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## Adaptive Statistical Iterative Reconstruction Improves Image Quality without Affecting CT Perfusion Quantitation in Primary Colorectal Cancer

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#### Manuscript title

Adaptive Statistical Iterative Reconstruction Improves Image Quality without Affecting CT Perfusion Quantitation in Primary Colorectal Cancer

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#### ABSTRACT

#### **Objectives**

To determine the effect of Adaptive Statistical Iterative Reconstruction (ASIR) on perfusion CT (pCT) parameter quantitation and image quality in primary colorectal cancer.

#### Methods

Prospective observational study. Following institutional review board approval and informed consent, 32 patients with colorectal adenocarcinoma underwent pCT (100 kV, 150 mA, 120 second acquisition, axial mode). Tumour regional blood flow (BF), blood volume (BV), mean transit time (MTT) and permeability surface area product (PS) were determined using identical regions-of-interests for ASIR percentages of 0%, 20%, 40%, 60%, 80% and 100%. Image noise, contrast-to-noise ratio (CNR) and pCT parameters were assessed across ASIR percentages. Coefficients of variation (CV), repeated measures analysis of variance (rANOVA) and Spearman' rank order correlation were performed with statistical significance at 5%.

#### Results

With increasing ASIR percentages, image noise decreased by 33% while CNR increased by 61%; peak tumour CNR was greater than 1.5 with 60% ASIR and above. Mean BF, BV, MTT and PS differed by less than 1.8%, 2.9%, 2.5% and 2.6% across ASIR percentages. CV were 4.9%, 4.2%, 3.3% and 7.9%; rANOVA *P* values: 0.85, 0.62, 0.02 and 0.81 respectively.

#### Conclusions

ASIR improves image noise and CNR without altering pCT parameters substantially.

### Highlights

- Quantitative Perfusion CT parameters are not affected substantially by increasing ASIR levels.
- ASIR improves image noise and CNR at a reduced peak kilovoltage of 100kV.

Peak tumour CNR is greater than 1.5 with 60% ASIR and above.

#### **Key Words**

Perfusion imaging; Multidetector computed tomography; Colorectal neoplasms;

Computer-assisted image processing; Radiation dosage.

#### INTRODUCTION

Perfusion computed tomography (pCT) techniques enable in-vivo tumour vascularisation to be evaluated in clinical practice (1). Regional blood flow (BF), blood volume (BV) and permeability surface area product (PS), derived from the temporal changes in vascular and tissue attenuation following an intravenous bolus injection of standard CT iodinated contrast agent, act as surrogate measures of tumour angiogenesis and perfusion-related hypoxia across different tumour types (2-6). Perfusion CT is clinically relevant in colorectal cancer. Staging blood flow is lower in patients with nodal metastases (7), those who subsequently present with metastases despite curative surgery (8), and those who have a poorer overall survival (7). This is thought to reflect perfusion-related hypoxia, supporting the use of pCT in oncologic clinical trials as a prognostic and response biomarker (1). In recent years, the clinical emphasis on dose-reduction has led all major CT manufacturers to implement hybrid iterative image reconstruction techniques instead of traditional analytical filtered back projection (FBP) reconstruction, in order to compensate for the increase in image noise with low-dose CT acquisitions. These hybrid algorithms combine analytical and iterative methods in a number of ways. Adaptive statistical iterative reconstruction (ASIR) uses the image information obtained from FBP as an initial building block for further iterative reconstruction to improve image characteristics, including noise. This has enabled dose reductions between 32% and 65% in phantom studies without substantially affecting image quality (9, 10) subsequently confirmed by clinical studies, both paediatric (11-13) and adult (14-21). The impact of iterative reconstruction techniques on quantitative pCT analysis has not been investigated and is clinically relevant, given their widespread

use. The aim of this prospective study was to assess the effect of ASIR on image quality and pCT quantitation in primary colorectal cancer.

#### MATERIALS AND METHODS

#### Patients

Institutional review board approval and written informed consent were obtained prospectively for this single-centre observational study, as part of a multicentre NIHR HTA funded study aimed at evaluating a prognostic model of conventional predictive variables and novel variables derived from pCT to improve the prediction of metastatic disease in primary colorectal cancer. Inclusion criteria were adult patients with a diagnosis of colorectal cancer. Exclusion criteria were standard contraindications to iodinated contrast agent (including previous iodinated contrast agent reaction, impaired renal function, lack of intravenous access) and no visible tumour on the planning CT acquisition. Thirty-four patients agreed to participate from January 2012 to July 2014. In two patients technical errors precluded analysis, leaving 32 patients (27 men, 5 women) in this study group. Mean (SD) patient age was 71.3 years ± 10.5 (men: 71.1 years ± 10.6; range, 38-91 years; women: 72.2 ± 11.5; range, 54-84 years). Mean (SD) patient size, measured in the axial plane immediately cranially to the iliac crest, was  $24.28 \pm 3.23$  cm (latero-lateral; range, 17.89-31.06 cm) x 35.67 ± 2.73 cm (antero-posterior; range, 30.45-40.99). Tumours had a mean diameter of 4.5 cm  $\pm$  2.1 (range, 2.0 - 10.1 cm) and were located as follows: cecum (5), ascending colon (4), descending colon (1), sigmoid colon (7), rectum (15). Radiological tumour (T) and nodal (N) staging, evaluated on CT images only and based on the UICC TNM Classification of Malignant Tumours, Seventh Edition, was as follows: T2 (12), T3 (17), T4 (3), N0 (16), N1 (11), N2 (5).

#### **CT Perfusion Technique**

To minimise bowel peristaltic movement, 20 mg of the spasmolytic agent hyoscine butylbromide (Buscopan; Boehringer Ingelheim, Ingelheim am Rhein, Germany) were administered intravenously prior to data acquisition unless contraindicated. Perfusion CT was performed on a Discovery 750 HD multi-detector CT(GE Healthcare, Waukesha, USA). An initial low dose abdominal or pelvic planning sequence was performed, without intravenous contrast material, to locate the colorectal tumour (100 kV, 50 mA with smart mA, 5-mm slice collimation, 50-cm scan field of view, 512 x 512 mm matrix). The tumour was identified by the supervising radiologist and the acquisition coordinates were used to plan the subsequent dynamic pCT acquisition, which was centred at mid-tumour level. 50 mL of 370 mg/mL iodinated contrast agent (Niopam, Bracco S.p.A, Milan, Italy) were injected intravenously through a pump injector (Medrad Stellant dual syringe, Bayer Healthcare, Leverkusen, Germany) at a rate of 5 mL/sec, followed by a 50 ml saline chaser injected at the same rate. The pCT acquisition consisted of 8 contiguous 5 mm slices (4 cm z-axis coverage), obtained at 1.5 sec intervals for 45 sec (perfusion phase) and at 15 sec intervals for a further 75 sec (interstitial phase), giving a total number of 35 acquisition time points with the following acquisition parameters: 100 kV; 150 mA without tube current modulation; axial mode; 0.5 sec rotation time; 50 cm scan field of view; 512 x 512 mm matrix; B30 soft reconstruction kernel; 10 sec delay after IV contrast agent injection; breath intermittently held in expiration during the perfusion and interstitial phase to minimise respiratory excursion. Mean CTDI<sub>Vol</sub> and DLP were 137.8  $\pm$  15.3 mGy and 551.0  $\pm$  61.2 mGy cm respectively.

#### Image Analysis

All pCT acquisitions were reconstructed at 6 different ASIR percentages: 0% ASIR (equivalent to FBP) and with increasing percentages of 20%, 40%, 60%, 80% and 100% ASIR, resulting in 6 separate pCT datasets per patient (Figure 1). With the aim of eliminating interobserver variability, image data were analysed by two readers in consensus [with 1 year (SV) and >15 years' (VG) CT perfusion experience respectively] on a workstation (Advantage Windows 4.6; GE Healthcare, Chicago, USA) using commercial perfusion software (Perfusion 4: GE Healthcare, Chicago, USA). A processing threshold of -50 to 150 HU was chosen to optimise soft tissue visualisation. No motion correction algorithm was applied. An arterial input was defined by placing a 1 cm<sup>2</sup> circular region-of-interest (ROI) within the best visualised artery (aorta, iliac, or femoral) to derive the arterial time-enhancement curve. Parametric maps (BF, BV, MTT and PS) were generated, with each pixel representing a parameter value, based on the maximum slope method algorithm and a modified Johnson and Wilson distributed parameter model (GE Healthcare, Chicago, USA). A tumour ROI was outlined on each axial image where tumour was visualised, ensuring that the entire tumour was encompassed within the ROIs; luminal gas was excluded where present.

The analysis of 0% ASIR images was conducted first; the delineated ROIs were saved by the software to allow these to be propagated onto subsequent datasets with different ASIR percentages (20-100%), thus avoiding any measurement variation related to ROI placement. The mean values for each of the four tumour pCT parameters – BF, BV, MTT and PS - were recorded. The entire process was repeated in the same manner for each patient and for all the subsequent ASIR datasets- 20%, 40%, 60%, 80% and 100% - using the exact same ROI.

#### Assessment of Image Quality

Image noise and contrast to noise ratio (CNR) were calculated from the grey-scale images. For each patient and ASIR percentage, tumour baseline density and peak enhancement were recorded from the tissue time-density curve on a single gray-scale image; a fixed circular 1 cm<sup>2</sup> ROI was placed on skeletal muscle and the Hounsfield unit (HU) standard deviation recorded to provide a measure of image noise. CNR was calculated by dividing tumour enhancement (tumour peak enhancement - baseline density) by the muscle ROI standard deviation.

#### **Statistical Analysis**

The mean and standard deviation for each pCT parameter, image noise and CNR were calculated for the different ASIR percentages (0-100%). Within-patient coefficients of variation (CV) were calculated for each parameter across the different ASIR percentages (standard deviation divided by mean), and expressed as a percentage value. Repeated measures analysis of variance (rANOVA) of pCT parameters was performed, using Greenhouse-Geisser (GG) correction when appropriate based on Mauchly's test of sphericity, and followed by post hoc testing using the Bonferroni method. Spearman rank order correlation was performed to assess the associations of image noise and CNR with ASIR percentage. Statistical significance was assigned at the 5% level. Statistical analysis was performed using IBM SPSS Statistics, Version 22 (IBM Corporation, New York, USA).

#### **Sample Size Justification**

Retrospective power analysis under the guidance of a statistician (GPower 3.1) showed that, with a 5% significance level and 90% power, 13 subjects would be required to be able to detect a difference of 7 units between two sets of parameter measurements (based on BF values), equivalent to a clinically significant 10% variation. Our actual sample size of 32 meets these criteria with ample margins.

#### RESULTS

#### Image quality

Mean image noise (±standard deviation) decreased with increasing ASIR percentages, changing from 27.06 ± 4.57 (range, 15.32-38.00) at 0% ASIR to 18.16 ± 4.41 (range, 10.08-28.01) at 100% ASIR, a relative decrease of 32.8%. Mean tumour enhancement remained relatively stable across ASIR levels, ranging on average between 33.01 ± 4.94 HU and 35.59 ± 8.47 HU (a relative change of 7.8%). Consequently, mean CNR increased from  $1.22 \pm 1.08$  (range, 0.18-4.59) to  $1.96 \pm$ 1.92 (range, 0.21-9.23), a relative increase of 60.7% (**Table 1, Figure 2**). As expected, there was a strong negative rank correlation between image noise and ASIR level (r = -0.639; P < 0.001); a weak positive rank correlation was found between CNR and ASIR level(r = 0.206; P = 0.004).

#### **Perfusion CT parameters**

Mean BF, BV, MTT, PS and coefficients of variation across increasing ASIR percentages are summarised in **Table 2** and **Figure 3**. Mean parameter values differed by less than 1.8%, 2.9%, 2.5% and 2.6% for BF, BV, MTT and PS respectively across the different ASIR percentages. Coefficients of variation ranged between 3.3% and 7.9% for each of the parameters. Repeated measures ANOVA

showed that mean BF, BV and PS measurements did not differ substantially, with P values of 0.85, 0.62 and 0.81 respectively. Mean MTT measurements yielded a P value of 0.02; post hoc tests using the Bonferroni correction, however, revealed no significant difference between pairs of ASIR levels (P values = 0.23 to 1.00).

#### DISCUSSION

In recent years there has been a step change in CT practice. The emphasis on dose reduction has led to the widespread implementation of hybrid iterative reconstruction techniques by all the major CT manufacturers, in order to improve or maintain image quality with low dose acquisitions. These hybrid algorithms combine filtered back projection data with iterative reconstruction methods. The potential impact of iterative reconstruction on quantitative perfusion CT techniques has not been explored for body tumours but is clearly relevant to oncologic imaging.

In this study we showed that ASIR does not alter the tumour pCT parameters BF, BV and PS substantially, with repeated measurements ANOVA *P* values greater than 0.6; MTT differed significantly between ASIR levels on ANOVA, though not so on post hoc pairwise comparisons; within-subject coefficients of variation for all parameters were smaller than 10%.

Image noise and CNR improved with increasing levels of ASIR at a reduced peak kilovoltage of 100 kV, strengthening the case for the implementation of low dose CT perfusion protocols within the constraints of an adequate CNR for quantitation. Contrast enhancement within tumours, typically higher and more heterogeneous than in normal solid organs, altered by less than 8% across the different ASIR percentages. In general terms, the first stage of iterative reconstruction produces artificial raw data from the forward projection of a volumetric estimate taken from either an empty image or a FBP reconstruction. Modelling of the volumetric estimate is then undertaken and the many possible path lengths, including the different angles and orientations, at which the x-ray photons could pass through the object are calculated; this way the estimate mimics a more realistic pathway in which the x-ray photons travel through the object to the detector; furthermore, instead of placing the initial location of the x-ray photons over a single point, like in FBP, it is placed over a small area. This artificial projection is then compared to the actual measurement to construct an updated image. Modification of this updated image is repeated a number of times until very little differences are present between the artificial projection and real measurement. In the last stage, the updated image is back projected onto the volumetric estimate. A phantom study comparing two first generation hybrid iterative reconstruction methods, ASIR and iDose, has shown they provide similar benefits, mainly acting on image noise reduction (22). Our results are in line with previous studies that have assessed the effect of commercially implemented iterative reconstruction techniques within normal organs. Studies have shown that iDose and AIDR did not substantially affect quantitative perfusion CT parameters in normal brain tissue (23, 24), normal liver (25) and normal pancreas (26), allowing dose reductions ranging between 20% and 56%. These hybrid algorithms are different to ASIR but have a similar aim of reducing noise. iDose implemented by Philips applies an optimal anatomical model in the

image domain to iteratively eliminate the quantum image noise and attempts to maintain the appearance of a 'full dose FBP image (27); in adaptive iterative dose reduction, AIDR implemented by Toshiba, statistical and scanner based models combined with projection noise estimation are applied to FBP reconstructions and followed by an iterative technique for optimisation (28).

The reported coefficients of variation for CT Perfusion parameters (3.3% ~ 7.9%) are well within those previously reported for intra- and inter-observer variation, supporting their clinical acceptability; for example, a previous quantitative perfusion CT study in primary colorectal cancer reported mean intra-observer CV, based on same-study repeated measurements, ranging between 6.2% (PS) and 27.9% (BV) (28).

ASIR levels of 30-50% have been shown to provide acceptable image noise and diagnostic confidence in abdominal CT without a substantial change in image appearance (18): these levels have been typically adopted in clinical practice for viewing purposes. Published phantom- and patient-based CT perfusion image quality assessments, for a reconstructed slice thickness of 5 mm in the pelvis, have shown typical peak CNR values greater than 1.5 at 100 kV (29). In our study, a CNR greater than 1.5 was achieved with ASIR levels of 60% and above: with the aim of striking a balance between achieving adequate CNR and avoiding major changes in image appearance, typical of pure ASIR algorithms, 60% will be our favored and recommended ASIR level for tumour CT perfusion analysis below 100kV in future studies, particularly for tumours located in the pelvis, representing 27 out of 32 tumours in the current cohort (including rectum, sigmoid and cecum).

A reduced peak kilovoltage of 100 kV was adopted instead of the 'standard' 120 kV previously used for quantitative perfusion analysis of colorectal tumours (30-32), aiming to strike a balance between dose reduction and clinically acceptable image quality in a typical patient cohort from the United Kingdom.

The current study has a few limitations. We only assessed the effect of ASIR on image quality and pCT quantitation at fixed kV and mA levels, having to adhere to a fixed imaging protocol as part of a prospective multicentric study; ethical issues would have to be considered with repeated acquisitions at multiple kV or mA levels in the same patient. The patient cohort size (n = 32), albeit relatively small, has been shown to have >99.9% power, with a 5% significance level, to show a difference of 7 units or more between two sets of parameter measurements, equivalent to the clinically acceptable 10% parameter variation (based on BF values). In summary we found that ASIR improves image noise and contrast-to-noise ratio and does not alter pCT tumour parameters substantially at a reduced peak kilovoltage of 100 kV. These supportive data strengthen the case for implementing lower dose pCT protocols in future clinical practice, within the constraints of an adequate CNR for quantification.

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### TABLES

**Table 1.** Mean  $\pm$  SD for image noise and contrast to noise ratios for each ASIR percentage and the relative change between 0% and 100% ASIR are shown. Data are means  $\pm$  standard deviations, with ranges in parentheses.

Image quality	ASIR per	Relative change (%)					
	0%	20%	40%	60%	80%	100%	
Noise	27.06	28.24	24.37	22.40	19.64	18.16	
	±4.57	±4.75	±4.32	±4.45	±3.72	±4.41	
	(15.32-	(15.32-	(13.83-	(11.23-	(10.34-	(10.08-	
	38.00)	38.71)	32.42)	33.74)	26.04)	28.01)	- 32.8%
Contrast	1.22	1.20	1.36	1.53	1.73	1.96	
to noise	±1.08	±1.12	±1.28	±1.48	±1.69	±1.92	
	(0.18-	(0.16-	(0.10-	(0.21-	(0.22-	(0.21-	
	4.59)	4.92)	5.73)	6.73)	7.90)	9.23)	+ 60.7%

**Table 2.** Tumour perfusion CT measurements for each ASIR percentage, P values for repeated measures ANOVA and coefficients of variation (CV) are shown. Data are means  $\pm$  standard deviations, with ranges in parentheses.

Perfusion	ASIR per	P-	CV					
СТ	0%	20%	40%	60%	80%	100%	value	(%)
parameter								
Blood flow	68.08	67.75	67.51	68.13	67.18	66.93	0.85	4.9
(mL/min/	±20.31	±20.38	±20.62	±21.11	±20.10	±19.39		±3.4
100g)	(42.17-	(41.31-	(41.18-	(40.68-	(41.95-	(40.80-		
	118.55)	114.99)	114.69)	126.09)	120.48)	112.45)		
Blood	7.09	7.01	7.03	7.15	7.10	7.12	0.62	4.2
volume	±1.99	±1.99	±1.97	±1.96	±1.95	±2.03		±3.0
(mL/100g)	(4.17-	(3.88-	(3.71-	(3.84-	(4.09-	(3.90-		
	11.35)	11.18)	12.05)	11.11)	10.92)	11.62)		
Mean transit	7.54	7.60	7.62	7.74	7.74	7.78	0.02	3.3
time (sec)	±1.15	±1.29	±1.20	±1.24	±1.21	±1.29		±2.3
	(4.70-	(4.83-	(4.84-	(4.74-	(4.78-	(4.73-		
	9.91)	9.87)	10.03)	9.96)	9.88)	10.01)		
Permeability	32.51	32.20	32.03	32.08	32.82	32.76	0.81	7.9
surface area	±7.65	±8.90	±8.84	±8.21	±9.17	±9.95		±3.6
product	(18.54-	(18.00-	(18.73-	(19.49-	(15.78-	(12.99-		
(mL/min/	52.47)	52.82)	53.87)	51.32)	53.70)	59.52)		
100 g)								

#### FIGURE LEGENDS

**Figure 1.** Axial perfusion CT image reconstructed with 0% (A), 60% (B) and 100% ASIR (C). Parametric blood flow colour map (D).

**Figure 2.** Box and whisker plots of perfusion CT parameters across increasing ASIR levels. Solid line in box represents median value, upper and lower bars represent first and third quartiles, whiskers represent 95% confidence intervals. P values of repeated measures ANOVA (with or without GG correction) are reported.

**Figure 3.** Box and whisker plots of image noise and CNR across increasing ASIR levels. Solid line in box represents median value, upper and lower bars represent first and third quartiles, whiskers represent 95% confidence intervals.