UNIVERSITY^{OF} BIRMINGHAM University of Birmingham Research at Birmingham

The two-parameter Weibull distribution as a universal tool to model the variation in species relative abundances

Ulrich, Werner; Nakadai, Ryosuke; Matthews, Thomas J.; Kubota, Yasuhiro

DOI: 10.1016/j.ecocom.2018.07.002

License: Creative Commons: Attribution-NonCommercial-NoDerivs (CC BY-NC-ND)

Document Version Peer reviewed version

Citation for published version (Harvard):

Ulrich, W, Nakadai, R, Matthews, TJ & Kubota, Y 2018, 'The two-parameter Weibull distribution as a universal tool to model the variation in species relative abundances', *Ecological Complexity*, vol. 36, pp. 110-116. https://doi.org/10.1016/j.ecocom.2018.07.002

Link to publication on Research at Birmingham portal

Publisher Rights Statement: Checked for eligibility: 23/08/2018

General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

•Users may freely distribute the URL that is used to identify this publication.

•Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

•User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?) •Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

1	The two-parameter Weibull distribution as a universal tool to model the variation in						
2	species relative abundances						
3							
4	Werner Ulrich ^{1*} , Ryosuke Nakadai ² , Thomas J. Matthews ^{3,4,5} , Yasuhiro Kubota ⁶						
5							
6	¹ Department of Ecology and Biogeography, Nicolaus Copernicus University, Toruń, Poland,						
7	² Faculty of Science, University of the Ryukyus, Nishihara, Japan						
8	³ School of Geography, Earth and Environmental Sciences, University of Birmingham, UK						
9	⁴ CE3C – Centre for Ecology, Evolution and Environmental Changes/Azorean Biodiversity						
10	Group and Univ. dos Açores – Depto de Ciências e Engenharia do Ambiente, PT-9700-042,						
11	Angra do Heroísmo, Açores, Portugal						
12	⁵ Birmingham Institute of Forest Research, University of Birmingham, Edgbaston,						
13	Birmingham, B15 2TT, UK						
14	⁶ Marine and Terrestrial Field Ecology, Tropical Biosphere Research Center, University of the						
15	Ryukyus, Nishihara, Japan						
16							
17	E-mails: WU: <u>ulrichw@umk.pl;</u> RN: <u>r.nakadai66@gmail.com;</u> TM:						
18	T.J.Matthews@bham.ac.uk; YK: kubota.yasuhiro@gmail.com						
19							
20	* Corresponding author						
21							
22	Running title: Weibull fits to species relative abundance						
23							
24							
25	Author contribution						
26	WU developed the theoretical background, analyzed the data, and wrote the first draft. YK						
27	and RN provided the forest data. TM contributed theoretical background. All authored						
28	contributed significantly to the final text version.						
29							
30							
31							

32 Abstract

33	The study of species abundance distributions (SADs) needs a precise modelling of their
34	drivers and ecological implications. We introduce the two-parameter Weibull distribution as a
35	versatile tool to fit various kinds of observed SADs and to compare observed and theoretically
36	expected values at the species level. We show that the shape and the scale parameter of this
37	distribution have precise ecological interpretations, the first being a measure of the excess of
38	either rare or common species, and the second as a quantification of the proportion of
39	persistent species in the focal community. Applying the Weibull model to 534 global tree
40	communities we demonstrate that plots of the parameters of the Weibull distribution demark
41	ecologically impossible species abundance distributions. This promises new insight into the
42	ecological constraints on community assembly.
43	
44	
45	Keywords: Preston plot, species abundance distribution, species assembly, statistical fitting,
46	Weibull distribution, Whittaker plot
47	
48	
49	1. Introduction
50	1.1 Theoretical Background
51	Within ecological assemblages, species generally differ widely in abundances (Magurran,
52	2004; Matthews and Whittaker, 2015). Often, the dominant species exceed the least abundant
53	species by more than five orders of magnitude (Ulrich et al., 2010). Since Motomura (1932)
54	formally introduced the concept of the species relative abundance distribution (SAD), the
55	question of which ecological processes are responsible for this large variance in abundance
56	has been controversially discussed (Tokeshi, 1999; Hubbell, 2001; McGill et al. 2007; Locey
57	and White, 2013). Whilst early SAD models focused on the role of niche (Sugihara, 1980) vs.
58	stochastic processes (May, 1975), recent discussion has largely centered on the impact on the
59	SAD of dispersal (Hubbell, 2001; Dexter et al., 2017) vs. persistence (Magurran and
60	Henderson, 2003). These discussions have sparked the development of a large number of
61	different SAD models, each based on a specific set of assumptions about community
62	assembly (Magurran, 2005; McGill et al., 2007). Irrespective of the ecological and theoretical
63	background, SADs are commonly used for biodiversity assessment and monitoring (Matthews
64	and Whittaker, 2015).
~ =	

65 Models of relative abundances need to be fitted to observed distributions, a task that is far

from being straightforward (Ulrich et al., 2010; Mathews and Whittaker, 2014; Baldridge et 66 67 al., 2016). To be of ecological value these fits need to be compared among different 68 assemblages, for instance to infer environmental or geographical gradients (Ulrich et al., 69 2016a, b) and variation in abiotic conditions and biotic interactions (Erlén and Morris, 2015). 70Traditionally, SAD models have mostly been fitted to the statistical distribution (Matthews 71and Whittaker, 2014), where abundances are classified into \log_2 bins prior to fitting (Preston, 721948, Fig. 1 left panels). As the selection of the logarithmic base and the way in which 73abundances are binned are arbitrary decisions, different binning procedures might have major 74impacts on model fitting (Nekola et al., 2008; Connolly and Dornelas, 2011). Further, any 75sufficient estimate of class frequencies needs an appropriate number of species, making 76 frequency distribution fits reliable only for larger communities (Wilson et al., 1993). In 77contrast, plots that use ranked abundances for all species (Whittaker plots: Whittaker, 1975; 78Bazzaz, 1975) do not loose information due to data binning (Fig. 1 right panels) or the 79 pooling of species to observed numbers of individuals. As a consequence, fits become reliable 80 at much lower species richness. Ulrich et al. (2010) recommended ten species as the lower 81 boundary for model fit, whereas Wilson (1993) reported that even 40 species may not be 82 enough to reliably identify particular types of statistical distributions. Importantly, deviation 83 of the fits from the original rank – abundance plot can be directly traced down to single 84 species and possibly to the underlying processes that influence the abundance of these 85 species. However, rank – abundance fits might fail if an excess of few very abundant or very 86 rare species biases the metric used for fitting (often ordinary least squares in combination with 87 Akaike information maximization). Further, the octaves of the SAD models that are based on 88 a statistical distribution (e.g. the lognormal distribution) and not on an algorithm that directly 89 generates for each species the expected abundance (e.g. most niche division based models, 90 Tokeshi, 1996) need to be interpolated to species abundances prior to fitting, introducing a 91degree of subjectivism.

92As observed SADs differ widely in shape and scale (the range in abundances), current 93 models, that are based on one (shape, for instance the lognormal and most niche division 94models) or two (shape and scale, particularly the log-series) parameters, have limited 95 variation in shape. Most are not able to accurately mimic all of the main different SAD types 96 and do not cover the whole possible SAD space (i.e. all of the different empirically observed 97 SAD forms). For instance, in recent global comparisons of dryland plant (Ulrich et al., 2016a) 98 and forest tree (Ulrich et al., 2016b) communities, the latitudinal variation in SAD shape 99 required separate fits of two different models. In this situation it became difficult to identify

100 any gradual latitudinal trend. In this respect, Baldridge et al. (2016) reported common

101 distribution based SAD models had weak discrimination power, making any ecological102 inference challenging.

103 These problems regarding model fitting and comparison, the biases introduced by the 104 binning of distributions, and the need for multiple model fits call for the development of 105flexible statistical SAD descriptors that are able to mimic various SAD shapes. In this respect, 106 Ulrich et al. (2010) demonstrated that SADs can generally be classified into three basic 107shapes. The first shape is a lognormal type statistical distribution characterized by a larger 108 number of species with intermediate abundance and fewer species with high and low 109 abundance (Fig. 1a). In empirical SADs, there is often an excess of rare species than predicted 110 by a lognormal distribution (Fig. 1b). The second shape is equivalent to a log-series sample 111 distribution (Fisher et al., 1943), which is characterized by a few abundant and a larger 112 number of relatively rare species (Fig. 1c). A small number of assemblages, particularly 113 arthropod samples, follow a third shape, a power function SAD characterized by a heavy tail 114 of rare species (Pueyo, 2006; Ulrich et al., 2010) (Fig. 1d). Here, we argue that a versatile 115SAD model must be able to fit these three basic shapes.

116 Hughes (1986) was the first to develop a flexible SAD model. However, fitting this 117 model is not straightforward and the model has received little attention. Tokeshi (1996) 118 described a one parameter resource division model that is able to fit the symmetric and 119 skewed lognormal, but not other SAD shapes (Fig 1). The dynamic model of Dewdney (2000) 120 provides good fits to log-series shaped distributions and possibly also to power functions. 121 Ugland et al. (2007) developed the Gambin model, based on a discrete version of the 122statistical gamma distribution. These authors argued that Gambin is able to mimic several 123observed distribution shapes by variation of a single parameter (α); small values of α 124 characterize log-series SAD shapes, while higher values indicate lognormal curve shapes. As 125Gambin is intended to be a descriptor only, the parameter has no clear ecological 126interpretation, rather, it is simply a measure of the shape of the SAD. In addition, Gambin is 127based on a statistical distribution (as used by Preston 1948) and involves binning the 128abundance data into octaves before fitting. There is no straightforward way to rescale the 129Gambin distribution to species abundances. 130 Of course, neutral, ecological drift models (Hubbell, 2001) provide a mechanistic

131 interpretation of observed abundance distributions with ecologically well-defined parameters.

132 Depending on the probability of dispersal, speciation rates, local abundances, and meta-

133 community size they provide predictions (Fig. 1) that are close to those of either the skewed

134lognormal or log-series (Hubbell, 2001). These models do not predict power function SADs 135(Fig. 1), commonly observed in arthropods (Siemann, 1999; Borda-de-Água et al., 2017). In 136 addition, neutral models are notoriously difficult to fit and certain models require information 137 on the structure of the underlying meta-community (Rosindell et al., 2010). Maximum 138 likelihood fitting of neutral models requires complex assumptions about macroevolutionary 139 processes (Etienne et al., 2007) that can result in unfeasible (or unmeasurable) parameter 140values, such as speciation rates (Ricklefs, 2003) and long-distance dispersal (Rosindell and Cornell, 2009). These issues have limited the application of neutral models for the prediction 141 142of species abundances.

143Here, we strongly argue that a flexible descriptive SAD model needs to predict the 144abundances of each species directly and that abundance - rank orders are superior to 145distribution approaches. Only such species-focused models make it possible to trace 146 deviations from observation and possible ecological drivers directly to the species level. There 147is also clearly a need to link a SAD model to species functional traits or phylogenetic 148 relationships, for instance to infer how traits influence the dominance order of species 149abundances (Jones et al., 2017). Consequently, a flexible SAD model should ideally be based 150on Whittaker plot data.

151Stauffer (1979) was apparently the first to propose the Weibull distribution (Weibull, 1521951) as a model to explain observed species abundance distributions in forest trees. 153However, his derivation of the model and parameter interpretation were based on the broken 154stick model (Mac Arthur, 1957), a model that was subsequently found to be unrealistic. As his 155approach was distribution based the work did not receive the attention it deserves. Recently, 156Storch et al. (2018) used the one-parameter Weibull distribution to mimic the log-series. The 157R package SADs (Prado et al. 2017) provides functionality to fit the Weibull model to the 158statistical distribution, but not to rank abundances.

159Here, we reintroduce the Weibull distribution as a flexible descriptive model that meets 160 the above defined requirements. We show that this distribution is able to fit the most 161 commonly observed SAD shapes. This ability enables us to compare the respective parameter 162values across SADs from different studies within the same modelling framework. Although 163 Weibull distributions result from several stochastic processes (Rinne, 2008), we do not claim 164 that the model is directly linked to ecological processes. We also demonstrate that the shape 165and the scale parameters of the model have straightforward ecological interpretations that can 166 be used in ecological analyses. As a case study, we illustrate our approach using a set of 167 global forest tree data.

168

169 1.2 The Weibull distribution as a SAD model

The empirical Weibull distribution (Weibull, 1951; Rinne, 2008) is an extension of the
exponential family of distributions and is widely used in survival analyses (Lawless, 2003)
and extreme value forecasting (Carter and Challenor, 1983). Its two-parameter form has the
probability density function (pdf)

174
$$p(x > 0; \eta; \lambda) = \frac{\eta}{\lambda} \left(\frac{x}{\lambda}\right)^{\eta - 1} e^{-\left(\frac{x}{\lambda}\right)^{\eta}}$$
(1)

175 where η is the shape and λ the scale parameter. When applied to species abundances the 176 random variate x must contain log-transformed values. Here, we use the ln-transform as a 177 standard.

178Both parameters, η and λ , have clearly defined ecological interpretations. The scale179parameter is given by

180
$$\lambda^{2} = \sigma^{2} \left[\left(\Gamma \left(1 + \frac{2}{\eta} \right) - \left(\Gamma \left(\left(1 + \frac{1}{\eta} \right) \right)^{2} \right]^{-1} \right]$$
(2)

181 where Γ denotes the gamma function and σ^2 the variance. Therefore, λ increases with 182 increasing variance in abundance and provides a measure of the range in ln-transformed 183 species abundances. We note that the abundance range is closely connected to the concept of 184 evenness. Evenness measures the variance in abundance (Smith and Wilson 1996). Therefore, 185 the wider the range in abundance is, the lower is the degree of evenness. The λ parameter can 186 therefore be interpreted as a measure of SAD shape specific evenness. The shape parameter η 187 is connected to the excess of either highly abundant species (low η) or rare species (high η).

188 The Weibull distribution is most often applied to assess prospected survival and failure 189 times in demography and industry. If x denotes the time to failure the quantity

$$190 T = \lambda \Gamma(\frac{1}{n} + 1) (3)$$

191 is an estimate of the expected average time to failure (extinction in ecological terms). This 192interpretation can be extended to species abundances. Abundant species should be highly 193competitive or adapted to a focal habitat. Under this interpretation, the average value T 194 divides those species that are more competitive (having higher reproductive output) from 195those that are less competitive (lower reproductive output). The position of T along the 196 abundance axis in Preston plots (Fig. 1, left panels) indicates therefore the proportion of 197 species that are relatively competitive. Interpreting the parameters of the Weibull distribution 198 in terms of reproductive output and therefore fitness implies that high values of T indicate

199 increased proportions of species with high fitness.

Using Weibull distributed random numbers (Press et al., 1986) rescaled to relative abundances, our approach predicts directly the abundances of each species without the need for retransformation. Further, Tokeshi (1999) advised the use of such stochastic models for which goodness of fit can be assessed in terms of standard errors for the predicted abundance of each species. As our model involves randomly assigned abundances, we here obtain such errors from 100 fits to each empirical SAD and assess goodness of fit from the proportion of species falling outside the 95% confidence limits of the model.

207

208 2. Methods

209 2.1 Fitting the Weibull model to empirical species abundance distributions

210 Simple but effective maximum likelihood estimators for the two-parameter Weibull

211 distributions already exist (Nwobi and Ugomma, 2014). However, predicting the abundances

212 of each species requires interpolation of the distribution, making the resultant fits less reliable.

213 Here we use a twofold approach to fitting the Weibull distribution to SAD data that directly

214 generates expected species abundances without the need of back-binning the distribution data.

215 We iteratively encapsulate the parameter values of η to find the value that minimizes a

216 goodness of fit metric defined by the reduced major axis value

217
$$fit = \frac{\sum_{i=1}^{S} (lnA_{i,obs} - lnA_{i,pred})^2 + \min[(j-i)^2]}{S}$$
(4)

where $A_{i,obs}$ and $A_{i,pred}$ are the respective observed and predicted relative abundances of species *i* in the community of *S* species, and j runs over all S species. At each step, this fitting process involves estimating the expected abundances $A_{i,pred}$ obtained from Weibull distributed random numbers (f_w, see Press et al., 1986)

222
$$f_{W;k} = \left(\frac{-1}{\lambda^{-\eta_{k-1}}} \ln(1-f_l)\right)^{1/\eta_{k-1}}$$
 (5)

223 where f_1 is a linear random number between 0 and 1. Eq. 5 uses an initial maximum likelihood 224 estimate of λ , which is given by

225
$$\lambda = \left(\frac{1}{s}\sum_{i=1}^{s} x_i^{\eta}\right)^{1/\eta}$$
(6)

 $226 \qquad \text{where } \eta \text{ comes from the solution of} \\$

$$227 \qquad \frac{1}{s} \frac{\sum_{i=1}^{s} x_i^{\eta} \ln x_i}{\sum_{i=1}^{s} x_i^{\eta}} - \frac{1}{\eta} - \frac{\sum_{i=1}^{s} \ln x_i}{s} = 0$$
(7)

S is the observed number of species (Cohen, 1965). Eq. 7 can easily be solved using commonnumerical methods. The values

230 $A_i = e^{x_i}$

(8)

are then the Weibull abundance estimates of each species i.

In contrast to Ulrich et al. (2016a, b), here we have used reduced major axis fits rather than OLS fits as the former places similar weight on all species irrespective of relative abundance, whilst the latter approach places greater weight on abundant and rare species (Connolly and Dornelas, 2011). We note that OLS fits to the same data returned qualitatively identical results. *fit* < 0.05 indicates an excellent fit while *fit* > 0.3 is poor. The Fortran code used for fitting has already been published in Ulrich et al. (2016a, b) and is freely available from WU on request.

239

240 2.2 Case study

241To illustrate our fitting approach, we fitted the Weibull distribution to four artificial 242communities of 50 species each that are presented in Figure 1. These represent the major SAD 243shapes observed in nature. In a case study using empirical data, we fitted the Weibull 244distribution to a set of 534 fully censused tree communities. These datasets were compiled by 245restricting the global compilation of tree communities published in Ulrich et al. (2016) and 246Kubota et al. (2018) to 534 fully censused communities ranging between 10 and 100 species 247and containing between 20 and 38902 individuals. These communities span a wide range of 248different abundance distributions (Ulrich et al. 2016). Evenness J of these communities was 249calculated from $J = H/\ln(S)$, where H denotes the Shannon diversity.

250

3. Results

252 3.1 Performance of the Weibull model

253The Weibull model provided a nearly perfect fit (fit = 0.004) to the symmetrical 254lognormal data (Fig. 2a) and very good approximations to the power function data (fit =2550.007) (Fig. 2d). The fits to the left skewed lognormal data with an excess of rare species (fit 256= 0.05) (Fig. 2b) and the log-series data (*fit* = 0.03) (Fig. 2c) were only marginally weaker. 257Importantly, using the parameters of the Weibull model it was clearly possible to separate 258these four common types of SAD (Table 1). For the lognormal (Fig. 2a), the log-series (Fig. 2592c) and the power function data (Fig. 2c) all of the observed species abundances in Fig. 2 A 260(left panels) were within the 95% confidence limits of the model fits, whilst for the skewed 261lognormal data (Fig. 2b) three of the 50 species (6.0%) deviated from the fitted value. The 262 rescaling of the distributions and the fits to log₂ frequency distributions (Fig. 2 B) confirmed 263 the good performance of the Weibull distribution.

264 The 95% bootstrap confidence limits of the basic parameters of the fitted distribution 265 (skewness, kurtosis, coefficients of variation in log-abundance), as well as the Weibull model 266 parameters λ and η included in all but three cases the observed values (Tab. 1). In addition, 267 the parameters significantly differed (one-way ANOVA, P < 0.001) between the four shapes 268 (Tab. 1), demonstrating the excellent discriminatory power of the model.

269

270 3.2 Abundance distributions of global tree communities

271Ulrich et al. (2018) provide fits to all 534 distributions in a figshare database 272(10.6084/m9.figshare.5975098) demonstrating the excellent performance of the model. 273Weibull fits to 144 of the 534 communities (27.0%) were excellent (fit < 0.05, Fig. 3), while 274only 20 fits (3.7%) were comparatively poor (fit > 0.3, Fig. 3 and Ulrich et al. 2018). 275Goodness of fit was independent of species richness (Fig. 3a) but moderately increased with 276increasing total abundance (Fig. 3b), abundance range (Fig. 3c) and model parameters (Figs 3 277d, e). Goodness of fit decreased with increasing skewness, that is, the excess of abundant 278species (Fig. 3f).

279These results are corroborated by the fact that observed skewness and modelled skewness 280 were strongly positively correlated (Fig. 4a). As expected from eq. 2, the scale parameter λ 281was positively correlated to the observed abundance range (Fig. 4b). Low η values were 282linked to an excess of rare species, and high η values to an excess of abundant species (Fig. 283 4c). Values of λ and η were linearly correlated (Fig. 4d), defining areas of not realized 284parameter combinations. Although λ and η are related to the variance and skewness of the 285SAD, respective skewness - variance plots performed worse in identifying realized and 286forbidden SAD shapes (Fig. 5e).

287

4. Discussion

289Our fits to 534 global tree communities showed that the two-parameter Weibull 290distribution is an appropriate tool to mimic a wide variety of observed species abundance 291distributions (Ulrich et al. 2018). We note that the model does not provide a mechanistic 292explanation for these distributions although several stochastic processes are known to be 293Weibull distributed (Rinne, 2008). The data presented in Ulrich et al. (2018) also show that 294the model might provide weaker fits in communities dominated by a small number of highly 295abundant species and in communities with a marked excess in very rare species. We note that 296this fitting problem also applies to other SAD models designed to fit specific stochastic niche 297 partitioning processes (Tokeshi 1999) and statistical distributions (Ulrich et al. 2010).

298 An important finding of the present study regards the relationship of the shape and the 299scale parameters of the Weibull distribution that for the first time enable us to define limits to 300 the observed shapes of empirical abundance distributions (Fig. 4d). Respective plots of 301 skewness and variance (Fig. 4e) and skewness and evenness (Fig. 4f) did not recover these 302 limits. With few exceptions, communities with $\eta > 3$ did not exist. As λ increases with 303 abundance range (Fig. 4b), communities with $\lambda > 6$ might exist for instance in invertebrate 304 assemblies with abundance ranges > six orders of magnitude. High η is linked to the excess of 305 relatively rare species (Tab. 1). The theoretical power fraction SAD with a high excess of such 306 species (Figs. 1 and 2) had $\eta > 3$. Therefore, our result strongly indicates that η cannot exceed 307 a certain limit in natural communities and that such extreme SADs do not exist in global 308 forest tree communities.

309 What do such 'forbidden' communities look like? Fig. 5 shows that low η in combination 310 with low λ (Fig. 5a) predicts communities with an initial steep decline in abundance and a 311 short 'heavy tail' of rare species. High η and low λ (Fig. 5b) generate SADs very similar to 312 the popular, but discredited, broken stick distribution (MacArthur, 1957). Our results question 313 whether such communities are realized. Indeed, empirical evidence for broken stick 314 communities is very limited (Smart, 1976). Higher values of λ and η generate communities 315for which the proportion of very rare species exceeds 40% (Fig. 5c). As already noted, such 316 distributions do not exist for species rich communities.

317 The fact that η was bounded to values between 1 and 3 implied that $\Gamma(1/\eta+1)$ takes 318 values between 0.89 and 1, making the failure time T to be a nearly linear function of λ (eq. 319 3). This fact gives the scale parameter λ yet another interpretation. It denotes the relative 320 proportion of adapted and possibly persistent species in a community. In the forest data, T was significantly linearly correlated with the total abundance of all species ($r^2 = 0.54$) and 321abundance range ($r^2 = 0.58$), but not with species richness ($r^2 = 0.01$). We speculate that 322323 communities with high overall abundance also contain a higher proportion of species not 324 endangered by local extinction.

The approximately linear relationship between η and λ makes the respective quotient $q = \eta/\lambda$ a potentially new metric of community composition that catches the relationship between range in abundance (Fig. 4b) and the excess of either rare or abundant species (Fig. 4c). It is beyond the scope of the present paper to apply this metric to environmental and trait data but we note that in the forest tree communities of this study, q was positively correlated to community evenness ($r^2 = 0.37$) and consequently negatively to the range of abundances ($r^2 = 0.71$). This opens the possibility of partitioning dissimilarity in abundances into two parts, one part linked to SAD shape (skewness) and a second part linked to community evenness.

333 Finally, the good fits of the Weibull distribution to observed SADs (Fig. 3 and Ulrich et 334 al. 2018) makes it possible to take a species based approach to SADs to compare model 335 prediction and observation. In this respect, distributions of functional traits and phylogenetic 336 relationships that characterize the relative abundance of realized species characteristics in a 337 community have come into focus (Gross et al. 2017; Jones et al., 2017). Being explicitly 338 based on species – rank order abundances, they allow for a direct comparison of species traits 339 among communities and between realized and theoretically expected values (Cornwall and 340 Ackerly, 2009). As neutral model fitting is challenging in most cases (Gotelli and McGill, 341 2006; Rosindell et al., 2010), the application of the Weibull distribution offers a valuable 342alternative, particularly for the identification of outliers in abundance and the analysis of how 343 such outlying species influence community trait space and functional performance. In this respect, Ulrich et al. (2016a) and Matthews et al. (2017) have recently shown that 344 345 comparative analyses of SAD shape and parameters can reveal important biogeographic 346 patterns (e.g. latitudinal and climate gradients). Our approach not only provides a flexible 347model but offers ecologically interpretable parameters that can be related to species trait and 348 environmental data. We argue that the Weibull distribution might form a statistical standard 349 (similar to a null model) to which observed species relative abundances can be compared. 350

351

352 Acknowledgements

W.U. was supported by the Polish National Science Centre (grant 2014/13/B/NZ8/04681).
Y.K. was support by the Japan Society for the Promotion of Science (no. 15K14607). R.N.
acknowledges support by the Program for Advancing Strategic International Networks to
Accelerate the Circulation of Talented Researchers of the Japan Society for the Promotion of
Science.

358

359 Data accessibility

360 Raw data of the forest plots used in this study are contained in Ulrich et al. (2018).

361

363

362 **References**

1. Baldridge, E., Harris, D.J., Xiao, X., White, E.P., 2016. An extensive comparison of

364 species-abundance distribution models. PeerJ 4, e2823. 3652. Bazzaz F.A., 1975. Plant species diversity in old-field successional ecosystems in 366 southern Illinois. Ecology 56, 485–488. 367 3. Borda-de-Água, L., Whittaker, R.J., Cardoso, P., Rigal, F., Santos, A.M.C., Amorim, 368 I.R., Parmakelis, A., Triantis, K.A., Pereira, H.M., Borges, P.A.V., 2017. Dispersal 369 ability determines the scaling properties of species abundance distributions: a case 370 study using arthropods from the Azores. Sci. Rep. 7, 3899. 371 4. Carter, D.J.T., Challenor, P.G., 1983. Application of extreme value analysis to Weibull 372data. Quart. J. R. Meteorolog. Soc 109, 429-433. 373 5. Cohen, A.C., 1965. Maximum likelihood estimation in the Weibull distribution based 374on complete and on censored samples. Technometrics 7, 3. 375 6. Connolly, S.R., Dornelas, M., 2011. Fitting and empirical evaluation of models for 376 species abundance distributions. In: Magurran, A.E. and McGill, B.J. (eds.) Biological 377 diversity: frontiers in measurement and assessment, Oxford University Press, pp. 123-378 140. 379 7. Cornwall, W., Ackerly, D., 2009. Community assembly and shifts in plant trait 380 distributions across an environmental gradient in coastal California. Ecol. Monogr. 79, 381109-126. 382 8. Dewdney, A.K., 2000. A dynamical model of communities and a new species-383 abundance distribution. Biol. Bull. 198, 152-165. 384 9. Dexter, K.G., Lavin, M., Torke, B.M., Twyford, A.D.D., Kursar, T.A., Coley, T.D., 385 Drake, C., Hollands, R., Pennington, R.T., 2017. Dispersal assembly of rain forest tree 386 communities across the Amazon basin. Proc. Natl. Acad. Sci. USA 114, 2645-2650. 387 10. Erlén, J., Morris, F.W., 2015. Predicting changes in the distribution and abundance of 388 species under environmental change. Ecol. Lett. 18, 303-314. 389 11. Etienne, R.S., Apol, M.E.F., Olff H., Weissing, F.J., 2007. Modes of speciation and the 390 neutral theory of biodiversity. Oikos 116, 241-258. 391 12. Fisher, R.A., Corbet, A.S., Williams, C.B., 1943. The relation between the number of 392 species and the number of individuals in a random sample of an animal population. J. 393 Animal Ecol. 12, 42–58. 394 13. Hubbell, S.P., 2001. The unified theory of biogeography and biodiversity. Princeton 395 University Press. 396 14. Hughes, R.G., 1986. Theories and models of species abundance. Am. Nat. 128, 879-397 899.

398	15. Gotelli, N.J., McGill, B.J., 2006. Null versus neutral models: what's the difference?
399	Ecography 29, 793-800.
400	16. Gross, N., Le Bagousse-Pinguet, Y., Liancourt, P., Berdugo, M., Gotelli, N.J., Maestre,
401	F.T., 2017. Functional trait diversity maximizes ecosystem multifunctionality. Nature
402	Ecol. Evol. 1, 0132.
403	17. Jones, S.K., Ripplinger, J., Collins, S.L., 2017. Species reordering, not changes in
404	richness, drives long-term dynamics in grassland communities. Ecol. Lett. 20, 1556-
405	1575.
406	18. Kubota Y., Kusumoto B., Shiono T., Ulrich W., 2018. Multiple filters affect tree
407	species assembly in mid-latitude forest communities. Oecologia, in press.
408	19. Lawless, J. F., 2003. Statistical Models and Methods for Life time Data. 3 rd Edition,
409	John Wiley and Sons, New York.
410	20. Locey, K.J., White, E.P., 2013. How species richness and total abundance constrain the
411	distribution of abundance. Ecol. Lett. 16, 1177–1185.
412	21. MacArthur, R.H., 1957. On the relative abundance of bird species. Proc. Natl. Acad.
413	Sci. USA 43, 293–295.
414	22. Magurran, A.E., 2004. Measuring Biological Diversity. Blackwell Science, Oxford.
415	23. Magurran, A., 2005. Species abundance distributions: pattern or process? Funct. Ecol.
416	19, 177-181.
417	24. Magurran, A.E., Henderson, P.A., 2003. Explaining the excess of rare species in
418	natural species abundance distributions. Nature 422, 714–716.
419	25. Matthews, T.J., Whittaker, R.J., 2014. Fitting and comparing competing models of the
420	species abundance distribution: assessment and prospect. Front. Biogeogr. 6, 67-82.
421	26. Matthews, T.J., Whittaker, R.J., 2015. On the species abundance distribution in
422	27. applied ecology and biodiversity management. J. Appl. Ecol. 52, 443–454.
423	28. Matthews, T.J., Borges, P.A.V., de Azevedo, E.B., Whittaker, R.J., 2017. A
424	biogeographical perspective on species abundance distributions: recent advances and
425	opportunities for future research. J. Biogeogr. 44, 1705–1710
426	29. May, R.M., 1975. Patterns of species abundance and diversity. In: Cody, M.L.,
427	Diamond, J.M. (eds.) Ecology and Evolution of Communities, Cambridge University
428	Press, pp. 81–120.
429	30. McGill, B.J., Etienne, R.S., Gray, J.S., Alonso, D., Anderson, M.J., Benecha, H.K.,
430	Dornelas, M., Enquist, B.J., Green, J.L., He, F., Hurlbert, A.H., Magurranm A.E.,
431	Marquet, P.A., Maurer, B.A., Ostling, A., Soykan, C.U., Ugland, K.I., White, E.P.,

432	2007. Species abundance distributions: moving beyond single prediction theories to
433	integration within an ecological framework. Ecol. Lett. 10, 995–1015.
434	31. Motomura, I., 1932. A statistical treatment of associations. Japan. J. Zool. 44, 379–383
435	(in Japanese).
436	32. Nekola, J.C., Šizling, A.L., Boyer, A.G., Storch, D., 2008. Artifactions in the log-
437	transformation of species abundance distributions. Folia Geobot. 43, 259–268.
438	33. Nwobi, F.N., Ugomma, C.A., 2014. A Comparison of Methods for the Estimation
439	34. of Weibull Distribution Parameters. Metodologya Zvezki 11, 65-78.
440	35. Prado, P.I., Dantas Miranda, M., Chalom, A. 2017. Package sads.
441	http://piLaboratory.github.io/sads.
442	36. Press, W.H., Flannery, B.P., Teukolsky, S.A., Vetterling, W.T., 1986. Numerical
443	Recipes, The Art of Scientific Computing, Cambridge University Press.
444	37. Preston, F.W., 1948. The commonness, and rarity, of species. Ecology 29, 254–283.
445	38. Pueyo, S., 2006. Self-similarity in species-area relationship and in species abundance
446	distribution. Oikos 112, 156–162.
447	39. Ricklefs, R. E., 2003. A comment on Hubbell's zero-sum ecological drift model. Oikos
448	100, 185–192.
449	40. Rinne, H., 2008. The Weibull Distribution. A Handbook. CRC Press.
450	41. Rosindell, J., Cornell, S.J., 2009. Species-area curves, neutral models, and long-
451	distance dispersal. Ecology 90, 1743–1750.
452	42. Rosindell, J., Cornell, S.J., Hubbell, S.P., Etienne, R.S., 2010. Protracted speciation
453	revitalizes the neutral theory of biodiversity. Ecol. Lett. 13, 716–727.
454	43. Smart, J.S., 1976. Statistical tests of the broken-stick model of species-abundance
455	relations. J. Theor. Biol. 59, 127-139.
456	44. Siemann, E., Tilman, D., Haarstad, J., 1999. Abundance, diversity and body size:
457	Patterns from a grassland arthropod community. J. Animal Ecol. 68, 824-835.
458	45. Smith, B, Wilson, J.B., 1996. A consumer's guide to evenness indices. Oikos 76, 70-
459	82.
460	46. Stauffer, H.B., 1979. A derivation for the Weibull distribution. J. Theor. Biol. 81, 55-
461	63.
462	47. Storch, D., Bohhalková, E., Okie, J., 2018. The more-individuals hypothesis revisited:
463	the role of community abundance in species richness regulation and the productivity-
464	diversity relationship. Ecol. Lett. 21, 920-937.
465	48. Tokeshi, M., 1996. Power fraction: a new explanation for species abundance patterns

466	in species-rich assemblages. Oikos 75, 543–550.
467	49. Tokeshi, M., 1999. Species coexistence: ecological and evolutionary perspectives.
468	Blackwell Scientific, Oxford.
469	50. Ugland, K.I., Lambshead, P.J.D., McGill, B., Gray, J.S., O'Dea, N., Ladle, R.J.,
470	Whittaker, R.J., 2007. Modelling dimensionality in species abundance distributions:
471	description and evaluation of the Gambin model. Evol. Ecol. Res. 9, 313-324.
472	51. Ulrich W., Ollik M., Ugland, K.I., 2010. A meta-analysis of species - abundance
473	distributions. Oikos 119, 1149-1155.
474	52. Ulrich, W., Kusumoto, B., Shiono, T., Kubota, Y., 2016a. Climatic and geographical
475	correlates of global forest tree species abundance distributions and community
476	evenness. J. Veg. Sci. 27, 295-305.
477	53. Ulrich, W., Soliveres, S., Thomas, A.D., Dougill, A.J., Maestre, F.T., 2016b.
478	Environmental correlates of species rank – abundance distributions in global drylands.
479	Persp. Plant Ecol. Evol. Syst. 20, 56-64.
480	54. Ulrich, W., Nakadai, R., Matthews, T., Kubota, Y. 2018. Weibull fits to global tree
481	species abundance distributions. figshare
482	https://figshare.com/articles/Weibull_fits/5975098.
483	55. Weibull, W., 1951. A Statistical Distribution of wide Applicability. J. Appl. Mech. 18,
484	239–296.
485	56. Whittaker, R.H., 1975. Communities and Ecosystems, 2nd edn. MacMillan, New
486	York.
487	57. Wilson, J.B., 1993. Would we recognise a broken-stick community if we found one?
488	Oikos 67, 181-183.
489	
490	Software
491	Fortran source code and the updated stand-alone application RAD 2.0 (Ulrich et al. 2010,
492	2016a, b) are freely available from W.U. by request.
493	
494	Data availability
$495 \\ 496$	Weibull fits to global tree species abundance distributions. figshare https://figshare.com/articles/Weibull_fits/5975098.
497	
•	

498 **Table 1.** Coefficients of variation (CV) and moments of the ln-transformed relative

499 abundances of the communities in Fig. 2, and the respective fits of the Weibull distribution

500 (given are shape and scale parameters of the best fit) together with upper and lower two-sided

501 95% confidence limits (CL) of 1000 bootstrap samples. Shape and scale of the data refer to

502 maximum likelihood Weibull parameters taken directly from the ln-transformed abundances.

503

Model	Statistics	CV	Standard deviation	Skewness	Kurtosis	Shape	Scale
lognormal	Data	0.45	1.41	0.10	-0.21	2.51	2.45
	fit	0.53	1.35	0.36	-0.26	2.45	2.06
	lower CL	0.43	1.12	-0.10	-1.32	-	-
	upper CL	0.64	1.61	0.87	0.50	-	-
skewed	Data	0.38	2.00	-0.50	0.05	3.22	4.28
lognormal	fit	0.54	1.87	0.12	-0.39	3.16	3.23
	lower CL	0.43	1.58	-0.35	-1.25	-	-
	upper CL	0.65	2.23	0.62	0.25	-	-
log-series	Data	0.98	1.27	0.75	-0.39	1.11	0.93
	fit	0.80	1.14	1.03	0.90	1.09	0.94
	lower CL	0.65	0.91	0.47	-1.35	-	-
	upper CL	0.96	1.42	1.72	2.78	-	-
power function	Data	0.91	1.21	1.20	0.89	1.15	0.96
	fit	0.92	1.23	1.66	3.40	1.12	0.96
	lower CL	0.73	0.87	0.93	-0.71	-	-
	upper CL	1.14	1.62	2.66	7.33	-	-

Figure 1. Four typical shapes of species abundance distributions (Whittaker species - rank order plots). a) a lognormal distribution, b) left skewed lognormal with an excess of rare species, c) a log-series distribution, d) a power function. Each community contains 50 species. Arrows show which types of distributions the selected flexible SAD models can mimic.



Figure 2. Reduced major axis fits of the Weibull model to rank abundance (left side) and the respective log₂-binned distribution data (right side) of the four typical shapes of species rank order –abundance distributions, of 50 species each shown in Fig. 1. a) a lognormal distribution, b) left skewed lognormal with an excess of rare species, c) a log-series distribution, and d) a power function. Error bars denote one standard deviation of the Weibull

519 model fits. Parameter values are given in Tab. 1





Figure 3. Goodness of fit of 534 empirical global forest tree communities in relation to species richness (a), total abundance (b), range in abundance (c), Weibull fit parameters λ (d), and η (e), and observed skewness (f). Given are the r² values from exponential (a, d, e, f) and power function (b, c) OLS regressions.

528



532

531

Figure 4. Relationships between observed and expected skewness (a), λ and observed abundance range (b), η and observed skewness (c), η and λ (d), observed skewness and variance (e), and evenness (f) from the fits of the Weibull distribution to 534 empirical global tree communities. Given are the r² values of linear (a, c, d, e) and logarithmic (b) OLS regressions. Approximate upper and lower boundaries of η are given in (d).



540 541

- 542 Figure 5. Three examples of communities (50 species each) generated by Weibull543 distributions that are not realized according to Figure 4d.

