

The utility of multiple synthesized views in the recognition of unfamiliar faces

Jones, Scott P.; Dwyer, Dominic M.; Lewis, Michael B.

DOI:

[10.1080/17470218.2016.1158302](https://doi.org/10.1080/17470218.2016.1158302)

License:

Creative Commons: Attribution (CC BY)

Document Version

Publisher's PDF, also known as Version of record

Citation for published version (Harvard):

Jones, SP, Dwyer, DM & Lewis, MB 2016, 'The utility of multiple synthesized views in the recognition of unfamiliar faces', *The Quarterly Journal of Experimental Psychology*.
<https://doi.org/10.1080/17470218.2016.1158302>

[Link to publication on Research at Birmingham portal](#)

General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

- Users may freely distribute the URL that is used to identify this publication.
- Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.
- User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?)
- Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.



The utility of multiple synthesized views in the recognition of unfamiliar faces

Scott P. Jones, Dominic M. Dwyer & Michael B. Lewis

To cite this article: Scott P. Jones, Dominic M. Dwyer & Michael B. Lewis (2016): The utility of multiple synthesized views in the recognition of unfamiliar faces, The Quarterly Journal of Experimental Psychology, DOI: [10.1080/17470218.2016.1158302](https://doi.org/10.1080/17470218.2016.1158302)

To link to this article: <http://dx.doi.org/10.1080/17470218.2016.1158302>



© 2016 The Author(s). Published by Taylor & Francis.



Accepted author version posted online: 24 Feb 2016.
Published online: 24 Mar 2016.



Submit your article to this journal [↗](#)



Article views: 287



View related articles [↗](#)



View Crossmark data [↗](#)

The utility of multiple synthesized views in the recognition of unfamiliar faces

Scott P. Jones^a , Dominic M. Dwyer^{b,c}  and Michael B. Lewis^b 

^aSchool of Psychology, University of Birmingham, Birmingham, UK; ^bSchool of Psychology, Cardiff University, Cardiff, UK; ^cSchool of Psychology, University of New South Wales, Sydney, NSW, Australia

ABSTRACT

The ability to recognize an unfamiliar individual on the basis of prior exposure to a photograph is notoriously poor and prone to errors, but recognition accuracy is improved when multiple photographs are available. In applied situations, when only limited real images are available (e.g., from a mugshot or CCTV image), the generation of new images might provide a technological prosthesis for otherwise fallible human recognition. We report two experiments examining the effects of providing computer-generated additional views of a target face. In Experiment 1, provision of computer-generated views supported better target face recognition than exposure to the target image alone and equivalent performance to that for exposure of multiple photograph views. Experiment 2 replicated the advantage of providing generated views, but also indicated an advantage for multiple viewings of the single target photograph. These results strengthen the claim that identifying a target face can be improved by providing multiple synthesized views based on a single target image. In addition, our results suggest that the degree of advantage provided by synthesized views may be affected by the quality of synthesized material.

ARTICLE HISTORY

Received 19 July 2015
Accepted 12 February 2016



KEYWORDS

Face recognition; Multiple exposures; 3D faces; Face synthesis

The ability to accurately identify an unfamiliar individual based on a single photograph is often poor and prone to error. A number of studies have reported that even when provided with the most helpful conditions (e.g., photographs taken on the same day in relatively similar conditions), people are remarkably poor at identifying the target individual (Bruce, Henderson, Newman, & Burton, 2001; Clutterbuck & Johnston, 2002; Megreya & Bindemann, 2009; Megreya & Burton, 2006). This fallibility extends outside the laboratory as demonstrated by Kemp, Towell, and Pike (1997) who found that supermarket staff failed more than 50% of the time to notice that customers had presented photo identification that did not depict that individual—despite being informed that they were under observation and taking part in a study (see also, Davis & Valentine, 2009; Megreya & Burton,

2008; White, Kemp, Jenkins, Matheson, & Burton, 2014). In contrast, our capacity for identifying familiar individuals is markedly superior. People excel at recognizing familiar faces even when they are shown poor-quality images, are given limited inspection time, or are asked to recognize individuals whom they have not seen for a long period of time (Bruck, Cavanagh, & Ceci, 1991; Burton, Wilson, Cowan, & Bruce, 1999; Buttler & Raymond, 2003). For example, Bahrick, Bahrick, and Wittlinger (1975) observed a 90% correct matching rate for names and faces of high-school graduates asked to recognize members from their yearbook photo over 15 years since their last encounter.

As a result of the disparity in performance, much attention has been given to the effects of familiarity in face perception (for reviews see, Hancock, Bruce,

CONTACT Scott P. Jones  s.p.jones.1@bham.ac.uk  School of Psychology, University of Birmingham, Edgbaston, Birmingham B15 2TT, UK.

© 2016 The Author(s). Published by Taylor & Francis.

This is an Open Access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/4.0/>), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

& Burton, 2000; Johnston & Edmonds, 2009). For example, it has been suggested that there are different processing strategies for familiar faces compared to unfamiliar faces. That is, the internal features of a face (e.g., the eyes, nose, and mouth) have more influence than the external features (e.g., hair or face outline) in the recognition of familiar faces compared with unfamiliar faces (Ellis, Shepherd, & Davies, 1979; Menon, White, & Kemp, 2015; Young, Hay, McWeeny, Flude, & Ellis, 1985; for a detailed review see Osborne & Stevenage, 2008). More recently, experimental work has used a brief period of familiarization to facilitate better recognition accuracy normally associated with familiar face matching, and several studies have reported benefits in performance (Clutterbuck & Johnston, 2005; Dwyer, Mundy, Vladeanu, & Honey, 2009; Mundy, Honey, & Dwyer, 2007).

One central feature of the facility in processing familiar faces is the ability to cope with variability imposed by lighting, viewing angle, and other image changes—all of which produce material difficulties in matching or recalling unfamiliar faces. As a result, the improvement derived from familiarity has been attributed to the formation of a face representation that is more robust across image variability, compared to representations of unfamiliar faces (Burton, 2013; Jenkins & Burton, 2011). Regardless of whether familiar and unfamiliar face processing differs qualitatively or quantitatively, the variability imposed by lighting, viewing angle, and other changes presents a challenge to any system tasked with matching or recalling unfamiliar faces. The problem posed by variability with unfamiliar faces is illustrated by the fact that observers tend to underestimate the within-person variability for unfamiliar face images, in that they commonly attributed different images of a single person to different identities (more often than they mistakenly attributed the same identity to different targets: Jenkins, White, Van Montfort, & Burton, 2011). However, exposure to multiple images can provide benefits under some circumstances. Bindemann and Sandford (2011) asked participants to select a target individual from an array of 30 images on the basis of three separate photo IDs. Not only was performance generally poor (57% accuracy overall), but it did not improve as additional IDs were provided to the participant, reinforcing the idea that people do not spontaneously

detect when multiple images depict a single unfamiliar individual. However, when participants were explicitly informed that the three photo IDs represented the same person, performance increased markedly. This suggests that people can benefit from multiple images of unfamiliar individuals, particularly if they are informed that these multiple images represent a single person. Moreover, recent evidence suggests that even without explicit feedback, individuals are better able to remember an individual after exposure to a range of variable images; compared to a limited number of images, exposed numerous times (Murphy, Ipser, Gaigg, & Cook, 2015).

Despite the fallibility of processing images of unfamiliar individuals, it is still a common practice to use photographic images as a form of personal identification (e.g., driving licences and passports), or as a means of identifying criminal suspects (e.g., CCTV stills and “most wanted” appeals). The ubiquity with which face images are relied upon for identification despite conclusive demonstrations that they do not support high levels of accuracy for unfamiliar people is a material concern. Moreover, one obvious means to address this problem—that is, providing multiple images—is simply not possible when only a limited sample of images is available. For example, police investigations often utilize mug shots of suspects that typically consist of a very few images, while CCTV often captures only a small number of usable images. In short, accurate identification of unfamiliar individuals requires multiple images, but often such a range of images are simply not available.

In this light, it is important to recognize the development of face-modelling techniques that allow for a single image of a face to be modelled onto a three-dimensional average face, and then for the modelled face to be used to generate novel images. The three-dimensional morphable face model (Banz & Vetter, 1999, 2003)¹ was constructed on the basis of laser scans from 100 males and 100 females, with each scan representing two different kinds of information (see, O’Toole, Vetter, Troje, & Bühlhoff, 1997). The two kinds of information represent the three-dimensional head surface data and the texture average (sometimes referred to as a two-dimensional reflectance map). An average of each dimension was then computed, and every face was coded upon a continuous scale,

¹For an updated version of the model using a similar method of construction see the Basel face model (Paysan, Knothe, Amberg, Romdhani, & Vetter, 2009). The update includes better original scans using a more advanced scanning device and an improved correspondence algorithm. However, the method of construction remains almost identical to the that of previous versions.

which represents deviation from this given 3D and texture average (for a more in-depth discussion on how the two kinds of information are computed, see Blanz & Vetter, 1999; Vetter & Poggio, 1997). Principal component analysis (PCA) is then conducted to find the eigenvectors allowing a new range of faces to be synthesized. What this method of construction (and others like it) enables is for each face to be rendered under clearly defined lighting conditions or views (for a detailed discussion of PCA and generated faces, see Hancock, Burton, & Bruce, 1996; Vetter & Walker, 2012).

Most critically for our current concerns, these methods for face modelling also allow a single 2D photographic input to be semi-automatically reconstructed—in essence, creating a 3D representation of that individual. This derived representation of the individual can then be manipulated within the computer program to extract multiple views of the face. Early work has found that people will accurately match between original and reconstructed images (albeit not as accurately as between different original images), suggesting that reconstructed images are a reasonably faithful reflection of the original (Bailenson, Beall, Blascovich, & Rex, 2004).

More recently it has been demonstrated that performance, in an old/new recognition task, can be enhanced by the presence of computer modelled faces created from a single 2D photograph input compared to an original single image (Liu, Chai, Shan, Honma, & Osada, 2009). Experiment 1 compared recognition performance of a single image (control) to that of the same image, plus extra synthesized views (experimental condition) with better performance in the experimental condition. Subsequent experiments demonstrated better performance following presentation of either still or dynamic multiple generated faces or user-controlled exposure to a 3D generated bust. While these studies certainly suggest that generated images can improve recognition compared to exposure to a single original image, there are some potential caveats that limit the conclusions that can be drawn. In all experiments, the number of images presented in the control condition did not match the total number of images presented in the experimental condition, and there was explicit instruction regarding the use of synthetic images, which may have directly influenced performance in the experimental conditions. Moreover, the single control images themselves consisted of “135 laser-scanned models and their texture maps” that resembled, but

were not actual, photographs (see, Liu et al., 2009, p. 993). Thus, while these studies clearly show some ability for people to learn about artificially generated faces, they do not conclusively demonstrate that using such generated faces can be used to support superior performance to that produced by limited real images.

In summary, people clearly generalize between real photographic and computer synthesized face images, and there is also evidence that they can learn to recognize individuals through exposure to generated images. What remains to be assessed is whether such generated images—derived from a single original photograph—can be used to support identification performance that is superior to that produced by exposure to the original photograph alone. Therefore, in the current two experiments, we used commercially available software (SI FaceGen Modeller), to generate multiple images—derived from single original source photographs—to further explore the utility of artificial face synthesis as a means of facilitating recognition within a sequential face matching procedure.

Experiment 1

While providing more face information through multiple images helps improve recognition (Dowsett, Sandford, & Burton, 2016; White, Burton, Jenkins, & Kemp, 2014), often only limited real images are available (e.g., a single wanted picture, CCTV image, or passport photo). The SI FaceGen Modeller, used in the following studies, requires (at least) a single front-view input to model a face. The software is capable of modelling an input face onto a photogrammetric bust. From this, the bust can be manipulated and captured at different yaw and pitch rotations. Experiment 1 compared the effects of these synthesized views with original photographic images displaying different rotations of a target individual. Because the processing of unfamiliar faces is negatively affected by changes in viewpoint, we examined whether exposure to these multiple views aided identification performance in a sequential matching task that involved a viewpoint change: Participants were presented with a line-up procedure that required selecting a target from a test array of images presented at a novel angle (Table 1 summarizes the design, and Figure 1 shows an example of the test array). Participants were given exposure to target individuals under four conditions: original image—only

Table 1. Indication of the different training sequences in the four conditions in Experiment 1

Condition	Initial exposure	Training sequence	Test arrays
Original image	0°	+, +, +, 0°, +, +, +	Five 30°L faces
Test image	0°	+, +, *30°L, 0°, +, +, +	Five 30°L faces
Photographic views	0°	90°L, 60°L, +, 0°, 30°R, 60°R, 90°R	Five 30°L faces
Synthesized views	0°	*90°L, *60°L, *30°L, 0°, *30°R, *60°R, *90°R	Five 30°L faces

Note: Training sequence presented in order. All conditions began with the target front-view photo. The training sequence was different for each condition but included fixation crosses “+” and images of the target face at various angles of yaw (indicated by a numerical angle and an indication of whether this was to the right, R, or left, L). The “*” indicates that the image was synthesized using FaceGen rather than being photographic.

the original front-on image; test image—the original front-on image plus a generated view at the novel test angle; photographic views—the original front-on image plus additional original images at different angles (these did not include the novel angle used in the test arrays to prevent direct matching of images between exposure and test); synthesized views—the original front-on image plus additional synthesized images at different angles (including the novel test angle). Comparing the photographic views with the original image conditions establishes the degree of improvement produced by the addition of multiple real images. The test image and synthesized views conditions establish the degree of improvement produced by adding either a single generated image at the test angle or multiple generated images across a range of angles including the test angle.

Method

Participants

Twenty-four students, aged 18–24 years, were recruited from Cardiff University and completed the experiment in return for payment of £2. All participants reported normal or corrected-to-normal vision.

Stimuli, face synthesis, and test arrays

The stimuli comprised eight face images selected from the CMU Multi-Pie database (Gross, Matthews, Cohn, Kanade, & Baker, 2010) to become target individuals. Each image was taken under the same lighting conditions with the individual facing the camera. Each individual was between the ages of 19 and 45 years and was chosen to avoid the presence of non-face cues (e.g., glasses and excessive facial hair) that could obscure any featural or structural information about the face, or hinder the generation of synthesized faces. Along with the front-view photo, six additional photos of each target were chosen: a total of 48 faces. The six additional photos panned from the left-side view to a right-side view with 30° increments between each photo (see Figure 2, Panel A).

Synthesized faces were generated using SI FaceGen Modeller 3.1 (developed by Singular Inversions, Toronto, Canada). The SI FaceGen Modeller 3.1 is a face-generating, 3D modelling, software similar to the three-dimensional morphable face model (Banz & Vetter, 1999, 2003). FaceGen was created on the basis of 273 laser scans of a range of individual faces. Using a single front-view photo, the software is able to synthesize a computational representation



Figure 1. An example test array with faces at the “novel” 30° angle. Images shown are not the same as those in the Experiments 1 and 2 for reasons of copyright. Participants were given a 10-s presentation of an array during which they were asked to choose the number that corresponded to the target seen during exposure followed by a confidence rating. To view this figure in colour, please visit the online version of this Journal. Reproduced from Multi-PIE database © 2009 Carnegie Mellon University, University of Pittsburgh All Rights Reserved.

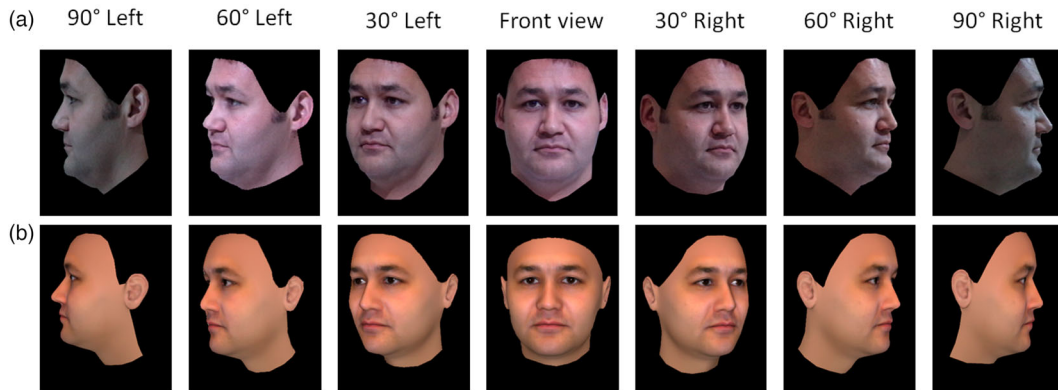


Figure 2. Examples of stimuli used in Experiments 1 and 2. Panel A represents the photographic views used in Experiment 1. Panel B represents the counterpart synthesized images used in the synthesized views condition (Experiments 1 and 2). All synthesized examples were created from a single front on input.

Note: The front view displayed in Panel A was the same image as that used to generate the synthesized image set at different orientations displayed by Panel B. To view this figure in colour, please visit the online version of this Journal. Reproduced from Multi-PIE database © 2009 Carnegie Mellon University, University of Pittsburgh All Rights Reserved.

of a face on a rotatable 3D model. The best “fit” for shape and colour parameters (along with position and lighting parameters) is selected until the model matches the face region of the “photo”. The most likely 3D face that produced the photo according to the model is the resulting output. For the user, this is accomplished by placing landmarks upon the key features of the face (e.g., corners of the mouth, jaw line, eyes). In the current experiment, the software was used to synthesize still 2D images of a target face, as if they were captured at a variety of angles. This resulted in the creation of counterpart synthesized versions of the targets, and 2D stills were taken akin to the angles of the photo stimuli selected from the face database (see Figure 2, Panel B). Each photo and synthesized image was cropped to remove hair, using Adobe Photoshop 6, and was displayed on a black background on screen at 600×463 pixels, subtending to an approximate visual angle of $23.4^\circ \times 18.4^\circ$.

Test arrays were made by cropping photographs of the target individual and four other individuals (foils) that were displayed at a 30° angle (facing left when looking at the screen) within the array (see Figure 1). The five faces were presented simultaneously with the target face in a random position within the array. There were no target-absent arrays. The foils were chosen on the basis that they matched a basic verbal description of the target face. The target in Figure 2 for example is, “a male with short dark hair”. Figure 1 displays faces of a comparable description.

Each array was homogenized so that the sizes of each array were identical (800×267 pixels, subtending an approximate visual angle of $29.9^\circ \times 10.9^\circ$). All arrays were subject to a tonal change using the brightness/contrast adjustment tool in Adobe Photoshop image editing software. Each array was adjusted to +50% brightness and –20% contrast of the original image.

Design and procedure

Each participant completed four conditions (original image, test image, synthesized views, and photographic views) as part of a within-subjects sequential matching task. Before each condition began, a front-view photo depicting a target individual was displayed. In the synthesized views condition, exposure comprised multiple computer-generated views that were presented either side of the original target. These began at 90° left and presented the following angles: 60° left, 30° left, 30° right, 60° right, and 90° right. This progression was intersected by the original image that was presented between the two 30° images. The photographic views gave the same exposures as the synthesized condition, but used original photos at all orientations. The exception in this condition was that the 30° left-facing image was removed and was replaced with a fixation cross in order to prevent direct matching to the images used in the test array. Presentations in the multiple exposure conditions (synthesized and photographic views) always ran from 90° left to 90° right and

returned, such that the last presentation before test was a left-facing 90° profile view. The original image condition involved only the presentation of the front on photograph images, with the generated faces replaced by fixation crosses to maintain the overall timing across the trial. The test image condition displayed the front-view photos consistent with the previous condition, and an additional synthesized image displayed at a left 30° angle. This condition also utilized fixation crosses like the original image condition (Table 1 summarizes the design). Including the face presented before each condition, all face stimuli and fixation crosses were presented for 2 s with an inter-stimulus interval (ISI) of 1 s between each stimulus presentation. The total presentation time was 45 s (i.e., a total of 30 s for all stimuli presentations plus a total of 15 s for the ISI). Every participant was tested on two different face stimuli within each experimental condition. All conditions were run in blocks, but counterbalanced such that each condition was presented first, second, third, or fourth equally often, and this was rotated such that every condition was placed in every presentation order across the counterbalance. Similarly, every face appeared within each condition across the counterbalance. At test, participants were asked to select the target seen during exposure from an array of five faces, in which the target was always present. Response time was limited to 10 s. Participants keyed response via the keyboard, using the numbers 1–5, which corresponded to the numbers below each face. Following this, they were asked to make a judgement about how confident they were about their choice using a button response to a 7-point Likert scale (1: “Not at all confident”, 7: “Extremely confident”).

Data analysis

Two performance measures are reported: The first is identification accuracy, and the second is a confidence-accuracy (CA) measure. The CA score was calculated by multiplying accuracy (negatively scored for incorrect answers so 1 = correct and -1 = incorrect) by the confidence score (less 0.5) giving a score between -6.5 and +6.5 in 13 equal steps. This CA score highlights the fact that a highly confident incorrect answer demonstrates worse performance than low-confidence incorrect answers, while highly confident correct answers represent the best performance.

Results and discussion

Figure 3A displays identification accuracy as percentage correct for each condition (test image, original image, synthesized views, and photographic views). Exposure to the synthesized and photographic views conditions resulted in better performance than that to the brief controls (test image, original image). There was little apparent difference between the synthesized and photographic views conditions, and little difference between the two brief exposure control conditions. A within-subjects analysis of variance (ANOVA) failed to observe a main effect of condition, $F(3, 69) = 2.28$, $MSE = 0.148$, $p = .087$. However, planned comparisons revealed that higher accuracy was observed for the synthesized views condition than for the original image exposure, $F(1, 23) = 9.47$, $MSE = 0.510$, $p = .005$, and the test image exposure conditions, $F(1, 23) = 4.28$, $MSE = 0.510$, $p = .049$. No other differences were observed between the conditions [largest, $F(1, 23) = 2.76$, $MSE = 0.375$, $p = .110$, between photographic views and test image condition].

Figure 3B displays the CA data. Again, performance in the synthesized and photographic views conditions was better than that in the test and original image conditions. Analysis of the CA scores revealed a main effect of condition, $F(3, 69) = 3.30$, $MSE = 13.044$, $p = .025$. Planned comparisons revealed that training in the photographic views exposure was better than that in the original image exposure, $F(1, 23) = 5.77$, $MSE = 51.042$, $p = .025$, but the difference to the test image exposure did not reach standard levels of significance, $F(1, 23) = 4.18$, $MSE = 35.042$, $p = .052$. In addition, the synthesized views condition had a greater CA score than the original image, $F(1, 23) = 8.115$, $MSE = 41.344$, $p = .014$, and the test image exposure conditions, $F(1, 23) = 5.20$, $MSE = 27.094$, $p = .032$. There was no significant difference between the synthesized views and photographic views conditions, or between the test and original image conditions ($F_s < 1$).

Identification performance in both the photographic views and the synthesized image conditions was better than that of the controls. That is, training exposing either photographic images or synthesized images at multiple yaw rotations facilitated better performance than that of controls exposed to only a limited sample of images. Perhaps most important was the equivalent performance in the synthesized views condition compared to the photographic

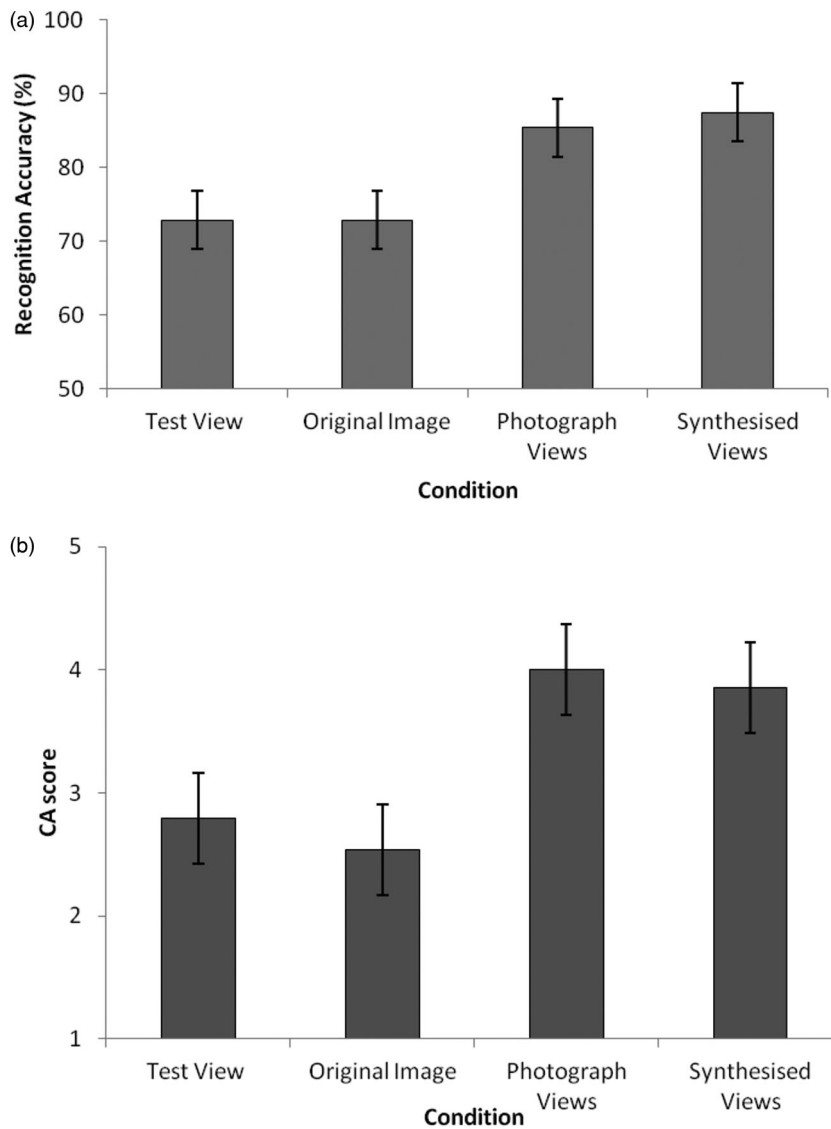


Figure 3. Panel A. Test accuracy as percentage correct (with standard error of the mean, *SEM*) from Experiment 1. Data are organized by exposure condition (original image, test image, photographic views, and synthesized views). Panel B. Confidence-accuracy (CA) score with *SEM*. Data are organized as in Panel A.

views condition. This suggests that any important features for recognition of the photographs are being replicated by the photogrammetric software, despite the rather impoverished nature of the synthesized stimuli. It should be noted that the synthesized views exposure may have required less transfer than the photographic views condition, because the synthesized views condition involved exposure to the test angle (although exposure to a generated face at the test angle alone in the test image condition was not sufficient to improve performance—so the

benefit of synthesized views does not simply derive from exposure to an image at the test angle). While this is a clear demonstration of the utility of presenting generated images, the conditions did differ in the total number of face images presented. As such, poor performance in the control conditions may have been a product of the relatively small number of exposures compared to the amount given in the multiple view conditions, rather than any advantage gained from multiple angles per se. Experiment 2 examined this issue.

Table 2. Indication of the different training sequences in the six conditions in Experiment 2

Condition	Initial exposure	Training sequence	Distractor face	Test arrays
Original image	0°	0°, +, +, +, +, +, +	No	Five 30°L faces
Repeated original	0°	0°, 0°, 0°, 0°, 0°, 0°, 0°	No	Five 30°L faces
Synthesized views	0°	0°, *90°L, *60°L, *30°L, *30°R, *60°R, *90°R	No	Five 30°L faces
Original image	0°	0°, +, +, +, +, +, +	Yes	Five 30°L faces
Repeated original	0°	0°, 0°, 0°, 0°, 0°, 0°, 0°	Yes	Five 30°L faces
Synthesized views	0°	0°, *90°L, *60°L, *30°L, *30°R, *60°R, *90°R	Yes	Five 30°L faces

Note: All conditions began with the target front-view photo. The training sequence was different for each condition but included fixation crosses “+” and images of the target face at various angles of yaw (indicated by a numerical angle and an indication of whether this was to the right, R, or left, L). The “*” indicates that the image was synthesized using FaceGen rather than being photographic. All distractor conditions ended with the presentation of a female distractor face.

Experiment 2

In Experiment 1 there were several differences between the controls and multiple view conditions that may have contributed to the enhanced performance of providing extra views. First, the total number of exposures rather than the type of exposure may have resulted in better performance of the multiple conditions than that of the control. Secondly, even though the interval between original front-on target face and the presentation of the test array was held constant, the multiple view conditions had a shorter interval between the last face image exposure and the test phase. Therefore, in Experiment 2 (Table 2 summarizes the full design) the photographic views condition from the previous experiment was replaced with a repeated presentation of the original target image (repeated original image). The multiple presentations in a single view enable an assessment of whether the effect observed in the previous experiment, and by Liu et al. (2009), was a product of the amount of exposure given.

In order to match the interval between the first and last exposure images, and the presentation of the test array, all conditions started and ended with the original image of the target face. While this controls for differences in the exposure–test interval, the added presentation of front-view face at the end of each exposure condition may create a ceiling effect based on recency. Such potential effects of recency were examined by manipulating the exposure test interval by the addition of a distractor face between the target exposure and test trials. If the presentation of a front-view target does create a recency effect then the distractor face should allow enough interruption to assess changes in the representation of a target following each exposure. Such post list delays have been demonstrated to reduce recency effects for face stimuli (e.g., Kerr, Avons, & Ward, 1999).

In short, if recognition following repeated exposures is based on image-specific codes (Longmore, Liu, & Young, 2008), or an average representation of that identity (see, Jenkins & Burton, 2008, 2011), then it follows that the synthesized views should facilitate better recognition than the original and repeated original conditions. This is because the multiple angles should allow a better representation of the face, which can generalize when testing recognition at a novel viewpoint.

Method

Participants

Fifty-one participants, aged between 18 and 24 years, completed the experiment in return for course credit. All participants were recruited from School of Psychology at Cardiff University. None of the participants had participated in Experiment 1. Twenty-four students completed the experiment without the distractor, while 27 participants were tested with the distractor face.

Stimuli

All faces were taken from the same set of cropped and computer-generated faces as that used in the previous experiment. Distractor faces were photographs displaying a different gender (i.e., female) that were taken from the same database and were cropped and presented in a fashion identical to that for the other exposed faces.

Design and procedure

A within-subjects design gave participants three different exposures (original image, repeated original image, and synthesized views), again using a sequential matching procedure. In this experiment, all exposures began by displaying a front-view

photograph of a target individual and ended with a presentation front view of the target. The original image condition was otherwise identical to that of Experiment 1. Similarly, the synthesized views condition gave exposure to multiple computer-generated views as in Experiment 1, although exposures at 30° left were followed by those at 30° right, instead of being intersected with the original image. Presentations in the synthesized condition always ran from 90° left to 90° right, and returned. The repeated original image condition gave the same repeated exposure to the original photograph of a target for the duration of exposure. This was time matched to correspond with the length of presentation time in the synthesized views condition. Exposure times for each stimulus presentation and ISI were the same as those in Experiment 1 (i.e., 2 s per stimulus and a 1-s ISI) meaning that the total exposure time in the no distractor condition was the same as that in the previous experiment. The distractor manipulation used the same exposure sequences as those described above (see Table 2), with the only modification being that the final exposure of a target was followed by a presentation of a novel distractor face at the same angle as the original image (i.e., 0°). These distractor faces were displayed for 2 s followed by a 1-s ISI and then presentation of the test procedure. Different identity distractor faces were used at the end of each exposure condition. Every participant was tested on three different target faces within each experimental condition, and this was counterbalanced such that, across participants, each face was presented in every condition equally often. All other details were the same as those in Experiment 1.

Results

Figure 4A displays percentage of correct responses as a factor of condition (original image, repeated original image, synthesized views) and distractor (distractor, no distractor). Performance was generally better in the synthesized and repeated original image conditions than in the original image condition, but there was apparently little effect of distractors. A mixed ANOVA with a within-subject factor of condition and a between-subjects factor of distractor found a main effect of exposure condition on accuracy, $F(2, 98) = 3.78$, $MSE = 0.041$, $p = .026$, but no main effect of distractor, $F(1, 49) = 2.44$, $MSE = 0.036$, $p = .125$, or interaction between condition and distractor, $F(2, 98) = 0.59$, $MSE =$

0.041 , $p = .557$. Pairwise analysis suggested that original image exposure produced lower recognition scores than the synthesized views, $F(1, 49) = 6.896$, $MSE = 0.088$, $p = .011$, but no other differences were observed [largest $F(1, 49) = 2.24$, $MSE = 0.057$, $p = .140$, between original image exposure and repeated original image conditions].

CA scores displayed in Figure 4B indicated a similar pattern of results to that of the percentage correct analysis. There was a main effect of condition, $F(2, 98) = 5.06$, $MSE = 2.571$, $p = .008$, but no main effect of distractor, $F(1, 49) = 1.20$, $MSE = 4.106$, $p = .278$, or interaction between condition and distractor, $F(2, 98) = 0.250$, $MSE = 2.571$, $p = .779$. Pairwise analysis suggested that the original image condition produced lower CA scores than either the synthesized views condition, $F(1, 49) = 7.00$, $MSE = 5.996$, $p = .011$, or the repeated original image condition, $F(1, 49) = 6.912$, $MSE = 4.237$, $p = .011$, but no difference was observed between synthesized views and repeated original image conditions, $F < 1$.

General Discussion

The experiments presented here examined the potentially beneficial effects of synthesizing additional training images from a single front-view photograph using a commercially available modeller. This was tested in two experiments using a sequential matching task. The findings of Experiment 1 provide further evidence that extra synthesized face views can aid accurate recognition. Identification following exposure to multiple synthesized images was equivalent to that following multiple photographic views of the target individual, with both conditions superior to exposure to a single front-view photograph. Experiment 2 replicated the advantage for multiple synthesized views over exposure to a front-view photograph alone. However, Experiment 2 also demonstrated that repeating the front-on photograph also improved performance to some extent and resulted in equivalent performance to that for the multiple synthesized views condition.

The results of several studies have indicated that accurate recognition of an unfamiliar face is dependent on the view at which it is presented (e.g., Hill, Schyns, & Akamatsu, 1997; Krouse, 1981; O'Toole, Edelman, & Bülthoff, 1998). Recognition was better when faces were presented in the three-quarter view than in a front-facing view, which in turn was better than in the profile view (e.g., Bruce, Ellis, Gibling, &

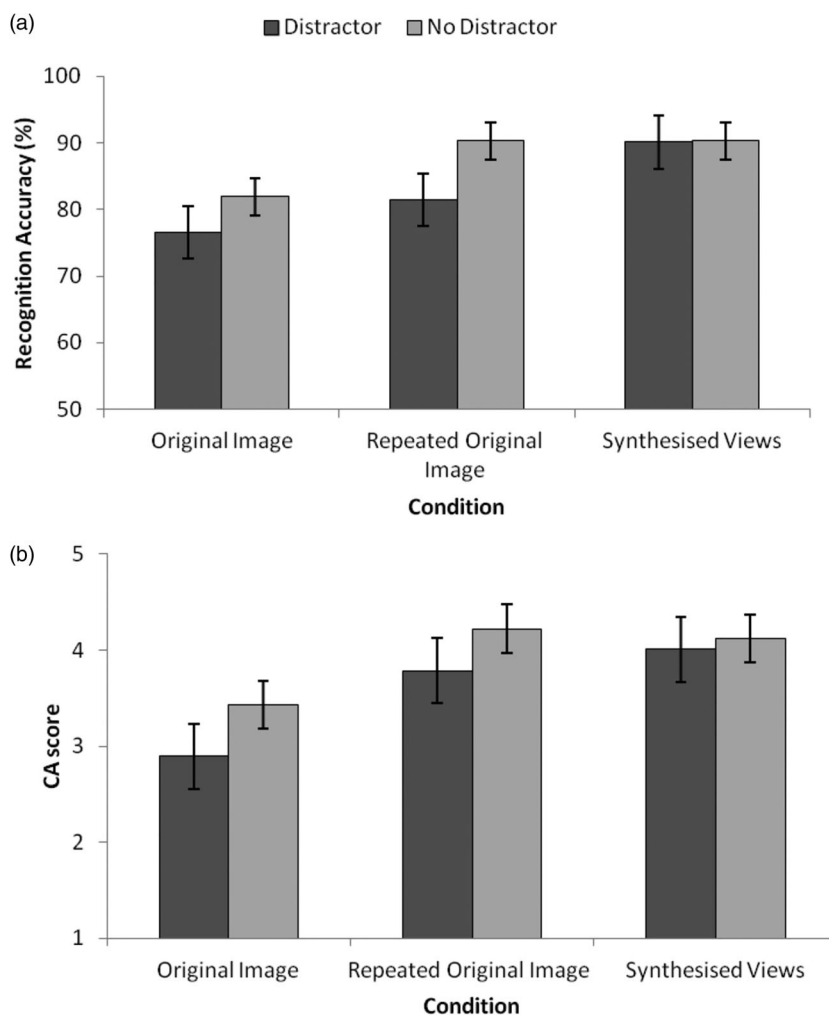


Figure 4. Panel A. Test accuracy as percentage correct (with standard error of the mean, *SEM*) from Experiment 2. Data are organized by exposure condition (original image, repeated original image, and synthesized views) and are presented as a function of distractor type (distractor, no-distractor). Panel B. Confidence-accuracy (CA) score with *SEM*. Data are organized as in Panel A.

Young, 1987; Hill & Bruce, 1996; Liu, 2002). It is thought that the superior 3D information provided by the three-quarter views includes more structural information (Hole & Bourne, 2010). The experiments reported here suggest that synthesizing multiple views—including those akin to a three-quarter view—of a face from a single input can aid recognition beyond that produced by the original photo of an unfamiliar face alone (see also, Liu et al., 2009). This is consistent with the finding that virtual busts, created using photogrammetric software, can facilitate recognition close to the level of recognition produced by real photographic stimuli presented during training (Bailenson et al., 2004).

Taken together with the present study, these results indicate the potential for utilizing artificially generated face images to overcome the viewpoint dependence associated with unfamiliar faces. According to Liu et al. (2009), the ability to synthesize an angle close to that of a test view can help bridge the gap between original photo and test image. This is consistent with evidence from the object and face recognition literature that indicates that it is easier to generalize based on exposures to multiple views rather than a single view (e.g., Edelman & Bülthoff, 1992; Hill et al., 1997). The fact that recognition can be supported on the basis of low-cost synthesized images suggests that even relatively impoverished

stimuli may be of some assistance in situations where only limited images are available.

While it is important that recognition can be supported in any way on the basis of photogrammetric images, it did not reliably exceed repeated exposure to a single photograph in Experiment 2. There are two general possible explanations for this pattern of results. First, the SI FaceGen software provides impoverished stimuli and thus does not support perfect transfer because it loses information when making the synthesized images. As such, even relatively impoverished stimuli may be of some assistance, but the level of support derived from such images may be limited. This possibility implies that a superior face generation algorithm would support better performance than repeated exposure to a single image. Secondly, that there is a fundamental limit on the overlap between the information common to different views of a face—and that the human visual system is just as good at extracting this common information as is any computer program following multiple exposures to an individual. Obviously some combination of both of these possibilities might well be in operation. In addition, the potential practical benefit of using generated faces will depend on the degree to which superior generation software can overcome the limitations of the system used in the current experiments.

To explore these ideas in more detail—consider the differences between the synthesized and photographic images used here (see [Figure 2](#)), in particular the contrast in surface pigmentation between images. If, as Longmore et al. (2008) suggest, familiar faces that have been seen many times allow for extraction of structural codes, then the period of familiarization should have allowed extraction of some of these codes. This is supported by the evidence that viewing time is, at least in part, related to improvements in recognition accuracy (e.g., Dennett, McKone, Edwards, & Susilo, 2012; Memon, Hope, & Bull, 2003). These structural codes are thought to include information similar to that of object recognition and include three-dimensional shape as well as surface pigmentation (Marr & Nishihara, 1978). Thus, repeated exposure to a single photo in the current studies may support the acquisition of surface pigmentation, but it is unlikely that much of the shape information is conveyed. In contrast, comparison across synthesized images at different angles (spanning the three-quarter view is thought to convey more structural information than front-on or profile views) may allow the extraction of three-dimensional shape information. However, these synthesized images may

lack the surface pigmentation detail that would be afforded by real photos or a better modeller. While nothing in the current experiments, or those of Liu et al., 2009 directly addresses this possibility, the fact that the current software produces images that are clearly less than photographic quality and lack accurate textural information would certainly be consistent with the idea that superior modelling software could afford even better support for face learning across different viewing angles.

In summary, the current experiments reinforce prior demonstrations that providing multiple views of an unfamiliar face will improve subsequent identification performance relative to exposure to a single viewpoint. Most importantly, the results also suggest that synthesized images—generated from a single original photograph—can also support improved recognition in a similar fashion. The degree of improvement produced by these generated images may have been limited by the fact that they were somewhat impoverished (especially in terms of detailed textural information), implying that superior modelling software should support larger beneficial effects. Regardless, the fact that even the current set of stimuli facilitate processing of unfamiliar faces strongly suggests that artificially synthesizing multiple views of a face from limited photographic input could be a very valuable technique in overcoming the fallibility of processing of unfamiliar faces in the context of limited image sets.

Funding

The research reported here was undertaken at Cardiff University and was funded by a PhD studentship to Scott Jones from the Engineering and Physical Sciences Research Council, United Kingdom. Information on data supporting the results presented in this article, including how to access those data, can be found in the Cardiff University research-data catalogue at <http://dx.doi.org/10.17035/d.2016.0008381942>.

ORCID

Scott P. Jones  <http://orcid.org/0000-0001-5516-4385>
 Dominic M. Dwyer  <http://orcid.org/0000-0001-8069-5508>
 Michael B. Lewis  <http://orcid.org/0000-0002-5735-5318>

References

Bahrnick, H. P., Bahrnick, P. O., & Wittlinger, R. P. (1975). Fifty years of memory for names and faces: A cross-sectional approach.

- Journal of Experimental Psychology: General*, 104(1), 54–75. <http://doi.org/10.1037/0096-3445.104.1.54>
- Bailenson, J. N., Beall, A. C., Blascovich, J., & Rex, C. (2004). Examining virtual busts: Are photogrammetrically generated head models effective for person identification? *Presence: Teleoperators and Virtual Environments*, 13(4), 416–427. <http://doi.org/10.1162/1054746041944858>
- Bindemann, M. & Sandford, A. (2011). Me, myself, and I: Different recognition rates for three photo-IDs of the same person. *Perception*, 40(5), 625–627. <http://doi.org/10.1068/p7008>
- Blanz, V. & Vetter, T. (1999). A morphable model for the synthesis of 3D faces. In Proceedings of the 26th annual conference on Computer graphics and interactive techniques - SIGGRAPH '99 (pp. 187–194). <http://doi.org/10.1145/311535.311556>
- Blanz, V. & Vetter, T. (2003). Face recognition based on fitting a 3D morphable model. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 25(9), 1063–1074. <http://doi.org/10.1109/TPAMI.2003.1227983>
- Bruce, V., Ellis, H., Gibling, F., & Young, A. (1987). Parallel processing of the sex and familiarity of faces. *Canadian Journal of Psychology*, 41(4), 510–520.
- Bruce, V., Henderson, Z., Newman, C., & Burton, A. M. (2001). Matching identities of familiar and unfamiliar faces caught on CCTV images. *Journal of Experimental Psychology: Applied*, 7(3), 207–218. <http://doi.org/10.1037//1076-898X.7.3.207>
- Bruck, M., Cavanagh, P., & Ceci, S. J. (1991). Fortysomething: Recognizing faces at one's 25th reunion. *Memory & Cognition*, 19(3), 221–228. <http://doi.org/10.3758/BF03211146>
- Burton, A. M. (2013). Why has research in face recognition progressed so slowly? The importance of variability. *Quarterly Journal of Experimental Psychology*, 66(8), 1467–85. <http://doi.org/10.1080/17470218.2013.800125>
- Burton, A. M., Wilson, S., Cowan, M., & Bruce, V. (1999). Research article evidence from security surveillance. *Society*, 10(3), 243–248. <http://doi.org/10.1111/1467-9280.00144>
- Buttle, H. & Raymond, J. E. (2003). High familiarity enhances visual change detection for face stimuli. *Perception and Psychophysics*, 65(8), 1296–1306.
- Clutterbuck, R. & Johnston, R. A. (2002). Exploring levels of face familiarity by using an indirect face-matching measure. *Perception*, 31(8), 985–994. <http://doi.org/10.1068/p3335>
- Clutterbuck, R. & Johnston, R. A. (2005). Demonstrating how unfamiliar faces become familiar using a face matching task. *European Journal of Cognitive Psychology*, (912280237) 17, 97–116. <http://doi.org/10.1080/09541440340000439>
- Davis, J. P. & Valentine, T. (2009). CCTV on trial: Matching video images with the defendant in the dock. *Applied Cognitive Psychology*, 23(4), 482–505. <http://doi.org/10.1002/acp.1490>
- Dennett, H. W., McKone, E., Edwards, M., & Susilo, T. (2012). Face aftereffects predict individual differences in face recognition ability. *Psychological Science*, 23, 1279–1287. <http://dx.doi.org/10.1177/0956797612446350>
- Dowsett, A. J., Sandford, A., & Burton, A. M. (2016). Face learning with multiple images leads to fast acquisition of familiarity for specific individuals. *Quarterly Journal of Experimental Psychology*, 69(1), 1–10.
- Dwyer, D. M., Mundy, M. E., Vladeanu, M. C., & Honey, R. C. (2009). Perceptual learning and acquired face familiarity: Evidence from inversion, use of internal features, and generalization between viewpoints. *Visual Cognition*, 17, 334–355 (912280237). <http://doi.org/10.1080/13506280701757577>
- Edelman, S. & Bühlhoff, H. H. (1992). Orientation dependence in the recognition of familiar and novel views of three-dimensional objects. *Vision Research*, 32(12), 2385–2400. [http://doi.org/10.1016/0042-6989\(92\)90102-0](http://doi.org/10.1016/0042-6989(92)90102-0)
- Ellis, H. D., Shepherd, J. W., & Davies, G. M. (1979). Identification of familiar and unfamiliar faces from internal and external features: Some implications for theories of face recognition. *Perception*, 8(4), 431–439.
- Gross, R., Matthews, I., Cohn, J., Kanade, T., & Baker, S. (2010). Multi-PIE. In *Proceedings of the International Conference on Automatic Face and Gesture Recognition*, 28(5), 807–813. <http://doi.org/10.1016/j.imavis.2009.08.002>
- Hancock, P. J. B., Bruce, V., & Burton, A. M. (2000). Recognition of unfamiliar faces. *Trends in Cognitive Sciences*, 4(9), 330–337. [http://doi.org/10.1016/S1364-6613\(00\)01519-9](http://doi.org/10.1016/S1364-6613(00)01519-9)
- Hancock, P. J. B., Burton, A. M., & Bruce, V. (1996). Face processing: Human perception and principal components analysis. *Memory and Cognition*, 24(1), 26–40.
- Hill, H., & Bruce, V. (1996). Effects of lighting on the perception of facial surfaces. *Journal of Experimental Psychology: Human Perception and Performance*, 22(4), 986–1004.
- Hill, H., Schyns, P. G., & Akamatsu, S. (1997). Information and viewpoint dependence in face recognition. *Cognition*, 62(2), 201–222. [http://doi.org/10.1016/S0010-0277\(96\)00785-8](http://doi.org/10.1016/S0010-0277(96)00785-8)
- Hole, G. & Bourne, V. (2010). *Face processing: Psychological, neuropsychological, and applied perspectives*. Oxford: Oxford University Press.
- Jenkins, R. & Burton, A. M. (2008). 100% accuracy in automatic face recognition. *Science*, 319(5862), 435. <http://dx.doi.org/10.1126/science.1149656>
- Jenkins, R. & Burton, A. M. (2011). Stable face representations. *Philosophical Transactions of the Royal Society of London. Series B, Biological Sciences*, 366(1571), 1671–83. <http://doi.org/10.1098/rstb.2010.0379>
- Jenkins, R., White, D., Van Montfort, X., & Burton, A. M. (2011). Variability in photos of the same face. *Cognition*, 121(3), 313–323. <http://doi.org/10.1016/j.cognition.2011.08.001>
- Johnston, R. A. & Edmonds, A. J. (2009). Familiar and unfamiliar face recognition: A review. *Memory*, 17(5), 577–596. <http://doi.org/10.1080/09658210902976969>
- Kemp, R., Towell, N., & Pike, G. (1997). When seeing should not be believing: Photographs, credit cards and fraud. *Applied Cognitive Psychology*, 11(3), 211–222.
- Kerr, J. R., Avons, S. E., & Ward, G. (1999). The effect of retention interval on serial position curves for item recognition of visual patterns and faces. *Journal of Experimental Psychology: Learning, Memory, and Cognition*, 24(5), 1316–1323. <http://doi.org/10.1037/0278-7393.25.6.1475>
- Krouse, F. L. (1981). Effects of pose, pose change, and delay on face recognition performance. *Journal of Applied Psychology*, 66(5), 651–654. <http://doi.org/10.1037/0021-9010.66.5.651>
- Liu, C. (2002). Reassessing the 3/4 view effect in face recognition. *Cognition*, 83(1), 31–48. [http://doi.org/10.1016/S0010-0277\(01\)00164-0](http://doi.org/10.1016/S0010-0277(01)00164-0)
- Liu, C. H., Chai, X., Shan, S., Honma, M., & Osada, Y. (2009). Synthesized views can improve face recognition. *Applied Cognitive Psychology*, 23(7), 987–998. <http://doi.org/10.1002/acp.1521>
- Longmore, C. A., Liu, C. H., & Young, A. W. (2008). Learning faces from photographs. *Journal of Experimental Psychology: Human*

- Perception and Performance*, 34(1), 77–100. <http://doi.org/10.1037/0096-1523.34.1.77>
- Marr, D., & Nishihara, H. K. (1978). Representation and recognition of the spatial organization of three-dimensional shapes. *Proceedings of the Royal Society of London. Series B, Biological Sciences*, 200(1140), 269–294. <http://doi.org/10.1098/rspb.1978.0020>
- Megreya, A. M., & Bindemann, M. (2009). Revisiting the processing of internal and external features of unfamiliar faces: The headscarf effect. *Perception*, 38(12), 1831–1848. <http://doi.org/10.1068/p6385>
- Megreya, A. M., & Burton, A. M. (2006). Unfamiliar faces are not faces: Evidence from a matching task. *Memory & Cognition*, 34(4), 865–876. <http://doi.org/10.3758/BF03193433>
- Megreya, A. M., & Burton, A. M. (2008). Matching faces to photographs: Poor performance in eyewitness memory (without the memory). *Journal of Experimental Psychology. Applied*, 14(4), 364–72. <http://doi.org/10.1037/a0013464>
- Memon, A., Hope, L., & Bull, R. (2003). Exposure duration: Effects on eyewitness accuracy and confidence. *British Journal of Psychology*, 94, 339–354. <http://dx.doi.org/10.1348/000712603767876262>
- Menon, N., White, D., & Kemp, R. I. (2015). Identity-level representations affect unfamiliar face matching performance in sequential but not simultaneous tasks. *Quarterly Journal of Experimental Psychology*, 68(9), 1777–1793.
- Mundy, M. E., Honey, R. C., & Dwyer, D. M. (2007). Simultaneous presentation of similar stimuli produces perceptual learning in human picture processing. *Journal of Experimental Psychology. Animal Behavior Processes*, 33(2), 124–138. <http://doi.org/10.1037/0097-7403.33.2.124>
- Murphy, J., Ipser, A., Gaigg, S. B., & Cook, R. (2015). Exemplar variance supports robust learning of face identity. *Journal of Experimental Psychology. Human perception and performance*, 41(5), 577–581.
- Osborne, C. D., & Stevenage, S. V. (2008). Internal feature saliency as a marker of familiarity and configural processing. *Visual Cognition*, 16(1), 23–43. doi:10.1080/13506280701238073
- O'Toole, A. J., Edelman, S., & Bülthoff, H. H. (1998). Stimulus-specific effects in face recognition over changes in viewpoint. *Vision Research*, 38(15–16), 2351–2363. [http://doi.org/10.1016/S0042-6989\(98\)00042-X](http://doi.org/10.1016/S0042-6989(98)00042-X)
- O'Toole, A. J., Vetter, T., Troje, N. F., & Bülthoff, H. H. (1997). Sex classification is better with three-dimensional head structure than with image intensity information. *Perception*, 26(1), 75–84.
- Paysan, P., Knothe, R., Amberg, B., Romdhani, S., & Vetter, T. (2009). A 3D face model for pose and illumination invariant face recognition. *Sixth IEEE International Conference on Advanced Video and Signal Based Surveillance* (pp. 296–301). <http://doi.org/10.1109/AVSS.2009.58>
- Vetter, T., & Poggio, T. (1997). Linear object classes and image synthesis from a single example image. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 19(7), 733–742. <http://doi.org/10.1109/34.598230>
- Vetter, T. & Walker, M. (2012). *Computer-generated images in face perception*. In Oxford Handbook of Face Perception. Oxford University Press.
- White, D., Burton, A. M., Jenkins, R., & Kemp, R. I. (2014). Redesigning photo-ID to improve unfamiliar face matching performance. *Journal of Experimental Psychology. Applied*, 20(2), 166–73. <http://doi.org/10.1037/xap0000009>
- White, D., Kemp, R. I., Jenkins, R., Matheson, M., & Burton, A. M. (2014). Passport officers' errors in face matching. *PLoS One*, 9(8), e103510. <http://doi.org/10.1371/journal.pone.0103510>
- Young, A. W., Hay, D. C., McWeeny, K. H., Flude, B. M., & Ellis, A. W. (1985). Matching familiar and unfamiliar faces on internal and external features. *Perception*, 14(6), 737–746.