

## Electric single-molecule hybridization detector for short DNA fragments

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## Article

## An Electric Single-Molecule Hybridisation Detector for short DNA Fragments

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# An Electric Single-Molecule Hybridisation Detector for short DNA Fragments

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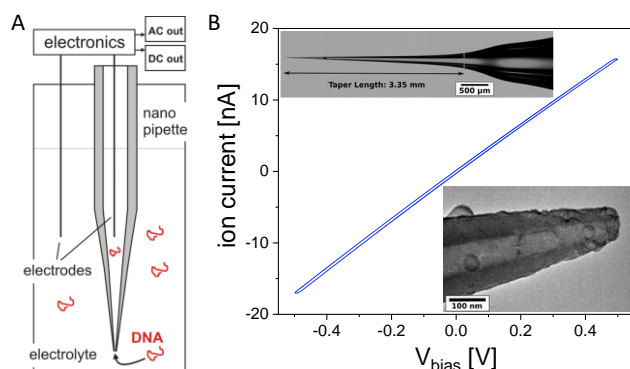
## Abstract

In combining DNA nanotechnology and high-bandwidth single-molecule detection in nanopipettes, we demonstrate an electric, label-free hybridisation sensor for short DNA sequences (< 100 nt). Such short fragments are known to occur as circulating cell-free DNA in various bodily fluids, such as blood plasma and saliva, and have been identified as disease markers for cancer and infectious diseases. To this end, we use as a model system a 88-mer target from the RV1910c gene in *Mycobacterium tuberculosis* that is associated with antibiotic (isoniazid) resistance in TB. Upon binding to short probes attached to long carrier DNA, we show that resistive pulse sensing in nanopipettes is capable of identifying rather subtle structural differences, such as the hybridisation state of the probes, in a statistically robust manner. With significant potential towards multiplexing and high-throughput analysis, our study points towards a new, single-molecule DNA assay technology that is fast, easy to use and compatible with point of care environments.

Nanopore devices are a new class of stochastic single-molecule sensors. As nanoscale analogues of the well-known Coulter counter, which is routinely used for cell counting in hospital environments, they have been developed towards fast and label-free DNA sequencing.<sup>1</sup> This feat has now largely been achieved with (modified) biological pores, such as  $\alpha$ -hemolysin.<sup>2</sup> However, resistive pulse sensing with solid-state nanopores and nanopipettes offers a range of other potential applications. These nanodevices are relatively easy to fabricate (especially nanopipettes<sup>3,4</sup>) and there is usually considerable flexibility in their design, with regards to the pore dimensions (diameter, channel length, shape). This means that they can more readily be adapted to larger or structurally more complex

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3 analytes, including double-stranded (ds) DNA, peptide nucleic acid (PNA)/DNA or protein/DNA  
4 complexes, and potentially be used as an 'all electric' sensor concept in gene profiling or fingerprinting,  
5 for disease diagnostics and monitoring.<sup>5,6,7,8,9,10</sup>  
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8 The general operating principle is rather simple, as illustrated in figure 1 A) and explained in detail  
9 elsewhere.<sup>8</sup> Briefly, in a nanopore device the pore channel is typically the largest source of electric  
10 resistance in the cell. When an ion current is driven through the system *via* an applied voltage  $V_{bias}$ ,  
11 any changes in the pore resistance thus result in a measureable change in the ion current  $I$  through  
12 the system. This occurs, for example, when DNA, charged particles or proteins pass through the  
13 channel.<sup>11,12,13,14</sup> The ion current modulation can be low ( $\sim 100$  pA) and short-lived ( $< 1$  ms), depending  
14 on the analyte, the pore design and the experimental conditions. In a simple case, for example  
15 involving a cylindrical pore channel, the corresponding  $I(t)$  modulation (translocation 'event' with a  
16 duration  $\tau_e$ ) is approximately rectangular in shape, but sub-structure is usually found for more complex  
17 analytes. For example, a protein bound to DNA typically produces an individual spike ('sub-event' with  
18 a duration  $\tau_{se}$ ) in the  $I(t)$  trace that is superimposed on the actual DNA translocation event.<sup>15</sup> Hence,  
19 the number and relative positions of the sub-events can thus provide information on the number of  
20 bound proteins, potentially the thermodynamics of the binding equilibrium and the location of the  
21 proteins along the strand (if the translocation speed is known). Since the sub-event duration is  
22 normally small compared to the event duration,  $\tau_{se} \ll \tau_e$ , resolving the sub-events electrically can be  
23 challenging, and requires the detection of rather low currents at high bandwidth. However, recent  
24 developments in instrument design now routinely allow for time resolutions well below  $10 \mu\text{s}$  with  
25 nanopipettes and even lower with nanopore chips.<sup>16,17,18,19</sup>  
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**Figure 1:** A) Illustration of the experimental setup, cross-sectional view (not to scale). The quartz nanopipette is immersed in a liquid-filled cell, typically containing a highly concentrated chloride solution as the electrolyte. In our experiments, DNA was translocated from the outside to the inside of the pipette, as indicated. The custom-built detection electronics split the pore current into a slow ('DC') and a fast ('AC') channel, where the former contains the average pore current and the latter the translocation events. B)  $I/V_{bias}$  curve for a typical quartz nanopipette in 1 M KCl + 10 mM TE buffer. The conductance is  $G = 33.1$  nS, as determined from the average of the slopes from the forward and reverse scan between  $\pm 0.1$  V. The rectification ratio RR is 0.98 for these voltages. Top inset: Optical micrograph showing the overall shape of the same pipette. Bottom inset: TEM image of the pipette's tip. The blob-like features are built up with imaging time and are most likely due to carbon contamination. The long taper length and conical shape especially towards the pipette tip are apparent.

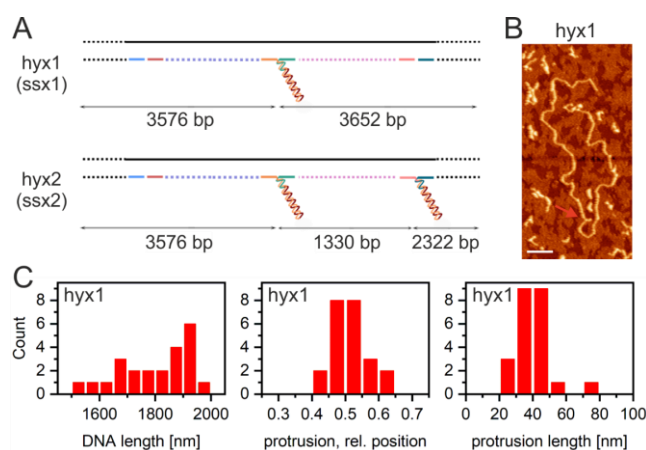
Meller *et al.* pioneered this concept with chip-based nanopores (diameter  $\sim 5$  nm,  $\text{Si}_3\text{N}_4$  membrane) and two different types of PNA (specifically, bis-PNA and  $\gamma$ -PNA), to probe short base sequences in long pieces of dsDNA and ultimately genes.<sup>6,7</sup> PNA binds to dsDNA in a sequence-specific manner and with very high affinity, resulting in a local change in structure (bulging). The latter in turn produces sub-structure in the translocation data, which can be related to the presence (or absence) of a particular gene sequence. Meller *et al.* exploited this capability to differentiate sub-types of the HIV pol-1 gene, for pathogen classification.

A conceptually different approach is to employ artificial, engineered structures as 'carriers' that have some function - such as protein binding capability or a recognition element - engineered into them.<sup>20,21</sup> For example, Bell and Keyser used nanopipettes and a carrier design based on DNA self-assembly, to include, firstly a sequence of structural features (dumbbells) as a 'barcode' identifying the DNA, and secondly a site for antibody binding.<sup>22</sup> Different carriers may thus be identified in mixtures and several different proteins can be assayed at the same time (multiplexing), as the authors demonstrate with biotin, bromodeoxyuridine, puromycin and digoxigenin modifications as antigens and their respective antibodies. Notably, similar engineered structures have also been used to

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3 characterise the translocation process itself, such as the translocation velocity and dynamics.<sup>23,24</sup>, or  
4 towards the detection of single nucleotide polymorphisms.<sup>25</sup>  
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6 A similar idea, albeit based on aptamers, was pursued by Edel, Ivanov *et al.*<sup>26</sup> Specifically, the single-  
7 stranded (ss) ends of  $\lambda$ -DNA were modified with probes containing two parts: one complementary to  
8 the ss ends and a second one made of aptamer sequences optimised for thrombin and  
9 actylcholinesterase binding. This yielded DNA constructs with protein binding sites on either end,  
10 which were again analysed by translocation through nanopipettes (from the inside to the outside of  
11 the pipette in this case). The DNA carriers in earlier studies had to be fabricated by reconstituting the  
12 dsDNA from a long ss template and a large number of short, complementary strands, which is rather  
13 cumbersome and comparatively expensive. The approach of Edel and co-workers is somewhat  
14 simpler, as it starts with intact  $\lambda$ -DNA, albeit at the cost of reduced design flexibility and probe density.  
15 The authors also demonstrate translocation experiments in diluted human serum, which is a step  
16 towards the application of nanopore sensing in complex, perhaps more realistic media. That said,  
17 when coupled to suitable workflows, operation in such environments might not always be required.  
18 Beamish, Tabard-Cossa and Godin combine some of the above concepts in their recent work.<sup>27</sup> Using  
19 sub-5 nm pores in chip-based nanopore devices (SiN membrane, thickness  $\sim$  10 nm), prepared not by  
20 electron or ion beam drilling but by dielectric breakdown,<sup>28</sup> they employed DNA engineering to  
21 synthesize 255 basepair (bp) dsDNA scaffolds with ds overhangs as short as 15 bp. These overhangs  
22 could reliably be detected and resolved by ion current sensing in a label-free manner. Moreover, the  
23 authors also prepared scaffolds with ssDNA overhangs, which could bind an aptamer-based probe in  
24 the presence of ATP. The bound probe was then detected by nanopore sensing, as an indirect way of  
25 detecting ATP.  
26

27 An interesting alternative approach for detecting hybridisation of short DNA (and potentially other)  
28 targets is the use of modified nanoparticles. In particular, particles with magnetic cores can first be  
29 released into the sample medium, where they bind their targets, and then re-captured and pre-  
30 concentrated using magnetic fields. Binding to the target then either changes the surface properties  
31 of the particles (e.g., zeta potential), and hence their translocation characteristics (speed),<sup>29,30</sup> or  
32 produces altogether new structures (such as particle dimers),<sup>31</sup> which are then detected by resistive  
33 pulse sensing. While these approaches do not probe individual binding or hybridisation sites, there  
34 appears to be some potential for multiplexed detection, for example by employing particles of  
35 different sizes. Apart from simple target capture, such studies have also included site-specific  
36 detection of methylation sites.<sup>32</sup>  
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**Figure 2:** Design and initial characterisation of the DNA structures under study. A) Basic design of the samples with one and two overhangs ('protrusions' with suffices '1' and '2', respectively) and the positions indicated. 'hyx': hybridised overhang; 'ssx': single-stranded overhang. The ss part of the overhang and its complementary target strand are ~88 nt long. B) Typical AFM image of hyx1 in air (tapping mode) after drop-casting on mica (scale bar: 100 nm). The overhang is indicated with the red arrow. The short DNA fragments are impurities from the assembly process, as shown in the gel chromatography data (SI, section 1) and nanopore translocation data below. C) Histograms of the DNA carrier length, the relative position of the protrusion and its length (based on 23 DNA structures in total).

In our present work, we build on these advances and have developed a high-throughput sensing concept with new capabilities and applications, namely with focus on the label-free detection and quantification of short (~100 nucleotide (nt)) ssDNA fragments. Such short ssDNA segments are found in blood, urine and other bodily fluids as circulating cell-free DNA (cfDNA), where they have been implicated in disease diagnostics and monitoring, for example in the context of urinary tract infections.<sup>33</sup> In cancer the ratio of short (< 150 bp) vs. long DNA in plasma is increased, most likely due to enhanced rates of cell apoptosis and necrosis.<sup>34,35</sup> Equally, such short DNA fragments may serve as diagnostic markers for infections, such as with *Mycobacterium tuberculosis* (TB)<sup>36</sup> and other diseases. In the present proof-of-concept study, we show how resistive pulse sensing in combination with suitable carrier design may be used to detect short DNA sequences in solution. Specifically, we designed 7.2 kbp long dsDNA structures with either one or two protrusions ('overhangs') in specific locations along the carrier strand (see Methods section and SI for details). These protrusions comprised of a short (~12 bp) ds section close to the carrier backbone and an 88 nt long ss section, which could be hybridized with its complementary sequence (the target). In translocation experiments with quartz nanopipettes, we then detected and differentiated ss and hybridised protrusions and hence determined the hybridisation state of the overhang in a rapid and label-free manner.

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3 We chose the sequence of the probe regions to be identical for all samples, also to allow for a  
4 comparison between different overhang locations. It was taken from the RV1910c gene in TB, a gene  
5 regulatory region of the *KatG* protein.<sup>37</sup> *KatG* is a catalase peroxidase, which is responsible for  
6 activating Isoniazid (INH), one of the most effective and specific anti-tuberculosis drug since its  
7 introduction in 1952.<sup>38,39</sup> Deregulation of the *KatG* gene thus triggers INH resistance in the bacteria  
8 and renders the drug useless. Furthermore, INH resistance is often the first step towards multi-drug  
9 resistance,<sup>40</sup> so robust and fast identification of antibiotic resistance could inform already the early  
10 stages of therapy. Based on our results, it appears that resistive pulse sensing with nanopores and  
11 nanopipettes could help address this need, in particular when coupled with a suitable workflow for  
12 sample extraction and amplification.

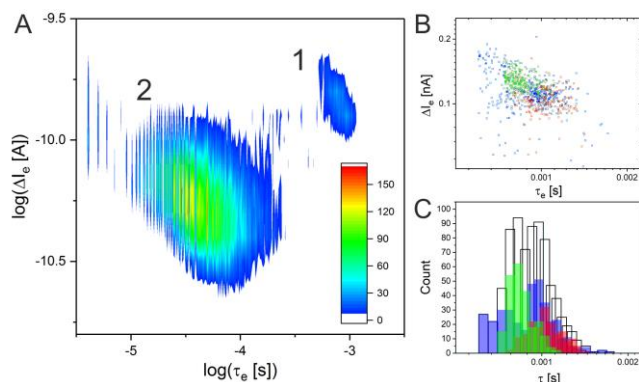
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20 The quartz pipettes used in this study are produced with a mechanical puller and the exact  
21 geometry of the channel and the pore size at the pipette can vary to some degree. Based on  
22 conductance measurements, optical and transmission electron microscopy (TEM) imaging, we found  
23 however that the device-to-device variation for the pulling parameters used was relatively small (see  
24 Methods section). We typically obtained pipettes with (inner) pore diameters at the tip between 20  
25 and 30 nm and good agreement between the different characterisation methods. As an example, we  
26 show the current-voltage ( $I/V$ ) characteristics (forward and reverse voltage sweep), optical  
27 microscopy image and TEM image recorded for the same pipette in figure 1 B). From the average slope  
28 of the two sweeps between +/- 0.1 V, a conductance of 33.1 nS was obtained, which in conjunction  
29 with equation S2 in the Supporting Information (SI), was used to estimate a pore diameter of 29 nm.  
30 This compares well with the pore diameter determined by TEM, which yielded 31 nm for the same  
31 pipette. The TEM and optical images also reveal that the channel geometry is approximately conical  
32 over long distances with an opening angle of about 15°. The small offset between the forward and the  
33 reverse voltage scan is due to capacitive charging of the system, as discussed in detail elsewhere.<sup>41,42</sup>  
34 The DNA structures under study here are illustrated in figure 2 A) and comprise of two pairs of  
35 samples, as mentioned above. Namely, these are two structures with a single overhang ('ssx1' and  
36 'hyx1') and two structures with two overhangs ('ssx2' and 'hyx2'). 'ssx' refers to DNA carriers with  
37 single-stranded overhangs, 'hyx' to those where the overhang(s) have been hybridised with an 88 nt  
38 complementary strand (as the model disease marker). Based on equilibrium binding considerations  
39 and taking into account the concentration conditions during the assembly, we found that the affinity  
40 of the complementary strand was high enough to ensure near quantitative binding for the 'hyx'  
41 samples (see SI, section 1).

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57 In order to confirm that the assembly had been successful, atomic force microscopy (AFM)  
58 characterisation was performed in selected cases, as shown for hyx1 in figure 2 B). A small amount of  
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shorter adsorbed fragments is also seen, which were still present in the sample solution. In this context, we felt that further purification unnecessary, in light of the fact that in mixtures the longer DNA carrier can readily be distinguished from shorter fragments, both in AFM and nanopore sensing (see below). This is clearly a strength of the nanopore sensor concept presented here, which can ultimately simplify workflows in real-life applications.

In terms of the structural analysis of the *hyx1* species on the surface, we focused on a region-of-interest of between 0.3 and 0.7 of the total DNA length and excluded features that had markedly different contrast than the DNA carrier itself (pointing to coiling, knotting or random co-adsorption of shorter DNA fragments). With regards to the DNA length, we found a rather broad distribution with an average of  $1.85 \pm 0.13 \mu\text{m}$ , cf. figure 2 C) (left panel), which is somewhat shorter than the expected value of  $2.46 \mu\text{m}$  (7228 bp as per design,  $0.34 \text{ nm/bp}$ ). This has been observed by others before and is most likely due to the DNA on the surface not being fully stretched.<sup>23</sup> In support of this hypothesis, we found good agreement with the intended design for the relative position of the overhang ( $0.51 \pm 0.05$  vs. expected 0.51) and its length ( $39 \pm 7 \text{ nm}$  vs. expected:  $34 \text{ nm}$ ), as shown in the middle and right panel of figure 2 C). Hence, partial decomposition is unlikely the reason for the shorter observed carrier length, unless it affects the carrier symmetrically on both sides.

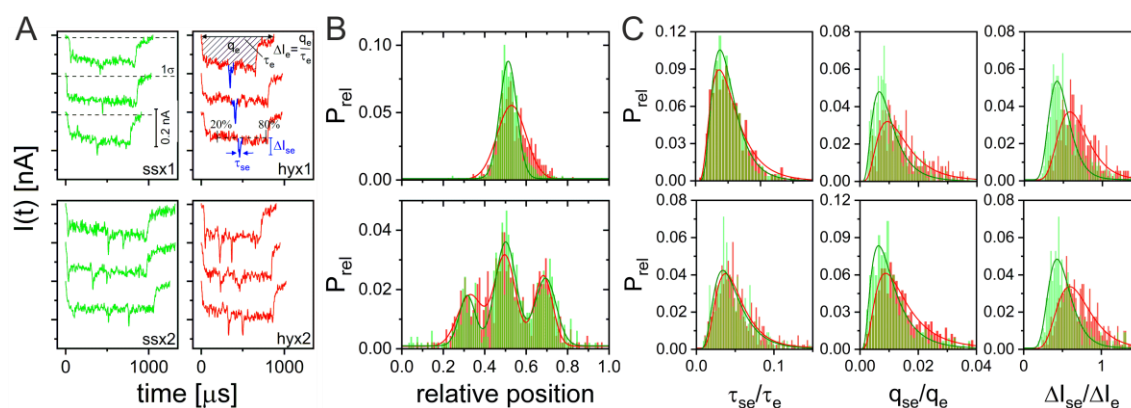


**Figure 3:** Translocation data from three different nanopipettes for *hyx1* ( $V_{bias} = 0.7 \text{ V}$ ,  $4 \text{ M LiCl} + 10 \text{ mM TE}$  electrolyte). A) Scatter density plot of  $\log(\Delta I_e)$  vs.  $\log(\tau_e)$ . Two event clusters 1 and 2 emerge, where cluster 1 contains events from *hyx1* (1166 out of a total of 59964 events). These are of interest in the present context. Cluster 2 contains shorter DNA fragments that are still present in the sample, as discussed in the context of the AFM results above. B) Scatter plot for cluster 1 only with the data points from the different pipettes colour-coded. Some small, but systematic differences arise for the cluster centres. C) One-dimensional  $\tau_e$  histogram, showing the combined dataset for cluster 1 (black, solid line) as well as the individual data for each pipette (same color-coding as in B). Comparison with translocation data from *ssx1*, i.e. with the unhybridised overhang, reveals that the data are identical within experimental error, cf. figure S7. This suggests that the hybridisation state of the overhang does not significantly affect the translocation characteristics of the carrier DNA.

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3 Figure 3 shows the results of translocation experiments performed with the same 'hyx1' sample in  
4 three different nanopipettes, each with (internal) pore diameters between 20 and 30 nm ( $V_{bias} = 0.7$   
5 V; 4 M LiCl + 10 mM TE electrolyte). In panel A), all events (59964) are combined in one (logarithmic)  
6 scatter density plot,  $\log_{10}(\Delta I_e)$  vs.  $\log_{10}(\tau_e)$ . These events include electric noise and the translocation of  
7 short DNA fragments at short  $\tau_e$ , as well as the translocation of the DNA carriers at longer  $\tau_e$ . Two  
8 clusters, labelled '1' and '2', clearly emerge where cluster 1 contains the translocation events from  
9 the longer DNA carriers (1166 events), in line with the translocation characteristics reported for similar  
10 DNA under comparable conditions.<sup>16</sup> Panels B) and C) show a blow-up of cluster 1 and one-  
11 dimensional histograms, respectively, with the data color-coded according to the pipette used. The  
12 histogram in white/black solid line combines all three datasets. It is well represented well by a log-  
13 normal fit with a mean translocation time of  $\langle \tau_e \rangle = 0.94 \pm 0.01$  ms. However, as shown by the color-  
14 coded individual datasets, there are small, but systematic differences between the individual  
15 nanopipettes used. This is not surprising, since the channel dimensions are known to affect the  
16 translocation time and the associated current modulation.<sup>43,8</sup> Specifically, for larger pore diameters  
17  $d_p$ ,  $\tau_e$  and  $\Delta I_e$  (relative to mean pore current) decrease, for smaller  $d_p$ , the opposite effect is observed.  
18 So, while every effort was made to use very similar nanopipettes in the experiments, in terms of their  
19 conductance  $G$ , the actual pore dimensions, and thus the translocation characteristics of an analyte,  
20 will not be exactly the same. The weighted average of the translocation times for all three pipettes is  
21  $\langle \tau_e \rangle = 1.0 \pm 0.2$  ms (weighted standard error) and hence the same as the previous value of the mean  
22 translocation time, within experimental error. The small relative shifts between the individual  
23 translocation time distributions, however, led to some broadening of the overall (combined)  
24 translocation time distribution, which would in turn affect the determination of related parameters,  
25 such as the effective diffusion coefficient of the DNA segment in the pore.<sup>44</sup> However, this aspect is  
26 not in focus of the present study and we now turn to the discussion of the event sub-structure, related  
27 to the presence of the different overhangs.

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Three example events of each case – ssx1, hyx1, ssx2 and hyx2 – are shown in figure 4 A) ( $V_{bias} =$   
0.7 V), along with a graphical illustration of some parameters used for further analysis (cf. Methods  
section). ssx data are colored in green, hyx data in red throughout this figure. Analogous data recorded  
at  $V_{bias} = 0.5$  V using different pipettes are shown in the SI. As expected, the samples featuring a single  
overhang approximately in the centre of the construct (ssx1 and hyx1) displayed a sub-event current  
spike approximately in the centre of the respective event (see section 3 of the SI for a discussion on  
DNA knotting). For the samples with two overhangs, ssx2 and hyx2, the situation is more complex.  
Namely, the second, off-centred overhang can appear before or after the central one, depending on  
which part of the DNA carrier enter the pore first. This is illustrated in the examples given for ssx2

(bottom left), where the off-centred overhang appears after the centred one in event 1 and 3 (top and bottom), and before in event 2 (middle). These considerations are also borne out in the statistical analysis of the sub-event positions, as shown in panel B) for *ssx1* and *hyx1* (top) and *ssx2* and *hyx2* (bottom). Some further observations are worth noting: First, all events shown in panel A) share some common features, in terms of their overall shape. Namely, they all start with a relatively sharp  $I(t)$  transition as the DNA enters the pore channel from the outside, reflecting the relatively abrupt boundary between the pore entrance and the bulk solution. The current level then remains relatively constant until there is first an abrupt change and a non-linear tail-off. Again, this most likely reflects the internal geometry of the pore channel and, in particular during tail-off, how the DNA leaves the narrowest part of the channel towards the bulk solution inside the pipette. With geometrically simple and well-defined analytes, such as spherical nanoparticles, this effect has previously been exploited to reconstruct the inner shape of the pore channel.<sup>45</sup> Secondly, the normalised histograms of the sub-event positions for *ssx1* vs. *hyx1* and of *ssx2* vs. *hyx2* strongly overlap, suggesting that the hybridisation state of the overhang has little effect on the translocation characteristics of the carrier DNA. This is despite the fact that the pore diameter is smaller than the length of the overhangs, which are in turn shorter than the persistence length of double-stranded DNA (>35 nm).<sup>46,47</sup> The same conclusion is however also borne out in more detailed analysis of the translocation events below. Finally, the peak positions for all four samples are in excellent agreement with expectations based on the DNA design and in accordance with the AFM data above. From Gaussian fitting, *ssx1* and *hyx1* feature a single peak at relative positions of  $0.51 \pm 0.04$  and  $0.53 \pm 0.07$  (normalised to  $\tau_e$ , error:  $\pm 1\sigma$ ). The expected value based on the DNA design is  $3576/7228 = 0.49$  or  $(7228 - 3576)/7228 = 0.51$ , depending on the DNA orientation, a difference that is within experimental error. For comparison, the AFM characterisation of *hyx1* yielded a relative overhang position of  $0.51 \pm 0.05$ , *vide supra*. For *ssx2*, peaks occur at  $0.32 \pm 0.04$ ,  $0.50 \pm 0.05$  and  $0.69 \pm 0.05$ , those for *hyx2* at  $0.33 \pm 0.06$ ,  $0.50 \pm 0.05$ ,  $0.68 \pm 0.04$  (fit: sum of 3 Gaussians). The expected values are 0.32 and 0.51 for the two overhangs in one translocation direction, and 0.49 and 0.68 for the other. The combined peak positions are again in very good agreement with the experimental values, within error. We also note that the observed intensity ratio is approximately 1:2:1, which is expected if the two DNA ends enter the pore with roughly equal probability (actual values, from triple Gaussian fits, *ssx2*: 1:2.1:1.3; *hyx2*: 1:1.8:1.4). Taken together, these data strongly suggest that the preparation of the DNA designs has been successful in all four cases.



**Figure 4:** DNA carrier translocation data, analysis of sub-events ( $V_{bias} = 0.7$  V). A) Three example events for each of the four DNA structures are shown: ssx1 (top left), hyx1 (top, right), ssx2 (bottom, left) and hyx2 (bottom, right). The color-coding, ssx (green) and hyx (red), is the same throughout this figure. Key parameters characterising the events and sub-events are illustrated, including the  $1\sigma$  line defining event start/stop according to our definition and the 20%/80% boundary for the sub-event search (see Methods). Some sub-events, as defined by the search algorithm used here, are shown in blue. B) Normalised histograms of the relative sub-event position for single-overhang samples (ssx1, hyx1; top) and the double-overhang samples (ssx2, hyx2; bottom), inc. Gaussian fits. C) Normalised histograms of sub-event characteristics, relative to the respective event:  $\tau_{se}/\tau_e$ ,  $q_{se}/q_e$  and  $\Delta I_{se}/\Delta I_e$ . All distributions are non-Gaussian and are represented well by log-normal fits (solid lines). Importantly, as the aim of the study is to distinguish hybridised (red) from non-hybridised overhangs (green), the difference between the two cases appears to be largest for the  $\Delta I_{se}/\Delta I_e$  distributions (This is also the case for the data recorded at  $V_{bias} = 0.5$  V, see SI).

We now address the key question of the present study, namely whether the hybridisation state of the overhangs can reliably be determined using resistive pulse sensing under the present conditions. Three fundamental signal properties were explored in this context, namely the sub-event duration  $\tau_{se}$ , the sub-event charge  $q_{se}$  and the maximum current within a sub-event,  $\Delta I_{se}$ . This was based on the consideration that a stiffer (hybridised) overhang may increase the residence time in the sensing zone (and hence  $\tau_{se}$ ), and that the increased presence of DNA could increase  $q_{se}$  or enhance blockage (thus  $\Delta I_{se}$ ). Due to the relatively large variance in each of these event characteristics, we found it necessary to normalise  $\tau_{se}$ ,  $q_{se}$  and  $\Delta I_{se}$  to the corresponding event properties for each event. Since in our DNA design the overhangs are either single-stranded or double-stranded, there is no obvious internal reference for this normalisation process, in contrast to design used by others.<sup>22</sup> Accordingly, the respective normalised histograms for all three cases,  $\tau_{se}/\tau_e$ ,  $q_{se}/q_e$  and  $\Delta I_{se}/\Delta I_e$ , are shown in figure 4 C) (top: ssx1/hyx1, bottom: ssx2/hyx2, in green and red, respectively). The solid lines are fits to log-normal distributions, which generally provide a very good representation of the histograms. The

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3 individual values of the fit parameters are of less relevance here, but the fact that the data are not  
4 normally distributed affects the statistical analysis, as discussed below. From the histogram shapes it  
5 is apparent that of those three classification parameters, the  $\Delta I_{se}/\Delta I_e$  histograms show the largest  
6 differences between the ssx and hyx samples. The same observations hold true for the data recorded  
7 with a different set of pipettes at  $V_{bias} = 0.5$  V, as shown in the SI (section 5).  
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11 In order to test whether the observed differences in the  $\Delta I_{se}/\Delta I_e$  distributions were statistically  
12 significant, we subsequently performed a three-factor Analysis of Variance (ANOVA) with two levels  
13 each, taking into account the hybridisation state of the overhangs (ssx vs. hyx), the bias voltage (0.5 V  
14 vs. 0.7 V) and the number of overhangs per carrier ('single' vs. 'double'). In this context, it is worth re-  
15 iterating that the overhangs in all samples have the same sequence composition. Accordingly, our  
16 analysis initially considers whether there is any significant difference between ssx and hyx, irrespective  
17 of the sample and conditions used. An ANOVA is thus the preferred method, as it allows for multiple  
18 comparisons to be performed at the same time and provides information on the interactions between  
19 those factors. It is more conservative than performing multiple t-tests and avoids an accumulation of  
20 type-I errors (false positives).<sup>48</sup> In a second step, we also performed a series of *post hoc* Tukey-Kramer  
21 'means difference' tests for the individual comparisons (ssx1 vs. hyx1, ssx2 vs. hyx2 at the two different  
22 voltages) to investigate the observed main effects and some of the interactions in more detail, cf.  
23 sections 6 and 7 in the SI.  
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27 Focusing on the main effects here, the means of two factors, namely 'hybridisation state' and  
28 'voltage', are statistically significantly different at a 0.05 confidence level ( $p \approx 0$  and 0.008; means  
29 difference: -0.139 and 0.021; sample sizes: 1266 (ssx)/1088 (hyx) and 657 (0.5 V)/1697 (0.7 V)), while  
30 the third factor, 'number of overhangs', is not ( $p = 0.061$ ; means difference: 0.015; sample size: 1224  
31 (single overhang)/1130 (double overhang)). The effect of 'hybridisation state' is clearly relevant to the  
32 underlying idea of the present work and will be explored in further detail below. A main effect of  
33 'voltage' could also be of interest in that it could suggest that optimisation of  $V_{bias}$  could lead to  
34 improved sensor performance. However, as discussed in section 7 of the SI, when considering  
35 interaction effects and suitable *post hoc* tests, the effect was found not to be statistically robust, in  
36 terms of the individual comparisons (i.e., differentiation between ssx and hyx was similar at the two  
37  $V_{bias}$  values studied). Finally, the absence of statistically significant effects for the number of overhangs  
38 would suggest that the latter does not affect the detection of the individual sub-events, at least in the  
39 present samples and condition used.  
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55 To confirm whether the hybridised and non-hybridised overhangs in a given sample could indeed  
56 be differentiated in a statistically significant manner, we performed Tukey-Kramer 'means difference'  
57 tests for each of the four relevant individual comparisons, cf. figure S12 (Panels A-D: ssx1 vs. hyx1,  
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3 ssx2 vs. hyx2, at  $V_{bias} = 0.5$  V and 0.7 V, respectively). Indeed, in all cases, the difference is found to be  
4 statistically significant at  $\alpha = 0.05$  (type-I error rate). Moreover, the means difference between the  
5 ssx and hyx samples have the same sign (A to D: -0.095; -0.199; -0.133; -0.129) and are on average of  
6 similar magnitude (i.e., there is no obvious difference between the single- and double-overhang  
7 samples, in accordance with the discussion above). Thus, the  $\Delta I_{se}/\Delta I_e$  ratio is slightly, but consistently  
8 larger for hybridised overhangs, compared to their single-stranded analogs.  
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10 Our results therefore confirm that the hybridisation state of the overhangs may be detected in a  
11 statistically significant manner, based on a sufficient number of translocation events. The comparison  
12 was based on 2354 samples, but a power analysis yielded a hypothetical power of 95% for a sample  
13 size of 100 ( $\alpha = 0.05$ ), an indication that a significantly smaller number of events may be sufficient to  
14 determine the hybridisation state of the probes in a statistically significant manner. Nevertheless, the  
15 difference between ssx and hyx samples is relatively small at present, but may be improved further.  
16  $V_{bias}$  is a parameter we have considered in this context, but no significant dependence on  $V_{bias}$  was  
17 found.  
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19 Decreasing the pore size may be another strategy towards improving the sensor performance and  
20 is known to improve the signal-to-noise ratio for translocation events.<sup>43</sup> Atomic Layer Deposition (ALD)  
21 of oxides has been explored in this context, also in nanopipettes.<sup>49,50</sup> However, care needs to be taken  
22 with regards to the identity and surface properties of the oxide as well as the preparation conditions.  
23 For example, we observed that some  $Al_2O_3$  films were not stable under the experimental conditions  
24 used in the present study, i.e. at very high halide concentrations, in line with previous  
25 literature.<sup>51,52,53,54</sup> Moreover, in the presence of the  $Al_2O_3$  layer, the channel surface is net positively  
26 charged,<sup>49</sup> leading to adsorption of the DNA to the pore surface, wider translocation time distributions  
27 and less well resolved individual events. Hence, a different oxide, for example  $SiO_2$  with negative  
28 surface charge in solution, may be preferable in this regard.  
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30 In conclusion, we have demonstrated how nanopipette-based electric detection, combined with  
31 robust statistical analysis, is capable of probing the hybridisation state of short, approximately 100 nt  
32 long single-stranded overhangs. Their length is comparable with short circulating DNA fragments,  
33 which are found in different bodily fluids and have been identified as potential markers in disease  
34 diagnostics, for example in TB detection. To illustrate this aspect, the 88 nt probe design employed in  
35 this study was taken from the RV1910c gene, a gene regulatory region known to play a key role in TB  
36 resistance against INH. With the overhangs arranged over a long DNA carrier, the sensing strategy also  
37 encompasses some multiplexing capabilities. Not only is it possible to integrate a larger number of  
38 probes in one carrier (of equal or different sequence composition), but also to mix carriers of different  
39 lengths (and different overhangs). Equally, it would also be possible to encode specific features into  
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3 the carriers (such as hairpins), to differentiate those of equal length.<sup>22</sup> Notably, while the carrier  
4 designs used in present study have been implemented using DNA self-assembly from small fragments,  
5 similar structures may be created involving enzymatic modification of dsDNA.<sup>55,56,57,58</sup> The latter may  
6 be significantly more cost-effective and enable the preparation of larger amounts, both required for  
7 a viable sensor technology in the future. Following first efforts to improve the sensor performance,  
8 we have identified a small number of parameters to be explored in this context in the future. Finally,  
9 our study demonstrates the remarkable sensitivity of electric, nanopipette-based sensing towards the  
10 detection of even minor changes in DNA structure or composition, in a label-free manner.  
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18 *Preparation and characterisation of the DNA constructs.* The synthesis was adapted from Bell et al.  
19 and Plesa *et al.*<sup>22,23</sup> The restriction enzymes (RE) (BamHI-HF, EcoRI-RF), M13mp18 circular ssDNA and  
20 M13mp18 RF circular dsDNA were purchased from New England Biolabs (NEB) (Ipswich, U.K.). The 190  
21 staples, ssDNA overhangs sequences, and target DNA sequence were purchased from Integrated DNA  
22 Technologies (IDT) (Leuven, Belgium). The sequence for the staples were similar to the ones reported  
23 by Bell et al.<sup>19</sup> These overhang and target strand sequences can be found in the SI.

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28 The M13mp18 ssDNA was linearised to form the DNA carrier strand. The oligonucleotides with the  
29 sequence 5' – TCT AGA GGA TCC CCG GGT ACC GAG CTC GAA TTC GTA ATC – 3' were hybridized to the  
30 ssDNA to form a double stranded restriction site recognisable by the RE. For the hybridization and RE  
31 cutting, 5 µL of M13mp18 (250 ng/µL), 5 µL of 10x NEB 'cut smart' buffer, 1 µL of oligonucleotide (100  
32 µM) and 37 µL of autoclaved ultrapure water was mixed. To hybridise the oligonucleotide, the mixture  
33 was heated to 65 °C for 5 minutes, followed by cooling at 25 °C for 5 min. and further cooling at 10 °C  
34 for 10 min. in a thermocycler (Eppendorf Mastercycler Gradient).

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40 Afterwards 1 µL of BamHI-HF and 1 µL of EcoRI-HF (20,000 units/mL) were added to the mixture. The  
41 mixture was incubated in the thermocycler at 37 °C for 2.5 hours and then heated to 65 °C for 20 min.  
42 to denature the RE. The ssDNA was cleaned up using the Monarch PCR & DNA Clean-up kit  
43 (NEB, Ipswich, U.K.) and eluted in autoclaved TE buffer (10 mM Tris-HCl, 1 mM EDTA, pH 7.8, Sigma  
44 Aldrich, Irvine, U.K.). A purity check (running the eluted DNA on an 0.8 % agarose gel) was performed  
45 after each digestion. The final concentration of the ssDNA was measured with UV-visible spectroscopy  
46 (Nanodrop 1000, Thermo Scientific). The same procedure (without hybridisation) was also used to  
47 form the linearised dsM13mp18 from the circular M13mp18-RF.

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52 To form the dsDNA carrier strand with one double stranded overhang, 42 µL of linearised M13mp18  
53 (11 nM), 1 µL of staple mix (38 bp oligonucleotides, each oligo 30 µM), 2 µL of both overhang strands  
54 (short and long) (100 µM each), 2 µL of target sequence (100 µM), 5 µL of MgCl<sub>2</sub> (100 mM) and 8 µL  
55 of autoclaved ultrapure water were mixed. The mixture was heated to 72 °C and cooled at a rate of 1  
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3 °C every 4 minutes till the temperature dropped to 23 °C. The excess staples, overhangs and target  
4 strands were (partially) removed using the Amicon Ultra 100 kDa cut-off centrifugal filters (Millipore,  
5 Massachusetts, U.S.). The purification step consisted of diluting the mixture with 400 µL of TE buffer  
6 and then centrifuging at 3000 g for 10 min. at 4 °C. The filtrate was then decanted and the above  
7 procedure repeated for 6 washing steps. The sample was recovered by inverting the filter and  
8 centrifuging at 1000 g for 2 min. The product quality and quantity were initially characterised by gel  
9 electrophoresis and UV-vis spectroscopy. A similar procedure was carried out to form constructs with  
10 a single (unhybridised) ss-overhang and those with two overhangs.

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16 *AFM studies.* The DNA samples were imaged in tapping mode in air at 23 °C with an Agilent 5500  
17 AFM/SPM microscope (Keysight Technologies, Arizona, U.S.A.) and commercial “PointProbe® Plus-  
18 NCHR-10” probes (Windsor Scientific, Slough, U.K.). Images were processed with the ‘plane’ and  
19 ‘flatten’ filters in the WSxM 5.0 Develop 7.0 software.<sup>59</sup> Preparation of the substrate: the buffer (10  
20 mM HEPES, pH 7.6, 4 mM MgCl<sub>2</sub>, 1 mM EDTA; Sigma Aldrich) was filtered using a 0.2 µm syringe filter  
21 (EMD Millipore Hertfordshire, U.K.) to remove any large particle contaminants and then autoclaved.  
22 The construct (1.5 ng/µL) was prepared in 20 µL of the said buffer and deposited on a freshly cleaved  
23 Mica (9.9 mm diameter, Agar Scientific, Stanstead, U.K.). The DNA was left to adsorb to the surface  
24 for 5-10 min. The surface was then rinsed with 1 mL of nuclease-free water (NEB) thrice and dried in  
25 a N<sub>2</sub> gas flow.

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33 *Nanopipette fabrication and characterisation.* Nanopipettes were made from filamented quartz  
34 capillaries (O.D.:1 mm, I.D.:0.5 mm; length: 7.5 cm, Sutter Instruments, Novato, USA). The capillaries  
35 contain a ~160 µm glass filament that facilitates the filling of the nanopipette with electrolyte by  
36 capillary action.<sup>60</sup> The glass capillaries were first plasma-cleaned for 7 minutes (Harrick Scientific, New  
37 York, U.S.A.) before loading it into the laser pipette puller (P2000, Sutter Instruments). The pulling  
38 programme involved two steps and the following parameter settings: Step 1 ('Heat': 880-890;  
39 'Filament': 4; 'Velocity': 30; 'Delay': 175-190; 'Pull': 100-110). Step 2 ('Heat': 900; 'Filament': 1;  
40 'Velocity': 15-20; 'Delay': 170-175; 'Pull': 160). Minor re-optimisation of the parameter settings was  
41 sometimes required, when the apparent pore diameters consistently veered off the desired range  
42 from 20-30 nm (as judged by the pore conductance, *vide infra*). This was most likely due to small  
43 changes in the environmental conditions (humidity, ambient temperature) or the puller itself, but  
44 those changes were small, as shown above. The inner diameter of the nanopore at the end of the  
45 pipette was initially estimated from the conductance of the pipette (see SI) in 1 M KCl and, in some  
46 cases, characterised further using TEM. For translocation experiments, the pipettes were then  
47 integrated into a custom-built liquid cell, with one Ag/AgCl electrode on the inside and the other one  
48 on the outside of the pipette.



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3 *TEM characterisation of the pipettes.* TEM imaging of the nanopipettes was carried out using the JEOL  
4 JEM-2100F TEM. The measurements of the images were conducted using Image J.<sup>61</sup> Sample  
5 preparation: The tip of the pipette is positioned such that it was sitting parallel on the centre of the  
6 Cu TEM slot grid (Cat no.:GG030, Taab Laboratory Equipment Ltd, Aldermaston, U.K.) and glued to the  
7 grid with a two-component epoxy glue (Araldite, Basel, Switzerland). The glue was left to set for 6  
8 hours, after which the pipette attached to the grid was cleaned under UV/Ozone for 20 mins (UVOCS).  
9 It was then sputter coated (Polaron Quorum Technologies, East Sussex, U.K.) with 10 nm Cr to reduce  
10 charging effects. The parts of the pipette lying just outside the grid was cut off using a scalpel before  
11 the grid was placed in the sample holder of the TEM.

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13 *Translocation experiments* were performed in 4 M LiCl electrolyte, which is known to reduce the  
14 translocation speed in comparison to KCl,<sup>62,63</sup> using a custom-made low-noise, wide-bandwidth  
15 current amplifier reported previously.<sup>16,17</sup> The electronics output is split into a 'DC' and an 'AC'  
16 channel, containing slow (below 10 Hz) and fast (> 10 Hz) modulations of the current, respectively.  
17 Specifically, this means that translocation events appear in the AC output. It is zero mean, which  
18 greatly simplifies any background correction (minor constant offsets were corrected prior to the event  
19 search, *vide infra*). The DC channel contains the steady-state current through the cell, which is related  
20 to the pore conductance. The AC output is filtered as specified with an eight-pole low-pass (analog)  
21 Bessel filter (Krohn-Hite Corporation, Massachusetts, U.S.A.). A digital oscilloscope (Picoscope 4262,  
22 Pico Technology, Cambridgeshire, U.K.) served as analog-to-digital converter at 1  $\mu$ s sampling rate.  
23 Custom-written Matlab code was used for instrument control, data acquisition and analysis, as  
24 detailed below. The liquid cell and the amplifier were housed in a double-Faraday cage to minimize  
25 electrical noise. In total 23 different nanopipettes were used in the translocation experiments  
26 presented in this work.

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28 *Analysis of the translocation data.* Current-time traces were initially subjected to a zero-order  
29 background correction to account for minor, constant offsets in the AC channel output (typically < 10  
30 pA). Then, a threshold search was performed with a  $5\sigma$  cutoff, where  $\sigma$  is the standard deviation of  
31 the current noise in the AC channel. The search algorithm then found the data points, which first  
32 crossed the zero baseline, relative to the  $5\sigma$  cutoff, as well as the corresponding  $1\sigma$  values. The latter  
33 served as definition for the event start and stop, as a compromise between minimizing the effect of  
34 local baseline fluctuation on the event characteristics and our ambition to capture the overall event  
35 shape as much as possible. Thus, the ' $1\sigma$ ' event duration is  $\tau_e = t_{stop} - t_{start}$ . The probability distribution  
36 of  $\tau_e$  was found to be skewed and well approximated heuristically by a log-normal distribution.<sup>16,17</sup> For  
37 a physically rigorous closed-form solution of the distribution function, see reference 44. The effective  
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3 event magnitude  $\Delta I_e$  was calculated from the integral of the  $I(t)$  trace between  $t_{start}$  and  $t_{stop}$ ,  $q_e$ , divided  
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5 by  $\tau_e$ .

6 Sub-events are more challenging to identify and analyse, because they typically contain far fewer data  
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8 points than the events themselves. We therefore took a somewhat different approach in searching  
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10 for and analysing those sub-events. First, the median of a central section of the event (0.2 to 0.8  
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12 relative event duration) was determined to serve as baseline (the median, rather than the mean, was  
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14 chosen to be less sensitive to outliers, such as spikes). Sub-events were identified in a threshold search  
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16 with a  $1.2 \cdot \Delta I_e$  cutoff. The search algorithm also extracted all adjacent data points before and after,  
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18 until the median value was reached. The sub-event duration  $\tau_{se}$  is thus the time difference between  
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20 the first median crossing after the sub-event threshold was reached and the last median crossing  
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22 before the threshold value (capturing a large part of the sub-event shape).  $\Delta I_{se}$  was taken to be the  
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24 maximum current, relative to median, and  $q_{se}$  was determined from the integral of the  $I(t)$  trace, within  
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26 a sub-event. In absence of a closed-form solution for the distribution functions of  $\Delta I_{se}/\Delta I_e$ ,  $q_{se}/q_e$  and  
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28  $\tau_{se}/\tau_e$ , the corresponding probability distributions were also approximated by log-normal distributions.  
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30 These were mainly used for illustration purposes and to highlight the non-normality of the data, in the  
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32 context of the subsequent statistical analysis.

### 32 **Associated content**

33 Supporting Information is available free of charge on the ACS publication website. It contains further  
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35 details on the design of the DNA carriers, their characterisation; modelling results on the field  
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37 distribution in the nanopipette and on the binding equilibrium between the DNA capture probe and  
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39 the carrier; additional nanopore translocation data at  $V_{bias} = 0.5$  V; and further discussion of the  
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41 statistical tests, including the 3-way ANOVA.

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45  
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47  
48 Birmingham) and Katja Kornysheva (Department of Psychology, Bangor University, UK) for discussions.  
49  
50 The datasets generated during and/or analysed during the current study and the analysis scripts are  
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52 available from the corresponding author on reasonable request.  
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### 55 **Author contributions**

56 T.A. conceived the experiment. T.A., A.Y.Y. Loh and C.H Burgess performed the experiments and  
57  
58 analysed the data. The manuscript was written with contributions from all authors.  
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## Competing Interests

The authors declare no competing interests.

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