the frequency between 1kHz and 5kHz which is of interest in generation of curve squeal. For 15 responses of rail over sleeper, the resonance peak at 251Hz in lateral direction is related to natural frequency of 16 both curve and tangent tracks. The lateral pinned-pinned anti-resonance and resonance appear at 370Hz and 17 730Hz respectively. Interestingly, the increasing of curve radius in both cases moves pinned-pinned resonance 18 to higher frequencies and the depth of resonances are effectively reduced. For example, the sharp peaks at 19 730Hz significantly drop by 20dB with the transition of track radius from 200m to 500m. This is due to the fact 20 that curve radius strongly affects track dynamics. However, below this frequency, the lateral mobilites are 21 generally unaffected by the curve radius but in the frequency range 1000-5000Hz the responses from the various 22 cases incur apparent differences due to the influence of wheel/rail interaction during the curving. When 23 compared with curved track, tangent track globally exhibits much lower noise levels in high frequency, which 24 implies curve squeal is not likely to occur under this condition. Moreover, modes above 5000Hz are mostly 25 related to self-vibration. Therefore, curve radius has little effect on the track resonances in lower frequency 26 range while has significant effect on reducing unstable eigenvalues in higher frequency range. As a

- 1 consequence, curve squeal can be effectively suppressed by increasing curve radius. The similar nonlinear
- 2 situation can be verified from track lateral responses at midspan.

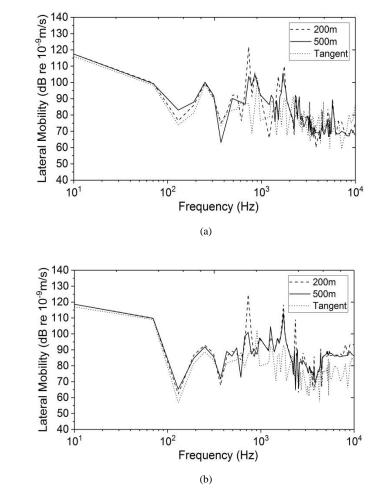
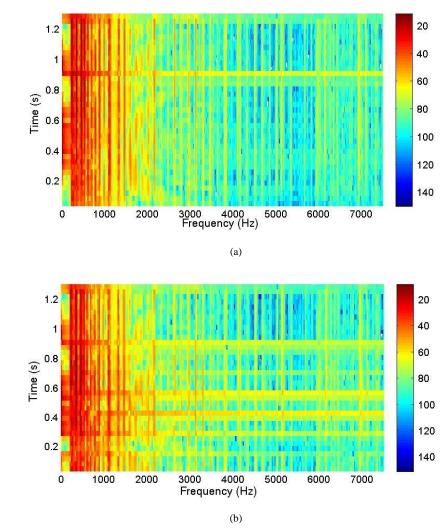


Fig. 8. Spectra of rail lateral mobility at (a) Rail over sleeper and (b) Rail at midspan for varying types of track.

8 The spectrograms of the track vibration velocity in the lateral direction during the train pass through the track 9 are shown in Fig. 9. The value of vibration velocities can be divided into three phases. The lowest range of track 10 velocity vibration can be observed within the low frequency range(less than 1000Hz). Between 1000Hz and 11 2000Hz, the values are higher than that within lower frequency and obviously separated from the range of more 12 than 2000Hz. A similar phenomenon can be observed in track with a radius of 500m while less unstable modes 13 appear in it. It can be concluded that when the track radius increase, the effect of lateral vibration velocity on 14 track decrease. In addition, track lateral vibration velocity increases due to the increase in frequency during the 15 passing train.

16

5 6







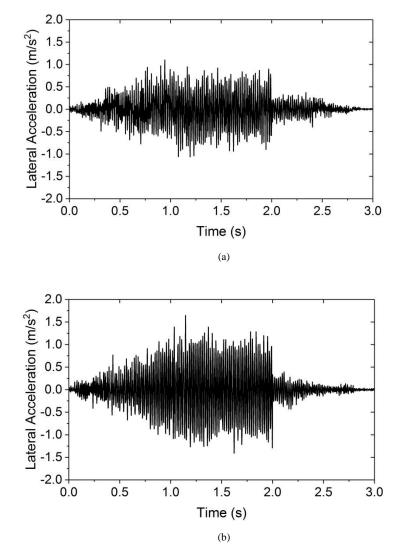
4

5

Fig. 9. Spectrogram of track lateral vibration velocity during train pass-by (a) R=200 (b) R=500.

6 3.3 Lateral acceleration

7 Rail vibration is now determined in Fig. 10 by giving the lateral point acceleration in time domain at rail joint 8 over sleeper in terms of a 20KN lateral load applied to a curved track. In Fig. 10, the results measured at middle 9 point of rail are also shown as a reference. These indicate that the responses in rail midspan are slightly higher at 10 all period than those in rail over sleeper. This could be associated with the fact that the vibratory behavior of the track at midspan is similar to that of simply supported beam at the midpoint. It can be found that the peak 11 responses for rail over sleeper and rail at midspan are 1.23m/s² and 1.54m/s² respectively. Note that both are 12 13 positive acceleration values. The lateral acceleration of rail at midspan arrived at its peak till the train travels 14 through the track and there is a stable fluctuation phase between around 1.0s and 2.0s. Note that the maximum 15 lateral acceleration at rail over sleeper occurs before 1.0s as the moving loads reach the analysis position at rail 16 over sleeper before midspan. The overall varying trend of acceleration is similar to that in time histories of 17 velocity. The vibration responses of track are determined to abruptly vanish at 2 seconds, above which the 18 variation of responses is relatively unimportant. Taking these results into consideration, it is judged that track 19 responses differ significantly at varying positions.





4

5 6

Fig. 10. Time history of track lateral acceleration under radius of 100m at (a) Rail over sleeper and (b) Rail at midspan.

7 Fig. 11 shows the corresponding Fourier spectrum for rail vibration at midspan with respect to curve radius of 8 200m, 500m and infinite. The most distinct resonance of 200m radius track occurs at 150Hz with the value of 9 131dB while the maximum response of 500m radius track happens at 251Hz with the value of 125.6dB. 10 Therefore, the increase of track radius expands the resonance frequency and reduces the peak of vibration due to 11 the time variation of response spectrum. It can also be verified by the fact that the acceleration in tangent track 12 are lower than that in curved tracks. . On the contrary, the track acceleration in the frequency region of most 13 interest for the squeal, 1000Hz-5000Hz, is much greater on 200m radius track than on 500m radius track and 14 tangent track. This would lead to more unstable modes occur at squeal frequency region, which enhances the 15 severity of squealing. When zoomed in, it can be found out that track with low radius is more susceptible to 16 squeal noise as the receptances of the track with a radius of 200m enclose that of the track with high radius. A 17 comparison of acceleration levels between curved track and tangent track suggests that vibration in tangent track 18 in high frequencies tend to have less possibility of occurring of squeal noise.

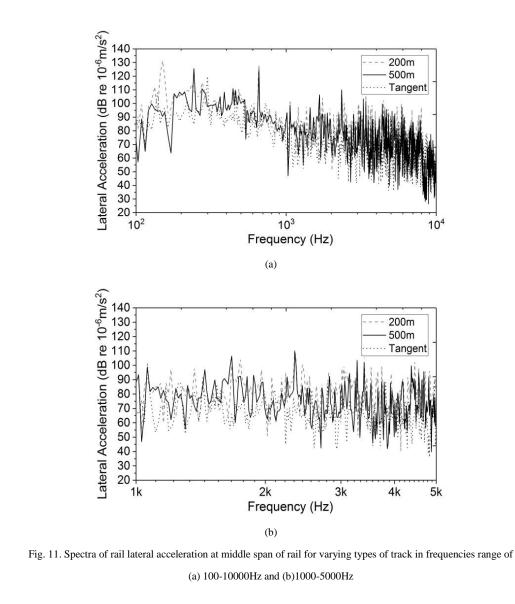
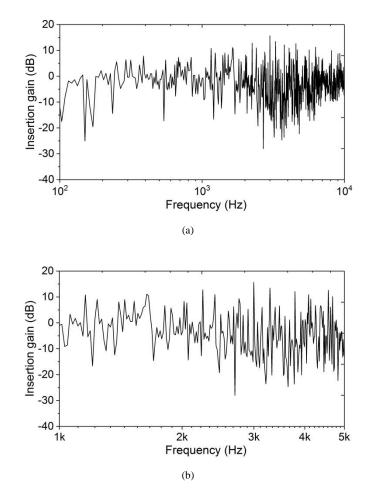


Fig. 12 illustrates the effect of curve radius by showing the difference between the responses of rail with radius of 200m and 500m. In order to show the reduction in track noises most clearly, insertion gain as an indication of vibration mitigation has been used here to quantify the relative mitigation effects. In overall frequency domain, the insertion gain distributes mainly in the range of -10dB-30dB. The region at frequencies below 1000Hz is

much lower than that at frequencies between 1000Hz and 5000Hz where curve squeal is most likely to occur.

Therefore, it is demonstrated that the responses of track in high frequencies are sensitive to track radius thus increasing of curve radius has significant positive effect on reducing squeal noise.





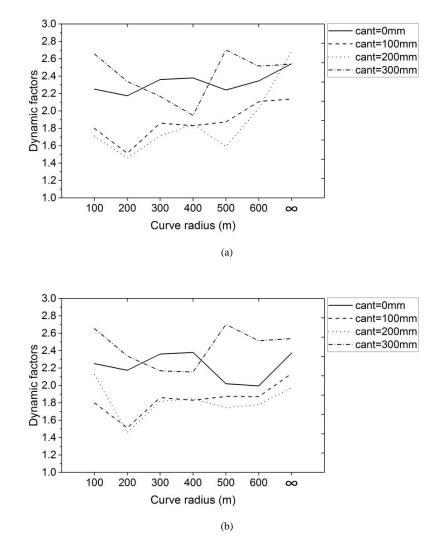
4 5

6

Fig. 12. Insertion gain of track responses with respect to change in track radius from 200m to 500m in frequencies range of (a)100-10000Hz and (b) 1000-5000Hz.

7 **3.4 Dynamic factors**

8 In Fig. 13, the dynamic factors are investigated in terms of varying curve radii. Dynamic factors show discrete 9 behaviors for varying curve radii due to unstable vibration modes excited by moving load. It clearly 10 demonstrates that track without cant has poor performance in dampening rail vibration responses. On average, 11 dynamic factor was reduced by more than 18% from cant of 0mm to cant of 100mm. This is due to the positive 12 effect of centrifugal and gravitational balance. Therefore, higher cants can help to stabilize railway track by 13 reducing dynamic responses of the rail over sleeper. In fact similar trend can be observed for rail at midspan. 14 However, for cant of 300mm, surprising phenomenon occurs that dynamic factor is no longer to be reduced with 15 the increase of cants. High cants lead to a more severe dampening performance of track. This is reverent to the 16 dynamic characteristic of cants. It is clear that cant has a significant effect on track dynamic therefore the 17 limiting value of which should be established to ensure the safety of railway operation.



4

5

Fig. 13. Dynamic factors of track at (a) Rail over sleeper and (b) Rail at midspan, L/V=0.1.

6 4. Conclusion

7 In urban environment, curve squeal is an annoying problem due to its tonal nature and uncertain excitation 8 mechanism. This type of noise is commonly emitted in tight curve rails. In this paper, a numerical simulation 9 has been implemented to identify the lateral dynamic vibration characteristics of curved track with many 10 influencing parameters including track radii, cants and lateral to vertical load ratios. The track model was 11 established in three-dimensional space using a finite element package STRAND7. Parametric studies performed 12 with curved track model have indicated many important results. The relative rail displacement can be reduced 13 by the increasing of curve radius. However, for tight curve, relative displacement responses are more sensitive 14 to the change of radius. This provides evidence on the occurrence of squeal in tight curve. Cant is believed to 15 have positive effect on dynamic responses therefore adding cant is a valid approach to reduce the amplitude of 16 track components. On the other hand, simulation captured instable tendency to dynamic responses for high 17 lateral load where 0.35 can be used as a limitation of lateral to vertical load ratio. Spectro-temporal (also called 18 "spectrogram") responses are presented in this study by representing the amplitude of lateral velocity vibration 19 over time at various frequencies present in a particular waveform. In terms of lateral velocity, track responses 20 differ apparently at varying positions. Mobilities of track show good consistency with respect to various tracks 21 at low frequencies although resonances are generally moved to higher frequencies when a higher radius is 22 applied. However, the resonance is not observed on tangent track. It is noted that increasing of track radius tends 23 to vastly control the squeal noise at higher frequencies. Moreover, track acceleration in higher frequencies are 24 more sensitive to the track radius. The insertion gain in squeal region is double of that in lower frequencies. In

- 1 comparison of results for different types of track it is determined that vibration in tangent track would not incur
- 2 squeal noise since the resonance effect is not observed in the frequency range from 1000 to 5000Hz. Dynamic
- 3 factor, as a significant parameter to reflect the track dynamic behaviors, is observed to fluctuate intensely with
- 4 varying curve radii. Introduction of cants exhibits remarkable reduction of the dynamic amplification in
- 5 comparison with absence of cants. However, a high cant is demonstrated to incur severe dampening
- 6 performance therefore a reasonable range of cants need to be established. The study firstly exhibits that the
- 7 lateral resonance of tangent tracks is not dominant and the mode coupling behavior may not occur on
 8 moderately curved tracks. This study establishes the new insight into the dominant influences of different track
- 9 parameters to track lateral dynamic behaviors. However, further studies into dynamic and falling frictions are
- 10 needed for investigations since the wheel/rail friction is not constant in reality.³⁵
- 11

12 Acknowledgements

13 The authors gratefully acknowledge the Japan Society for the Promotion of Science (JSPS) for JSPS Invitation 14 Research Fellowship (Long-term), Grant No L15701, at Track Dynamics Laboratory, Railway Technical 15 Research Institute and Concrete Laboratory, the University of Tokyo, Tokyo, Japan. The authors are sincerely 16 grateful to European Commission for the financial sponsorship of the H2020-RISE Project No. 691135 RISEN:

- 17 Rail Infrastructure Systems Engineering Network (www.risen2rail.eu).³⁶
- 18

19 References

- 20 [1] D. Thompson, Chapter 9 Curve Squeal Noise, *in: Railw. Noise Vib.*, (2009) 315–342.
- [2] X. Liu, C. Ngamkhanong and S. Kaewunruen, Nonlinear dynamic of curved railway tracks in threedimensional space. *3rd International Conference on Mechanical Engineering and Automation Science*, Birmingham, United Kingdom, 13-15 October 2017.
- 24 [3] A. Wickens, Fundamentals of Rail Vehicle Dynamics: Guidance and Stability, 2003.
- [4] P.J. Remington, Wheel/rail squeal and impact noise: What do we know? What don't we know? Where do we go from here?, *J. Sound Vib.*, **116** (1987) 339-353.
- 27 [5] U. Fingberg, A model for wheel-rail squealing noise, J. Sound Vib., 143 (1990) 365-377.
- [6] D.J. Thompson and C.J.C. Jones, A review of the modelling of wheel-rail noise generation, J. Sound Vib., 231 (2000) 519-536.
- F.G. de Beer, M.H.A. Janssens and P.P. Kooijman, Squeal noise of rail-bound vehicles influenced by lateral
 contact position, *J. Sound Vib.*, 267 (2003) 497-507.
- J.R. Koch, N. Vincent, H. Chollet and O. Chiello, Curve squeal of urban rolling stock-Part 2: Parametric study on a 1/4 scale test rig, *J. Sound Vib.*, 293 (2006) 701–709.
- [9] A. Pieringer, A numerical investigation of curve squeal in the case of constant wheel/rail friction, *J. Sound Vib.*, 333 (2014) 4295–4313.
- [10] N. Vincent, J. Koch, H. Chollet and J. Guerder, Curve squeal of urban rolling stock Part 1: State of the art and field measurements, *J. Sound Vib.* 293(3) (2006) 691-700.
- 38 [11] M.J. Rudd, Wheel/rail noise-Part II: Wheel squeal, J. Sound Vib., 46(3) (1976) 381–394.
- [12] J. Jiang, I. Ying, D. Hanson and D.C. Anderson, An investigation of the influence of track dynamics on curve noise, *In: Noise and Vibration Mitigation for Rail Transportation Systems*, (2015) 441-448.
- [13] M.A. Heckl and I.D. Abrahams, Curve squeal of train wheels, Part 1: Mathematical model for its generation, *J. Sound Vib.* 229(3) (2000) 669–693.

- [14] M.A. Heckl, Curve squeal of train wheels, Part 2: Which wheel modes are prone to squeal? J. Sound Vib.,
 229(3) (2000) 695-707.
- [15] D. Anderson, N. Wheatley, B. Fogarty and J. Jiang, A. Howie, W. Potter W. Mitigation of Curve Squeal
 Noise in Queensland, New South Wales and South Australia, In: CORE 2008: Rail: The core of Integrated
 Transport, Perth, Australia, 7-10 September 2008, 7-10.
- [16] S. Kaewunruen and A.M. Remennikov, Effect of a large asymmetrical wheel burden on flexural response
 and failure of railway concrete sleepers in track systems, *Eng. Fail. Anal.*, 15(8) (2008) 1065–1075.
- [17] S. Kaewunruen and A.M. Remennikov, Dynamic properties of railway track and its components: Recent
 findings and future research direction, *Insight Non-Destructive Test. Cond. Monit.*, 52(1) (2010) 20–22.
- [18] C. Ngamkhanong and S. Kaewunruen, B.J.A. Costa, State-of-the-art review of railway track resilience
 monitoring, *Infrastructures*, 3(1) (2018).
- 12 [19] S.L. Grassie, Dynamic modelling of concrete railway sleepers, J. Sound Vib., 187(5) (1995) 799–813.
- [20] S. Kaewunruen and A.M. Remennikov, Experimental simulation of the railway ballast by resilient materials
 and its verification by modal testing, *Exp. Tech.*, 32(4) (2008) 29–35.
- [21] M. Asghari, M.H. Kahrobaiyan and M.T. Ahmadian, A nonlinear Timoshenko beam formulation based on the modified couple stress theory, *Int. J. Eng. Sci.*, 48(12) (2010) 1749–1761.
- [22] S. Kaewunruen and A.M. Remennikov, Application of vibration measurements and finite model updating
 for structural health monitoring of ballasted rail sleepers with voids and pockets. *In: Mechanical Vibration*,
 Nova Science Publishers New York. (2009) 621-644.
- [23] G+D Computing, Using Strand7: Introduction to the Strand7 finite element analysis system. Australia:
 Sydney, (2001).
- [24] L. Falach, P. Paroni and P. Podio-Guidugli, A justification of the Timoshenko beam model through convergence, *Analysis and Applications*, 15(2) (2015) 261-277.
- 24 [25] Standards Australia, (2001) AS1085.1 Rail. Australia: Sydney, (2001).

- 25 [26] RailCorp, Engineering Specification SPC233 Concrete sleepers and bearers. Australia: Sydney, (2013).
- [27] S. Kaewunruen and A.M. Remennikov, Effect of improper balalst packing/tamping on dynamic behaviors
 of on-track railway concrete sleeper, *International Journal of Structural Stability and Dynamics*, 7(1)
 (2007) 167-177.
- [28] S. Kaewunruen and A.M. Remennikov, Effect of improper balalst packing/tamping on dynamic behaviors
 of on-track railway concrete sleeper, *International Journal of Structural Stability and Dynamics*, 8(3)
 (2008) 505-520.
- [29] S. Kaewunruen, T. Lewandrowski and K. Chamniprasert, Effect of improper balalst packing/tamping on
 dynamic behaviors of on-track railway concrete sleeper, *International Journal of Structural Stability and Dynamics*, 18(1) (2018).
- [30] C. Ngamkhanong, S. Kaewunruen and C. Baniotopoulos, A review on modelling and monitoring of railway
 ballast, *Structural monitoring and Maintenance*, 4(3) (2018) 195-220.
- [31] Standards Australia, Australia Standard: AS1085.14-2003 Railway track material, Part 14: Prestressed
 concrete sleepers. Australia: Sydney, (2003).

- [32] Kaewunruen S and Chamniprasart K (2016) Damage analysis of spot replacement sleepers interspersed in Chalmers University of Technology. In: Proceedings of the 29th Nordic Seminar on Computational Mechanics. Chalmers University of Technology Gothenburg, 28-30.
- [33] O. Mirza, S. Kaewunruen and D. Galia, Seismic vulnerbility analysis of Bankstown's West Terrace railway
 bridge, *Struct. Eng. Mech.*, 57(3) (2016) 569–585.
- [34] R. Stefanelli, Curve squealing: Investigation of the general conditions which lead to squeal, and acoustic
 modeling of the squealing wheels. ETH Zurich, Issue ETH No.16686, 2006.
- 8 [35] S. Kaewunruen, Systems thinking approach for rail freight noise mitigation, Acoust Aust, 44: 193 (2016).

[36] S. Kaewunruen, J.M. Sussman and A. Matsumoto, Grand Challenges in Transportation and Transit
 Systems, *Frontiers in Built Environment*, 2(4) (2016).