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Microevolutionary traits and comparative population genomics of the emerging pathogenic fungus *Cryptococcus gattii*

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1 **Microevolutionary traits and comparative population**
2 **genomics of the emerging pathogenic fungus *Cryptococcus***
3 ***gattii***

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21
22 **Abstract**

23 Emerging fungal pathogens cause an expanding burden of disease across the
24 animal kingdom, including a rise in morbidity and mortality in humans. Yet, we
25 currently only have a limited repertoire of available therapeutic interventions.
26 A greater understanding of the mechanisms of fungal virulence, and the
27 emergence of hypervirulence within species are therefore needed for new
28 treatments and mitigation efforts. For example, over the last decade, an
29 unusual lineage of *Cryptococcus gattii*, which was first detected on Vancouver
30 Island, has spread to the Canadian mainland and the Pacific Northwest
31 infecting otherwise healthy individuals. The molecular changes that led to the
32 development of this hypervirulent cryptococcal lineage remain unclear. To
33 explore this, we traced the history of similar microevolutionary events that can

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3 34 lead to changes in host-range and pathogenicity. Here, we detail fine-
4 35 resolution mapping of genetic differences between two highly-related
5 36 *Cryptococcus gattii* VGIIc isolates that differ in their virulence traits
6 37 (phagocytosis, vomocytosis, macrophage death, mitochondrial tubularisation,
7 38 and intracellular proliferation). We identified a small number of single site
8 39 variants within coding regions that potentially contribute to variations in
9 40 virulence. We then extended our methods across multiple lineages of *C. gattii*
10 41 to study how selection is acting on key virulence genes within different
11 42 lineages.

18 43 **Keywords**

19 44 *Cryptococcus gattii*, microevolution, mitochondrial tubularisation, intracellular
20 45 proliferation

21 46 **Introduction**

22 47 Emerging fungal pathogens and fungal-like organisms are increasingly
23 48 threatening natural populations of animals and plants [1]. For example, the
24 49 recently discovered chytrid fungus *Batrachochytrium salamandrivorans* was
25 50 implicated in the near extirpation of fire salamanders in 2013 in the
26 51 Netherlands [2]. Race Ug99 of the basidiomycetous fungus *Puccinia graminis*
27 52 f. sp. *tritici* first detected in 1998 is now recognized as a major threat to wheat
28 53 production and food security worldwide [3], and the basidiomycetous fungus
29 54 *Cryptococcus gattii* (*C. gattii*) has expanded its range into non-endemic
30 55 environments with a consequential increase of fatal meningitis in humans
31 56 [4,5]. The global threat of these and other related diseases is underpinned by
32 57 fungi harbouring complex and dynamic genomes [6]. This leads to an ability to
33 58 rapidly evolve in order to overcome host-defences [7], presenting a pressing
34 59 challenge to understand the mechanisms that drive the evolution of the
35 60 phenotypic determinants that underlie pathogenicity.

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37 62 *C. gattii* causes pneumonia and meningoencephalitis in humans
38 63 following inhalation of infectious yeast or airborne hyphae [8]. While its sister
39 64 species *C. neoformans* is most prevalent in HIV-infected individuals and
40 65 patients with other immunodeficiencies, *C. gattii* predominantly (although not

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3 66 exclusively, e.g. [9]) causes disease in healthy people [10]. *C. gattii* accounts
4 67 for less than 1% of all cryptococcosis cases and until the late 1990s was
5 68 found mostly in tropical and subtropical parts of the world. However, in 1999,
6 69 an outbreak of *C. gattii* was reported on Vancouver Island in domestic pets,
7 70 wild animals, and people [4,11]. This outbreak spread to mainland Canada
8 71 and then into the Northwestern states of the United States and remains a
9 72 major public health concern.
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16 74 *C. gattii* is divided into four distinct lineages (VGI, VGII, VGIII and
17 VGIV), with such considerable genetic variation that they were recently
18 75 described as separate species (*C. gattii*, *C. deuterogattii*, *C. bacillisporus* and
19 76 *C. tetragattii* respectively [12]). VGI and VGII isolates are responsible for the
20 77 majority of infections in immunocompetent individuals in the Pacific
21 78 Northwest, the North of Australia, and in Central Papua New Guinea [13].
22 79 Although the original outbreak on Vancouver Island was caused by at least
23 80 two clonal subgroups of VGII named VGIIa (the major genotype) and VGIIb
24 81 (the minor genotype) [11], several associated outbreaks have subsequently
25 82 been reported, e.g. VGIIc in Oregon, United States [14]. Recent studies
26 83 investigating the genetic diversity of outbreak isolates by whole genome
27 84 sequence typing have identified an abundance of genetic diversity within the
28 85 VGII molecular type and evidence for both sexual recombination and clonal
29 86 expansions [15–17].
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43 89 The ability of cryptococcal cells to parasitise phagocytes, in particular
44 90 macrophages, is a major pathogenesis mechanism of cryptococcosis [18,19].
45 91 *C. gattii* is able to protect itself from host induced oxidative stresses, such as
46 92 reactive oxygen species (ROS) *via* an enlarged polysaccharide capsule,
47 93 which provides a physical barrier that interferes with normal macrophage
48 94 phagocytosis and clearance by the immune system [20]. Although all four
49 95 lineages are capable of causing disease, a number of differences have been
50 96 identified between sub-lineages, such as increased intracellular proliferation
51 97 rates (IPR) in VGIIc isolates [5], or an enhanced ability to parasitise host
52 98 phagocytic cells by VGIIa outbreak isolates. These processes are initiated
53 99 upon engulfment by macrophages, followed by a stress response that triggers
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3 100 cryptococcal mitochondrial tubularisation and rapid proliferation of the
4 101 outbreak strains [19]. Another study identified increased expression levels for
5 102 laccase in the VGIIa isolate R265 compared with non-outbreak strains;
6 103 laccase controls melanin production, and provides protection from oxidative
7 104 damage imposed by the host immune response. In addition, cryptococcal
8 105 strains are able to escape phagocytes by a non-lytic mechanism (expulsion or
9 106 'vomocytosis' [21,22]) or to undergo 'lateral transfer' between phagocytes.
10 107 These processes may provide greater resistance to stresses in the
11 108 phagosome and may also have a role in the dissemination of the pathogen
12 109 from the lungs to the central nervous system.
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16 111 Genomic comparisons between lineages have identified a range of
17 112 genetic differences that may contribute to differences in fitness, ranging from
18 113 chromosome copy number variation to genomic rearrangements [23,24].
19 114 Furthermore, as many as 700 genes are unique to one or more of the four
20 115 lineages, including heat shock proteins and iron-transporters, which could
21 116 contribute to differences in disease progression and outcome [24]. Positive
22 117 selection has also been identified among orthologous multi-drug transporters
23 118 in different lineages and clonal-groups [24]. However, new emerging or
24 119 hypervirulent genotypes arise at the population level, and may not be
25 120 detected from comparisons between more anciently diverged isolates. Here,
26 121 we combine phenotypic typing from 20 *C. gattii* strains from each of the four
27 122 lineages, with a case-study genomic comparison for two highly genetically
28 123 similar isolates belonging to VGIIc (EJB18 and EJB52) that have marked
29 124 differences in intracellular proliferation rates (IPR) and mitochondrial
30 125 tubularisation rates. Our approach identifies 33 candidate nuclear genes that
31 126 may contribute to these hypervirulent traits. Finally, we extend our approach
32 127 to study the wider population structure and variation among a panel of 64 *C.*
33 128 *gattii* isolates and demonstrate how the methods we detail here are applicable
34 129 to investigating the genetic determinants that underpin virulence across a
35 130 wide range of emerging fungal pathogens.
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37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 132 **Results** 57 58 59 60

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3 133 **Whole genome sequencing and phenotypic typing suggests a loss/gain**
4 134 **pattern of hypervirulence traits among VGIIc**
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8 136 Detecting micro-evolutionary changes requires precision variant-calling
9 137 to distinguish subtle differences with sequencing or alignment error. Using a
10 138 highly stringent SNP-calling protocol (**see methods**), we were able to reduce
11 139 false positive SNPs to 4.5 per 1 million bases ($n=77$; 0.13% of all SNPs
12 140 called), while maintaining 99.3% true positive SNPs (the remaining 0.7% were
13 141 false negatives). Applying these parameters to 66 isolates of *C. gattii* (**Tables**
14 142 **1 and S1**), we identified SNPs in all isolates and used these to construct a
15 143 phylogenetic tree, illustrating the sub-lineages within each of the four major
16 144 lineages of *C. gattii* (**Figure 1**).
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24 146 Macrophage parasitism and the ability to proliferate within these
25 147 phagocytic effector cells are well-established virulence traits of cryptococcal
26 148 infections. To correlate genetic and phenotypic distance, we measured a
27 149 range of macrophage interaction parameters (i.e. phagocytosis, intracellular
28 150 proliferation, vomocytosis, cryptococcal mitochondrial tubularisation, and
29 151 macrophage death) in replicate (3X-8X) over a timecourse of macrophage
30 152 interaction (0h, 18h, 24h, 48h). First, we measured the maximal intracellular
31 153 proliferate potential (T_{max} ; commonly referred to as intracellular proliferation
32 154 rate; IPR) for 20 isolates of *C. gattii* including four of the six named subclades
33 155 (the outbreak clades VGIIa and VGIIc, the recently described VGIIx [24], and
34 156 VGIIb) (**Figure 1 and Table S2**). These strains were selected, according to
35 157 previous literature and strain detail knowledge, to represent a balanced
36 158 collection of strains i) from the North Pacific *C. gattii* outbreak, ii) from
37 159 environmental origin and iii) representing the different molecular groups. IPR
38 160 values ranged from 0.74 to 2.30, and K-means clustering revealed 2 groups.
39 161 One group contained isolates with lower values found across the all the
40 162 lineages and therefore not correlated with phylogeny (all less than 1.5 IPR).
41 163 These included one VGI isolate, 5 of the 7 non-clonal subclades of VGII, both
42 164 VGIIb isolates, and one VGIV isolate. In contrast, all six of the VGIIa and the
43 165 VGIIx isolate were in the cluster with higher values (greater than 1.7 IPR).
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3 167 In addition to IPR, we measured host-pathogen interactions *via* the
4 168 induction of mitochondrial tubularisation (specifically, an average percent of
5 169 yeast with tubular mitochondria), which is associated with response to, and
6 170 protection from, reactive oxygen species (ROS) upon engulfment [25]. Again,
7 171 values were highly variable among the four lineages (**Table S2**) – with the
8 172 highest proportion of tubularising mitochondria among the subclades of VGII.
9 173 Intracellular proliferative capacity correlated significantly with mitochondrial
10 174 tubularisation and yeast uptake by macrophages ($p < 0.0001$ and $p = 0.004$,
11 175 respectively, **Figure 1**) [25]. Of note, two VGIIc isolates show large
12 176 differences in mitochondrial tubularisation (EJB52 = 18%, and EJB18 = 44%)
13 177 and IPR (EJB52 = 1.36 ± 0.33 IPR, and EJB18 = 1.71 ± 0.35 IPR), suggesting
14 178 these isolates are suitable for further investigation. Substantial variation was
15 179 also observed in the fraction of yeast phagocytised, with uptake percent
16 180 varying from 0.5% to 31.5% (**Figure 1, Table S2**). The greatest percent
17 181 uptakes were from most of VGIIa, VGIIx and importantly VGIIc EJB52
18 182 (28.4%), while VGIIc EJB18 was phagocytised less (15.7%).
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31 184 We also assayed each isolate for the rate of expulsion after
32 185 phagocytosis by macrophages ('vomocytosis') and macrophage cell death. In
33 186 contrast to IPR, mitochondrial tubularisation and percentage phagocytosis,
34 187 there was far lower intra-lineage variation in non-lytic escape ('vomocytosis')
35 188 and in host cell death (**Figure 1, Table S2**). Furthermore, neither of these two
36 189 phenotypic markers significantly correlated ($p = 0.837$ and $p = 0.235$,
37 190 respectively) with intracellular proliferation rate. This may be an indication that
38 191 *C. gattii* hypervirulence is driven by the correlated phenotypes and not by
39 192 'vomocytosis' or macrophage death.
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48 194 Comparing closely related isolates for shifts in phenotypes (the pattern
49 195 of IPR, mitochondrial tubularisation, and phagocytosis) shows the greatest
50 196 discrepancy between the two VGIIc isolates EJB52 and EJB18. A two-tailed *t*-
51 197 test of the IPR replicates also suggested a difference in values (p -value =
52 198 0.0458). The limited genetic variation and large phenotypic difference
53 199 between these two isolates suggested they were good candidates to identify
54 200 the genetic differences that may be responsible.
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5 202 To determine if phenotypic variation in VGIIc stemmed from gene loss,
6 203 we measured read coverage and depth across each gene. Read depth
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8 204 revealed a total of 686 presence/absence (P/A) polymorphisms in at least one
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10 205 isolate, of which 16 were absent in both VGIIc isolates (**Table S3**). No P/A
11 206 polymorphisms were found in VGIIa isolates to which the reference R265
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13 207 belongs. Although the 16 P/A polymorphisms in VGIIc could not explain the
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15 208 phenotypic differences between the two VGIIc isolates, they may be relevant
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17 209 to phenotypic differences between subclades (such as between VGIIa and
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19 210 VGIIc). Three of the VGIIa genes absent in VGIIc have both zinc-binding
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21 211 dehydrogenase and alcohol dehydrogenase GroES-like PFAM domains.
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23 212 These are likely the most abundant zinc-binding proteins in the cell, and may
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25 213 play a role during zinc-deprivation conditions such as within a phagosome
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27 214 [26]. Two additional proteins had Major Facilitator Superfamily and sugar
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29 215 transport PFAM domains, which may play out as differences in the ability to
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31 216 transport toxins or xenobiotics out of the cell, or transport sugars into the cell,
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33 217 respectively.

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37 219 We classified every base of the 17.3 Mb genomes of EJB18 and
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39 220 EJB52, placing 98.65% into a non-ambiguous sub-category (the remaining
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41 221 1.35% of the genomes were ambiguous i.e. poorly supported base call in one
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43 222 or both of the two isolates). Nucleotide sub-categories were annotated in a
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45 223 codon-by-codon manner, as either fixed or transitional between the two
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47 224 isolates. Only 153 differences (8.9 per Mb; **Figure 2A, Table S4**) were
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49 225 identified between these isolates (compared with 60 thousand; 3.5 per kb
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51 226 from their initial alignments to the VGIIa R265 reference genome; **Table S1**).
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53 227 Importantly, no differences were detected within the mitochondrial genome,
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55 228 suggesting the phenotypic differences in the regulation of mitochondrial
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57 229 tubularisation are encoded in the nuclear genome. Furthermore, no
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59 230 aneuploidy was detected based on depth of coverage plots.

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232 Of the 153 sites differing between EJB18 and EJB52, a subset of 33
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234 233 variants overlapped sites among the 6,456 predicted genes of R265, including
15 SNPs and 18 indels (**Figure 2C**). The remaining differences fell within

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3 235 introns ($n=32$) and intergenic ($n=88$) regions, differences that could also have
4 236 an impact if they, for example, fell within a promoter or repressor region. To
5 237 examine this, we identified all intergenic differences that were upstream of a
6 238 gene (**Figure 2B**), 13 of which were within 100nt. The closest upstream
7 239 intergenic difference was an insertion unique to EJB52, 12 bases upstream of
8 240 the STE/STE11/SSK protein kinase (CNBG_4621), involved in *Cryptococcus*
9 241 mating and virulence [27]. Separately, a solute carrier family 25 (mitochondrial
10 242 citrate transporter) gene had 2 upstream intergenic differences: an insertion
11 243 46nt upstream unique to EJB52 and a deletion 44nt upstream unique to
12 244 EJB18. Improper uptake or conversion of citrate is known to attenuate a range
13 245 of pathogens, including *Cryptococcus* [28–30].
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23 247 Of the 31 genes that had differences between EJB52 and EJB18
24 248 (**Figure 2C**) (2 genes had 2 differences), 2 were synonymous changes, and 8
25 249 were hypothetical proteins without any assigned functional information (GO-
26 250 terms, PFAM, TIGRFAM). The remaining 23 genes had non-synonymous
27 251 differences and functional annotation. As mitochondrial tubularisation is one of
28 252 the main phenotypic differences between the 2 VGIIc isolates, we predicted
29 253 proteins that are localised to the mitochondria. Of the 6,456 proteins in *C.*
30 254 *gattii* VGII, only 548 were predicted to localise to the mitochondria; 2 of these
31 255 genes had genetic differences between the 2 VGIIc isolates. The first gene
32 256 (CNBG_5651) has an insertion (Non-frameshift / modulo 3) in EJB18 (high
33 257 value tubularisation), and its specific function is unclear. Furthermore, this
34 258 allele is not correlated with the mitochondrial tubularization phenotype across
35 259 the isolates as the insertion was found in 40 of 66 isolates including both high
36 260 and low mitochondrial tubularisation percentages. The second gene
37 261 (CNBG_5312) has a non-synonymous change (T->C, I->V) in glucoamylase
38 262 in EJB18; this change is unique to EJB18. Glucoamylase expression is
39 263 responsible for carbohydrate metabolism in other intracellular pathogens,
40 264 such as *Listeria pneumophila* within amoebae [31,32], for which *C. gattii* is
41 265 also likely evolving for protection against [33].
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56 267 Although not predicted to localise to the mitochondria, a gene with the
57 268 mitochondrial carrier protein domain (CNBG_4812) has a unique synonymous
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3 269 SNP in EJB52 (low tubularisation) compared with EJB18; this change also
4 270 does not fall within a splice donor/acceptor site. Curiously, this SNP was also
5 271 present in 6 of the 7 VGI isolates sequenced, including WM276 that also
6 272 shows low tubularisation. The other strains with this change were not
7 273 measured for this phenotype, including the 6 other VGI isolates and one other
8 274 VGIIc isolate (B7466). Finally, it is noteworthy that two separate histone
9 275 deacetylases vary between the isolates, including a synonymous SNP unique
10 276 to EJB52 in CNBG_3873 annotated as '6/10/Arb2' and a deletion in EJB52 ->
11 277 insertion in EJB18 for CNBG_1847 annotated as 'RPD3'. The deletion was
12 278 found in only 1 other isolate: the closely related VGIIc B7466, for which we
13 279 have no phenotypic data. However, it is possible that unique variants give rise
14 280 to shared phenotypes by disrupting the same gene or gene network. For
15 281 example, histone deacetylases are involved in the morphology and virulence
16 282 of *C. albicans* [34].
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28 284 **Selection in the clonal groups of *C. gattii* acts upon capsule genes, heat-** 29 285 **shock proteins and the STE/STE11/SSK protein kinase**

30 286 To examine the impact of selection on the different *C. gattii* clades, we
31 287 measured d_N/d_S (ω) values for fixed differences across 6 subclades of *C. gattii*
32 288 (2a, 2b, 2c, 2x, 3a, 3b). A total of 859 genes have d_N/d_S with values greater
33 289 than 1, which can be indicative of relaxed or positive selection (**Fig. 3**). No
34 290 PFAM or GO-term from these genes were enriched (according to two-tailed
35 291 Fisher's exact test with q -value FDR against the remaining gene-sets).
36 292 However, two genes of known interest were identified. The first gene
37 293 (CNBG_1370) is a 1:1 ortholog of *C. neoformans* H99 Utr2 gene (with
38 294 homology to chitin transglycolase), which is potentially involved in capsule
39 295 biosynthesis [35]. In *C. gattii* this gene is under selection in the recently
40 296 named VGIIx subclade [24] with $d_N=0.0063$, $d_S=0.0059$, $\omega=1.0792$. The
41 297 second gene (CNBG_0047) is in an orthogroup with *C. neoformans* H99
42 298 Cap64-like proteins Cas31 and Cas3, and under selection in VGIIb
43 299 ($d_N=0.0028$, $d_S=0.0023$, $\omega=1.2242$). Both Cas31 and Cas3 mutants have
44 300 decreased capsule sizes in *C. neoformans*, as they are involved in
45 301 determining the position and the linkage of the xylose and/or O-acetyl
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3 302 residues on the mannose backbone of the capsule [35,36]
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6 304 The reference isolate VGIIa R265 is only separated from other VGIIa
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8 305 isolates by between 39 and 184 SNPs (**Table 2**), of which only 12 were fixed
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10 306 (i.e. likely errors in the R265 assembly itself). To measure selection in VGIIa
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12 307 we therefore used the branch site model (BSM) of selection implemented by
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14 308 PAML [37]. We found 113 genes with significant differences ($\chi^2_{2(2\Delta Lnl)} <$
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16 309 0.01) after Benjamini Hochberg (BH) multiple correction. Previously when we
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18 310 calculated these values for VGIIa (Subset 5 in [24]) for non-fixed differences
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20 311 across multiple isolates with BH correction and q -value < 0.01 , without
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22 312 adjusting the NSSites parameter, we identified an almost entirely distinct list of
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24 313 87 genes, apart from 2 genes that were identified in both (CNBG_5460
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26 314 (Fungal Zn(2)-Cys(6) binuclear cluster domain) and CNBG_4219 (Domain of
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28 315 unknown function 1708)). Again, no PFAM or GO-term from these genes were
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30 316 enriched (according to two-tailed Fisher's exact test with q -value FDR).
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32 317 However, we did identify a transcription factor from the Zn(2)-Cys(6) family
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34 318 named CTA4 that is a nitric oxide-responsive element (NORE), and required
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36 319 for the nitrosative stress response in *C. albicans* [38].
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39 321 Other important genes found to be under selection in the VGIIa branch
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41 322 using the BSM included a Heat Shock Protein 71 (CNBG_5963) and an ABC
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43 323 transporter (MDR/TAP) member 1 (CNBG_9005), both of which are known to
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45 324 be involved in virulence by a range of pathogens (e.g. [39–42]). Selection was
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47 325 found in the VGIIa branch for the STE/STE11/SSK protein kinase
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49 326 (CNBG_4621), which was also identified and discussed earlier for having the
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51 327 closest (12nt) upstream intergenic difference between VGIIc isolates EJB52
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53 328 and EJB18. Finally, an ortholog to the *C. neoformans* H99 capsule gene Pmt4
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55 329 (*C. gattii* gene CNBG_0576) was found to be under selection ($\chi^2_{2(2\Delta Lnl)} =$
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57 330 6.64E-06) – specifically on a histidine at position 264. Furthermore, *C.*
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59 331 *neoformans* Pmt4 mutants have decreased capsule sizes [35]. Therefore, at
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332 least 3 of the 4 clonal subgroups of *C. gattii* VGII (a, b and x) have evidence
333 for selection within microevolutionary time scales in one of their capsule
334 biosynthesis genes.

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7 **Discussion**

8 338 The aetiological agents of infectious disease impose a huge burden on
9 339 human society. By understanding their biology, reproduction and mechanisms
10 340 of infection, we are able to assess and discover new strategies for mitigating
11 341 their impact. In recent years, fungi have gained widespread attention for their
12 342 ability to rapidly emerge and threaten both animal and plant species across a
13 343 global scale [1]. However, many features of their genomes that enable them
14 344 to successfully adapt to infect diverse hosts and inhabit a wide range of
15 345 ecological niches remain cryptic [6], especially for newly evolved emerging
16 346 lineages. The underlying mechanism driving outbreaks caused by
17 347 *Cryptococcus gattii* has been puzzling researchers for over a decade.
18 348 Compounding this, is that its virulence is likely a consequence of adaptations
19 349 that have evolved for protection against environmental predators such as
20 350 amoebae [33] (unless it's surviving and escaping out of dead animals).

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22 352 Here we have combined whole genome sequencing with phenotypic
23 353 analysis to identify recent genetic changes that might underpin cryptococcal
24 354 hypervirulence. Our phenotypic analysis demonstrated that intracellular
25 355 proliferation and mitochondrial tubularisation, but not phagocytosis or
26 356 expulsion by the host, correlate strongly with hypervirulence. This finding was
27 357 unexpected, and may be informative for their predictive potential in future
28 358 studies working with these phenotypes. One possible explanation is that there
29 359 is a set of general virulence factors that allow both *C. neoformans* and *C.*
30 360 *gattii* to establish within the human host. However, in the *C. gattii*
31 361 hypervirulent strains, only the subset responsible for intracellular proliferation
32 362 and mitochondrial tubularisation provide enhanced parasitism of innate
33 363 immune effectors. Thus, our study supports previous findings that indicate the
34 364 importance of intracellular proliferation in cryptococcal virulence and suggests
35 365 that intracellular proliferation (IPR) and mitochondrial tubularisation may be
36 366 useful as 'proxy' markers of virulence. We also found that these features can
37 367 be surprisingly variable, even within very closely related isolates of a given

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3 368 subclade, such as those between EJB52 (low tubularisation and IPR) and
4 369 EJB18 (high tubularisation and IPR) of VGIIc.

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8 371 No differences were found between the mitochondrial genomes of the
9 372 VGIIc isolates EJB52 and EJB18, suggesting that differences in their
10 373 mitochondrial tubularisation stems from differences in their nuclear genome –
11 374 which already is thought to control mitochondrial function, fusion and fission
12 375 [43]. In contrast, comparing the nuclear genomes of the VGIIc isolates EJB52
13 376 and EJB18 revealed a set of 31 genes that might be involved in the
14 377 differences we found in cryptococcal hypervirulence. It is unlikely that all of
15 378 these loci will be directly involved in virulence regulation. However, one or
16 379 more of these genes are clear candidates, such as the two genes that localise
17 380 to the mitochondria, including the glucoamylase or the two histone
18 381 deacetylases. Although the variants were not correlative across the species at
19 382 large, there may be numerous unique genetic routes to similar phenotypes.
20 383 Some of the phenotypic differences may also be explained by non-direct,
21 384 and/or epistatic, pleiotrophic or epigenetic means. Potentially non-direct acting
22 385 variants were identified within the intergenic regions, sometimes falling close
23 386 upstream of transcription start sites, such as the insertion unique to EJB52
24 387 immediately upstream of the STE11/SSK protein kinase. That this gene
25 388 appears to be under selection in the sister sub-clade VGIIa suggests that this
26 389 is at least a region of dynamic variation, if not potentially involved in some
27 390 phenotypic differences between these isolates.

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29 392 The approach we have taken in this study is to combine phenotypic
30 393 screening with whole genome comparisons in an attempt to identify the
31 394 genomic determinants underpinning fungal virulence. To reduce the impact of
32 395 sequencing errors, assembly errors in the reference sequence, alignments
33 396 errors, and variant call errors, it is essential to assess false discovery rates
34 397 and respond to those sources of error in an iterative approach [44]. In this
35 398 study, we have identified 153 high confidence genetic differences that could
36 399 explain differences in virulence traits between isolates. Detection of
37 400 microevolutionary events can ascribe new mechanisms behind increased
38 401 virulence, such as the increased expression of FRE3-encoded iron reductase

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3 402 in *C. neoformans* passaged in mice [45], or a single *de novo* heterozygous
4 403 position within a gene called SSN3 capable of restoring filamentation in a
5 404 nonfilamentous *Candida albicans* mutant [46].
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10 406 The mechanisms behind changes in mitochondrial tubularisation and
11 407 intracellular proliferation (IPR), which appear to be linked, may be the result of
12 408 one or more genetic differences between high and low value isolates (such as
13 409 those identified here). It is from a sufficiently small number of genetic
14 410 differences, that hypothesis can be generated, and experimentally validated
15 411 *via* gene disruptions or allele-swaps [47]. Alternatively, large panels of isolates
16 412 can be screened *via* a genome-wide association study (GWAS) approach
17 413 [48]. Where protein structures have been resolved, and are available, sites of
18 414 positive selection are often in regions at the host-pathogen interface [49],
19 415 further guiding a functional prediction. Finally, upstream variants that impact
20 416 expression levels could be characterized using RNA-Seq. Ultimately, progress
21 417 in pathogenomics heavily relies on open access and usability of well
22 418 maintained databases of sequence data, functional information and
23 419 annotation, and pathogen specific online resources for community driven
24 420 efforts.
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28 422 We complimented the comparison of 2 closely related isolates with
29 423 selection analysis across fixed variants, for which d_N/d_S ratios were originally
30 424 developed [50]. By focusing only on fixed differences, we found evidence for
31 425 selection in capsule biosynthesis genes in each of the other 3 subclades of
32 426 VGII, each of which had been phenotyped *via* mutants as having an effect on
33 427 capsule size or likely to be involved in its assembly. Selection across these
34 428 genes suggest that each subclade of VGII is generating new alleles and
35 429 variation within the capsule genes, some of which may result in new peaks in
36 430 an adaptive landscape, and become a distinguishing genetic feature of their
37 431 clonal expansion.
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433 **Material and Methods**

434 **Yeast and mammalian cells, growth conditions and phenotypic analysis**

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3 435 Twenty of the sixty-six *Cryptococcus gattii* strains (**Table 1;**
4 436 **SRP017762**) typed in this study were cultured in liquid or agar YPD media
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6 437 (1% peptone, 1 %yeast extract, 2% D-(+)-glucose) for 24 h at 25°C rotating at
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8 438 20 rpm [13,14,19,25,51] prior to experiments. Mammalian J774 macrophage-
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10 439 like cells were grown as described previously [13,14,19,25,51].
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13 441 Macrophages were infected with yeast cells and intracellular
14 442 proliferation monitored as previously described [13,14,19,25,51]. Cryptococcal
15 443 mitochondrial morphology was determined as described previously [5]. We
16 444 would like to note that some of the IPR and mitochondrial tubularisation data
17 445 was previously presented (see [25]), although this paper included additional
18 446 biological repeats. For analysis of vomocytosis and macrophage cell death of
19 447 sequenced strains time lapse images were captured on a TE2000 (Nikon)
20 448 enclosed in a temperature controlled and humidified environmental chamber
21 449 (Okolabs) with 5% CO₂ at 37°C with Digital Sight DS-Qi1MC camera (Nikon),
22 450 20x objective (Ph1 PLAN APO), using NIS elements AR software (Nikon).
23 451 Images were captured every 2 minutes for 24 hours. Vomocytosis (non-lytic
24 452 expulsion of intracellular cryptococci) and infected macrophage cell death
25 453 (disintegration of macrophage containing one or more cryptococci) were
26 454 scored blind from 4 separate experiments for each of the 20 strains. Clusters
27 455 of phenotypes were inferred via k-means clustering in R (kmeans) with 1000
28 456 iterations.
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41 458 **Variant calling and sequence analysis**

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43 459 Alignment and SNP calling parameters were initially optimized. The
44 460 recently updated [24] nuclear and mitochondrial genome sequences and
45 461 feature files for *C. gattii* isolate VGIIa R265α were used (GenBank project
46 462 accession number AAFP01000000). Additional isolates sequenced and
47 463 described in previous studies [16,24,52] were obtained from the Short Read
48 464 Archive (SRA) and converted from SRA format to FASTQ using the
49 465 SRAToolkit v2.3.3-4. Illumina reads were aligned to the genome sequence
50 466 using Burrows-Wheeler Aligner (BWA) v0.7.4 mem [53] with default
51 467 parameters, obtain high depth alignments (average 116X), and converted to
52 468 pileup format using Samtools v.0.1.18 [54]. To act as a control for sequencing,
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3 469 alignment and SNP calling, we included the reference strain R265 in our
4 470 panel of isolates.

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8 472 The Genome Analysis Toolkit (GATK) [55] v2.7-4-g6f46d11 was used
9 473 to call both variant and reference bases from the alignments. First, the Picard
10 474 tools [56] AddOrReplaceReadGroups, MarkDuplicates,
11 475 CreateSequenceDictionary and ReorderSam were used to preprocess the
12 476 alignments. We used GATK RealignerTargetCreator and IndelRealigner for
13 477 resolving misaligned reads close to indels on parental-progeny pairs of
14 478 isolates to avoid discrepancies between isolates. Next, GATK
15 479 UnifiedGenotyper (with haploid genotyper ploidy setting) was run with both
16 480 SNP and INDEL genotype likelihood models (GLM). We additionally ran
17 481 BaseRecalibrator and PrintReads for base quality score recalibration on those
18 482 initial sites for GLM SNP and then re-called variants with UnifiedGenotyper
19 483 (emitting all sites). We next merged and sorted all of the calls, and ran
20 484 VariantFiltration with the parameters “QD < 2.0, FS > 60.0, MQ < 40.0”. Next,
21 485 we removed any base that had less than a minimum genotype quality of 50,
22 486 or a minimum depth of 10. Finally, we removed any positions that were called
23 487 by both GLMs (i.e. incompatible indels and SNPs), any marked as “LowQual”
24 488 by GATK, nested indels, or sites that did not include a PASS flag.

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28 490 To assess the ability of GATK v2.7-4 UnifiedGenotyper to identify
29 491 variants, we realigned reads from the reference isolate R265 back to the
30 492 R265 genome after introducing 60,000 SNPs (corresponding to within VGII
31 493 variation) and calculated the false discovery rate (FDR) [44]. Our alignment
32 494 and SNP-calling approach were optimised for maximum specificity, which was
33 495 necessary for characterising microevolutionary differences. Specifically, we
34 496 identified 59,578 (99.30%) true positive SNP's, while only finding 77 (0.13%)
35 497 false positive SNPs. For gene presence/absence polymorphisms, we counted
36 498 all genes that had <3X depth of coverage.

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40 500 For our phylogenetic analysis we extracted all positions that were
41 501 called single base homozygous (reference or SNP) and polymorphic in ≥ 1
42 502 isolate in the 66 isolates (**Fig. 1**) encompassing 1,192,514 nuclear sites and

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3 503 767 mitochondrial sites. We inferred the phylogeny of the isolates using
4 504 RAxML v7.7.8 with the GTRCAT model and 1,000 bootstrap replicates. For
5 505 the PAML [37] selection analysis, we used the same tree building parameters
6 506 on a subset of variants that were fixed in each of the isolates in one of six
7 507 subclades, encompassing 647,792 sites.

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13 509 Genes that localised to the mitochondria were identified using TargetP
14 510 [57]. For our selection analysis, we calculated d_N/d_S with yn00 of PAML [37]
15 511 implementing the Yang and Nielsen method [58] on every gene in each of the
16 512 six subclades (2a, 2b, 2c, 2x, 3a, 3b) using only fixed differences. For VGIIa,
17 513 we used Codeml of PAML [37], implementing the Branch Site Model (BSM) A
18 514 (model=2, NSsites=2, fix_omega=0) compared with the null model
19 515 (model=2, NSsites=3, fix_omega=1, omega=1) on every gene. Next, we
20 516 calculated a chi-squared test with 2 degrees of freedom for 2 * the log
21 517 likelihood difference between the two compared models ($\chi^2_2(2\Delta\ln l)$) with
22 518 Benjamini Hochberg (BH) multiple correction, and significance set at $q < 0.01$.
23 519 714 genes had values ranging from 1 to 2.25^{-37} , while the remaining genes did
24 520 not have values (e.g. due to insufficient genetic distance).

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537 **Figures and Tables**

538 **Figure 1. Correlation of phylogenetic and phenotypic data.** Phenotypic
539 data was superimposed onto the phylogenetic reconstruction of the nuclear
540 genome and the data clustered into high and low value groups using a k-
541 means clustering approach. The ability to proliferate within macrophages and
542 to form tubular mitochondria upon engulfment are strong virulence markers.
543 **(top left)** Mitochondrial tubularisation and yeast uptake by macrophages were
544 correlated ($p < 0.0001$ and $p = 0.004$, respectively) with their intracellular
545 proliferative rate (IPR). Asterisks indicate 100% bootstrap support from 1,000
546 replicates, and a box is used to highlight the VGIIc isolates that have shifts in
547 phenotype.

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549 **Figure 2. Genetic changes that underlie the increased hypervirulence of**
550 ***C. gattii* outbreak strains were identified by comparing EJB52 (low**
551 **percent mitochondrial tubularisation and IPR) and EJB18 (high**
552 **tubularisation and IPR). (A)** Summary of all genetic differences between
553 EJB52 and EJB18. Single base changes are shown in blue **(B)** Distance of
554 intergenic variants between EJB52 and EJB18 to any upstream genes. **(C)**
555 31 genes with genetic differences were uniquely identified between EJB52
556 and EJB18. Genes are numerically ordered by their locus ID, and single base
557 changes are shown in blue.

558

559 **Figure 3. Phylogenetic relationships and selection of the *C. gattii* clonal**
560 **subclades. (A)** A RAxML tree with the GTRCAT model and 1,000 bootstrap
561 replicates next to a histogram showing the number of genes with binned
562 dN/dS (ω) values. **(B)** Histogram of 714 genes with $\log_{10}(\chi^2_2(2\Delta\ln l))$ values
563 from the Branch site model (BSM) of selection in PAML. The remaining genes
564 did not have values (e.g. due to insufficient genetic distance). The red-line is
565 at $q=0.01$, which we have used as a cut-off for significance.

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567 **Table 1. *Cryptococcus gattii* strains included in this study.**

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3 569 **Table S1. Summary of variant calling.** Summary of variant calling from
4 570 whole genome sequencing of 64 isolates of *Cryptococcus gattii* aligned to the
5 571 nuclear genomes of VGIIa isolate R265.
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9 573 **Table S2. Summary of phenotypic analysis of *Cryptococcus gattii***
10 **strains.** Columns show the average intracellular proliferation rates (IPR) with
11 574 standard error, percent of yeast with tubular mitochondria, average percent of
12 575 *C. gattii* phagocytosis by macrophages, percent of cells that were expelled
13 576 without being destroyed ('vomocytosis') and the percent of macrophage
14 577 depth.
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21 580 **Table S3. Presence/absence polymorphisms.** Genes absent in VGIIc
22 581 isolates EJB52 and EJB18 that are present in all of the VGIIa genomes.
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26 583 **Table S4. Genetic differences.** All genetic differences identified between
27 584 VGIIc EJB52 and VGIIc EJB18.
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30 31 586 **References**

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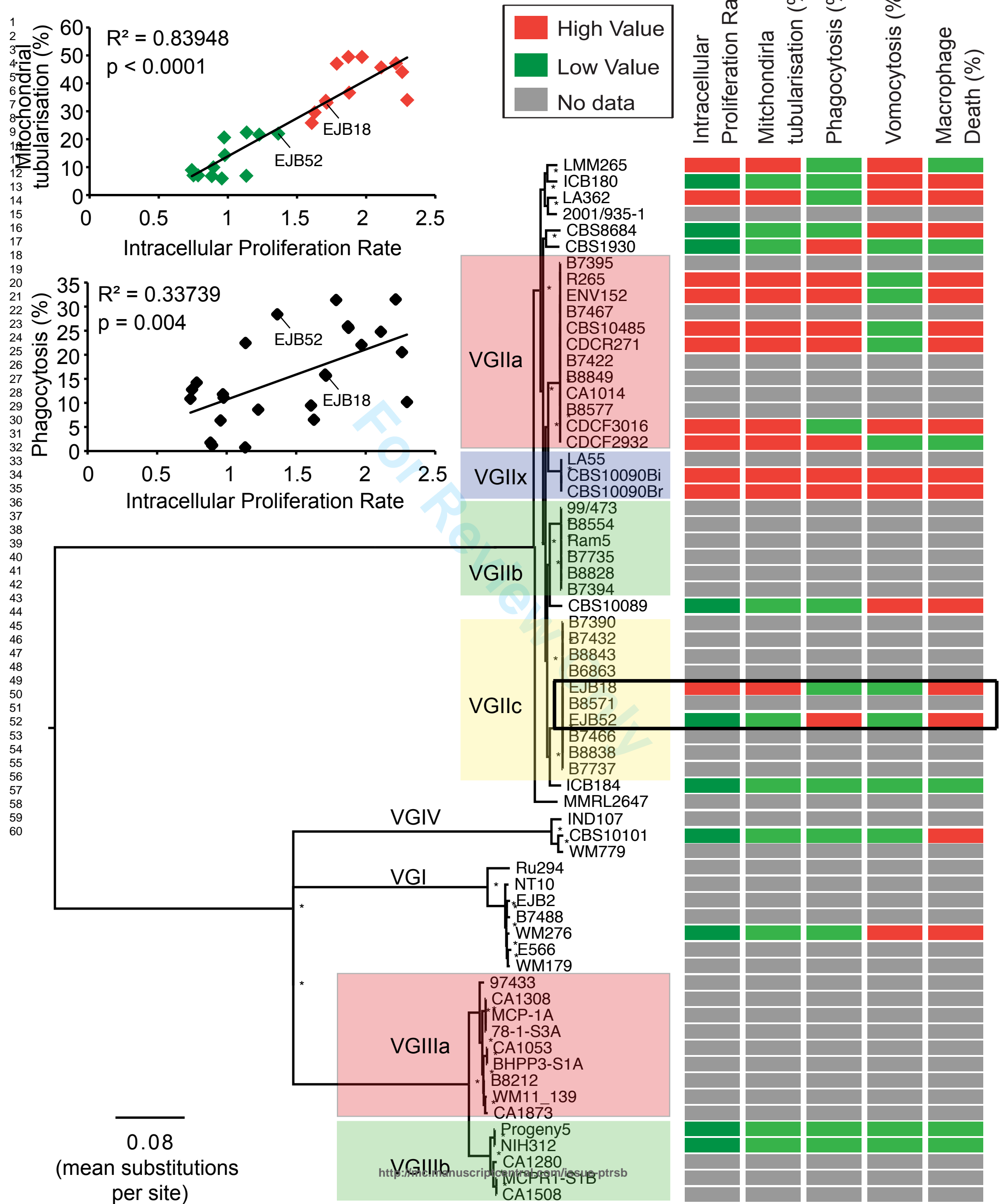
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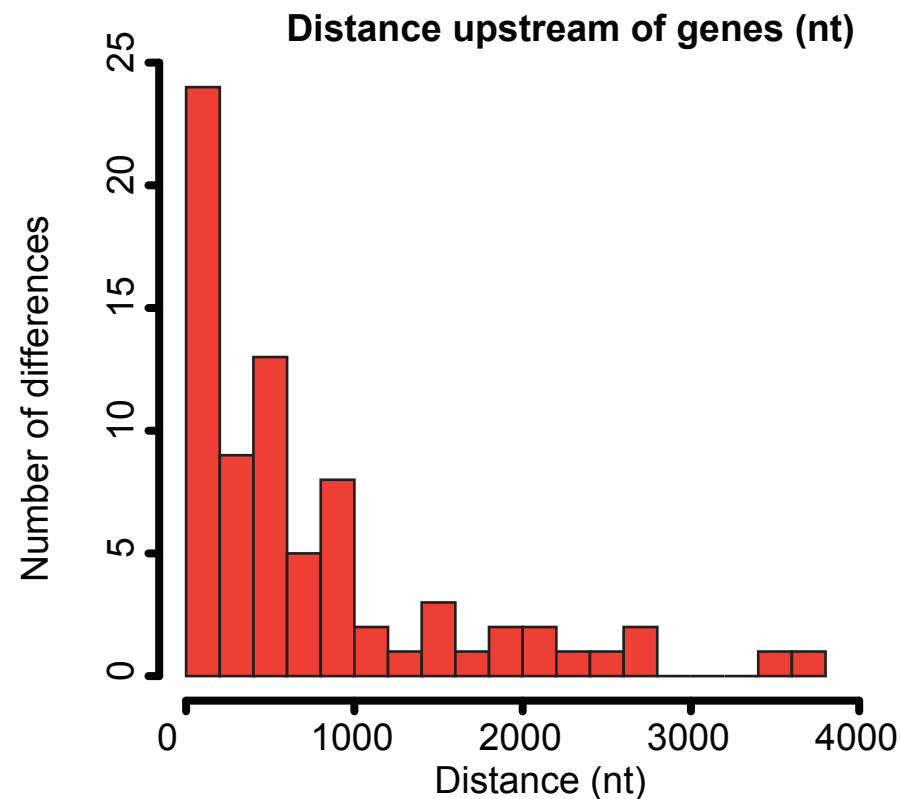
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	Strain Type	Strain	Origin	est. Lat.	est. Long.	Source	Mating Type
1							
2	VGI	B7488	USA, Oregon	43.8	-120.5	Clinical	alpha
3	VGI	E566	South Australia	-25.5	134.0	eucalyptus tree	a
4	VGI	EJB2	USA North Carolina, with a history throughout the San Francisc	46.0	-121.0	Clinical	alpha
5	VGI	NT-10	Australia	-25.5	134.0	Clinical	alpha
6	VGI	Ru294	South Africa	-31.0	23.7	unknown tree	alpha
7	VGI	WM179	Sydney, Australia, 1993	-33.9	151.1	Clinical	alpha
8	VGI	WM276	Australia	-25.5	134.0	Environmental	alpha
9	VGII	2001/935	Senegal	14.2	-14.4	Clinical	alpha
10	VGII	99/473	Caribbean Islands	20.8	-77.6	Clinical	alpha
11	VGII	CBS10089	Greece	39.5	21.8	Clinical	alpha
12	VGII	CBS1930	Aruba, Caribbean Sea	12.5	-70.0	Goat	a
13	VGII	CBS8684	Uruguay	-32.9	-56.0	Environmental (Wasp nest)	alpha
14	VGII	ICB180	Sao Paulo, Brazil	-9.5	-55.8	Environmental (Eucalyptus tree)	alpha
15	VGII	ICB184	Piaui, Brazil	-9.5	-55.8	Environmental (Tree)	alpha
16	VGII	LA362	Brazil, Jaboticabal	-21.3	-48.3	Animal (Parrot tier?)	alpha
17	VGII	LMM265	Brazil	-9.5	-55.8	Clinical	alpha
18	VGII	MMRL2647	Caribbean Islands	-25.5	134.0	Clinical	alpha
19	VGIIa	B7395	USA, Washington	38.9	-77.0	Dog	alpha
20	VGIIa	B7422	USA, Oregon	43.8	-120.5	Cat	alpha
21	VGIIa	B7467	USA, Washington	38.9	-77.0	Porpoise	alpha
22	VGIIa	B8577	Canada, British Columbia	53.9	-127.6	Environmental	alpha
23	VGIIa	B8849	USA, Oregon	43.8	-120.5	Environmental	alpha
24	VGIIa	CA1014	USA	46.0	-121.0	Clinical	alpha
25	VGIIa	CBS10485	Canada, Vancouver Island	49.7	-125.2	Clinical (Danish tourist)	alpha
26	VGIIa	CDCF2932	Canada, British Columbia, Kelowna	49.9	-119.5	Clinical (Immunocompetent patier)	alpha
27	VGIIa	CDCF3016	Canada, shores island close to Vancouver Island	49.7	-125.2	Animal (Dead wild Dall's	alpha
28	VGIIa	CDCR271	Canada, British Columbia, Nanoose Bay	49.3	-124.2	Clinical (Immunocompetent male;	alpha
29	VGIIa	ENV152	Canada, Vancouver Island, Provincial Park, Rathrevor Beach	49.3	-124.3	Environmental (Alder tree)	alpha
30	VGIIa	R265	Canada, British Columbia, Duncan	48.8	-123.7	Clinical	alpha
31	VGIIb	B7394	USA, Washington	38.9	-77.0	Cat	alpha
32	VGIIb	B7735	USA, Oregon	43.8	-120.5	Clinical	alpha
33	VGIIb	B8554	USA, Oregon	43.8	-120.5	Dog	alpha
34	VGIIb	B8828	USA, Washington	38.9	-77.0	Porpoise	alpha
35	VGIIb	Ram5	Australia	-25.5	134.0	Clinical	alpha
36	VGIIc	B6863	USA, Oregon	43.8	-120.5	Clinical	alpha
37	VGIIc	B7390	USA, Idaho	44.2	-114.8	Clinical	alpha
38	VGIIc	B7432	USA, Oregon	43.8	-120.5	Clinical	alpha
39	VGIIc	B7466	USA, Oregon	43.8	-120.5	Cat	alpha
40	VGIIc	B7737	USA, Oregon	43.8	-120.5	Clinical	alpha
41	VGIIc	B8571	USA, Washington	38.9	-77.0	Clinical	alpha
42	VGIIc	B8838	USA, Washington	38.9	-77.0	Clinical	alpha
43	VGIIc	B8843	USA, Oregon	43.8	-120.5	Clinical	alpha
44	VGIIc	EJB18	USA, Oregon	43.8	-120.5	Clinical	alpha
45	VGIIc	EJB52	USA, Oregon	43.8	-120.5	Clinical	alpha
46	VGIIa	78-1-S3A	Los Angeles, California, USA, 2011	34.0	-118.3	Environmental	alpha
47	VGIIa	97/433	Mexico	23.4	-101.7	Clinical	alpha
48	VGIIa	B8212	USA, Oregon	43.8	-120.5	unknown	alpha
49	VGIIa	BHPP3-S1A	Los Angeles, California, USA, 2012	34.0	-118.3	Environmental, Soil	alpha
50	VGIIa	CA1053	California, USA	36.5	-119.7	Clinical	alpha
51	VGIIb	CA1280	USA	46.0	-121.0	Clinical	alpha
52	VGIIa	CA1308	California, USA	36.5	-119.7	Clinical	alpha
53	VGIIb	CA1508	California, USA	36.5	-119.7	Clinical	a
54	VGIIa	CA1873	USA	46.0	-121.0	Clinical	a
55	VGIIa	MCP-1A	Los Angeles, California, USA, 2011	34.0	-118.3	Environmental	alpha
56	VGIIb	MCPR1-S1B	Los Angeles, California, USA, 2012	34.0	-118.3	Environmental, Soil	a
57	VGIIb	NIH312	California, USA	36.5	-119.7	Clinical	alpha
58	VGIIb x	Progeny5	NA	NA	NA	NA	alpha
59	VGIIx	WM11_139	USA, 2011	43.8	-120.5	Veterinary	a
60	VGIIx	CBS10090_Bi	Greece	39.5	21.8	Clinical	a
61	VGIIx	r	Greece	39.5	21.8	Clinical	a
62	VGIIx	CBS10090_Brc	Greece	39.5	21.8	Clinical	a
63	VGIIx	LA55	NE region of Piaui, Brazil	-9.5	-55.8	Clinical	a
64	VGIV	CBS10101	South Africa	-31.0	23.7	King Cheetah	alpha
65	VGIV	IND107	India	22.4	78.9	Clinical	alpha
66	VGIV	WM779	South Africa, 1994	-31.0	23.7	Veterinary	alpha



A)

Category	EJB52	EJB18	Total differences (nt)	Percent of Genome
Change	Reference	SNP	12	6.95E-05
	SNP	Reference	20	1.16E-04
	SNP	Different SNP	2	1.16E-05
	Insertion	Dif. Insertion	7	4.06E-05
	Insertion	Reference	35	2.03E-04
	Reference	Insertion	25	1.45E-04
	Deletion	Dif. Deletion	3	1.74E-05
	Deletion	Insertion	1	5.79E-06
	Deletion	Reference	28	1.62E-04
Fixed	Reference	Deletion	20	1.16E-04
	Reference	Reference	16,967,822	98.30
	SNP	SNP	54,250	0.31
	Insertion	Insertion	2,568	0.01
Ambiguous	Deletion	Deletion	3,096	0.02
	Reference	Ambiguous	19,660	0.11
	SNP	Ambiguous	319	1.85E-03
	Ambiguous	SNP	167	9.68E-04
	Insertion	Ambiguous	18	1.04E-04
	Deletion	Ambiguous	29	1.68E-04
	Ambiguous	Reference	6,615	0.04
	Ambiguous	Ambiguous	206,065	1.19

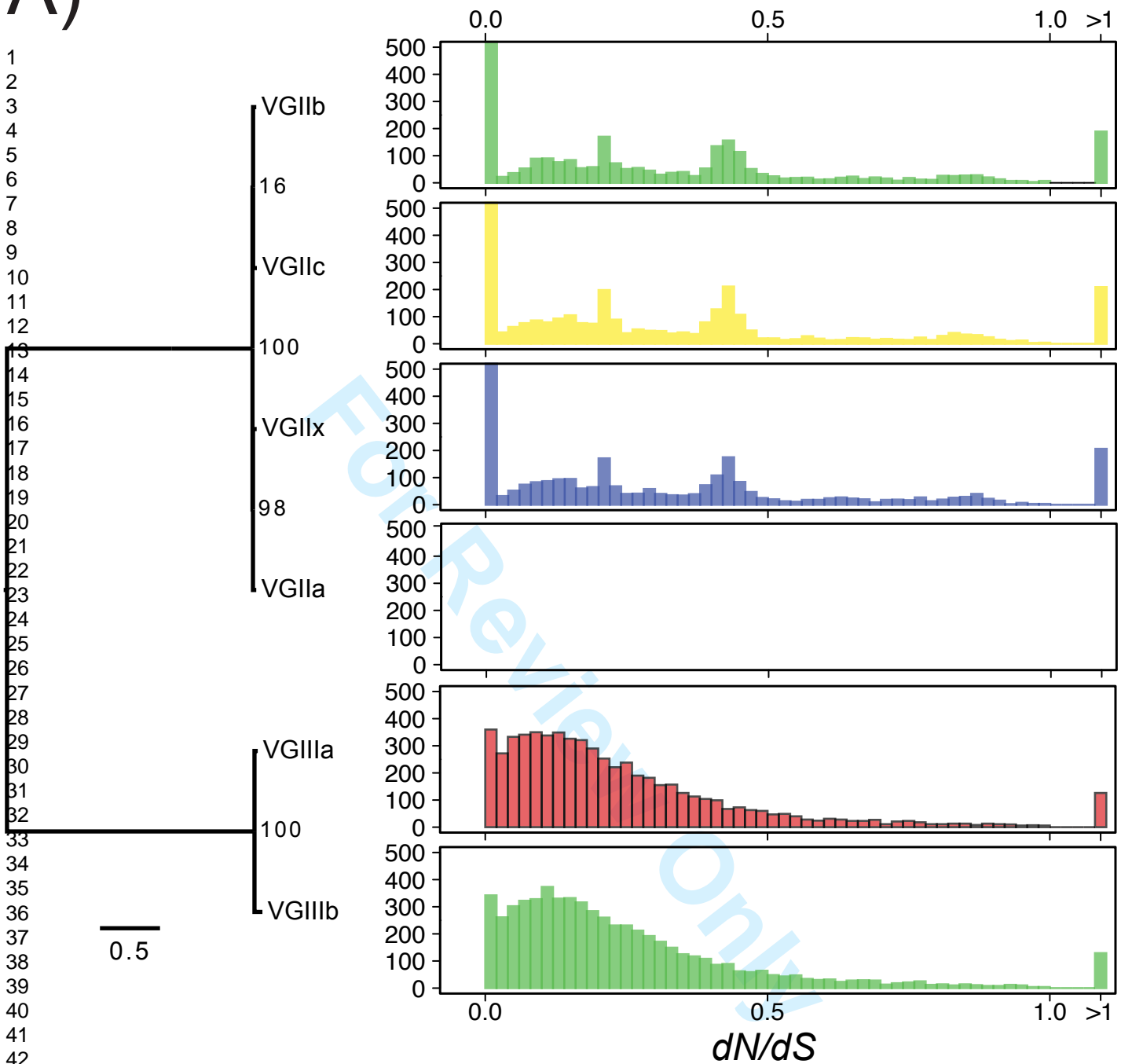


C)

Gene ID	EJB52	EJB18	Annotation	PFAM domains
CNBG_0001	SNP (Non-synonymous)	Reference	Hypothetical protein	Late exocytosis, associated with Golgi transport; Phosphate metabolism
CNBG_0232	Insertion (Non-frameshift)	Reference	Hypothetical protein	SUZ domain
CNBG_0580	SNP (Non-synonymous)	Reference	Nup155	Nup133; Non-repetitive/WGA-negative nucleoporin
CNBG_1027	Reference	SNP (Non-synonymous)	Cerevisin	Subtilase family; Peptidase inhibitor I9
CNBG_1088	Insertion (Frameshift)	Reference	Ligase	N/A
CNBG_1192	Deletion (Non-frameshift)	Reference	Hypothetical protein	SNF5 / SMARCB1 / INI1
CNBG_1482	Insertion (Non-frameshift)	Reference	Hypothetical protein	N/A
CNBG_1665	Deletion (Non-frameshift)	Reference	HSE1	N/A
CNBG_1847	Deletion (Frameshift)	Insertion (Frameshift)	Histone deacetylase RPD3	Histone deacetylase domain
CNBG_2344	Reference	SNP (Non-synonymous)	Sterol 3 β -glucosyltransferase	UDP-glucuronosyl and UDP-glucosyl transferase (GTF); GTF 28
CNBG_2480	Reference	SNP (Non-synonymous)	Efflux protein EncT	Major Facilitator Superfamily
CNBG_2491	Deletion (Non-frameshift)	Reference	Hypothetical protein	Arrestin (or S-antigen), C-terminal domain
CNBG_2491	Reference	Insertion (Non-frameshift)	Hypothetical protein	Arrestin (or S-antigen), C-terminal domain
CNBG_2628	Reference	Deletion (Non-frameshift)	Hypothetical protein	N/A
CNBG_2696	Insertion (Non-frameshift)	Reference	Chaperone	SRP40, C-terminal domain; LisH
CNBG_2828	Reference	SNP (Non-synonymous)	PEPCK	Phosphoenolpyruvate carboxykinase
CNBG_3379	Reference	SNP (Synonymous)	α -1,6-mannosyltransferase	Alg9-like mannosyltransferase family
CNBG_3803	SNP (Non-synonymous)	Reference	Hypothetical protein	N/A
CNBG_3873	SNP (Synonymous)	Reference	Histone deacetylase 6/10	Histone deacetylase domain; Arb2 domain
CNBG_3995	SNP (Non-synonymous)	Reference	Carboxypeptidase A4	Zinc carboxypeptidase
CNBG_4063	Insertion (Non-frameshift)	Reference	Hypothetical protein	N/A
CNBG_4812	SNP (Synonymous)	Reference	ADP,ATP carrier protein	Mitochondrial carrier protein
CNBG_5309	Deletion (Non-frameshift)	Dif. Del. (Non-frameshift)	Cytoplasmic protein	PSP1 C-terminal conserved region
CNBG_5312	Reference	SNP (Non-synonymous)	Glucoamylase	N/A
CNBG_5651	Reference	Insertion (Non-frameshift)	Hypothetical protein	N/A
CNBG_5749	SNP (Non-synonymous)	Reference	Hypothetical protein	WD domain, G-beta repeat domain
CNBG_5891	Insertion (Non-frameshift)	Reference	Hypothetical protein	WD domain, G-beta repeat domain
CNBG_5891	Reference	Deletion (Non-frameshift)	Hypothetical protein	WD domain, G-beta repeat domain
CNBG_6031	SNP (Non-synonymous)	Reference	Hypothetical protein	2'-5' RNA ligase superfamily; Cyclic phosphodiesterase-like
CNBG_6184	Insertion (Non-frameshift)	Reference	Hypothetical protein	N/A
CNBG_6204	Insertion (Non-frameshift)	Reference	Hypothetical protein	N/A
CNBG_9229	SNP (Non-synonymous)	Reference	Hypothetical protein	RhoGEF domain; Variant SH3 domain x2; SH3 domain; WH2 motif
CNBG_9578	Reference	Deletion (Non-frameshift)	Hypothetical protein	N/A

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A)



B)

Branch site model (BSM) of selection for VGIIa

