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1	Trains in crosswinds – comparison of full-scale on-train
2	measurements, physical model tests and CFD calculations
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8 Abstract

9 In this paper a major series of experiments is described that included extensive 10 full-scale measurements of cross wind induced pressures on the Class 43 New 11 Measurement Train over an extended 21 month period, together with wind 12 tunnel, moving model tests and CFD calculations, and allows, for the first time, a 13 proper evaluation of the adequacy of these techniques. Static wind tunnel tests 14 and moving model tests show good agreement with each other, both in terms of 15 the measured pressure field around the train and in the overall side force per 16 unit length over the yaw angle range from 15 to 30°. Similarly the wind tunnel 17 tests and the CFD calculations show good agreement with each other for yaw 18 angles up to 15°. Two different analyses of the full-scale data were carried out -19 an analysis of one second average wind speeds and forces, and an analysis of 20 specific gusts. There was a very great deal of scatter in the results and only the results from simple track topographies were found to agree well with the model 21 22 and computational results.

- 24 **Keywords** train aerodynamics, crosswinds, full-scale tests, wind tunnel tests
- 25 moving model tests, CFD

26 **1. Introduction**

27 In the field of railway aerodynamics the main tools that are used in both design and research are physical model testing and computational fluid dynamics. With 28 29 regard to the former both conventional wind tunnel tests are used (particularly 30 when looking at crosswind behavior eg. Cheli et al 2010) and also moving model 31 tests when looking at transient behaviour both in the open air and in tunnels (eg. 32 Dorigati et al 2015, Sturt et al 2015). CFD techniques have developed 33 significantly in recent years, and although standard RANS techniques are still in 34 regular use (eg. Eichinger et al 2015), more resource intensive methodologies 35 such as DES and LES are increasingly being used (eg. Morden et al 2015).

36 Now whilst these techniques are relatively straightforward to use, they are 37 based on the fundamental assumption that they are a reasonable approximation 38 to reality, and thus rely on full-scale measurements for their calibration and 39 verification. In the past a number of major experimental full-scale measurements 40 have been carried out to provide fundamental real world data - see Ko et al 41 (2012) for tests on tunnel aerodynamics, the RAPIDE experiments (RAPIDE 42 consortium 2001) and AeroTRAIN experiments (Baker et al 2015) for slipstream 43 and underbody flow measurements, and these have gone some way towards 44 validating the experimental and computational techniques that are used. 45 However when considering the behaviour of trains in the open air with cross-46 winds of any description, full-scale validation data is less readily available, largely because of the difficulty of the experiments and the need to wait for the 47 correct weather conditions, which can cause major resource issues. Only two 48 49 tests of this nature are known to the authors. The first was actually a model scale 50 test carried out on a 1/5th scale Advanced Passenger Train in the open air on a

51 test track at Pendine in South Wales (Cooper 1980) – figure 1a. Aerodynamic 52 forces and moments were measured using internal balances and wind conditions 53 were measured with probes mounted on a boom ahead of the model. The results 54 for aerodynamic rolling moment against yaw angle (the wind direction relative to the train) are shown in Figure 1b below, together with some conventional low 55 56 turbulence wind tunnel results using the same model. The second set of 57 experiments was carried out using a full-scale Inter-Reggio train as part of the TRANSAERO project (Matschke and Heine 2002, figure 1c). Force and moment 58 59 coefficients were based on the output of force transducers connected between 60 the front bogie and the train body, with an assumption being made as to the 61 point of action of the wind forces, and wind conditions being measured with a 62 long boom in front of the train. Again, rolling moment coefficient values are 63 shown in figure 1d, together with comparative values from wind tunnel tests on 64 an aerodynamically similar ICE-2 train. The two different experiments cover 65 different yaw angle ranges. Both sets of full-scale data lie below the wind tunnel 66 data - for the Pendine tests this is almost certainly due to the differences in 67 ground simulation, and for the TRANSAERO tests the discrepancy may lie in the 68 need to make assumptions concerning the point of action of the aerodynamic 69 forces. There can also be seen to be considerable scatter in the results as might 70 be expected – particular for the Pendine results. The major lesson from these 71 tests is probably that carrying out full-scale measurements of train aerodynamic cross wind forces is very difficult, with many experimental compromises 72 73 required, even with well defined trains operating on a test track.

This paper presents some of the results of a major investigation in which full-scale experiments were carried out to measure cross wind forces and pressures

on a test train used to measure track characteristics on UK main lines (the New
Measurement Train or NMT). These tests were carried out over an extended
period of 21 months and large amounts of data were obtained for a variety of
wind conditions. Equivalent wind tunnel and moving model rig experiments
were carried out for comparison with the full-scale tests, together with similarly
equivalent CFD calculations.

82 Section 2 gives details of the various experiments and calculations that were carried out at both model scale and full-scale. Section 3 then describes the flow 83 84 fields that were measured in the wind tunnel experiments and simulated in the 85 CFD calculations, to give an overall description of the flow around the train. 86 Section 4 then compares the aerodynamic forces and moments that were 87 measured in the physical model tests and the CFD calculations. The full-scale 88 results from the NMT are then considered in detail in section 5, and compared 89 with the results of section 4. Finally some broad conclusions are drawn in section 90 6.



(a) The Pendine experiments using 1/5th scale APT (photograph from National Railway Museum)



(c) The TRANSAERO full-scale Inter-Reggio measurements (author photograph) showing wind measurement boom at front of train



(b) Pendine experiments - lee rail rolling moment coefficient results (from Cooper 1980 – redrawn)



(d) TRANSAERO experiments – lee rail rolling moment results (from Matschke and Heine 2002 – redrawn). Note that the wind tunnel results are not from an identical train and are extrapolated from higher yaw angle values.



94 **2. Experimental and computational methodologies**

95 **2.1 Definitions**

96 In what follows we will use the definitions of velocities and angles shown in 97 figure 2. Here *u* is the wind speed, at an angle β to the train direction of travel; *v* 98 is the train speed, and *V* is the wind velocity relative to the train. The yaw angle 99 ψ is the angle of this relative velocity to the train direction of travel. *V* and ψ are 100 give by the equations

101
$$V^2 = (v + u \cos \beta)^2 + (u \sin \beta)^2$$
 (1)

102
$$\tan \psi = \frac{u \sin \beta}{v + u \cos \beta}$$
 (2)

103





105

Figure 2 Velocity and angle definitions

107 **2.2 Full-scale measurements**

108 Full-scale measurements were made on the Network Rail New Measurement 109 Train (NMT) – figure 3a. This train, in various formations, runs along every main 110 line in the UK on a thirteen-week cycle to measure track and infrastructure 111 properties using a variety of different types of instrumentation. It consists of two 112 Class 43 power cars (chosen from four that are available) and a variable number 113 of intermediate Mark 3 coaches. Network Rail allowed the University of Birmingham to instrument one of these power cars for aerodynamic 114 115 measurements for an extended period of measurements between 3/10/2013 116 and 22/6/2015. This instrumentation consisted of the following components.

A Pitot tube at the nose of the train (figure 3b) together with three static
pressure holes along the front of the train nose (figure 3c). These were
connected to a box behind the nose panel containing six pressure
transducers. The transducers were connected to the data acquisition system
in the luggage compartment near the rear of the power car and pressures *p*were sampled at a rate of 128 samples / sec.

A loop of static pressure holes around the sides and roof of the train, 15m
 from the nose, connected to three pressure transducer boxes, each with five
 or six transducers (figure 3d). The positions of the tappings are shown in
 figure 3e. These were again connected to the data acquisition system and
 pressures sampled at 128 samples / sec.

• A partially sealed reference pressure reservoir within the train itself, to give the reference backing pressures for the transducers p_R . It consisted of an inflexible ceramic pot with a small hole that slowly adjusted the pressure to ambient over a period of about 30 to 60 seconds, which gave a stable reference pressure against the shorter timescale fluctuations caused by crosswinds and passing trains/tunnels, while still adjusting for variations in altitude. Pressures at two other reference locations were measured in order to correct the first value where necessary - an identical container that was completely sealed to correct for any temperature fluctuations, and an open ended static probe in the luggage area of the power car to correct for any changes in altitude.

A computer based data storage system, which enabled up to two weeks data
to be stored.

• A GPS device, which gave train position and speed every second.

142 The nose Pitot tube was intended to measure the air speed relative to the train, 143 V. Pitot tubes are insensitive to yaw angle for angles of less than about 15 degrees, but it was felt that in normal operating conditions this would only be 144 145 exceeded very occasionally. Ideally the tube should have been positioned further 146 in front of the train, but the use of an operational train made this impractical and 147 Network Rail stipulated that the probe should not extend beyond the nose of the 148 vehicle. Thus the Pitot tube reading was calibrated against the free stream 149 velocity through wind tunnel tests and a factor applied to convert the measured 150 velocity to free stream velocity. This was not ideal, but was an inevitable 151 consequence of using operational trains.

The pressures from all the taps (on the nose and the loop) were then put intopressure coefficient form

154
$$C_p = \frac{p - p_R}{0.5\rho V^2}$$
 (3)

155 The nose pressure taps were positioned so as to be able to give an indication of 156 yaw angle ψ . This was obtained by forming the ratio

$$157 \qquad R = \frac{C_{pL} - C_{pR}}{C_{pC}} \tag{4}$$

where subscripts L, R and C refer to the left, right and centre nose tappings respectively. This ratio was also calculated from TRAIN Rig data using pressures measured on the models at equivalent points, and is directly related to yaw angle (see section 2.3 for further details). This calibration curve is presented in section 5 below.

The pressures measured at the side of the train recorded a number of distinct phenomena – the transient pressures due to the passing of other trains; the pressure transients as the train passed through tunnels; and the effects of both steady and transient crosswinds. It is with the latter two sets of data that the present paper is concerned, although a rich database of train passing and tunnel effects has been obtained that will be more fully investigated in the future.

The analysis of the data was complex and is fully outlined in Gallagher (2016). Essentially algorithms were developed to calculate the train speed and direction, identify and remove passing train and tunnel pressure transients from the data; and to apply the calibrations to the Pitot tube and nose pressure tappings to obtain the air speed relative to the train and the yaw angle. Pressure coefficient time histories were calculated for the Pitot tube and each pressure tap.

Two types of analysis were then carried out. Firstly one second averages of train speed, yaw angle and pressure coefficients on the loop around the train were obtained. Only data for which the instrumented vehicle was at the front of the train, train speed was greater than 20m/s, the wind speed was greater than

4m/s and for head wind conditions (i.e. $90^{\circ} < \beta < 90^{\circ}$) were then considered, 179 giving a total of 3327 data points. The side force coefficient per unit length was 180 181 also calculated for each one-second set of data, through integration of the 182 pressure coefficients around the loop (which excludes the underbody pressures). Note that this calculation did not take into account any lateral components of 183 underbody pressures. Gallagher (2016) shows from the wind tunnel data that 184 the difference in side force coefficient around the loop calculated with and 185 without an underbody component was small. This is given by 186

187
$$C_{S}' = \frac{\Sigma C_{pi} \sin \theta_{i} A_{i}}{0.5 \Sigma \sin \theta_{i} A_{i}}$$
(5)

188 where C_{pi} is the pressure at tapping *i*, A_i is the tributary area for tapping *i* (with 189 unit width), and θ_i is the angle to the horizontal of the tributary area. Note that 190 the lift force coefficient around the loop was not calculated as no measurements 191 of pressure were made beneath the train.

Secondly, transient wind events were investigated. The wind speed time histories were interrogated and sudden increases in wind speed from near zero were identified. These might be due to the train emerging from a cutting or shelter of some kind into across wind, or from a sudden wind gust. For each identified case the time series of yaw angle, pressure coefficients and side force coefficients per unit length were calculated as above. This process resulted in 220 gust datasets.

In measurements such as these, it is necessary to consider carefully the possible experimental errors. Gallagher (2016) sets out a full error analysis. In broad terms the possible errors in the values of pressure coefficient for the windward

- and leeward wall taps are of the order of ± 0.02 to 0.03, and for the roof taps are
- 203 of the order of ± 0.04 to 0.05.



(a) The Class 43 New Measurement Train



(b) Nose Pitot (on open flap)



(c) Nose pressure taps



(d) Loop pressure taps



(e) Loop pressure tap locations

Figure 3 The Class 43 New Measurement Train

208 2.3 Wind tunnel tests

209 Wind tunnel tests were carried out in the RWDI wind tunnel in Dunstable, UK, 210 using a 1/25th scale model of the Class 43 power car and one trailing Mark 3 211 coach, mounted on ground board, with a "single track ballasted rail" (STBR) representation of the track (figure 4a) – see CEN (2016) for a full specification of 212 the STBR simulation. Pressures were measured on the surface of the model 213 214 though 313 pressure taps connected to pressure transducers and sampled at 215 512Hz for 120s (figure 4b). The Reynolds number of the tests, based on vehicle 216 height was 1.4×10^5 (lower than specified by CEN (2016)), and the turbulence 217 intensity of the flow was 5.5%. (higher than specified by CEN (2016)). Note that 218 a further series of tests were carried out with a slightly lower Reynolds number 219 and about twice the level of turbulence intensity, but the results were very 220 similar to the above case and will not be considered further. Full details are given 221 in Gallagher (2016). Pressure coefficients were again formed using the definition of equation (3) with *V* given by the wind tunnel speed, and p_R by the wind tunnel 222 223 reference pressure measured at a reference static probe upstream of the train. The surface pressure field was measured at yaw angles between 0 and 50 224 225 degrees in five-degree increments. The overall forces and moments on the 226 vehicle were calculated by integration of all the pressure at all the tappings. In 227 what follows we will only consider the side force and lift force coefficients which, 228 using the notation outlined above, are given by

229
$$C_S = \frac{\Sigma C_{pi} \sin \theta_i A_i}{A}$$
 $C_L = \frac{\Sigma C_{pi} \cos \theta_i A_i}{A}$ (6)

where *A* is a reference side area of $60m^2$. To enable a comparison with the NMT results to be made, the side force coefficient per unit length, C_s' was also

- calculated at the position of the NMT loop pressure tappings, using the definition
- 233 given in equation (5).

Finally a full error analysis was carried out and gave pressure coefficient error

235 estimates of ± 0.05 to 0.06 for each pressure tap, corresponding to an error of

- between 0.02 and 0.07 for lee rail rolling moment coefficient for a yaw angle
- range between 0 and 45°.
- 238
- 239

(a) The wind tunnel model and setup

248 2.3 Moving model tests

249 A series of measurements of train surface pressures in a crosswind was made 250 using the moving model TRAIN Rig and a 1/25th scale model of the Class 43 251 power car (figure 5a). This rig is fully described in Dorigatti et al (2013). 252 Essentially it consists of two 150m long tracks along which train models can be 253 fired at speeds of up to 75m/s using catapult mechanisms for both firing and 254 braking. It has been used extensively in recent years for train slipstream 255 measurements (Soper et al 2016), underbody flow measurements (Soper et al 256 2017) and to investigate the generation of sonic booms from tunnel exits (Sturt 257 et al 2015, Vardy et al 2015). For the experiments reported here measurements 258 were made within a crosswind generator, which produced a representation of 259 the natural wind over a 6.4m length of the track (figure 5b). The geometry of the 260 rig is such that it is constrained laterally (by operating railway tracks either side 261 of the building which houses it) and thus there is little development length for 262 the crosswind generator. Nonetheless the flow quality is acceptable for the 263 experiments that are presented here, although the velocity varied by up to 10% 264 along the length of the generator. The maximum average wind speed in the 265 generator was 12m/s, with longitudinal, lateral and vertical turbulence 266 intensities of 0.2, 0.1 and 0.1 respectively, and a longitudinal length scale of 0.4m model scale. Full details of the flow field characteristics are given in Dorigatti 267 268 (2013) and Gallagher (2016).

Pressures were measured on the surface of the model at the position of the loop on the NMT (figure 5c) at 0.8 of the vehicle length from the nose, and at the pressure ports on the train nose. The technique adopted was the same as that described in Dorigatti, with the static pressure holes being connected to 15 273 pressure transducers mounted within the model (figure 5d). A light sensor was 274 housed on the leeward sidewall of the train model and four external lasers were 275 spaced across the length of the test section in order to calculate train speed and 276 deceleration. Data acquisition systems, batteries and a reference pressure 277 reservoir were also mounted within the model itself, and the pressure data was 278 downloaded via a USB port after each run. Track based measurements were 279 aligned with the on train measurements using a train based light senor that detected a beam of light at a fixed point on the track. 280

281 Tests were carried out at 15, 20, 25 and 30 degrees yaw, with the crosswind generator speed being kept constant and the vehicle speed being changed to 282 283 obtain the correct yaw angle. The Reynolds number thus varied between 2.3 and 284 4.5 x 10⁵. For each yaw angle 15 runs of the rig were required to obtain stable 285 pressure averages. All pressures were expressed as coefficients using the 286 formulation of equation (3). The nose pressure measurements were used to 287 obtain a yaw angle calibration for the full-scale NMT experiments (see below) 288 and the loop pressure measurements were used to analyse the effects of cross winds, with side force coefficients per unit length being formed (equation (5)). 289 290 An error analysis gave potential errors for the leeward face pressures of ±0.05 to 291 0.06, for the roof of ± 0.04 to 0.05 and for the windward wall of ± 0.025 to 0.035. 292 Errors in side force coefficient per unit length were of the order of 0.02 over the 293 yaw angle range considered.

(c) TRAIN Rig model pressure tap positions

(d) TRAIN Rig model internal arrangement <mark>showing pressure tap manifold, data logger and reference pressure reservoir</mark>

Figure 5 The Moving model experiments

297 **2.4 CFD simulations**

298 CFD calculations were carried out around a 1/25th scale four-car model – two 299 Class 43 power cars with two Mark 3 carriages between them - and are fully 300 reported in Morden (2016). Three sets of calculations were carried out - to 301 simulate the zero yaw angle results obtained in the wind tunnel; to simulate the 302 slipstreams around the model for comparison with full-scale results and TRAIN 303 Rig slipstream measurements; and to simulate the crosswind measurements that 304 were also made at the TRAIN Rig. It is the latter that is under consideration here. 305 Note that the calculations were carried out using a four-vehicle train similar to 306 the work of Gallagher (2016).

307 Calculations presented in this paper were carried out using the OpenFOAM
308 software (OpenFoam 2015) using the DDES approach. The DDES approach used
309 in this investigation is detailed in Morden et al (2015).

310 The domain used is shown in figure 6a below. The length of the domain is 44H (where H is the model height), the height is 9H, and the width is 24H, 6.5 H on 311 312 the windward side of the domain and 17H on the leeward side. Similar to the 313 wind tunnel tests the inlets, at the front and on the windward side of the domain 314 are taken as constant velocity boundaries, and the outlets at the end of the 315 domain and on the leeward side are taken as constant pressure boundaries. All 316 the boundaries of the train model are specified as no-slip, whilst the ground, 317 track and roof boundaries is specified as slip boundaries. The inlet velocity at the 318 front of the domain is kept constant whilst the inlet velocity normal to the 319 windward side of the domain boundary is varied in order to simulate different 320 yaw angles. This is the other way round to the TRAIN Rig measurements, but

321 was more practical in terms of the mesh set up that was used.

322 A number of meshes were developed for the various investigations. For the 323 crosswind comparisons reported here two were used - the medium mesh with 324 40.3m cells, and the fine mesh with 74.5m cells. Figure 6b shows details of the 325 mesh, including details of the refinement region that extended 5H ahead of the 326 model, 48H behind the model, 7H from the top of rail above the model, 2H from the centre of the track on the windward side and 8H from the centre of track on 327 328 the leeward side. A further refinement region was defined very close to the train, that extended 1.5H ahead of the model, 30H behind the model, 1.5H from the top 329 330 of rail above the model, 1H from the centre of the track on the windward side and 2H from the centre of track on the leeward side. 331

Grid sensitivity tests were carried out at ten degrees yaw angle, and the side and
lift force coefficients for the two meshes are shown in table 1 below. There can
be seen to be little difference between the results of the two meshes, and thus
the medium mesh was used to produce the results that are presented below.

	C_S	C_L
Medium	0.528	0.059
Fine	0.521	0.059

349 2.5 Yaw angle ranges

350	Each of the experimental and computational methods that were used in this
351	investigation had limitations on the yaw angle range that could be considered.
352	The yaw angles for the full-scale tests were of course limited by the wind
353	conditions at the time of measurements, and hardly ever exceeded 15 degrees.
354	The wind tunnel experiments were the least restricted in yaw angle terms, but
355	were limited to angles below 50 degrees, as it was known in advance there
356	would be no high yaw angle data for comparison. The TRAIN Rig measurements
357	were limited by the speed of the Rig as it was required to keep the simulated
358	cross wind conditions constant. In effect for low yaw angles high rig speeds were
359	required and for low yaw angles low cross wind speeds. There are limitations on
360	both of these – at high model speeds the rig firing mechanism becomes
361	progressively more unreliable and difficult to use, and at low wind speeds it is
362	difficult to obtain repeatable model velocities from run to run. Thus results were
363	limited to yaw angles of between 15 and 30 degrees in five degree increments.
364	Even here it will be seen that it was not always possible to obtain full data sets
365	because of experimental issues. Finally, the CFD calculations were limited to runs
366	at 5, 10and 15 degrees, simply because of resource issues in the context of the
367	wider investigation.

368 **3. Flow field description – wind tunnel tests and CFD calculations**

369 Each of the experimental and computational methods that have been adopted in 370 this study have their own particular strengths and weaknesses. A major 371 advantage of the CFD methodology is the ability to visualize the entire flow field 372 around the train model. Figures 7 shows visualisations for the velocity and 373 vorticity fields for the four yaw angles studied – 0, 5, 10 and 15°. Figure 7a 374 shows three-dimensional views of velocity (normalized with train velocity and figure 7b shows iso-surface of the second invariant of the velocity gradient 375 376 tensor, Q. The positive values of Q indicate regions where the vorticity magnitude is greater than the rate of strain in the flow and thus flow vortices 377 378 exist. The development of inclined vortex structures in the wake can clearly be 379 seen in both figures. Such structures were first observed by Mair and Stewart 380 (1985), and form the basic flow pattern around high speed trains at low yaw 381 angles in low turbulence conditions. Figure 8 shows horizontal (figure 8a) and 382 vertical (figure 8b) cross section plots of velocity and pressure contours, 383 showing the low-pressure region in the lee of the train nose and in the centre of the vortex wake at the higher yaw angles. The velocity contour plots at 10m from 384 385 the train nose (figure 8b) show that the flow is attached over the roof of the 386 train, although there is very low pressure over the train roof at the higher yaw 387 angles. The complex, high velocity flows on the leeward side near the ground are 388 also very clear and show multiple centres of vorticity.

The wind tunnel results allow a visualization of the surface pressure field over a wider yaw angle range than the CFD results, and this is shown in figure 9 for yaw angles of up to 50°. As the yaw angles increase it can be seen that the high pressures on the windward walls and the suction over the roof become gradually 393 stronger. The suction occurs first at lower yaw angles around the nose, but then

Figure 7 CFD calculations of iso-surfaces of normalised velocity above 0.25 (top row) and second invariant of velocity gradient at Q=10000 (bottom row)

(a) Cross section 0.2m above TOR - contours of velocity (left) and pressure (right), showing development of inclined vortex wake as yaw angle increases – above the train in the figures

(b) Cross section 10m from nose - contours of velocity and pressure. The high velocities in the developing trailing vortex wake (on the left of the train profile) can be clearly seen as can the low pressure in the wake and over the top of the train.

Figure 8 Velocity and pressure contours

Figure 9 Crosswind pressures on train surface from wind tunnel results.

404 **4. Pressure and force coefficients from wind tunnel and TRAIN Rig model**

405 tests and CFD calculations

406 Figure 10 shows a comparison of the pressure coefficients for the wind tunnel, 407 TRAIN Rig and CFD results for yaw angles of 5, 10, 15, 20, 25 and 30° for the 408 position of the pressure taps on the NMT. Wind tunnel results are available at all 409 yaw angles, CFD results for angles of 5, 10 and 15° (which were chosen to best 410 match the NMT test range and to use the computer resources available to the 411 best effect), and TRAIN Rig results for angles of 15, 20, 25 and 30°. With regard 412 to the latter, it is not possible to measure lower or higher yaw angles on the 413 TRAIN rig, in the former case because this would require low cross wind speeds 414 for which the flow quality was poor, and in the latter case because this would 415 require low vehicle speeds which had poor levels of repeatability. Note that 416 complete results for the TRAIN Rig experiments are only shown for 20 and 25° 417 yaw – for the other yaw angles experimental difficulties resulted in no data being 418 collected for a number of pressure taps. In these figures, the values for the 419 distance of less than 2.5m are on the leeward side of the train, and the values 420 above 5.5m are on the windward side of the train, with the roof taps in the 421 intermediate region.

The agreement between the results can be seen to be extremely encouraging, particularly when the error limits outlined in section 2 are considered. The developing suction peak over the windward roof of the vehicle can be seen as yaw angle increases.

These surface pressures then enable the overall force coefficients to be obtained
through integration over the surface. The results for side and lift force
coefficients are shown in figure 11. The wind tunnel and CFD results can be seen

to be in reasonably good agreement (with the exception of the lift force at the
highest CFD yaw angle). Also shown is a best-fit line of the form suggested by
Baker (2013)

432
$$\frac{C_s(\psi)}{C_s(40)} = \left(\frac{\sin(\psi)}{\sin(40)}\right)^n$$
(7)

Here the best-fit value of *n* was found to be around 1.3. This is typical of blunt ended trains. At first sight this might appear surprising as the Class 43 front end has a streamlined appearance. Nonetheless it also has quite sharp edges, which presumably cause local separations and effectively make it aerodynamically blunt. The value of the coefficients at 40° are also within the range of the trains studied by Baker (2013).

439 Figure 12 shows a comparison of the side force per unit length around the loop 440 at the position of the pressure taps on the NMT, for the wind tunnel, TRAIN Rig 441 and CFD results. Note that these do not contain any contribution from the flow 442 beneath the train, but for side force coefficients, as noted above, the analysis of 443 Gallagher suggests that the effect is small. It can be seen that there is good 444 agreement between the results of the different techniques. The TRAIN Rig 445 results are restricted to yaw angles of 20 and 25° for the reason set out above. 446 The absolute values of the results per unit length are somewhat below the 447 absolute values for the overall results. As both sets of results are based on a representative side area (60m² for the overall results and the body height 448 multiplied by 1.0m for the results per unit length) this implies that the 449 450 contribution to the overall force coefficient by the loop around which the 451 measurements have been made is less than the average. This is not unexpected, 452 as the suction peak shown in the flow visualisations in the nose region indicates that this region will be producing a greater force per unit length than the regionaround the NMT loop.

Thus it can be concluded that the two physical modeling techniques and the CFD calculations produce results that agree well with each other. In the next section, where we move on to consider the NMT results, for the sake of clarity, we will only compare these with the wind tunnel results.

Figure 12 Side force coefficient per unit length from wind tunnel and TRAIN Rig measurements and CFD calculations

Yaw angle (degrees)

479 **5.** Force and pressure measurements from the NMT experiments

480 **5.1 Calculation of yaw angle**

As set out in section 2, the use of an operating train to obtain the full-scale 481 482 measurements inevitably meant that some compromises on instrumentation 483 were required. Firstly the Pitot tube at the front of the train could not extend 484 beyond the nose of the train for safety reasons. This probe position was thus 485 calibrated in a wind tunnel, and a correction factor to give the air speed relative to the train *V* of 2.1 was obtained. This was found to be constant with yaw angle 486 487 up to 15 degrees, and was confirmed by a comparison of the full-scale train velocity using this calibration and the GPS velocity over a wide range of train 488 489 speeds (Gallagher (2016)). Also the use of a Pitot tube for the determination of 490 air velocity restricts the results to yaw angles of ±15°. It will be seen below that 491 all the results obtained fell well within this yaw angle range. Secondly the yaw 492 angle was obtained from a calibration based on the nose pressure taps, in which the parameter R defined in equation (4), was related to yaw angle ψ . This 493 494 calibration was found from the TRAIN Rig experiments (as these were felt to be 495 more realistic in this regard than the wind tunnel measurements due to the 496 better vehicle / ground simulation) and was given by the equation

497
$$\psi = 5.28R^3 - 15.01R^2 + 33.77R$$

498 Note that this calibration was obtained for yaw angles of greater than 15 499 degrees, and extrapolated to lower yaw angles – an obvious mismatch with the 500 Pitot tube results. Similar measurements in the wind tunnel, over a yaw angle 501 range from 0 to 30 gave a calibration that was identical in form to equation (8) 502 although with different numerical values, which gives some confidence in the

- 503 low yaw angle range. The yaw angle values thus obtained will be used in the two
- analyses that follow for one-second gusts and for transient gusts.
- 505 Once ψ and *V* have been determined, the wind speed *u* and wind direction β can
- 506 be calculated from figure 1 as

507
$$u^2 = V^2 + v^2 - 2Vv\cos(\psi)$$
 (9)

508
$$\tan(\beta) = \frac{V\sin(\psi)}{V\cos(\psi) - \nu}$$
(10)

- 509 **5.2 Analysis of one-second average values**
- As outlined in section 2, one-second values of yaw angle and surface pressurecoefficients were thus obtained for the following conditions.
- the instrumented power car leading;
- train speeds *v* of greater than 20m/s;
- head wind conditions only (i.e. $-90^{\circ} < \beta < 90^{\circ}$);
- wind speed *u* greater than 4m/s.

516 This gave a total of 3327 samples from the 21-month experimental period. The 517 location of these points obtained from the GPS co-ordinates are shown in figure 518 13, and they can be seen to have been obtained at a wide range of locations 519 across the Great Britain railway network. The range of train speeds, wind speeds and wind directions are also shown. In what follows we will first consider the 520 521 side force coefficient per unit length, and will then look in more detail at the 522 pressure coefficients around the measurement loop. As all the experimental and 523 computational results are similar, for clarity comparisons with the NMT data will 524 only be made using the wind tunnel results.

525 Firstly however it should be remembered that the data that was obtained came

526 from a train under normal operating conditions i.e. not on a test track. As such it

527 will have experienced a wide range of wind conditions, particularly in terms of 528 atmospheric stability, wind speed and turbulence intensity and length scale. Data 529 will also have been obtained for a range of track topographies - on 530 embankments and in partial or full cuttings; in rural and urban environments 531 and so on. Also the one-second data can represent both equilibrium and 532 transient situations, and the flow around the train may be in either a developing 533 or equilibrium state. Thus the data cannot be expected to be clean, but it does 534 nonetheless represent an operational reality.

535 Figure 14 shows a plot of the side force per unit length against yaw angle for all 536 measured data. Most of the results can be seen to be general consistent with the 537 wind tunnel results, but there is a large scatter in the results. Whilst some of this 538 may be due to experimental methodology (Pitot tube and yaw angle calibration 539 in particular), the scatter is reminiscent of that shown in figure 1 for the Pendine 540 and TRANSAERO experiments, and that found in many full-scale studies of wind 541 loads on buildings and road vehicles (see Richards et al (1995), Quinn et al 542 (2008)) and reflects the unsteady and complex nature of real world flows around 543 trains, due to atmospheric unsteadiness and due to the transient nature of these 544 flows, with overall forces being transient in both temporal and spatial terms and 545 not fully coherent across the train. This point will be discussed further below.

That being said, there is also a possible systematic bias to the data – in particular the positive values of the side force coefficient per unit length for negative yaw angles in the top left corner of the graph. The GPS locations of these data points were investigated individually, and the large majority were found to be at locations where there was a barrier of some sort on the leeward side of the train – trees, cutting side etc.. Because trains in the UK generally run on the left, this was always on the left hand side of the track in the train direction of travel.
When the flow was from the right side of the track (by convention a negative value) the leeside sheltering seems to have resulted in a small side force in the direction opposite to the wind direction. Whilst this data may be regarded as spurious, it is nonetheless a real effect experienced by trains in operational conditions.

558 In order to investigate the effect of the data sampling criteria used above, the data was analysed for different train velocity, wind velocity and wind direction 559 560 cut off conditions. Assessing the effects of these changes is not wholly 561 straightforward as the scatter in the data is likely to have a random component 562 due to environmental conditions and a deterministic component due to track 563 topography as outlined above. As a surrogate for the overall random scatter we 564 use the standard deviation in side force coefficient per unit length for yaw angles 565 between 4° and 6°. The sensitivity of this parameter to the sampling conditions 566 is shown in table 2 below. It can be seen that as the train velocity cutoff is 567 increased, the standard deviation falls, particularly in the higher speed range 568 (although note there is also a fall in the number of samples). The standard 569 deviation does not fall however as the wind speed cut off is increased, and 570 reducing the wind angle range actually causes an increase in standard deviation. 571 This rather simplistic analysis suggests that at least the random component of 572 scatter is primarily due to local wind fluctuations, as these will have less effect 573 on the side force coefficient values as the train speed increases. On the basis of 574 this result, a 50m/s lower train speed has been applied to the complete dataset, 575 and the variation of side force coefficient per unit length with yaw angle is 576 shown in figure 15. The number of data points has been reduced to 258. The

variation with yaw angle can be seen to be much more clearly defined and close
to the wind tunnel test results, although the positive values of coefficient at
negative yaw angles can still be seen.

580 The average pressure coefficients for all the data are compared with the wind tunnel data for yaw angle bands of $4^{\circ} < \psi < 6^{\circ}$ and $8^{\circ} < \psi < 12^{\circ}$ in figure 16a 581 (denoted as the 5° and 10° cases respectively) and $-4^{\circ}>\psi > -6^{\circ}$ and $-8^{\circ}>\psi > -12^{\circ}$ 582 in figure 16b (-5° and -10° cases). These ranges were chosen to give a 583 584 reasonable number of data points in each range. The NMT data is shown with the 585 mean values and the average standard deviation is also shown as vertical bars. There can be seen to be reasonable agreement between the two data sets, 586 587 particularly when the full-scale standard deviations, and the errors outlined in 588 section 2 are taken into account. The major deviation is around the windward 589 roof edge, where there the NMT values have a consistently higher magnitude 590 than the wind tunnel values.

Figure 13 NMT one second average measurement locations and conditions (train speed cut off of 20m/s; wind speed cut off of 4m/s)

597 Figure 14 NMT side force coefficient per unit length against yaw angle (all data)
 598

619 Table 2 Effect of sampling parameters on standard deviations of side force 620 coefficients per unit length for yaw angles between 4° and 6°.

-		-	
~	~	4	
h	2		
v	_	-	

<i>v</i> (m/s)	20	30	40	50
SD	0.0136	0.0123	0.0122	0.0074
Samples	225	195	170	44
<i>u</i> (m/s)	4	4.5	5	5.5
SD	0.0136	0.0135	0.0129	0.0129
Samples	225	116	56	40
β (degrees)	90	75	60	45
SD	0.0136	0.0135	0.0147	0.0169
Samples	225	157	106	50

622 **5. Transient full-scale measurements**

623 The second type of analysis that was carried out using the NMT data was to 624 study the build up of side force during sudden gust events. The full dataset was 625 interrogated to identify segments of data where the yaw angle increased from 626 near zero to a maximum value of over 5 degrees within two seconds, and then 627 fell to a value near zero. Only data for vehicle speeds greater than 20m/s and 628 wind speeds greater than 4m/s was accepted. This resulted in 220 datasets of lengths varying from 6 to 30 seconds. The geographical location of these data 629 630 sets and the range of train speeds, wind speeds and wind directions are shown in 631 figure 17. As with the earlier analysis, it can be seen that there is a wide 632 geographical spread of data.

633 As a first step in the analysis the correlations between the time series of yaw 634 angle and side force coefficient per unit length were calculated. The correlation 635 coefficients are shown in figure 18. It can be seen that the majority of the 636 coefficient are in the range of 0.5 to 1.0, but there are a number that are 637 significantly below this, or even negative. This effect was investigated on a gust-638 by-gust basis and a small subset of the data is shown in figure 19 for a range of 639 correlation coefficients. This shows the yaw angle and wind time series and a 640 satellite picture of the measurement site. Essentially, the more complex the 641 geometry surrounding the site, the lower the correlation between yaw angle and 642 side force coefficient per unit length. This is of course quite reasonable and 643 illustrates the significant effect of local topography / ground cover on the flow around trains as discussed above. Figure 20 shows a similar figure for three 644 645 datasets with high correlation coefficients. These can all be seen to be from data 646 obtained at relatively clean rural environments, with the gusts being caused by

647 the train emerging from localized cover. This is quite consistent with the analysis648 of the one second gusts presented above.

649 In the same way as with the earlier analysis, the maximum side force coefficient 650 per unit length measured on the NMT in each gust event can be plotted against 651 the maximum yaw angle in each event. This is shown in figure 21. Figure 21a 652 shows the results for all datasets and the results are similar to those of figure 15, 653 although extend over a rather greater yaw angle range due to maximum rather than average value of yaw angle being used in the figures. There is a noticeable 654 655 increase in the magnitude of the coefficients for the higher magnitude yaw angles - possibly because of the fact that the Pitot tube will give low values of 656 657 velocity at these yaw angles, and thus higher values of the coefficients. Figures 658 21b shows only the data for which the correlation coefficient is greater than 0.7. 659 This shows a better agreement with the wind tunnel data. Finally figure 21c 660 shows a similar result, but for data with a correlation coefficient of greater than 661 0.9. Here all the outlying data points have been lost, and there is excellent 662 agreement with the wind tunnel data. Note however there are only 42 points 663 plotted in figure 20c i.e. only around 20% of the gust events show a high level of 664 correlation between yaw angle and side force coefficient per unit length around 665 the measurement loop on the NMT. This lack of correlation in most of the data 666 may well explain much of the scatter found in the earlier analysis of one-second 667 values.

Figure 17 NMT gust measurement locations and conditions for gust analysis (vehicle speed cut off of 20m/s; yaw angle cut off of 5 degrees)

- 671
 672 Figure 18 Correlation between yaw angle and side force coefficient per unit
 673 length time histories for gust analysis datasets.
- 674
- 675

Figure 19 Gusts analysis for a range of correlation coefficients

Figure 20 Gust analysis for datasets with high correlation coefficients and yaw angles between 9 and 11 degrees.

690 **6. Conclusions**

691 From what has been presented in earlier sections, the following main692 conclusions can be drawn.

The flow field around the Class 43 train revealed by CFD calculations and
 wind tunnel surface pressure measurements, is similar to that that has
 been measured in the past on other trains, with longitudinal wake
 vorticity and a suction peak around the nose of the train.

The two physical modeling measurement techniques (stationary wind tunnel tests and moving model TRAIN Rig tests) and the DDES CFD simulations all give values of the aerodynamic pressure and force coefficients per unit length that are very similar to one another.

The use of the NMT to obtain full-scale experimental data for cross wind
 effects has been broadly successful, although the data requires careful
 analysis to reveal the nature of the flow around the train.

The analysis of one second gust values of side force coefficient per unit
 length revealed considerable scatter due to both random unsteadiness in
 the wind, and also due to the proximity of barriers to the movement of the
 flow on the near side of the train (such as trees / cuttings etc.). This
 scatter was much reduced by only using data for high train velocities.

In general, this analysis showed that the average values of the NMT data
 and the wind tunnel data (and thus the TRAIN Rig and CFD data) for
 pressure and side force coefficients are in reasonable agreement, over the
 rather restricted yaw angle range of the full-scale data.

An analysis of sudden gust events was carried out. There was a large
 range of correlation values between the measured yaw angle and side

force coefficient time histories. The correlation decreased as the topography around the track became more complex and urbanised. High correlation coefficients occurred when the topography of the surrounding area is simple with few obstructions. For the gust events with high correlations, there was a well-defined side force coefficient with yaw angle curve that lay close to the wind tunnel results.

These results strongly suggest that the results of physical and computational modeling techniques, whilst predicting the average values of the force coefficients quite well, should be viewed with some circumspection and can only properly be regarded as an approximation to a highly complex reality.

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