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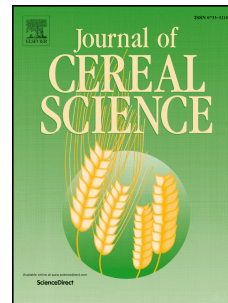
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1 **The effect of ultrasound upon the physicochemical and emulsifying properties of wheat**  
2 **and soy protein isolates**

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6 **Abstract:**

7 The effect of ultrasound upon the physicochemical and emulsifying performance of wheat protein  
8 isolate (WhPI) and soy protein isolate (SPI) was investigated. Protein solutions (0.1 – 3 wt. %) were sonicated  
9 with an acoustic intensity of  $\sim 34 \text{ W cm}^{-2}$  for 2 min. The physicochemical properties were assessed in terms of  
10 changes in protein aggregate size, hydrodynamic volume and molecular structure. The emulsifying performance  
11 of ultrasound treated WhPI and SPI was compared to their untreated counterparts, and a low molecular weight  
12 surfactant, Tween 80, for comparative purposes. Ultrasonic processing significantly reduced the aggregate size  
13 of both proteins, whilst no reduction in the primary structure molecular weight profile was observed in both  
14 instances, ascribed to insufficient energy to hydrolyse the peptide bond. Emulsions prepared with both untreated  
15 proteins yielded submicron emulsion droplets ( $\sim 150 \text{ nm}$ ) at concentrations  $\geq 0.75 \text{ wt. \%}$ . Emulsions fabricated  
16 with both sonicated proteins at concentrations  $< 0.75 \text{ wt. \%}$  demonstrated significantly ( $P < 0.05$ ) smaller  
17 emulsion droplets and long term emulsion stability in comparison to their untreated counterparts. This effect is  
18 consistent with the observed reduction in the equilibrium value of interfacial tension between untreated and  
19 ultrasound treated proteins.

20 **Keywords:** *Triticum aestivum*, *Glycine max*, Ultrasound, Submicron emulsions

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## 22 1. Introduction

23 Proteins are ubiquitously utilised as functional ingredients within the food and  
24 pharmaceutical industries for emulsification, foaming, gelation and viscosity enhancement.  
25 The functionality of proteins is due to the chemical make-up of these molecules, their unique  
26 amino acid sequences (Walstra & van Vliet, 2003). Proteins are of particular interest in food  
27 formulations as emulsifying agents, due to their ability to adsorb and form viscoelastic films  
28 at oil-water interfaces (O'Connell & Flynn, 2007). Proteins provide several advantages for  
29 emulsion droplet stabilisation, such as protein-protein interactions at the interface, and  
30 electrostatic and steric stabilisation mechanisms due to the charged and bulky nature of these  
31 biopolymers, in comparison to low molecular weight surfactants (O'Sullivan, *et al.*, 2014).

32 Ultrasound is a mechanical pressure wave with a frequency greater than 20 kHz, the  
33 threshold for human auditory detection. Low frequency (20 – 100 kHz), high power  
34 ultrasound (10 – 1,000 W cm<sup>-2</sup>), commonly referred to as power ultrasound, is utilised for the  
35 alteration, generations or modification of food microstructures (O'Sullivan, *et al.*, 2014). The  
36 effects of power ultrasound upon food microstructures are attributed to ultrasonic cavitations,  
37 generated by localised pressure differentials over short periods of time (a few microseconds).  
38 Ultrasonic cavitations yield localised regions of high hydrodynamic shear and rises in  
39 temperature at the site of bubble collapse (~5000 °C) accounting for the observed effect of  
40 power ultrasound (O'Sullivan, *et al.*, 2016).

41 Ultrasound treatment has been related to the physicochemical modifications of food  
42 proteins. However, few studies detail the effect of ultrasound upon cereal proteins, other than  
43 that of Zhang *et al.*, (2011) for wheat gluten and O'Sullivan, *et al.*, (2016) for rice protein  
44 isolate, both demonstrated that the acoustic energy provided insufficient energy to reduce the  
45 molecular weight profile of these cereal proteins. Zhang *et al.*, (2011) studied the effect of

46 ultrasound upon the rheologically behaviour of wheat gluten, both the storage (G') and loss  
47 (G'') moduli decreased, and additionally the foaming capacity and emulsifying performance,  
48 both were enhanced. O'Sullivan, *et al.*, (2016) reported no significant reduction in aggregate  
49 size of rice protein isolate, ascribed to insufficient energy to achieve scission of disulphide  
50 bonds maintaining the structure of denatured aggregates. However, the effect of ultrasound  
51 treatment upon the physicochemical structure of wheat protein and relation to submicron  
52 emulsion formation and long term stability with respect to protein concentration has yet to be  
53 investigated.

54 Wheat protein isolate (WhPI) is of particular interest to the food industry, as it is the  
55 second most cultivated cereal crop (725 million metric tonnes) after maize (1,100 million  
56 metric tonnes), and followed by rice (496 million metric tonnes) (FAO, 2015). WhPI is a  
57 highly functional ingredient utilised commonly within baked and process foods (Ahmedna *et*  
58 *al.*, 1999). WhPI is extracted from *Triticum aestivum* and is primarily cultivated in the EU,  
59 China, India and USA (FAO, 2015). The major protein fractions in WhPI are polymeric  
60 glutenins and monomeric gliadins, with minor fractions of albumins and globulins (Kuktaite  
61 *et al.*, 2004).

62 Soy protein isolate (SPI) a food ingredient of great importance, as it is the largest  
63 commercially available legume protein source owing to its high nutritional value, current low  
64 cost, and a highly functional ingredient due to its emulsifying and gelling capabilities  
65 (Achouri *et al.*, 2012; Molina *et al.*, 2002; Sorgentini *et al.*, 1995). SPI, extracted from  
66 *Glycine max*, is an oilseed legume grown primarily in the United States, Brazil, Paraguay and  
67 Uruguay (Gonzalez-Perez & Arellano, 2009). The major protein fractions in oilseed legumes  
68 are albumins (2S) and globulins, the dominant fractions of which are glycinin (11S; 300-360  
69 kDa) and  $\beta$ -conglycinin (7S; 150-190 kDa) (Shewry *et al.*, 1995).

70 In this work, wheat protein isolate (WhPI) and soy protein isolate (SPI) were  
71 investigated in order to assess the significance of power ultrasound for the improvement of  
72 emulsifying performance. The objectives of this research were to discern the effects of  
73 ultrasound treatment upon WhPI and SPI in terms of differences to physicochemical  
74 properties, measured in terms of aggregate size, molecular structure and hydrodynamic  
75 volume. Additionally, the emulsifying efficacy of WhPI and SPI before and after ultrasound  
76 treatment was assessed in terms of initial emulsion droplet size, long term stability and  
77 interfacial tension. Oil-in-water emulsions were prepared with either untreated or ultrasound  
78 treated WhPI and SPI at different concentrations, and compared between them and to a low  
79 molecular weight surfactant, Tween 80.

## 80 **2. Materials and methodology**

### 81 **2.1. Materials**

82 Wheat protein isolate (Prolite® 100; WhPI) and soy protein isolate (Pro-Fam® 781;  
83 SPI) were both kindly provided by Archer Daniels Midland (ADM; Decatur, USA). The  
84 protein content of WhPI and SPI was 90 wt. % and 86 wt. %, respectively. The pH of WhPI  
85 and SPI at a protein concentration of 1 wt. % was  $4.2 \pm 0.1$  and  $6.9 \pm 0.1$ , whereby WhPI  
86 possessed a cationic charge ( $17.4 \pm 0.4$  mV) and SPI an anionic charge ( $-35.5 \pm 0.6$  mV).  
87 Tween 80 and sodium azide were purchased from Sigma Aldrich (UK). The oil used was  
88 commercially available rapeseed oil. The water used in all experiments was passed through a  
89 double distillation unit (A4000D, Aquatron, UK). All materials were used with no further  
90 purification or modification of their properties.

## 91 2.2. Methods

### 92 2.2.1. Preparation of emulsifier solutions

93 WhPI, SPI and Tween 80 were dispersed in water to obtain solutions within a protein  
94 concentration range of 0.1 – 3 wt. %, and Tween 80 was soluble at the range of  
95 concentrations, whereas WhPI and SPI possessed an insoluble component regardless of  
96 hydration time. Sodium azide (0.02 wt. %) was added to the solution to mitigate against  
97 microbial activity.

### 98 2.2.2. Ultrasound treatment of protein solutions

99 An ultrasonic processor (Viber Cell 750, Sonics, USA) with a 12 mm diameter  
100 stainless steel probe was used to ultrasound treat 50 ml aliquots of protein solution in 100 ml  
101 plastic beakers, which were placed in an ice bath to reduce heat gain. The protein solutions  
102 were sonicated with a frequency of 20 kHz and amplitude of 95% (wave amplitude of 108  
103  $\mu\text{m}$  at 100% amplitude) for up to 2 min. This yielded an ultrasonic power intensity of  
104  $\sim 34 \text{ W cm}^{-2}$ , which was determined calorimetrically by measuring the temperature rise of the  
105 sample as a function of treatment time, under adiabatic conditions. The acoustic power  
106 intensity,  $I_a$  ( $\text{W cm}^{-2}$ ), was calculated as follows (Margulis & Margulis, 2003):

$$107 \quad I_a = \frac{P_a}{S_A}, \text{ where } P_a = m \cdot c_p \left( \frac{dT}{dt} \right) \quad (1)$$

108 Where  $P_a$  (W) is the acoustic power,  $S_A$  is the surface area of the ultrasound emitting  
109 surface ( $1.13 \text{ cm}^2$ ),  $m$  is the mass of ultrasound treated solution (g),  $c_p$  is the specific heat of  
110 the medium ( $4.18 \text{ kJ/gK}$ ) and  $dT/dt$  is the rate of temperature change with respect to time,  
111 starting at  $t = 0$  ( $^{\circ}\text{C/s}$ ).

112 The temperature of protein solutions was measured before and after sonication by  
113 means of a digital thermometer (TGST3, Sensor-Tech Ltd., Ireland), with an accuracy of  $\pm$   
114 0.1 °C. Prior to ultrasound treatment, the temperature of protein solutions were within the  
115 range of 5 – 10 °C. After ultrasonic irradiation, the temperature raised to approximately ~45  
116 °C.

### 117 **2.2.3. Characterisation of untreated and ultrasound treated protein solutions**

#### 118 **2.2.3.1. Microstructure characterisation**

119 The size of untreated and ultrasound treated WhPI and SPI were measured by laser  
120 diffraction using the Mastersizer 2000 (Malvern Instruments, UK). Protein size is reported as  
121 a size distribution. The protein size distributions are reported as the average of three repeat  
122 measurements.

#### 123 **2.2.3.2. Molecular structure characterisation**

124 The molecular structure of untreated and ultrasound treated WhPI and SPI was  
125 determined by sodium dodecyl sulphate polyacrylamide gel electrophoresis (SDS-PAGE),  
126 using a Mini-Protean 3 Electrophoresis System (Bio-Rad, UK). 100  $\mu$ L of protein solution at  
127 1 wt. % concentration were added to 1 mL of native sample buffer (Bio-Rad, UK) in 2 mL  
128 micro tubes and sealed. A 10  $\mu$ L aliquot was taken from each sample and loaded onto a Tris-  
129 acrylamide gel (Bio-Rad, UK; 4-20% Mini Protean TGX Gel, 10 wells). A protein standard  
130 (Bio-Rad, UK; Precision Plus Protein<sup>TM</sup> All Blue Standards) was used to determine the  
131 molecular weight of the samples. Gel electrophoresis was carried out initially at 55 V ( $I > 20$   
132 mA) for 10 min, then at 155 V ( $I > 55$  mA) for 45 min in a running buffer (Bio-Rad, UK; 10x  
133 Tris/Glycine/SDS Buffer). The gels were removed from the gel cassette and stained with



134 Coomassie Bio-safe stain (Bio-Rad, UK) for 1 hr and de-stained with distilled water  
135 overnight.

### 136 2.2.3.3. Hydrodynamic volume characterisation

137 The intrinsic viscosity (*i.e.* hydrodynamic volume) of untreated and ultrasound treated  
138 WhPI and SPI were determined by a double extrapolation to a zero concentration method, as  
139 described by Morris *et al.*, (1981), using the models of Huggins' and Kraemer, as follows:

140 Huggins, (1942): 
$$\frac{\eta_{sp}}{c} = [\eta] + k_H[\eta]^2c \quad (2)$$

141 Kraemer, (1938): 
$$\frac{\ln \eta_{rel}}{c} = [\eta] + k_K[\eta]^2c \quad (3)$$

142 Where  $\eta_{sp}$  is the specific viscosity (viscosity of the solvent,  $\eta_0$  / viscosity of the  
143 solution,  $\eta$ ),  $c$  the protein concentration (w/v%),  $[\eta]$  the intrinsic viscosity (dL/g),  $k_H$  the  
144 Huggins constant.  $\eta_{rel}$  is the relative viscosity (viscosity of the solution,  $\eta$  / viscosity of the  
145 solvent,  $\eta_0$ ) and  $k_K$  is the Kraemer constant.

146 The concentration ranges used for the determination of the intrinsic viscosity of WhPI  
147 and SPI was 1 – 2.5 wt. % and 1.5 – 3 wt. %, respectively. The validity of the regression  
148 procedure is confined within a discrete range of  $\eta_{rel}$ ,  $1.2 < \eta_{rel} < 2$ . The upper limit is due to  
149 the hydrodynamic interaction between associates of protein molecules, and the lower limit is  
150 due to inaccuracy in the determination of very low viscosity fluids. A value of  $\eta_{rel}$   
151 approaching 1 indicates the lower limit (Morris *et al.*, 1981).

152 The viscosity of the protein solutions was measured at 20 °C using a Kinexus  
153 rheometer (Malvern Instruments, UK) equipped with a double gap geometry (25 mm  
154 diameter, 40 mm height). For the determination of intrinsic viscosity by extrapolation to  
155 infinite dilution, there must be linearity between shear stress and shear rate, which indicates a

156 Newtonian behaviour region on the range of shear rate used in the measurements. The  
157 Newtonian plateau region of WhPI and SPI solutions at the range of concentrations used was  
158 found within a shear rate range of 25 - 1000 s<sup>-1</sup> (data not shown). Thus, the values of  
159 viscosity of the protein solutions and that of the solvent (distilled water) were selected from  
160 the flow curves data at a constant shear rate of 250 s<sup>-1</sup> (within the Newtonian region), which  
161 were subsequently used to determine the specific viscosity,  $\eta_{sp}$ , the relative viscosity,  $\eta_{rel}$ , and  
162 the intrinsic viscosity,  $[\eta]$ . Three replicates of each measurement were made.

#### 163 **2.2.4. Preparation of oil-in-water emulsions**

164 10 wt. % dispersed phase (rapeseed oil) was added to the continuous aqueous phase  
165 containing either untreated or sonicated proteins, or Tween 80 at different concentrations,  
166 ranging from 0.1 - 3 wt. %. An oil-in-water pre-emulsion was prepared by emulsifying this  
167 mixture at 8000 rpm for 2 min using a high shear mixer (SL2T, Silverson, UK). Submicron  
168 oil-in-water emulsions were then prepared by further emulsifying the pre-emulsion using an  
169 air-driven microfluidiser (M110S, Microfluidics, USA), at 100 MPa for 1 pass. The initial  
170 temperature of pre-emulsions was 5 °C to minimise the potential for protein aggregation from  
171 the high processing pressures. The final temperatures of emulsions prepared after  
172 homogenisation was ~30 °C.

#### 173 **2.2.5. Characterisation of oil-in-water emulsions.**

##### 174 **2.2.5.1. Droplet size measurements**

175 The droplet size of the emulsions was measured by laser diffraction using a  
176 Mastersizer 2000 (Malvern Instruments, UK) immediately after emulsification. Emulsion  
177 droplet size values are reported as the volume-surface area mean diameter (Sauter diameter;  
178  $d_{3,2}$ ). The stability of the emulsions was assessed by droplet size measurements over 28 days,

179 where emulsions were stored under refrigeration conditions (4 °C) throughout the duration of  
180 the stability study. The droplet sizes and error bars are reported as the mean and standard  
181 deviation, respectively, of measured emulsions prepared in triplicate.

#### 182 **2.2.5.2. Interfacial tension measurements**

183 The interfacial tension between the aqueous phase (pure water, protein solution, or  
184 surfactant solution) and oil phase (rapeseed oil) was measured using a tensiometer K100  
185 (Krüss, Germany) with the Wilhelmy plate method. The Wilhelmy plate has a length, width  
186 and thickness of 19.9 mm, 10 mm and 0.2 mm, respectively and is made of platinum. The  
187 Wilhelmy plate was immersed in 20 g of aqueous phase to a depth of 3 mm. Subsequently, an  
188 interface between the aqueous phase and oil phase was created by carefully pipetting 50 g of  
189 the oil phase over the aqueous phase. The test was conducted over 3,600 s and the  
190 temperature was maintained at 20 °C throughout the duration of the test. The interfacial  
191 tension values and the error bars are reported as the mean and standard deviation,  
192 respectively, of three repeat measurements.

#### 193 **2.3. Statistical analysis**

194 Student's t-test with a 95% confidence interval was used to assess the significance of  
195 the results obtained. t-test data with  $P < 0.05$  were considered statistically significant.

### 196 **3. Results and discussion**

#### 197 **3.1. Effect of ultrasound treatment on the physicochemical properties of WhPI and SPI**

198 The effect of ultrasound treatment on the aggregate size of WhPI and SPI was initially  
199 investigated. 1 wt. % WhPI and SPI solutions were sonicated for 2 min, with a frequency of  
200 20 kHz and an ultrasonic amplitude of 95%. Protein size distributions for untreated and  
201 ultrasound treated WhPI and SPI are shown in Fig. 1. Untreated WhPI (*cf.* Fig. 1a) exhibited

202 a bimodal size distribution, a nano-sized peak of ~200 nm and a micron-sized peak of ~50  
203  $\mu\text{m}$ , whereas untreated SPI (*cf.* Fig. 1b) solely displayed a micron-sized peak of ~10  $\mu\text{m}$ . A  
204 significant reduction ( $P < 0.05$ ) in the micron-sized aggregates of WhPI (*cf.* Fig. 1a) was  
205 observed, whilst only partially disrupted. The partial breakup of these micron-sized  
206 aggregates is ascribed to disruption of associative non-covalent interactions (hydrophobic  
207 forces and electrostatic interactions), whilst insufficient acoustic energy is provided to reduce  
208 the remaining micron aggregate irrespective of processing time (data not shown). The  
209 residual micron sized aggregates are denatured wheat protein entities formed due to the  
210 processing of this isolate and are maintained by disulphide bonds. Similarly in the case of  
211 SPI, a significant reduction ( $P < 0.05$ ) in the size of the micron-sized peak to the nano scale  
212 (~200 nm) is observed, whilst insufficient acoustic energy is provided to completely disrupt  
213 the micron sized entity, for the same reasons as previously described for WhPI. The acoustic  
214 energy provided from the ultrasound treatment is insufficient to reduce these disulphide  
215 bonds ( $-\text{S}-\text{S}-$ ;  $226 \text{ kJ mol}^{-1}$ ) present within the denatured aggregates, whilst sufficient to  
216 disrupt associative non-covalent interactions ( $4 - 13 \text{ kJ mol}^{-1}$ ) (O'Sullivan, *et al.*, 2016).

217 The molecular structures of untreated and ultrasound treated WhPI and SPI were  
218 subsequently investigated. Protein solutions at a concentration of 1 wt. % were irradiated  
219 with ultrasound for 2 minutes with an acoustic intensity  $\sim 34 \text{ W cm}^{-2}$ . Electrophoretic profiles  
220 for untreated and ultrasound treated WhPI and SPI, and a molecular weight standard are  
221 shown in Fig. 2. As can be seen from the results in Fig. 2, there is no significant reduction ( $P$   
222  $> 0.05$ ) in the molecular weight profile of WhPI or SPI after ultrasound treatment. These  
223 results are in agreement with those presented by Zhang *et al.*, (2011) who reported no  
224 differences in the molecular structure of wheat gluten after ultrasound treatment (900 W at  
225 100% amplitude for 10 min). Insufficient acoustic energy is provided to achieve proteolysis  
226 of the peptide bond ( $-\text{C}-\text{N}-$ ;  $285 \text{ kJ mol}^{-1}$ ), or scission of disulphide bonds ( $-\text{S}-\text{S}-$ ;  $226 \text{ kJ mol}^{-1}$ )

227 <sup>1</sup>) (Chandrapala, *et al.*, 2012; Zisu, *et al.*, 2011). The majority of acoustic energy is utilised  
228 for the disruption of associative non-covalent interactions maintaining aggregate structure  
229 (O'Sullivan, *et al.*, 2016).

230 Intrinsic viscosity,  $[\eta]$ , was determined from the fitting of the Huggins' and Kraemer  
231 equations to the experimental viscosity data, for untreated and ultrasound treated WhPI and  
232 SPI solutions at different concentrations, as shown in Fig. 3. Intrinsic viscosity is a measure  
233 of a solvents capacity to achieve hydration of a polymer and provides information about the  
234 hydrodynamic volume (Behrouzian *et al.*, 2014). Ultrasound treatment of WhPI and SPI  
235 induced a significant ( $P < 0.05$ ) reduction in the intrinsic viscosity, and thus a significant  
236 reduction in the hydrodynamic volume. These results are consistent with the reduction in  
237 aggregate size as measured by laser diffraction (*cf.* Fig. 1). Cole *et al.*, (1984) reported  
238 intrinsic viscosity values of  $\alpha$ -gliadin ranging between 0.95 – 1.85 dL g<sup>-1</sup>, owing to  
239 differences in solvent quality (*i.e.* solvent conditions), and Prakash, (1994) reported intrinsic  
240 viscosity values of 0.46 dL g<sup>-1</sup> glycinin (11S; soy globulin). These values differ to the results  
241 presented in this work for both untreated proteins, and these differences are ascribed to the  
242 complexity of WhPI and SPI solutions, which is composed of a mixture of protein fractions,  
243 rather than the single component  $\alpha$ -gliadin and glycinin used by Cole *et al.*, (1984) and  
244 Prakash, (1994), respectively. Additionally, the solvent used in the work of Cole *et al.*, (1984)  
245 was guanidine hydrochloride at concentrations ranging from 1.1 – 5.9 M, whilst in this work  
246 untreated WhPI was dissolved in distilled water.

247 Intrinsic viscosity of proteins in solution can give a measure of the degree of  
248 hydrophobicity (Tanner & Rha, 1980). The intrinsic viscosity of proteins in solution depends  
249 on its conformation and thus on its levels of hydration, which is a result of the amount of  
250 hydrophobic residues concealed within the interior of protein associates in solution.  
251 Furthermore, Khan *et al.*, (2012) reported that a decrease of intrinsic viscosity resulted in

252 dehydration of amphiphatic biopolymers associates, increasing the hydrophobicity of these  
253 biopolymers, hence reducing the energy required for adsorption at oil-water interfaces.  
254 Therefore, the reported decrease in intrinsic viscosity of WhPI and SPI induced by ultrasonic  
255 treatment, expresses an increase in the degree of hydrophobicity of these proteins.

### 256 **3.2. Comparison of the emulsifying performance of untreated and ultrasound treated** 257 **WhPI and SPI**

258 Oil-in-water emulsions were prepared with 10 wt. % rapeseed oil and a continuous  
259 phase containing either untreated or ultrasound treated WhPI or SPI, or Tween 80, at different  
260 concentrations (0.1 – 3 wt. %). The emulsions were passed through a microfluidiser at 100  
261 MPa for a single pass, and droplet sizes as a function of emulsifier type and concentration are  
262 shown in Fig. 4. The emulsion droplet sizes were measured immediately after emulsification,  
263 and all exhibited unimodal droplet size distributions.

264 Emulsions fabricated with ultrasound treated WhPI (*cf.* Fig. 4a) and SPI (*cf.* Fig. 4b)  
265 at concentrations < 0.75 wt. % yielded a significant ( $P < 0.05$ ) reduction in emulsion droplet  
266 size in comparison to their untreated counterparts. The decrease in emulsion droplet size after  
267 ultrasonic processing at concentrations < 0.75 wt. % is consistent with the aforementioned  
268 significant ( $P < 0.05$ ) reduction in protein size (*i.e.* increase in surface area-to-volume ratio)  
269 upon ultrasound treatment which allows for enhanced adsorption of protein at the oil-water  
270 interface, as reported by Damodaran & Razumovsky, (2008). Furthermore, the significant  
271 increase in the hydrophobicity (*i.e.* reflected in a reduction in the intrinsic viscosity; *cf.* Fig.  
272 3) would lead to an increased rate of protein adsorption to the oil-water interface, reducing  
273 the interfacial tension, thus improved facilitation of emulsion droplet break-up. The reported  
274 submicron emulsion droplet sizes for untreated WhPI are comparable to those measured by

275 Day *et al.*, (2009), in the order of ~300 nm for emulsions containing deamidated wheat  
276 protein (4 wt. %).

277 The reported emulsion droplet sizes for WhPI and SPI (*cf.* Fig. 5) are smaller than that  
278 of the untreated proteins (*cf.* Fig. 1). Be that as it may, the protein sizes of the untreated  
279 proteins represent aggregates of protein molecules rather than discrete protein fractions.  $\alpha$ -  
280 gliadin and glycinin have hydrodynamic radii ( $R_h$ ) of approximately 2.5 nm and 12.5 nm,  
281 respectively (Blanch *et al.*, 2003; Peng *et al.*, 1984), in comparison to the micron sized  
282 entities presented in Fig. 1. This disparity in size is due to the preparation of these isolates,  
283 whereby a combination of high shear and elevated temperatures result in the formation of  
284 insoluble aggregated material, in comparison to soluble native protein fractions. Proteins in  
285 aqueous solution associate together to form aggregates due to both hydrophobic and  
286 electrostatic interactions (O'Connell & Flynn, 2007), however in the presence of a  
287 hydrophobic dispersed phase (*i.e.* rapeseed oil) the protein molecules which comprise these  
288 aggregates dissociate and adsorb to the oil-water interface (Beverung *et al.*, 1999),  
289 accounting for the production of submicron emulsion droplets demonstrated in this study.

290 The observed emulsion droplet size data (*cf.* Fig. 4) can be explained by considering  
291 the interfacial tension of the presented systems. Fig. 5 shows the interfacial tension between  
292 water and rapeseed oil, for untreated and sonicated WhPI and SPI, and Tween 80 at a  
293 concentration of 0.1 wt. %. In order to assess the presence of impurities of the systems the  
294 interfacial tension between distilled water and rapeseed oil was measured. The interfacial  
295 tension of all systems decreased as a function of time (*cf.* Fig. 5), and this behaviour is  
296 ascribed to the nature of the dispersed phase and to a lesser extent the emulsifier utilised.  
297 Gaonkar, (1989) reported the time dependant characteristic of interfacial tension for  
298 commercial vegetable oils with water, attributed to the adsorption of surface active impurities  
299 within the oil to the oil-water interface. Moreover, Gaonkar, (1989) demonstrated that after

300 purification of vegetable oils the time dependency of interfacial tension was no longer  
301 exhibited.

302 The interfacial tension values obtained for both ultrasound treated WhPI and SPI were  
303 significantly lower ( $P < 0.05$ ) than that of their untreated counterparts, and furthermore lower  
304 than values obtained with Tween 80. These results are consistent with the obtained emulsion  
305 droplet sizes (*cf.* Fig. 4), and validates the hypothesis that aggregates of sonicated protein  
306 adsorb at an increased rate at the oil-water interface due to the higher surface area-to-volume  
307 ratio (*cf.* Fig. 1) and elevated hydrophobicity (*i.e.* reduced intrinsic viscosity; *cf.* Fig. 3),  
308 significantly reducing the interfacial tension, enhancing emulsion droplet breakup during  
309 emulsification and fabricating smaller emulsion droplets, in comparison to untreated proteins.

310 The stability of emulsions prepared with untreated and ultrasound treated WhPI and  
311 SPI was investigated over a 28 day period. In addition, emulsions prepared with Tween 80  
312 were assessed for comparative purposes. Fig. 6 shows the development of emulsion droplet  
313 size ( $d_{3,2}$ ) as a function of time for emulsions prepared with untreated and ultrasound  
314 irradiated WhPI and SPI, as well as with Tween 80, at a concentration of 0.1 wt. %.

315 Emulsions prepared with untreated WhPI (*cf.* Fig. 6a) exhibited a growth in emulsion  
316 droplet size at emulsifier concentrations  $< 0.75$  wt. %, whilst emulsions prepared with higher  
317 concentrations ( $\geq 0.75$  wt. %) of untreated WhPI were stable for the duration of the 28 day  
318 stability study (data not shown). Nevertheless, it can also be observed that emulsions  
319 prepared with ultrasound treated WhPI (*cf.* Fig. 6a) were resistant to coalescence for the 28  
320 days of the study, and possessed the same stability as Tween 80 (*cf.* Fig. 6). This behaviour  
321 was exhibited at all concentrations of ultrasound treated WhPI (data not shown). This  
322 enhanced emulsion stability of ultrasound treated WhPI in comparison to untreated WhPI is  
323 attributed to an increase in the hydrophobicity (*i.e.* decrease in the intrinsic viscosity) and



324 improved interfacial packing of ultrasound treated WhPI observed by a decrease in the  
325 equilibrium interfacial tension value (*cf.* Fig. 5a). Similar to emulsions prepared with WhPI,  
326 emulsions prepared with untreated SPI (*cf.* Fig. 6b) were unstable at emulsifier concentrations  
327  $< 0.75$  wt. %, whereas ultrasound treated stabilised SPI emulsions (*cf.* Fig. 6b) were stable at  
328 all concentrations over the 28 days of this study. This stability was observed for all  
329 concentrations explored in this study ( $\geq 0.5$  wt. %) of ultrasound treated SPI (data not  
330 shown). Emulsions prepared with higher concentrations ( $\geq 0.5$  wt. %) of untreated SPI were  
331 stable over the duration of the stability study (data not shown).

#### 332 4. Conclusions

333 This study demonstrated the capacity of ultrasonic processing (20 kHz,  $\sim 34$  W cm<sup>-2</sup>  
334 for 2 min) of WhPI and SPI to significantly ( $P < 0.05$ ) reduce aggregate size and  
335 hydrodynamic volume, whilst no significant ( $P > 0.05$ ) reduction in the primary molecular  
336 structure of the proteins was observed. This reduction in protein aggregate size, yet no  
337 reduction in primary molecular structure of WhPI and SPI is ascribed to sufficient energy to  
338 disrupt associative non-covalent interactions (3 – 14 kJ mol<sup>-1</sup>), whereas insufficient acoustic  
339 energy is provided to disrupt covalent interaction within the peptide chain, disulphide  
340 linkages (-S-S-; 226 kJ mol<sup>-1</sup>) and peptides bonds (-C-N-; 285 kJ mol<sup>-1</sup>).

341 Emulsions prepared with sonicated WhPI and SPI at concentrations  $< 0.75$  wt. %  
342 yielded smaller emulsion droplets in comparison to their untreated counterparts at the same  
343 concentrations. This behaviour is attributed to the reduction in protein aggregate size (*i.e.*  
344 enhanced mobility through the bulk) and an increase in hydrophobicity (*i.e.* reflected by a  
345 decrease in the intrinsic viscosity) of ultrasound treated WhPI and SPI. Furthermore,  
346 emulsions prepared with both ultrasound irradiated WhPI and SPI exhibited improved  
347 emulsion stability against coalescence for 28 days at all concentrations. This enhancement is

348 attributed to an improved interfacial packing, observed by a lower equilibrium interfacial  
349 tension.

350 Thus, ultrasound is capable of enhancing the emulsifying performance WhPI and SPI,  
351 in terms of both emulsion formation and long term stability, and moreover, possesses the  
352 capacity for improving the solubility of previously poorly soluble cereal (WhPI) and  
353 leguminous (SPI) proteins.

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358 acquired data for the study, and J O’Sullivan acquired data, interpreted data and prepared the  
359 manuscript. All authors read and approved the final manuscript. J. Beevers and M. Park were  
360 masters students at the University of Birmingham at the time the study was conducted.

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Fig. 1. Protein size distributions for: (a) untreated WhPI (solid line) and ultrasound treated WhPI immediately after processing (dashed line) and (b) untreated SPI (solid line) and ultrasound treated SPI immediately after processing (dashed line).

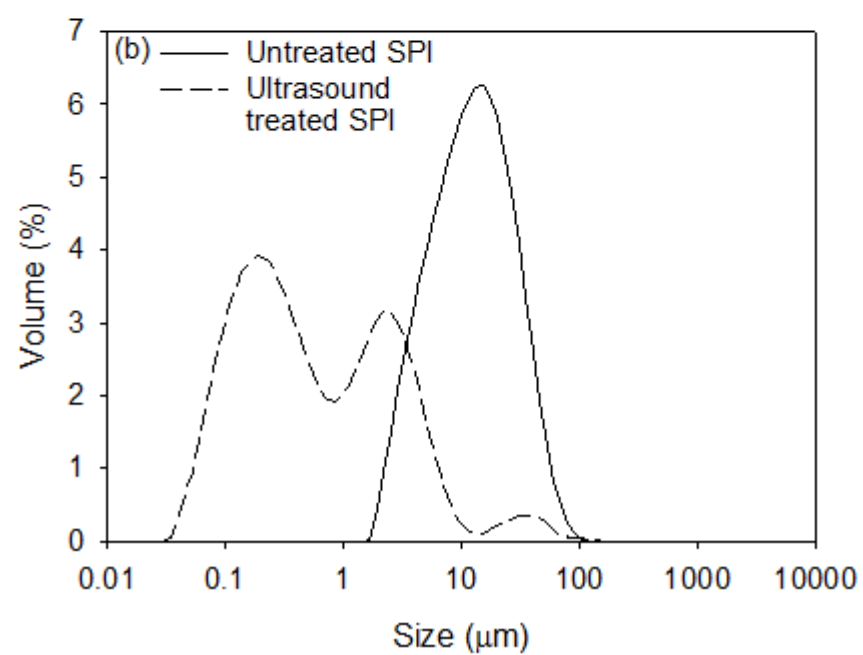
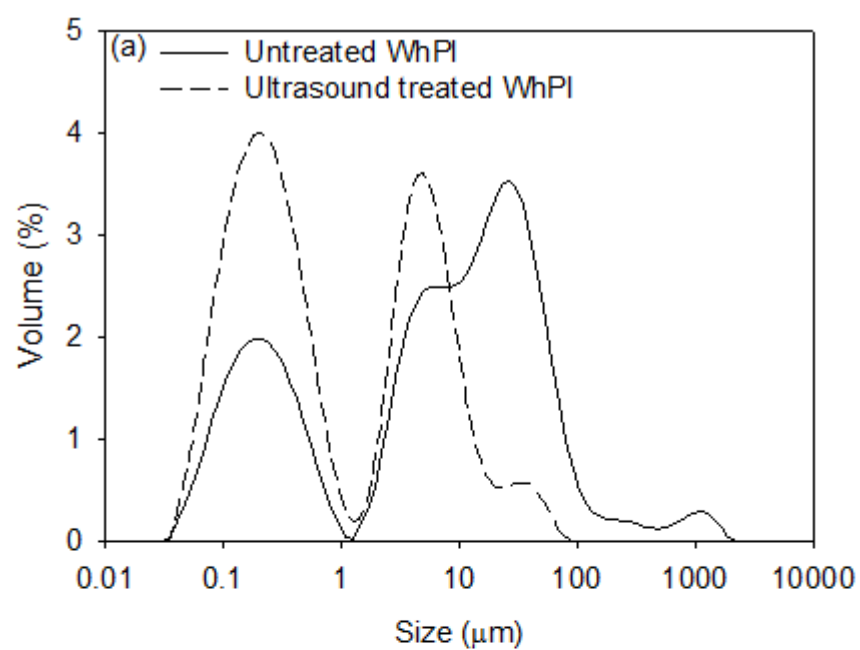
Fig. 2. SDS-PAGE electrophoretic profiles of protein solutions: (a) molecular weight standard (10 – 250 kDa), (b) untreated WhPI, (c) ultrasound treated WhPI, (d) untreated SPI and (e) ultrasound treated SPI.

Fig. 3. Fittings of the Huggins' (●) and Kraemer (○) equations to the viscosity data of (a) untreated WhPI, (b) ultrasound treated WhPI, (c) untreated SPI and (d) ultrasound treated SPI.

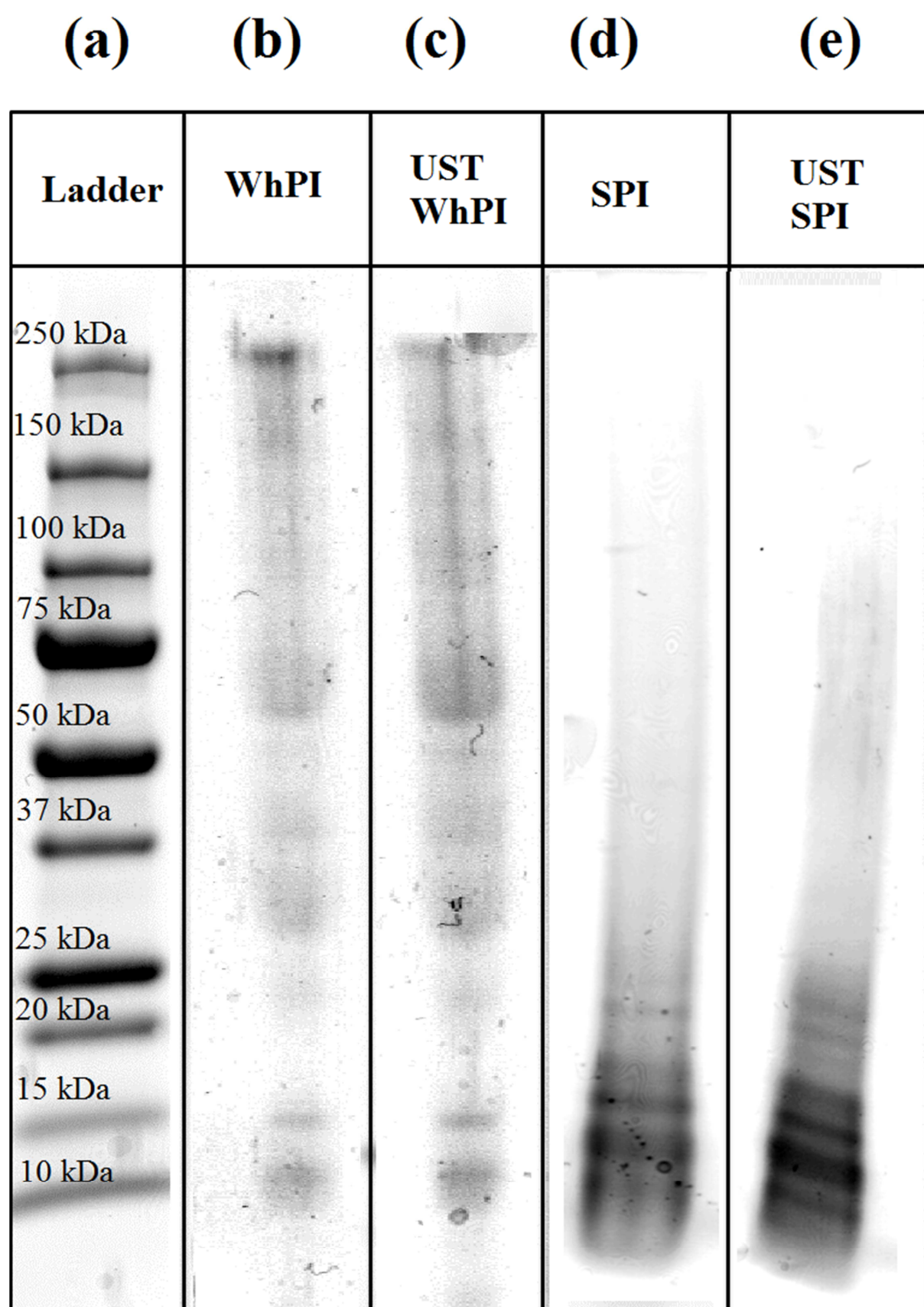
Fig. 4. Emulsion droplet size ( $d_{3,2}$ ) as a function of concentration (0.1 – 3 wt. %) of: (a) untreated WhPI (●), ultrasound treated WhPI (○) and Tween 80 (▼) and (b) untreated SPI (●), ultrasound treated SPI (○) and Tween 80 (▼).

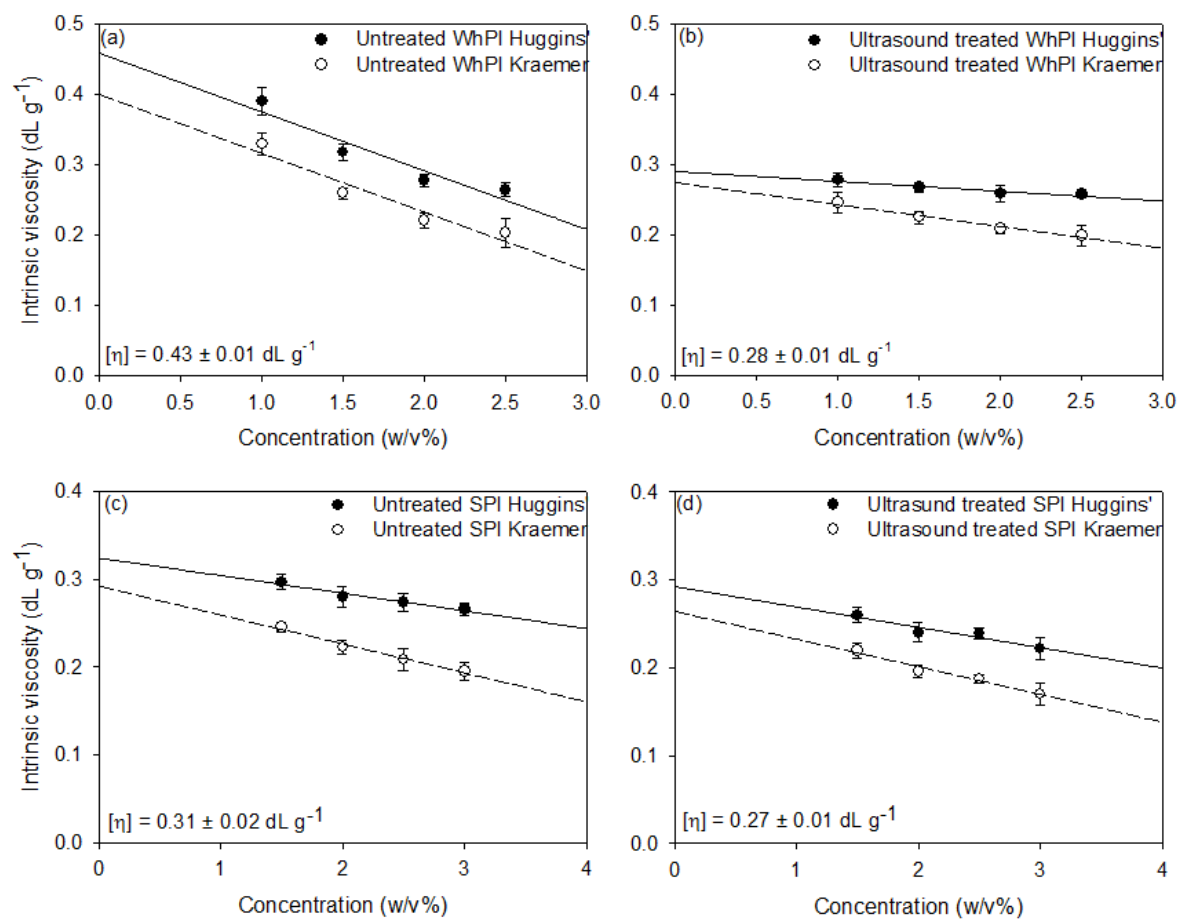
Fig. 5. Interfacial tension between: (a) untreated WhPI (●), ultrasound treated WhPI (○), Tween 80 (▼) and distilled water (Δ) and rapeseed oil, and (b) untreated SPI (●), ultrasound treated SPI (○), Tween 80 (▼) and distilled water (Δ) and rapeseed oil. The concentration of all emulsifiers was 0.1 wt. %.

Fig. 6. Effect of emulsifier type on droplet size as a function of time for emulsions stabilised by: (a) untreated WhPI (●), ultrasound treated WhPI (○) and Tween 80 (▼), and (b) untreated SPI (●), ultrasound treated SPI (○) and Tween 80 (▼). The concentration for all emulsifiers was 0.1 wt. %.

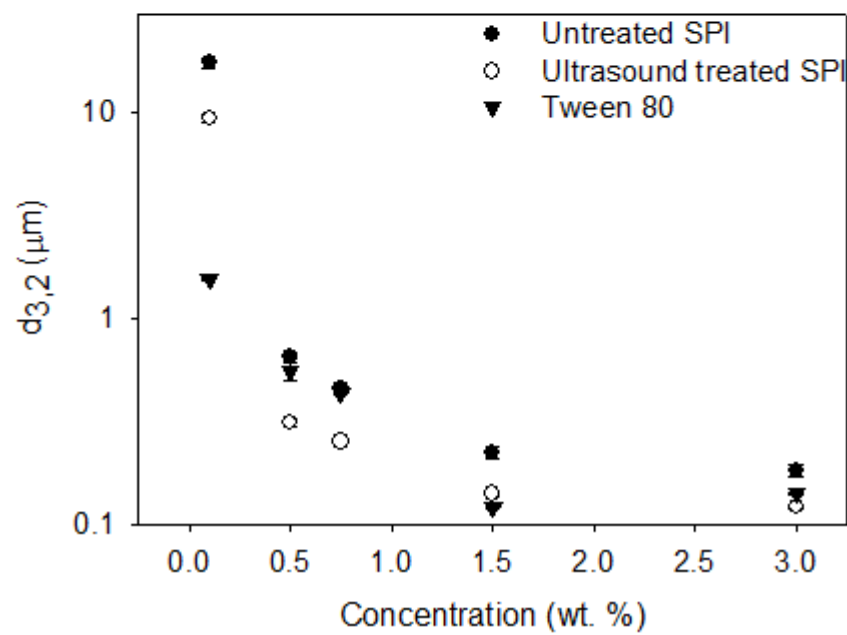
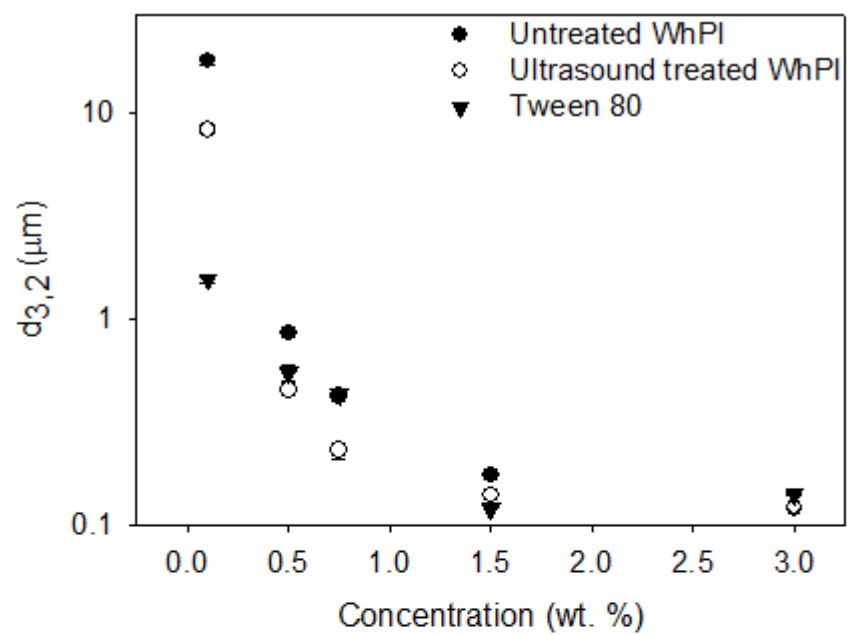


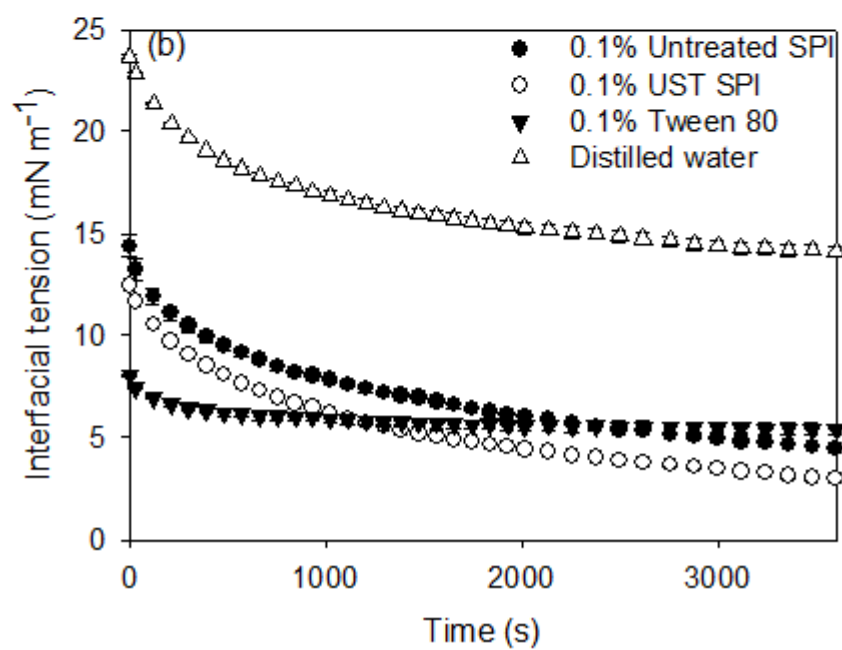
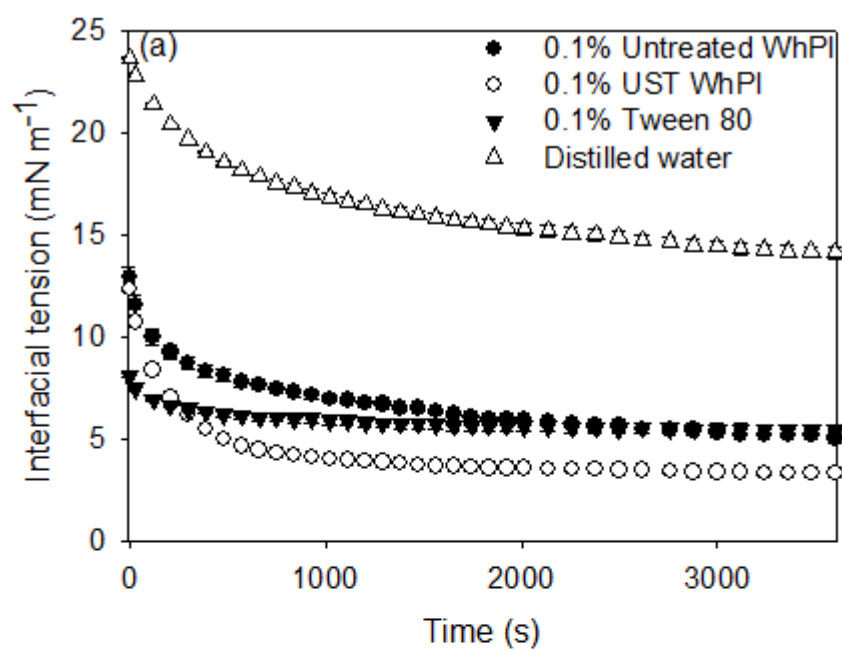


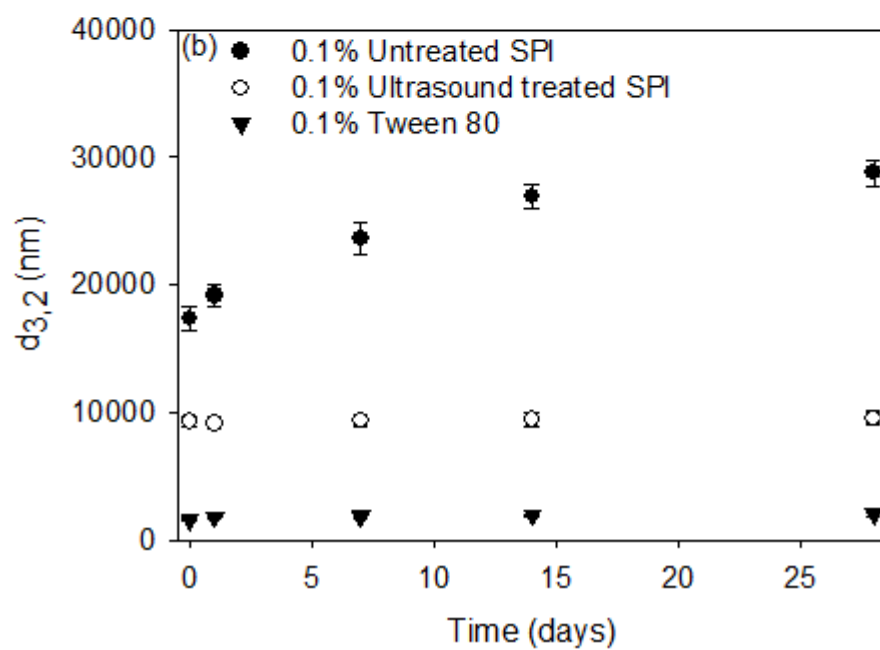
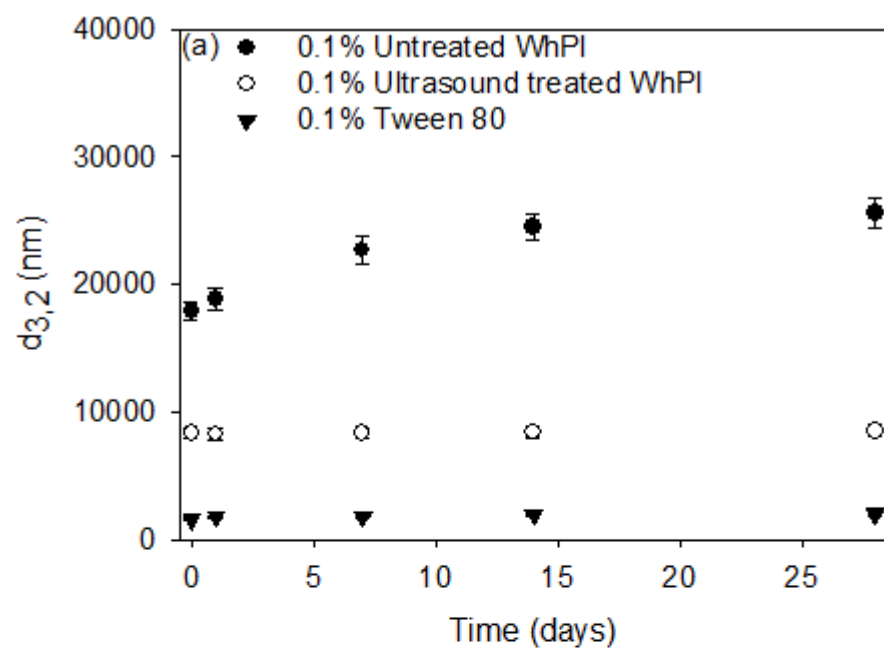












**Highlights:**

- Ultrasonic effect on properties of WhPI and SPI was assessed.
- Power ultrasound ( $\sim 34 \text{ W cm}^{-2}$ ) reduced aggregate size of both proteins.
- SDS-PAGE confirmed UST had no effect on the molecular weight of proteins.
- UST WhPI and SPI produced smaller O/W emulsion droplets.