

# Development of three-dimensional optimization of a small-scale radial turbine for solar powered Brayton cycle application

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DOI:

[10.1016/j.applthermaleng.2016.09.147](https://doi.org/10.1016/j.applthermaleng.2016.09.147)

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*Document Version*

Peer reviewed version

*Citation for published version (Harvard):*

Daabo, AM, Al Jubori, A, Mahmoud, S & Al-dadah, RK 2017, 'Development of three-dimensional optimization of a small-scale radial turbine for solar powered Brayton cycle application', *Applied Thermal Engineering*, vol. 111, pp. 718-733. <https://doi.org/10.1016/j.applthermaleng.2016.09.147>

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Checked 14.11.2016

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# Accepted Manuscript

Research Paper

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PII: S1359-4311(16)31923-8

DOI: <http://dx.doi.org/10.1016/j.applthermaleng.2016.09.147>

Reference: ATE 9172

To appear in: *Applied Thermal Engineering*

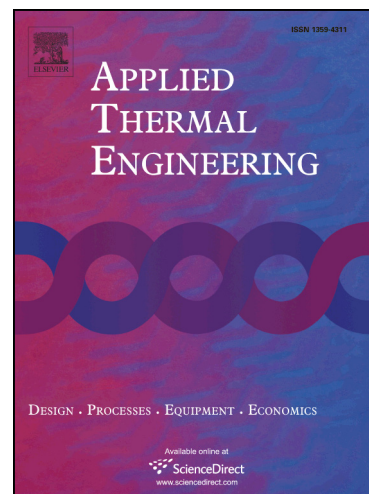
Received Date: 4 July 2016

Revised Date: 30 August 2016

Accepted Date: 25 September 2016

Please cite this article as: A.M. Daabo, A. Al Jubori, S. Mahmoud, R.K. Al-Dadah, Development of Three-dimensional Optimization of a Small-scale Radial Turbine for Solar Powered Brayton Cycle Application, *Applied Thermal Engineering* (2016), doi: <http://dx.doi.org/10.1016/j.applthermaleng.2016.09.147>

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## Development of Three-dimensional Optimization of a Small-scale Radial Turbine for Solar Powered Brayton Cycle Application

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### Abstract

Numerical simulation was carried out to optimize the design of a small-scale radial turbine. One-dimensional (1D) Mean Line (ML) approach and three-dimensional computational fluid dynamic (3D CFD) simulations, using 3D Reynolds-Averaged Navier-Stokes (RANS) models with the shear stress transport (SST) turbulence model in ANSYS@15- CFX, were employed to achieve the best turbine performance and consequently cycle efficiency. For the current study, a new methodology that integrates the Brayton cycle analysis with modelling of a highly efficient small -scale radial turbine at a wide range of inlet temperatures was developed. A multi-objective function was utilized for optimizing the designed radial turbine power in the range of 1.5 to 7.5 kW. This method has been developed in order to find the optimum design, from an aerodynamic point of view. After applying a well-designed range of parameters for both the stator and the rotor, the results demonstrated an excellent improvement in the turbine efficiency from 82.3% to 89.7% for the same range of output power. Moreover, the effect of the turbine inlet temperature, rotational speed and pressure ratio was further studied and presented in this paper. Finally, the overall cycle efficiency showed an excellent improvement of about 6.5% for the current boundary conditions; and it yielded more than 10% with the increase in the inlet temperature and the pressure ratio. Such results highlight the potential and the benefits of the suggested methodology to achieve a high performance (i.e. turbine efficiency and cycle efficiency).

**Keywords:** Small scale radial turbine, Solar Brayton cycle, CFD analysis, 3D Optimization, Genetic algorithm.

### 1- Introduction

The demand for energy is continually increasing day after day, but at the same time, investigations around the world into sustainable sources of power are growing in number. Solar energy is considered one of the main renewable energy sources which can play an important role in decreasing CO<sub>2</sub> emissions. It can be efficiently used to generate electricity using different types of thermal power cycles, such as the Brayton cycle.

Moreover, small scale turbines are considered as a promising technology because of their low initial costs, low maintenance, durability and simple construction. Furthermore, they can offer a solution for the power generation demand in domestic or even remote areas. In order to increase the cycle efficiency, one of the main effective ways is to improve the turbines' performance.

Much research has been carried out regarding both the solar Brayton cycle thermodynamic analysis and the selection of the appropriate boundary conditions of energy as heat sources such as [1-4]. For example, an attempt to enhance the overall efficiency of the small-scale solar Brayton cycle, by optimizing both the receiver and the parabolic concentrator, has been achieved by Le Roux et al. [5]. Riazi and Ahmed [6] studied the effect of specific heat ratios for three different working fluids and for air, helium and tetrafluoromethane, on the efficiency of small scale solar energy. A regenerative

48 closed Brayton cycle was analysed in terms of the influence of temperature ratio and the minimum to  
49 maximum gas temperature. Their results showed that the higher the specific ratio of the analysed  
50 fluid, the higher the cycle efficacy. Moreover, they also suggested that for small-scale Brayton cycles,  
51 the performance of lower specific ratios is better as this scale only accumulates a small amount of  
52 heat. However, the performance of turbines was not included in all the studies mentioned above as on  
53 the shelf turbines were used. Two important parameters have to be carefully considered, as they lead  
54 to better preliminary design. Both the loading coefficient and the exit flow coefficient contribute in  
55 [7]. Intensive analyses in order to enhance the performance of scroll expander were conducted in [8-  
56 10]. Mean line analysis for radial turbines for organic Rankin cycle applications was achieved by  
57 many researchers [11-16]. However, no more 84% Total-To-Static efficiency for the studied models  
58 of radial turbine have been attained [12]. It is clear that the 3D computational fluid dynamics (CFD)  
59 leads to more enhancement in the aerodynamic performance. Three-dimensional optimization design  
60 work on ORC radial turbines was demonstrated in [17-19]. On the other hand, modelling and  
61 optimization of axial turbines was conducted in [20-21]. Sauret [22] carried out intensively numerical  
62 work on a high pressure radial turbine. The author started his study from the preliminary design  
63 passing through 3D simulation; then the impact of tip clearance as well as the importance of the  
64 diffuser on the turbine's performance was examined at wide range of boundary conditions. The author  
65 then validated her design against some experimental data from the literature. Regarding the  
66 compressed air radial turbine, it can be seen that only some efforts have been made by different  
67 researchers who studied the performance of radial turbines with different design factors as well as  
68 boundary conditions. With the aim of identifying the possible acoustic sources which occurs, Marsan  
69 and Moreau [23] studied the effect of stator wakes and trailing edge on the impeller blades of radial  
70 turbine. In their study the authors showed that the interface regime between the stator and rotor causes  
71 pressure fluctuations to the flow passes through these surfaces. They emphasized that the tip clearance  
72 losses should not be neglected in analytical studies. Together CFD and FE analysis of relatively high  
73 pressure ratio and low inlet temperature, 5 bar and 400 K, radial turbine was conducted in [24]. The  
74 output power and efficiency of the investigated turbine were 36.4 and 85% respectively. With  
75 comparatively accepted deviation, the authors validated their simulation work using an experimental  
76 data. For compressed air turbine optimizations, Tsalicoglou1 and Phillipsen [25] used an iterative  
77 method that conducted in-house and commercial CFD codes to decrease the amount of working fluid  
78 mass flow rate. This results in changing the turbine blade geometry modifications with some  
79 improvement in efficiency. However, with the limitation of the allowed number of design parameters'  
80 evaluations, multiple runs were required. As a single objective function, particle swarm optimization  
81 was used in their study. An integrated optimization of a 100 kW radial turbine was reported by Lei Fu  
82 et al [26]. In their study, an enhancement in terms of aerodynamic and structural performance was  
83 achieved. However, the optimization carried out on the rotor while the stator was not considered in  
84 their study. Also, each part of optimization was completed separately in two different codes and that  
85 might be the reason for the relatively low maximum efficiency value that has been achieved, 82%.  
86 Zhang and Ma [27] used the multi-objective algorithm technique for optimizing only the rotor of a  
87 radial turbine. Even though the authors claimed the optimized rotor experienced better performance  
88 especially in off-design conditions with about an 8% increment in its efficiency, the maximum value  
89 that the turbine reached was also low, about 77%.

90 An intensive study on the relation between optimization of computational time and the chosen range  
91 of the database, as well as the selection of the suitable optimization method was reported in [28]. In  
92 his study the author emphasized that selecting the closer setting to the optimum parameters not only  
93 results in further improvements of the convergence, but also contributes to better rotor geometry  
94 performance. Three-dimensional multi-objective optimization for a turbocharger radial turbine  
95 impeller designed for automotive applications was applied in [29]. With the aim of maximizing its

96 total-to-static efficiency and the impeller moment of inertia, and at the same time keeping mechanical  
 97 stresses below a maximum allowable value, the maximum value of efficiency reached was only 80%.

98  
 99 To the best of the authors' knowledge no work has been published on the 3D multi-objective  
 100 optimization of the two main parts of radial turbines: the stator and rotor, together especially for this  
 101 scale of turbines. So, this paper tries to focus on design; such as turbine design which creates as high  
 102 as possible efficiency and output power to the cycle but with keeping both the rotational speed and the  
 103 mass flow rate at their minimum values.

## 104 105 106 **2- Methodology**

107  
 108 In this study the computational fluid dynamic CFD techniques, using ANSYS®15 VISTA, was  
 109 utilised in order to first initiate a Mean Line (ML) design for the Small Scale Radial Turbine SSRT  
 110 with relatively sufficient performance. Then, 3-D model was improved using ANSYS®15 CFX tool,  
 111 which precisely figure out the aerodynamic flow behaviour, analyse were followed in order to have  
 112 more accurate and better outcomes for the SSRT. After the best design shape for both the stator and  
 113 rotor was achieved, a number of the most influences parameters were determined and chosen with the  
 114 aim of optimizing the full blades shape of the SSRT using the 3D Design Exploration feature of  
 115 ANSYS®15 which employed the genetic algorithm for the multi-objective optimization. Moreover,  
 116 the results have been directly integrated with the Brayton cycle code which was initiated using the  
 117 Engineering Equation Solver (EES) software [30].

## 118 119 120 **3- Thermodynamic Analysis of Brayton Cycle**

121 The traditional thermal Brayton cycle consists of the compressor, the combustion chamber,  
 122 the turbine to extract the air's potential energy and transfer it to mechanical energy; and a pre-heater  
 123 to exploit the exhaust energy, which will be otherwise lost to the environment and also to preheat the  
 124 cold air before entering the source of heat. However, the solar powered Brayton cycle shown  
 125 schematically in Fig. 1, consists of a compressor (1-2), thermal receiver (3-4) and a turbine (4-5). A  
 126 recuperator, (2- 3), is used to recover heat from the turbine exit's hot air. The current study aimed to  
 127 be fitted with the application of small scale solar powered Brayton cycle which of course its  
 128 efficiency can be enhanced by improving the efficiency of its component. The compressor power is  
 129 given by [5]:

$$130 \quad W_C = \frac{C_p T_1 (R_C K - 1)}{\eta_C} \quad (1)$$

131 Where the compressor pressure ratio equals  $R_c = P_2 / P_1$  and in contrast the turbine pressure ratio is:  
 132  $R_T = P_4 / P_5$

133 The amount of heat supply by the solar receiver per unit mass of working fluid flow is:

$$Q_{Net} = (T_4 - T_3) \quad (2)$$

134 The heated working fluid exits from the solar receiver and passes through the turbine to generate  
 135 power; the power output from the turbine is given by:

$$W_T = C_p \eta_T T_4 (1 - R_T - K) \quad (3)$$

136 If the pressure loss coefficient is defined to be X, the above formula can be written as:

$$W_T = Cp \eta_T T_4 (1 - (XR_c) - K) \quad (4)$$

137 The exhaust's working fluid exits from the turbine to the atmosphere and on its way it will pass  
138 through the recuperator. The heat gained by incoming compressed air and the heat rejected through  
139 the leaving air is given by the next two equations respectively:

$$140 \quad Q_c = \dot{m}(h_2 - h_3) \quad (5)$$

$$Q_{cRej} = \dot{m}(h_5 - h_2) \quad (6)$$

141 The extent to which a recuperator approaches an ideal recuperator is called the effectiveness,  $\epsilon$ , and is  
142 defined as:

$$143 \quad \epsilon = \frac{H_3 - H_2}{H - H_2} \quad (7)$$

144 The net power output from the cycle is given by:

$$W_{net} = W_t - W_c \quad (8)$$

145 This can also be written as:

$$W_{net} = Cp \left[ \eta_T T_4 (1 - (XR_c) - K) - \frac{T_1 (R_c K - 1)}{\eta_c} \right] \quad (9)$$

146 The thermal Brayton cycle efficiency is given by:

147

$$\eta_{th} = \frac{W_{net}}{Q_{Net}} \quad (10)$$

148 The above equation can be formulated in terms of temperatures and defined as the following:

149

$$\eta_{th} = \frac{\eta_t T_4 (1 - (XR_c)^{-K}) - T_1 \left( \frac{R_c^{K-1}}{\eta_c} \right)}{T_4 (1 - \epsilon \{1 - \eta_t (1 - (XR_c)^{-K})\}) - T_1 (1 - \epsilon) \left( 1 + \left( \frac{R_c^{K-1}}{\eta_c} \right) \right)} \quad (11)$$

150

151

152 As it is shown in Fig. 1 the cycle consists of different components and each one of these  
153 component needs to be carefully designed. The selection of the best design parameters of the turbine  
154 will lead to higher turbine isentropic efficiency, power output. This certainly will enhance the overall  
155 efficiency of the cycle and the system performance. Fig. 2 illustrates their T-S (temperature-entropy)  
156 diagram.

157

#### 158 4- Mean Line Design of Radial Turbine [12, 31-41]:

159

160 The initial shape blade as well as its dimensions such as nominal hub and shroud diameters, the  
161 blade number and thickness, the trailing and leading edges can be determined using the ML design  
162 [41]. Together Figs. 3 & 4 show the velocity triangles and their relative thermodynamic processes. The  
163 two dimensionless parameters that have been used in the ML design of radial turbine are the loading  
164 ( $\psi$ ) and flow ( $\phi$ ) coefficients. These two parameters are together used to determine the exact velocity  
165 triangle shapes and then calculate turbine efficiency through the stage as shown in equations 12-14.

166

$$\psi = \frac{\Delta h_{actual}}{U_4^2} \quad (12)$$

167

$$\phi = \frac{C_{m5}}{U_4} \quad (13)$$

$$\Delta h_{actual} = \Delta h_{isentropic} \eta_{turbine,stage,t5} \quad (14)$$

168 The relations that connect hub and tip diameter in radial turbine as well as the rotor number of vanes

169 are:

$$r_{5t} = \sqrt{\frac{A_5}{\pi}} + r_{5hub}^2 \quad (15)$$

170

$$Z_{rotor} = \frac{\pi}{30} (110 - \alpha_2) \tan(\alpha_2) \quad (16)$$

171

172 The losses in enthalpy due to the tip clearance are consisting of both the axial and radial clearance.

173 So, these clearances as well as the secondary losses are calculated by the next few equations 17- 23,

$$\Delta h_{tip,clearance} = \frac{U_3^4 Z_{rotor}}{8\pi} (0.4 \varepsilon_x C_x + 0.75 \varepsilon_r C_r - 0.3 \sqrt{\varepsilon_x \varepsilon_r C_x C_r}) \quad (17)$$

174

$$C_x = \frac{1 - \left(\frac{r_{5tip}}{r_4}\right)}{C_{m4} b_4} \quad (18)$$

175

$$C_r = \left(\frac{r_{5tip}}{r_4}\right) \frac{l_{rotorx} - b_4}{C_{m5} r_5 b_5} \quad (19)$$

176

$$l_{rotorx} = 1.5(r_{5tip} - r_{5hub}) \quad (20)$$

177

$$\varepsilon_x = \varepsilon_r = 0.04(r_{5tip} - r_{5hub}) \quad (21)$$

178

$$\Delta h_{secondary} = \frac{C_4^2 d_4}{Z_{rotor} r_c} \quad (22)$$

179

$$C_x = \left[ \frac{Re}{2} \left(\frac{d_4}{r_c}\right)^2 \right]^{0.05} \left[ \frac{W_4 + \left(\frac{W_{5tip} + W_{5hub}}{2}\right)}{2} \right]^2 \frac{l_{hyd}}{h_{hyd}} \quad (23)$$

180 The exit kinetic loss can be computed using the following equation,

181

$$\Delta h_{exit} = 0.5 C_5^2 \quad (24)$$

182

183 In nozzle and volute, on the other hand, after obtaining the friction factor  $f$  from moody chart, the

184 differences in the enthalpy because of the friction effect, and Reynolds number can be determined by

185 the next two equations:

$$\Delta h_{friction,nozzle} = 4 f_{nozzle} \bar{C}^2 \frac{l_{hyd,nozzle}}{d_{hyd,nozzle}} \quad (25)$$

$$f = 8 \left[ \left( \frac{8}{Re} \right)^{12} + \left( \left[ 2.475 \ln \left( \frac{1}{\left( \frac{7}{Re} \right)^{0.9} + 0.27PR} \right) \right]^{16} + \left[ \frac{37530}{Re} \right]^{16} \right)^{-1.5} \right]^{\frac{1}{12}} \quad (26)$$

186

187

After determining the fluid mass flow rate, its density and absolute velocity, the maximum radius and

188

volute radius can be defined using the equations from 27- 29,

189

$$A_1 = \frac{\dot{m}_{working\ fluid}}{\rho_1 C_1} \quad (27)$$

$$r_{volute} = \sqrt{\frac{A_1}{(0.75\pi + 1)}} \quad (28)$$

190

$$d_{max} = 2(r_1 + r_{volute}) \quad (29)$$

191

192

The losses which are associated with volute geometry and then the total losses in enthalpy and the

193

total to total efficiency are defined in the last three equations:

$$\Delta h_{loss,volute} = \frac{r_{volute} C_2^2}{2} \quad (30)$$

194

$$\Delta h_{loss,total} = \Delta h_{loss,volute} + \Delta h_{friction,nozzle} + \Delta h_{tip,clearance}$$

195

$$+ \Delta h_{secondary} + \Delta h_{frictio} + \Delta h_{exit} \quad (31)$$

$$(\eta_{turbine,stage,ts})_{new} = \frac{\Delta h_{actual}}{\Delta h_{actual} + \Delta h_{loss,total}} \quad (32)$$

196

197

The designer can easily get the main specifications and thereby the ML deign of turbines. These specifications, in brief, include Inlet boundary conditions such as pressures, temperature, pressure ratio, and output power as well as design parameters like, flow coefficient, loading coefficients, hub to tip radius ratio and number of blade. Finally, estimation the initial estimations of the overall efficiency initial, tip clearance. Fig. 5 illustrates the main steps of the ML design for the SSRT in a flow chart. Table (1) shows the ranges of input factors and boundary of the radial turbine ML design. The results of the 3D base-line BL of the SSRT geometry from the ML design are shown in Table (2).

203

### 5- Numerical Analysis of the model

204

205

Once the ML design of the SSRT, using the Vista RTD tool, was completed, all the relevant information for building the blade geometry of the rotor was prepared. So, the next step was to export these dimensions in order to create the rotor blade geometry using the Blade-Gen feature in ANSYS CFX. This tool in fact can be used to construct the stator blade as well as the rotor for the studied turbine. The CFX Turbo-Grid was employed in order to generate the required elements for the turbine's fluid domain. The 3D turbulent viscous flow simulation in the whole domain of the SSRT was functioned using the Shear Stress Transport turbulence model with equations of Navier-Stokes.

211

The assumptions of the 3D CFD simulation are steady state, using a compressible single-phase ideal air gas. Also, the first order upwind advection scheme was selected because it is numerically stable.

212

213

The SST/k-omega has the ability to treat the low velocity region near the wall through an automatic wall function, to capture the turbulence zone by the specified first node after the wall. The SST/K-

214



215 omega model is specifically designed for the complex flow like turbomachinery flow as suggested by  
216 [43]. The flow direction was set to be normal to boundary and the average value of  $y^+$  was set aside  
217 unity as recommended in the CFX-Solver theory guide [43]. The convergence criteria for the residuals  
218 of the continuity, energy and the velocity equations were of the order of  $10^{-6}$ . The solutions for all the  
219 cases were obtained once the results of the convergence criteria were satisfied.

220 The CFD simulations were established in order to analysis the SSRT behaviour at both; the nominal  
221 and the other off-design conditions. Figure 6A displays the full SSRT as created by the Blade-Gen  
222 CFX; while Fig. 6b presents the density of the chosen mesh passage for the rotor blade. The rotor grid  
223 number was about 1,200,000 nodes and the stator grid number was around 600,000 using a finer mesh  
224 for the adjacent walls of blades. During the optimization procedure 15 parameters have been  
225 nominated in order to achieve the required objective function. Those parameters are: the rotor blade  
226 number; the rotor blade shape, which is represented by 12 parameters; the stager angle of the stator;  
227 and the stator blade number. More details are found in section 6.

228

## 229 **6- Validation**

230 Because they have relatively sufficient data, the references [46 & 47] have been chosen and deeply  
231 investigated in order to validate the current work. The validation results showed good agreement  
232 between the current work and the two chosen ones. While the first validation, which was with  
233 reference [46], the CFD technique was used in order to validate the current work, however, in the  
234 second validation, with reference [47], only the 1Dimensional approach was used because relatively  
235 little information was provided about the 3Dimensional approach. Regarding the mesh sensitivity, it  
236 worth to mention that the turbine model had around 1,000,000 nodes for stator and 2,000,000 for the  
237 rotor. It is worth noting that in the zone near to the walls and blade's surface, the grid size was refined  
238 in order to sustain a good agreement between computational costs and solution accuracy. The grid  
239 sensitivity analysis was carried out based on turbine total to static efficiency as shown in Fig. 8 in  
240 order to reach the satisfied number of elements for the chosen mesh type.

241

242 The schematic view of the radial turbine that used in Ref [47] is presented in Fig. 7.

243

244 Furthermore, Figs. 9A and B showed the comparison between the present work and the two mentioned  
245 journals respectively.

246

247 The authors referred to the secondary losses of the stator, friction losses of the vaneless-space surface,  
248 and the mixing trailing edge and wake losses as reasons for the uncertainty of their results. However,  
249 the uncertainty of Ref.[45] was because of the difference in the operating conditions of their rig;  
250 especially regarding the hot and normal clearances of the rotor, which increases the tip clearance  
251 losses.

## 252 **7- Results of the Base-line Design**

253 Figure 10 compares the CFD results of the base-line design against the ML design analysis at nominal  
254 boundary conditions, shown in Table (1). The values of output power and isentropic efficiency were  
255 compared for three different cases: inlet temperature, rotational speed and pressure ratio. Specifically,  
256 Figs. 10A and 10A' show the values of output power and efficiency at different values of turbine inlet  
257 temperatures. In this figure the relative over estimation for the ML analysis in terms of both the  
258 turbine output power and the efficiency can be noticed. The main reason for this is the inability of the  
259 1D analysis to elicit the exact behaviour of the fluid. Similarly, Figs. 10B, 10B', 10C and 10' represent  
260 the turbine output power and efficiency at different values of its rotational speed and pressure ratio  
261 respectively. It can be seen that at each specific boundary condition the output power and efficiency  
262 of the turbine reached the peak. Furthermore, this figure shows that the maximum difference in

263 efficiency between the PD and CFD results was about 9% for the case of different inlet temperatures  
264 and 6.9% in output power for the case of different pressure ratios because the ML design is not able to  
265 capture all properties that the real flow behaves.

## 266 **8- Multi-objective Optimization and Genetic Algorithm**

267 Engineers still need to search for the best design among the available possible designs. Yet, the term  
268 ‘best’ can come with many meanings and what is excellent in some terms or applications may not be  
269 the best in other applications; thereby this term does not have an absolute meaning. Therefore,  
270 understanding the optimization procedure in depth will certainly lay the groundwork for optimization  
271 of the turbines; especially the Small Scale Turbines (S.S.T.) whose sizes might add to the challenge of  
272 their optimization. The term fitness in nature can be represented, from the engineering point of view,  
273 as the most robust design. From this point the idea of initiating an Optimized Small Scale Radial  
274 Turbine (O.S.S.R.T.) began and is presented in this paper. The operation of the GA starts with a  
275 population of random strings and the design variables are represented by these strings. Thereafter,  
276 each single string will be assessed to discover the fitness value. Three main well-known operators –  
277 reproduction, cross over, and mutation will be run to create a new population of points and then drive  
278 the population. The new population will be further assessed and tested in order to terminate the  
279 process. However, if the termination criterion is not met, the population will iteratively run using the  
280 three mentioned operators and again be evaluated. This procedure will be continued until the  
281 termination criterion is reached. The structure of the algorithm is shown in Fig. 11. In this study the  
282 objectives include the efficiency, the power output of the turbine, the mass flow rate of the working  
283 fluid and the rotational speed. On the other hand, some constraints such as the stator throat area (to  
284 deliver the required mass flow rate of air to the rotor), the tip clearance and blade thickness (for  
285 manufactural purposes), are also provided.

286 In this study the multi-objective function was harnessed with the aim of optimizing the designed  
287 radial turbine.

288 Comparing it to single objective optimization, the multi-objective has the ability to maximize or  
289 minimize many functions, depending on their constraints, simultaneously.

290

291 Differences between the Genetic Algorithm (GA) methods and other traditional optimization  
292 methods:

293 1- Genetic algorithms (GA) work with the coding of the parameter set, not the parameters themselves.

294 2- GAs search for a population of points, not a single point.

295 3- GAs use the objective function information and not the derivative or second derivative.

296 4- GAs use stochastic transition rules, not deterministic rules.

297

### 298 **8.1- Aerodynamic Optimization of SSRT**

299 The design exploration package, which is linked in the CFD analysis, is based on the quadratic  
300 Response Surface Method (RSM). This method is one of three popular techniques (Kriging, Radial  
301 Basis Functions and polynomial Response Surface Model) in surrogate models. This method, which  
302 has the best compromise between the computational expense and modelling accuracy, is provided  
303 with a polynomial model. In this study, parameterization of the blade geometry and generation of the  
304 design points, using the Design of Experiments (DoE) and design of exploration package in  
305 ANSYS®15 was carried out. As the multi-objective optimization enables user to choose more than  
306 one objective, each; power output and total efficiency of the turbine together with mass flow rate and  
307 rotational speed were chosen as objective functions. Then, the response surface approximation (RSA)

308 [41], which is a statistical functions that connects the output parameters in terms of input parameters  
 309 (blade profile), will be employed using ANSYS®15. The GA is employed for global exploration.  
 310 After completing the numerical solution for each single design point, the discrete response for each of  
 311 them was obtained. The second order polynomial response can be formulated [44, 45] as:

$$f(x) = \beta_0 + \sum_{j=1}^N \beta_j x_j + \sum_{j=1}^N \beta_{jj} x_j^2 + \sum_{i \neq j}^N \sum_{i=1}^N \beta_{ij} x_i x_j \quad (33)$$

312 Here,  $f(x)$  is the function to be optimized;  $\beta$  characterizes regression coefficients; and  $x$  point to a set  
 313 of the design parameters. However, in a constrained minimization problem, the objective function is  
 314 replaced by the penalized function which is as follows:

$$P(x) = f(x) + \sum_{j=1}^N u_j (g(x_j))^2 + v_k \sum_{k=1}^K [h_k(x)]^2 \quad (34)$$

315 Where  $u_j$  and  $v_k$  are penalty coefficients, which are usually kept constant throughout the GA  
 316 simulation. The inequality constraints and equality constraints are  $g(x)$  and  $h(x)$  respectively.  
 317 However the fitness function, in terms of a penalized function is as follows:

$$F(x) = \frac{1}{(1 + P(x))} \quad (35)$$

318  
 319 If the RSA function is intensively in variation with the design parameters, this leads to redesigning the  
 320 space sample between the design points and a rebuilt objective function [43]. The main target of the  
 321 current 3D optimization is to enhance the blade geometry in order to minimize the losses through the  
 322 passage, in terms of entropy generation; maximize the SSRT efficiency, as well as minimize both the  
 323 mass flow rate of the working fluid and the rotor rotational speed. Fig. 12 explains the procedure  
 324 followed during the 3D CFD optimization.

325 Both parameterization of the blade geometry and choosing the correct range of the parameters are  
 326 considered very important and critical steps in a successful optimization procedure. Therefore, they  
 327 need to be carefully selected in order to achieve the requested goal of optimization. Both; the rotor  
 328 and the stator blade geometries were conducted in the 3D CFD optimization. Unlike the axial rotor  
 329 blade (the airfoil), the rotor blade geometry is presented via a camber line and layered surface because  
 330 of its high curvature and the difficulty in representing the exact blade shape using two or three points.  
 331 As a result, besides the rotor blade number, twelve other parameters, (some of them in terms of the X  
 332 and Y coordinates), which together represent the rotor blade shape and required throat width, have  
 333 been selected as input parameters. By doing so, the full definition of the rotor blade will be figured  
 334 out. Regarding the stator geometry, there are only two parameters which have been chosen. The first  
 335 is the stagger angle which has a direct impact on the stator shape. The second parameter was chosen  
 336 to be a constraint objective in order to deliver the same constant mass flow rate to the rotor during the  
 337 optimization process.

338 In order to successfully achieve the optimum design for the SSRT, the optimization process was  
 339 implemented for the range of design boundary conditions shown in Table (1). The blade shape  
 340 parameterization is one of the fundamental aspects of a reliable optimization procedure, as it requires  
 341 generating wide ranges of acceptable blade geometry within groups of design variables and their  
 342 ranges. The parameterization of the blade's geometry was mainly accomplished for the rotor and only  
 343 the stator's stagger angle was included. In addition, the stator's blade number (for constrained mass  
 344 flow rate) was defined as a constraint function. Moreover, three different objectives were nominated  
 345 as objective functions. Maximizing both the output power and the turbine efficiency were two of the

346 three selected; and minimizing the rotor rotational speed was also desired as the third objective  
347 function in the MOGA.

## 348 8.2- Results of Optimization

349 After defining the parameters, the constraints and the objective functions, more than 1200 different  
350 design points (proposed solutions) have been initiated depending on random distributed data. The  
351 solution took more than 504 continuous hours on the Core I7 3.7 GHz processor and 48 GB RAM  
352 Computer. Figs. 13 A, B and C show an example of some proposed solutions as candidate design  
353 points. Due to the large number of them, the design points have been separated into three Figs., (A) to  
354 (C). Each one has about 250 proposed solutions (which together represent only about 63% of all the  
355 design points) in terms of the output power and the turbine efficiency. From the mentioned figures  
356 one can see that some candidate points have about 92% turbine efficiency and even higher efficiency  
357 than the chosen optimum point. However, because they didn't satisfy the minimum rotational speed  
358 objective, these design points have been omitted. Another important issue that can be concluded from  
359 these figures is that not all the candidate points can deliver satisfactory results and come up with a  
360 solution. It can be seen that some of them are unable to do so. Table (5) presents the optimum and the  
361 base-line values of design variables of turbine, while Fig. 14 displays the two shapes of blade; for the  
362 base-line and the design.  
363

364 Figure 15 compares the CFD results of the base-line (CFD- Base) design against the Optimum Point  
365 (OP) analysis at nominal and off design boundary conditions. The values of the output power and  
366 isentropic efficiency were compared for: inlet temperature, rotational speed and pressure ratio.  
367 Specifically, Figs. 15A & 15A' show the values of output power and efficiency at different values of  
368 turbine inlet temperature. In this figure the effect of the turbine inlet temperature (fixing all other  
369 BCs) is highlighted. While there is a positive relationship between the turbine output power and the  
370 inlet temperature, the efficiency reached its maximum point at 450K, as it was the designed point.  
371 Similarly, Figs. 15B & 15B' and 15C & 15C' represent the turbine output power and efficiency at  
372 different values of its rotational speed and pressure ratio respectively. Furthermore, this figure shows  
373 that the turbine efficiency and power output increased with a maximum improvement of about 9.35%  
374 in turbine efficiency and 41.08% in power output. The main reason behind this enhancement relates to  
375 the amount of losses (such as shock losses, secondary losses and incidence losses) that are largely  
376 decreased when the blade shape reached its optimum configuration. Moreover, it is obvious from Fig.  
377 15 that even in the off-design condition the overall performance of the optimized SSRT is by far  
378 better than their peers at the BL for the all investigated boundary conditions. Overall, in its optimum  
379 design, the turbine behaves better than the CFD- Base design during the off design conditions  
380 especially in terms of the amount of output power.  
381

382 Fig. 16A demonstrates the loading distribution (represented in terms of pressure distribution) through  
383 all the passage of the rotor. The highest velocity of the flow, which is located at the throat area, results  
384 in lowest values of pressure on the suction surface. However, the opposite is the case for velocity  
385 distribution on the pressure side starting from the leading to the trailing edges. In Fig. 15B, the  
386 contours of pressure distribution through all of the turbine passage, stator and rotor, are presented.  
387 From this figure it can be seen how the pressure is distributed starting from the highest value in the  
388 stator to the lowest at the diffuser.

389 However, the loss through the rotor passage can be assessed by entropy generation which is an  
390 indicator of the amount of aerodynamic losses. From Fig. 17 it is shown that the 3D optimization  
391 which is done using the MOGA, was able to decrease the amount of entropy generated within mainly

392 the rotor blade, but also in the stator blade passage, compared to the base-line design and thereby  
393 enhance the flow aerodynamically.

### 394 **9- Results of Brayton Cycle Analysis**

395  
396 Using the Brayton cycle analysis in equations 1-11 to calculate the cycle efficiency for the various  
397 boundary conditions, the cycle efficiency at nominal and other boundary conditions, as well as the  
398 enhancement that the cycle has achieved is clear in Fig. 18. These improvements are delivered by 3D  
399 CFD results and inserted in the cycle modelling as inputs to calculate the overall cycle efficiency. This  
400 improvement, which is not constant for all the investigated boundary conditions, is mainly due to the  
401 increment that occurred in the turbine efficiency. The pressure ratio and inlet temperature values range  
402 from 2- 4 and 450 K- 550 K shown in Figs. 18A, B and C respectively. At this point it is worth  
403 mentioning that for the nominal conditions, the optimum pressure value that gives maximum cycle  
404 efficiency is 3. However, with increasing the turbine inlet temperature the optimum pressure values  
405 become 3.5 and 4 at 500 K and 550 K respectively. This is because of the fixed relationship between  
406 the turbine inlet temperature and the turbine working pressure ratio. Moreover, for the other boundary  
407 conditions of the cycle, with improving the turbine efficiency from about 82% to 89.5 %, the  
408 improvement was about 6% at the current nominal conditions, and 5% and 4% at the three investigated  
409 temperatures respectively. Finally, it can be seen that the maximum efficiency values which were  
410 achieved were about 11%, 18.5% and 27% at the mentioned optimum values of the pressure ratio and  
411 for the three inlet temperatures respectively.

412

### 413 **10- Conclusions**

414 Numerical simulation was carried out to optimize a small-scale radial turbine with output power in the  
415 range of 1.5 to 7.5 kW. A one-dimensional Mean Line approach and three-dimensional CFD  
416 simulations, using three-dimensional RANS with the SST turbulence model in ANSYS@15- CFX,  
417 were employed to achieve the best turbine performance; and consequently, the highest reachable  
418 efficiency for the small-scale solar powered Brayton cycle. This paper demonstrated the following  
419 important outcomes:

420

- 421 • The CFD simulation results show good agreement with mean line design results for turbine  
422 efficiency and power output.
- 423 • A relatively high increment in the isentropic efficiency, from 82.3% to 89.7% for the same  
424 range of output power was achieved. This was reached by optimizing the shape and the  
425 number of both the stator and the rotor components of the radial turbine.
- 426 • The results showed that a small-scale radial turbine can achieve a power output of around 3.5  
427 kW with isentropic efficiency about 89.5% at a relatively low inlet temperature; which can be  
428 obtained from solar energy through a parabolic concentrator dish as a heat source.
- 429 • It was clear from the 3D CFD optimization results that the MOGA optimizer is considerably  
430 beneficial in improving the turbine's performance in terms of both the turbine efficiency and  
431 the power output. Consequently, the thermal cycle efficiency has also been increased by about  
432 6% as a result of increasing the turbine's efficiency.
- 433 • Also, the blade loading and losses (entropy generation) had significantly improved compared  
434 to the base-line design. So, it can be said that the integrated approach between Brayton cycle  
435 modelling, PD, 3D CFD simulation and optimization methodologies have potential  
436 advantages to achieve a high performance of a small-scale solar powered Brayton system.

437

438 The integrated aerodynamic and structural optimization is the next study in order to figure out the  
 439 mechanical stresses that accompanied the turbine structure during its service.

#### 440 ACKNOWLEDGMENT

441 The author thanks the Higher Committee of Developing Education in Iraq HCED for funding this  
 442 project.

#### 443 Nomenclature

Symbol	Definition
A	Area (m <sup>2</sup> )
b	Axial chord (mm), blade width (mm)
c	Absolute velocity (m/s)
d	Diameter (m)
f	Friction factor (-)
h	Enthalpy (J/kg)
H	Blade height (mm)
i	Incident angle (deg.)
k	Loss Coefficient (-)
l	Length (m)
m	Mass flow rate (kg/s)
p	Pressure (Pa)
PR	Pressure ratio (-)
r	Radius (m)
Re	Reynolds No. (-)
s	Entropy (J/kg. K)
SC	Swirl coefficient (-)
T	Temperature (K)
U	Rotor blade velocity (m/s)
w	Relative velocity (m/s)
W	Power (W)
Z	Blade number in radial turbine (-)
Greek symbols	Definition
$\alpha$	Absolute flow angle (deg.)
$\beta$	Relative flow angle (deg.)
$\varepsilon$	Clearance (m)
$\eta$	Efficiency (%)
$\upsilon$	Velocity ratio (-)
$\rho$	Density (kg/m <sup>3</sup> )
$\phi$	Flow coefficient (-)
$\psi$	Loading coefficient (-)
$\omega$	acentric factor (-)
$\zeta$	Losses (-)
Acronyms	Definition
BL	Base-line Design
BCs	Boundary Conditions
CFD	Computational Fluid Dynamics
DoE	Design of Experiment
GA	Genetic Algorithm
LE	Leading edge
ML	Mean Line Design
MOGA	Multi-objective genetic algorithm
ORC	Organic Rankine Cycle
O.S.S.R.T	Optimized Small Scale Radial Turbine
RANS	Reynolds-Averaged Navier-Stokes
RSA	Response Surface Approximation
SST	Shear Stress Transport
TE	Trailing Edge
Subscripts	Definition
1-6	Station
m	Meridional direction
r	radial
rel	relative
s	Isentropic
x	Axial

t	Total, stagnation
ts	Total to static
th	Thermal
$\theta$	Tangential/circumferential direction

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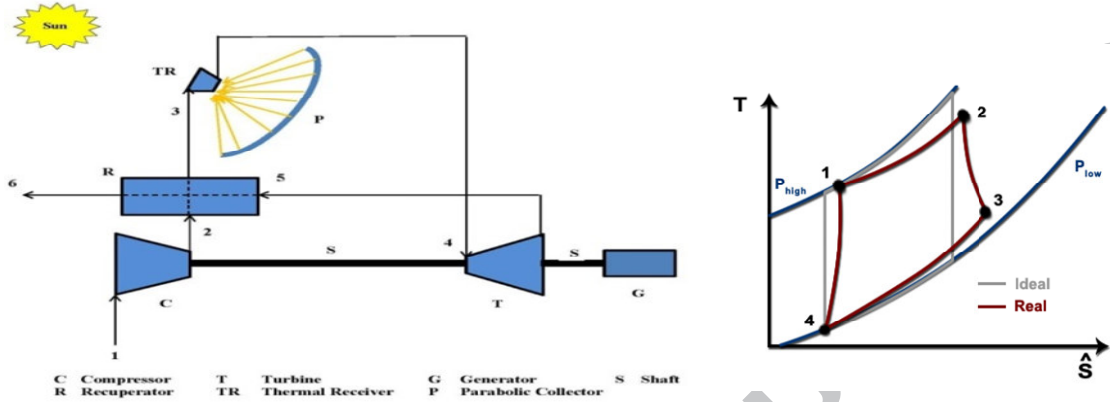


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Fig.1. Schematic diagram of CSP-BC system.

Fig. 2. T-S diagram of Brayton cycle.

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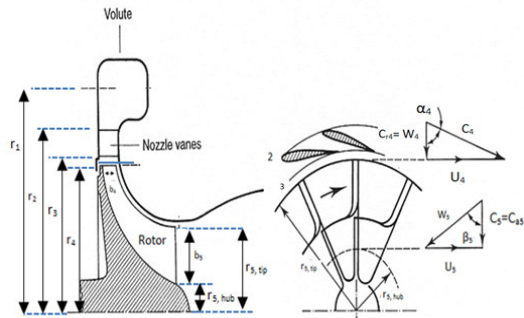


Fig. 3. Schematic view and the meanline velocity triangles of the radial turbine.

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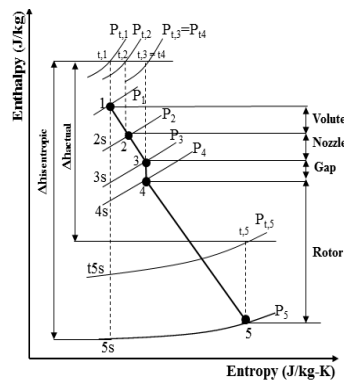


Fig. 4. Enthalpy-entropy diagram of the turbine.

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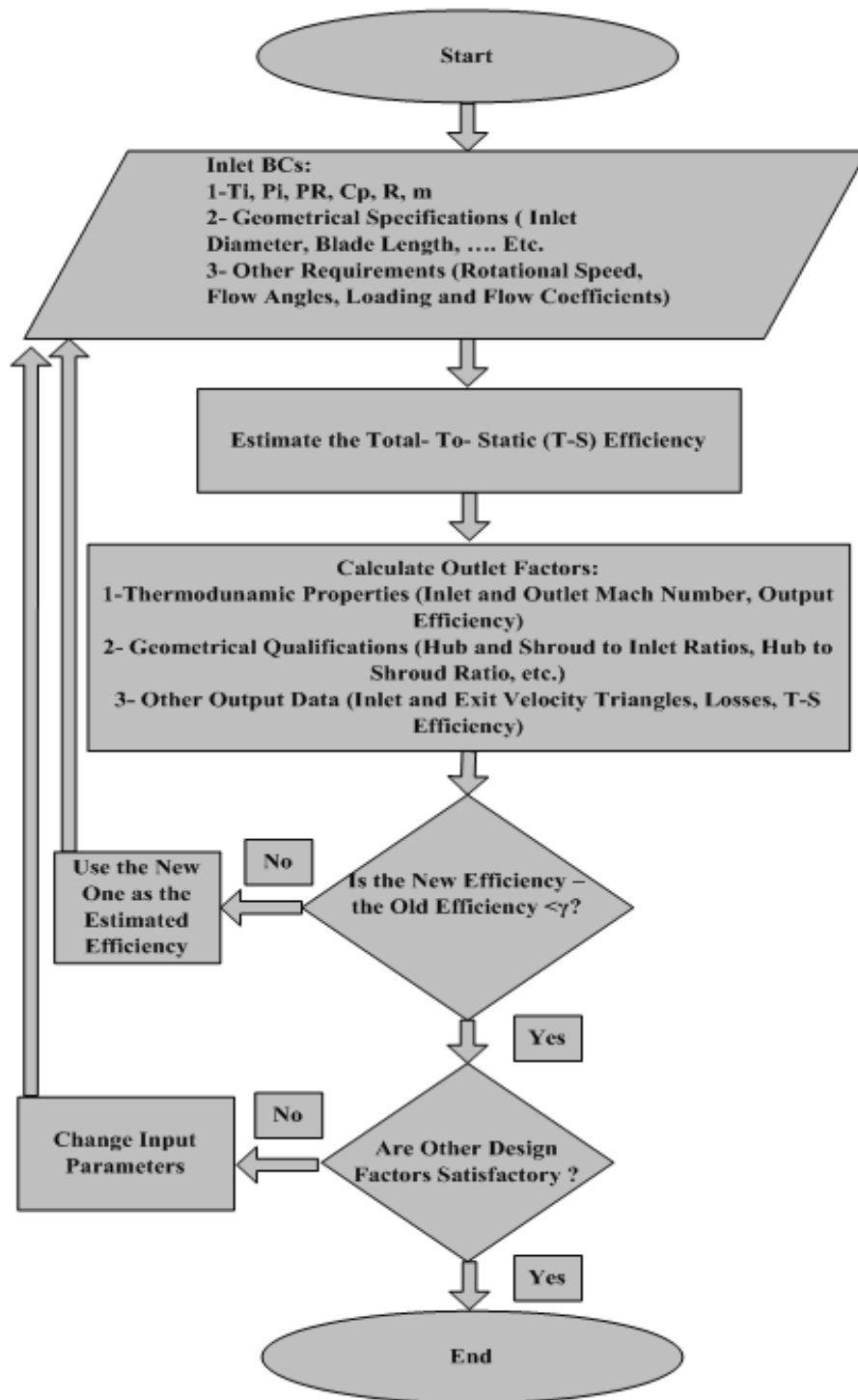
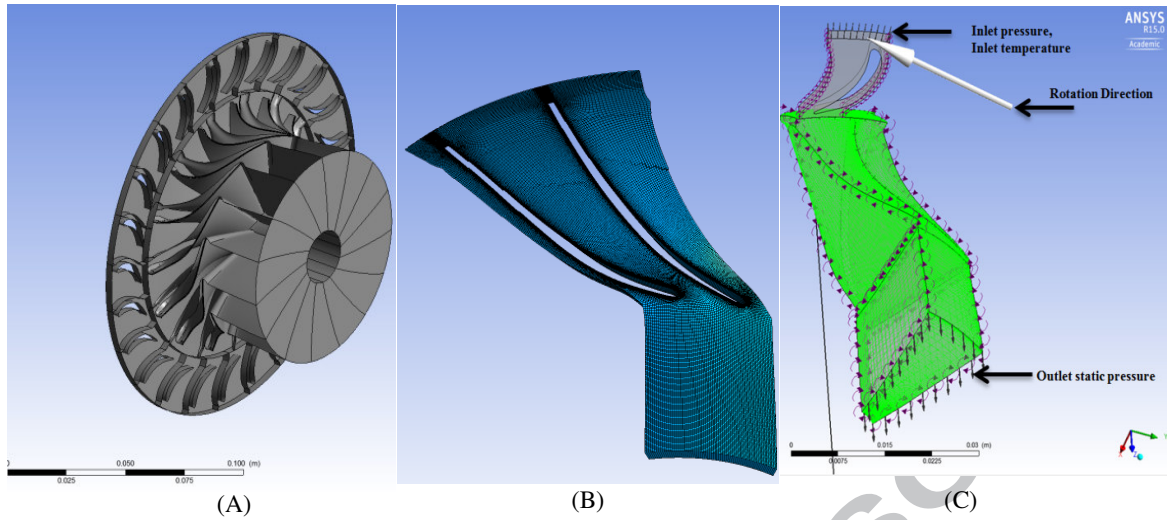


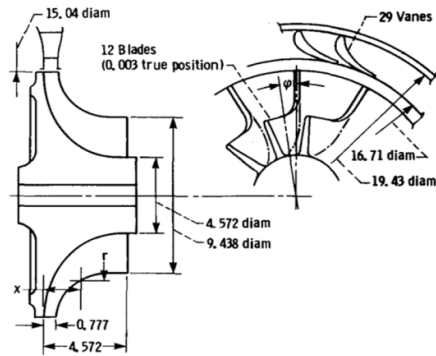
Fig. 5 Algorithm procedure followed for the designed turbines.

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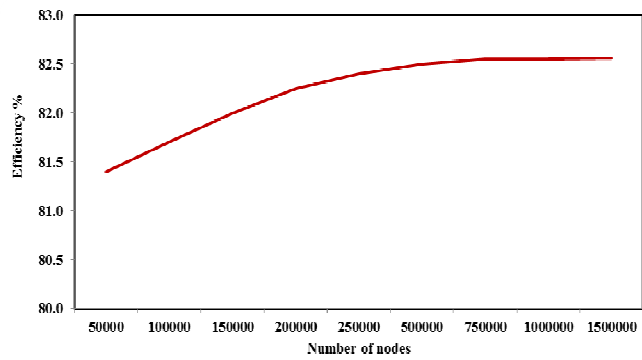


**Fig. 6.** Three dimensional view of; (A): Radial turbine geometry, (B): 3D mesh generation for the rotor blade and (C): The coupled stator- rotor domain.

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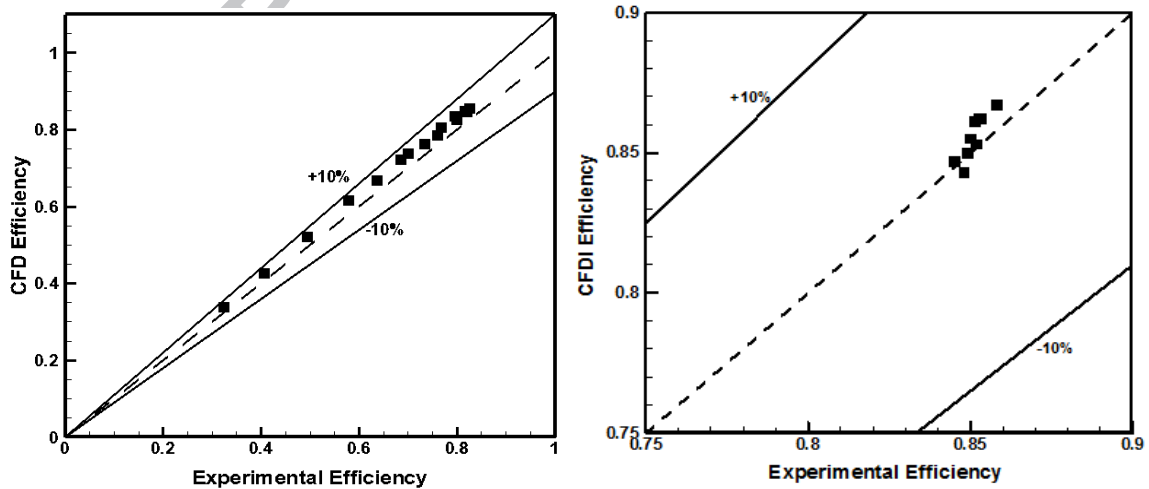


**Fig. 7.** Schematic view of the radial turbine that used in Ref [47].



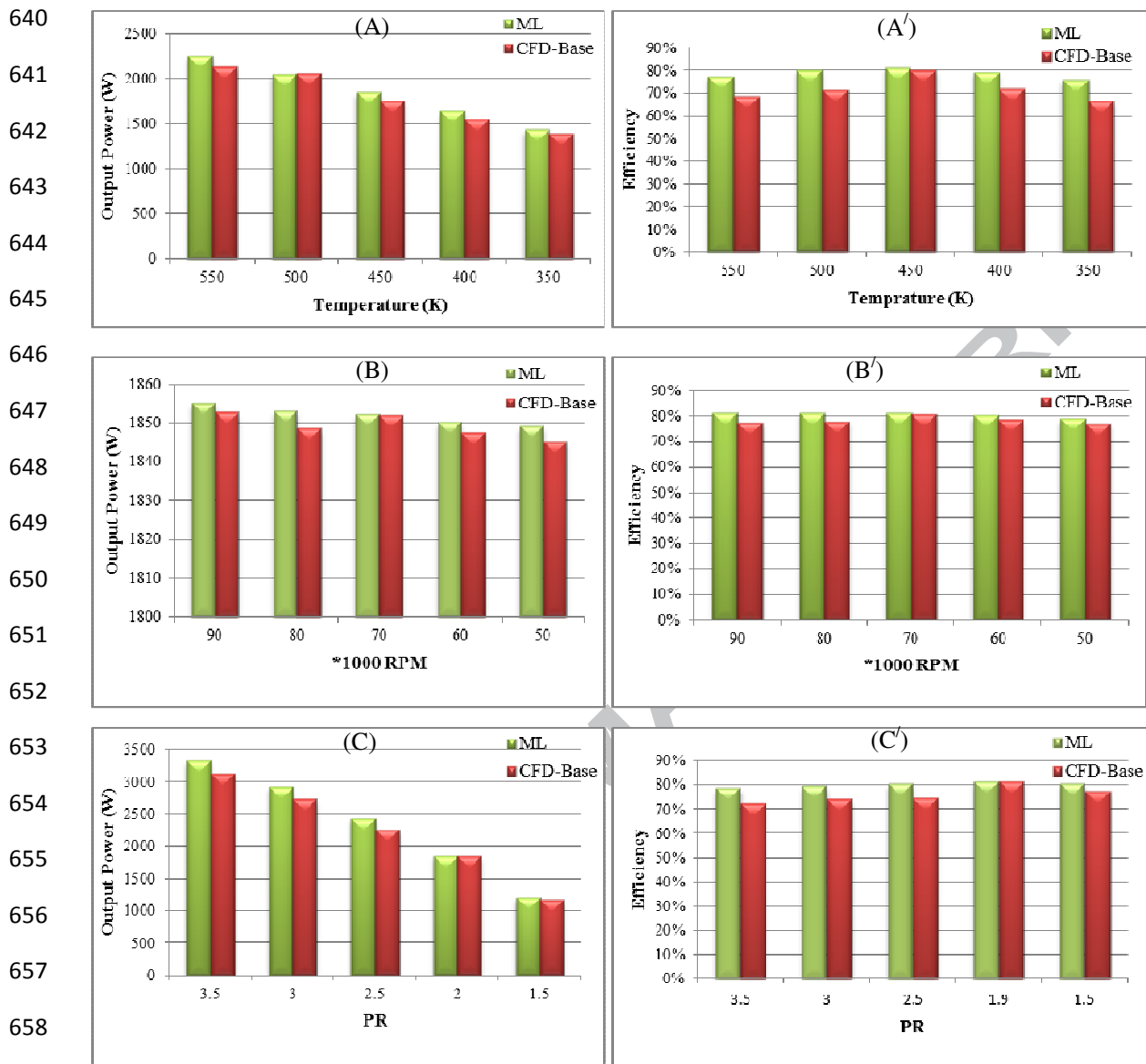
**Fig. 8.** Mesh sensitivity based on turbine efficiency. Ref [47].

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**Fig. 9.** The efficiency of the current work against two experimental works.

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**Fig. 10.** Comparison between ML and CFD results; efficiency and power output at different: (A) Inlet temp, (B) Rotational speed and (C) Pressure ratio.

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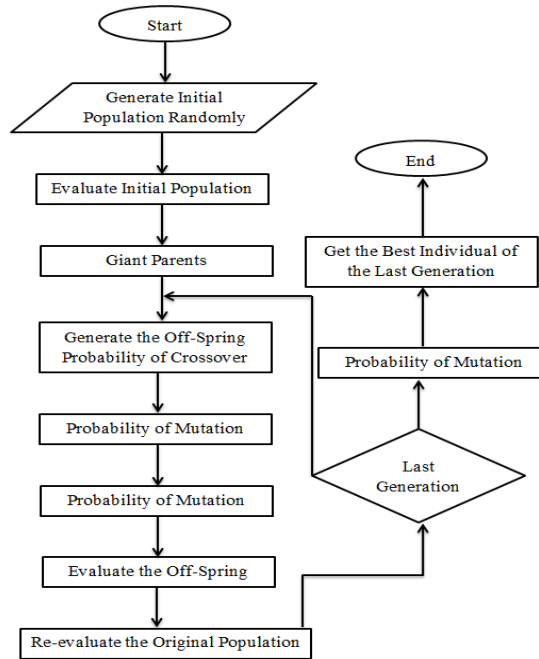


Fig. 11. Genetic algorithm flow chart.

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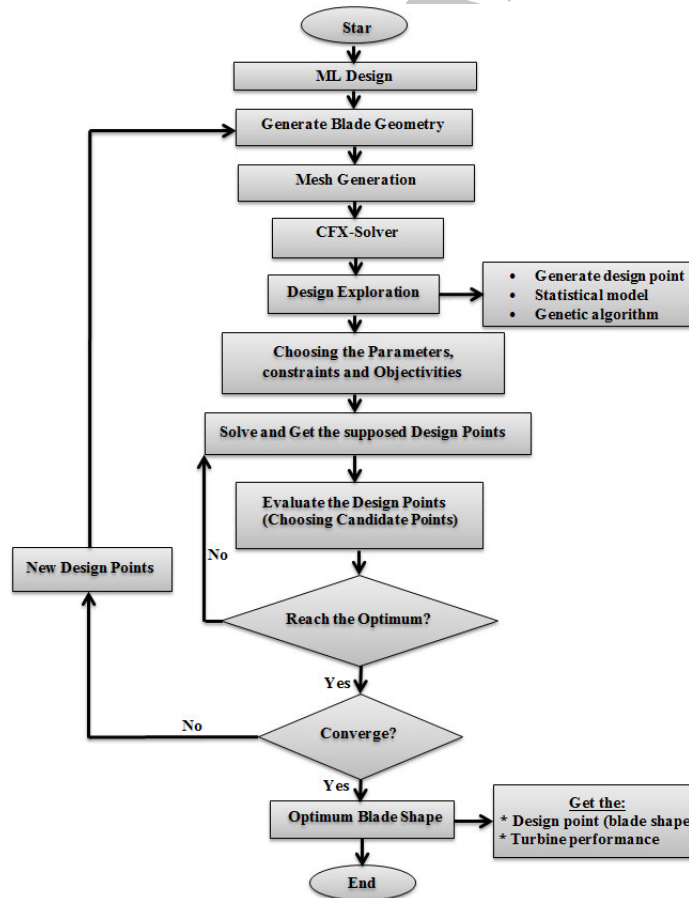


Fig. 12. The procedure followed by the three Dimensional optimization of CFD.

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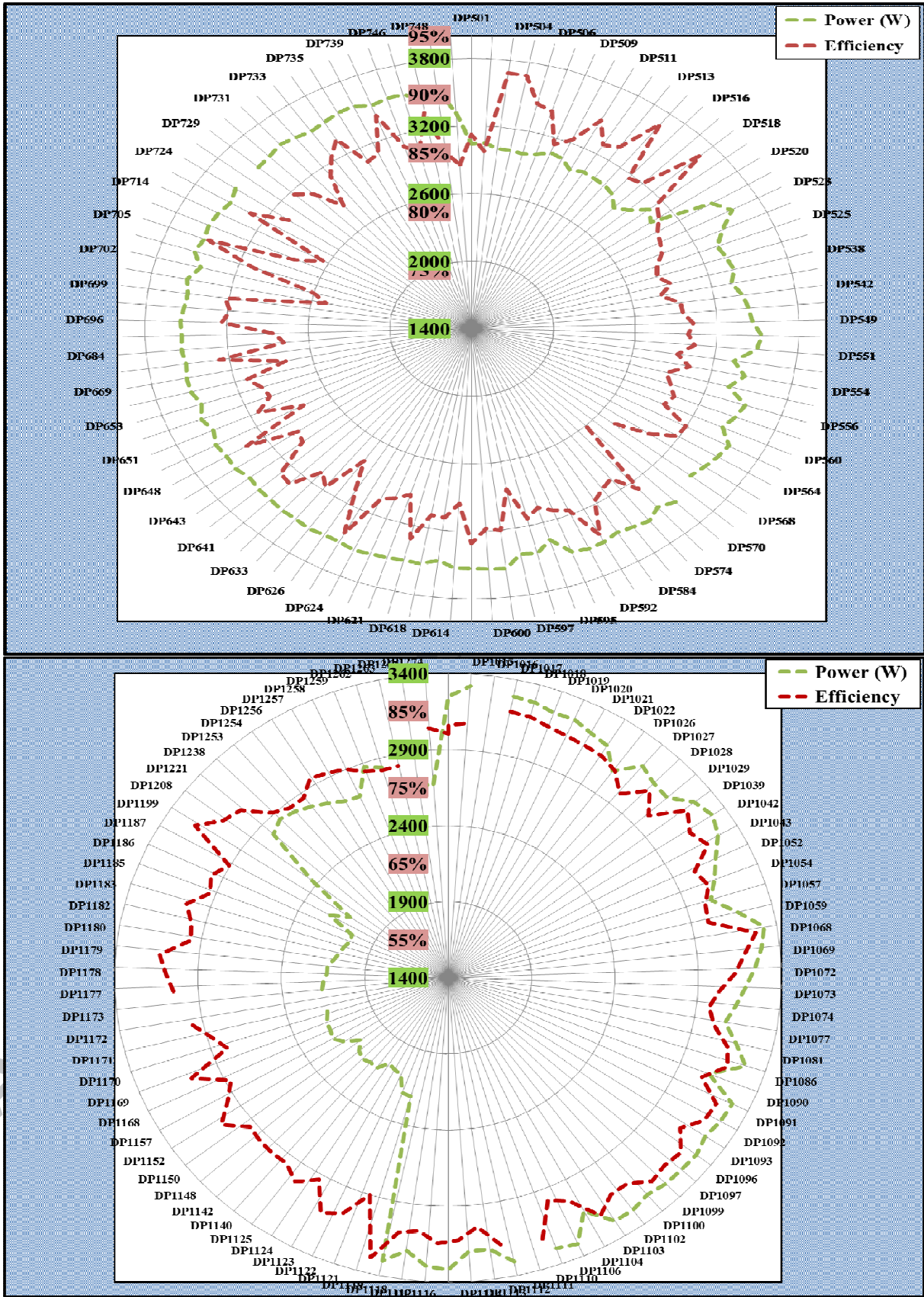


Fig. 13. Modulation of the SSRT efficiency and power output values with some of the investigated design points.

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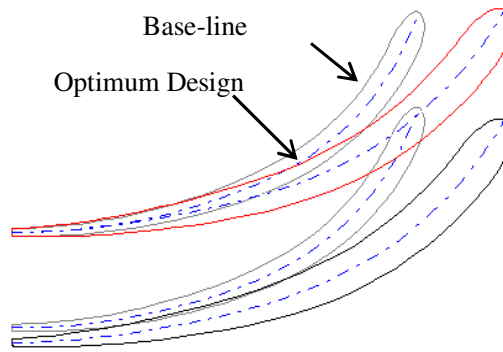


Fig. 14. Comparison between blade geometry at the base-line and optimum

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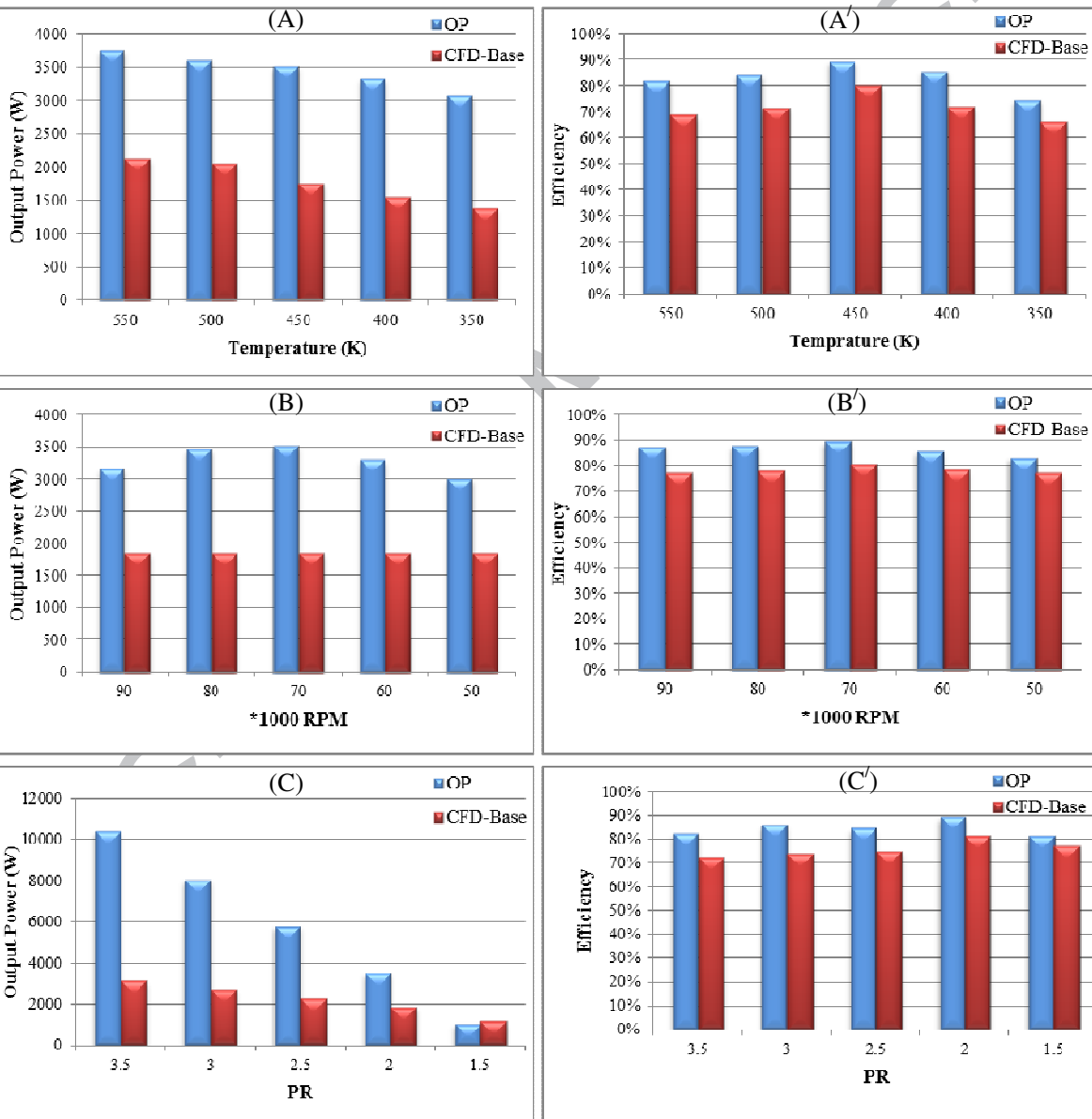


Fig. 15. Comparison between CFD and OP results; efficiency and power output at different: (A) Inlet temp, (B) Rotational speed and (C) Pressure ratio.

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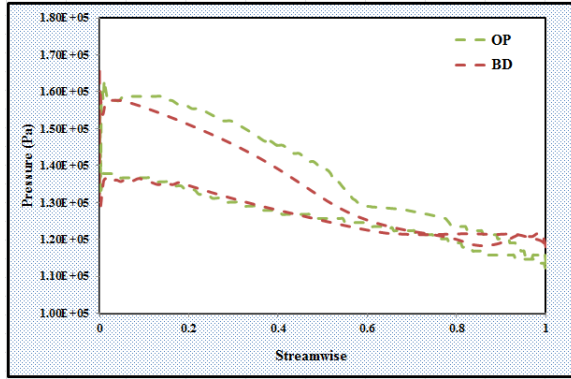
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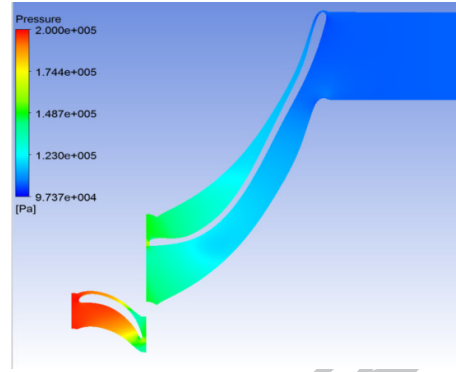
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(A)



(B)

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**Fig. 16.** (A): Pressure distribution of the BL and OP for the rotor blade and (B): Contours of pressure distribution in the stator, rotor and diffuser at 50% span.

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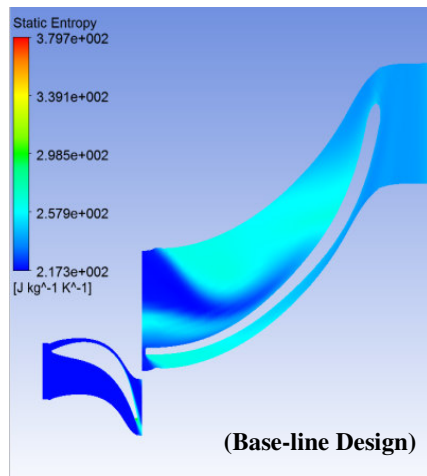
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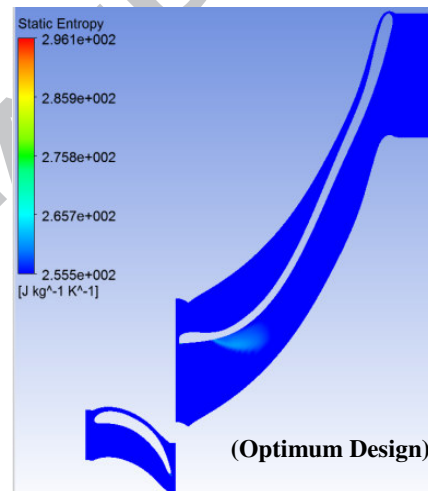
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(Base-line Design)



(Optimum Design)

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**Fig. 17.** Entropy generation at base-line design and optimum design.

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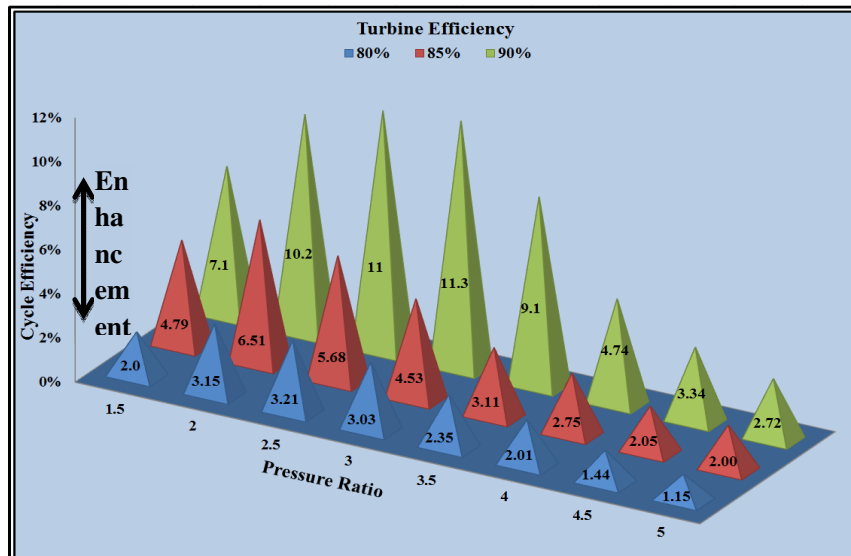
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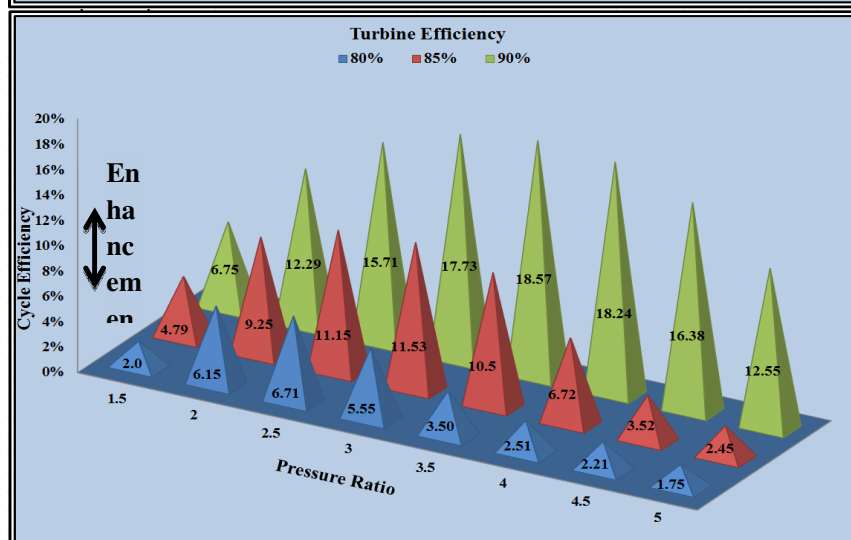
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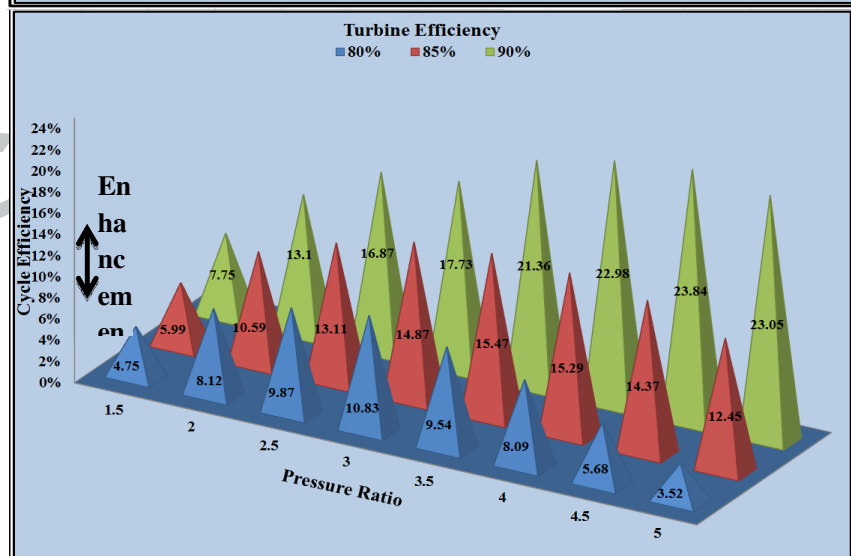
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807 **Fig. 18.** The effect of pressure ratio and turbine efficiency values at inlet temperature of: (A) 450K, (B) 500K

808 and (C) 550K on the cycle efficiency.

809 **Table 1: Input operating conditions of integrated the radial turbine and the Brayton cycle**  
 810 **model.**

Parameter	Range/value
Loading coefficient (-)	0.8-1.4
Flow coefficient (-)	0.1-0.5
Shroud <sub>Exit</sub> /Shroud <sub>Inlet</sub> (-)	0.8
Hub <sub>Exit</sub> /Hub <sub>Inlet</sub> (-)	0.22
Rotational speed (rpm)	50000-90000
Inlet total temperature (K)	450 - 550
Inlet total pressure (bar)	2 -5
Mass flow Rate (kg/sec)	0.03 – 0.05
Working fluids (-)	air
Cp(J/kg K)	1005
Inlet blade velocity (m/s)	253.1
Exit blade velocity (at shroud) (m/s)	202
Inlet relative velocity(m/s)	65.8
Exit relative velocity(m/s)	206.3
Inlet absolute velocity(m/s)	250.5
Exit absolute velocity(m/s)	14.7
Rotor inlet density(kg/m <sup>3</sup> )	1.153
Rotor inlet Mach (abs) (-)	0.7
Rotor inlet Enthalpy (J/kg)	109463
Rotor outlet Enthalpy (J/kg)	95288.8
Rotor Enthalpy at the leading edge (J/kg)	107811
Rotor Enthalpy at the trailing edge(J/kg)	87117

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**Table 2: The meanline characteristics of the SSRT.**

Nozzle	Value	Unit	Rotor	Value
Meridional length	12.93	(mm)	Axial clearance	0.4
Blade number	28	(-)	Blade number	15
Tip width	1.7	(mm)	Axial length	11.671
Exit height		(mm)	Tip width	1.7
Inlet absolute flow angle	0	(deg.)	Inlet absolute flow angle	75
Inlet height		(mm)	Inlet height	69.06
Inlet radius	50.43	(mm)	Inlet radius	34.53
Outlet absolute flow angle	75	(deg.)	Inlet relative flow angle	-9
Outlet radius	39.71	(mm)	Outlet shroud radius	27.6
TE thickness	0.4	(mm)	Radial clearance	0.4
Throat area	95	(mm <sup>2</sup> )	Throat area	95
Aerofoil area	33.18	(mm <sup>2</sup> )	Aerofoil area	25.38
Camber length	17.75	(mm)	Camber length	30.975
Cord length	15.63	(mm)	Cord length	27.82
LE thickness	0.25	(mm)	LE thickness	0.25
Pitch cord Ratio	0.448	(-)	Pitch cord ratio	0.365
Solidity	2.22	(-)	Solidity	3.77
Stagger angle	34.2	(deg.)	Stagger angle	-37.1
		(deg.)	Outlet absolute flow angle	-12.5
		(deg.)	Outlet relative flow angle (hub)	-76.3
		(deg.)	Outlet relative flow angle (root mean square)	-79.3
		(deg.)	Outlet relative flow angle( shroud)	-82.1
		(mm)	Outlet hub radius	7.6
		(mm)	TE thickness	0.4

(mm) Meridional length 22.193

815 **Table 3: The data used for validation as reported in references [46, 47].**

Parameter	Reference 46	Reference 47
Working fluid (-)	Air	Air
Mass flow rate (kg)	0.3311224	0.2313
Inlet temperature (K)	1056.483	322.2
Inlet pressure (bar)	40.02673	1.379
Pressure ratio (-)	5.7	3.255
Rotational speed (rpm)	106588	31456
Output power (kW)	37.285	15.5
Turbine efficiency (%)	87	82.7

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817 **Table 4: The geometrical data used for validation as reported in references [46, 47].**

Parameter	Value
Rotor inlet diameter (m)	0.1504
Ratio of rotor exit tip to inlet diameters (-)	0.6275
Ratio of rotor exit hub to tip diameters (-)	0.4844
Ratio of stator exit to rotor inlet diameters (-)	1.111
Ratio of stator inlet vane height to rotor inlet diameter (-)	0.0726
Ratio of stator exit bane height to rotor inlet diameter (-)	0.0537
Ratio of stator inlet to stator exit diameters (-)	1.163
Number of stator vanes (-)	29
Number of rotor blades (-)	12
Specific speed (-)	0.46
Blade speed ratio (-)	0.609

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819 **Table 5: The base-line and optimum design variable from CFD-MOGA optimization.**

Design variables	Baseline	Optimum
Stator no. of blade (-)	32	36
Stator stagger angle (deg.)	33	37.739
Stator TE Beta Angle (deg.)	69	73.70
Rotor no. of blade (-)	13	15
Rotor LE beta angle (deg.)	16.2	-46.3109
Rotor Inlet Flow Angle (deg.)	-58.3	74.03
Rotor Stagger Angle at span 0.0 (deg.)	14.4	-16.8
Rotor Stagger Angle at span 0.5(deg.)	-44.7	-37.1
Rotor Stagger Angle at span 1(deg.)	-64.5	-59.3
Rotator TE beta angle (deg.)	-18.5	-9.9457
Rotor Outlet Flow Angle (deg.)	78.9	83.36
Tip width of rotor (mm)	1.35	2.1
Rotor tip clearance (mm)	0.45	0.33

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823 **Highlights:**

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825 • 1D and 3D CFD analysis for compressed air radial turbine was carried out.

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827 • Small scale radial turbine with high efficiency.

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829 • 3D MOGA optimization for the radial turbine was achieved.

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831 • Enhancing the performance of both the turbine and the solar powered Brayton cycle.

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833 • Excellent agreement between the current CFD results and two experimental works  
834 from literatures.

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