# UNIVERSITY<sup>OF</sup> BIRMINGHAM University of Birmingham Research at Birmingham

## Natural course of pain and disability following primary lumbar discectomy: protocol for a systematic review and meta-analysis

Rushton, A; Heneghan, N; Heijmans, MW; Staal, JB; Goodwin, P

DOI: 10.1136/bmjopen-2015-010571

License: Creative Commons: Attribution-NonCommercial (CC BY-NC)

Document Version Publisher's PDF, also known as Version of record

Citation for published version (Harvard):

Rushton, A, Heneghan, N, Heijmans, MW, Staal, JB & Goodwin, P 2016, 'Natural course of pain and disability following primary lumbar discectomy: protocol for a systematic review and meta-analysis', *BMJ open*, vol. 6, e010571. https://doi.org/10.1136/bmjopen-2015-010571

Link to publication on Research at Birmingham portal

#### **General rights**

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

•Users may freely distribute the URL that is used to identify this publication.

•Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

•User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?) •Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

#### Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

# **BMJ Open** Natural course of pain and disability following primary lumbar discectomy: protocol for a systematic review and meta-analysis

A Rushton,<sup>1</sup> N Heneghan,<sup>1</sup> M W Heijmans,<sup>2,3</sup> J B Staal,<sup>4</sup> P Goodwin<sup>5</sup>

#### ABSTRACT

**To cite:** Rushton A, Heneghan N, Heijmans MW, *et al.* Natural course of pain and disability following primary lumbar discectomy: protocol for a systematic review and meta-analysis. *BMJ Open* 2016;**6**:e010571. doi:10.1136/bmjopen-2015-010571

Prepublication history for this paper is available online. To view these files please visit the journal online (http://dx.doi.org/10.1136/ bmjopen-2015-010571).

Received 19 November 2015 Revised 21 January 2016 Accepted 26 January 2016



For numbered affiliations see end of article.

Correspondence to Dr A Rushton; a.b.rushton@bham.ac.uk **Introduction:** Knowledge about the natural clinical course is needed to improve understanding of recovery postsurgery as outcome is poor for some patients. Knowledge of the natural clinical course of symptoms and disability will inform optimal timing and the nature of rehabilitation intervention. The objective of this study is to provide first evidence synthesis investigating the natural clinical course of disability and pain in patients aged >16 years post primary lumbar discectomy.

Methods and analysis: A systematic review and data synthesis will be conducted. Prospective cohorts that include a well-defined inception cohort (point of surgery) of adult participants who have undergone primary lumbar discectomy/microdiscectomy will be included. Outcomes will include measurements reported on 1 or more outcomes of disability and pain, with a baseline presurgery measurement. Following development of the search strategy, 2 reviewers will independently search information sources, assess identified studies for inclusion, extract data and assess risk of bias. A third reviewer will mediate on any disagreement at each stage. The search will employ sensitive topic-based strategies designed for each database from inception to 31 January 2016. There will be no language or geographical restrictions. Risk of bias will be assessed using a modified QUality In Prognostic Studies (QUIPS) tool . Data will be extracted for time points where follow-up was at least 80%. Means and 95% CIs will be plotted over time for pain and disability. All results will be reported in the context of study quality. Ethics and dissemination: This review will provide the first rigorous summary of the course of pain and disability across all published prospective cohorts. The findings will inform our understanding of when to offer and how to optimise rehabilitation following surgery. Results will be published in an open access journal. The study raises no ethical issues.

**PROSPERO registration number:** CRD42015020806.

### BACKGROUND

#### Rationale

Eighty per cent of the population is affected by low back pain at some point within their

#### Strengths and limitations of this study

- Systematic review and meta-analysis of prospective cohort studies.
- First rigorous evidence synthesis investigating the natural clinical course of disability and pain in patients following primary lumbar discectomy.
- Potential inclusion of large populations with multiple outcome assessment points and the use of a good consistency of measures to assess pain and disability.
- Limitations may include issues of poor reporting affecting risk of bias assessment and confidence in results.

lifetime<sup>1</sup>, contributing to estimates of £10.7 billion annually for lost productivity and sickness/disability benefit.<sup>2</sup> The largest single component of expenditure (31%) for management of low back pain is surgery.<sup>2</sup> A common surgical procedure is lumbar discectomy to excise prolapsed intervertebral disc material when causing severe leg pain. In the UK National Health Service, primary lumbar discectomy operations have increased from 7043 (2001/2002 financial year) to 8478 in the 2013/2014 financial year.<sup>3</sup> Paralleling this increase, the mean hospital stay has reduced from 6.6 days (1999-2000) to 2.3 days (2013/2014).<sup>3</sup> International data provide annual estimates of 12 000 operations in the Netherlands<sup>4</sup> and 287 122 in the USA.<sup>5</sup>

Although lumbar discectomy success rates are reported as high (46–75% at 6–8 weeks, and 78–95% at 1–2 years postsurgery),<sup>6</sup> ongoing problems are an issue for a substantial number of patients. The evidence suggests 30–70% of patients continue to experience pain,<sup>7</sup> and that 3–12% required further surgery.<sup>8</sup> Approximately, 14% of patients required revision surgery in the UK in 2013/2014 (1164 operations).<sup>3</sup> Ongoing

**BMJ** 

problems are a key issue for this patient population from a quality of life perspective, particularly owing to the mean age for surgery of 45 years being of working age.

Rehabilitation for this population is also problematic, with documented variability of surgeon and physiotherapist advice and management post operatively.<sup>9</sup> <sup>10</sup> Whether patients receive rehabilitation is dependent on where they live and local practices. If they do receive rehabilitation, the content and number of sessions varies considerably.<sup>10</sup>

Our recent systematic review (16 trials) evaluating effectiveness of all physiotherapy interventions postprimary single level lumbar discectomy<sup>11</sup> and an updated Cochrane systematic review (22 trials) of rehabilitation programmes (including physiotherapy) postlumbar disc surgery<sup>6</sup> identified variability of timing of interventions and outcomes as a key issue. Statistical pooling was limited, but meta-analyses suggested a short-term positive effect of physiotherapy on pain, function and disability starting 4-6 weeks postsurgery, and a potential benefit from more intensive exercise interventions. However, the influence of the natural course of pain and disability following the operation on outcomes is unclear, and this identifies a wider issue that a clear understanding of the natural course is required to inform effective management. In addition, very different definitions of recovery are used in the literature making it difficult to obtain pooled estimates of recovery rates. Postoperative rehabilitation could possibly be harmful for patients if outcomes of the natural clinical course are better than outcomes of rehabilitation interventions. Additionally, a clear trend optimal timing in recovery could indicate for rehabilitation.

To enhance our understanding and inform future research, detail of the natural clinical course of pain and disability following lumbar discectomy is required. This knowledge is important as future research needs to evaluate how intervention outcomes relate to the natural course. To date, there has been no systematic review collating these data in this population.

#### **Objective**

To investigate the natural clinical course of pain and disability<sup>12</sup> in patients aged >16 years post first-time lumbar discectomy. If possible, subgroup analyses will be conducted for type of surgery, duration of symptoms prior to surgery and age at time of surgery.<sup>13</sup>

#### METHODS/DESIGN Methodology

This protocol following method guidelines by the Cochrane Back and Neck Group,<sup>14</sup> Cochrane Handbook<sup>15</sup> and PRISMA-P<sup>16</sup> will inform the conduct of a systematic review. The protocol is registered with PROSPERO: CRD42015020806.<sup>17</sup>

#### Amendments

It was initially planned to investigate the natural history of a wider range of outcomes. However, the scoping search identified a greater number of prospective studies than anticipated. The protocol was revised, on 26 May 2015, to reflect a focus on disability and pain outcomes, and revised on 20 January 2016 to further develop search terms/databases, amend search dates and plans for data synthesis; and another author was recruited to provide statistical guidance.

#### **Eligibility criteria**

#### Participants

Adult patients who have undergone first-time lumbar discectomy/microdiscectomy/automated percutaneous discectomy, with no complications (eg, general (anaesthetic, cardiopulmonary and thromboembolic) and surgical including cauda equina),<sup>18</sup> and aged >16 years. Studies including participants undergoing revision surgery will be excluded if data cannot be obtained for the first-time surgery participants only. All clinical settings and providers were included. Any reported treatments postsurgery will be recorded carefully and evaluated as part of the risk of bias assessment.

#### Outcome measures

Measurements reported on one or more outcomes of pain and disability,<sup>12</sup> with a baseline presurgery measurement.

#### Studies

Inception prospective cohort studies that included a well-defined inception cohort (episode inception, ie, point of surgery) of participants. The prospective cohort is the preferred design to enable control of unwarranted influences, and enables a stronger case for cause and effect relationships to be postulated.

#### **Information sources**

The search will employ sensitive topic-based strategies designed for each database from inception to 31 January 2016. There will be no language or geographical restrictions.

Databases:

- ► CINAHL (via EBSCOhost 1981–);
- ▶ EMBASE (via EBSCOhost 1974–);
- ► PubMed;
- ▶ MEDLINE (via OVIDSP 1946–);
- ► ZETOC (1993–);
- ► Scopus (1996–);
- ► TRIP (non-Premium version);
- Science Citation Index and Social Science Citation Index (*journal search terms: Spine, neurology, orthopaedics*);
- ► An additional search of the Cochrane Back and Neck Group website (http://back.cochrane.org/ our-reviews), Cochrane Database of Systematic Reviews, and MEDLINE will identify any relevant

2

systematic reviews to enable checking of their reference lists.

Unpublished research:

- British National Bibliography for Report Literature (search terms: spine, disc, discectomy, surgery, sciatica);
- Ethos (search terms: spine, disc, discectomy, surgery, sciatica);
- ► OpenGrey (see Boolean search, box 1).

#### Search strategy

The search strategy will include (1) the study population terms suggested by the Cochrane Back and Neck Group, and (2) a strategy for searching MEDLINE for prognosis studies.

Study population terms:

Population: Leg pain and/or low back pain

('leg pain' OR 'back pain' OR exp backache OR 'low-back pain' OR sciatica OR 'sciatic neuropathy' OR lumbago OR 'back disorders' OR dorsalgia).

AND

Target condition: Prolapsed intervertebral disc

('disc adj degeneration' OR 'disc adj prolapse' OR 'disc adj herniation' OR 'intervertebral disc\$' OR radiculopathies[mesh] OR 'nerve root compression'[mesh]).

AND

Intervention: lumbar discectomy

(discectom\* OR diskectom\* OR microdisc\* OR microdisc OR microdisk\* OR micro-disk\* OR nucleotomy [mesh] OR nucleotomies[mesh]).

AND

Methodology: prospective cohort studies

(inception OR survival OR 'life tables' OR 'log rank' OR prospective OR cohort OR 'follow-up' OR 'follow-up study').

Examples of searches that will be used include: MEDLINE OvidSP advanced search (box 2), OpenGrey and EBSCOhost Boolean search (box 1) and SCOPUS search (table 1). Syntax (truncation, wildcards and quotation marks) and operators will be amended according to the specific databases.

Reference list searches of all relevant publications will take place online where accessible. The reference lists of articles not available online will be searched manually.

#### **Box 1** OpenGrey/EBSCOhost search strategy

('leg pain' OR 'back pain' OR backache OR 'low-back pain' OR sciatica OR 'sciatic neuropathy' OR lumbago OR 'back disorders' OR dorsalgia) AND ('disc degeneration' OR 'disc prolapse' OR 'disc herniation' OR 'intervertebral disc\$' OR radiculopathies OR nerve root compression) AND (discectom\* OR diskectom\* OR microdisc\* OR micro-disc OR microdisk\* OR micro-disk\* OR nucleotomy OR nucleotomies) AND (inception OR survival OR 'life tables' OR 'log rank' OR prospective OR cohort OR 'follow-up' OR 'follow up study') No filters will be applied, so where feasible, duplicates will be removed. Authors of grey literature will be contacted when conference abstracts and proceedings are found.

#### **Study records**

#### Data management

Records will be managed through EndNote; specific software for managing bibliographies.

#### Selection process

Two reviewers (AR/PG) will search information sources independently and assess identified studies for inclusion, facilitated by grading each eligibility criterion as eligible/not eligible/might be eligible.<sup>19</sup> The full text of a study will be reviewed and the study considered potentially relevant when it cannot be clearly excluded on the basis of its title and abstract alone<sup>20</sup> following discussions between the two independent reviewers. Full text will be obtained for abstracts with insufficient information or in a situation of disagreement. A study will be included when both reviewers independently assess it as satisfying the inclusion criteria from the full text. A third reviewer (NH) will mediate in the event of disagreement following discussion.<sup>14</sup> The process of decision-making for inclusion based on the eligibility criteria will be initially piloted on five articles to ensure that the criteria and interpretation of studies work effectively. The PRISMA flow diagram<sup>21</sup> will document included and excluded studies, along with the reasons for exclusion.

#### Data collection process

Using a standardised form, two reviewers (AR/PG) will extract the data independently. A third reviewer (NH) will check the data for consistency and clarity. Any discrepancies in the data will be discussed and amended. The standardised form was iteratively developed and will be pilot tested on  $\geq$ 5 papers by the two reviewers.

#### **Data items**

Data extracted for each cohort will include the summary data detailed in table 1.

#### **Outcomes and prioritisation**

Outcomes of interest were predefined as tools to measure pain and disability, as reflected in the domains from the WHO's International Classification of Functioning, Disability and Health.<sup>12</sup> Outcomes with established measurement properties (reliability, validity, responsiveness) and providing continuous data will be considered sufficiently similar to allow statistical pooling. Outcomes will be presented short term ( $\leq$ 3 months follow-up), medium term (>3,  $\leq$ 12 months) and long term (>12 months). Short-term outcomes, reflecting the early postoperative period, and long-term outcomes are considered the time points of main interest.

Stages and detail of search strategy

1. 'leg pain.'mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

2. 'back pain.'mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

3. backache.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

4. 'low-back pain.'mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

5. sciatica.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

6. 'sciatic neuropathy.'mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

7. lumbago.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

8. 'back disorder\$.'mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

9. dorsalgia.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

10. 1 or 2 or 3 or 4 or 5 or 6 or 7 or 8 or 9

11. (disc adj degeneration).m\_titl.

12. exp spine/

13. (disc adj degeneration).mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

14. (disc adj prolapse).mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

15. (disc adj herniation).mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

16. 'intervertebral disc\$.'mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

17. radiculopathy.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

18. radiculopathies.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

19. 11 or 12 or 13 or 14 or 15 or 16 or 17 or 18

20. diskectom\$.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

21. discectom\$.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

22. microdisk\$.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

23. micro-disk\$.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

24. microdisc\$.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

25. micro-disc\$.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

26. (disc adj4 surgery).mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

27. nucleotomy.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

28. nucleotomies.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

29. 20 or 21 or 22 or 23 or 24 or 25 or 26 or 27 or 28

30. inception.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

31. survival.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

Continued

#### Box 2 Continued

32. 'life tables.'mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

33. 'log rank.'mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

34. prospective.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

35. cohort.mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

36. 'follow-up study.'mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]

37. 30 or 31 or 32 or 33 or 34 or 35 or 36

38. 10 and 19 and 29 and 37

#### Risk of bias in individual studies

Risk of bias for each included prospective cohort study will be independently assessed by the same initial reviewers. The third reviewer will again mediate in situations of disagreement. Cohen's ĸ will be used to assess agreement between reviewers. All tools and processes will be piloted a priori on  $\geq 5$  studies. Risk of bias will be assessed using a modified QUality In Prognostic Studies (QUIPS) tool.<sup>22</sup> The QUIPS tool was designed to assess risk of bias in prognostic factor studies that ideally use a prospective cohort design. The wording of key issues in some sections required revision; and as the prognostic factors section was not relevant to this review it was subsequently removed. These modifications were also informed by Pengel *et al*<sup>23</sup> who in their review of the prognosis of low back pain, including its natural course, collated six validity criteria from the existing literature. Through an iterative process the modified tool was developed and agreed. The definitive tool consists of eight components as detailed in table 1. A risk of bias, low, moderate or high, will be provided for each component in line with QUIPS<sup>22</sup>; a narrative summary will be included in tabular form as illustrated by the example included in table 2. A critical evaluation of study risk of bias will be presented in the context of its impact on study results. This will be achieved through summarising the assessment of risk of bias items within each study, and across studies for each time point.

#### **Data synthesis**

If enough studies are included, a meta-analysis will be conducted using the disability and pain outcome data. Authors will be contacted to request either raw data, or additional summary statistics for those reported when data or details of variance are missing. Data will be extracted for time points where follow-up is at least 80%.<sup>23</sup> Continuous outcome data will be presented in the original scale or converted to a 0-100 scale. When means or medians are not available, the midpoint of the range will be used. Means and 95% CIs will be plotted over time for pain and disability. When outcome data can be pooled across studies and follow-up time points (ie, short term, intermediate term and long term), n weighted pooled means will be used.<sup>23</sup> If included studies have provided variance data, the variance weighted mean will be used in the meta-analyses. In the situation that several studies do not provide variance data, the n weighted mean will be used. Day 1 (ie, day of surgery) will be taken

Table 1 Data extraction variables	
Content	Data items
Prospective study information	Author(s)
	Year of publication
Surgical procedure	Description of surgical procedure, for example, discectomy, microdiscectomy
Mean duration of symptoms	Mean and SD in months for duration of symptoms prior to surgery
Number of participants	N=?
Setting	Nature of clinical setting
	Country
Intervention during follow-up phase	Reported surgical, pharmacological or conservative management during follow-up phase
Pain outcome measure	Detail of pain outcome measure
Disability outcome measure	Detail of disability outcome measure
Baseline	Detail of preoperative timing of baseline assessment
Follow-up assessment points	Detail of timing of postoperative timing of follow-up assessments
Losses to follow-up	Detail of losses to follow-up at postoperative assessment points
Results	Mean and SD of outcomes at baseline and follow-up assessment points

Study	Study participation <sup>22</sup> Representative sample <sup>23</sup> Data related to outcome may be different for participants and eligible non-participants/ participants selected by random selection or as consecutive cases	Defined sample <sup>23</sup> Description of source of participants and evaluation of inclusion and exclusion criteria	Study attrition/ complete follow-up <sup>22</sup> Data related to outcome may be different for completing and non-completing participants	Outcome measurement <sup>22</sup> Measurement of the outcome may be different related to the baseline level	Study confounding <sup>22</sup> Outcome may be distorted by another factor related to outcome	Statistical analysis and reporting <sup>22</sup> Reported results may be spurious or biased related to analysis or reporting	Provision of data <sup>23</sup> Studies must provide raw data, percentages, or continuous outcomes	Blinded outcome <sup>23</sup> Assessor blinded and unaware of other measures at time of outcome was measured	Overall statement of risk of bias Number of low, moderate and high ratings
Example study	Moderate ROB Some eligibility criteria, for example, prolapse <6 mm may contribute to potential participants being excluded Suggests consecutive patients were considered	Moderate ROB Clear eligibility criteria based on detailed physical examination and radiology findings	Moderate ROB No losses to follow-up at 6 months 8 (16%) patients lost to follow-up at 12 months	Low ROB VAS 0–10 in cm Established measurement properties Measure standardised by independent assessor	Moderate ROB Possible interventions not reported	Low ROB Sufficient presentation of data No selective reporting of results	Low ROB Raw data, mean and SD reported	Low ROB Independent assessor collected data	Low 4 Moderate 4 High 0

Table 2 ROB assessment (adapted from QUIPS<sup>22</sup> and Pengel et al<sup>23</sup>)

as the reference time. The influence of potential predictor variables will be explored where possible using metaregression analyses. Predictor variables of interest identified a priori include type of surgery, duration of symptoms prior to surgery, age at time of surgery, level of education, work satisfaction, coexistence of psychological complaints, evidence of passive avoidance coping function,<sup>24</sup> level of preoperative pain and duration of sick leave (A Rushton, K Zoulas, A Powell, *et al.* Physical prognostic factors in lumbar discectomy surgery (PROSPERO 2015:CRD42015024168). In the case of significant findings, analyses per subgroup will be presented alongside the overall analyses.<sup>13</sup>

#### **Metabiases**

Assessment of any publication bias across studies will be included. This will involve an analysis of consistency between published protocols and study findings, a detailed search for unpublished studies, and consideration of competing interests from various research groups. Results will be reported narratively.

#### **Confidence in cumulative evidence**

The strength of the overall body of evidence will be assessed using the Grading of Recommendations Assessment, Development and Evaluation (GRADE) method. A systematic review of cohort studies within the field of prognosis has previously been argued at the start as evidence of high quality.<sup>25</sup> Iorio *et al*<sup>25</sup> support that GRADE's five domains of rating quality down (risk of bias and publication bias as detailed above, and imprecision, inconsistency and indirectness) and up (adaptation of two (large effect, dose-response gradient) of the three GRADE domains) apply equally to studies investigating prognosis. The GRADE domains of interest will be adapted for cohort studies as recommended by Iorio et al.<sup>25</sup> This will enable a consistent method for evaluating confidence in estimates from the included studies in the review.

#### DISCUSSION

This systematic review will, through a rigorous methodology, identify and examine studies reporting the natural course of pain and disability over time following the first lumbar discectomy. No systematic review has previously addressed this objective although Parker *et al*<sup>26</sup>, in a recent synthesis across all published cohorts, did evaluate the frequency of recurrent symptoms and reoperation following lumbar discectomy. They identified that ongoing leg/back pain was a problem for 3–34% patients in the short term (6–24 months, 39 cohorts, n=8156 patients), and for 5–36% patients in the long term (>24 months, 28 cohorts, n=6255). The incidence of recurrence (70 cohorts, n=18 085) of herniation ranged from 0% to 23%.<sup>26</sup>

Although risk of bias and overall level of evidence may limit analyses and confidence in this review's conclusions, this best evidence synthesis will provide a better understanding of the natural course of patient recovery postsurgery.

#### Implications of results

This review will provide the first rigorous summary of the course of pain and disability across all published prospective cohorts of adult patients following first lumbar discectomy. The findings will inform our understanding of when to offer and how to optimise rehabilitation for pain and disability following surgery.

#### Author affiliations

<sup>1</sup>School of Sport, Exercise and Rehabilitation Sciences, College of Life and Environmental Sciences, University of Birmingham, Birmingham, UK <sup>2</sup>Department of Epidemiology and Biostatistics, VU University Medical Center, Amsterdam, The Netherlands

<sup>3</sup>Faculty of Earth and Life Sciences, Department of Health Sciences, Section Methodology and Applied Biostatistics, VU University, Amsterdam, The Netherlands

<sup>4</sup>Scientific Institute for Quality of Healthcare (IQ Healthcare), Nijmegen, The Netherlands

<sup>5</sup>Health Professions Department (Physiotherapy), Manchester, UK

**Contributors** AR is chief investigator leading the protocol development, analyses and dissemination. JBS and MWH are overseeing data analysis. All authors contributed to methodological decisions, interpretation, conclusions and dissemination. AR drafted the initial manuscript. All reviewers have read, contributed to and agreed on the final manuscript. AR is the guarantor.

Competing interests None declared.

Provenance and peer review Not commissioned; externally peer reviewed.

**Open Access** This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: http:// creativecommons.org/licenses/by-nc/4.0/

#### REFERENCES

- WHO. WHO Technical Report Series. The burden of musculoskeletal conditions at the start of the new millennium. Geneva: WHO, 2003.
- Maniadakis N, Gray A. The economic burden of back pain in the UK. Pain 2000;84:95–103.
- HES online. All procedures and interventions 2013/14. 3 character. All procedures [V33]. http://www.hscic.gov.uk/hes (accessed 3 Mar 2015).
- van Beek E, Lemmens K, van Schooten G, et al. Reduceren van praktijkvariatie: budgettaire effecten van scherpere indicatiestelling. Reduceren van praktijkvariatie: budgettaire effecten van scherpere indicatiestelling. Breukelen: Plexus, 2010.
- Sherman J, Cauthen J, Schoenberg D, *et al.* Economic impact of improving outcomes of lumbar discectomy. *Spine J* 2010;10: 108–16.
- Oosterhuis T, Costa LOP, Maher CG, et al. Rehabilitation after lumbar disc surgery. Cochrane Database Syst Rev 2014;(3: CD003007.
- Ostelo RWJG, Goossens MEJB, de Vet HCW, et al. Economic evaluation of a behavioral-graded activity program compared to physical therapy for patients following lumbar disc surgery. *Spine* 2004;29:615–22.
- 8. CBO. *The lumbosacral radicular syndrome, Dutch Institute for Healthcare Improvement.* Centraal Begeleidings Orgaan Report. 2008.
- McGregor AH, Burton AK, Sell P, *et al*. The development of an evidence-based patient booklet for patients undergoing lumbar discectomy and un-instrumented decompression. *Eur Spine J* 2007;16:339–46.

#### **Open Access**

- Williamson E, White L, Rushton A. A survey of post-operative 10 management for patients following first time lumbar discectomy. Eur Spine J 2007;16:795-802.
- Rushton A, Wright C, Goodwin P, et al. Physiotherapy rehabilitation 11. post first lumbar discectomy: a systematic review and meta-analysis of randomised controlled trials. *Spine* 2011;36:E961–72. WHO. *International classification of functioning, disability and health:*
- 12. ICF. Geneva: World Health Organisation, 2001.
- 13. North American Spine Society. Clinical guidelines for multidisciplinary spine care diagnosis and treatment of lumbar disc herniation with radiculopathy. Burr Ridge, IL: North American Spine Society, 2012.
- 14 Furlan AD, Pennick V, Bombardier C, et al. 2009 Updated method guidelines for systematic reviews in the Cochrane Back Review Group. Spine 2009:34:1929-41.
- Higgins JP, Green S, eds. Cochrane handbook for systematic 15. reviews of interventions version 5.1.0 [updated March 2011]. The Cochrane Collaboration, 2011. http://www.cochranehandbook.org
- Shamseer L, Moher D, Clarke M, et al. Preferred reporting items for 16 systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. *BMJ* 2015;349:g7647.
- Rushton A, Goodwin P, Heneghan N, et al. The natural course of 17. symptoms following first time lumbar discectomy: systematic review and meta-analysis. PROSPERO 2015:CRD42015020806. http:// www.crd.york.ac.uk/PROSPERO/display\_record.asp? ID=CRD42015020806

- Jönsson BO, Strömqvist B. Lumbar spine surgery in the elderly. 18 Complications and surgical results. *Spine* 1994;19:1431–5. van Tulder M, Furlan A, Bombardier C, *et al.* Updated method
- 19. guidelines for systematic reviews in the Cochrane Collaboration back review group. Spine 2003;28:1290-e9.
- 20. Centre for Reviews and Dissemination [CRD]. Systematic reviews: CRD's guidance for undertaking reviews in healthcare. 3rd edn. York: CRD University of York, York Publishing Services I td. 2009
- 21. Moher D, Shamseer L, Clarke M, et al. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015 statement. Syst Rev 2015;4:1.
- 22. Hayden JA, van der Windt DA, Cartwright JL, et al. Assessing bias in studies of prognostic factors. Ann Intern Med 2013:158:280-2866.
- Pengel LH, Herbert RD, Maher CG, et al. Acute low back pain: 23 systematic review of its prognosis. BMJ 2003;327:323.
- den Boer JJ, Oostendorp RA, Beems T, et al. A systematic review of 24 bio-psychosocial risk factors for an unfavourable outcome after lumbar disc surgery. *Eur Spine J* 2006;15:527–36. Iorio A, Spencer FA, Falavigna M, *et al.* Use of GRADE for
- 25. assessment of evidence about prognosis: rating confidence in estimates of event rates in broad categories of patients. BMJ 2015:350:h870.
- Parker SL, Mendenhall SK, Godil SS, et al. Incidence of low back 26 pain after lumbar discectomy for herniated disc and its effect on patient-reported outcomes. Clin Orthop Relat Res 2015;473:1988-99.



### Natural course of pain and disability following primary lumbar discectomy: protocol for a systematic review and meta-analysis

A Rushton, N Heneghan, M W Heijmans, J B Staal and P Goodwin

*BMJ Open* 2016 6: doi: 10.1136/bmjopen-2015-010571

Updated information and services can be found at: http://bmjopen.bmj.com/content/6/2/e010571

These	inc	li ide'
111030	11101	uuc.

References	This article cites 17 articles, 3 of which you can access for free at: http://bmjopen.bmj.com/content/6/2/e010571#BIBL
Open Access	This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/4.0/
Email alerting service	Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.
Topic Collections	Articles on similar topics can be found in the following collections Rehabilitation medicine (192) Surgery (260)

**Notes** 

To request permissions go to: http://group.bmj.com/group/rights-licensing/permissions

To order reprints go to: http://journals.bmj.com/cgi/reprintform

To subscribe to BMJ go to: http://group.bmj.com/subscribe/