

## Local impurity in a multichannel Luttinger liquid

Kagalovsky, Victor; Lerner, Igor; Yurkevich, Igor

DOI:

[10.1103/PhysRevB.95.205122](https://doi.org/10.1103/PhysRevB.95.205122)

*Document Version*

Publisher's PDF, also known as Version of record

*Citation for published version (Harvard):*

Kagalovsky, V, Lerner, I & Yurkevich, I 2017, 'Local impurity in a multichannel Luttinger liquid', *Physical Review B*, vol. 95, no. 205122, 205122. <https://doi.org/10.1103/PhysRevB.95.205122>

[Link to publication on Research at Birmingham portal](#)

**Publisher Rights Statement:**

Checked for eligibility: 24/05/2017

<https://journals.aps.org/prb/pdf/10.1103/PhysRevB.95.205122>

©2017 American Physical Society

Kagalovsky, V., I. V. Lerner, and I. V. Yurkevich. "Local impurity in a multichannel Luttinger liquid." *Physical Review B* 95.20 (2017): 205122.

**General rights**

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

- Users may freely distribute the URL that is used to identify this publication.
- Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.
- User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?)
- Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

**Take down policy**

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact [UBIRA@lists.bham.ac.uk](mailto:UBIRA@lists.bham.ac.uk) providing details and we will remove access to the work immediately and investigate.

**Local impurity in a multichannel Luttinger liquid**V. Kagalovsky,<sup>1,4</sup> I. V. Lerner,<sup>2</sup> and I. V. Yurkevich<sup>3,4</sup><sup>1</sup>*Shamoon College of Engineering, Beer-Sheva 84105, Israel*<sup>2</sup>*University of Birmingham, School of Physics & Astronomy, B15 2TT, United Kingdom*<sup>3</sup>*Aston University, School of Engineering & Applied Science, Birmingham B4 7ET, United Kingdom*<sup>4</sup>*Institute of Fundamental and Frontier Sciences, University of Electronic Science and Technology of China,**Chengdu 610054, People's Republic of China*

(Received 10 January 2017; published 15 May 2017)

We investigate the stability of conducting and insulating phases in multichannel Luttinger liquids with respect to embedding a single impurity. We devise a general approach for finding critical exponents of the conductance in the limits of both weak and strong scattering. In contrast to the one-channel Luttinger liquid, the system state in certain parametric regions depends on the scattering strength which results in the emergence of a bistability. Focusing on the two-channel liquid, the method developed here enables us to provide a generic analysis of phase boundaries governed by the most relevant (i.e., not necessarily single-particle) scattering mechanism. The present approach is applicable to channels of different nature as in fermion-boson mixtures, or to identical ones as on the opposite edges of a topological insulator. We show that interaction per se cannot provide protection in the particular case of topological insulators realized in narrow Hall bars.

DOI: [10.1103/PhysRevB.95.205122](https://doi.org/10.1103/PhysRevB.95.205122)**I. INTRODUCTION**

The recent advances in the study of topological insulators have led to a wider search for non-Abelian states in condensed matter systems and brought to life a set of effective theories describing such exotic states. One of the promising models capable of catching the essential physics of non-Abelian quantum Hall states is an anisotropic system consisting of an array of coupled one-dimensional (1D) wires [1]. This model was used for the construction of integer [2] and fractional quantum Hall states [3]. Sliding phases in classical  $XY$  models [4], smectic metals [5], and many other exotic states are all described by the sliding Luttinger liquid (LL) model [6]. In general, in these and other models of multichannel LL of translationally invariant (clean) systems, interactions may only open a gap blocking some degrees of freedom and leading to new gapless states for the remaining gapless excitations.

This is one of the reasons of focusing our research interest on a multichannel LL with translational invariance broken by a single or multiple impurities. Many specific studies of various two-channel LL with broken translational invariance, like 1D binary cold-atomic mixtures [7,8], electron-phonon LL [9–11], or topological insulators with impurity scattering between opposite edge currents [12,13], have been based on the seminal renormalization group (RG) analysis [14,15] of the impact of a single impurity on the conductance of a single-channel LL. This analysis shows that such an impact is fully governed by the value of the Luttinger parameter  $K$ . At temperatures  $T \rightarrow 0$ , the LL becomes a complete insulator for any strength of backscattering from impurities for  $K < 1$  (fermions with repulsion), or behaves as a translationally invariant LL (i.e., becomes an ideal conductor [16]) for  $K > 1$  (bosons with repulsion or fermions with attraction). All these examples were special: for chiral currents on the opposite edges of a topological insulator, the Luttinger parameters were the same [12,13], while in other examples of the two-channel LL, there was no intrachannel interaction in one of the channels (fermions in the binary cold-atomic mixtures or phonons in the electron-phonon LL) [7–11].

In this paper, we develop a general formalism for the RG analysis of the impact of a single impurity on the conductance of a multichannel LL. The results are also applicable to a disordered multichannel LL at moderate temperatures when the thermal length is smaller than the mean distance between impurities—this is the opposite limit to that required for the Anderson or many-body localization [17]. We show that the RG dimensions are governed by a real symmetric  $N \times N$  “Luttinger” matrix  $K$  whose diagonal elements are defined via the Luttinger parameters and velocities in each channel while the off-diagonal ones involve the interchannel interaction strengths.

We apply the formalism to analyze in detail the conductance of a two-channel LL with arbitrary parameters as well as easily reproduce known results [12] for scattering between two opposite edge states in a topological insulator. The LL can be built, e.g., from the binary cold-atomic mixtures where the values of the Luttinger parameters in each channel can be arbitrary. Although the RG flows are governed by  $K$ , this might be not sufficient to define the conducting state of the LL: it is possible that for the same values of the elements of  $K$ , both the conducting and insulating channels are *stable* against embedding the impurity, signaling the existence of an unstable fixed point with the RG flows in its vicinity governed by the impurity scattering strength. We also discuss the possibility of the two- or multi-particle scattering from the impurity becoming more relevant than a single-particle one in a certain parametric interval [18]. In this case, there exists a region of parameters where both insulating and conducting phases become *unstable*, indicating the existence of an attractive fixed point or the possibility to construct different initial channels.

**II. MODEL**

We consider a generic multichannel Luttinger liquid with interchannel interactions but only intrachannel scattering from impurities, focusing on the two standard limits of a weak scattering (WS) from the impurity or a weak link (WL)

connecting two clean semi-infinite channels. The conductance of an ideal single-channel LL is known [16] to be equal to  $e^2/h$  independently of the interaction strength parameterized with the Luttinger parameter  $K$ . The RG analysis of the impurity impact (whether it is WS or WL) on the conductance  $G$  shows [14] that it is fully governed by  $K$ : when the temperature tends to zero,  $G$  vanishes for  $K < 1$  or goes over to the ideal limit,  $e^2/h$ , for  $K > 1$ .

We will show that a phase diagram for the multichannel LL can be drastically different, with the emergence of a region where for a given set of Luttinger parameters the limiting conductance of some or all channels might depend on the scattering strengths. The pivotal role in determining the conducting properties of the multichannel LL is played by the Luttinger matrix  $K$  that generalizes  $K$ . Its form does not depend on the scattering so that we start with defining  $K$  in the clean limit.

### A. Multichannel Luttinger liquid

The low-energy Hamiltonian of the usual single-channel LL can be written in the Haldane representation [19] as

$$\hat{H}_1 = \frac{v}{2\pi} \int dx \left[ \frac{1}{K} (\partial_x \hat{\theta})^2 + K (\partial_x \hat{\varphi})^2 \right], \quad (1)$$

where  $v$  is the velocity,  $K$  is the Luttinger parameter, and the canonically conjugate operators  $\hat{\theta}$  and  $\hat{\varphi}$  describe correspondingly the density fluctuations,  $\delta \hat{n} = \partial_x \hat{\theta} / \pi$ , and current,  $\hat{j} = \partial_x \hat{\varphi} / \pi$ , and obey the commutation relation

$$[\hat{\theta}(x), \hat{\varphi}(x')] = \frac{i\pi}{2} \text{sgn}(x - x'). \quad (2)$$

The corresponding Lagrangian density  $\mathcal{L}_1$  can be written in matrix notations as

$$\mathcal{L}_1 = \frac{1}{2\pi} (\theta, \varphi) \left[ \tau_1 \partial_t + \begin{pmatrix} vK^{-1} & 0 \\ 0 & vK \end{pmatrix} \partial_x \right] \partial_x \begin{pmatrix} \theta \\ \varphi \end{pmatrix}, \quad (3)$$

where  $\tau_1$  is the Pauli matrix and  $\theta$  and  $\varphi$  are the bosonic fields corresponding to the operators  $\hat{\theta}$  and  $\hat{\varphi}$ .

The Lagrangian density of the  $N$ -channel LL with channels coupled only by interactions can be represented in a similar way as

$$\mathcal{L} = \frac{1}{2\pi} (\boldsymbol{\theta}^T, \boldsymbol{\varphi}^T) \left[ \tau_1 \partial_t + \begin{pmatrix} \mathbf{V}_\theta & 0 \\ 0 & \mathbf{V}_\varphi \end{pmatrix} \partial_x \right] \partial_x \begin{pmatrix} \boldsymbol{\theta} \\ \boldsymbol{\varphi} \end{pmatrix}, \quad (4)$$

where the density fluctuations and currents in each channel are combined to form the vectors

$$\boldsymbol{\theta}^T = (\theta_1, \theta_2, \dots, \theta_N); \quad \boldsymbol{\varphi}^T = (\varphi_1, \varphi_2, \dots, \varphi_N). \quad (5)$$

The cross-terms  $\propto \partial_x \boldsymbol{\varphi}^T \cdot \partial_x \boldsymbol{\theta}$ , are absent since they would break inversion symmetry. The diagonal elements of the real symmetric density-density and current-current interaction matrices,  $\mathbf{V}_\theta$  and  $\mathbf{V}_\varphi$ ,

$$V_\theta^{ii} = \frac{v_i}{K_i}, \quad V_\varphi^{ii} = v_i K_i, \quad (6)$$

account for intrachannel interactions. They are parameterized by the (renormalized) velocities,  $v_i$ , and the Luttinger parameters,  $K_i$ , in each channel. The interchannel interactions are accounted for by the off-diagonal matrix elements  $V_\theta^{ij}$  and  $V_\varphi^{ij}$  of  $\mathbf{V}_\theta$  and  $\mathbf{V}_\varphi$ .

Sometimes it is convenient to represent the Lagrangian in terms of the fields  $\boldsymbol{\varphi}_{R,L}$  of chiral left and right movers, which are related to  $\boldsymbol{\theta}$  and  $\boldsymbol{\varphi}$  by the standard rotation

$$\boldsymbol{\theta} = \frac{1}{2}(\boldsymbol{\varphi}_R - \boldsymbol{\varphi}_L), \quad \boldsymbol{\varphi} = \frac{1}{2}(\boldsymbol{\varphi}_R + \boldsymbol{\varphi}_L). \quad (7)$$

Generalizing the usual  $g$ -ology notations, we denote the  $i$ - $j$  channel interactions of the density components of the same chirality as  $V_4^{ij} \propto \tilde{g}_4^{ij}$ , and of the opposite chirality as  $V_2^{ij} \propto \tilde{g}_2^{ij}$ . The rotation (7) leads to the relation  $V_{\theta,\varphi}^{ij} \propto \tilde{g}_4^{ij} \pm \tilde{g}_2^{ij}$  which will be useful later on.

To diagonalize the Lagrangian, (4), we first transform the fields  $\boldsymbol{\theta}$  and  $\boldsymbol{\varphi}$  as follows:

$$\boldsymbol{\theta} = \mathbf{M} \tilde{\boldsymbol{\theta}}, \quad \boldsymbol{\varphi} = (\mathbf{M}^T)^{-1} \tilde{\boldsymbol{\varphi}}, \quad (8)$$

so that the commutation relations similar to those in (2) between the different components of the corresponding operators are preserved (similar approach was used in Ref. [20]). Then it is convenient [11] to choose the matrix  $\mathbf{M}$  in such a way that the two interaction matrices are reduced to the same diagonal velocity matrix  $\mathbf{u} = \text{diag}(u_1, \dots, u_N)$ :

$$\mathbf{M}^T \mathbf{V}_\theta \mathbf{M} = \mathbf{M}^{-1} \mathbf{V}_\varphi (\mathbf{M}^T)^{-1} = \mathbf{u}. \quad (9)$$

Introducing the matrix  $\mathbf{K} \equiv \mathbf{M} \mathbf{M}^T$ , we rewrite this transformation as follows:

$$\mathbf{K} \mathbf{V}_\theta \mathbf{K} = \mathbf{V}_\varphi = \mathbf{M} \mathbf{u} \mathbf{M}^T. \quad (10)$$

The representation of form  $\mathbf{B} = \mathbf{K} \mathbf{A} \mathbf{K}$  exists for any two positive-definite real symmetric matrices  $\mathbf{A} \equiv \{a^{ij}\}$  and  $\mathbf{B} \equiv \{b^{ij}\}$ . In particular, for  $2 \times 2$  matrices,  $\mathbf{K}$  is expressed via matrix elements of  $\mathbf{A}$  and  $\mathbf{B}$  and  $\kappa \equiv \det \mathbf{K} = \sqrt{\det \mathbf{B} / \det \mathbf{A}}$  as follows [21]:

$$\mathbf{K} = \sqrt{\frac{\kappa}{ac - b^2}} \begin{pmatrix} a & b \\ b & c \end{pmatrix}, \quad \begin{cases} a = b_{11} + \kappa a_{22} \\ b = b_{12} - \kappa a_{12} \\ c = b_{22} + \kappa a_{11} \end{cases}. \quad (11)$$

The Lagrangian density in terms of the new fields, Eq. (8), is given by

$$\mathcal{L} = \frac{1}{2\pi} (\tilde{\boldsymbol{\theta}}^T, \tilde{\boldsymbol{\varphi}}^T) (\tau_1 \partial_t + \tau_0 \mathbf{u} \partial_x) \partial_x \begin{pmatrix} \tilde{\boldsymbol{\theta}} \\ \tilde{\boldsymbol{\varphi}} \end{pmatrix}, \quad (12)$$

where  $\tau_0$  is the block-diagonal unit matrix in the  $\tilde{\boldsymbol{\theta}}$ - $\tilde{\boldsymbol{\varphi}}$  space and  $\mathbf{u}$  is the velocity vector, see Eq. (9). This can be finally diagonalized by rotating to the chiral fields, introduced similar to (7), resulting in the Lagrangian density

$$\mathcal{L} = \sum_{\eta=\pm 1} \frac{\eta}{4\pi} \tilde{\boldsymbol{\varphi}}_\eta^T \partial_\eta \partial_x \tilde{\boldsymbol{\varphi}}_\eta, \quad \partial_\eta \equiv \partial_t + \eta \mathbf{u} \partial_x, \quad (13)$$

where  $\eta = \pm 1$  labels the fields of the right and left movers.

As we consider a local impurity that leads to intrachannel backscattering within the original channels, we will need the correlation functions of the original fields  $\boldsymbol{\varphi}$  and  $\boldsymbol{\theta}$  to describe its impact. To find them, we start in Sec. III with the straightforward correlations of  $\tilde{\boldsymbol{\varphi}}$  and  $\tilde{\boldsymbol{\theta}}$  governed by the multichannel LL Lagrangian in diagonal form (13) and use (8) to transform back to the original fields. Then we will show that it is the matrix  $\mathbf{K}$  (10), rather than the diagonalizing matrix  $\mathbf{M}$ , that governs the RG flows for the conductance of the multichannel LL in the presence of the local impurity.

### B. Intrachannel scattering

The RG analysis [14] of the impact of a local impurity embedded into a single-channel LL was actually the analysis of stability of the initially continuous channel (which has ideal conductance  $e^2/h$  [16] for any value of  $K$ ) against embedding a weak scatterer, and of the stability of the initially split (and thus insulating) channel against connecting its two parts by a weak link.

In what follows, we represent initially continuous or split channels of the multichannel LL by boundary conditions for  $\theta$  and  $\varphi$  at the point  $x = 0$  where a WS or WL will be inserted. To treat both the insulating and conducting limits on equal footing, we parametrize the boundary conditions in terms of the jumps at  $x = 0$ ,  $\Delta\theta(t) \equiv \theta(+0, t) - \theta(-0, t)$ , and  $\Delta\varphi(t) \equiv \varphi(+0, t) - \varphi(-0, t)$ , as follows:

$$\Delta\theta(t) = 0, \quad \Delta\varphi(t) = -2\xi\theta(0, t). \quad (14)$$

Here, the limit  $\xi \rightarrow 0$  represents a continuous channel and  $\xi \rightarrow \infty$  represents a split channel for which there is no current across the split so that  $\theta$  vanishes on both its sides, while the values  $\varphi(+0)$  and  $\varphi(-0)$  are mutually independent. The RG analysis [14] shows that the continuous channel is stable against embedding a WS,  $\mathcal{L}_{\text{ws}} \sim \sum_n v_n^{\text{bs}} e^{2in\theta}$ , for  $K > 1$ , while the split one is stable against embedding a WL,  $\mathcal{L}_{\text{wl}} \sim \sum_n v_n^{\text{tun}} e^{in\Delta\varphi}$ , for  $K < 1$ .

The boundary conditions, (14), are generalized for the multichannel case as

$$\Delta\theta(t) = 0, \quad \Delta\varphi(t) = -2\Xi\theta(0, t), \quad (15)$$

where  $\Xi \equiv \text{diag}(\xi_1, \xi_2, \dots, \xi_N)$ . In the final answers we shall take the physical limit (denoted below as  $\lim_\xi$ ) in which  $\xi_i \rightarrow 0$  for all the continuous channels and  $\xi_j \rightarrow \infty$  for all the split channels.

Our aim is to analyze the RG stability of the boundary conditions in (15) with respect to inserting a WS (at  $x = 0$ ) into each continuous channel (where  $\xi_i \rightarrow 0$ ), or inserting a WL into each split channel ( $\xi_j \rightarrow \infty$ ). We assume that neither WS nor WL leads to interchannel scattering. This assumption encompasses most relevant cases of carriers with different spins (e.g., helical channels in topological insulators), or different species (e.g., fermion-boson mixtures), or spatially separated edge currents. Under this assumption, the Lagrangian density of the corresponding local perturbation can be written in a uniform way as

$$\mathcal{L}_{\text{sc}} = \sum_n v_{n_{\text{bs}}, n_{\text{tun}}} e^{2in_{\text{bs}}^T\theta(t) + in_{\text{tun}}^T\Delta\varphi(t)} + \text{c.c.} \quad (16)$$

Here,  $v_{n_{\text{bs}}, n_{\text{tun}}}$  is an amplitude of backscattering in continuous channels or tunneling through split channels with multiplicity of each process characterized by vectors  $n_{\text{bs}}$  and  $n_{\text{tun}}$ , respectively, where the former has integer components in continuous and zero in split channels, while the latter integer in split and zero in continuous channels.

It is convenient to reformulate the boundary conditions (15) in terms of the ‘‘in’’ and ‘‘out’’ chiral fields connected by an  $S$  matrix,  $\Psi_{\text{out}} = \mathbf{S} \Psi_{\text{in}}$ :

$$\Psi_{\text{out}}(t) = \begin{pmatrix} \varphi_{\text{R}}(+0, t) \\ \varphi_{\text{L}}(-0, t) \end{pmatrix}, \quad \Psi_{\text{in}}(t) = \begin{pmatrix} \varphi_{\text{L}}(+0, t) \\ \varphi_{\text{R}}(-0, t) \end{pmatrix}, \quad (17)$$

where  $\varphi_{\text{R,L}} \equiv \varphi \pm \theta$ , and the  $S$  matrix is given by

$$\mathbf{S} = \begin{pmatrix} \mathbf{R} & \mathbf{T} \\ \mathbf{T} & \mathbf{R} \end{pmatrix}, \quad \mathbf{T} = \mathbf{1} - \mathbf{R} = (\mathbf{1} + \Xi)^{-1}, \quad (18)$$

with  $\mathbf{R}$  and  $\mathbf{T}$  being diagonal matrices made of reflection and transmission coefficients in each channel. In the physical limit,

$$\lim_\xi \mathbf{R} = \mathbf{P}_{\text{c}}, \quad \lim_\xi \mathbf{T} = \mathbf{P}_{\text{i}}, \quad (19)$$

where  $\mathbf{P}_{\text{c(i)}}$  is the projector onto the subspaces of continuous (split) channels, i.e., the diagonal matrix whose elements equal 1 for the conducting and 0 for the insulating channels (or vice versa).

The scattering and tunneling multiplicity vectors in (16) can be formally represented via these projectors as  $n_{\text{bs}} = \mathbf{P}_{\text{c}} \mathbf{n}$  and  $n_{\text{tun}} = \mathbf{P}_{\text{i}} \mathbf{n}$  with  $\mathbf{n}$  being a generic vector with  $N$  integer components,  $\mathbf{n} = (n_1, n_2, \dots, n_N)^T$ . The integers in  $\mathbf{n}$  can be of any sign reflecting the fact that directions of backscattering (or tunneling) in continuous (or split) channels can be opposite in different channels. In the following section we will use the model formulated here for an RG analysis of the impact of the intrachannel local perturbation, (16), on the conductance of the multichannel LL.

### III. SCALING DIMENSIONS FOR SCATTERING AMPLITUDES

The RG analysis of the impact of the scattering term (16) requires the correlation functions of the fields with the action defined by the Lagrangian density of Eq. (4). Since the interchannel interaction mixes the original channels, it is worth starting with the correlations in terms of the new fields, see Eq. (8), in which the Lagrangian of interacting multichannel LL is diagonal. To this end, we rewrite the boundary conditions of (15) in terms of these fields:

$$\Delta\tilde{\theta}(t) = 0, \quad \Delta\tilde{\varphi}(t) = -2\tilde{\Xi}\tilde{\theta}(0), \quad \tilde{\Xi} = \mathbf{M}^T \Xi \mathbf{M}. \quad (20)$$

This can be rewritten as in (17) via the chiral fields,  $\tilde{\varphi}_{\text{R,L}} \equiv \tilde{\varphi} \pm \tilde{\theta}$ , as

$$\tilde{\Psi}_{\text{out}} = \tilde{\mathbf{S}} \tilde{\Psi}_{\text{in}}, \quad \tilde{\mathbf{S}} = \begin{pmatrix} \tilde{\mathbf{R}} & \tilde{\mathbf{T}} \\ \tilde{\mathbf{T}} & \tilde{\mathbf{R}} \end{pmatrix}, \quad (21)$$

where nondiagonal reflection and transmission matrices are related to  $\tilde{\Xi}$  by  $\tilde{\mathbf{T}} = \mathbf{1} - \tilde{\mathbf{R}} = (\mathbf{1} + \tilde{\Xi})^{-1}$ , and  $\tilde{\Psi}_{\text{out}}$  and  $\tilde{\Psi}_{\text{in}}$  to  $\tilde{\varphi}_{\text{R,L}}(\pm 0)$  as the original fields in (17).

The correlation functions of the fields  $\tilde{\varphi}$  and  $\tilde{\theta}$  with the Lagrangian density of (12) can be easily found using its diagonal form, see Eq. (13). Incorporating the above boundary conditions results in the following correlations of the local fields [11,22]:

$$\begin{aligned} \langle 2\tilde{\theta}(t) \otimes 2\tilde{\theta}^T(t') \rangle &= -2\tilde{\mathbf{T}} \ell, \\ \langle \Delta\tilde{\varphi}(t) \otimes \Delta\tilde{\varphi}^T(t') \rangle &= -2\tilde{\mathbf{R}} \ell, \end{aligned} \quad (22)$$

where  $\ell \equiv \ln(t - t')$ . The correlation functions of the original fields  $\theta$  and  $\varphi$  are obtained from the field transformation (8)

as follows:

$$\begin{aligned} -\frac{1}{2}\langle 2\theta(t) \otimes 2\theta^T(t') \rangle &= \tilde{\mathbf{M}}\tilde{\mathbf{T}}\mathbf{M}^T \ell = [\mathbf{K}^{-1} + \Xi]^{-1} \ell; \quad (23a) \\ -\frac{1}{2}\langle \Delta\varphi(t) \otimes \Delta\varphi^T(t') \rangle &= (\mathbf{M}^T)^{-1} \tilde{\mathbf{R}}\mathbf{M}^{-1} \ell = [\mathbf{K} + \Xi^{-1}]^{-1} \ell. \end{aligned} \quad (23b)$$

Taking the physical limit described after (15) eliminates in (23a) rows and columns corresponding to the continuous channels, and in (23b) rows and columns corresponding to the split channels. The fact that the correlation functions are governed only by matrix  $\mathbf{K}$  justifies referring to it as the Luttinger matrix.

The RG flow of each amplitude  $v_{n_{\text{bs}}, n_{\text{un}}}$  describing different configurations of continuous and split channels in (16) is defined by its scaling dimension,  $\Delta_{\text{conf}}$ . Using the correlation functions of (23) to generalize the RG analysis [14] for the multichannel LL, we find these dimensions as follows (see Appendix A for details):

$$\Delta_{\text{conf}} = \mathbf{n}^T [\mathbf{P}_i \mathbf{K} \mathbf{P}_i + \mathbf{P}_c \mathbf{K}^{-1} \mathbf{P}_c]^{-1} \mathbf{n}. \quad (24)$$

The RG dimension  $\Delta_{\text{conf}}$  is fully governed by the Luttinger matrix  $\mathbf{K}$  (10). Thus its role in defining the RG flows is similar to that of the Luttinger parameter  $K$  for the single-channel LL. Any channel configuration, specified via the projectors of (19), remains stable against embedding the local impurity, (16), as long as  $\Delta_{\text{conf}} > 1$ . Obviously,  $K_i = 1$  does no longer separate the conducting and insulating state of the  $i$ th channel. More interesting is that, generically, there exist regions in the phase diagram where both the conducting and insulating boundary conditions are either simultaneously stable or simultaneously unstable, as we detail in the following section for the two-channel LL. In the former case, a phase coexistence emerges where the parameters of the unperturbed Lagrangian (4) does not determine the conducting state of the system: there should exist an unstable fixed point with the RG flows in its vicinity depending on the scattering strength of the perturbation (16). In the latter case, when neither zero nor ideal conductance is stable, it may flow to an intermediate value smaller than  $e^2/h$ , although there is no technique, short of an exact solution, to determine this value.

#### IV. TWO-CHANNEL LIQUID

Here we consider a two-channel LL implying that each channel has both right- and left-moving particles. In the absence of the interchannel interaction, such a two-channel LL has three distinct conducting configurations, as each of the two channels can be either conducting (labeled as ‘‘c’’) or insulating (labeled as ‘‘i’’). We analyze their RG stability with the interaction switched on. The RG dimension in (24) is fully governed by the three independent elements of the Luttinger matrix  $\mathbf{K}$  that can be deduced from (4)–(11). We start with some generic analysis in terms of the matrix elements of  $\mathbf{K}$ , and express these elements via the parameters of the Lagrangian in the subsequent section.

##### A. Generic analysis

The boundaries between different phases are governed by the stability conditions  $\Delta_{\text{conf}} > 1$ , where the RG dimension

$\Delta_{\text{conf}}$  is given by (25), that must be satisfied for all the scattering processes (i.e., for  $n_{1,2} = 0, \pm 1, \pm 2, \dots$ ). In this section we derive the parametric requirements for one- and two-particle scatterings to dominate [18]. For clarity, we explicitly rewrite the stability conditions for all the two-channel configurations. We remind that in the absence of the interchannel interaction the channels with  $K_i > 1$  ( $K_j < 1$ ) remain continuous (split) for any scattering strength.

cc: both channels are initially continuous. In this case, the projectors in (24) are  $\mathbf{P}_c = \text{diag}(1, 1)$  and  $\mathbf{P}_i = 0$ , so that the configuration is stable when

$$\Delta_{\text{cc}} = n_1^2 K_{11} + 2n_1 n_2 K_{12} + n_2^2 K_{22} > 1. \quad (25a)$$

ii: the channels are initially split,  $\mathbf{P}_i = \text{diag}(1, 1)$  and  $\mathbf{P}_c = 0$ , so that the RG dimension is given by  $\Delta_{\text{ii}} = n_1^2 (\mathbf{K}^{-1})_{11} + 2n_1 n_2 (\mathbf{K}^{-1})_{12} + n_2^2 (\mathbf{K}^{-1})_{22}$ ; expressing the elements of the inverse Luttinger matrix in terms of  $\kappa \equiv \det \mathbf{K} > 0$ , we write the stability condition for this configuration as

$$\kappa \Delta_{\text{ii}} = n_1^2 K_{22} - 2n_1 n_2 K_{12} + n_2^2 K_{11} > \kappa. \quad (25b)$$

ic: the first channel is initially continuous while the second is split,  $\mathbf{P}_i = \text{diag}(1, 0)$  and  $\mathbf{P}_c = \text{diag}(0, 1)$ ; the configuration is stable when

$$\Delta_{\text{ic}} = \frac{n_1^2}{K_{11}} + \frac{\kappa n_2^2}{K_{11}} > 1. \quad (25c)$$

ci: here  $\mathbf{P}_i = \text{diag}(0, 1)$  and  $\mathbf{P}_c = \text{diag}(1, 0)$  so that the stability condition is obtained by interchanging  $1 \rightleftharpoons 2$  in the right-hand side (r.h.s.) of (25c):

$$\Delta_{\text{ci}} = \frac{n_2^2}{K_{22}} + \frac{\kappa n_1^2}{K_{22}} > 1. \quad (25d)$$

We will show in the next section that  $K_{12}$  is proportional to the interchannel interaction strength. In its absence, when  $K_{12} = 0$ ,  $K_{11} = K_1$ , and  $K_{22} = K_2$ , the following statements hold: (i) the one-particle scattering is most relevant as the scaling dimensions in each channel are mutually independent and (ii) there is an obvious duality [14] between WS and WL as  $\Delta_c = K$  and  $\Delta_i = 1/K$  so that one (and only one) of the insulating or conducting phase is necessarily unstable.

None of these statements remains necessarily valid in the presence of the interchannel interaction. We will show that the conditions in (25a) and (25b) can be simultaneously held in a certain parametric region, indicating the existence of an unstable critical point with RG flows being dependent on the scattering strength. Furthermore, for a sufficiently strong interchannel interaction, a multiple scattering becomes more RG relevant than the one-particle scattering resulting in the conditions in (25a) and (25b) being simultaneously broken [18].

Before illustrating this, let us consider a straightforward case of no scattering in the conducting channel 2,  $n_2 \equiv 0$ . This might happen when the channels are totally independent, e.g., they are spatially remote or have different physical nature, like in the electron-phonon LL [9–11]. In this case, channel 2 remains conducting whereas one-particle scattering is dominant in channel 1, so that isolated channels are either in the cc (for  $K_1 > 1$ ) or the ic (for  $K_1 < 1$ ) configuration. The interchannel interaction shifts the boundary between the

conducting and insulating behavior to  $K_{11} = 1$ , which now depends on the characteristics of both channels. However, as  $\Delta_{cc} = K_{11}$  and  $\Delta_{ic} = 1/K_{11}$ , the *duality condition*,  $\Delta_{cc}\Delta_{ic} = 1$ , still holds.

When scattering is possible in both channels, a more complicated picture emerges. To analyze which scattering configuration is RG dominant, we represent  $\mathbf{K}$  as a Gram matrix built of two vectors,  $\{K_{ij}\} = \mathbf{g}_i \cdot \mathbf{g}_j$ , where  $|\mathbf{g}_i| = \sqrt{K_{ii}}$ , while the angle  $\gamma = \widehat{\mathbf{g}_1\mathbf{g}_2}$  is given by

$$\cos \gamma = \frac{K_{12}}{\sqrt{K_{11}K_{22}}}. \quad (26)$$

Such a representation is possible when the interchannel interaction is not too strong: for  $K_{12} \geq \sqrt{K_{11}K_{22}}$  one enters the region of the Wentzel-Bardeen instability [21] where the channels should be totally restructured. In the subsequent analysis, we will stay clear of this region. In this representation,  $\Delta_{cc} = \mathbf{G}^2$ , where  $\mathbf{G} = n_1\mathbf{g}_1 + n_2\mathbf{g}_2$ , and a similar expression holds for  $\Delta_{ii}$  in terms of the inverse Luttinger matrix. Then the problem of finding a configuration corresponding to the most RG relevant scattering (which has the smallest  $\Delta_{\text{conf}}$ ) is reduced to that of finding the shortest vector on a 2D lattice spanned by  $\mathbf{g}_1$  and  $\mathbf{g}_2$ . In general, this shortest vector problem (SVP) does not have an analytic solution and is known to be computationally hard [23]. It is, however, possible to formulate the parametric conditions for which one-particle scattering,  $n_1 = 1, n_2 = 0$  or  $n_1 = 0, n_2 = 1$ , dominates the RG flows [18]. It is shown in Appendix B that the *sufficient* condition for one-particle scattering to dominate is

$$K_{12} < \frac{1}{2} \min\{K_{11}, K_{22}\} \Leftrightarrow |\cos \gamma| < \frac{1}{2}. \quad (27)$$

As  $K_{12}$  is proportional to the interchannel interaction strength, the above inequality holds when this interaction is sufficiently small.

To determine the boundaries between nontrivial phases in this case, we substitute  $|n_1| = 1, n_2 = 0$  or  $n_1 = 0, |n_2| = 1$  into the stability conditions of (25). Expressing  $\kappa \equiv \det \mathbf{K}$  in terms of  $\gamma$  as  $\kappa = K_{11}K_{22} \sin^2 \gamma$ , we represent these conditions (with  $s_0 \equiv 1/\sin^2 \gamma$ ) as

$$\begin{aligned} K_{11}, K_{22} > 1 \text{ (cc);} & \quad K_{11}, K_{22} < s_0 \text{ (ii);} \\ K_{11} < 1, K_{22} > s_0 \text{ (ic);} & \quad K_{22} < 1, K_{11} > s_0 \text{ (ci).} \end{aligned} \quad (28)$$

Since  $s_0 > 1$ , the boundaries of the cc and ii phases inevitably overlap, as illustrated in Fig. 1(a): inside the central square, i.e., for  $1 < K_{11}, K_{22} < 1/\sin^2 \gamma$ , the phase where both channels are conducting is stable against weak scattering while the phase where both are insulating is stable against weak tunneling. As the elements of  $\mathbf{K}$  are the same for both phases, they can be only distinguished by the impurity scattering strength implicit in (16). Therefore a new unstable fixed point characterized by some critical value of scattering should exist for any given  $\mathbf{K}$ . Such a scattering-dependent fixed point describes a transition between insulating and conducting phases simultaneous for both channels. Any transition between the c and i phases that happens only in one of the channels is fully defined by the parameters of the Lagrangian in (4) independently of the scattering strength. This is illustrated by the solid phase boundaries between ii and ic phases, etc., in Fig. 1.

When the inequality in (27) fails with increasing  $K_{12}$ , see Eq. (26), which characterizes the interchannel interaction, the one-particle scattering still dominates in certain parts of the phase diagram; the appropriate necessary conditions are derived in Appendix B. However, many-particle (first of all, two-particle) scattering starts to change the phase diagram. Note that the change affects only the cc and ii phases while the stability of the ic or ci phases is unaffected by the many-particle scattering, as seen from Eqs. (25c) and (25d).

We consider the most relevant case [18] of the stability conditions, Eqs. (25a) and (25b), broken by the two-particle scattering. Substituting  $|n_{1,2}| = 1$  into (25a) and (25b), we find the two-particle *instability* conditions as follows:

$$\begin{aligned} K_{11} \pm 2\sqrt{K_{11}K_{22}} \cos \gamma + K_{22} < 1; \quad \text{(cc)} \\ K_{11} \pm 2\sqrt{K_{11}K_{22}} \cos \gamma + K_{22} < K_{11}K_{22} \sin^2 \gamma. \quad \text{(ii)} \end{aligned} \quad (29)$$

When  $|\cos \gamma| > \frac{1}{2}$  (i.e.,  $s_0 > \frac{4}{3}$ ), both these inequalities hold inside the parametric region of (28), where the ii and cc phases are *stable* with respect to the one-particle scattering (a similar observation was made in the paper [24] where the authors investigated arbitrary scattering strength but weak electron-electron interaction.). Thus going beyond the one-particle stability condition, Eq. (27), results in a more complicated form of the region of the phase coexistence as schematically illustrated in Fig. 1(b). There, the yellow square, which corresponds to the region of simultaneous stability of the ii and cc phases with respect to the one-particle scattering, increases; however, both these phases become unstable with respect to two-particle scattering in the corners of this square. The exact shape of the phase boundaries is not relevant but can be easily found from (29).

With further increase of the interchannel interaction (26), the regions of the two-particle instability start to overlap when both the inequalities in (29) hold simultaneously, see Fig. 1(c). This first happens in the center of the phase coexistence region, where  $K_{11} = K_{22}$ , which gives  $|\cos \gamma| = \frac{3}{5}$  (i.e.,  $s_0 = \frac{25}{16}$ ). Thus a totally new situation might emerge [25] for  $|\cos \gamma| > \frac{3}{5}$  where the cc phase is unstable against weak scattering, while the ii phase is unstable against a weak link. This signals the existence of a nontrivial *attractive* fixed point at some intermediate value of the scattering strength. Again, the RG flows in its vicinity depend on the impurity scattering strength. The conductance of each channel in such a case is finite, but smaller than the ideal value. It might be possible in such a case to redefine the channels so that one of them would become fully insulating while the other ideally conducting, as we illustrate in Sec. V.

## B. Scattering boundaries in two-channel LL

The elements of the Luttinger matrix that define the phase stability conditions and thus the boundaries of all the phases are implicitly dependent on the interchannel interaction strengths,  $V_{\theta,\varphi}$ , as well as on the particle velocities,  $v_{1,2}$ , and the Luttinger parameters,  $K_{1,2}$ , in both the channels. Here we explicitly derive this dependence.

The  $2 \times 2$  Luttinger matrix  $\mathbf{K}$ , which governs the stability conditions (25), is defined via the interaction matrices,  $V_{\theta}$

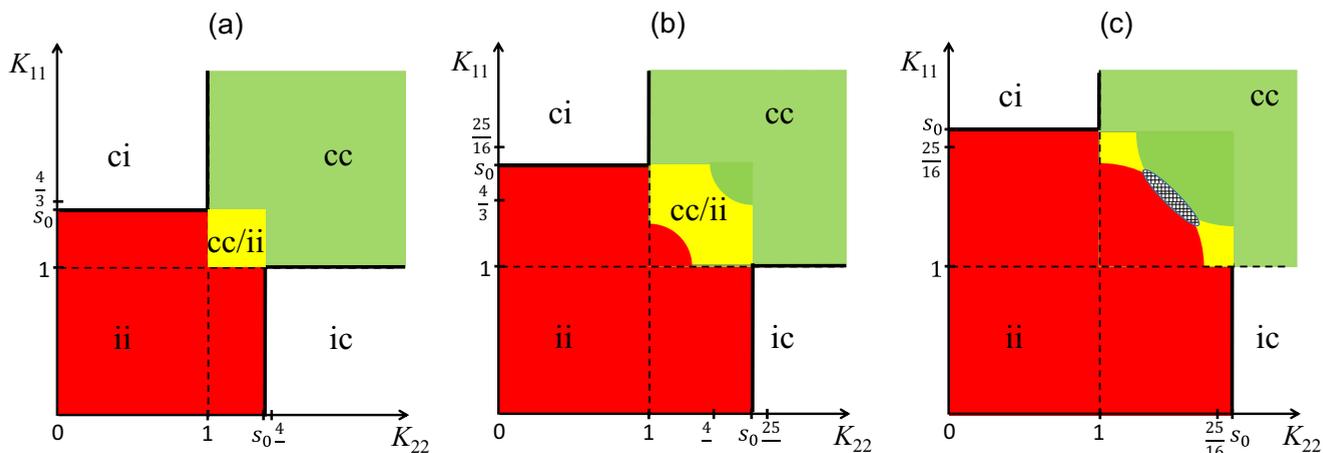


FIG. 1. Schematic phase diagrams. (a) Under condition (27), when the one-particle scattering is dominant for all  $K_{11}$  and  $K_{22}$ , the cc and ii phases are both stable with respect to one-particle scattering from a WS or a WL, respectively, in the square  $1 < K_{11}, K_{22} < s_0$ . (b) With the interchannel interaction increasing, the square of the cc–ii phase coexistence grows; however, for  $s_0 > \frac{4}{3}$ , the cc (or ii) phase becomes unstable with respect to two-particle scattering from a WS (or WL) in the lower (upper) corner of this square. (c) With further increase of  $K_{12}$ , at  $|\cos \gamma| > 3/5$ , the two-particle scattering results in the appearance of the cross-hatched region on the phase diagram where both the ii and cc phases are unstable.

and  $V_\varphi$ , by (10). Now we will express  $\mathbf{K}$  explicitly in terms of matrix elements of  $V_{\theta,\varphi}$ . In the two-channel case, these matrices, which define the Lagrangian (4), are represented in terms of the interchannel density-density and current-current interaction strengths,  $V_\varphi$  and  $V_\theta$ , the Luttinger parameters  $K_{1,2}$ , and renormalized velocities  $v_{1,2}$ , in each channel as follows:

$$V_\theta = \begin{pmatrix} v_1 K_1^{-1} & V_\theta \\ V_\theta & v_2 K_2^{-1} \end{pmatrix}, \quad V_\varphi = \begin{pmatrix} v_1 K_1 & V_\varphi \\ V_\varphi & v_2 K_2 \end{pmatrix}. \quad (30)$$

Using the fact that the determinants of  $V_{\theta,\varphi}$  are positive [21], we represent them as

$$\det V_\theta = \frac{v_1 v_2 \cos^2 \alpha_\theta}{K_1 K_2}, \quad \det V_\varphi = v_1 v_2 K_1 K_2 \cos^2 \alpha_\varphi, \quad (31a)$$

where

$$\sin \alpha_\theta \equiv \sqrt{\frac{K_1 K_2}{v_1 v_2}} V_\theta \equiv \sqrt{K_1 K_2} (\tilde{g}_4 + \tilde{g}_2), \quad (31b)$$

$$\sin \alpha_\varphi \equiv \frac{V_\varphi}{\sqrt{K_1 K_2}} \equiv \frac{\tilde{g}_4 - \tilde{g}_2}{\sqrt{K_1 K_2}},$$

and  $\tilde{g}_{4,2}$  characterize interchannel interactions of the density components of the same or opposite chirality. Substituting this into (11), with  $\mathbf{A} \equiv V_\theta$  and  $\mathbf{B} \equiv V_\varphi$ , we arrive at the following representation of the Luttinger matrix:

$$\mathbf{K} = \frac{1}{B} \begin{pmatrix} K_1(\beta + \rho) & \frac{\sqrt{\beta K_1 K_2} \sin(\alpha_\varphi - \alpha_\theta)}{\cos \alpha_\theta} \\ \frac{\sqrt{\beta K_1 K_2} \sin(\alpha_\varphi - \alpha_\theta)}{\cos \alpha_\theta} & K_2(1 + \beta\rho) \end{pmatrix}$$

$$= \begin{pmatrix} K_1/K_{c1} & K_{12} \\ K_{12} & K_2/K_{c2} \end{pmatrix}, \quad K_{c1} \equiv \frac{B}{\beta + \rho}, \quad K_{c2} \equiv \frac{B}{1 + \beta\rho}, \quad (32)$$

where  $B \equiv \sqrt{1 + \beta^2 + 2\beta \cos(\alpha_\varphi - \alpha_\theta)}$ ,  $\beta \equiv v_1/v_2$ , and  $\rho \equiv \cos \alpha_\varphi / \cos \alpha_\theta$ .

To express the phase boundaries in Fig. 1 in these terms, we note that  $\kappa \equiv \det \mathbf{K} = K_1 K_2 |\rho|$  as follows from (10) and (31). On the other hand,  $\kappa = K_{11} K_{22} \sin^2 \gamma$ . Therefore substituting  $1/s_0 \equiv \sin^2 \gamma = \rho K_{c1} K_{c2}$  in (28), we find

$$\begin{aligned} K_1 > K_{c1} & \quad (cc), & K_1 < 1/\rho K_{c2} & \quad (ii). \end{aligned} \quad (33)$$

$$K_2 > K_{c2}$$

Thus the phase diagram with allowance only for the one-particle scattering looks on the  $K_1$ – $K_2$  plane exactly as that in Fig. 1(a) with the straight boundaries being defined by the inequalities (33).

However, such a picture is deceptively simple: both  $K_{c1}$  and  $K_{c2}$  nontrivially depend on the five parameters in (32) that define the clean two-channel Luttinger liquid: the Luttinger parameters in each channel themselves, the velocity ratio, and the two interchannel interaction parameters. We illustrate such a dependence by fixing the values of some of these parameters. Choosing  $v_1 = v_2 \equiv v$  simplifies the expressions for the boundaries: it follows from (32) that  $K_c \equiv K_{c1} = K_{c2} = \cos \alpha_\theta / \cos \frac{1}{2}(\alpha_\theta + \alpha_\varphi)$  and  $1/\rho K_c = \cos \frac{1}{2}(\alpha_\theta + \alpha_\varphi) / \cos \alpha_\varphi$ . Specifying three different choices of the interchannel interaction in (31) via  $\tilde{g}_{4,2}$ , with  $V_{\theta,\varphi} \equiv v(\tilde{g}_4 \pm \tilde{g}_2)$ , we arrive at three examples in Fig. 2. Note that, although we have chosen  $\beta = 1$  for illustrations, there is an important robust feature on these phase diagram: for any  $\beta$  the yellow region, representing the cc–ii phase coexistence, is always below the lines  $K_{1,2} = 1$  for  $\alpha_\theta > \alpha_\varphi > 0$  (a), or above these lines for  $\alpha_\varphi > \alpha_\theta > 0$  (b), while the noninteracting point  $K_1 = K_2 = 1$  is inside these region when the signs of the interchannel interaction parameters  $\alpha_{\theta,\varphi}$  are opposite (c). We do not show in Fig. 2 the boundaries of two-particle instability, which is analytically obtained in Appendix B by substituting elements of matrix  $\mathbf{K}$ , (32), into condition (29).

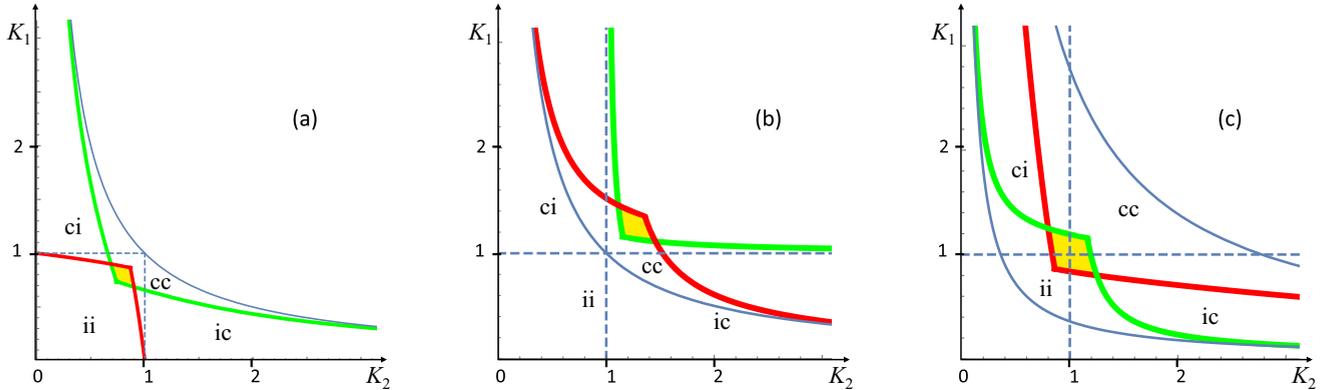


FIG. 2. Phase diagrams for  $\beta = 1$  and different values of the interchannel interaction parameters: (a)  $\tilde{g}_4 = \tilde{g}_2 = 0.5$ ; (b)  $\tilde{g}_4 = -\tilde{g}_2 = 0.5$ ; (c)  $\tilde{g}_4 = 0$ ;  $\tilde{g}_2 = 0.6$ . The blue curves on each graph show the boundary of the Wenzel-Bardeen instability region [21] while in the yellow region both ii and cc phases are stable with respect to one-particle scattering. Here we assume that the condition of (27) is fulfilled, i.e., multiple scattering is irrelevant.

### C. Interchannel scattering

The inclusion of interchannel scattering caused by a local impurity can be easily incorporated in our general scheme. Let us stress that such a scattering is absent in the case when the particles in the two channels are distinct as for the electron-phonon LL or the fermion-boson mixtures. If we consider a system of identical particles in two wires or in a single wire but with an additional quantum number to distinguish the channels (spin, for example), then interchannel scattering might be essential. If the two channels of identical particles are in a close contact, these processes might be critical even without impurities and can generate gapped states [26]. Our goal is to describe channels brought close to each other at a single point, like a quantum point contact (QPC) acting as a local “impurity.” The description of scattering processes accompanied by hopping between the channels includes terms that do not preserve the channel index. Let us consider the simplest process: backscattering of a particle right-moving in the first channel into a particle left-moving in the second channel. The corresponding term is proportional to

$$e^{in^T\theta + im^T\phi}, \quad n^T = (1, 1), \quad m^T = (1, -1). \quad (34)$$

Since we are describing weak backscattering in the system which is translationally invariant otherwise, the projectors, (19), become  $P_i = 1 - P_c = 0$ . Then the correlations of the fields  $\theta$  are given by the Luttinger matrix  $K$ , while the correlations of the fields  $\phi$ , rather than their jumps as in (23a), are given by the inverse of the Luttinger matrix,  $K^{-1}$ . The scaling dimension of this “cross-backscattering” process is readily found to be

$$\Delta_{\text{cross}} = \frac{1}{4} [n^T K n + m^T K^{-1} m]. \quad (35)$$

This result is in line with the RG analysis of clean two-leg fermion systems [27–29] although the authors restricted their considerations only to the hybridization-induced forward scattering since backscattering is forbidden in a translationally invariant system. The advantage of our scheme is that we can treat on equal footing all types of scattering in partially transmitting configurations, which is essential in the case

when backscattering becomes RG-relevant. Naturally, a local impurity cannot open a gap in a bulk spectrum unlike the situation considered in Ref. [26]. We leave a proper analysis of the impact of a local impurity on the gapped state in multichannel system for future considerations.

### V. WEAK SCATTERER AND WEAK LINK IN TWO-CHANNEL TOPOLOGICAL INSULATORS

Now let us consider in more detail another example of a two-channel LL: a 2D topological insulator supporting two helical states at each edge [12,13]. We analyze whether current-carrying edge states remain stable against potential scattering as in (16). The time-reversal symmetry forbids intraedge scattering, while a spin-conserving backscattering between the edges is allowed. The scattering amplitude can be regulated by a distance between the edge states and can be locally increased when they approach each other, e.g., like in QPC in a narrow Hall bar geometry [30]. Assuming that both these channels are of the same physical nature so that  $K_1 = K_2 \equiv K$  and  $\beta \equiv v_1/v_2 = 1$ , it is convenient to form the initial channels from spin-up and spin-down electrons so that left- and right-movers in each channel belong to the opposite edge. The backscattering then becomes an intrachannel process while the interchannel scattering is forbidden by time-reversal symmetry.

With such a choice of the channels, the present case falls within the generic analysis of the previous sections. The two-channel Luttinger matrix (32) simplifies:

$$K = \frac{K \operatorname{sgn}(\cos \frac{\alpha_\phi - \alpha_\theta}{2})}{\cos \alpha_\theta} \begin{pmatrix} \cos \frac{\alpha_\phi + \alpha_\theta}{2} & \sin \frac{\alpha_\phi - \alpha_\theta}{2} \\ \sin \frac{\alpha_\phi - \alpha_\theta}{2} & \cos \frac{\alpha_\phi + \alpha_\theta}{2} \end{pmatrix}. \quad (36)$$

Note that in this case, the mixed ci/ic phases are inevitably unstable against one-particle scattering as the diagonal elements of the Luttinger matrix above are equal to each other thus violating the stability conditions for these phases in (28).

It is reasonable to assume that only particles at the same edge are interacting (apart from relatively short regions of QPC where the interaction can be absorbed into the scattering

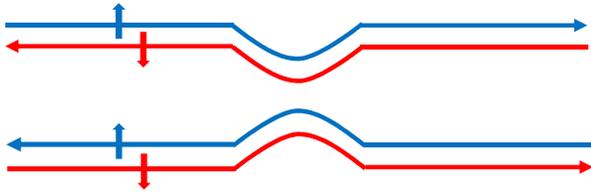


FIG. 3. Helical edge currents in a topological insulator with QPC. We relabel the channels so that the spin-up electrons at the opposite edges form one channel and the spin-down electrons the other. In this nomenclature, only a local intrachannel scattering is allowed at the QPC since the interchannel one is forbidden by the time-reversal symmetry. On the other hand, the interaction between the modes of opposite helicity at each edge is translated into the interchannel interaction while the intrachannel one is suppressed due to a spatial separation between the modes belonging to the same channel.

coefficients). Then the interchannel interaction is always between the particles of opposite chirality,  $\tilde{g}_4 = 0$ , i.e.,  $V_\theta = -V_\varphi$  in (31) resulting in  $\sin \alpha_\varphi = -\sin \alpha_\theta / K^2$  so that the Luttinger matrix (36) depends only on two parameters. In the particular case of the channels in Fig. 3 built from the interacting electrons, the intrachannel interaction contains only a  $g_4$ -proportional term resulting [31] in  $K = 1$ . In this case from  $V_\theta = -V_\varphi$  follows  $\alpha_\theta = -\alpha_\varphi \equiv \alpha$  so that (36) reduces to

$$\mathbf{K} = \frac{1}{|\cos \alpha|} \begin{pmatrix} 1 & -\sin \alpha \\ -\sin \alpha & 1 \end{pmatrix}. \quad (37)$$

Graphically, this state corresponds to the middle point,  $K_1 = K_2 = 1$ , in the phase diagram (c) in Fig. 2, which lies in the ii-cc phase coexistence region where both these phases are stable with respect to one-particle scattering. There the ultimate choice of the phase depends on the impurity scattering strength. Thus, although the cc state is protected against weak scattering, as has been noted earlier [13], no protection against strong scattering exists.

Even such a limited “protection” fails with increasing the interchannel interaction so that two-particle scattering becomes relevant. This happens at  $|\sin \alpha| \geq \frac{3}{5}$  after the two instability regions meet at the center,  $K_1 = K_2 = 1$ , in Fig. 1(c). To prove this, it is worth rewriting the RG exponents for the ii and cc phases, (25), for the present case:

$$\Delta_{\text{cc/ii}} = \frac{n_\uparrow^2 + n_\downarrow^2 \mp 2n_\uparrow n_\downarrow \sin \alpha}{|\cos \alpha|}. \quad (38)$$

For the two-particle scattering,  $|n_\uparrow| = |n_\downarrow| = 1$ , these exponents are smaller than 1 (making the phases unstable) for  $|\sin \alpha| > \frac{3}{5}$ . Naturally, this condition is equivalent to the general one,  $|\cos \gamma| > \frac{3}{5}$ , Fig. 1(c), as it follows from the definition of  $\gamma$ , (26), that  $\cos \gamma = -\sin \alpha$  for the matrix (37). Under this condition, there should exist, as described earlier, an intermediate *stable* fixed point corresponding to finite conductance of both the spin-up and spin-down channels.

Such a finite conductance, however, usually signifies the possibility of introducing composite channels, one continuous (ideal conductance) and one split (no conductance). In the present case, they correspond to the standard “charge-spin sep-

aration” choice of channels. Indeed, introducing  $n_{\text{ch}} = n_\uparrow + n_\downarrow$  and  $n_{\text{sp}} = n_\uparrow - n_\downarrow$  diagonalizes (38) for the RG exponents:  $\Delta_{\text{cc}} = \frac{1}{2}(K_{\text{ch}} n_{\text{ch}}^2 + K_{\text{sp}} n_{\text{sp}}^2)$  and  $\Delta_{\text{ii}} = \frac{1}{2}(K_{\text{ch}}^{-1} n_{\text{ch}}^2 + K_{\text{sp}}^{-1} n_{\text{sp}}^2)$ , where  $K_{\text{ch}} = K_{\text{sp}}^{-1} = (1 - \sin \alpha) / |\cos \alpha|$ . As  $n_{\text{ch}} + n_{\text{sp}}$  must be even, the lowest order scattering process is  $|n_{\text{ch}}| = |n_{\text{sp}}| = 1$ , corresponding to the (RG irrelevant) one-particle scattering in the “old” spin-up and spin-down channels. For such a process  $\Delta_{\text{cc}} = \Delta_{\text{ii}} = \frac{1}{2}(K_{\text{ch}} + K_{\text{ch}}^{-1}) = 1 / |\cos \alpha| > 1$ .

The lowest-order charge-only ( $|n_{\text{ch}}| = 2$ ) or spin-only ( $|n_{\text{sp}}| = 2$ ) scattering processes correspond to the two-particle scattering in the “old” channels with  $n_\uparrow = n_\downarrow = \pm 1$  or  $n_\uparrow = -n_\downarrow = \pm 1$ , respectively. Thus, although both the cc and ii phases are unstable with respect to the two-particle scattering for  $|\sin \alpha| > \frac{3}{5}$ , the instability reveals itself in different ways depending on the sign of  $\alpha$ . For the repulsive interchannel interaction ( $\alpha > 0$ ), the charge channel becomes insulating while the spin one remains ideally conducting, while for the attractive interaction ( $\alpha < 0$ ) the roles of the charge and spin channels are inverted. For the weak or intermediate interchannel interaction,  $|\sin \alpha| < \frac{3}{5}$ , both new channels remain conducting so that both cc and ii phases remain stable, corresponding to the existence of an unstable fixed point with RG flows depending on the scattering strength.

Any two-channel LL with the intrachannel interaction and interchannel scattering suppressed fits into the scenario described in this section. In particular, it reproduces the earlier result [12] on a corner junction between the edge currents in topological insulators. Let us also repeat that the idea of “interaction-protected” transport verified for weak scattering [13] needs analysis also for strong scattering (weak links). The results of this section show that for any intralevel interaction the edge currents are only stable against weak scattering, while allowing for two-particle scattering in the presence of a sufficiently strong intralevel interaction completely suppresses the edge currents.

## VI. CONCLUSION

We have developed a powerful approach to deal with a local impurity in multichannel Luttinger liquids. We have identified the Luttinger matrix, (9), (10), and (32), that controls scaling dimensions of all perturbations in all possible phases. Thus we have obtained the phase diagram for a generic two-channel Luttinger liquid, Fig. 1, that in certain parametric regions is governed by multiple scattering from the impurity [18]. We have constructed the phase boundaries that depend on the strength of interchannel interaction as well as on the intrachannel LL characteristics, Fig. 2. The presented approach is applicable to channels of different nature as in fermion-boson mixtures, or to identical ones as on the opposite edges of a topological insulator. In the future, we will extend it to particular interesting cases of a multichannel LL.

## ACKNOWLEDGMENTS

I.V.Y. research was funded by the Leverhulme Trust Research Project Grant RPG-2016-044. I.V.Y. and V.K. acknowledge support from the SCE internal grant.

**APPENDIX A: SCALING DIMENSIONS**

As the Lagrangian in terms of the fields  $\tilde{\theta}$  and  $\tilde{\varphi}$ , (12) and (13), is diagonal, the correlation functions are standard. Incorporating the boundary conditions, Eq. (20), results [11] in the  $\tilde{\theta}$ - $\tilde{\theta}$  and  $\tilde{\varphi}$ - $\tilde{\varphi}$  correlations of (22), and the following antisymmetric correlations of  $\tilde{\theta}$  and  $\tilde{\varphi}$ :

$$-\langle \Delta \tilde{\varphi}(t) \otimes 2\tilde{\theta}^T(t') \rangle = \langle 2\tilde{\theta}(t) \otimes \Delta \tilde{\varphi}^T(t') \rangle = (\tilde{R} - \tilde{T})\ell \quad (\text{A1})$$

with  $\ell \equiv \ln(t - t')$ . This results after rotation of (8) in the correlations of the original fields  $\theta$  and  $\varphi$  given in (23) and their cross-correlation given below:

$$\langle \theta(t) \otimes \Delta \varphi^T(t') \rangle = \frac{\Xi K}{1 + \Xi K} \ell, \quad (\text{A2})$$

$$\langle \Delta \varphi \otimes \theta(t)^T(t') \rangle = -\frac{K \Xi}{1 + K \Xi} \ell. \quad (\text{A3})$$

The above structure guarantees that the cross-correlations will not affect correlation functions of linear combinations of the type  $\mathbf{a} \cdot \theta + \mathbf{b} \cdot \Delta \varphi$ , and thus will not enter the RG dimensions calculated below.

In the physical limit described after (15), the boundary conditions for  $\theta$  are relevant in continuous channels and for  $\varphi$  in split channels. To take the limit, we relabel the channels so that the first  $n$  are continuous and the rest  $N - n$  are split. In such a basis, the Luttinger matrix and its inverse can be written as

$$\mathbf{K} = \begin{pmatrix} K_{cc} & K_{ci} \\ K_{ic} & K_{ii} \end{pmatrix}, \quad \mathbf{K}^{-1} = \begin{pmatrix} \bar{K}_{cc} & \bar{K}_{ci} \\ \bar{K}_{ic} & \bar{K}_{ii} \end{pmatrix} \quad (\text{A4})$$

while  $\Xi \equiv \text{diag}(\hat{\xi}_c, \hat{\xi}_i)$ , where in the physical limit all the elements of the diagonal  $n \times n$  matrix  $\hat{\xi}_c$  go to zero, and all the elements of the diagonal  $(N - n) \times (N - n)$  matrix  $\hat{\xi}_i$  to infinity. Obviously,  $\bar{K}_{cc} \neq K_{cc}^{-1}$ , as the elements of the former matrix depend on all the elements of matrix  $\mathbf{K}$ . In these notations, one finds that

$$\lim_{\xi} [\mathbf{K}^{-1} + \Xi]^{-1} = \begin{pmatrix} \bar{K}_{cc}^{-1} & 0 \\ 0 & 0 \end{pmatrix},$$

$$\lim_{\xi} [\mathbf{K} + \Xi^{-1}]^{-1} = \begin{pmatrix} 0 & 0 \\ 0 & K_{ii}^{-1} \end{pmatrix}. \quad (\text{A5})$$

Thus, in terms of the relabeled channels, the right-hand sides of (23a) and (23b) go over to  $\bar{K}_{cc}^{-1} \ell$  and  $K_{ii}^{-1} \ell$ , respectively.

Using the relabeled channels, we rewrite the Lagrangian density of (16) as

$$\mathcal{L}_{sc} = \sum_n v_n e^{in \cdot \Phi} + \text{c.c.}, \quad \Phi = \begin{pmatrix} 2\theta \\ \Delta \varphi \end{pmatrix}, \quad \mathbf{n} = \begin{pmatrix} n_c \\ n_i \end{pmatrix}, \quad (\text{A6})$$

where  $n_c$  and  $n_i$  are integer-valued vectors belonging to the  $c$  and  $i$  subspaces, respectively, that describe the multiplicity of backscattering in the former and of tunneling in the latter. The correlation function of fields  $\Phi$  has no contributions from the off-diagonal correlation of (A2) and is obtained from (23) in

the limit (A5) as follows:

$$\frac{1}{2} \langle \Phi(t) \otimes \Phi^T(t') \rangle = \begin{pmatrix} \bar{K}_{cc}^{-1} & 0 \\ 0 & K_{ii}^{-1} \end{pmatrix} = \begin{pmatrix} \bar{K}_{cc} & 0 \\ 0 & K_{ii} \end{pmatrix}^{-1}. \quad (\text{A7})$$

Therefore the scaling dimension of each term in Lagrangian (A6) can be written as

$$\dim[v_n e^{in \cdot \Phi}] = \mathbf{n}^T \begin{pmatrix} \bar{K}_{cc} & 0 \\ 0 & K_{ii} \end{pmatrix}^{-1} \mathbf{n}. \quad (\text{A8})$$

Now we use the projector operators of (19) to restore the original numbering of the channels, which gives

$$\bar{K}_{cc} \rightarrow \mathbf{P}_c \mathbf{K}^{-1} \mathbf{P}_c, \quad K_{ii} \rightarrow \mathbf{P}_i \mathbf{K} \mathbf{P}_i. \quad (\text{A9})$$

Combining this with (A8) results in (24) in the main text.

**APPENDIX B: THE SHORTEST VECTOR PROBLEM**

Finding the minimum of a quadratic form built on integer-valued vectors is equivalent to finding the shortest vector connecting nodes on a lattice. Although this problem in its completeness is known to be computationally hard [23], determining the sufficient condition for the shortest vector to be not an elementary lattice vector is straightforward. This is all we need to define the parametric region in which one-particle scattering is not necessarily RG-dominant.

The elements of the  $2 \times 2$  Luttinger matrix  $\mathbf{K}$  in the Gram representation are written as  $\{K_{ij}\} = \mathbf{g}_i \cdot \mathbf{g}_j$ , where  $|\mathbf{g}_i| = \sqrt{K_{ii}}$ , while the angle  $\gamma = \mathbf{g}_1 \cdot \mathbf{g}_2$  is given by (26). Then one has to find the minimum of  $|\mathbf{G}|^2$  where  $\mathbf{G} = n_1 \mathbf{g}_1 + n_2 \mathbf{g}_2$ , i.e., the minimal distance between two nodes on a two-dimensional lattice spanned by the basis vectors  $\mathbf{g}_{1,2}$ . For a rectangular lattice ( $\cos \gamma = 0$ ), the solution is the shortest lattice spacing, corresponding to  $n_1 = 0, n_2 = \pm 1$  (assuming  $g_1 > g_2$ ).

On decreasing the lattice angle  $\gamma$  with  $g_1 \geq g_2$  being constant, the horizontal lattice chains become closer as illustrated in Fig. 4. We draw there the circles of radius  $g_2$  centered at the lattice nodes on the low horizontal chain (with  $y = 0$ ). Measuring all lengths in units of  $g_2$ , the  $y$  coordinate of the upper boundary of these circles can be written as

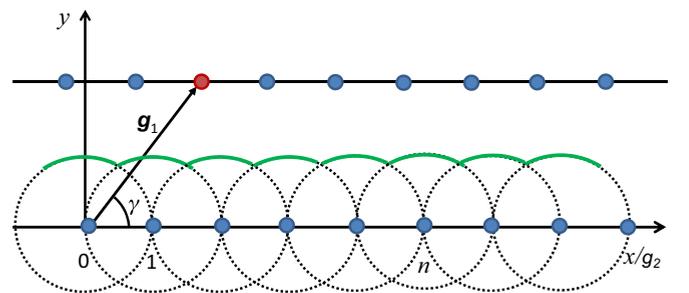


FIG. 4. The SVP illustration. With  $\gamma$  decreasing, the nearest horizontal chains of the lattice become closer. At some critical angle, the upper chain crosses the boundary made by circles of radius  $g_2$  around each node of the lower chain. Then the shortest distance between the nodes of the upper and lower chains is less than the length of the shortest basis vector  $g_2$ .

$y = \sqrt{1 - \{R \cos \gamma\}^2}$ , where  $R \equiv g_1/g_2 \geq 1$  and  $\{A\}$  is the distance of  $A$  to the closest integer  $n$  (so that  $-\frac{1}{2} \leq \{A\} \leq \frac{1}{2}$ ). When the end of basis vector  $\mathbf{g}_1$  touches this boundary, the distance between the zeroth node of the upper and the  $n$ th node of the lower chains equals  $g_2$  and becomes smaller with decreasing  $\gamma$ —this is where the  $n$ -particle scattering becomes more RG-relevant than the one-particle scattering. As the  $x$  coordinate of  $\mathbf{g}_1$  equals  $R \sin \gamma$ , the condition for this not to happen for any  $n$  is

$$R^2 \sin^2 \gamma + \{R \cos \gamma\}^2 > 1. \quad (\text{B1})$$

Since  $R \equiv g_1/g_2 \geq 1$  and  $\{R \cos \gamma\}^2 \leq \frac{1}{4}$ , the inequality is satisfied for any  $R$  when  $\cos \gamma < \frac{1}{2}$ . When the inequality fails, the multiplicity  $n$  of the scattering process, which is more RG relevant than the one-particle scattering, is given by  $n = [R \cos \gamma] + 1$ , where  $[A]$  is an integer closest to  $A$ . Thus, depending on the ratio  $R$ , it could be arbitrary large. For the important case of  $R = 1$  (considered in Sec. V), it is the physically relevant [18] two-particle scattering that becomes more RG relevant than the one-particle scattering for  $\cos \gamma < \frac{1}{2}$ .

- 
- [1] J. C. Y. Teo and C. L. Kane, *Phys. Rev. B* **89**, 085101 (2014).  
 [2] S. L. Sondhi and K. Yang, *Phys. Rev. B* **63**, 054430 (2001).  
 [3] C. L. Kane, R. Mukhopadhyay, and T. C. Lubensky, *Phys. Rev. Lett.* **88**, 036401 (2002).  
 [4] C. S. O'Hern, T. C. Lubensky, and J. Toner, *Phys. Rev. Lett.* **83**, 2745 (1999).  
 [5] A. Vishwanath and D. Carpentier, *Phys. Rev. Lett.* **86**, 676 (2001).  
 [6] R. Mukhopadhyay, C. L. Kane, and T. C. Lubensky, *Phys. Rev. B* **63**, 081103 (2001).  
 [7] M. A. Cazalilla and A. F. Ho, *Phys. Rev. Lett.* **91**, 150403 (2003); L. Mathey, D.-W. Wang, W. Hofstetter, M. D. Lukin, and E. Demler, *ibid.* **93**, 120404 (2004).  
 [8] F. Crépin, G. Zaránd, and P. Simon, *Phys. Rev. Lett.* **105**, 115301 (2010); *Phys. Rev. A* **85**, 023625 (2012).  
 [9] P. San-Jose, F. Guinea, and T. Martin, *Phys. Rev. B* **72**, 165427 (2005).  
 [10] A. Galda, I. V. Yurkevich, and I. V. Lerner, *Phys. Rev. B* **83**, 041106(R) (2011); *Europhys. Lett.* **93**, 17009 (2011); I. V. Yurkevich, A. Galda, O. M. Yevtushenko, and I. V. Lerner, *Phys. Rev. Lett.* **110**, 136405 (2013).  
 [11] I. V. Yurkevich, *Europhys. Lett.* **104**, 37004 (2013); I. V. Yurkevich and O. M. Yevtushenko, *Phys. Rev. B* **90**, 115411 (2014).  
 [12] C.-Y. Hou, E.-A. Kim, and C. Chamon, *Phys. Rev. Lett.* **102**, 076602 (2009).  
 [13] R. A. Santos and D. B. Gutman, *Phys. Rev. B* **92**, 075135 (2015).  
 [14] C. L. Kane and M. P. A. Fisher, *Phys. Rev. Lett.* **68**, 1220 (1992); *Phys. Rev. B* **46**, 7268(R) (1992); **46**, 15233 (1992).  
 [15] A. Furusaki and N. Nagaosa, *Phys. Rev. B* **47**, 4631 (1993).  
 [16] D. L. Maslov and M. Stone, *Phys. Rev. B* **52**, R5539 (1995); V. V. Ponomarenko, *ibid.* **52**, R8666 (1995); I. Safi and H. J. Schulz, *ibid.* **52**, R17040 (1995).  
 [17] T. Giamarchi and H. J. Schulz, *Phys. Rev. B* **37**, 325 (1988).  
 [18] The most RG-relevant scattering corresponds to the configuration with the lowest scaling dimension, see Eq. (24). However, bare amplitudes of multiparticle scattering are proportional to the appropriate power of a small parameter so that the regime where the most relevant multiparticle scattering dominates might only be reached at very low temperatures.  
 [19] F. D. M. Haldane, *J. Phys. C* **14**, 2585 (1981).  
 [20] I. Affleck and D. Giuliano, *J. Stat. Mech.* (2013) P06011.  
 [21] In the present case, both  $\det \mathbf{A} \equiv \det \mathbf{V}_\theta$  and  $\det \mathbf{B} \equiv \det \mathbf{V}_\varphi$  must be positive to avoid instabilities of the Wentzel-Bardeen type originally found [32] for the electron-phonon interaction in 1D.  
 [22] The cross-correlations of  $\varphi$  and  $\theta$  are antisymmetric, see Appendix A, and thus do not contribute to RG flows of the scattering terms of Eq. (16).  
 [23] S. Arora, L. Babai, J. Stern, and Z. Sweedyk, *J. Comput. Syst. Sci.* **54**, 317 (1997); D. Micciancio, *SIAM J. Comput.* **30**, 2008 (2014).  
 [24] A. V. Borin and K. E. Nagaev, *Phys. Rev. B* **89**, 235412 (2014).  
 [25] Such an instability can be due to an unreasonable original choice of the channels, e.g., electrons with opposite spins, when a WS suppresses charge current but has no impact on a spin current, leading to a finite conductivity of each original channel. However, a nontrivial situation emerges where the original choice is fixed by the boundary conditions on the leads, or spatial positions of the interacting channels, or different nature of them like in the fermion-boson case, etc.  
 [26] O. A. Starykh, D. L. Maslov, W. Häusler, and L. I. Glazman, in *Low-Dimensional Systems: Interactions and Transport Properties*, edited by T. Brandes, Lecture Notes in Physics Vol. 544 (Springer, Berlin, Heidelberg, 2000), p. 37.  
 [27] F. V. Kusmartsev, A. Luther, and A. Nersesyan, *JETP Lett.* **55**, 724 (1992).  
 [28] A. Nersesyan, A. Luther, and F. Kusmartsev, *Phys. Lett. A* **176**, 363 (1993).  
 [29] V. Yakovenko, *JETP Lett.* **56**, 510 (1992).  
 [30] H. K. Choi, I. Sivan, A. Rosenblatt, M. Heiblum, V. Umansky, and D. Mahalu, *Nat. Commun.* **6**, 7435 (2015).  
 [31] T. Giamarchi, *Quantum Physics in One Dimension* (Clarendon Press, London, 2004).  
 [32] G. Wentzel, *Phys. Rev.* **83**, 168 (1951); J. Bardeen, *Rev. Mod. Phys.* **23**, 261 (1951).