

# The antibacterial activity of blue light against nosocomial wound pathogens growing planktonically and as mature biofilms

Halstead, Fenella D; Thwaite, Joanne E; Burt, Rebecca; Laws, Thomas R; Raguse, Marina; Moeller, Ralf; Webber, Mark A; Oppenheim, Beryl A

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1    **The antibacterial activity of blue light against nosocomial wound pathogens**  
2    **growing planktonically and as mature biofilms**

3  
4    Fenella D. Halstead,<sup>a,b,c,#</sup> Joanne E. Thwaite,<sup>d</sup> Rebecca Burt,<sup>a,c,\*</sup> Thomas R Laws,<sup>d</sup>  
5    Marina Raguse,<sup>e</sup> Ralf Moeller,<sup>e</sup> Mark A Webber,<sup>b,c</sup> and Beryl A Oppenheim<sup>a,b</sup>

6  
7    Clinical Microbiology, Queen Elizabeth Hospital, University Hospitals Birmingham NHS  
8    Foundation Trust, Birmingham, UK<sup>a</sup>; NIHR Surgical Reconstruction and Microbiology  
9    Research Centre, Queen Elizabeth Hospital, Birmingham, UK<sup>b</sup>; Institute of Microbiology  
10    and Infection, University of Birmingham, Birmingham, UK<sup>c</sup>; Chemical, Biological and  
11    Radiological Division, DSTL, Porton Down, Salisbury, Wiltshire, UK<sup>d</sup>; German  
12    Aerospace Center (DLR e.V.), Institute of Aerospace Medicine, Radiation Biology  
13    Department, Space Microbiology Research Group, Cologne (Köln), Germany<sup>e</sup>

14  
15    Running Head: Activity of 400 nm light against nosocomial pathogens

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18  
19    # Address correspondence to: Fenella D. Halstead, fenellahalstead@nhs.net

20    \* Present address: Aston University, Birmingham, UK

21    F.D.H and J.E.T contributed equally to the work.

22 **ABSTRACT**

23 **Background**

24 The blue wavelengths within the visible light spectrum are intrinsically antimicrobial,  
25 and can photodynamically inactivate the cells of a wide spectrum of bacteria (Gram-  
26 positive and -negative) and fungi. Furthermore, blue light is equally effective against  
27 both drug sensitive and resistant members of target species, and (in contrast to UV  
28 radiation), is less detrimental to mammalian cells.

29 Blue light is currently used for treating acnes vulgaris, and *Helicobacter pylori* infections;  
30 the utility for decontamination and treatment of wound infections is in its infancy.  
31 Furthermore, limited studies have been performed on bacterial biofilms; the key growth  
32 mode of bacteria involved in clinical infections.

33 Here we report the findings of a multicentre *in vitro* study performed to assess the  
34 antimicrobial activity of 400 nm blue light against bacteria in both planktonic and biofilm  
35 growth modes.

36 **Methods**

37 Blue light was tested against a panel of 34 bacterial isolates (clinical and type strains)  
38 comprising: *Acinetobacter baumannii*, *Enterobacter cloacae*, *Stenotrophomonas*  
39 *maltophilia*, *Pseudomonas aeruginosa*, *Escherichia coli*, *Staphylococcus aureus*,  
40 *Enterococcus faecium*, *Klebsiella pneumoniae*, and *Elizabethkingia meningoseptica*.

41

42

## 43 **Results**

44 All planktonic phase bacteria were susceptible to blue light treatment, with the majority  
45 (71%) demonstrating a  $\geq 5 \log_{10}$  decrease in viability after 15-30 minutes exposure (54  
46 J/cm<sup>2</sup> to 108 J/cm<sup>2</sup>). Bacterial biofilms were also highly susceptible to blue light, with  
47 significant reduction in seeding observed for all isolates at all levels of exposure.

## 48 **Conclusions**

49 These results warrant further investigation of blue light as a novel decontamination  
50 strategy for the nosocomial environment, as well as additional wider decontamination  
51 applications.

## 53 **Importance**

54 Blue light shows great promise as a novel decontamination strategy for the nosocomial  
55 environment, as well as additional wider decontamination applications (e.g. wound  
56 closure during surgery). This warrants further investigation.

57

58

59

## 60 **1 INTRODUCTION**

61 Antimicrobial resistance (AMR) is rapidly evolving and emerging to be a large threat to  
62 modern medicine. Although only affecting a minority of admissions, healthcare

63 associated infections are associated with increased mortality, prolonged hospital stays  
64 and increased treatment costs (1). With the rise in resistance to the carbapenem class  
65 of antibiotics in Gram-negative organisms (2), there is a significant threat of infections  
66 becoming wholly untreatable with current treatment regimens (3,4).

67 **M**uch research is now focussed on alternatives to the conventional antimicrobial agents.  
68 These mostly involve topical agents (with the aim to reduce surface contamination and  
69 therefore lower the risks of sepsis and infection progression) with research to date on a  
70 large number of agents. Since the environment is a key source of nosocomial  
71 pathogens (5), there has also been renewed focus on hospital cleaning and disinfection,  
72 especially *via* antimicrobial chemicals delivered in a novel way, including antimicrobial  
73 light sources (1,6). These novel strategies capable of decontaminating both the patient's  
74 wound and the environment, offer to be highly beneficial in the fight against AMR and  
75 nosocomial infections.

76 **T**he blue wavelengths within the visible light spectrum (especially wavelengths between  
77 400 nm to 470 nm) are intrinsically antimicrobial and do not require additional  
78 exogenous photosensitizers to exert an antimicrobial effect (4). Photodynamic  
79 inactivation of both bacterial and fungal cells occurs as a result of photo-excitation of  
80 intracellular porphyrins (1) by blue light, leading to energy transfer and the production of  
81 highly cytotoxic reactive oxygen species (ROS); primarily singlet oxygen ( $^1\text{O}_2$ ) (4, 7-9).  
82 All wavelengths from 400-425 nm can be used for microbial inactivation; however the  
83 optimal antimicrobial activity occurs at 405 nm, since this is the point in the  
84 electromagnetic spectra where maximum porphyrin excitation occurs (10). Although  
85 less germicidal compared to ultra-violet light (1), pathogens can be selectively

86 inactivated without damaging human cells and consequently blue light is considered  
87 much less detrimental to mammalian cells than ultra-violet (11,12). One potential  
88 benefit of light-based antimicrobial therapies is an equal efficacy against drug sensitive  
89 and resistant members of target species (13,14).

90 **Blue light** has been shown to exhibit a broad spectrum of antimicrobial effect against  
91 bacteria and fungi, although, generally the Gram-positive bacteria are considered to be  
92 more susceptible to blue light than the Gram-negatives (15,16). Successful inactivation  
93 has been demonstrated *in vitro* against *Staphylococcus aureus* (including MRSA),  
94 *Clostridium difficile* (both spores and vegetative cells), *Acinetobacter baumannii*,  
95 *Escherichia coli*, *S. epidermidis*, *Pseudomonas aeruginosa*, *Klebsiella pneumoniae*,  
96 *Streptococcus pyogenes*, and *Mycobacterium* spp. (14-15, 17, 18). In addition to the  
97 key nosocomial pathogens, blue light is also effective against *Propionibacterium acnes*,  
98 and has been used topically to treat acne vulgaris (19, 20), and *Helicobacter pylori*,  
99 where blue light is used internally as a 'light string' to treat stomach infections (21).

100 Owing to the mechanism of action of blue light, it is unlikely that viruses will be  
101 susceptible unless photosensitizers are added to enhance virucidal activity (22).

102 **The use of blue light** for treatment of wound infections *in vivo* is an emerging  
103 technology. To date blue light therapy has been shown to significantly reduce the  
104 bacterial burden of wounds infected with *P. aeruginosa* (23), MRSA (24), and *A.*  
105 *baumannii* (25), and saved the lives of mice subjected to potentially lethal burns  
106 contaminated with *P. aeruginosa* and *A. baumannii* (23, 25).

107 **As** well as clinical application for patient treatment, blue light is also a promising  
108 candidate for the control of problematic microorganisms in the clinical setting (e.g. the  
109 disinfection of air and exposed surfaces). In this regard, Bache *et al* (26) and Maclean  
110 *et al* (1) have performed studies with a new disinfection technology termed the HINS-  
111 light environmental decontamination system (EDS) which delivers low-irradiance 405nm  
112 light continuously and is suitable for use in patient occupied settings. Evaluation studies  
113 performed by the latter authors showed that there was a statistically significant 90%  
114 reduction in numbers of culturable *Staphylococci* spp. following 24 hours of use in an  
115 unoccupied room (5), and reductions of 56-86% when used in burns isolation rooms  
116 occupied by MRSA-positive patients. Furthermore, when the system was no longer  
117 used, the room became recontaminated to levels similar to those pre-treatment.

118 **The** vast majority of research on blue light has been carried out on bacteria in their  
119 planktonic phase, dispersed evenly in a liquid medium. In nature this is rarely the case,  
120 since most bacteria aggregate to form complex communities within a matrix of  
121 extracellular polymeric substances termed a biofilm. There are many advantages for  
122 this compared to planktonic growth which include: increased resistance to killing *via*  
123 antimicrobials, immune cells, chemicals and environmental stresses (27). Furthermore,  
124 once a biofilm has become established on a surface they are extremely hard to  
125 eradicate. Medically, biofilms have been associated with a myriad of chronic infections,  
126 acute infections, colonisation of in-dwelling medical devices, and wound infections (27-  
127 29).

128 **Since** we know that the majority of clinical infections and environmental contamination  
129 involve microbial biofilms (30), this multi-centre *in vitro* study was performed to assess

130 the antibacterial activity of blue light against biofilms of a range of important nosocomial  
131 pathogens.

132

## 133 **2 MATERIALS AND METHODS**

134 **A** series of *in vitro* experiments were conducted with a panel of organisms (Table 1) to  
135 determine the efficacy of blue light (400 nm) against bacteria in a planktonic (free-  
136 floating in broth) and biofilm (attached to a surface) mode of growth. The panel  
137 comprised well-characterised control and clinical isolates (in terms of their antibiogram  
138 and ability to form biofilms *in vitro*) and concentrated mostly on *A. baumannii* strains  
139 from a protracted outbreak at the Queen Elizabeth Hospital in Birmingham (QEHB) (31).

140 **A. baumannii** is a key nosocomial pathogen which survives in hospital and healthcare  
141 environments despite conditions such as desiccation, nutrient starvation and  
142 antimicrobial chemicals (e.g. disinfectants) (332, 33). Despite stringent infection control  
143 practices, a large outbreak of *A.baumannii* occurred at QEHB where 65 patients tested  
144 positive during the outbreak period (July 2011 to February 2013). The strains from this  
145 outbreak demonstrated a high degree of resilience to survival in the hospital  
146 environment and there was also evolution amongst the isolates over time to increase  
147 dessication resistance and biofilm formation capacity. Additional *A. baumannii* isolates  
148 (representing genetically diverse strains) were tested in this panel to add some diversity  
149 to the strains, including strains ACI\_AYE (a representative of International Clone I; a  
150 major globally relevant lineage), ACI\_C60 (a control strain of a unique PFGE type), and  
151 ACI\_19606 (a control strain of a further unique PFGE type) (typing data not shown).



152

153 **We** also tested a small range of other 'comparator' organisms commonly causing  
154 hospital acquired infection including *Enterobacter cloacae*, *Stenotrophomonas*  
155 *maltophilia*, *P. aeruginosa*, *E. coli*, *S. aureus*, and *Enterococcus faecium* and included  
156 control strains (PS\_6749 and MSSA\_10788) recognised in the EN standards for  
157 assessing the efficacy of chemical disinfectants (e.g. EN 13727 (34)). The panel  
158 comprised isolates that in previous tests had demonstrated ability to form relevant  
159 quantities of biofilm *in vitro*, and furthermore included two carbapenem (multi-drug)  
160 resistant isolates of *K. pneumoniae*, and a single isolate of *Elizabethkingia*  
161 *meningoseptica* from a wound swab. This is an intrinsically highly resistant organism,  
162 usually resistant to extended-spectrum  $\beta$ -lactam agents (due to production by most  
163 strains of two betalactamases: one ESBL and one Class B carbapenem-hydrolyzing  
164 metallolactamase), aminoglycosides, tetracycline, and chloramphenicol (35).

165

166 **All** isolates were stored at -80°C on Protect™ beads, and were routinely cultured on  
167 cysteine lactose electrolyte deficient (CLED) or blood agar prior to each experiment.

168

169 **Experiments** were designed to assess the antibacterial activity of blue light against  
170 planktonic and biofilm growth forms of the panel of bacteria described above. Testing  
171 was performed at the Defence Science Technology Laboratory (DSTL) (planktonic) and  
172 the Surgical Reconstruction and Microbiology Research Centre (biofilms), and blue light  
173 of 400 nm wavelength was used for all experiments.

174

## 175 **2.1 Blue light equipment**

176 High intensity blue light was provided by a LED Flood array (Henkel-Loctite, Hemel  
177 Hempstead, UK). This array utilises 144 reflectorized LEDs which produce a  
178 homogeneous illuminated area of 10 cm x 10 cm. The emission spectrum of the LED  
179 array was determined using a USB2000 spectrophotometer (Ocean Optics, Oxford,  
180 UK). Two identical platforms were used for the testing, both of which were calibrated at  
181 DSTL using a PM100D radiant power meter (Thorlabs, Newton, New Jersey, USA) prior  
182 to *in vitro* testing to ensure a reproducible irradiance of 60 mW/cm<sup>2</sup> when the LED array  
183 is positioned 15.5cm above the test area. All of the experimental conditions (except  
184 wavelength) adhere to the optimal criteria outlined by Coohill and Sagripanti (36) for the  
185 assessment of bacterial sensitivity to UV-C radiation.

## 187 **2.2 Impact of blue light on planktonic bacteria**

188 Bacterial isolates were grown overnight in Luria Broth (LB) [Sigma-Aldrich, UK], then  
189 diluted in sterile PBS to produce a starting concentration of approximately 1 x 10<sup>6</sup>  
190 bacteria per ml. Test samples (2ml) were inoculated into a 12-well microtitre plate  
191 [Corning, New York, USA], sealed with an optically clear ABSolute qPCR sealer  
192 [Thermo Fisher Scientific, Paisley, Scotland] to prevent evaporation, then exposed to  
193 blue light for 30 minutes (samples were taken for viable counting at 5 minute intervals).  
194 If the strains still showed viability after 30 minutes of blue light exposure, the test was  
195 repeated over 180 minutes, with samples taken at 20 minute intervals. An identical dark

196 control plate was set up, wrapped in aluminium foil and placed in the flood array  
197 adjacent to the blue light irradiated samples.

198 **At** time increments during the experiment blue light exposed and dark incubated  
199 samples were removed and viable bacteria enumerated by serial dilution and growth on  
200 LB agar plates. The blue light sensitivity for each strain was determined from the mean  
201 of three independent biological replicates, with two technical replicates within each  
202 experiment.

203 The blue light dose ( $\text{J}/\text{cm}^2$ ) received by the bacteria was calculated by multiplying the  
204 irradiance of light ( $\text{W}/\text{cm}^2$ ) to which the sample was exposed, by the exposure time  
205 (seconds).

206

### 207 **2.3 Impact of blue light on pre-formed biofilms**

208 The antibacterial activity of blue light against pre-formed biofilms was assessed by  
209 conducting 'minimum biofilm eradication concentration' (MBEC) experiments (37) on  
210 each isolate. Overnight LB cultures of the test strains (made by inoculating  
211 approximately three to five colonies into 5ml of fresh LB broth and incubating at 37°C  
212 overnight) were diluted in fresh antibiotic-free Mueller-Hinton (MH) broth to an  $\text{OD}_{600}$  of  
213 0.1 and then 200 $\mu\text{l}$  seeded into wells of a 96-well microtiter tray (MTT). Positive (200 $\mu\text{l}$   
214 0.1  $\text{OD}_{600}$  diluted organisms) and negative (200 $\mu\text{l}$  MH broth) controls were included per  
215 blue light time point to be tested.

216 To produce a 'transferable biofilm', a 96 well polypropylene plate [Starlabs, UK] was  
217 then placed into the MTT so that each well contained a 'peg', on which biofilms could  
218 form, before the plates were sealed, and statically incubated at 33°C for 72 hours. After  
219 72 hours, the pegs ( $\pm$ biofilm) were removed and washed in a MTT containing sterile  
220 water (to remove any unbound cells). The positive (bacteria only) and negative control  
221 (sterile broth only) 'peg plate' was placed in a clean, empty MTT and wrapped in foil.  
222 Following this, both the control and the test peg plate were placed in the test area  
223 (15.5 cm beneath the light source) and exposed to the blue light for time points of 15,  
224 30, 45 or 60 minutes (corresponding to a blue light dose of 54, 108, 162 and 216 J/cm<sup>2</sup>  
225 respectively). The foil around the control plate prevented the pegs from receiving any  
226 blue light treatment (and hence these positive control biofilms were not exposed to the  
227 blue light), but the control plate biofilms would have most likely been exposed to the  
228 same amount of heating and drying as the blue light exposed test plate.

229 After the treatment, the peg plates were carefully placed into a MTT containing 200  $\mu$ l  
230 sterile MH broth (herein referred to as 'reporter broth') for overnight incubation. After 18  
231 hours, the OD of the reporter broth was measured to assess the viability (seeding) of  
232 the biofilms following blue light exposure.

233 To demonstrate the presence of biofilms on the pegs, crystal violet (CV) assays were  
234 additionally performed on the pegs after the OD of the reporter broth had been  
235 measured. This involved placing the pegs into MTTs containing 200  $\mu$ l of 1% CV (which  
236 binds to any present microbial biomass of biofilm), followed by washing (to remove  
237 unbound CV) and subsequent solubilisation of the CV in 200  $\mu$ l of 70% ethanol. The peg  
238 biofilm biomass could then be measured using OD readings as previously and the

239 presence of the biofilm confirmed. Two biological and 10 technical replicates were  
240 performed for each strain and blue light exposure duration, respectively.

241

## 242 **2.4 Statistical analysis**

### 243 **2.4.1 Planktonic tests**

244 For the planktonic data, the surviving fraction was determined from the quotient  $N/N_0$ ,  
245 with  $N$  = the number of colony formers of the irradiated sample and  $N_0$  that of the non-  
246 irradiated controls. Plotting the logarithm of  $N/N_0$  as a function of dose (blue light fluence  
247 in  $J/cm^2$ ), allowed survival curves to be obtained.

248 To determine the curve parameters, the following relationship was used:  $\ln N/N_0 = IC \times$   
249  $F + n$  where:  $N$  = the number of colony formers after blue light irradiation;  $N_0$  = the  
250 number of colony formers without irradiation;  $IC$  = inactivation constant ( $cm^2/J$ ); and  $n$  =  
251 extrapolation number, (i.e. the intercept with the ordinate of the extrapolated semi-log  
252 straight-line). The inactivation constant and the reciprocal lethal dose (LD) values were  
253 determined from the slope of the dose–effect-curves (linear portion of the curve).

254 To allow for comparison with other bactericidal radiation sources in the literature, blue  
255 light mediated killing was calculated in terms of the inactivation constant slope (IC), and  
256 the kill kinetics shown as both the  $LD_{37}$  and  $LD_{90}$ . The significance of the difference of  
257 the dose–effect-curves was statistically analyzed using student's t-test. Differences with  
258 P values  $<0.05$  were considered statistically significant.

LD<sub>90</sub> values were analysed using the statistical software package IBM SPSS V21.0, were found to be log normal by QQ plot (data not shown), and were consequently transformed to the logarithm of 10 prior to parametric analysis. Differences between bacterial species was investigated using a 1 way ANOVA, and the suitability of the data for parametric analysis was further established with the use of a Levene's test for unequal variance ( $P=0.165$ ). Where only one bacterial strain of species was available, this species was taken out of the analysis. Multiple comparisons were made using the Bonferroni's correction. Similarly, the effect of pigmentation of *S. aureus* strains on susceptibility to blue light was tested by using T-tests without Welch's correction and suitability was further tested using Levene's test for unequal variance ( $P=0.984$ ).

269

#### 270 **2.4.2 Biofilm tests**

The ability of biofilms to seed new growth following exposure to blue light was assessed by comparing the OD values at each blue light time point versus the untreated (positive) control, and significance was determined using the student's t test. In order to investigate any possible link between biofilm size/depth (colourimetry), and blue light sensitivity, these two parameters were investigated through QQ plots in SPSS (version 21.0; SPSS Statistics for Windows, Version 21.0. Armonk, NY: IBM Corp). Initial analysis suggested a transformation of both parameters by the logarithm of 10 was needed to render the data suitable for parametric analysis (analysis not shown). Very little difference between the technical replicates was observed with regards to either parameter and therefore the median of the log<sub>10</sub> of the technical replicates was used for

281 analysis. The capacity for each strain to form a biofilm was taken from the average of  
282 the OD values over the 4 time points for the positive control. Comparisons of biofilm  
283 values were made using 1 way ANOVA and students' 't' tests (without Welch's  
284 correction) and comparisons of variances were made with F tests and Brown-Forsythe  
285 tests. The viability of each strain of bacteria in biofilm was analysed by Bonferroni's  
286 posts tests across each time point (SPSS). Where significant differences between the  
287 positive control and the blue light occurred, the blue light was regarded as having an  
288 effect from there on leading to an ordinal score for each strain of 15 min (54 J/cm<sup>2</sup>), 30  
289 min (108 J/cm<sup>2</sup>), 45 min (162 J/cm<sup>2</sup>), 60 min (216 J/cm<sup>2</sup>), or >60 min. Comparisons of  
290 biofilm sensitive scores were made using Kruskal-Wallis tests.

291 In order to characterise whether correlation existed between measured parameters, the  
292 Spearman's method was used. In order to determine the statistical power of the  
293 correlations, the computer program SPSS sample power V3.0 (IBM) was used and  
294 power was calculated for one sample correlations using the derived R value and the  
295 sample size (N = 34).

296

### 297 **3 RESULTS**

298 **Blue** light was tested against 34 bacterial isolates; including clinical isolates from QEHB  
299 and culture collection type strains. The results of the spectral output testing of the blue  
300 light platform (with an Ocean Optics USB2000 spectrometer), determined the emission  
301 peak of the blue light produced was at 400nm, with a full-width half maximum value of  
302 ±8.5 nm (Fig. S1).

303

304 **3.1 Sensitivity of isolates to blue light when grown in planktonic culture**

305 All 34 isolates were sensitive to blue light treatment, and there was no significant decay  
306 in the dark incubated controls. In contrast, rapid and substantive loss of viability was  
307 observed where all test bacteria were exposed to blue light (Figure 1A-F).

308 Twenty four of the isolates (71%) demonstrated at least a 5 log<sub>10</sub> decrease in viability  
309 following 15 (54 J/cm<sup>2</sup>) to 30 minutes (108 J/cm<sup>2</sup>) of blue light exposure (Table 2), and  
310 for the majority of these isolates (*A. baumannii* (12/12), *S. aureus* (4/5), *S. maltophilia*  
311 (2/3), *E. meningoseptica* (1/1)), there was a greater than 6 log<sub>10</sub> decrease in viability.  
312 Ten of the 34 isolates showed <5 log<sub>10</sub> decrease in viability. The isolates concerned  
313 included *E. cloacae* (ENTCL\_525, ENTCL\_801, ENTCL\_804), *E. coli* (EC\_073,  
314 EC\_042), *K. pneumoniae* (MDR\_A, MDR\_B), *S. aureus* (MSSA\_10788), *S. maltophilia*  
315 (STEMA\_551), and *E. faecium* (EFM\_513). Four of the 34 isolates (*E. cloacae*  
316 (ENTCL\_525, ENTCL\_801, ENTCL\_804) and *E. faecium* (EFM\_513)), took longer to kill  
317 than the majority of isolates, requiring extended timepoints up to 120 minutes (432  
318 J/cm<sup>2</sup>) to obtain 2-3 log<sub>10</sub> decrease in viability.

319 Loss of bacterial viability associated with blue light was calculated as previously  
320 described to give LD<sub>90</sub> values in terms of J/cm<sup>2</sup>. Investigation of these LD<sub>90</sub> values  
321 indicated that differences between LD<sub>90</sub> values were very likely driven by differences in  
322 the blue light susceptibility of different bacterial species (P<0.001). We found that the  
323 highest LD<sub>90</sub> values belonged to *E. cloacae* and *K. pneumoniae* strains which had  
324 values statistically higher than all other species included in the analysis (where more



325 than one representative strain was tested) ( $P < 0.05$  in all cases) (Figure 2). The  
326 exception to this was *E. coli* which had moderate blue light tolerance, but no statistical  
327 differences were seen between the strains tested. *A. baumannii*, *S. aureus*, *P.*  
328 *aeruginosa* and *S. maltophilia* all had similar and low levels of resistance to the blue  
329 light exposure.

330

331 In the initial assay of planktonic cell resistance to blue light, we observed that the *S.*  
332 *aureus* strains demonstrated different colony pigmentation; appearing either as pale  
333 yellow (MRSA\_508, MRSA\_520, MRSA\_531 and MSSA\_F77) or orange  
334 (MSSA\_10788) when grown on LB agar. We hypothesised that this pigmentation may  
335 be responsible for the variability seen in both the survival fraction curves and  $LD_{90}$   
336 values when exposed to blue light (Figures 1B and 2). Four additional culture collection  
337 strains of *S. aureus* were assessed for blue light sensitivity, including two pale yellow  
338 (MSSA\_29213, MSSA\_10442) and two orange (MSSA\_33807, MSSA\_4163) strains. In  
339 total nine strains of *S. aureus* were tested, six yellow and three orange. We determined  
340 that the orange pigmentation correlated with increased resistance to blue light in both  
341 the survival fraction curves and in  $LD_{90}$  values (Figures 3A and B). The  $LD_{90}$  values  
342 were statistically significantly higher in the orange pigmented strains than in their yellow  
343 counterparts ( $P = 0.003$ ).

344

### 345 3.2 Sensitivity of isolates to blue light when grown in biofilms

346 **Blue** light treatment resulted in reductions in biofilm seeding for all isolates tested  
347 (Figure 4A-C), and the majority of these reductions (apart from timepoint 15 minutes for  
348 MSSA\_10788) were statistically significant (p-values <0.05 in student's t-tests  
349 compared to the positive control). The percentage reductions are shown in table 3 with  
350 the single non-significant result denoted by a ^.

351 **The** most susceptible isolates were the Gram negative organisms, and in particular  
352 ACI\_19606, where there was a 93.5% reduction in biofilm seeding ( $p < 0.001$ ) after 15  
353 minutes ( $54 \text{ J/cm}^2$ ) of blue light exposure. As a group, the other Gram negative  
354 comparator organisms were the most susceptible, with 10/16 (63%) showing greater  
355 than 80% reductions in biofilm seeding (average = 86%) at 15 minutes, compared to  
356 1/12 for *A. baumannii* and 1/6 for the Gram positive organisms. Although ENTCL\_804  
357 responded well to blue light treatment at 30 minutes/ $108 \text{ J/cm}^2$  (46.6% reduction), 45  
358 minutes/ $162 \text{ J/cm}^2$  (88.2% reduction), and 60 minutes/ $216 \text{ J/cm}^2$  (87.8% reduction), the  
359 treatment actually resulted in increased biofilm seeding at 15 minutes of 18.7%. This  
360 result was repeatable and was seen in a number of replicates.

361 **As** mentioned, the Gram positive biofilms were less susceptible to blue light treatment,  
362 with only two isolates (33%) achieving at least 90% reductions in seeding. It is important  
363 to note however the small sample size. One isolate of *S. aureus* (MSSA\_10788), which  
364 is recognised in the EN standards for assessing the efficacy of chemical disinfectants  
365 was the least sensitive to blue light, achieving a maximum reduction in biofilm seeding  
366 of 36% at 45 minutes ( $162 \text{ J/cm}^2$ ). This result was again repeatable and was seen in  
367 48 replicate pegs. This is further evidence towards the hypothesis that bacterial

368 pigmentation attenuates the sensitivity to blue light, in biofilms as well as in planktonic  
369 cells.

370 In order to characterise how the different biofilm forming properties (seeding ability and  
371 biofilm size) of each bacterial species relate to each other, a series of correlations were  
372 performed. We found no evidence for significant correlations existing between i)  
373 median biofilm size (CV assay) and median sensitivity of biofilm to blue light ( $P =$   
374  $0.133$ ), or ii) median biofilm size and  $LD_{90}$  ( $P = 0.912$ ). For these reasons we feel that  
375 any differences between species in biofilm resistance to blue light are likely to be  
376 intrinsic differences rather than a function of the biofilm. However, we are not able to  
377 dismiss the alternative hypothesis that correlations do exist as these analyses were  
378 insufficiently powered. We found the statistical power to be 21% when considering the  
379 potential correlation between planktonic and biofilm killing ( $R = 0.200$ ) and 33% when  
380 considering the correlation between biofilm killing and biofilm formation ( $R = -0.263$ ). In  
381 this respect, we must actually conclude that real correlations between these parameters  
382 might exist; however, if they do exist, they are likely to be less apparent in comparison to  
383 the correlation observed between biofilm formation and planktonic killing.

384

385 We found a significant correlation between the sensitivity of strains to blue light in the  
386 planktonic state and their ability to form biofilms (Spearman's Coefficient =  $0.369$ ,  $P =$   
387  $0.032$ ). This indicated that strains that demonstrated greater resistance to blue light in  
388 planktonic state were more likely to produce thicker biofilm.

389

390

391 **4 DISCUSSION**

392 In this study, we have shown blue light (400 nm) to be effective at inactivating both  
393 planktonic cells and biofilms of important nosocomial wound pathogens. Contrary to  
394 published research (1,15,16), we found Gram negative organisms to be more  
395 susceptible to blue light. There are a number of differences between these published  
396 studies and our study which may contribute to these conflicting findings. Firstly, we  
397 tested a number of isolates per species (most of the studies test one strain of each  
398 species) comprising both clinical and control strains (most of the published studies use  
399 control strains which may have been passaged many times), and our light box exposed  
400 the bacteria to higher doses (60mW/cm<sup>2</sup>) than the 10mW/cm<sup>2</sup> used by Maclean et al  
401 (15). Although we only tested a small number of isolates, the Gram positive biofilms  
402 appeared less sensitive to blue light treatment, with one strain (MSSA\_10788)  
403 consistently resisting the effects of blue light.

404 Analyzing blue light susceptibility against multiple clinical strains from the same species  
405 has permitted us to assess the heterogeneity of intra-species kill rates. In some species  
406 such as *A. baumannii* the rate of blue light mediated killing was extremely  
407 homogeneous; however, *S. aureus* strains display a much more heterogeneous  
408 response to blue light stress.

409 It has long been recognised that bacterial cells have utilised pigmentation as a virulence  
410 factor (38). One of the most easily recognisable bacterial pigments are the triterpenoid  
411 carotenoids, which impart the eponymous golden colour to *S. aureus* strains. Various

412 authors have identified a correlation between strains containing the carotenoid pigment  
413 staphyloxanthin and the ability to survive on surfaces exposed to natural sunlight (39),  
414 and it is well known that carotenoids function as antioxidants.  
415 Furthermore, staphyloxanthin has been shown to provide protection for pigmented *S.*  
416 *aureus* strains against ROS produced by phagocytes (40, 41).

417 **A**ugmentation of the clinical isolates of *S. aureus* with a series of well characterized  
418 strains from culture collections allowed us to correlate increased blue light killing times  
419 (as seen with MSSA\_10788) with colony pigmentation. Planktonic testing and  
420 assessment of the LD<sub>90</sub> values per colour group, show that the light sensitivity of the  
421 strongly orange pigmented strains is significantly different to the standard pale yellow  
422 strains (p-value <0.003) (Figure 3B). Therefore, although all species of *S. aureus* tested  
423 were susceptible to blue light, it is important to consider the effects of bacterial  
424 pigmentation when determining the required blue light exposure for effective  
425 decontamination.

426 **A**s well as differences in sensitivity, there were also several instances where blue light  
427 treatment increased the planktonic growth and biofilm seeding. For example, with  
428 ENTCL\_804, there was an increase of 18.7% in seeding after 15 minutes of blue light  
429 treatment. Light has been shown to facilitate growth when the wavelengths and dose  
430 are not appropriate (42), and Nussbaum *et al* (43) found that 810 nm and 905 nm  
431 improved the growth of *E. coli* and *S. aureus*, respectively. Furthermore, Mussi *et al* (44)  
432 reported that blue light treatment decreased motility and biofilm formation in *A.*  
433 *baumannii*, and increased pathogenesis when co-cultured with *Candida albicans* (a  
434 model for apoptosis in human alveolar macrophages) (45). However, there are several

435 important differences between studies; the fluence was considerably lower than in this  
436 study (1.32-1.89 mW/cm<sup>2</sup> verses 60 mW/cm<sup>2</sup>) and the light wavelength peaked at 460  
437 nm verses 400 nm, while the exposure was measured over 4 days verses 30 minutes.  
438 However, it does raise interesting questions on the effects of suboptimal light exposure  
439 on bacterial cells which should be looked into in future studies. Furthermore, the  
440 enhanced growth in our study warrants further investigation.

441 We found that, a correlation existed between the strains which were sensitive to blue  
442 light in planktonic state and those which produced larger amounts of biofilm in the CV  
443 assay. The reason for this observation is not clear in this investigation; however we  
444 hypothesise that this indicates that blue light selective pressure may exist in  
445 environmental niches where protection as a biofilm might provide benefits against other  
446 stimuli that are likely to co-exist with blue light. The fact that we were unable to observe  
447 a correlation between biofilm and planktonic resistance to blue light might indicate that  
448 these mechanisms are functionally independent. Planktonic cells need to rely on their  
449 intrinsic transparency, pigmentation and repair to protect against blue light. In biofilm  
450 bacteria can rely on more extracellular exudate and neighbours to protect against blue  
451 light.

452 **To** the best of our knowledge, our work is one of the first to show the antibacterial  
453 activity of 400 nm light against a range of clinically relevant bacterial strains (as well as  
454 control strains), and one of just a handful to look at non-dental biofilms. The inclusion of  
455 multiple isolates is an additional strength of the paper as it allows correlations between  
456 phenotypic characteristics and blue light resistance to be explored. Although there are  
457 several limitations (monomicrobial biofilms tested instead of polymicrobial, no formal

458 assessment of the potential for the development for resistance, the relatively small  
459 number of isolates tested, and the potential biasing effect of the included resistant  
460 isolates), our work nonetheless provides valuable insights into this technology, and  
461 especially how it relates to the eradication of biofilms for environmental  
462 decontamination.

463 The findings in this paper demonstrate that high intensity blue light can be used to  
464 inactivate a wide range of clinical pathogens, not only in the planktonic state but also as  
465 mature biofilms. This technology has many practical applications within healthcare  
466 settings, as blue light may ameliorate opportunistic infections indirectly by reducing the  
467 bacterial load on environmental surfaces and directly within wounds. Future studies are  
468 warranted to investigate this further, and especially whether the exposure times of the  
469 400 nm blue light can be reduced for a range of different clinical applications. As blue  
470 light is equally efficacious against antibiotic resistant pathogens, this technology may  
471 prove an important weapon in the future fight against antimicrobial resistance.

472

473

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481

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489

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494

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620  
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623 **Figure Legends:**

624 **Figure 1.** Survival of planktonic bacteria after exposure to 400 nm blue light.

625 Strains: *A. Acinetobacter baumannii* strains: closed circles (• ACI\_616; open circles ACI\_618; closed  
626 triangles up ACI\_AYE; open triangles up ACI\_665; closed triangles down ACI\_19606; open triangles down  
627 ACI\_648; closed diamonds ACI\_659; open diamonds ACI\_C60; closed squares ACI\_671; open squares  
628 ACI\_672; closed hexagons ACI\_698; open hexagons ACI\_642.

629 *B. Staphylococcus aureus*: open circles MSSA\_10788; closed triangles up: MSSA\_F77; closed squares  
630 MRSA\_520; open triangles up MRSA\_531; closed circles MRSA\_508

631 *C. Stenotrophomonas maltophilia*: circles STEMA\_558; triangles up STEMA\_551; squares STEMA\_529

632 *D. Enterobacter cloacae*: circles ENTCL\_804; triangles up ENTCL\_801; squares ENTCL\_525

633 *E. Pseudomonas aeruginosa*: closed circles: PSE\_1586; open circles PSE\_PAO1; closed triangles up  
634 PSE\_568; closed squares PSE\_1054; open squares PSE\_6479

635 *F. Other*: *E. coli* EC\_042 open circles; *E. coli* EC\_073 closed circles; *K. pneumoniae* MDR-A open squares;  
636 *K. pneumoniae* MDR-B closed squares; *Elizabethkingia meningoseptica* open triangles up EKIN\_502;  
637 *Enterococcus faecium* EFM\_513 open triangles down

638 Data are averages  $\pm$  standard deviations ( $n = 3$ ).

639 **Figure 2.** Comparison of blue light LD<sub>90</sub> values between strains and species. Each  
640 individual circle represents the average LD<sub>90</sub> for each strain  $\pm$  standard deviations ( $n =$   
641 3). The average LD<sub>90</sub> value for each species is shown by horizontal lines.

643 **Figure 3. A.** Correlation between survival of planktonic *S. aureus* strains following blue  
644 light exposure, and cell pigmentation.

645 Orange carotenoid producing strains: closed circles MSSA\_4163; closed triangles down MSSA-33807;  
646 closed triangles up MSSA\_10788. Yellow non-carotenoid producing strains: open circles MSSA\_10442;  
647 open squares MSSA\_F77; open diamonds MRSA\_520; open triangles down MRSA\_531; open triangles  
648 up MRSA\_508; open hexagons MSSA\_29213. Data are averages  $\pm$  standard deviations ( $n = 3$ ).

649  
650 **B.** Comparison of blue light LD<sub>90</sub> values between yellow and orange pigmented *S.*  
651 *aureus* strains.

652 Each individual circle represents the average LD<sub>90</sub> for each strain  $\pm$  standard deviations ( $n = 3$ ). The  
653 average LD<sub>90</sub> value for yellow and orange pigmented strains is shown by horizontal lines.

654  
655 **Figure 4, A-C:** Graphs showing the biofilm seeding results for all isolates.

656 Optical density on the y axis refers to the average biofilm seeding for the isolates tested after  
657 exposure to blue light (BL) at the range of durations tested (in minutes) on the x axis. Positive  
658 control: refers to the average biofilm seeding of the dark incubated, non blue light exposed

659 isolates. Negative control: refers to a negative (broth only) control. The error bars represent the  
660 standard error.

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664 **Tables:**

665 **Table 1:** List of the clinical and control isolates used in this study.

666 **Table 2:** Antimicrobial effects of blue light on planktonic cells

667 **Table 3:** Average percentage change in biofilm seeding in isolates exposed to blue light

668 compared to non-exposed dark incubated controls

669

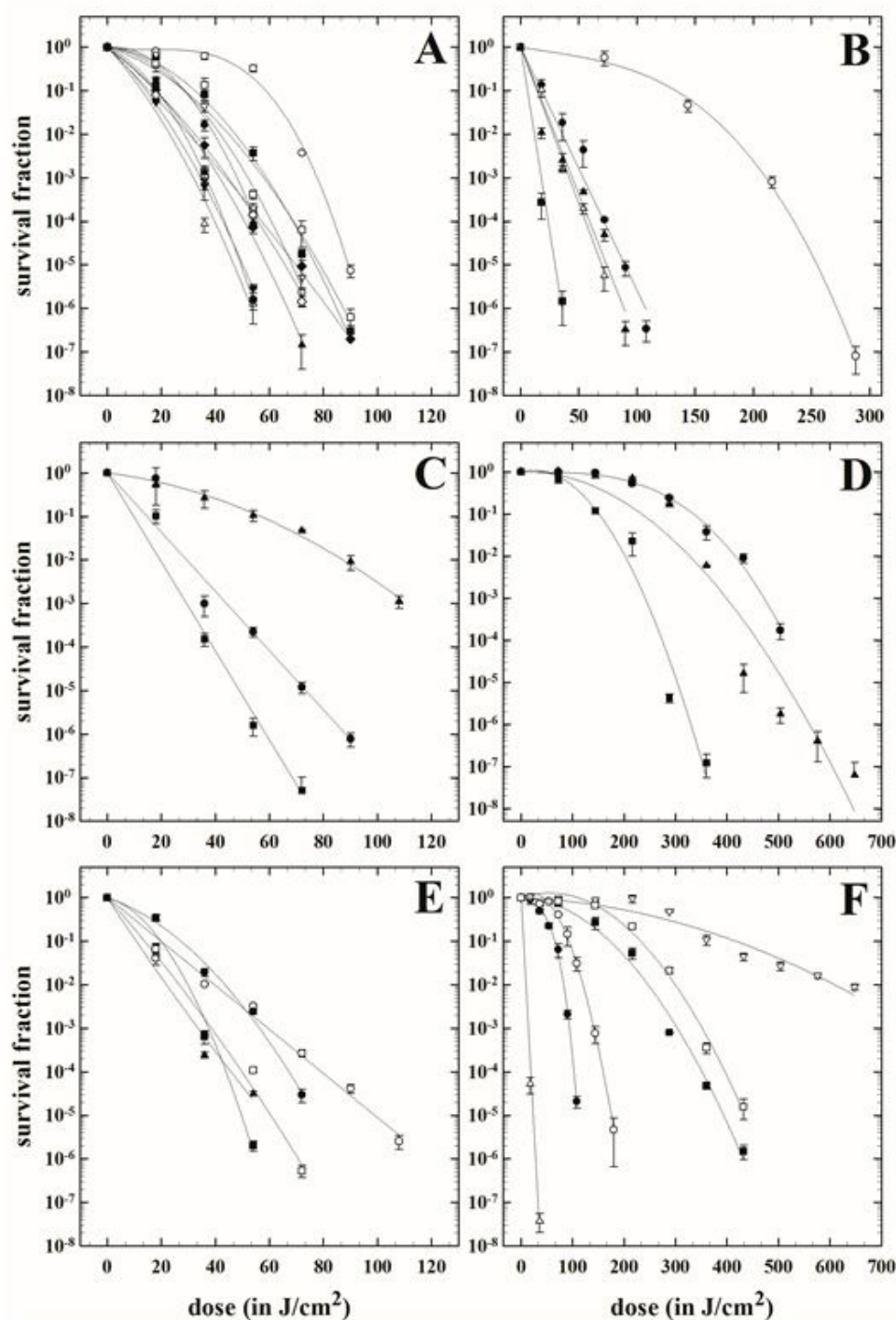
670

671 **Supplementary:**

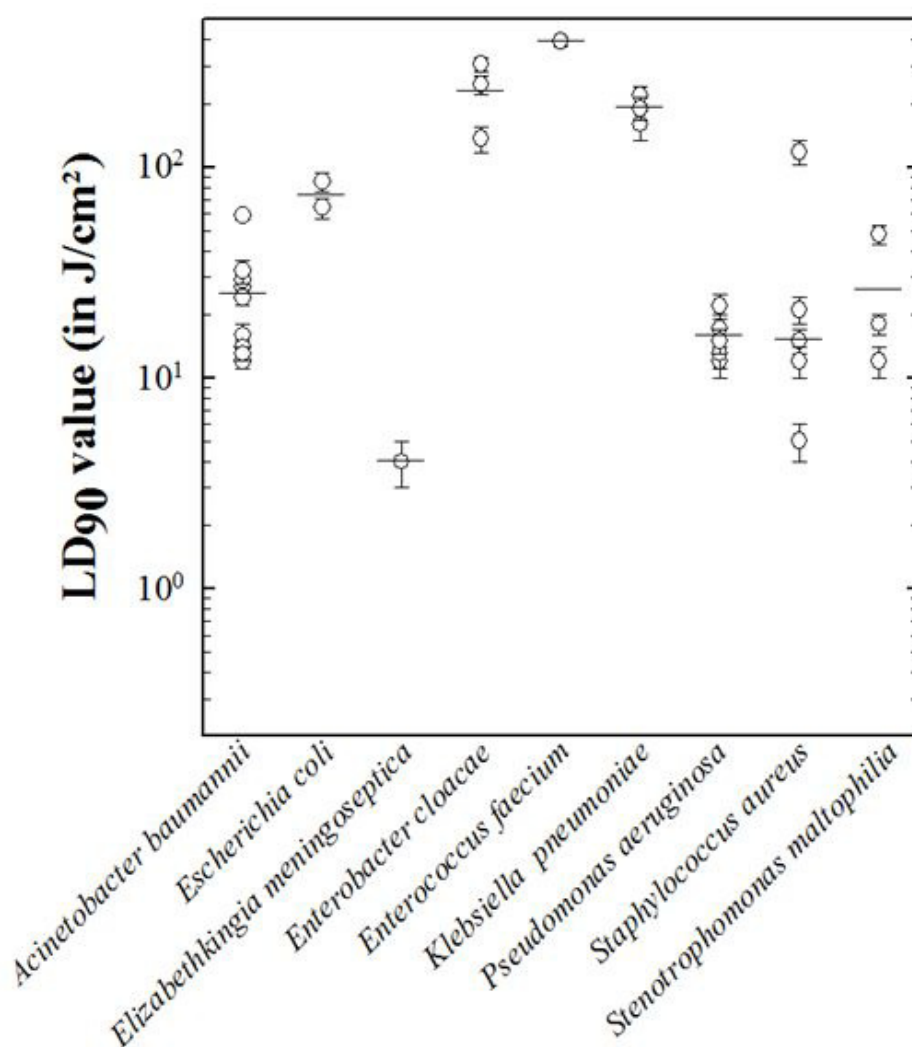
672 **Figure S1:** Emission spectrum of Henkel Loctite blue light array determined using an

673 Ocean Optics USB2000 spectrometer

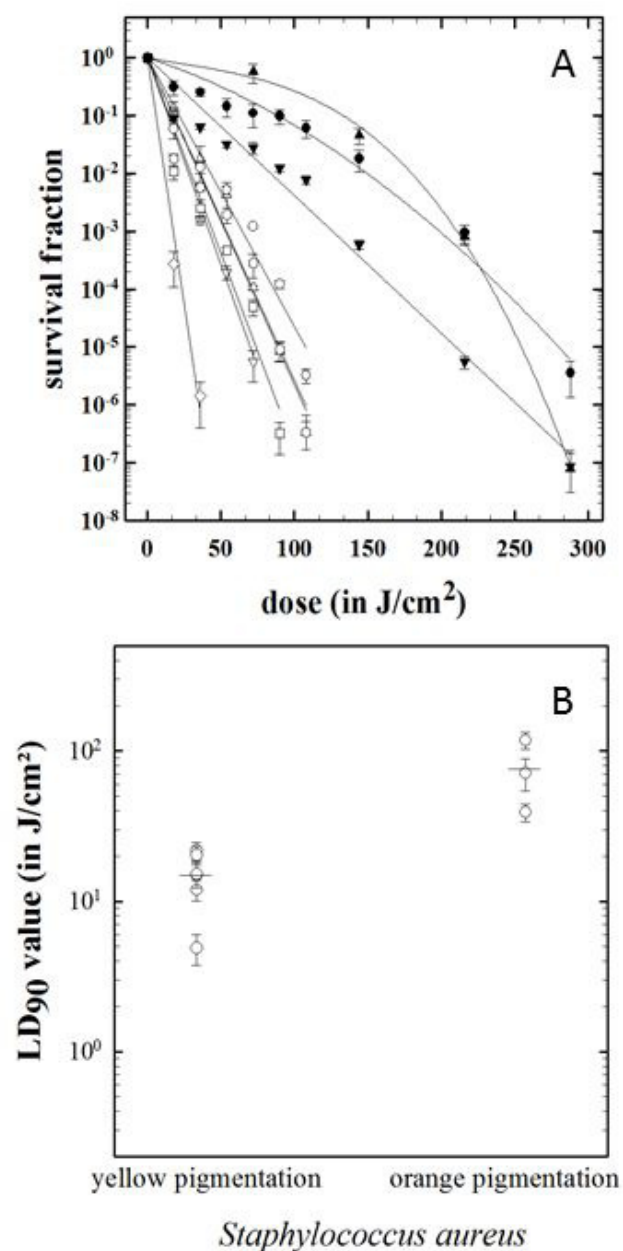




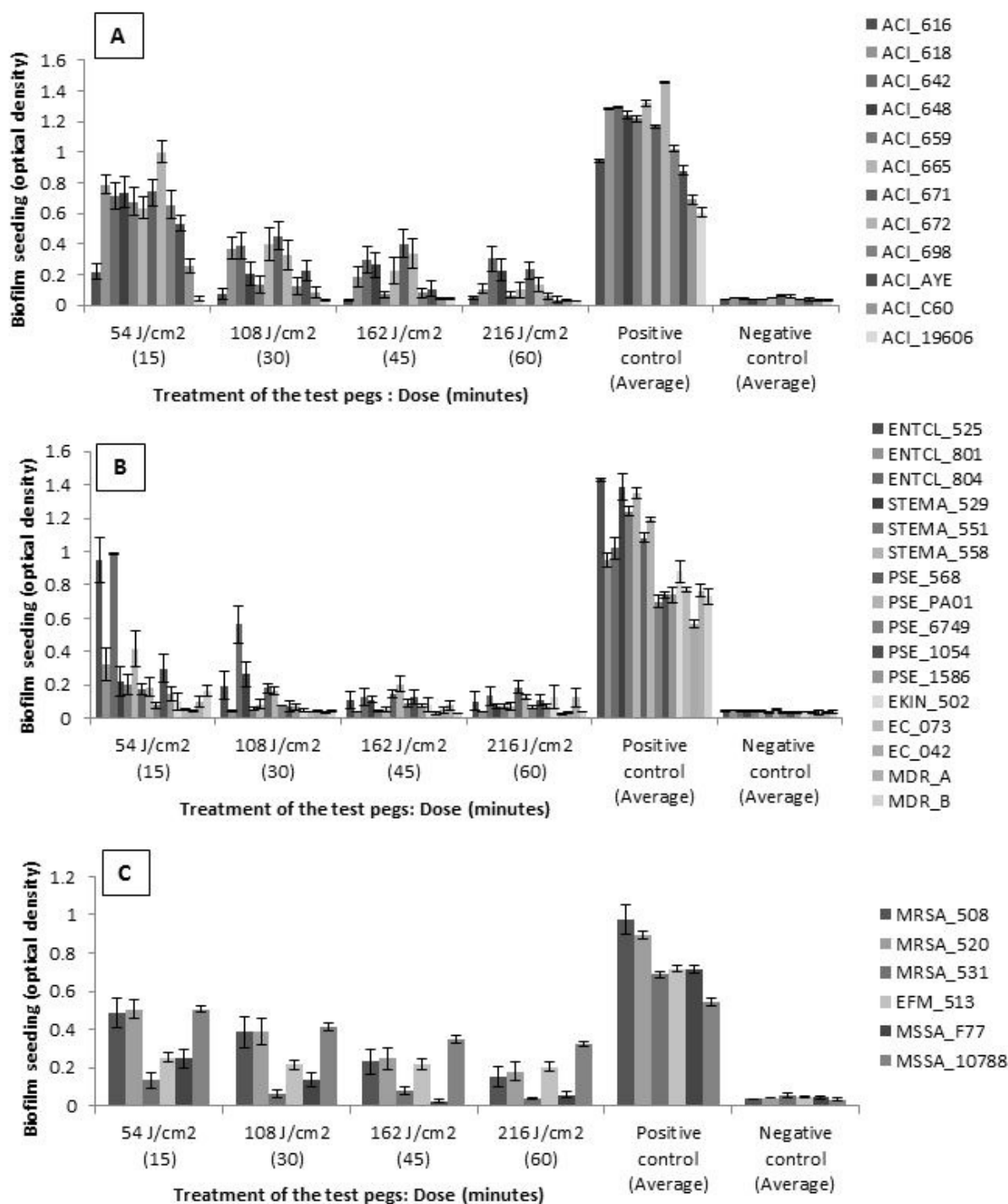
**Figure 1.** Survival of planktonic bacteria (in terms of survival fraction) after exposure to 400 nm blue light. **A:** *A. baumannii*, **B:** *S. aureus*, **C:** *S. maltophilia*, **D:** *E. cloacae*, **F:** Other Gram negative organisms.



**Figure 2:** Comparison of blue light LD<sub>90</sub> values between strains and species. Each individual circle represents the average LD<sub>90</sub> for each strain  $\pm$  standard deviations ( $n = 3$ ). The average LD<sub>90</sub> value for each species is shown by horizontal lines.



**Figure 3. A:** Correlation between survival of planktonic *S. aureus* strains following blue light exposure, **B:** Comparison of blue light LD<sub>90</sub> values between yellow and orange pigmented *S. aureus* strains.



**Figure 4, A-C: Graphs showing the biofilm seeding results for all isolates.** Optical density on the y axis refers to the average biofilm seeding for the isolates tested after exposure to blue light at the range of durations tested (in minutes) on the x axis. Positive control: refers to the average biofilm seeding of the dark incubated, non BL exposed isolates. Negative control: refers to a negative (broth only) control. The error bars represent the standard error.

**Table 1:** List of the clinical and control isolates used in this study.

Study Identifier	Organism	Description
ACI_616	<i>Acinetobacter baumannii</i>	QEHB clinical outbreak isolate
ACI_618	<i>Acinetobacter baumannii</i>	QEHB clinical outbreak isolate
ACI_642	<i>Acinetobacter baumannii</i>	QEHB clinical outbreak isolate
ACI_648	<i>Acinetobacter baumannii</i>	QEHB clinical outbreak isolate
ACI_659	<i>Acinetobacter baumannii</i>	QEHB clinical outbreak isolate
ACI_665	<i>Acinetobacter baumannii</i>	QEHB clinical outbreak isolate
ACI_671	<i>Acinetobacter baumannii</i>	QEHB clinical outbreak isolate
ACI_672	<i>Acinetobacter baumannii</i>	QEHB clinical outbreak isolate
ACI_698	<i>Acinetobacter baumannii</i>	QEHB clinical outbreak isolate
ACI_AYE	<i>Acinetobacter baumannii</i>	MPR Clinical Isolate (unique)
ACI_C60	<i>Acinetobacter baumannii</i>	NCTC_13424 (unique)
ACI_19606	<i>Acinetobacter baumannii</i>	ATCC_19606 (unique)
ENTCL_525	<i>Enterobacter cloacae</i> complex	QEHB clinical isolate
ENTCL_801	<i>Enterobacter cloacae</i> complex	QEHB clinical isolate
ENTCL_804	<i>Enterobacter cloacae</i> complex	QEHB clinical isolate
STEMA_529	<i>Stenotrophomonas maltophilia</i>	QEHB clinical isolate
STEMA_551	<i>Stenotrophomonas maltophilia</i>	QEHB clinical isolate
STEMA_558	<i>Stenotrophomonas maltophilia</i>	QEHB clinical isolate
PSE_568	<i>Pseudomonas aeruginosa</i>	QEHB clinical isolate
PSE_PA01	<i>Pseudomonas aeruginosa</i>	ATCC_15692
PSE_6749	<i>Pseudomonas aeruginosa</i>	NCTC_6749
PSE_1054	<i>Pseudomonas aeruginosa</i>	QEHB Clinical burn isolate
PSE_1586	<i>Pseudomonas aeruginosa</i>	QEHB Clinical burn isolate
EKIN_502	<i>Elizabethkingia meningoseptica</i>	QEHB clinical isolate
EC_073	<i>Escherichia coli</i>	EPEC CFT_073
EC_042	<i>Escherichia coli</i>	EAEC_042
MDR_A	CPE <sup>+</sup> <i>Klebsiella pneumoniae</i> (NDM-1 <sup>+</sup> positive)	QEHB Clinical isolate
MDR_B	CRE <sup>+</sup> <i>Klebsiella pneumoniae</i> (ESBL positive with additional permeability changes)	QEHB Clinical isolate
MRSA_508	<i>Staphylococcus aureus</i>	QEHB Clinical isolate
MRSA_520	<i>Staphylococcus aureus</i>	QEHB Clinical isolate
MRSA_531	<i>Staphylococcus aureus</i>	QEHB Clinical isolate
MSSA_10788	<i>Staphylococcus aureus</i>	NCTC_10788
MSSA_F77	<i>Staphylococcus aureus</i>	NCTC_8532
EFM_513	<i>Enterococcus faecium</i>	QEHB Clinical isolate
MSSA_29213	<i>Staphylococcus aureus</i>	ATCC_29213
MSSA_10442	<i>Staphylococcus aureus</i>	NCTC_10442
MSSA_33807	<i>Staphylococcus aureus</i>	ATCC_33807
MSSA_4163	<i>Staphylococcus aureus</i>	NCTC_4163



**Table 2:** Antimicrobial effects of blue light on planktonic cells

Isolate	Exposure time (minutes)	Irradiance mW/cm <sup>2</sup>	Dose J/cm <sup>2</sup>	Log <sub>10</sub> reduction	P-value	LD <sub>37</sub> value + J/cm2	LD <sub>90</sub> value + J/cm2
ACI_616	30	60	108	7.06	0.006	21 ± 2	27 ± 2
ACI_618	30	60	108	5.78	0.007	55 ± 4	59 ± 3
ACI_642	30	60	108	6.73	0.006	9 ± 1	16 ± 2
ACI_648	30	60	108	6.14	0.007	21 ± 2	29 ± 3
ACI_659	30	60	108	6.55	0.006	8 ± 1	16 ± 2
ACI_665	30	60	108	6.14	0.006	7 ± 1	12 ± 1
ACI_671	30	60	108	6.34	0.006	25 ± 2	32 ± 4
ACI_672	30	60	108	6.22	0.006	16 ± 2	24 ± 2
ACI_698	30	60	108	6.39	0.008	9 ± 1	14 ± 2
ACI_AYE	30	60	108	6.70	0.006	10 ± 1	16 ± 2
ACI_C60	30	60	108	6.76	0.007	7 ± 1	14 ± 1
ACI_19606	30	60	108	6.81	0.006	7 ± 1	13 ± 1
ENTCL_525	100	60	360	6.76	0.006	113 ± 12	136 ± 19
ENTCL_801	180	60	648	6.61	0.009	212 ± 20	246 ± 25
ENTCL_804	160	60	576	6.24	0.007	258 ± 18	306 ± 24
STEMA_529	30	60	108	7.21	0.006	7 ± 1	12 ± 2
STEMA_551	30	60	108	2.97	0.006	26 ± 3	48 ± 5
STEMA_558	30	60	108	7.33	0.006	8 ± 1	18 ± 2
PSE_568	30	60	108	6.48	0.002	6 ± 1	12 ± 2
PSE_PA01	30	60	108	5.59	0.001	6 ± 1	17 ± 3
PSE_6749	30	60	108	6.55	0.009	7 ± 1	13 ±
PSE_1054	30	60	108	6.01	0.002	9 ± 1	15 ± 2
PSE_1586	30	60	108	6.07	0.002	13 ± 2	22 ± 2
EKIN_502	15	60	54	6.79	0.006	1 ± 0.5	4 ± 3
EC_073	30	60	108	4.71	0.006	56 ± 4	64 ± 7
EC_042	30	60	108	1.55	0.006	74 ± 8	85 ± 9
MDR_A	140	60	504	6.88	0.002	124 ± 18	159 ± 25
MDR_B	140	60	504	6.61	0.007	185 ± 16	219 ± 22
MRSA_508*	30	60	108	6.17	0.002	12 ± 1	21 ± 3
MRSA_520*	15	60	54	6.82	0.002	1 ± 0.5	5 ± 1
MRSA_531*	30	60	108	6.41	0.001	7 ± 1	15 ± 2
MSSA_10788 ^	80	60	288	7.07	0.001	99 ± 12	118 ± 15
MSSA_F77*	30	60	108	6.76	0.006	3 ± 1	12 ± 2
EFM_513	180	60	648	1.86	0.007	277 ± 16	393 ± 20
<b>Additional <i>S. aureus</i> isolates (for pigmentation investigation)</b>							
ATCC_29213*	30	60	108	6.76	0.002	5 ± 1	15 ± 2
NCTC_10442*	30	60	108	6.69	0.002	8 ± 1	20 ± 2
ATCC_33807 ^	80	60	288	7.01	0.002	15 ± 2	40 ± 5
NCTC_4163 ^	80	60	288	6.07	0.003	38 ± 5	71 ± 6

Where \* yellow pigmentation, ^ orange pigmentation, + LD37 and LD90 values are expressed ± standard deviation

**Table 3:** Average percentage change in biofilm seeding in isolates exposed to blue light compared to non-exposed dark incubated controls

Study Identifier	Average change in biofilm seeding (%) with blue light exposure			
	15 minutes (54 J/cm <sup>2</sup> )	30 minutes (108 J/cm <sup>2</sup> )	45 minutes (162 J/cm <sup>2</sup> )	60 minutes (216 J/cm <sup>2</sup> )
ACI_616	-75.9	-92.4	-96.5	-94.5
ACI_618	-39	-71.1	-85.6	-91.3
ACI_642	-45.6	-69.8	-77.3	-76.3
ACI_648	-43.7	-83	-78.2	-81.4
ACI_659	-47.1	-88.6	-94.1	-94.3
ACI_665	-53.9	-69.9	-82.5	-92
ACI_671	-37.4	-60.6	-65.7	-79.9
ACI_672	-31.1	-77.7	-76.5	-90.7
ACI_698	-36.7	-87.3	-92.2	-93.7
ACI_AYE	-41.9	-76.2	-86.7	-95.5
ACI_C60	-60.4	-89	-93.3	-94.8
ACI_19606	-93.5	-94.6	-93.2	-94.3
ENTCL_525	-34.9	-86.1	-92.2	-92.6
ENTCL_801	-61.3	-94.6	-95.6	-96.4
ENTCL_804	+18.7	-46.6	-88.2	-87.8
STEMA_529	-80.7	-81	-92.4	-95.1
STEMA_551	-84.5	-95.1	-96.2	-94
STEMA_558	-71	-93.3	-96.2	-94.7
PSE_568	-83.9	-82.8	-87.2	-81.8
PSE_PA01	-83.7	-86.2	-82.8	-89.5
PSE_6749	-88.9	-90.3	-87.1	-88.9
PS_1054	-58.3	-90.7	-83.2	-84.3
PSE_1586	-80.3	-92.0	-89.4	-88.8
EKIN_502	-85.8	-94.8	-91.6	-86.5
EC_073	-93.0	-94.6	-96.2	-96.2
EC_042	-92.1	-91.3	-92.1	-93.4
MDR_A	-87.4	-96.0	-89.2	-82.4
MDR_B	-75.3	-95.0	-95.8	-94.3
MRSA_508	-59.5	-58	-73.7	-83.3
MRSA_520	-44.5	-57.7	-73.2	-78.8
MRSA_531	-81.6	-91.2	-88	-93.7
MSSA_10788	-5.0 ^	-30.9	-36.3	-34.6
MSSA_F77	-67.8	-79.6	-96.4	-92.0
EFM_513	-66.3	-69.3	-68.2	-72.2

^ p value = 0.15. Shading denotes reductions of at least 80% in biofilm seeding compared to the positive control