# UNIVERSITY<sup>OF</sup> BIRMINGHAM University of Birmingham Research at Birmingham

# Lower-limb hot-water immersion acutely induces beneficial hemodynamic and cardiovascular responses in peripheral arterial disease and healthy, elderly controls

Thomas, Kate N; van Rij, André M; Lucas, Samuel; Cotter, James D

*DOI:* 10.1152/ajpregu.00404.2016

License: Other (please specify with Rights Statement)

Document Version Peer reviewed version

Citation for published version (Harvard):

Thomas, KN, van Rij, AM, Lucas, S & Cotter, JD 2017, 'Lower-limb hot-water immersion acutely induces beneficial hemodynamic and cardiovascular responses in peripheral arterial disease and healthy, elderly controls: lower-limb hot-water immersion in PAD', *AJP Regulatory Integrative and Comparative Physiology*, vol. 312, no. 3, pp. R281-R291. https://doi.org/10.1152/ajpregu.00404.2016

Link to publication on Research at Birmingham portal

Publisher Rights Statement: The article is not to be used for commercial purposes. Final Version of Record available at: http://dx.doi.org/10.1152/ajpregu.00404.2016

#### **General rights**

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

•Users may freely distribute the URL that is used to identify this publication.

•Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

•User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?) •Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

#### Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

1		
2	Lower-limb hot-w	ater immersion acutely induces beneficial
3		nd cardiovascular responses in peripheral
4	-	sease and healthy, elderly controls
	arteriarus	sease and healthy, enderry controls
5		
6		
7 8	Running little: Lower-lim	o hot-water immersion in PAD
o 9		
10	Kate N. Thomas <sup>1,2</sup> André	M. van Rij <sup>1</sup> , Samuel J.E. Lucas <sup>3,4</sup> , James D. Cotter <sup>2</sup>
10	Rate N. momas , Andre	wi van Nij , Sander J.E. Edeas - , James D. Cotter
12		
13	<sup>1</sup> Department of Surgical S	ciences, Dunedin School of Medicine, <sup>2</sup> School of Physical
14	Education. Sport and Exerc	cise Sciences, <sup>3</sup> Department of Physiology, University of
15		and; <sup>4</sup> School of Sport, Exercise and Rehabilitation
16	<u> </u>	ningham, Birmingham, UK.
17	· · ·	
18		
19	Corresponding Author:	Kate N. Thomas
20		Department of Surgical Sciences
21		Dunedin School of Medicine
22		University of Otago
23		PO Box 913
24		Dunedin 9054
25		New Zealand
26		
27		Email: kate.thomas@otago.ac.nz
28		Phone: +64 3 4709852
29		Fax: +64 3 4709677
30		

# 31 Abstract

32	Passive heat induces beneficial perfusion profiles, provides substantive
33	cardiovascular strain and reduces blood pressure, thereby holding potential for
34	healthy and cardiovascular disease populations. The aim of this study was to assess
35	acute responses to passive heat via lower-limb hot-water immersion in patients with
36	peripheral arterial disease (PAD) and healthy, elderly controls. Eleven patients with
37	PAD (age 71±6 y, 7 male) and ten Controls (age 72±7 y, 8 male) underwent hot-water
38	immersion (30 min waist-level immersion in 42.1±0.6°C water). Before, during and
39	following immersion, brachial and popliteal artery diameter, blood flow and shear
40	stress were assessed using duplex ultrasound. Lower-limb perfusion was measured
41	also using venous occlusion plethysmography and near-infrared spectroscopy.
42	During immersion, shear rate increased (p<0.0001) comparably between groups in
43	the popliteal artery (Controls: +183±26%; PAD: +258±54%) and brachial artery
44	(Controls: +117±24%; PAD: +107±32%). Lower-limb blood flow increased significantly
45	in both groups, as measured from duplex ultrasound (>200%), plethysmography
46	(>100%) and spectroscopy, while central and peripheral pulse wave velocity
47	decreased in both groups. Mean arterial blood pressure was reduced by 22±9 mmHg
48	(main effect $p$ <0.0001, interaction $p$ =0.60) during immersion, and remained 7±7
49	mmHg lower 3 h afterward. In PAD, popliteal shear profiles and claudication both
50	compared favourably with those measured immediately following symptom-limited
51	walking. A 30-min hot-water immersion is a practical means of delivering heat
52	therapy to PAD patients and healthy, elderly individuals to induce appreciable

- 53 systemic (chronotropic and blood pressure lowering) and hemodynamic (upper and
- 54 lower-limb perfusion and shear rate increases) responses.
- 55
- 56 Key Words: shear stress, passive heat, peripheral arterial disease, peripheral
- 57 vascular disease, PVD, PAD, heat therapy, antegrade, shear rate, elderly

## 59 Introduction

60	Peripheral arterial disease (PAD) is a prevalent atherosclerotic disease,
61	increasingly so with age for both men and women (1). It commonly manifests as
62	intermittent claudication – walking-induced muscle pain that is the metabolic
63	consequence of insufficient muscle perfusion during exercise. PAD is associated with
64	faster functional decline (30) and increased cardiovascular morbidity and mortality
65	(15, 22) than in those without PAD. As an alternative to conservative exercise
66	therapy, heat therapy has been suggested to have potential in those with PAD (47),
67	who are limited in their ability to perform traditional exercise for cardiovascular
68	benefit. Indeed, heat has shown promise for its ability to reduce symptoms and
69	improve several measures of lower-limb perfusion in PAD patients (44, 47). These
70	results have not yet been replicated by others though, and the potential of heat as
71	an acute stressor has not been fully characterized in this group. The acute responses
72	will be important in understanding if the role of heat in providing clinical benefit is
73	via an improvement in arterial function, via a downstream effector of increased
74	perfusion or systemic hemodynamics, or via some other mechanism.
75	Recent evidence in healthy individuals has demonstrated that heat has
76	potential to induce beneficial hemodynamic responses. Specifically, passive heat
77	increases antegrade shear stress in the arteries of the upper (8, 51) and lower limb in
78	healthy, young (11, 49) and older individuals (42), and in addition, repetitive hot-
79	water immersion improves upper-limb artery function and structure (3, 5, 9, 34).
80	Increased antegrade shear rate is thought to be the principle mechanism for
81	exercise- (and likely heat-) induced improvements in arterial health (27, 36).

82	Most investigations on shear patterns and related adaptations have focused
83	on the brachial artery, which is simple to assess and usually assumed to reflect global
84	arterial responses and overall cardiovascular risk (10). Many interventions involve
85	greater stress on the lower limbs, so upper-limb hemodynamics may not adequately
86	represent those of the lower limbs, although are still of interest for characterizing
87	the remote effects of the stimulus. And, atherosclerotic disease is far more prevalent
88	in the lower limbs than upper limbs (32), so understanding the flow profiles in both
89	upper-limb and lower-limb arteries – especially in those with disease – is important.
90	Recently Romero et al. reported that lower-limb heating acutely improved macro-
91	and microvascular function in healthy, elderly adults (42). Significant PAD serially
92	narrows conduit artery cross-sectional area, or occludes an artery altogether,
93	thereby increasing resistance to flow. Atherosclerosis in the arterial walls
94	additionally reduces arterial compliance, so for these reasons the flow and shear
95	profiles at rest already differ from those in healthy vessels (7), and the differential
96	responses to heat in healthy and diseased vessels of elderly individuals have not
97	been described.
98	Heat stress also causes several other significant physiological responses in
99	humans, including increased core temperature, cutaneous blood flow, heart rate and
100	cardiac output (13, 43, 53); all of which occur during an acute exercise bout (26). The
101	acute hemodynamic and cardiovascular responses to lower-limb heating have not
102	been fully characterised in individuals with PAD. Recent work by Neff and colleagues
103	(35) demonstrated increased limb blood flow and reduced blood pressure in
104	response to lower-limb heating (via a water-perfusion suit) in PAD patients. While
105	they hypothesised that increased blood flow and arterial shear stress may mediate

106	improvements in vascular health in this group, they did not measure shear stress so
107	this remains unquantified in PAD. We have previously used hot-water immersion to
108	examine the hemodynamic responses (including shear stress) to heating in healthy,
109	young participants, and to compare the flow profiles to those induced in response to
110	exercise (49). Whether the responses observed in these healthy, young participants
111	also occur in PAD, how they compare with those in healthy, elderly individuals is
112	unknown. Also of importance, whether they translate into changes in function has
113	not been determined. Understanding the acute hemodynamic and cardiovascular
114	responses to heating in elderly individuals with and without arterial disease are of
115	interest to inform potential long-term adaptations and to warrant pursuit of heat as
116	a conditioning strategy in this patient population and others. To put the
117	hemodynamic responses to heat in PAD into context, a comparison with the
118	response to the current conservative therapy, walking, was included. A symptom-
119	limited bout of treadmill walking was chosen as an ecologically-valid stimulus to
120	characterize, as patients are seldom able to perform a traditional 30-min walk
121	without stopping and resting. Finally, it seems reasonable to suggest that maximizing
122	conductance of both major vascular beds within the leg (i.e., muscle and skin) may
123	maximize the pressure gradient for perfusion, which might be achieved with a
124	combined stimulus of local and whole-body heat stress applied in conjunction with
125	localised exercise.
126	The aims of this study were therefore to assess in PAD patients and healthy,
127	elderly controls: 1) The acute peripheral (upper- and lower-limb) hemodynamic
128	effects of lower-limb hot-water immersion; 2) The acute systemic cardiovascular and
129	thermal effects of lower-limb hot-water immersion; 3) Whether the responses differ

130	in PAD participants from those in healthy, elderly controls; and 4) if the responses
131	are augmented by the addition of localised mild exercise during immersion. The final
132	aim, 5) was to examine the responses to hot-water immersion relative to those from
133	a symptom-limited bout of walking in PAD participants. We hypothesized that lower-
134	limb hot-water immersion would induce significant increases in limb blood flow,
135	shear stress and muscle perfusion in PAD and to a greater extent in healthy, elderly
136	controls.

### 138 Materials and Methods

### 139 Experimental Design

140 Two cohorts were studied: PAD patients (PAD) and healthy, elderly controls. 141 Each participant underwent two immersion sessions, as well as one exercise session 142 for PAD only. One immersion was passive and one included mild intermittent 143 exercise, to ascertain if the flow-increasing stimulus could be maximised by heat 144 alone (i.e., passive immersion) or if the increased metabolic demand of exercised 145 muscle would provide additional local and systemic effects (i.e., active immersion). 146 Active immersion consisted of 3-min bouts of plantar flexion, performed at 0.5 Hz, at 147 10-min intervals (i.e., three bouts during immersion; Figure 1). The passive and 148 active immersion sessions were administered in a randomised, cross-over fashion. 149 Ethical approval was obtained from the Health and Disability Ethics Committee 150 (14/STH/44), and the study conformed to the standards set by the Declaration of 151 Helsinki.

#### **152 Participant Characteristics**

153	Inclusion criteria for PAD were: PAD confirmed by ankle-brachial index (ABI)
154	of $\leq$ 0.7 at rest in at least one leg; mild to moderate claudication described
155	corresponding to Fontaine Stage IIa to IIb (37); duplex ultrasound had been
156	performed to confirm disease location and distribution; $\geq$ 50 years old, and; post-
157	menopausal if female. Exclusion criteria for PAD were: Functioning bypass graft in
158	situ; diabetes; previous occurrence of heat intolerance; unstable angina, or;
159	myocardial infarction in the past 3 months. Inclusion criteria for controls were: $\ge$ 50
160	years old, no known history of PAD or other cardiovascular disease, resting ABI of $\geq$
161	0.9 in both legs, no claudication, and post-menopausal if female. Exclusion criteria
162	for controls were: known diabetes, PAD or cardiovascular disease, previous
163	occurrence of heat intolerance. Participant demographics are shown in Table 1.
164	Written consent was obtained and a questionnaire regarding health, medications
165	and comorbidities was completed.
166	Experimental Protocol (see Figure 1)
167	Water immersion – Each participant completed the two immersion sessions,
1.60	

- 168 one to three weeks apart. The measurements taken during each were identical.
- 169 Participants were asked to abstain from exercise on the day before each session, and
- 170 to refrain from alcohol and caffeine for 12 h prior to testing. They were instructed to
- 171 consume a standardised meal the evening prior to the session with ~ 10 mL water
- 172 per kg body mass, and a standardised breakfast with 250 375 mL water between 6
- and 7 am. Sessions began at or after 10 am and at the same time for each
- 174 participant. Cessation of medications was not possible, but medication usage was
- 175 continued as prescribed and recorded (Table 1). Sessions were performed in a

176	temperature-controlled environment at 24.4 $\pm$ 1.5 °C and ~40% relative humidity.
177	Each immersion session began with a 20-min supine rest period, during which
178	monitoring equipment was applied. Baseline measurements were then obtained (~1
179	h). For immersion, participants sat in a bath of hot water (maintained at 42.1 $\pm$ 0.6
180	°C) to the waist level for 30 min. Water temperature was checked continually and
181	adjusted throughout the immersion. In the last 3 min of immersion, ultrasound
182	measures (blood flow and shear rate) and plethysmography measures were
183	repeated as described in each respective section below. Following immersion,
184	measurements were repeated, beginning immediately and spanning ~45 min.
185	Treadmill exercise – A subgroup of PAD participants re-presented on a further
186	occasion to perform a 3-min treadmill-walking test, at 3 km/h on a 10% incline. This
187	is the standard exercise test used at this laboratory in the clinical diagnosis of PAD by
188	exercise-induced reduction in ABI. Participants rated their claudication pain on a
189	scale of 1 – 4 (2).

### 190 Measurements

191	Peripheral artery (brachial and popliteal) blood flow and shear rate were
192	measured using ultrasound (Terason t3000, Teratech Corporation, Burlington, MA,
193	USA) with a 10 MHz linear array transducer (bandwidth 5 – 12 MHz), by
194	simultaneously recording a longitudinal section B-mode image and a spectral
195	Doppler trace of blood velocity. Participants were supine during the brachial artery
196	measurement, then adopted a lateral recumbent position (on the contralateral side
197	to the leg being assessed) with the knee bent to 20-30 $^\circ$ for the popliteal
198	measurement. Measurements were made in the distal third of the upper arm and in
199	the popliteal fossa, respectively; exact locations were marked and measured for

200	repeat tests. Ultrasound settings were optimised for each participant and reused for
201	the repeat tests. The same certified and experienced vascular sonographer (KNT)
202	performed all scans. Screen recording software (Camtasia Studio 8, TechSmith
203	Corporation, Okemos, MI, USA) captured the screen in a video file for later offline
204	analysis. Wall-tracking software (Cardiovascular Suite UE v 2.5, Quipu, Pisa, Italy) was
205	used to determine diameter and velocity, and shear rate was calculated as: Shear
206	rate = 4 * mean velocity / diameter (39, 41).
207	Blood flow was calculated as:
208	Flow = mean velocity * cross-sectional area,
209	Where mean velocity = peak envelope velocity / 2,
210	and cross-sectional area = $\pi$ * (diameter/2) <sup>2</sup> (18, 29).
211	Test-retest reliability (coefficient of variation) for this operator using this
212	software for measuring diameter and velocity was 0.4% and 2.1%, respectively (n =
213	10). The resting hemodynamics ( $D_{base}$ , velocity, shear rate and flow) of the brachial
214	and popliteal arteries were assessed before and $\sim$ 30 min after the intervention,
215	from a 30 – 60 s recording period. In addition, popliteal and brachial hemodynamics
216	were video-recorded for 30 s within the last 3 min of each immersion protocol. To
217	obtain the popliteal artery measurements, the participant raised the knee to 20-30 $^\circ$
218	and the sonographer reached around to the popliteal from a lateral window. During
219	the treadmill exercise session the same variables were measured in the popliteal
220	artery before and after exercise (recording began within 30 s of completing walking).
221	Venous occlusion plethysmography was used to measure calf blood flow via
222	an indium-gallium strain gauge and plethysmograph (EC6, Hokanson, Bellevue WA,
223	USA). Participants were supine with their leg elevated slightly above heart level. A

224	strain gauge was placed around the widest part of the calf and a cuff around the
225	ipsilateral thigh was inflated to 50 mmHg to occlude venous outflow for 5 – 10 s. This
226	was repeated 3 – 6 times until 3 reproducible traces were obtained. Data were
227	transmitted to a computer via an analogue to digital converter (Powerlab/16SP,
228	ADInstruments, Dunedin, New Zealand), and analysed later using Chart software
229	(LabChart Pro v 7.2.5, ADInstruments). Limb volume changes were calculated from
230	the steep linear portion of the plethysmographic trace following the inflation
231	artifact, and the average of 3 measurements is presented. Calf inflow was assessed
232	before, in the last 3 min of immersion, and within 5 min of completing the
233	immersion.
234	Muscle oxygenation was measured using near-infrared spectroscopy (NIRS)
235	on the posterior-medial calf (medial gastrocnemius muscle) of the leg under
236	investigation, via probes housed in a light-shielding case attached to the skin with
237	tape (NIRO-200; Hamamatsu Photonics KK; Hamamatsu, Japan). The NIRO-200
238	device measures changes in chromophore concentrations of oxy- and
239	deoxyhemoglobin ( $\Delta O_2$ Hb and $\Delta$ HHb) via the modified Beer-Lambert law, and
240	provides depth-resolved measures of tissue $O_2$ saturation (total oxygenation index
241	(TOI)) and tissue Hb content (i.e., relative value of the total hemoglobin normalised
242	to the initial value, nTHI) using the Spatially Resolved Spectroscopy (SRS) method.
243	The SRS-derived NIRS parameters limit contamination from superficial tissue via
244	depth-resolved algorithmic methods, providing an index of targeted local tissue
245	saturation (TOI) and perfusion (nTHI) (see Davies et al. (17) for recent review). A 3-
246	min exercise test was performed at baseline and post-immersion to assess the effect
247	of thermal status on exercise-induced NIRS responses. Exercise consisted of supine

248 plantar flexion against a resistance band held at a standard length by a co-

investigator (paced at 0.5 Hz using a metronome) and participants were asked to
match the effort pre- and post-immersion. All NIRS data are presented as change
values as is common practice to minimise the influence of variation between days
and individuals, and in recognition of the limitations of the technique to accurately
quantify absolute values due to factors that affect the absorbance and attenuation
of input light (17).

255 **Blood pressure (BP)** was measured using finger photoplethysmography 256 (Finometer, Finapres Medical Systems, Amsterdam, The Netherlands). BP was 257 validated intermittently throughout sessions using a non-invasive intermittent BP 258 oscillometric measurement device, (BP+, Uscom, Sydney, Australia). Heart rate was 259 obtained continuously using detection of the R wave of a three-lead 260 electrocardiograph (lead II of ECG, ADInstruments). The LabChart ECG Analysis Add-261 On was used for quantifying the frequency of arrhythmias across a 5-min period 262 before and within 10 min of completing immersion. Arrhythmias were defined by an 263 abnormally-long compensatory pause following the ectopic beat. Baseline BP and 264 heart rate data were collected over 5 min before each immersion, and end of 265 immersion data consist of a 2-min average during the last 3 min of immersion. 266 Ambulatory BP was measured using an ambulatory monitoring system (AMBP, Oscar 267 2, SunTech Medical Ltd, England) every 30 min for 3 h following each immersion 268 session (beginning at the end of the supine measurements, ~1 h post-immersion), 269 and for the same 3-h period on the day prior to testing, for comparison. 270 Pulse wave velocity (PWV) was measured as central (carotid-femoral) and 271 peripheral (carotid-radial) PWV using a hand-held tonometer (SPT-301, Millar

272 Instruments, USA), following recommended guidelines (52). Pulse transmit times 273 were calculated from the R-wave of the ECG to the foot of the pressure wave, and 274 PWV was calculated as the anatomical distance / time (54) for each of the central 275 and peripheral components, averaged over at least 20 cardiac cycles. The anatomical 276 distance was calculated by subtracting the distance from the carotid location to the 277 suprasternal notch and the radial or femoral site of measurement respectively. 278 **Core body temperature** was measured as the external auditory canal 279 temperature (aural temperature, T<sub>au</sub>) using a thermistor in a moulded plug 280 (BetaTHERM 2.2K3A1B NTC thermistors, BetaTHERM, USA). The ear was covered 281 with cotton wool taped in place to reduce the effects of ambient temperature. 282 Participants' ratings of body temperature and thermal discomfort were noted using 283 a 13- and 5-point scale, respectively (extended from (20), at 10-min intervals during 284 the immersion.

### 285 Statistical Analysis

286 Participant characteristics were compared across groups using an unpaired t-287 test. A mixed-design two-way ANOVA was used where possible to examine effects of 288 (i) passive and active hot-water immersion, (ii) hot-water immersion in PAD 289 participants and Controls, and (iii) hot-water immersion and treadmill exercise in 290 PAD participants. Post-hoc tests were performed where appropriate using the Holm-291 Šídák method with *p*-values corrected for multiple testing. However, some research 292 questions were examined using paired t-tests because of the specific question (e.g., 293 NIRS data represented as a change from baseline), following consultation with a 294 biostatistician. For all analyses,  $p \le 0.05$  was considered statistically significant.

295

# *Results*

297	Eleven PAD patients and ten Controls participated and completed both 30-
298	min immersions except that one PAD participant undertook one immersion only
299	(active). Seven PAD participants completed the exercise session. All PAD participants
300	had an occlusion of the superficial femoral artery > 5 cm in length (n = 6) or more
301	than one stenosis of > 75% (n = 5). No dependent measure showed a different
302	response between passive and active immersions except for a larger inflow by
303	plethysmography following active compared to passive immersion in PAD (+203% vs.
304	+114%, $p = 0.04$ ), and no order effects were evident, so for simplicity in reporting
305	and interpretation, all data are from the average of the two immersions for each
306	participant.
307	Popliteal artery shear rate and blood flow
308	At baseline, total shear rate (i.e., net) was higher in PAD than in Controls (p =
308 309	At baseline, total shear rate (i.e., net) was higher in PAD than in Controls ( $p = 0.004$ ), while retrograde shear was lower ( $p < 0.001$ ) and popliteal diameter was
309	0.004), while retrograde shear was lower ( $p < 0.001$ ) and popliteal diameter was
309 310	0.004), while retrograde shear was lower ( $p < 0.001$ ) and popliteal diameter was smaller ( $p < 0.001$ ; Table 2). Popliteal antegrade shear rate increased ( $p < 0.0001$ )
309 310 311	0.004), while retrograde shear was lower ( $p < 0.001$ ) and popliteal diameter was smaller ( $p < 0.001$ ; Table 2). Popliteal antegrade shear rate increased ( $p < 0.0001$ ) two- to three-fold <b>during immersion</b> in both groups, with PAD showing higher levels
309 310 311 312	0.004), while retrograde shear was lower ( $p < 0.001$ ) and popliteal diameter was smaller ( $p < 0.001$ ; Table 2). Popliteal antegrade shear rate increased ( $p < 0.0001$ ) two- to three-fold <b>during immersion</b> in both groups, with PAD showing higher levels ( $p = 0.01$ ) and a tendency for a larger increase (interaction: $p = 0.053$ ; Table 2 and
309 310 311 312 313	0.004), while retrograde shear was lower ( $p < 0.001$ ) and popliteal diameter was smaller ( $p < 0.001$ ; Table 2). Popliteal antegrade shear rate increased ( $p < 0.0001$ ) two- to three-fold <b>during immersion</b> in both groups, with PAD showing higher levels ( $p = 0.01$ ) and a tendency for a larger increase (interaction: $p = 0.053$ ; Table 2 and Figure 2). Retrograde shear was absent in PAD throughout but in Controls decreased
309 310 311 312 313 314	0.004), while retrograde shear was lower ( $p < 0.001$ ) and popliteal diameter was smaller ( $p < 0.001$ ; Table 2). Popliteal antegrade shear rate increased ( $p < 0.0001$ ) two- to three-fold <b>during immersion</b> in both groups, with PAD showing higher levels ( $p = 0.01$ ) and a tendency for a larger increase (interaction: $p = 0.053$ ; Table 2 and Figure 2). Retrograde shear was absent in PAD throughout but in Controls decreased significantly during immersion (by $8 \pm 5 / s$ , $p = 0.002$ ). Popliteal total shear rate
<ol> <li>309</li> <li>310</li> <li>311</li> <li>312</li> <li>313</li> <li>314</li> <li>315</li> </ol>	0.004), while retrograde shear was lower ( $p < 0.001$ ) and popliteal diameter was smaller ( $p < 0.001$ ; Table 2). Popliteal antegrade shear rate increased ( $p < 0.0001$ ) two- to three-fold <b>during immersion</b> in both groups, with PAD showing higher levels ( $p = 0.01$ ) and a tendency for a larger increase (interaction: $p = 0.053$ ; Table 2 and Figure 2). Retrograde shear was absent in PAD throughout but in Controls decreased significantly during immersion (by $8 \pm 5 / s$ , $p = 0.002$ ). Popliteal total shear rate increased ( $p < 0.0001$ ) during immersion by a similar proportion in each group

319 +43%), and higher in PAD than Controls (group: p = 0.003, interaction: p = 0.07,

320 Figure 2).

321	Sample spectral Doppler traces obtained in the popliteal artery in a PAD and
322	Control participant are illustrated in Figure 3. The velocity profile in the popliteal
323	artery reflected lower resistance in response to immersion for both groups; the
324	characteristics associated with this are described in the legend for Figure 3.
325	Blood flow in the popliteal artery was not different at baseline, but
326	approximately tripled ( $p < 0.0001$ ) <b>during immersion</b> , and tended to be higher in
327	Controls than in PAD (group: $p = 0.07$ , interaction: $p = 0.12$ ). Popliteal flow remained
328	elevated (p < 0.0001) above baseline levels at 30 min after immersion (Controls:
329	+72%, PAD: +71%) with no difference between groups ( $p = 0.14$ , interaction: $p =$
330	0.51; Figure 4). The diameter of the popliteal artery appeared to reduce during
331	immersion in the Controls (by 9 $\pm$ 3%, <i>p</i> = 0.007) but not in PAD (-2 $\pm$ 3%, <i>p</i> = 0.38).
332	

## 333 Brachial artery shear rate and blood flow

334	The brachial artery showed no difference in any hemodynamic variable
335	between groups at baseline. Antegrade shear rate increased significantly during
336	immersion ( $p < 0.0001$ ; Table 2), and by an equivalent extent between groups
337	(change from baseline: Controls: +107%, PAD: +117%; group: <i>p</i> = 0.57, interaction: <i>p</i>
338	= 0.68). Retrograde shear rate was attenuated significantly ( $p < 0.0001$ ) in each
339	group during immersion, similarly so (by -12 $\pm$ 13 /s in Controls and -14 $\pm$ 8 /s in PAD;
340	group: $p = 0.28$ , interaction: $p = 0.70$ ). Thus, total brachial shear rate increased
341	during water immersion in each group ( $p < 0.0001$ ), and to a similar extent (group: $p$
342	= 0.50, interaction: <i>p</i> = 0.72). At 30 min after immersion, antegrade shear rate

343	remained elevated ( $p = 0.0007$ ) and to a similar extent in each group (change from
344	baseline: Controls: +88%, PAD: +104%; group: <i>p</i> = 0.53, interaction: <i>p</i> = 0.61).
345	Blood flow in the brachial artery was also increased significantly ( $p < 0.0001$ )
346	during the immersion (Controls: +282%, PAD: +176%), but overall was higher in
347	Controls (group: $p = 0.03$ , interaction: $p = 0.12$ ). Flow remained above baseline <b>at 30</b>
348	<b>min after immersion</b> ( $p < 0.0001$ ), to a greater extent in Controls (Controls: 139 ± 51
349	mL/min, PAD: 100 $\pm$ 31 mL/min; group: $p = 0.04$ , interaction: $p = 0.25$ ). Arterial
350	diameter increased in each group during immersion ( $p = 0.0018$ ) with no differential
351	effect (group: $p = 0.26$ , interaction: $p = 0.28$ ; Table 2) and remained larger at 30 min
352	after immersion.

#### 353 Arterial inflow via plethysmography

354 Inflow was similar between groups **at baseline** (Controls: 7.9 ± 2.4 vs. PAD:

 $10.4 \pm 5.1 \text{ mL blood} / 100 \text{ mL tissue} / \text{min}; p = 0.18$ ). Inflow increased **across** 

immersion in each group (p < 0.0001) but more so in Controls (Controls: +380 ±

357 170% vs. PAD: +152 ± 104%; interaction: *p* = 0.0004). It remained ~234 and ~85%

358 elevated above baseline at **10 min after immersion** (Controls and PAD respectively).

359 Correlation between antegrade shear rate and inflow via plethysmography was only

360 moderate in Controls ( $R^2 = 0.49$ , p = 0.03); for remaining variables and all

361 comparisons in the PAD group, there were no significant correlations ( $R^2 \le 0.02$ ).

#### 362 Muscle oxygenation via NIRS

363 Figure 5 illustrates the changes in O<sub>2</sub>Hb, HHb, nTHI and TOI in response to

immersion in each group. The key findings were:

365 At rest: O<sub>2</sub>Hb volume increased to a greater extent in Controls than in PAD

across immersion (difference between means: +151  $\mu$ M·cm, 95% CI: 68 to 235

 $\mu$ M·cm, p = 0.002). The change in HHb volume in response to immersion did not differ significantly between groups (interaction: p = 0.14), nor did the increase in nTHI and TOI (nTHI: Controls: +0.16 a.u., PAD: +0.17 a.u.; interaction: p = 0.76; TOI: Controls: +6.7%, PAD: +5.8%; interaction: p = 0.56), indicating similar relative increases in local perfusion between the two groups.

372

In response to exercise:

A supine, 3-min bout of plantar flexion exercise in PAD elicited a greater drop in O<sub>2</sub>Hb volume after immersion than before (by 98  $\mu$ M·cm, 95% CI: -13 to -183  $\mu$ M·cm, p = 0.03) and TOI (by 7.6%, 95% CI: -1 to -15%, p = 0.04), and a larger rise in HHb volume (p = 0.02), compared with before immersion. All parameters recovered from exercise at similar rates whether performed before or after immersion ( $p \ge$ 0.08).

379 When comparing the response to exercise in PAD with Controls, not 380 surprisingly, 3 min of plantar flexion exercise before immersion produced greater 381 alterations from baseline in PAD than in Controls. O<sub>2</sub>Hb volume dropped more in 382 PAD (vs. Controls: -210  $\mu$ M·cm, 95% CI: -357 to -64  $\mu$ M·cm, p = 0.01), and HHb 383 volume rose more (vs. Controls: +217  $\mu$ M·cm, 95% CI: 55 to 380  $\mu$ M·cm, p = 0.02). 384 These changes and group differences were reflected in the TOI and nTHI derived 385 measures of tissue saturation and regional blood flow (Figure 5c and d). Recovery of 386 O<sub>2</sub>Hb volume at 1 min post-exercise was heterogeneous in PAD and tended to be 387 impaired more than in Controls (-137  $\mu$ M·cm, 95% CI: -294 to 20  $\mu$ M·cm, p = 0.08). 388 The different responses between groups to 3 min of repeated contractions remained 389 evident following immersion; O<sub>2</sub>Hb and TOI declined despite increased nTHI in PAD, 390 and failed to show complete recovery in PAD by 1 min of rest.

### Systemic hemodynamics

391 392	At baseline, SBP, DBP, MAP and heart rate were not different between
393	Controls and PAD (all $p \ge 0.1$ ). <b>During immersion</b> , heart rate increased ( $p < 0.001$ )
394	similarly in both groups (interaction: $p > 0.35$ ). SBP, DBP and MAP were reduced by
395	immersion (all $p \le 0.001$ ) to a similar extent between PAD and Controls (Table 3;
396	interaction effects: all $p \ge 0.16$ ) although overall SBP and MAP were higher in PAD
397	(both $p = 0.04$ ). At 30-min after immersion SBP, DBP and MAP remained lower than
398	baseline in both groups (all $p \le 0.03$ ).
399	Ambulatory BP was recorded on both a control day and an immersion day for
400	9 Controls and 8 PAD. SBP was significantly ( $p = 0.001$ ) lower following immersion in
401	Controls and PAD (Controls: -14 $\pm$ 8 mmHg, PAD: - 5 $\pm$ 12 mmHg), with a tendency for
402	more reduction in Controls (interaction: $p = 0.08$ ). DBP and MAP were also reduced
403	significantly ( $p = 0.047$ and $p = 0.003$ respectively) following immersion (DBP:
404	Controls: -4 ± 7 mmHg, PAD: -6 ± 12 mmHg; MAP: Controls: -7 ± 7 mmHg, PAD: -6 ± 8
405	mmHg) with no differential effects between groups ( $p \ge 0.64$ for all interaction and
406	group effects).
407	At baseline, arrhythmias were present in 13 participants (6 Controls, 7 PAD).
408	During and following immersion, one PAD participant showed an increase in
409	arrhythmias on both occasions (9% to 38% and 6% to 79%), while one Control
410	showed an increase following their first immersion (1% to 19%). A cardiologist
411	reviewed the ECGs and diagnosed the arrhythmias as benign premature ventricular
412	contractions. The remaining Controls and PAD all showed no obvious or consistent

413 change in frequency of arrhythmias following immersion. One Control participant

- 414 experienced a vasovagal episode at the completion of the session on getting up from
- 415 supine rest. There were no adverse effects associated with this.

#### 416 **Pulse wave velocity**

417 At baseline, neither central nor peripheral PWV differed between Controls 418 and PAD (central: Controls:  $9.5 \pm 1.7$  m/s, PAD:  $9.4 \pm 2.1$  m/s, p = 0.87; peripheral: 419 Controls:  $8.0 \pm 1.1$  m/s, PAD: 7.2  $\pm 0.9$  m/s, p = 0.11). In response to immersion, 420 central PWV decreased in each group (p < 0.03) by  $1.0 \pm 1.5$  m/s in Controls and  $0.5 \pm$ 421 1.3 in PAD, and peripheral PWV decreased by  $0.9 \pm 1.2$  m/s in Controls and  $0.3 \pm 0.7$ 422 m/s in PAD (p = 0.01). There was no differential effect evident between groups 423 (interaction: both  $p \ge 0.15$ ). There were no significant correlations between baseline 424 PWV and change with immersion in either group for central or peripheral PWV (all p 425  $\geq$  0.10, all R<sup>2</sup>  $\leq$  0.25).

#### 426 **Temperature**

- 427 The  $T_{au}$  increased +1.8 °C with immersion in both groups (p < 0.01, Table 3),
- 428 and recovered to lesser extent in PAD than in Controls (p = 0.02; remaining 1.4 ± 0.3
- 429 vs 1.0 ± 0.3 °C above baseline at 45 min after immersion). Perceived body
- 430 temperature was "hot" (i.e., 10 on the 13-point sensation scale) at the completion of
- 431 immersion for each group, and this was rated as comfortable to slightly
- 432 uncomfortable (Controls 1.6, PAD: 1.7 on the 5-point discomfort scale).

#### 433 **Popliteal artery shear rate following 3-min treadmill exercise in PAD**

- 434 The 3-min treadmill-walking test was completed at the designated speed and
- 435 incline (distance ~150 m). All seven PAD patients reported claudication in the leg
- 436 studied, with an onset between 50 and 120 m, reaching moderate to intense pain (2-
- 437 3/4) at completion. Antegrade shear rate in the popliteal artery within 1 min of

- 438 completing exercise was elevated significantly from baseline, to  $169 \pm 81$  /s (p =
- 439 0.02), with absent retrograde shear rate before and after exercise. The elevation in
- 440 antegrade shear rate (p < 0.0001) caused by exercise or immersion was comparable
- 441 (+112 /s and +102 /s respectively, condition: p = 0.08, interaction: p = 0.79).
- 442 Similarly, average blood flow increased (p = 0.0002), to 91 ± 32 mL/min, a
- 443 comparable increase to that seen during immersion (102  $\pm$  46 mL/min, condition: p =
- 444 0.52, interaction: *p* = 0.40).
- 445
- 446

## **Discussion**

448	A single bout of hot-water immersion induced shear stress patterns in the
449	popliteal and brachial arteries of PAD participants and healthy, elderly controls that
450	have been associated with beneficial adaptations (9, 34). This heat stress also
451	induced positive chronotropy, increased lower-limb perfusion, and a marked
452	lowering of blood pressure across at least the next 3 h. The regular repetition of this
453	stress has potential to provide cardiovascular conditioning for PAD patients and
454	other groups with limited access to exercise.
455 456	<b>Lower-limb hemodynamics</b> At baseline
457	Despite no statistical differences in popliteal blood flow or arterial inflow
458	between groups at rest, total shear rate was higher in PAD. This is likely explained by
459	the smaller popliteal artery diameter in the PAD group, although may also reflect the
460	different antegrade / retrograde shear components between groups. Unsurprisingly,
461	hemodynamic differences between groups were revealed more obviously in
462	response to 3 min of plantar flexion exercise (supine, before and after immersion),
463	during which PAD participants showed a greater drop in tissue saturation, and
464	impaired recovery of NIRS parameters at 1 min after exercise.
465	In response to immersion
466	Blood flow in the popliteal artery was increased by > 200% in PAD and
467	Controls at the end of immersion, and remained elevated but to a lesser extent at 30
468	min post-immersion. Similarly, popliteal artery antegrade and total shear rate
469	increased two- to three-fold during immersion in PAD. In healthy controls, popliteal

470 antegrade and total shear was also significantly elevated during and following 471 immersion, but this tended to be to a lesser extent than in PAD (+180% during 472 immersion); this relative disparity is again likely due to differences in vessel diameter 473 between groups. The spectral Doppler blood velocity profiles changed during 474 immersion in most PAD participants to exhibit continuous antegrade flow through 475 the cardiac cycle (e.g., Figure 3b), which is interpreted clinically as an indicator of 476 peripheral vasodilation and a lower resistance vascular bed downstream (38). Also 477 reflecting lower resistance, a similar reduction in the retrograde component of the 478 spectral waveform was demonstrated in most controls during immersion. The 479 increased shear rates seen here is consistent with previous studies demonstrating 480 increased antegrade shear in response to heating, but in the brachial artery in 481 healthy individuals (8, 51), and also in the superficial femoral artery and common 482 femoral artery in young individuals in response to mild (+0.5 °C) and moderate (+1.0 483 °C) passive heat stress respectively (11), although greater shear rates were seen at 484 higher levels of passive heat. The lower-limb vessels are seldom studied, despite 485 being more prone to disease than upper-limb arteries (32); to our knowledge this is 486 the first study to describe this response of increased antegrade shear stress in 487 diseased arteries. A doubling of popliteal blood flow in PAD has been reported from 488 phase-contrast magnetic resonance imaging after 90 minutes of passive heat via a 489 water-perfused suit (35); while this is encouraging that other methods of passive 490 heating may also increase perfusion, the high conductive capacity of water 491 immersion may be a more time-efficient and readily accessible method; at 30 min it 492 had tripled blood flow in the present study. An unexpected finding was the reduction 493 of the popliteal artery diameter in Controls. There is no obvious physiological

494 explanation for this; random or systematic error is possible, so further study is

495 needed to confirm or refute this finding.

496 In PAD participants, the antegrade shear rate elevation with immersion was 497 comparable to that achieved during a 3-min bout of treadmill walking, but 498 importantly, the immersion achieved this with no claudication, and is a stimulus that 499 can be applied for substantially longer than an exercise stimulus, for reasons of 500 tolerance. What remains unknown is whether the magnitude of the increased 501 antegrade shear stress demonstrated here is sufficient to induce beneficial vascular 502 adaptation in atherosclerotic arteries following repetition, or if they respond to a 503 shear stimulus in the same way at all. The relationship of transiently increased shear 504 stress to improvements in functional capacity in diseased vessels is yet to be 505 ascertained. There was considerable variation in the magnitude of the response 506 between individuals, which is understandable given the heterogeneity of the 507 disease, i.e., the variability in its location, distribution, severity and duration. 508 Two other measures of lower-limb perfusion demonstrated an increase in 509 both groups: NIRS-derived measures of tissue hemoglobin volume and saturation, 510 and plethysmography. The increased lower-limb perfusion likely comprises both 511 increased cutaneous and muscle blood flow, as local heating induces vasodilation of 512 both skin and muscle vasculature (23, 40). In PAD, the increased perfusion due to 513 immersion appeared to better support the metabolic demands of exercise, 514 evidenced by higher absolute post-exercise O<sub>2</sub>Hb even in the face of a greater 515 exercise-induced drop. In Controls, the exercise bout before and after immersion 516 both produced much smaller perturbations in the NIRS-derived parameters of 517 oxygen extraction (i.e., HHb and TOI), which may indicate that perfusion of the

518	exercising muscles was better matched to the corresponding metabolic need,
519	although a between group comparison is difficult with an exercise test of this nature.
520	Overall a larger increase in both inflow and NIRS-derived measures of perfusion was
521	seen in the muscle in Controls after immersion, indicative of a greater ability to
522	respond with conduit and microvessel vasodilation to the heat stimulus. A limitation
523	of using NIRS in this setting is baseline differences in tissue oxygenation were unable
524	to be distinguished. Nevertheless, PAD participants demonstrated significant,
525	relevant increases in all three measures of lower-limb perfusion.
526	In PAD, the adaptations to chronic obstruction and impaired flow result in
527	anatomical and functional changes beyond commonly-used measures of vasodilatory
528	function, such as beneficial modifications to muscle fibre characteristics (31),
529	metabolism (25) as well as formation of collateral vessels (12). These or other
530	responses may be adaptations to transiently increased blood flow e.g., angiogenesis
531	and collateral vessel formation stimulated by hemodynamic forces (24). In patients
532	with PAD in whom exercise to induce increased perfusion is not an appropriate
533	option, the increased blood flow during and persisting after immersion is potentially
534	the most clinically important finding of this study. However, whether this can
535	translate to the long-term benefits and functional effects need to be examined.
536	Overall, apart from understandable differences in the exercise response between
537	groups, hot-water immersion functioned to a similar extent as a hemodynamic
538	stressor in both groups. There were no significant differences between passive and
539	active immersion for all but one dependent variable (inflow via plethysmography).
540	On balance it appears that the addition of mild lower-limb exercise did not add to
541	the hemodynamic strain induced by the immersion itself. The 3-min bouts were

542 perhaps of insufficient intensity or duration, or could have been different relative543 intensities between individuals, to reveal a group effect.

#### 544 Upper-limb hemodynamics

545 The upper-limb responses were qualitatively very similar to those of the 546 lower-limb: antegrade and total shear rate were increased and retrograde shear rate 547 was attenuated by immersion, and by similar extents between groups. These 548 findings are consistent with the data from Carter et al. (9), in which lower-limb 549 heating acutely increased shear rate in the brachial artery, and this resulted in 550 functional adaptations after repetition. The absolute shear rate and flow induced in 551 the study by Carter et al. were approximately twice those presented here, but their 552 participant demographic - healthy, young, active participants - may explain these 553 differences. Furthermore, it is not currently known if a threshold exists for shear-554 mediated adaptations. The inclusion of the upper-limb hemodynamics provided 555 insight into the general arterial responsiveness in non-atherosclerotic vessels (and 556 those usually studied) to this form of stress, relative to diseased vessels within the 557 same individual, as well as compared with healthy upper-limb vessels in Controls 558 free from PAD. The upper-limb hemodynamic alterations seen with lower-limb hot-559 water immersion were similar between groups (and between upper and lower 560 limbs), and thus highlight the systemic nature and wide-ranging applicability of the 561 stressor.

### 562 Cardiovascular strain

Heart rate increased by > 30% during immersion in each group, i.e., within
the range recommended for cardiac benefits from exercise training for an averageaged participant in this study (50-75% max heart rate (19) for a 72 year-old: 74 – 111

566	beats/min). Passive heat stress also has a beneficial inotropic effect on the heart (13)
567	(by virtue of a decreased MAP, reduced central blood volume and therefore
568	ventricular filling pressure), but preserved or elevated cardiac output. These effects
569	have been demonstrated in healthy individuals (4, 14) and in patients with CHF (46,
570	48). The lower-limb immersion protocol may therefore be useful for inducing an
571	increase in cardiac work by virtue of chronotropic and inotropic changes without the
572	concomitant increases in afterload usually experienced during exercise. It may
573	therefore be a gentler, more appropriate stressor for those with a high
574	cardiovascular disease burden. Accordingly, studies of repeated heat stress in
575	congestive heart failure patients have shown improvements in multiple parameters
576	of cardiac function (33, 46, 48). Of note, the induced thermal stress used in this
577	study had little effect on the prevalence arrhythmias.
578	Furthermore, the temperature of heart tissue, not work per se, provokes
579	upregulation of heat shock proteins (45), and this may be the case for other
580	adaptations as well, such as the induction of protection from ischemic reperfusion
581	injury (16, 24). Therefore, the significant aural temperature elevation, which
582	occurred to a similar extent in both elderly groups (almost 2 °C above baseline), is
583	important for the provision of strain for the cardiovascular system, and the local
584	effects on tissue and organs (e.g., heart). Measurement of temperature via auditory
585	canal thermistor provides an indirect index of core temperature; nevertheless, waist-
586	level hot-water immersion has been shown to increase oesophageal measurement
587	of core temperature to a comparable extent (6).
588	Alongside the chronotropic effect was a significant reduction in BP in both

589 groups. This hypotensive effect was greatest at the end of the immersion, with an

590 average reduction in MAP of ~22 mmHg. Hypotension persisted for several hours, 591 albeit measured in a subsample of participants, and was most consistent in controls. 592 A major portion of the health-related benefits of regular bouts of stress is 593 attributable to the recovery period itself, e.g., post-exercise hypotension is likely 594 more important in cardiovascular risk reduction than the small reduction in resting 595 blood pressure induced by exercise training (50). The full duration of the post-596 immersion hypotensive effect has yet to be determined, but the implications of this 597 are particularly valuable in a PAD population, who are commonly hypertensive yet 598 commonly unable to exploit a post-exercise hypotensive effect. A hypotensive effect 599 of similar magnitude and duration has been demonstrated in PAD participants 600 undergoing passive heating via a water-perfused suit (35). 601 Passive heat stress has been shown to reduce PWV acutely, with the largest 602 effect seen in those with highest PWV at normothermic baseline (21). The effect of 603 immersion in this study was to reduce central and peripheral PWV in both groups, 604 with no obvious relationship to normothermic baseline PWV. A reduction in PWV 605 may afford a reduction in myocardial work and an increase in coronary perfusion 606 (28), again potentially beneficial in a high cardiovascular risk group. 607

### 608 Perspectives and Significance

609 This study has demonstrated acute hemodynamic, thermal and cardiovascular

- 610 responses to relatively brief immersion of the lower limbs in hot water; responses
- 611 that would be promising for cardiovascular conditioning in those less able to achieve
- this by exercising. In particular, sustained increases in popliteal and brachial

613	antegrade shear rate were demonstrated, in elderly individuals with and without
614	PAD. At least in healthy vessels, these shear stress profiles are known to stimulate
615	functional then structural adaptation. Blood flow in the lower limbs of both groups
616	was also increased by immersion. Qualitatively, the hemodynamic responses to
617	immersion in those with and without arterial disease were similar, despite measures
618	of perfusion increasing to a greater magnitude in non-diseased participants. The
619	presence of PAD also did not appear to significantly alter the acute systemic
620	cardiovascular response. The results of this study complement those from Neff et al.
621	(35), together endorsing the further examination of lower-limb heating as a
622	therapeutic approach for PAD patients, as has previously been suggested (44, 47),
623	and for elderly individuals who cannot exercise for whatever reason. A natural
624	progression of this work is to explore the clinical and functional outcomes of the
625	repetition of this stressor as a therapeutic tool in PAD.
626	
627	

# 631 *References*

632 Allison MA, Ho E, Denenberg JO, Langer RD, Newman AB, Fabsitz RR, 1. 633 and Criqui MH. Ethnic-specific prevalence of peripheral arterial disease in the 634 United States. American journal of preventive medicine 32: 328-333, 2007. 635 American College of Sports Medicine. American College of Sports 2. 636 *Medicine's Guidelines for Exercise Testing and Prescription*. Baltimore, 2010. 637 3. Bailey TG, Cable NT, Miller GD, Sprung VS, Low DA, and Jones H. 638 Repeated Warm Water Immersion Induces Similar Cerebrovascular Adaptations 639 to 8 Weeks of Moderate-Intensity Exercise Training in Females. Int J Sports Med, 640 2016. 641 4. Brothers RM, Bhella PS, Shibata S, Wingo JE, Levine BD, and Crandall 642 **CG.** Cardiac systolic and diastolic function during whole body heat stress. *Am J* 643 *Physiol Heart Circ Physiol* 296: H1150-1156, 2009. 644 5. Brunt VE, Howard MJ, Francisco MA, Ely BR, and Minson CT. Passive 645 heat therapy improves endothelial function, arterial stiffness, and blood pressure 646 in sedentary humans. *J Physiol*, 2016. 647 6. Cabanac M, Germain M, and Brinnel H. Tympanic temperatures during 648 hemiface cooling. European journal of applied physiology and occupational 649 physiology 56: 534-539, 1987. 650 7. **Campbell WB.** Doppler waveform analysis in the management of lower 651 limb arterial disease. Annals of the Royal College of Surgeons of England 68: 103-652 106, 1986. 653 Carter HH, Dawson EA, Birk GK, Spence AL, Naylor LH, Cable NT, 8. 654 Thijssen DH, and Green DJ. Effect of SR manipulation on conduit artery dilation 655 in humans. *Hypertension* 61: 143-150, 2013. 656 Carter HH, Spence AL, Atkinson CL, Pugh CJ, Naylor LH, and Green DJ. 9. 657 Repeated core temperature elevation induces conduit artery adaptation in 658 humans. Eur J Appl Physiol: 859-865, 2014. 659 10. Celermajer DS, Sorensen KE, Bull C, Robinson J, and Deanfield JE. 660 Endothelium-dependent dilation in the systemic arteries of asymptomatic 661 subjects relates to coronary risk factors and their interaction. J Am Coll Cardiol 662 24: 1468-1474, 1994. 663 11. Chiesa ST, Trangmar SJ, and Gonzalez-Alonso J. Temperature and 664 blood flow distribution in the human leg during passive heat stress. J Appl Physiol 665 (1985) 120: 1047-1058, 2016. 666 12. **Collinson DJ and Donnelly R.** Therapeutic angiogenesis in peripheral 667 arterial disease: can biotechnology produce an effective collateral circulation? 668 *Eur J Vasc Endovasc Surg* 28: 9-23, 2004. 669 13. Crandall CG and Gonzalez-Alonso J. Cardiovascular function in the heat-670 stressed human. Acta Physiol (Oxf) 199: 407-423, 2010. 671 14. Crandall CG, Wilson TE, Marving J, Vogelsang TW, Kjaer A, Hesse B, 672 and Secher NH. Effects of passive heating on central blood volume and ventricular dimensions in humans. J Physiol 586: 293-301, 2008. 673 674 Criqui MH, Langer RD, Fronek A, Feigelson HS, Klauber MR, McCann 15. 675 TJ, and Browner D. Mortality over a period of 10 years in patients with 676 peripheral arterial disease. N Engl J Med 326: 381-386, 1992.

677 16. Currie RW, Karmazyn M, Kloc M, and Mailer K. Heat-shock response is 678 associated with enhanced postischemic ventricular recovery. Circ Res 63: 543-679 549.1988. 680 17. Davies DJ, Su Z, Clancy MT, Lucas SJ, Dehghani H, Logan A, and Belli A. 681 Near-Infrared Spectroscopy in the Monitoring of Adult Traumatic Brain Injury: A 682 Review. Journal of neurotrauma 32: 933-941, 2015. 683 18. Evans DH. On the measurement of the mean velocity of blood flow over 684 the cardiac cycle using Doppler ultrasound. *Ultrasound Med Biol* 11: 735-741, 685 1985. 686 19. Fletcher GF, Balady GJ, Amsterdam EA, Chaitman B, Eckel R, Fleg J, 687 Froelicher VF, Leon AS, Pina IL, Rodney R, Simons-Morton DA, Williams MA, 688 and Bazzarre T. Exercise standards for testing and training: a statement for 689 healthcare professionals from the American Heart Association. *Circulation* 104: 690 1694-1740, 2001. 691 20. Gagge AP, Stolwijk JA, and Saltin B. Comfort and thermal sensations and 692 associated physiological responses during exercise at various ambient 693 temperatures. Environmental research 2: 209-229, 1969. 694 21. Ganio MS, Brothers RM, Shibata S, Hastings JL, and Crandall CG. Effect 695 of passive heat stress on arterial stiffness. Exp Physiol 96: 919-926, 2011. 696 22. Heald CL, Fowkes FG, Murray GD, and Price JF. Risk of mortality and 697 cardiovascular disease associated with the ankle-brachial index: Systematic 698 review. Atherosclerosis 189: 61-69, 2006. 699 23. Heinonen I, Brothers RM, Kemppainen J, Knuuti J, Kalliokoski KK, 700 and Crandall CG. Local heating, but not indirect whole body heating, increases 701 human skeletal muscle blood flow. [Appl Physiol (1985) 111: 818-824, 2011. 702 24. Joyeux M, Bouchard JF, Lamontagne D, Godin-Ribuot D, and Ribuot C. 703 Heat stress-induced protection of endothelial function against ischaemic injury is 704 abolished by ATP-sensitive potassium channel blockade in the isolated rat heart. 705 Br J Pharmacol 130: 345-350, 2000. 706 25. Kemp GJ, Roberts N, Bimson WE, Bakran A, Harris PL, Gilling-Smith 707 GL, Brennan J, Rankin A, and Frostick SP. Mitochondrial function and oxygen 708 supply in normal and in chronically ischemic muscle: a combined 31P magnetic 709 resonance spectroscopy and near infrared spectroscopy study in vivo. *J Vasc Surg* 710 34: 1103-1110, 2001. 711 26. Laughlin MH. Cardiovascular response to exercise. The American journal 712 of physiology 277: S244-259, 1999. 713 27. Laughlin MH, Newcomer SC, and Bender SB. Importance of 714 hemodynamic forces as signals for exercise-induced changes in endothelial cell 715 phenotype. J Appl Physiol 104: 588-600, 2008. 716 28. Lefferts WK, Heffernan KS, Hultquist EM, Fehling PC, and Smith DL. 717 Vascular and central hemodynamic changes following exercise-induced heat 718 stress. Vasc Med 20: 222-229, 2015. 719 Li S, Hoskins PR, Anderson T, and McDicken WN. Measurement of 29. 720 mean velocity during pulsatile flow using time-averaged maximum frequency of 721 Doppler ultrasound waveforms. *Ultrasound Med Biol* 19: 105-113, 1993. 722 McDermott MM, Liu K, Greenland P, Guralnik JM, Criqui MH, Chan C, 30. 723 Pearce WH, Schneider JR, Ferrucci L, Celic L, Taylor LM, Vonesh E, Martin GJ, 724 and Clark E. Functional decline in peripheral arterial disease: associations with

the ankle brachial index and leg symptoms. *JAMA* 292: 453-461, 2004.

726 31. McGuigan MR, Bronks R, Newton RU, Sharman MJ, Graham JC, Cody 727 DV, and Kraemer WJ. Muscle fiber characteristics in patients with peripheral 728 arterial disease. Med Sci Sports Exerc 33: 2016-2021, 2001. 729 32. McMillan DE. Blood flow and the localization of atherosclerotic plaques. 730 Stroke 16: 582-587, 1985. 731 33. Miyamoto H, Kai H, Nakaura H, Osada K, Mizuta Y, Matsumoto A, and 732 Imaizumi T. Safety and efficacy of repeated sauna bathing in patients with 733 chronic systolic heart failure: a preliminary report. *J Card Fail* 11: 432-436, 2005. 734 Naylor LH, Carter H, Fitzsimons MG, Cable NT, Thijssen DH, and 34. 735 **Green DI.** Repeated Increases in Blood Flow, Independent of Exercise, Enhance 736 Conduit Artery Vasodilator Function in Humans. Am J Physiol Heart Circ Physiol: 737 H664-669, 2010. 738 Neff D, Kuhlenhoelter AM, Lin C, Wong BJ, Motaganahalli RL, and 35. 739 **Roseguini BT.** Thermotherapy reduces blood pressure and circulating 740 endothelin-1 and enhances leg blood flow in patients with symptomatic 741 peripheral artery disease. Am J Physiol Regul Integr Comp Physiol 311: ajpregu 742 00147 02016, 2016. 743 36. Newcomer SC, Thijssen DH, and Green DJ. Effects of exercise on 744 endothelium and endothelium/smooth muscle crosstalk: Role of exercise-745 induced hemodynamics. J Appl Physiol: 311-320, 2011. 746 Norgren L, Hiatt WR, Dormandy JA, Nehler MR, Harris KA, Fowkes FG, 37. 747 Bell K, Caporusso J, Durand-Zaleski I, Komori K, Lammer J, Liapis C, Novo S, 748 Razavi M, Robbs J, Schaper N, Shigematsu H, Sapoval M, White C, White J, 749 Clement D, Creager M, Jaff M, Mohler E, 3rd, Rutherford RB, Sheehan P, 750 Sillesen H, and Rosenfield K. Inter-Society Consensus for the Management of 751 Peripheral Arterial Disease (TASC II). Eur J Vasc Endovasc Surg 33 Suppl 1: S1-75, 752 2007. 753 38. **Oates C.** Cardiovascular haemodynamics and Doppler waveforms 754 explained: Greenwich Medical Media Ltd, 2001. 755 Parker BA, Trehearn TL, and Meendering IR. Pick your Poiseuille: 39. 756 normalizing the shear stimulus in studies of flow-mediated dilation. [Appl 757 Physiol 107: 1357-1359, 2009. 758 40. Pearson J. Low DA, Stohr E, Kalsi K, Ali L, Barker H, and Gonzalez-759 **Alonso J.** Hemodynamic responses to heat stress in the resting and exercising 760 human leg: insight into the effect of temperature on skeletal muscle blood flow. 761 Am J Physiol Regul Integr Comp Physiol 300: R663-673, 2011. 762 41. Pyke KE. Dwyer EM. and Tschakovsky ME. Impact of controlling shear 763 rate on flow-mediated dilation responses in the brachial artery of humans. *J Appl* 764 Physiol 97: 499-508, 2004. 765 42. Romero SA, Gagnon D, Adams A, Cramer M, Kouda K, and Crandall 766 CG. Acute Limb Heating Improves Macro- and Microvascular Dilator Function in 767 the Leg of Aged Humans. Am J Physiol Heart Circ Physiol: ajpheart 00519 02016, 768 2016. 769 43. Rowell LB, Brengelmann GL, and Murray JA. Cardiovascular responses 770 to sustained high skin temperature in resting man. [Appl Physiol 27: 673-680, 771 1969. 772 Shinsato T, Miyata M, Kubozono T, Ikeda Y, Fujita S, Kuwahata S, 44. 773 Akasaki Y, Hamasaki S, Fujiwara H, and Tei C. Waon therapy mobilizes CD34+ 774 cells and improves peripheral arterial disease. *J Cardiol* 56: 361-366, 2010.

775 45. Staib JL, Quindry JC, French JP, Criswell DS, and Powers SK. Increased 776 temperature, not cardiac load, activates heat shock transcription factor 1 and 777 heat shock protein 72 expression in the heart. Am J Physiol Regul Integr Comp 778 Physiol 292: R432-439, 2007. 779 46. Tei C, Horikiri Y, Park JC, Jeong JW, Chang KS, Toyama Y, and Tanaka 780 N. Acute hemodynamic improvement by thermal vasodilation in congestive heart 781 failure. Circulation 91: 2582-2590, 1995. 782 47. Tei C, Shinsato T, Miyata M, Kihara T, and Hamasaki S. Waon therapy 783 improves peripheral arterial disease. J Am Coll Cardiol 50: 2169-2171, 2007. 784 48. Tei C and Tanaka N. Thermal vasodilation as a treatment of congestive 785 heart failure: a novel approach. *J Cardiol* 27: 29-30, 1996. 786 49. Thomas KN, van Rij AM, Lucas SJE, Gray AR, and Cotter JD. Substantive 787 hemodynamic and thermal strain upon completing lower-limb hot-water 788 immersion; comparisons with treadmill running. Temperature 3: 286-297, 2016. 789 50. Thompson PD, Crouse SF, Goodpaster B, Kelley D, Moyna N, and 790 **Pescatello L.** The acute versus the chronic response to exercise. *Med Sci Sports* 791 *Exerc* 33: S438-445; discussion S452-433, 2001. 792 51. Tinken TM, Thijssen DH, Hopkins N, Black MA, Dawson EA, Minson 793 CT, Newcomer SC, Laughlin MH, Cable NT, and Green DJ. Impact of shear rate 794 modulation on vascular function in humans. *Hypertension* 54: 278-285, 2009. 795 Van Bortel LM, Laurent S, Boutouyrie P, Chowienczyk P, Cruickshank 52. 796 JK, De Backer T, Filipovsky J, Huybrechts S, Mattace-Raso FU, Protogerou 797 AD, Schillaci G, Segers P, Vermeersch S, and Weber T. Expert consensus 798 document on the measurement of aortic stiffness in daily practice using carotid-799 femoral pulse wave velocity. *Journal of hypertension* 30: 445-448, 2012. 800 53. Vuori I. Sauna bather's circulation. Annals of clinical research 20: 249-801 256, 1988. 802 54. Weber T, Ammer M, Rammer M, Adji A, O'Rourke MF, Wassertheurer 803 S, Rosenkranz S, and Eber B. Noninvasive determination of carotid-femoral 804 pulse wave velocity depends critically on assessment of travel distance: a 805 comparison with invasive measurement. Journal of hypertension 27: 1624-1630, 806 2009. 807

808

### 810 **Table and Figure Legends**

#### 811 Table 1

- 812 Participant demographics.
- 813
- n, number; SD, standard deviation; BMI, body mass index; ABI, ankle-brachial index;
- 815 PVR, pulse volume recording; IQR, interquartile range. \* different from Controls (p <
- 816 0.05).
- 817 **Table 2**
- 818 Popliteal and brachial artery hemodynamic measures at baseline and in the last 3
- 819 min of immersion. SR, shear rate.
- 820
- B21 Data are mean ± SD for baseline and post values. Change scores are mean ± SE. †
- different from baseline (p < 0.05); \* different from Controls (p < 0.05).

#### 823 Table 3

- 824 Whole-body thermal and cardiovascular strain in response to 30-min hot-water
- 825 immersions in PAD and Control participants.
- 826
- B27 Data are mean  $\pm$  SD. Change scores ( $\Delta$ ) shown are percentages, apart from T<sub>au</sub> which
- 828 is shown in °C as indicated above. Baseline data are the average of the two baselines
- as there were no differences between sessions. Baseline data were averaged over 5
- min, end-immersion data were averaged over 2 min within the last 3 min of
- 831 immersion. Tau, aural temperature; MAP, mean arterial pressure; SBP, systolic blood

- pressure; DBP, diastolic blood pressure; HR, heart rate.  $\dagger$  different from baseline (p < 1
- 833 0.05). No significant difference between groups.

#### 834 *Figure 1*

- 835 Schematic of experimental protocol for a) an immersion session (PAD and Control
- 836 each performed two immersion sessions, one active and one passive), and b) the
- 837 exercise session (for PAD only). PWV, pulse wave velocity; VOP, venous occlusion
- 838 plethysmography; NIRS, near-infrared spectroscopy; BP, blood pressure.
- 839 Hemodynamic assessments included diameter, blood flow and shear rate.

#### 840 *Figure 2*

- Popliteal artery total, antegrade and retrograde shear rate at baseline, during the
- 842 last 3 min of immersion and 30-min post-immersion.  $\dagger$  different from baseline (p < 1

843 0.05).

#### 844 *Figure 3*

845 Sample spectral Doppler traces from the popliteal artery obtained from one control 846 participant (left) and one PAD participant (right) at a) baseline, b) during the last 3 847 min of immersion and c) 30-min post-immersion. The x-axis represents time, the y-848 axis represents velocity, in cm/s. Note the different velocity scales. For the control 849 participant, in a) this is a typical triphasic waveform of a normal, healthy peripheral 850 artery, demonstrating moderate resistance, with a portion of the cardiac cycle 851 demonstrating retrograde flow followed by a further antegrade component 852 associated with good compliance. In b) the waveform is still triphasic, but a smaller 853 proportion of flow is retrograde, and the peak systolic velocity has increased.

854	Similarly in c), there is a smaller retrograde component than in a). For the PAD				

855 participant, in a) this is a monophasic waveform demonstrating high resistance, with

856 no flow seen for a significant portion of the cardiac cycle. In b) and c) the waveforms

- 857 have become lower resistance with higher peak systolic velocity and antegrade flow
- 858 throughout the cardiac cycle.

859

Figure 4 860

- 861 Popliteal artery blood flow at baseline, during the last 3 min of immersion and 30-
- 862 min post-immersion in Controls and PAD.  $\dagger$  different from baseline (p < 0.05).

863

#### 864 Figure 5

- 865 Changes in muscle hemoglobin variables in response to lower-limb hot water
- 866 immersion in Controls and PAD. a) O<sub>2</sub>Hb, oxyhemoglobin response to immersion; b)
- 867 HHb, deoxyhemoglobin response to immersion; c) nTHI, normalised tissue
- 868 hemoglobin index; d) TOI, total oxygenation index. In each panel, Controls are shown
- 869 on the left and PAD on the right. The grey background indicates pre-immersion and
- 870 the white background indicates post-immersion. End of ex, end of 3-min plantar
- 871 flexion exercise.  $\dagger$  different from baseline (p < 0.05);  $\ddagger$  different from pre-immersion
- 872 exercise response (p < 0.05); \* different from Controls (p < 0.05).

## **Table 1**

### 

	Control	PAD
Sample size, n	10	11
Male, n	8	7
Age, years, mean (SD)	72 (7)	71 (6)
BMI, kg/m <sup>2</sup> , mean (SD)	26 (3)	24 (5)
ABI for leg studied, mean (SD)	1.17 (0.11)	0.61 (0.11) *
PVR for leg studied, median (IQR)	Not performed	2 (2-3)
Medications		
Blood pressure control	1	8
Nitrates	0	1
Statins	1	7
Antiplatelet	1	6

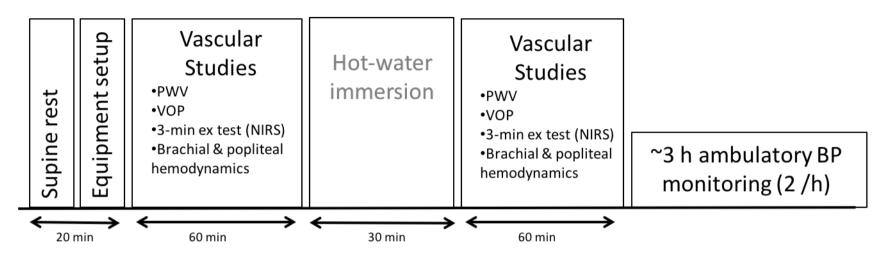
# **Table 2**

		Controls			PAD	
Variable	Baseline	Immersion	Δ, (%)	Baseline	Immersion	Δ, (%)
Popliteal Artery						
Total SR (/s)	21 ± 9	89 ± 42 <sup>†</sup>	+366 ± 69	51 ± 28 *	152 ± 57 *†	+260 ± 54
Antegrade SR (/s)	32 ± 9	92 ± 40 <sup>+</sup>	+183 ± 26	51 ± 28 *	152 ± 57 * <sup>†</sup>	+258 ± 54
Retrograde SR (/s)	-12 ± 6	-4 ± 4 <sup>+</sup>	-67 ± 9	0±0*	0 ± 0	0 ± 0
Diameter (mm)	7.5 ± 1.2	6.8 ± 1.0 <sup>+</sup>	-9 ± 3	5.0 ± 0.6 *	4.8 ± 0.7 *	-2 ± 3
Flow (mL/min)	47 ± 14	$150 \pm 61$ <sup>+</sup>	+229 ± 44	36 ± 23	102 ± 54 <sup>+</sup>	+226 ± 48
Brachial Artery						
Total SR (/s)	81 ± 49	205 ± 123 <sup>†</sup>	+189 ± 24	68 ± 28	$177 \pm 100$ <sup>+</sup>	+166 ± 50
Antegrade SR (/s)	95 ± 40	207 ± 122 <sup>†</sup>	+117 ± 24	87 ± 26	$181 \pm 96$ <sup>+</sup>	+107 ± 32
Retrograde SR (/s)	-14 ± 16	-2 ± 6 <sup>†</sup>	-125 ± 52	-19 ± 7	-5 ± 6 <sup>†</sup>	-74 ± 11
Diameter (mm)	4.9 ± 0.8	5.2 ± 0.7 <sup>+</sup>	+7 ± 3	4.6 ± 0.5	$4.8 \pm 0.6$ <sup>+</sup>	+4 ± 2
Flow (mL/min)	57 ± 38	149 ± 43 <sup>†</sup>	+282 ± 79	37 ± 12	102 ± 46 *†	+176 ± 35

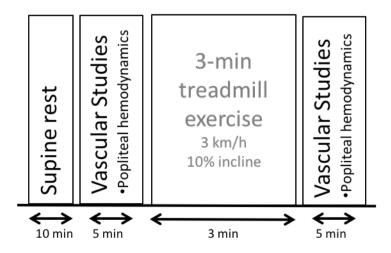
# **Table 3**

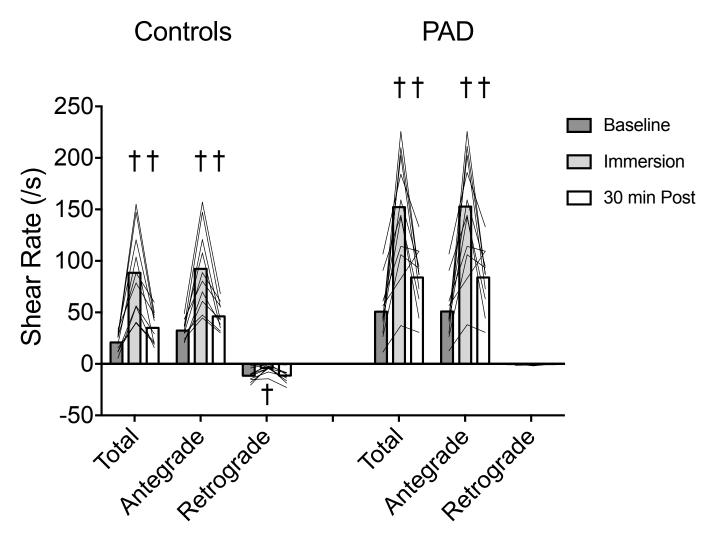
	Controls				PAD	
Variable	Baseline	Immersion	Δ (%)	Baseline	Immersion	Δ (%)
T <sub>au</sub> (°C)	35.4 ± 0.4	37.2 ± 0.5 <sup>+</sup>	+1.8 ± 0.4 °C	35.1 ± 0.6	$36.9 \pm 0.4$ <sup>+</sup>	+1.8 ± 0.3 °C
MAP (mmHg)	96 ± 7	73 ± 5 †	-23 ± 7	104 ± 15 *	83 ± 12 *†	-20 ± 8
SBP (mmHg)	144 ± 15	$104 \pm 7$ <sup>+</sup>	-26 ± 8	158 ± 23 *	121 ± 20 *†	-23 ± 10
DBP (mmHg)	71 ± 5	57 ± 5 †	-20 ± 7	77 ± 13	64 ± 10 <sup>+</sup>	-16 ± 8
HR (beats/min)	62 ± 9	89 ± 17 <sup>†</sup>	+43 ± 21	59 ± 9	81 ± 13 <sup>†</sup>	+37 ± 16

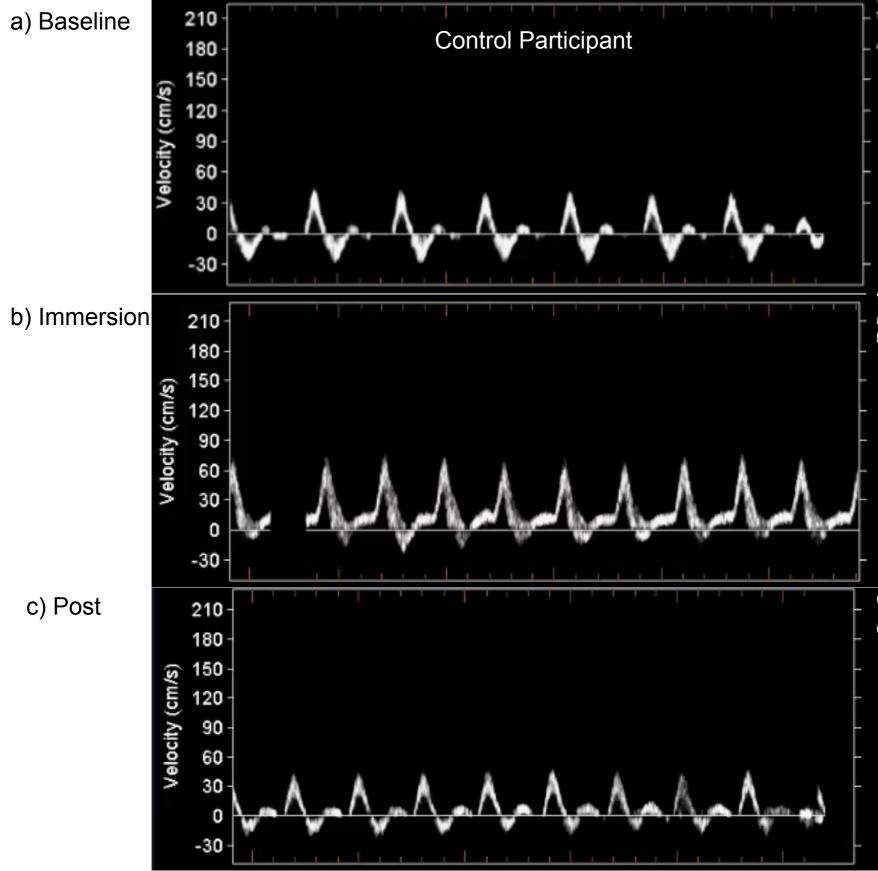
# a) Water immersion

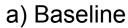


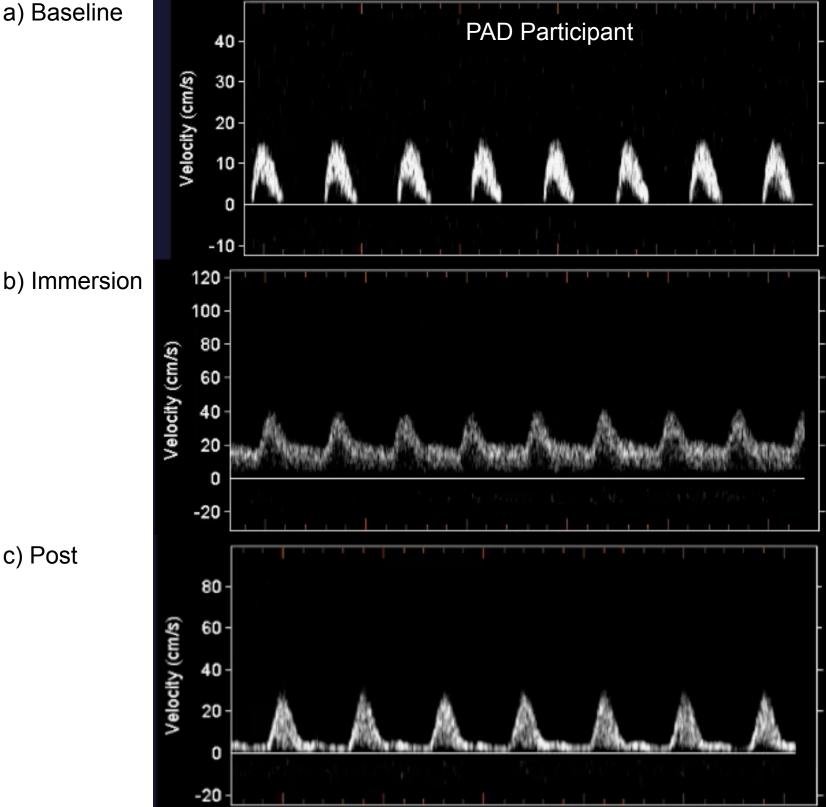
# b) Treadmill exercise



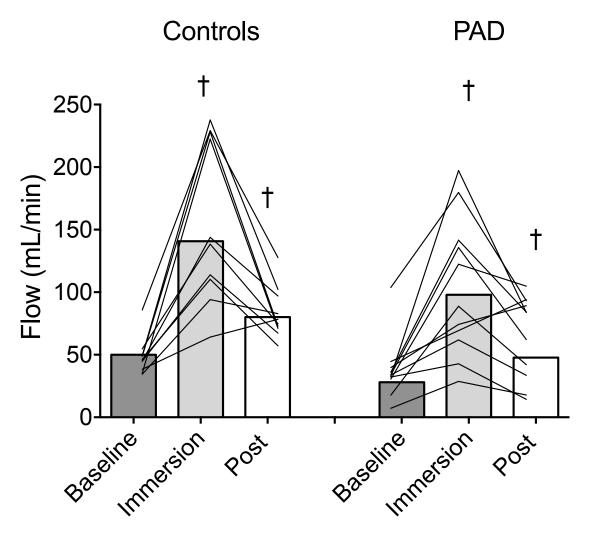


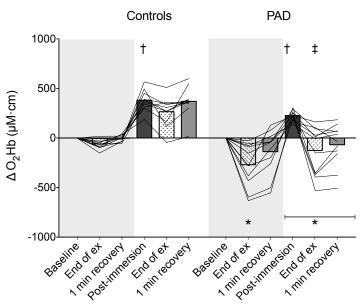


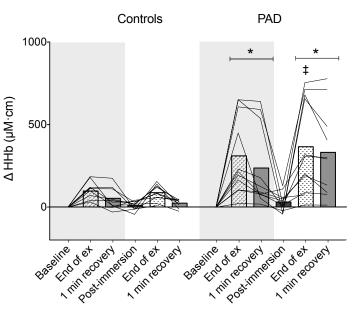




c) Post

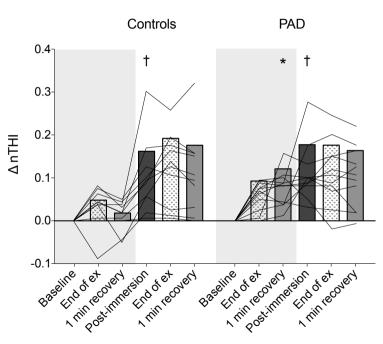


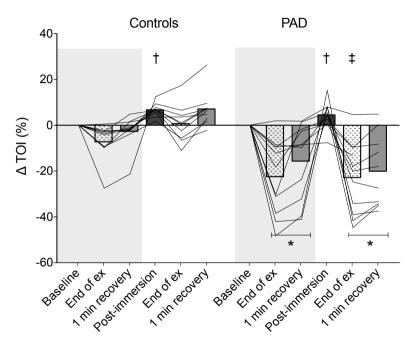




b)

C)





d)