# UNIVERSITY<sup>OF</sup> BIRMINGHAM University of Birmingham Research at Birmingham

## Interleukin (IL)-12 and IL-23 Are Key Cytokines for Immunity against Salmonella in Humans

MacLennan, Calman; Fieschi, C; Lammas, David; Picard, C; Dorman, SE; Sanal, O; MacLennan, JM; Holland, SM; Ottenhoff, TH; Casanova, JL; Kumararatne, Dinakantha

DOI: 10.1086/425021

Citation for published version (Harvard):

MacLennan, C, Fieschi, C, Lammas, D, Picard, C, Dorman, SE, Sanal, O, MacLennan, JM, Holland, SM, Ottenhoff, TH, Casanova, JL & Kumararatne, D 2004, 'Interleukin (IL)-12 and IL-23 Are Key Cytokines for Immunity against Salmonella in Humans', *The Journal of Infectious Diseases*, vol. 190, no. 10, pp. 1755-7. https://doi.org/10.1086/425021

Link to publication on Research at Birmingham portal

#### **General rights**

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

•Users may freely distribute the URL that is used to identify this publication.

•Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

•User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?) •Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

### Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

### Interleukin (IL)–12 and IL-23 Are Key Cytokines for Immunity against Salmonella in Humans

### Calman MacLennan,<sup>1,10</sup> Claire Fieschi,<sup>7</sup> David A. Lammas,<sup>1</sup> Capucine Picard,<sup>7</sup> Susan E. Dorman,<sup>4</sup> Ozden Sanal,<sup>6</sup> Jenny M. MacLennan,<sup>3</sup> Steven M. Holland,<sup>5</sup> Tom H. M. Ottenhoff,<sup>9</sup> Jean-Laurent Casanova,<sup>78</sup> and Dinakantha S. Kumararatne<sup>2</sup>

<sup>1</sup>Medical Research Council Centre for Immune Regulation, Division of Immunity and Infection, University of Birmingham, Birmingham, and <sup>2</sup>Department of Biochemistry and Clinical Immunology, Addenbrookes Hospital, Cambridge, and <sup>3</sup>Peter Medawar Building, Department of Zoology, University of Oxford, Oxford, United Kingdom; <sup>4</sup>Center for Tuberculosis Research, Johns Hopkins University School of Medicine, Baltimore, and <sup>5</sup>Immunopathogenesis Section, Laboratory of Host Defenses, National Institute of Allergy and Infectious Diseases, National Institutes of Health, Bethesda, Maryland; <sup>6</sup>Immunology Division, Hacettepe University Children's Hospital, Ankara, Turkey; <sup>7</sup>Laboratory of Human Genetics of Infectious Diseases, University of Paris René Descartes, INSERM U550, Necker Medical School, and <sup>8</sup>Pediatric Immunology and Hematology Unit, Necker Hospital, Paris, France; <sup>9</sup>Department of Immunohematology and Blood Transfusion, Leids Universitair Medisch Centrum, Leiden, The Netherlands; <sup>10</sup>Malawi-Liverpool-Wellcome Trust Clinical Research Programme, College of Medicine, Blantyre, Malawi

Patients with inherited deficiency of the interleukin (IL)–12/ IL-23–interferon (IFN)– $\gamma$  axis show increased susceptibility to invasive disease caused by the intramacrophage pathogens salmonellae and mycobacteria. We analyzed data on 154 patients with such deficiency. Significantly more patients with IL-12/ IL-23–component deficiency had a history of salmonella disease than did those with IFN- $\gamma$ –component deficiency. Salmonella disease was typically severe, extraintestinal, and caused by nontyphoidal serovars. These findings strongly suggest that IL-12/IL-23 is a key cytokine for immunity against salmonella in humans and that IL-12/IL-23 mediates this protective effect partly through IFN- $\gamma$ –independent pathways. Investigation of the IL-12/IL-23–IFN- $\gamma$  axis should be considered in patients with invasive salmonella disease.

Immunity against salmonella is complex, but insights may be gained from studies of humans with immunodeficiencies and from animal models of salmonella infection [1]. Such studies

The Journal of Infectious Diseases 2004; 190:1755–7

suggest the importance of a variety of immunological mechanisms including multiple cytokines [2], particularly the Th1 cytokines [3]; salmonellae, together with mycobacteria (another class of intramacrophage pathogens), commonly cause invasive disease among patients with primary immunodeficiencies characterized by deficiency in the interleukin (IL)–12/IL-23–interferon (IFN)– $\gamma$  axis [4].

At its simplest, the IL-12/IL-23–IFN- $\gamma$  axis is believed to consist of 2 complementary components (figure 1): first, an IL-12/ IL-23 component, in which, in response to microbial stimuli, macrophages and dendritic cells produce IL-12 and IL-23, which act on NK and T cells and NKT cells [5]; and second, an IFN- $\gamma$  component, in which IL-12 and IL-23 cause NK, T, and NKT cells to produce IFN- $\gamma$ , which, in turn, acts on macrophages and other nucleated cells. This results in cellular activation through STAT1 and aids in the elimination of intramacrophage pathogens. Deficiencies have been identified in 5 proteins in this axis: the  $\beta$ 1 subunit of the IL-12 and IL-23 receptor (IL-12R/IL-23R), the p40 subunit of IL-12 and IL-23 (IL-12/IL-23), chains 1 and 2 of the IFN- $\gamma$  receptor (IFN- $\gamma$ R), and STAT1. These deficiencies are caused by mutations in autosomal genes and produce nonfunctional or partially functional proteins [4]. The biology of IL-12 and that of IL-23 are closely entwined with both cytokines sharing the same p40 subunit and their receptors sharing the same  $\beta$ 1 subunit [6, 7]. Since the 2 presently described deficiencies in the IL-12/IL-23 component result in a deficiency of both IL-12 and IL-23 signaling, these 2 cytokines must be considered together when studying affected individuals and will, therefore, be referred to as "IL-12/IL-23."

**Patients and methods.** To compare immunity against salmonellae with immunity against mycobacteria in humans, we reviewed data on 135 patients from 34 countries with confirmed IL-12/IL-23–IFN- $\gamma$ -axis deficiency whom we have previously investigated and an additional 19 patients described in the medical literature [4, 8]. This encompassed all patients worldwide known to us to have IL-12/IL-23–IFN- $\gamma$ -axis deficiency at the time of writing, and this process was therefore unselective. Patients had been referred to clinical immunology/infectious disease services, usually with a history of invasive atypical mycobacterial disease with or without salmonella disease or with a family history of

Received 28 April 2004; accepted 26 May 2004; electronically published 7 October 2004. Reprints or correspondence: Dr. Calman MacLennan, Malawi-Liverpool-Wellcome Trust Clinical Research Programme, College of Medicine, PO Box 30096, Blantyre 3, Malawi (cmaclennan@ mlw.medcol.mw).

<sup>© 2004</sup> by the Infectious Diseases Society of America. All rights reserved. 0022-1899/2004/19010-0005\$15.00

Presented in part: Keystone Symposium "Macrophage Activation and Deactivation: Links Between Innate and Adaptive Immunity," Keystone, Colorado, 22–27 January 2001 (abstract 327). Financial support: Endowment Research Fund of the United Hospital of Birmingham (grant 12.3.557 to C.M.); Research Training Fellowship in Clinical Tropical Medicine from the Wellcome Trust (GR067902MF to C.M.); Medical Research Council Cooperative Group (grant G9901077 to D.A.L.).



**Figure 1.** Interleukin (IL)–12/IL-23–interferon (IFN)– $\gamma$  axis showing (1) the division between IL-12/IL-23 component and IFN- $\gamma$  component and (2) the proteins that may be absent or deficient in humans: IL-12/IL-23 and IL-12 receptor (R)/IL-23R (in IL-12/IL-23–component deficiency) and IFN- $\gamma$ R and STAT1 (in IFN- $\gamma$ –component deficiency). For a more comprehensive figure, see [6]. M $\phi$ /DC, macrophage/dendritic cell; T/NK, T cell/NK cell/NKT cell.

such disease. Only a small minority (6%; 9/154) of patients had been referred with a history of salmonella disease alone. After exclusion of other known immunodeficiencies-including severe combined immunodeficiency, chronic granulomatous disease, AIDS, Di George syndrome, and antibody (IgG, IgA, and IgM) deficiencies-patients were investigated for deficiency in both components of the IL-12/IL-23–IFN- $\gamma$  axis. No patients were receiving immunosuppressive therapy. We stratified patients according to IL-12/IL-23-IFN-y-axis deficiency and determined which patients had had salmonella and mycobacterial disease (table 1). In the absence of a suitable control group, the number of patients with IL-12/IL-23-component deficiency and a history of each disease was compared with the number of patients with IFN- $\gamma$ -component deficiency and the same disease. Odds ratios (ORs) and 95% confidence intervals (CIs) were calculated with Epi Info (version 6.04) by use of the exact method.

**Results.** The median age of patients (or age at death) was similar in both the group with IL-12/IL-23–component deficiency and the group with IFN- $\gamma$ –component deficiency (9 years [range, 2–35 years] and 11 years [range, 1–62 years], respectively). Surprisingly, we observed that 31 (44%) of 71 patients with IL-12/IL-23–component deficiency had experienced invasive salmonella disease, compared with only 6 (7%) of 83 patients with IFN- $\gamma$ –component deficiency. This difference is highly signifi-

cant (OR, 0.10; 95% CI, 0.03–0.28; P = .0000004). In contrast, more patients with IFN- $\gamma$ -component deficiency (94%; 78/83) had had mycobacterial disease, compared with patients with IL-12/IL-23–component deficiency (77%; 55/71) (OR, 4.55; 95% CI, 1.47–16.67; P = .0061). All 9 patients with a history of salmonella disease alone had IL-12/IL-23–component deficiency. These observations are striking and suggest that there are key differences in the immune mechanisms operating against salmonellae and mycobacteria. IL-12/IL-23 appears to be important for immunity against salmonella in humans and appears to operate through IFN- $\gamma$ –independent, as well as IFN- $\gamma$ –dependent, mechanisms.

Culture-positive salmonella disease occurred in 37 (24%) of 154 patients. Salmonella was typically isolated from extraintestinal sites, rather than from stool (for all except 1 patient), and disease was often severe, with the referring clinician diagnosing sepsis in almost half of affected patients. Salmonella disease was typically difficult to treat; patients often responded poorly to conventional antibiotic therapy. Recurrent disease was common, suggesting either inadequate courses of treatment or a problem with secondary immunity against salmonella. All except 1 of the serovars isolated were nontyphoidal. The median age at the onset of the first salmonella infection among all 37 patients was 3 years (3 years [range, 1-12 years] for patients with IL-12/IL-23-component deficiency and 1.5 years [range, 1–6 years] for patients with IFN- $\gamma$ -component deficiency). There was no significant difference in the sex ratio of those patients with IL-12/IL-23–IFN- $\gamma$ -axis deficiency with a history of salmonella disease (P > .5).

**Discussion.** The high prevalence of salmonella disease among patients with IL-12/IL-23–component, as opposed to IFN- $\gamma$ – component, deficiency suggests that the fundamental deficiency in these patients is not simply a deficit in IFN- $\gamma$  production. Although many of the actions of IL-12/IL-23 are mediated through IFN- $\gamma$ , it has other biological functions, and the present study should prompt further investigation into which of these are important for immunity against salmonella in humans. Of the many cytokines implicated in immunity against salmonella, tumor necrosis factor (TNF)– $\alpha$  and granulocytemacrophage colony-stimulating factor (GM-CSF) are particularly good candidates for mediating IFN- $\gamma$ –independent ac-

Table 1. Statistical analysis of salmonella and mycobacterial disease in 154 patients with interleukin (IL)–12/IL-23–interferon (IFN)– $\gamma$ –axis deficiency, stratified according to component deficiency.

Deficiency	No. of patients	Age, median (range), years	Sex, M:F	History of salmonella disease				History of mycobacterial disease			
				Yes, no. (%)	No, no. (%)	OR (95% CI) <sup>a</sup>	P <sup>b</sup>	Yes, no. (%)	No, no. (%)	OR (95% CI) <sup>a</sup>	P <sup>b</sup>
IL-12/IL-23 component	71	9 (2–35)	29:42	31 (44%)	40 (56%)	1		55 (77%)	16 (23%)	1	
IFN- $\gamma$ component	83	11 (1–62)	39:44	6 (7%)	77 (93%)	0.10 (0.03–0.28)	.0000004	78 (94%)	5 (6%)	4.55 (1.44–16.33)	.0061

<sup>a</sup> Exact method.

<sup>b</sup> Yate's corrected  $\chi^2$ .

tions of IL-12/IL-23, since both cytokines are produced by T cells and/or NK cells in response to IL-12/IL-23 and both activate macrophages [6, 7]. Animal models suggest a role for both TNF- $\alpha$  [9] and GM-CSF [10] in immunity against salmonella. At present, there is no recognized human immuno-deficiency of these cytokines or their receptors, although humans treated with the anti–TNF- $\alpha$  antibody, infliximab, do not appear to show increased susceptibility to salmonella [11]. GM-CSF has been used as an adjunctive therapy against infection in clinical trials, but a beneficial effect is, so far, unproven [12]. Another potential IFN- $\gamma$ –independent action of IL-12/IL-23 is its immunoregulatory role of promoting Th1 responses, which are critical for effective cell-mediated immunity [6, 7].

Much of our current knowledge of the biology of IL-12/IL-23 comes from animal studies, which also show a role for IL-12/IL-23 in immunity against a wide variety of infections, including those caused by viruses, bacteria, protozoa, and fungi [6]. In contrast, salmonellae and mycobacteria appear to be the only pathogens to which humans with IL-12/IL-23-component deficiency show increased susceptibility, emphasizing the importance of studying immunity against these diseases in humans [13]. Although the present study points to the importance of IL-12/IL-23, this cytokine does not appear to be essential for immunity against salmonella in all patients: we found high titers of antisalmonella antibodies as evidence of exposure to salmonella in 4 of 18 patients with IL-12/IL-23-component deficiency who did not have a history of salmonella disease [8]. This difference in susceptibility to salmonella among patients with IL-12/IL-23-component deficiency requires further investigation. Other patients who did not have a history of salmonella disease may not have been exposed to salmonella. We also found high titers of antisalmonella antibodies in 10 of 12 patients with IL-12/IL-23-component deficiency and a history of salmonella disease [8], indicating that these patients can mount satisfactory antibody responses to salmonella.

In conclusion, clinicians should consider the possibility of an underlying IL-12/IL-23–IFN- $\gamma$ –axis deficiency in patients with recurrent extraintestinal salmonella disease, as well as in those with disseminated atypical mycobacterial disease. Such deficiencies are probably underdiagnosed in patients with salmonella disease but are clinically significant, as infections often require extended treatment, and live bacterial vaccines must be avoided. The clinical observation that severe salmonella disease is more likely in patients with IL-12/IL-23–component deficiency than in patients with IFN- $\gamma$ -component deficiency suggests that IL-12/IL-23 is a key cytokine for immunity against salmonella in humans and merits both further investigation into possible IFN- $\gamma$ -independent IL-12/IL-23-driven mechanisms of immunity and dissection of the contributory role of IL-12 and IL-23. It also suggests a possible role for recombinant IL-12/IL-23 as immunotherapy for severe salmonella disease.

### Acknowledgment

We thank Alexandre Alcaïs for help with analyzing results.

### References

- Mastroeni P, Ugrinovic S, Chandra A, MacLennan C, Doffinger R, Kumararatne D. Resistance and susceptibility to *Salmonella* infections: lessons from mice and patients with immunodeficiencies. Rev Med Microbiol 2003; 14:53–62.
- Eckmann L, Kagnoff ME. Cytokines in host defence against Salmonella. Microbes Infect 2001; 3:1191–200.
- Jouany E, Doffinger R, Dupuis S, Pallier A, Altare F, Casanova JL. IL-12 and IFN-γ in host defence against mycobacteria and salmonella in mice and men. Curr Opin Immunol 1999; 11:346–51.
- 4. Casanova JL, Abel L. Genetic dissection of immunity to mycobacteria: the human model. Annu Rev Immunol **2002**; 20:581–620.
- 5 Brigl M, Bry L, Kent SC, Gumperz JE, Brenner MB. Mechanisms of CD1d-restricted natural killer T cell activation during microbial infection. Nat Immunol 2003; 4:1230–7.
- 6. Trinchieri G. Interleukin-12 and the regulation of innate resistance and adaptive immunity. Nat Rev Immunol **2003**; 3:133–46.
- Lankford CS, Frucht DM. A unique role for IL-23 in promoting cellular immunity. J Leukoc Biol 2003; 73:49–56.
- Fieschi C, Dupuis S, Catherinot E, et al. Low penetrance, broad resistance and favorable outcome of IL-12 receptor β1-deficiency: medical and immunological implications. J Exp Med 2003; 197:527–35.
- Nakano Y, Onozuka K, Terada Y, Shinomiya H, Nakano M. Protective effect of recombinant TNF-α in murine salmonellosis. J Immunol 1990; 144:1935–41.
- Morrissey PJ, Charrier K. GM-CSF administration augments the survival of *ITY*-resistant A/J mice, but not *ITY*-susceptible C57BL/6 mice, to a lethal challenge with *Salmonella typhimurium*. J Immunol 1990; 144:557–61.
- 11. Keane J, Gershon S, Wise RP, et al. Tuberculosis associated with infliximab, a tumor necrosis factor  $\alpha$ -neutralizing agent. N Engl J Med **2001**; 345:1098–104.
- Hubel K, Dale DC, Liles WC. Therapeutic use of cytokines to modulate phagocytic function for the treatment of infectious diseases: current status of granulocyte colony-stimulating factor, granulocyte-macrophage colony-stimulating factor, macrophage colony-stimulating factor, and interferon-γ. J Infect Dis 2002; 185:1490–501.
- Fieschi C, Casanova JL. The role of interleukin-12 in human infectious diseases: only a faint signature. Eur J Immunol 2003; 33:1461–4.