

## Surface EMG amplitude does not identify differences in neural drive to synergistic muscles

Martinez-Valdes, Eduardo; Negro, Francesco; Falla, Deborah; De Nunzio, Alessandro Marco; Farina, Dario

DOI:

[10.1152/jappphysiol.01115.2017](https://doi.org/10.1152/jappphysiol.01115.2017)

License:

None: All rights reserved

*Document Version*

Peer reviewed version

*Citation for published version (Harvard):*

Martinez-Valdes, E, Negro, F, Falla, D, De Nunzio, AM & Farina, D 2018, 'Surface EMG amplitude does not identify differences in neural drive to synergistic muscles', *Journal of Applied Physiology*, vol. 124, no. 4, pp. 1071-1079. <https://doi.org/10.1152/jappphysiol.01115.2017>

[Link to publication on Research at Birmingham portal](#)

**Publisher Rights Statement:**

Published in *Journal of Applied Physiology* on 08/02/2018

DOI: [10.1152/jappphysiol.01115.2017](https://doi.org/10.1152/jappphysiol.01115.2017)

**General rights**

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

- Users may freely distribute the URL that is used to identify this publication.
- Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.
- User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?)
- Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

**Take down policy**

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact [UBIRA@lists.bham.ac.uk](mailto:UBIRA@lists.bham.ac.uk) providing details and we will remove access to the work immediately and investigate.

1 **Surface EMG amplitude does not identify differences in neural drive to synergistic**  
2 **muscles**

3  
4 Eduardo Martinez-Valdes<sup>1,2,3</sup>, Francesco Negro<sup>4</sup>, Deborah Falla<sup>1</sup>, Alessandro De Nunzio<sup>1</sup>,  
5 Dario Farina<sup>5</sup>  
6

7 1- Centre of Precision Rehabilitation for Spinal Pain (CPR Spine), School of Sport,  
8 Exercise and Rehabilitation Sciences, College of Life and Environmental  
9 Sciences, University of Birmingham, Birmingham, UK

10 2- Department of Sports Medicine and Sports Orthopaedics, University of Potsdam,  
11 Potsdam, Germany

12 3- Centro de Investigación en Fisiología del Ejercicio (CIFE), Universidad Mayor,  
13 Santiago, Chile

14 4- Department of Clinical and Experimental Sciences, Università degli Studi di Brescia,  
15 Brescia, Italy

16 5- Department of Bioengineering, Imperial College London, Royal School of Mines,  
17 London, UK  
18  
19  
20

21 **Running Head:**

22 Motor unit size and EMG of synergistic muscles  
23

24 **Corresponding author:**

25 Dario Farina

26 Department of Bioengineering, Imperial College London, London, UK. Tel: +44 (0) 20 759  
27 41387, Email: d.farina@imperial.ac.uk  
28

29 **Key words**

30 Surface electromyography; Motor unit; amplitude; motor unit action potential; high-density  
31 surface EMG: synergistic muscles  
32  
33  
34

35 **ABSTRACT**

36

37 Surface electromyographic (EMG) signal amplitude is typically used to compare the neural  
38 drive to muscles. We experimentally investigated this association by studying the motor unit  
39 (MU) behavior and action potentials in the vastus medialis (VM) and vastus lateralis (VL)  
40 muscles. Eighteen participants performed isometric knee extensions at four target torques  
41 [10, 30, 50 and 70% of the maximum torque (MVC)] while high-density EMG signals were  
42 recorded from the VM and VL. The absolute EMG amplitude was greater for VM than VL  
43 ( $p < 0.001$ ) while the EMG amplitude normalized with respect to MVC was greater for VL  
44 than VM ( $p < 0.04$ ). Because differences in EMG amplitude can be due to both differences in  
45 the neural drive and in the size of the MU action potentials, we indirectly inferred the neural  
46 drives received by the two muscles by estimating the synaptic inputs received by the  
47 corresponding motor neuron pools. For this purpose, we analyzed the increase in discharge  
48 rate from recruitment to target torque for motor units matched by recruitment threshold in the  
49 two muscles. This analysis indicated that the two muscles received similar levels of neural  
50 drive. Nonetheless, the size of the MU action potentials was greater for VM than VL  
51 ( $p < 0.001$ ) and this difference explained most of the differences in EMG amplitude between  
52 the two muscles (~63% of explained variance). These results indicate that EMG amplitude,  
53 even following normalization, does not reflect the neural drive to synergistic muscles.  
54 Moreover, absolute EMG amplitude is mainly explained by the size of MU action potentials.

55

56 **New and Noteworthy**

57 EMG amplitude is widely used to indirectly compare the strength of neural drive received by  
58 synergistic muscles. However, there are no studies validating this approach with motor unit  
59 data. Here, we compared between-muscles differences in surface EMG amplitude and motor  
60 unit behavior. The results clarify the limitations of surface EMG to interpret differences in  
61 neural drive between muscles.

62

63

64

65

66

67

68

## 69 INTRODUCTION

70

71 Surface electromyography (EMG) amplitude depends on the level of muscle activation  
72 (number of muscle fiber action potentials) and it is typically used to infer the strength of  
73 neural drive (number of motor neuron action potentials) received by muscles (6). Changes in  
74 the relative activations of synergistic muscles are believed to be associated to the  
75 development of musculoskeletal disorders (19). For example, researchers argue that  
76 pathologies such as patellofemoral joint pain and Achilles tendinopathy might occur due to  
77 misbalanced activation of the vasti and calf muscles, respectively (17, 19). For patellofemoral  
78 joint pain, it is assumed that a greater activation of the vastus lateralis (VL) compared to the  
79 vastus medialis (VM) muscle induces a lateral shift of the patella, leading to misalignment of  
80 the patellofemoral joint (17, 19). Although these explanations seem plausible, there is still no  
81 consensus in the literature (7, 31), mainly because of limitations of surface EMG amplitude in  
82 measuring the neural drive (6). While normalization of EMG amplitude with respect to its  
83 value during a maximal voluntary contraction (MVC) may increase reliability when  
84 comparing between subjects (4), normalization may cancel out changes in muscle activation  
85 following, e.g., training interventions. It has been recently shown that high-density EMG  
86 (HDEMG) systems provide more reliable estimates of signal amplitude without the need for  
87 normalization (14, 34). This is possible due to the large number of observation sites (tens of  
88 electrodes) over the muscle belly that compensate for the variability of EMG with electrode  
89 location. However, the use of several electrodes does not solve the problem of comparing  
90 between muscles and subjects.

91 In addition to the neural drive to the muscle, EMG amplitude estimates are influenced by  
92 several other factors, such as muscle architecture, geometry, EMG crosstalk, and  
93 subcutaneous tissue thickness (11). Although normalization could help to improve between-  
94 muscle amplitude estimates, it is still not known if such measures really reflect differences in  
95 neural drive to the muscles. The direct way to access the neural drive to muscles is by motor  
96 unit recordings. Recent research has shown the possibility to identify large populations of  
97 motor units non-invasively, with HDEMG (25, 27). However, even sampling relatively large  
98 numbers of motor units, it is not possible to directly compare the strength of the neural drive  
99 to different muscles since the decomposition cannot identify the entire pool of active motor  
100 units. Rather, the number of decomposed motor units varies among muscles, with a weak  
101 relation with the actual number of active units. For this reason, in this study we propose a  
102 way to indirectly infer differences in neural drive between muscles by estimating the synaptic

103 inputs received by their motor neuron pools. Assuming similar intrinsic properties of the  
104 motor neurons between the muscles, we analyzed the increase in discharge rate from  
105 recruitment to target torque for motor units matched by recruitment threshold in the two  
106 muscles. Differences in the increase of discharge rate for motor units with the same  
107 recruitment thresholds would indicate differences in synaptic input received by the  
108 corresponding motor neurons and therefore differences in the generated neural drive to the  
109 muscles. In addition, we estimated the amplitude of the individual motor unit action  
110 potentials to examine the associations between interference EMG amplitude and either motor  
111 unit action potential size or neural drive. Therefore, the aim of the study was to assess the  
112 strengths of neural drives received by VM and VL muscles and investigate their relations  
113 with EMG amplitude. We hypothesized that differences in EMG amplitude between VM and  
114 VL muscles would be largely determined by the size of the motor unit action potentials  
115 (MUAPs) rather than differences in neural drive to the two muscles, and that normalization  
116 would not completely compensate for this influence.

117

## 118 **MATERIALS AND METHODS**

### 119 **Participants**

120 Eighteen healthy and physically active men (mean (SD) age: 29 (3) years, height: 178 (6) cm,  
121 mass: 79 (9) kg) were recruited. None of the participants reported any history of  
122 neuromuscular disorders or previous lower limb surgery. Subjects were asked to avoid any  
123 strenuous activity 24 h prior to the measurements. The ethics committee of the Universität  
124 Potsdam approved the study (approval number 26/2015), in accordance with the declaration  
125 of Helsinki (2004). All participants gave written, informed consent.

### 126 **Experimental protocol**

127 The participants performed submaximal and maximal knee extension contractions on an  
128 isokinetic dynamometer (CON-TREX MJ, PHYSIOMED, Regensdorf, Switzerland). The  
129 isometric knee extensions were exerted with the knee flexed to 90°. After placement of the  
130 surface EMG electrodes (see Data acquisition), subjects performed three maximal voluntary  
131 contractions (MVC) of knee extension each over a period of 5 s. Each of these trials was  
132 separated by 2 min of rest. The highest MVC value served as a reference to define the  
133 submaximal torque levels. After 5 min of rest, and following familiarization trials at low  
134 torque levels (10 and 30% MVC), subjects performed submaximal isometric knee extension  
135 contractions at 10, 30, 50 and 70% MVC in random order. Contractions at 10 and 30% MVC  
136 were maintained for 20 s, while the contractions at 50 and 70% MVC were sustained for 15

137 and 10 s respectively. In each trial, the participants received visual feedback of the torque  
138 applied by the leg to the dynamometer, which was displayed as a trapezoid (5 s ramps with  
139 hold-phase durations as specified above). Each contraction level was performed twice with a  
140 rest of 2 min following each contraction.

#### 141 Data Acquisition

142 The surface EMG signals of VM and VL were recorded in monopolar derivation with a two-  
143 dimensional adhesive grid (SPES Medica, Salerno, Italy) of  $13 \times 5$  equally spaced electrodes  
144 (1 mm diameter, inter-electrode distance of 8 mm). EMG signals were initially recorded  
145 during a brief voluntary contraction during which a linear non-adhesive dry electrode array of  
146 8 silver-bar electrodes (1-mm diameter, 5-mm length, 5 mm interelectrode distance; SA 8/5,  
147 OT Bioelettronica, Torino, Italy) was moved over the skin to detect the location of the  
148 innervation zone and tendon regions (23). After the skin was shaved and cleansed with  
149 abrasion and water, the electrode cavities of the grids were filled with conductive paste  
150 (SPES Medica, Salerno, Italy). Grids were positioned between the proximal and distal  
151 tendons of the VM and VL muscles with the electrode columns (comprising 13 electrodes)  
152 oriented along the muscle fibers. Therefore, the VM grid was positioned  $\sim 50^\circ$  with respect to  
153 a line between the anterior superior iliac spine and the medial side of the patella while the VL  
154 grid was positioned  $\sim 30^\circ$  with respect to a line between the anterior superior iliac spine and  
155 the lateral side of the patella ((1, 22, 24, 25) (Figure 1). Reference electrodes were positioned  
156 over the malleoli and patella of the dominant leg.

157 EMG and torque signals were sampled at 2048 Hz and converted to digital data by a 12-bit  
158 analogue to digital converter (EMG-USB 2, 256-channel EMG amplifier, OT Bioelettronica,  
159 Torino, Italy, 3dB, bandwidth 10-500 Hz). EMG signals were amplified by a factor of 2000,  
160 1000, 500, 500 and 500 for the 10, 30, 50, 70 and 100% MVC contractions, respectively.  
161 Data were analysed offline using Matlab (The Mathworks Inc., Natick, Massachusetts, USA).  
162 The 64-monopolar EMG channels were re-referenced offline to form 59 bipolar channels as  
163 the differences between adjacent electrodes in the direction of the muscle fibers.

#### 164 Signal analysis

165 *Motor unit analysis.* The EMG signals recorded during the submaximal isometric  
166 contractions (from 10 to 70% MVC) were decomposed offline with a method that has  
167 undergone extensive validation (28). The accuracy of the decomposition was tested with the  
168 silhouette measure, which was set to  $\geq 0.90$  (28). The signals were decomposed throughout  
169 the whole duration of the submaximal contractions and the discharge times of the identified  
170 motor units were converted into binary spike trains. The mean discharge rate and discharge

171 rate variability (coefficient of variation of the inter-spike-interval, CoVisi), were calculated  
172 during the stable plateau torque region. Discharge rate at recruitment was calculated using the  
173 first six discharges of the motor units (9). The motor unit recruitment threshold was defined  
174 as the knee extension torque (%MVC) at the time when the motor unit began discharging  
175 action potentials. Discharges that were separated from the next by  $<33.3$  ms or  $>200$  ms (30  
176 and 5 Hz, respectively) were discarded from the mean discharge rate and CoVisi calculation  
177 since such discharges are usually considered decomposition errors (24). Motor unit  
178 conduction velocity (MUCV) was measured from a minimum of three to a maximum of nine  
179 double-differential channels (manual selection) (25). Channels that had the clearest  
180 propagation of MUAPs, with the highest amplitude in the columns of the grid and a  
181 coefficient of correlation between channels  $\geq 0.9$ , were selected for further analysis. Finally,  
182 the amplitude of the MUAPs was calculated as the MUAP RMS averaged over all channels  
183 of the grid (MURMS). VM and VL motor units were matched by their recruitment threshold  
184 with a tolerance of  $\pm 0.5\%$  MVC. The matched motor units were then grouped in four classes,  
185 according to their recruitment thresholds ([0-10] % MVC, [10-30] % MVC, [30-50] % MVC,  
186 [50-70] % MVC).

187 The discharge rate of motor units with the same recruitment thresholds (i.e., with a difference  
188 in threshold  $<0.5\%$  MVC) in the two muscles was used as a measure to compare the synaptic  
189 inputs received by the pools of motor neurons. This measure corresponds to the increase in  
190 discharge rate from recruitment to the target torque relative to the increase in torque from the  
191 recruitment threshold [target torque (10, 30, 50 and 70% MVC) – recruitment threshold  
192 torque]. A difference in the relative rate of increase in discharge rate between motor units in  
193 the two muscles indicates differences in synaptic input received by the motor neuron pools of  
194 the two muscles. It was then assumed that the neural drive to the muscles depended on the  
195 synaptic input.

196 *Interference EMG.* The root mean square values (RMS) obtained from submaximal and  
197 maximal contractions, were averaged over all channels of the electrode grid (22). During the  
198 submaximal isometric contractions, the RMS was computed from the HDEMG signals in  
199 intervals of 1 s. These values were extracted from the stable-torque region of the contractions  
200 (e.g., hold-phase of 15 seconds at 50% MVC). RMSs of the maximal (MVC) contractions  
201 were analyzed in a time window of 250 ms centered at the peak EMG activity (22). The  
202 average conduction velocity (referred in the following as muscle fiber conduction velocity)  
203 was calculated from the interference EMG in double differential derivations obtained along  
204 the fiber direction (columns of the grid). In order to maximize the accuracy of muscle fiber

205 conduction velocity estimates, three contiguous columns with four to six channels with the  
206 highest cross-correlation in propagation were selected (10). Muscle fiber conduction velocity  
207 estimation was obtained with a multichannel maximum-likelihood algorithm that was  
208 previously shown to provide accurate estimates (standard deviation  $<0.1$  ms) (13).

209 *Amplitude normalization.* Both absolute RMS and MURMS were normalized to the RMS  
210 value obtained during the MVC in order to analyze the effects of normalization on  
211 submaximal RMS amplitude of the interference EMG (absolute RMS) as well as on MURMS  
212 between muscles.

213

#### 214 Statistical Analysis

215 The Shapiro-Wilk test was used to check the normality of all variables. Sphericity was  
216 checked by the Mauchly's test and if violated, the Greenhouse-Geisser correction was  
217 applied to the degrees of freedom. Statistical significance was set at  $p < 0.05$ . Results are  
218 expressed as mean and standard deviation (SD).

219 EMG (absolute RMS, normalized RMS and muscle fiber conduction velocity) and motor unit  
220 variables (MURMS, discharge rate, CoVisi, motor unit conduction velocity and normalized  
221 MURMS) were compared between muscles at each torque level with a two-way repeated  
222 measures analysis of variance (ANOVA) with factors muscle (VM and VL) and torque (10,  
223 30, 50 and 70% MVC). When the repeated measures ANOVA was significant, pairwise  
224 comparisons were performed with a Student-Newman-Keuls (SNK) post-hoc test. Linear  
225 regression was used to characterize the association for each motor unit between the  
226 differences in discharge rate at the target torque (mean discharge rate at 10, 30, 50 and 70%  
227 MVC) and at recruitment (calculated from the first 6 motor unit discharges) and between the  
228 target torque (10, 30, 50 and 70% MVC) and motor unit recruitment threshold. The slopes of  
229 these linear regressions were compared between the two muscles by analysis of covariance  
230 (ANCOVA) (35). The same analysis was applied to VM and VL MURMS vs. recruitment  
231 threshold.

232 Finally, a multiple linear regression (stepwise) analysis was performed on EMG/motor unit  
233 parameters to identify the variables that predicted the differences between VM and VL  
234 absolute RMS. Therefore, the percent (%) difference in absolute RMS between VM and VL  
235 was used as the predictor variable and the % differences in MU behavior/properties were  
236 regarded as independent variables. Each torque level was analyzed independently (e.g.  
237 absolute RMS % difference between VM and VL at 30% MVC was compared with motor  
238 unit variables obtained at the same torque level). The partial eta-squared ( $\eta^2$ ) for ANOVA



239 was used to examine the effect size of the differences between EMG and motor unit  
240 parameters between muscles. A  $\eta^2$  less than 0.06 was classified as “small”, 0.07-0.14 as  
241 “moderate”, and greater than 0.14 as “large” (5).

242

## 243 **RESULTS**

244

### 245 Interference EMG

246 Absolute RMS (Figure 2a) was significantly greater for VM than VL at 30, 50 and 70%  
247 MVC (interaction: muscle-torque,  $p < 0.0001$ ,  $\eta^2 = 0.79$ ). However, muscle fiber conduction  
248 velocity (Figure 2b) was similar for the two muscles (interaction: muscle-torque,  $p = 0.96$ ,  
249  $\eta^2 = 0.019$ ).

250

### 251 Decomposed motor unit populations

252 The average number of motor units accurately identified (with a  $SIL \geq 0.90$ ) per subject at  
253 each torque level was 8 (0.7) and 7 (1.2) in VM and VL, respectively.

254 According to their recruitment threshold, 340 motor units were matched between VM and  
255 VL. Per subject, an average of 6.2 (3.0), 5.0 (2.5), 5.7 (2.8) and 3.3 (2.0) motor units were  
256 matched between VM and VL at 10, 30, 50 and 70% MVC, respectively. The average  
257 recruitment threshold of the matched motor units at 10, 30, 50 and 70% MVC was 7.5, 23.3,  
258 38.2 and 56.2% MVC, respectively. Figure 3 shows the histograms of the number of matched  
259 motor units according to their recruitment thresholds.

260

### 261 Discharge rate and discharge rate variability

262 The mean motor unit discharge rate (at target torque) of VM was greater than for VL motor  
263 units as revealed by a significant effect of muscle ( $p = 0.009$ ,  $\eta^2 = 0.38$ ) (Figure 4). However,  
264 the regression lines of delta discharge rate [mean discharge rate at target torque – discharge  
265 rate at recruitment] vs. delta torque [target torque – recruitment threshold] were not different  
266 between muscles (slope of the regression lines,  $p > 0.35$ , intercepts,  $p > 0.08$ ) at all target  
267 torques (10, 30, 50 and 70% MVC) (Figure 5). Finally, there was no difference in discharge  
268 rate variability between muscles as CoVisi (Figure 6) remained similar at all torque levels  
269 (interaction: muscle-torque,  $p = 0.4$ ,  $\eta^2 = 0.07$ ).

270

### 271 Size and conduction velocity of MUAPs

272 MURMS (Figure 7a) was significantly greater for VM than VL at 30, 50 and 70% MVC  
273 (interaction: muscle-torque,  $p < 0.0001$ ,  $\eta^2 = 0.57$ ). Moreover, MURMS increased at a greater  
274 rate with recruitment threshold for VM than for VL ( $p < 0.0001$ , Figure 7b). Motor unit  
275 conduction velocity (Figure 8) was significantly higher at 70% MVC for VM than VL  
276 (interaction: muscle-torque,  $p = 0.023$ ,  $\eta^2 = 0.46$ ).

277

#### 278 Multiple linear regression

279 Motor unit variables that significantly differed between muscles were entered into the  
280 multiple linear regression analysis to explain the differences in absolute EMG amplitude  
281 between muscles. Therefore, the difference (%) in VM-VL MURMS, discharge rate, and  
282 motor unit conduction velocity were regarded as independent variables. Table 1 reports the  
283 results of the multiple regression. At 10% MVC, only MURMS was entered in the model,  
284 explaining 71% of the variance for the difference (%) in VM-VL absolute RMS. At 30%,  
285 both MURMS and discharge rate entered in the model, however MURMS explained most of  
286 the variance (53% MURMS vs. 13.2% for discharge rate). Similar results were obtained at  
287 50% MVC where MURMS explained 72% of the difference between VM-VL absolute RMS,  
288 with discharge rate only explaining 7.7% of the variance. Finally, at 70% MVC, only  
289 MURMS was entered in the model, explaining 57% of the %difference in VM-VL absolute  
290 RMS.

291

#### 292 Normalized amplitude

293 Normalized RMS (Figure 9) showed systematically higher values for VL across all torque  
294 levels (effect: muscle,  $p = 0.039$ ,  $\eta^2 = 0.23$ ). Conversely, normalized MURMS did not show  
295 any difference between muscles at any torque level (effect: muscle,  $p = 0.46$ ,  $\eta^2 = 0.04$ ,  
296 interaction: torque-muscle,  $p = 0.12$ ,  $\eta^2 = 0.11$ ).

297

## 298 DISCUSSION

299

300 This study shows that differences in EMG amplitude between synergistic muscles are mostly  
301 explained by differences in MUAP size (MURMS), with little influence of other motor unit  
302 properties. Moreover, EMG normalization does not provide clear explanation of differences  
303 in muscle activation between the vasti. The observed differences in EMG amplitude between  
304 muscles (in absolute values or normalized) contrasted with the similar neural drive estimated  
305 for VM and VL. Taken together, the results suggest that EMG amplitude (in absolute values

306 or normalized) should not be used to infer differences in neural drive between synergistic  
307 muscles.

308

309 Neural drive to VM and VL muscles

310 Due to current limitations in EMG decomposition, it is not possible to identify the full  
311 populations of active motor units. For this reason, the neural drives cannot be directly  
312 compared between muscles. We compensated for this limitation by an indirect assessment of  
313 the strength of the neural drive. Matching synergistic muscles motor units by recruitment  
314 threshold allows a direct comparison of motor unit discharge rates across muscles. Because  
315 the discharge rate depends on the torque relative to the recruitment threshold, we focused on  
316 the rate of change in discharge rate (mean discharge rate at target torque – discharge rate at  
317 recruitment) with respect to the difference between exerted torque (10, 30, 50 or 70% MVC)  
318 and recruitment threshold across the decomposed motor unit populations. This analysis  
319 provides an estimate of the synaptic input received by the motor neuron pools of VM and VL,  
320 since discharge rates indicate the nonlinear transformation of synaptic inputs into motor  
321 neuron outputs (20). This approach indicated a similar change in motor unit discharge rate  
322 with torque (figure 5) despite a difference in absolute discharge rates that can be due to the  
323 random sampling of motor units in the two muscles (Figure 4). This suggests that the net  
324 excitatory synaptic input to the pool of motor neurons of the vasti was similar. Assuming that  
325 the intrinsic properties of the motor neuron pools in the two muscles were similar, this  
326 observation was interpreted as reflecting similar drives from the motor neurons to the muscle  
327 units. This conclusion is in agreement with a previous study that showed that VM and VL  
328 share most of their synaptic input (21).

329 We also did not observe differences in discharge rate variability (CoVisi) between the two  
330 muscles (Figure 6), in agreement with previous results (34). The present results show that,  
331 despite a difference in mean absolute discharge rates between motor units of the VM and VL,  
332 the two muscles did receive similar strengths of neural drives. Differences in VM and VL  
333 surface EMG amplitude therefore do not reflect differences in the neural drive between the  
334 vasti, as also confirmed by the multiple regression analysis.

335

336 EMG amplitude and muscle fiber conduction velocity

337 Surface EMG amplitude is commonly used to infer the magnitude of the neural drive to  
338 muscles. However, EMG amplitude depends on both motor unit behavior (recruitment,  
339 discharge rate and discharge rate variability) and muscle fiber properties (MUAP size and

340 conduction velocity) (11, 12). In this study, despite similar neural drives to the VM and VL,  
341 the EMG amplitude for VM was significantly greater than for VL for torques in the range  
342 30%-70% MVC (Figure 7). These results are consistent with other reports on absolute EMG  
343 amplitude for these two muscles (15, 22, 34). EMG amplitude is influenced by muscle's  
344 geometry, architecture, crosstalk and subcutaneous tissue thickness (11, 29). Since the  
345 observed differences in EMG amplitude between muscles did not correspond to differences in  
346 neural drive, they are mainly explained by these anatomical factors, as confirmed by the  
347 differences in MUAP sizes. Although previous research has reported similar subcutaneous  
348 tissue thickness for the distal VM and VL (3), it has also been shown that the distal VM has a  
349 larger cross sectional area and greater fascicle angle compared to the distal VL (2). Indeed,  
350 recent research has shown that differences in muscle architecture can influence EMG  
351 amplitude, even when the muscle is activated at a similar intensity (32).

352 Muscle fiber conduction velocity estimated from the interference EMG was similar between  
353 the vasti, in agreement with previous studies (3). However, motor unit conduction velocity  
354 differed between muscles. Muscle fiber conduction velocity is associated to fiber diameter  
355 (16) but also depends on the level of muscle acidosis (30), temperature (8), muscle  
356 fatigability (23), subcutaneous tissue thickness (33), exercise training (25, 33), discharge rate  
357 (26). Because of these factors of influence, the relation between average and motor unit  
358 muscle fiber conduction velocity is not exactly linear.

359

#### 360 EMG amplitude and MUAP size

361 As for absolute EMG amplitude, the size of MUAPs was significantly greater for VM in the  
362 range of torques above or equal to 30% MVC. Moreover, MURMS increased at a faster rate  
363 with recruitment threshold for VM than VL (Figure 7). This is consistent with a recent report  
364 comparing VM and VL MUAP peak-to-peak amplitude (24). As for EMG amplitude,  
365 MURMS is also influenced by muscle's geometry, architecture and subcutaneous tissue  
366 thickness (11, 29); therefore it is not surprising to find similar results for absolute RMS and  
367 MURMS. Accordingly, results from the multiple linear regression (Table 1) showed that  
368 most of the variance of the difference between absolute RMS of VM and VL was explained  
369 by MURMS. This result directly indicates that the neural drive has a relatively small  
370 influence on EMG amplitude with respect to the MUAP waveforms.

371

#### 372 Amplitude normalization

373 Since a vast number of studies apply normalization of the surface EMG prior to comparing  
374 levels of muscle activations (4, 17), we analyzed the effect of normalization of both EMG  
375 amplitude and MUAP size with respect to MVC. Even though normalization decreased the  
376 VM/VL activation ratio and cancelled out the differences in MUAP size between muscles,  
377 normalized EMG amplitude was greater for VL compared to VM that is contrary to the result  
378 without normalization. This result does not correspond to the estimated similar neural drive to  
379 the two muscles (figure 5) and explains the divergent results across studies on normalized  
380 activations of the VM and VL in healthy subjects (31) and patients with musculoskeletal  
381 disorders (e.g. patellofemoral pain syndrome) (18). Taken together, our findings suggest that  
382 neither absolute nor normalized EMG amplitude (even when recorded from HDEMG  
383 electrodes) are appropriate for inferring differences in neural drive between muscles.

384

#### 385 Conclusion

386 The difference in surface EMG amplitude between VM and VL muscles was mostly  
387 explained by differences in MUAP size, with little effect of motor unit properties associated  
388 to the neural drive to muscles. EMG amplitude is therefore mainly determined by peripheral  
389 properties rather than by the neural activation. Normalization of the EMG compensates for  
390 the differences in MUAP sizes but is still a poor determinant of neural activation.

391

#### 392 REFERENCES

393

- 394 1. **Barbero M, Merletti R, and Rainoldi A.** *Atlas of muscle innervation zones :  
395 understanding surface electromyography and its applications.* Milan ; New York: Springer,  
396 2012, p. 131-132.
- 397 2. **Blazevich AJ, Gill ND, and Zhou S.** Intra- and intermuscular variation in human  
398 quadriceps femoris architecture assessed in vivo. *J Anat* 209: 289-310, 2006.
- 399 3. **Boccia G, Dardanello D, Beretta-Piccoli M, Cescon C, Coratella G, Rinaldo N,  
400 Barbero M, Lanza M, Schena F, and Rainoldi A.** Muscle fiber conduction velocity and fractal  
401 dimension of EMG during fatiguing contraction of young and elderly active men. *Physiol  
402 Meas* 37: 162-174, 2016.
- 403 4. **Burden A.** How should we normalize electromyograms obtained from healthy  
404 participants? What we have learned from over 25 years of research. *J Electromyogr Kinesiol*  
405 20: 1023-1035, 2010.
- 406 5. **Cohen J.** *Statistical power analysis for the behavioral sciences.* Hillsdale, N.J.: L.  
407 Erlbaum Associates, 1988, p. xxi, 567 p.
- 408 6. **Dideriksen JL, Enoka RM, and Farina D.** Neuromuscular adjustments that constrain  
409 submaximal EMG amplitude at task failure of sustained isometric contractions. *J Appl  
410 Physiol (1985)* 111: 485-494, 2011.

- 411 7. **Fagan V, and Delahunt E.** Patellofemoral pain syndrome: a review on the associated  
412 neuromuscular deficits and current treatment options. *Br J Sports Med* 42: 789-795, 2008.
- 413 8. **Farina D, Arendt-Nielsen L, and Graven-Nielsen T.** Effect of temperature on spike-  
414 triggered average torque and electrophysiological properties of low-threshold motor units. *J*  
415 *Appl Physiol (1985)* 99: 197-203, 2005.
- 416 9. **Farina D, Holobar A, Gazzoni M, Zazula D, Merletti R, and Enoka RM.** Adjustments  
417 differ among low-threshold motor units during intermittent, isometric contractions. *J*  
418 *Neurophysiol* 101: 350-359, 2009.
- 419 10. **Farina D, and Merletti R.** Estimation of average muscle fiber conduction velocity  
420 from two-dimensional surface EMG recordings. *J Neurosci Methods* 134: 199-208, 2004.
- 421 11. **Farina D, Merletti R, and Enoka RM.** The extraction of neural strategies from the  
422 surface EMG. *J Appl Physiol (1985)* 96: 1486-1495, 2004.
- 423 12. **Farina D, Merletti R, and Enoka RM.** The Extraction of Neural Strategies from the  
424 Surface Emg: An Update. *J Appl Physiol (1985)* jap 00162 02014, 2014.
- 425 13. **Farina D, Muhammad W, Fortunato E, Meste O, Merletti R, and Rix H.** Estimation of  
426 single motor unit conduction velocity from surface electromyogram signals detected with  
427 linear electrode arrays. *Med Biol Eng Comput* 39: 225-236, 2001.
- 428 14. **Gallina A, Pollock CL, Vieira TM, Ivanova TD, and Garland SJ.** Between-day reliability  
429 of triceps surae responses to standing perturbations in people post-stroke and healthy  
430 controls: A high-density surface EMG investigation. *Gait Posture* 44: 103-109, 2016.
- 431 15. **Hedayatpour N, Arendt-Nielsen L, and Farina D.** Non-uniform electromyographic  
432 activity during fatigue and recovery of the vastus medialis and lateralis muscles. *J*  
433 *Electromyogr Kinesiol* 18: 390-396, 2008.
- 434 16. **Houtman CJ, Stegeman DF, Van Dijk JP, and Zwarts MJ.** Changes in muscle fiber  
435 conduction velocity indicate recruitment of distinct motor unit populations. *J Appl Physiol*  
436 *(1985)* 95: 1045-1054, 2003.
- 437 17. **Hug F, Goupille C, Baum D, Raiteri BJ, Hodges PW, and Tucker K.** Nature of the  
438 coupling between neural drive and force-generating capacity in the human quadriceps  
439 muscle. *Proc Biol Sci* 282: 2015.
- 440 18. **Hug F, Hodges PW, and Tucker K.** Muscle Force Cannot Be Directly Inferred From  
441 Muscle Activation: Illustrated by the Proposed Imbalance of Force Between the Vastus  
442 Medialis and Vastus Lateralis in People With Patellofemoral Pain. *J Orthop Sports Phys Ther*  
443 45: 360-365, 2015.
- 444 19. **Hug F, and Tucker K.** Muscle Coordination and the Development of Musculoskeletal  
445 Disorders. *Exerc Sport Sci Rev* 45: 201-208, 2017.
- 446 20. **Johnson MD, Thompson CK, Tysseling VM, Powers RK, and Heckman CJ.** The  
447 potential for understanding the synaptic organization of human motor commands via the  
448 firing patterns of motoneurons. *J Neurophysiol* 118: 520-531, 2017.
- 449 21. **Laine CM, Martinez-Valdes E, Falla D, Mayer F, and Farina D.** Motor Neuron Pools of  
450 Synergistic Thigh Muscles Share Most of Their Synaptic Input. *J Neurosci* 35: 12207-12216,  
451 2015.
- 452 22. **Martinez-Valdes E, Falla D, Negro F, Mayer F, and Farina D.** Differential Motor Unit  
453 Changes after Endurance or High-Intensity Interval Training. *Med Sci Sports Exerc* 49: 1126-  
454 1136, 2017.
- 455 23. **Martinez-Valdes E, Guzman-Venegas RA, Silvestre RA, Macdonald JH, Falla D,**  
456 **Araneda OF, and Haichelis D.** Electromyographic adjustments during continuous and  
457 intermittent incremental fatiguing cycling. *Scand J Med Sci Sports* 26: 1273-1282, 2016.

- 458 24. **Martinez-Valdes E, Laine CM, Falla D, Mayer F, and Farina D.** High-density surface  
459 electromyography provides reliable estimates of motor unit behavior. *Clin Neurophysiol*  
460 127: 2534-2541, 2016.
- 461 25. **Martinez-Valdes E, Negro F, Laine CM, Falla D, Mayer F, and Farina D.** Tracking  
462 motor units longitudinally across experimental sessions with high-density surface  
463 electromyography. *J Physiol* 595: 1479-1496, 2017.
- 464 26. **McGill KC, and Lateva ZC.** History dependence of human muscle-fiber conduction  
465 velocity during voluntary isometric contractions. *J Appl Physiol (1985)* 111: 630-641, 2011.
- 466 27. **Muceli S, Poppendieck W, Negro F, Yoshida K, Hoffmann KP, Butler JE, Gandevia  
467 SC, and Farina D.** Accurate and representative decoding of the neural drive to muscles in  
468 humans with multi-channel intramuscular thin-film electrodes. *J Physiol* 593: 3789-3804,  
469 2015.
- 470 28. **Negro F, Muceli S, Castronovo AM, Holobar A, and Farina D.** Multi-channel  
471 intramuscular and surface EMG decomposition by convolutive blind source separation. *J*  
472 *Neural Eng* 13: 026027, 2016.
- 473 29. **Rainoldi A, Nazzaro M, Merletti R, Farina D, Caruso I, and Gaudenti S.** Geometrical  
474 factors in surface EMG of the vastus medialis and lateralis muscles. *J Electromyogr Kinesiol*  
475 10: 327-336, 2000.
- 476 30. **Schmitz JP, van Dijk JP, Hilbers PA, Nicolay K, Jeneson JA, and Stegeman DF.**  
477 Unchanged muscle fiber conduction velocity relates to mild acidosis during exhaustive  
478 bicycling. *Eur J Appl Physiol* 112: 1593-1602, 2012.
- 479 31. **Smith TO, Bowyer D, Dixon J, Stephenson R, Chester R, and Donell ST.** Can vastus  
480 medialis oblique be preferentially activated? A systematic review of electromyographic  
481 studies. *Physiother Theory Pract* 25: 69-98, 2009.
- 482 32. **Vieira TM, Bisi MC, Stagni R, and Botter A.** Changes in tibialis anterior architecture  
483 affect the amplitude of surface electromyograms. *J Neuroeng Rehabil* 14: 81, 2017.
- 484 33. **Vila-Cha C, Falla D, Correia MV, and Farina D.** Adjustments in motor unit properties  
485 during fatiguing contractions after training. *Med Sci Sports Exerc* 44: 616-624, 2012.
- 486 34. **Vila-Cha C, Falla D, and Farina D.** Motor unit behavior during submaximal  
487 contractions following six weeks of either endurance or strength training. *J Appl Physiol*  
488 (1985) 109: 1455-1466, 2010.
- 489 35. **Zar JH.** *Biostatistical analysis*. Upper Saddle River, N.J.: Prentice-Hall/Pearson, 2010,  
490 p. 944.

491

## 492 **Acknowledgements**

493 Francesco Negro has received funding from the European Union's Horizon 2020 research  
494 and innovation programme under the Marie Skłodowska-Curie grant agreement No 702491  
495 (NeuralCon).

496

497

498

499

500

501  
502  
503  
504  
505  
506  
507  
508  
509  
510  
511

## 512 **Figure captions**

513

514 Figure 1. Placement of the HDEMG electrodes. Vastus medialis (VM) electrode grid was  
515 placed  $\sim 50^\circ$  with respect to a line between the anterior superior iliac spine and the medial side  
516 of the patella (dashed lines, left) while the VL grid was positioned  $\sim 30^\circ$  with respect to a line  
517 between the anterior superior iliac spine and the lateral side of the patella (dashed lines,  
518 right).

519

520 Figure 2. Interference EMG parameters [mean (SD)] for vastus medialis (VM, white dots)  
521 and vastus lateralis (VL, black dots) at 10, 30, 50 and 70% of the maximum voluntary  
522 contraction torque (MVC). A) Absolute root mean square (ABS RMS). B) Muscle fiber  
523 conduction velocity. Presented values were averaged for each subject and presented at each  
524 submaximal target torque. \*  $P < 0.001$ .

525

526 Figure 3. Two subsets of motor units identified from the vastus medialis and lateralis muscles  
527 were matched for recruitment threshold. The histograms of the motor unit recruitment  
528 thresholds in these subsets are shown for the vastus medialis (left) and vastus lateralis (right)  
529 motor units.

530

531 Figure 4. Motor unit (MU) average discharge rate (target torque discharge rate) calculated  
532 from recruitment-threshold matched MUs from vastus medialis (VM, white dots) and vastus  
533 lateralis (VL, black dots) at 10, 30, 50 and 70% of the maximum voluntary contraction torque  
534 (MVC). MU discharge rate values [mean (SD)] were averaged for each subject and presented



535 at each submaximal target torque (10, 30, 50 and 70% MVC), # main effect of muscle  
536  $P=0.009$ .

537

538 Figure 5. Linear regression analysis of the difference between VM and VL mean discharge  
539 rate at target torque and discharge rate at recruitment (Y-axis) and the difference between  
540 target torque (10, 30, 50 and 70% MVC) and MU recruitment threshold (X-axis) at 10%  
541 (upper left), 30% (upper right), 50% (lower left) and 70% (lower right) of the MVC torque.  
542 Linear regression equations are shown in the figure. All regression lines had positive slopes  
543 ( $P<0.03$ ) and their  $R^2$  values were 0.1 and 0.15 (10% MVC), 0.16 and 0.08 (30% MVC), 0.05  
544 and 0.05 (50% MVC), and 0.17 and 0.14 (70% MVC) for VM and VL respectively. None of  
545 the regression lines (slopes and intercepts) differed significantly between muscles ( $p>0.09$ ).  
546 DR, discharge rate.

547

548 Figure 6. Motor unit (MU) coefficient of variation of the inter-spike interval (CoVisi)  
549 calculated from recruitment-threshold matched MUs from vastus medialis (VM, white dots)  
550 and vastus lateralis (VL, black dots) at 10, 30, 50 and 70% of the maximum voluntary  
551 contraction torque (MVC). Presented values were averaged for each subject and presented at  
552 each submaximal target torque.

553

554 Figure 7. Motor unit (MU) root mean square amplitude (MURMS) [mean (SD)] extracted  
555 from recruitment-threshold matched MUs from vastus medialis (VM, white dots) and vastus  
556 lateralis (VL, black dots) at 10, 30, 50 and 70% of the maximum voluntary contraction torque  
557 (MVC). A) MURMS values [mean (SD)] were averaged for each subject and presented at  
558 each submaximal target torque (10, 30, 50 and 70% MVC), \*  $P<0.01$ . B) VM and VL  
559 MURMS vs. recruitment threshold regression lines. Both lines increased significantly with  
560 torque ( $P<0.0001$ ) and displayed significantly different slopes ( $P<0.0001$ );  $R^2$  values are  
561 shown in the figure.

562

563 Figure 8. Motor unit (MU) conduction velocity [mean (SD)] extracted from recruitment-  
564 threshold matched MUs from vastus medialis (VM, white dots) and vastus lateralis (VL,  
565 black dots) at 10, 30, 50 and 70% of the maximum voluntary contraction torque (MVC).  
566 Presented values were averaged for each subject and presented at each submaximal target  
567 torque. \*  $P<0.01$ .

568

569 Figure 9. Normalized EMG and motor unit (MU) amplitude [mean (SD)] for vastus medialis  
570 (VM, white dots) and vastus lateralis (VL, black dots) at 10, 30, 50 and 70% of the maximum  
571 voluntary contraction torque (MVC). A) Normalized root mean square EMG (EMG RMS  
572 NORM), B) Normalized MU root mean square (MURMS NORM). # Main effect of muscle  
573 P=0.039.

574

575

576

577

578

579

580

581

Figure 1

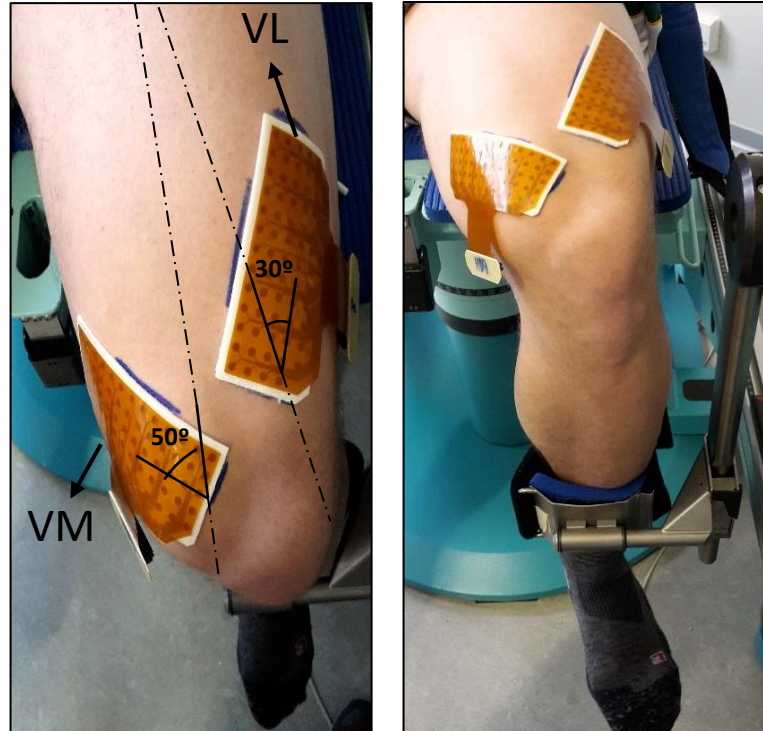
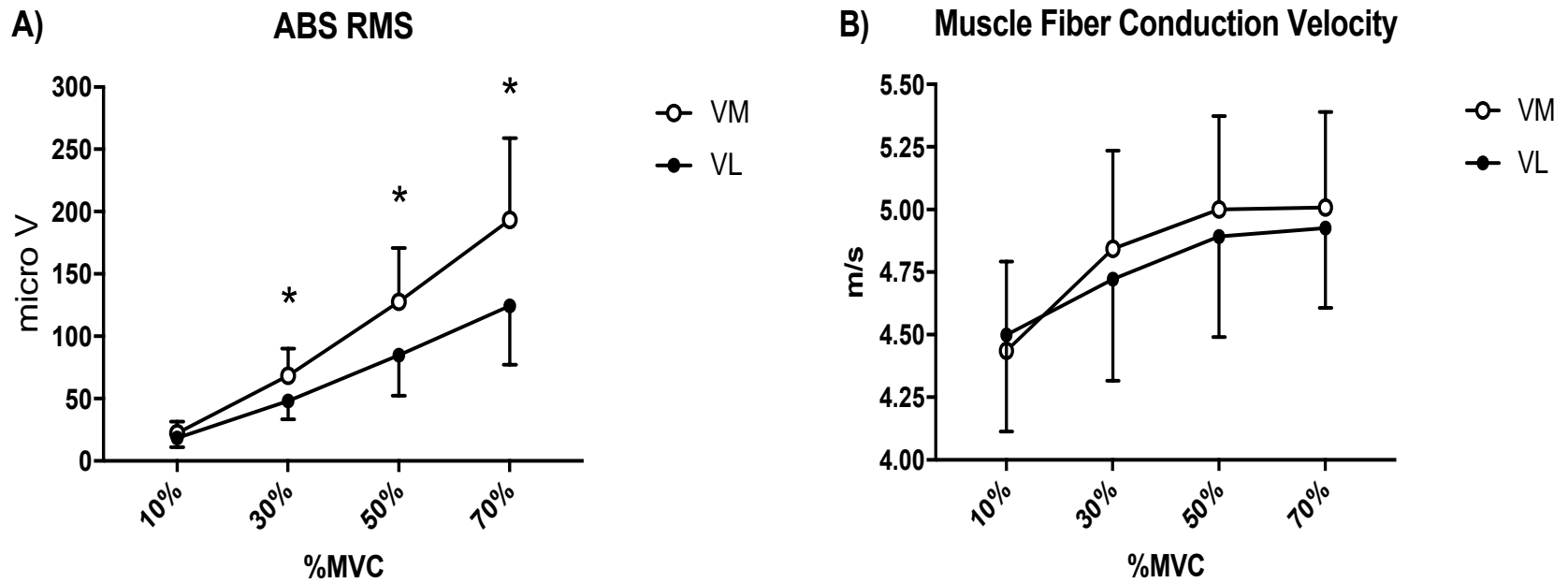
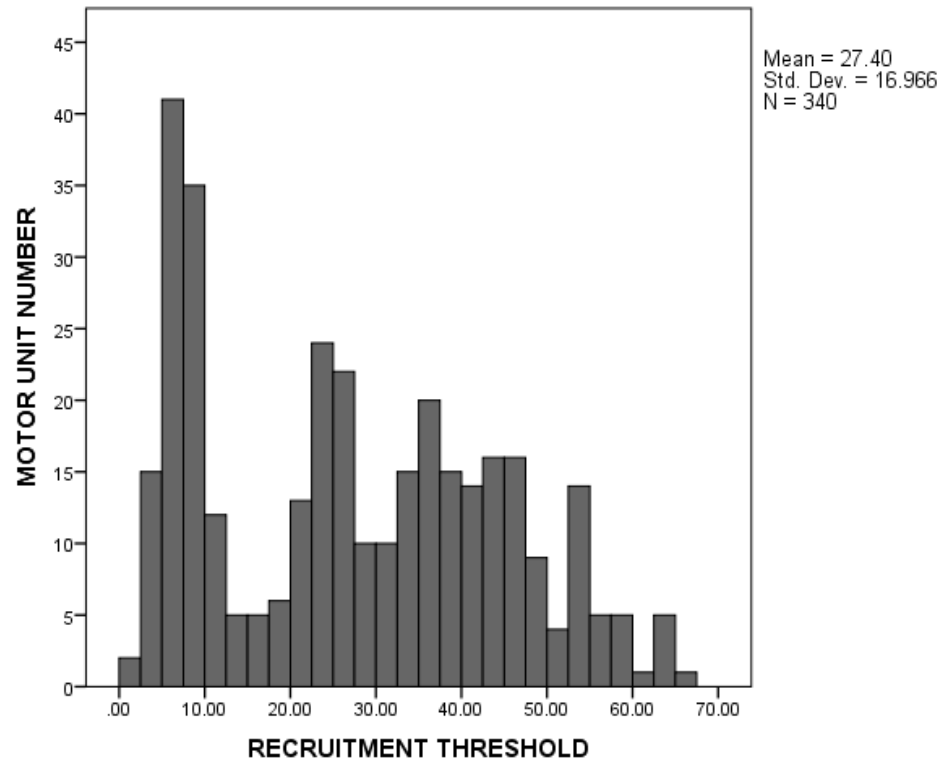


Figure 2



## Vastus Medialis



## Vastus Lateralis

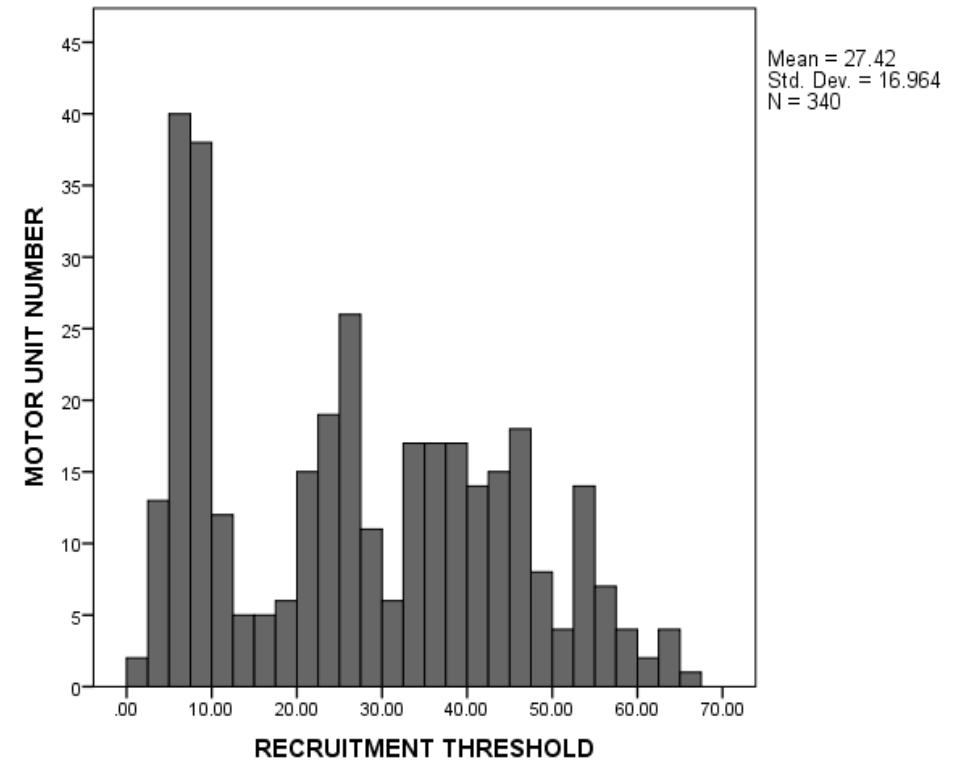


Figure 4

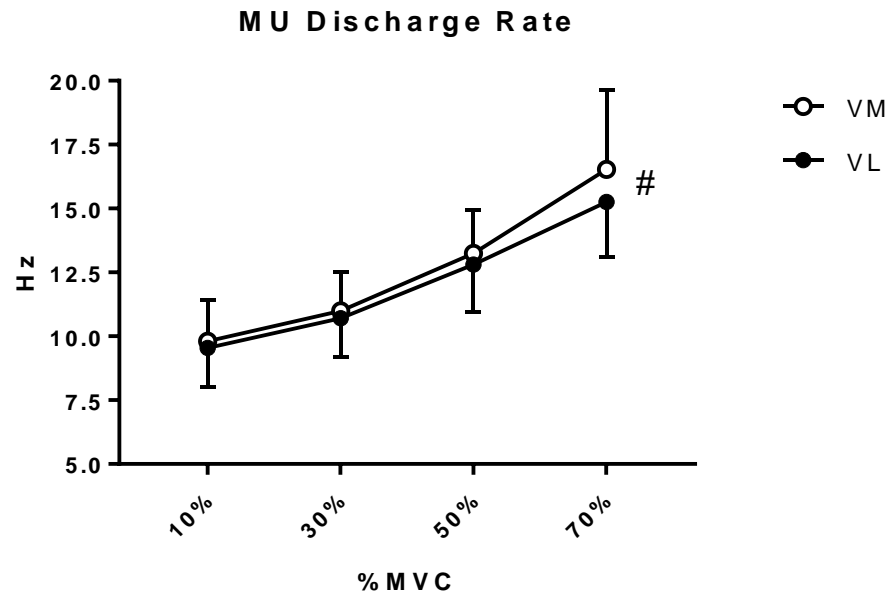


Figure 5

▲ Discharge rate vs. ▲ Recruitment

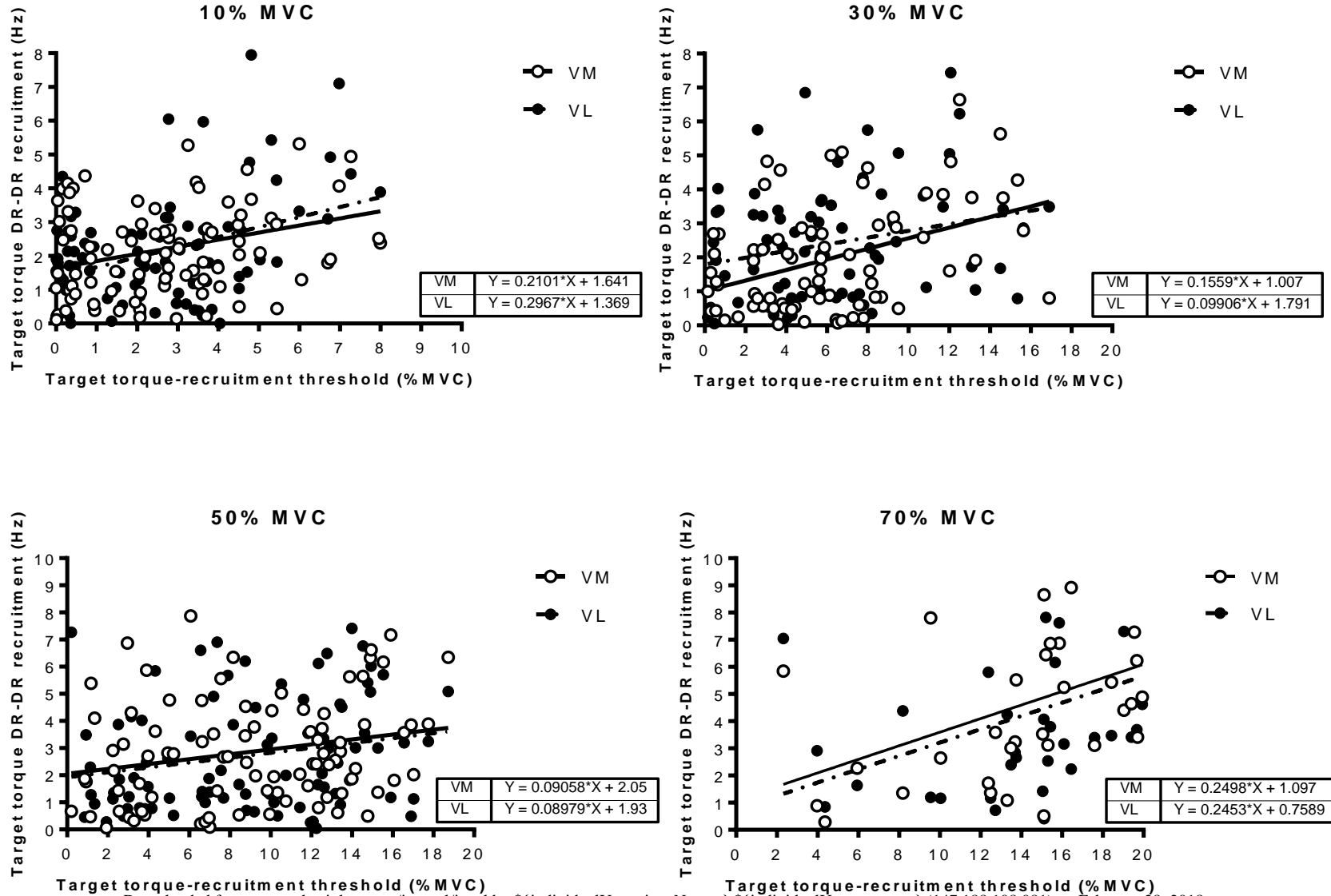


Figure 6

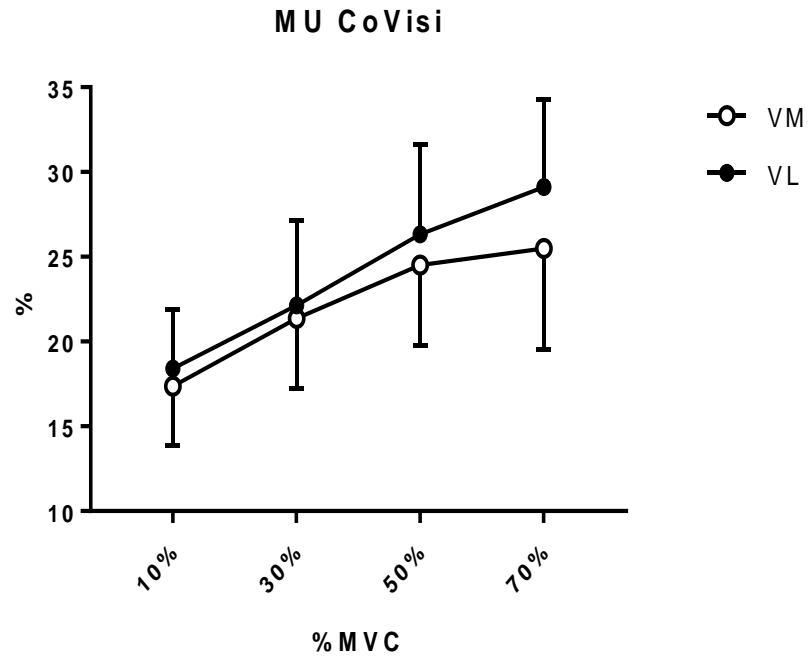




Figure 7

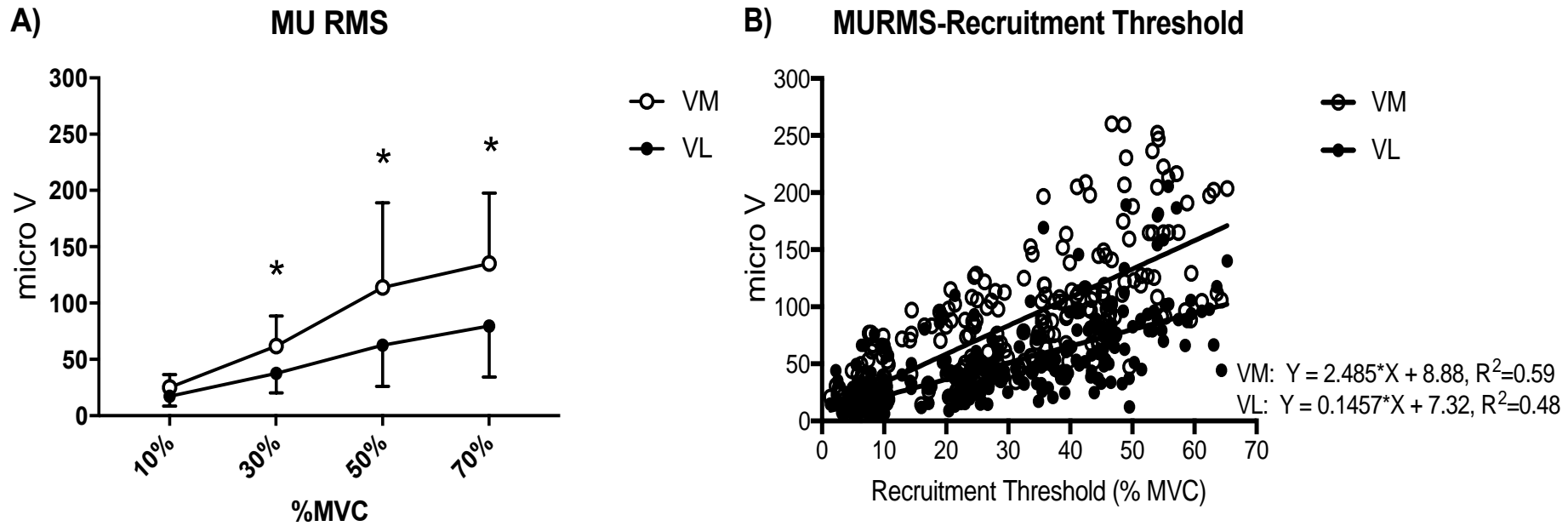


Figure 8

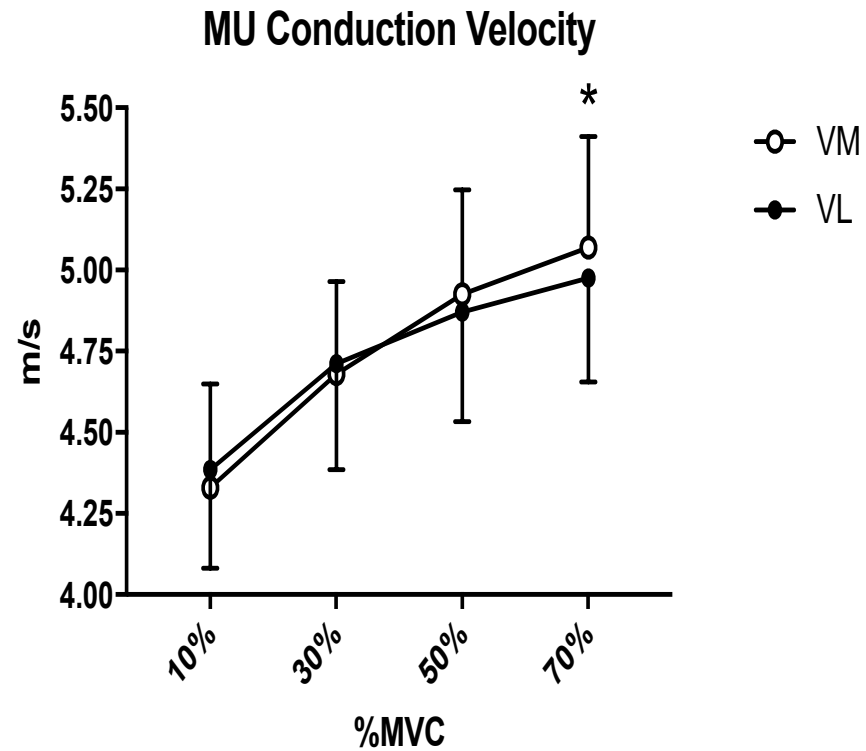
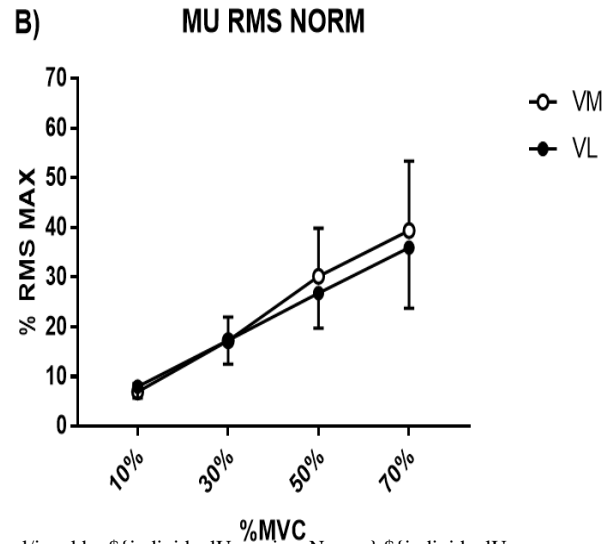
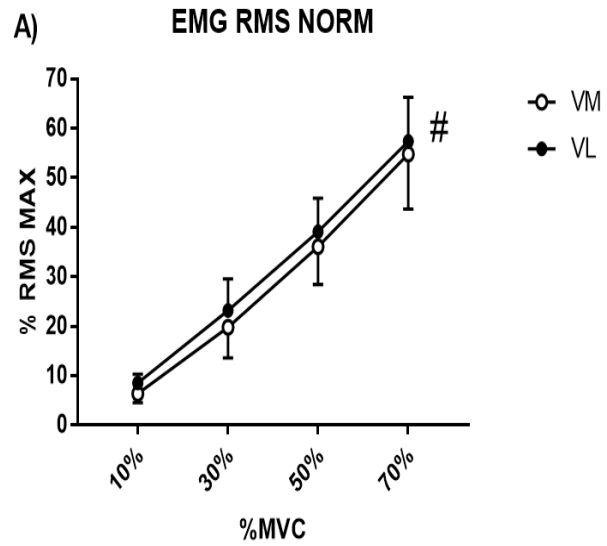


Figure 9



**Table 1.** Percent difference [%; mean (SD)] and bivariate correlation coefficients (*r*) between predictor variable (% change in VM-VL EMG RMS) and independent variables: %change in VM-VL motor unit (MU) RMS, %change in VM-VL in MU discharge rate (DR) and %change in VM-VL MU conduction velocity (CV)

Torque Level (%MVC)	%Difference in EMG RMS	% Difference in MU RMS	% Difference in MU DR	% Difference in MU CV
10%	14.8 (25.3)	25.2 (34.1), <i>r</i> = 0.84**	2.3 (7.8), <i>r</i> =-0.48	-1.4(4.9), <i>r</i> =-0.27
30%	27.2 (19.4)	36.5 (25.4), <i>r</i> =0.73**	2.3 (7.8), <i>r</i> =0.14	-0.7 (2.5), <i>r</i> =0.12
50%	32.8 (12.5)	42.3 (19.6), <i>r</i> =0.85**	4.1 (9.5), <i>r</i> =0.02	1.3 (3.1), <i>r</i> =-0.2
70%	34.9 (15.8)	42.2 (19.1), <i>r</i> =0.76**	6.2 (13.3), <i>r</i> =0.26	1.8 (3.9), <i>r</i> =0.07

\*\* Significant correlation ( $p < 0.0001$ )