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Regional Disparities in the Beneficial Effects of Rising CO₂ Concentrations on Crop Water Productivity

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Summary

Rising atmospheric CO₂ concentrations [CO₂] are expected to enhance photosynthesis and reduce crop water use¹. However, there is high uncertainty about the global implications of these effects for future crop production and agricultural water requirements under climate change. Here we combine results from networks of field experiments^{1,2} and global crop models³ to present a spatially explicit global perspective on crop water productivity (CWP, the ratio of crop yield to evapotranspiration) for wheat, maize, rice and soybean under elevated [CO₂] and associated climate change projected for a high-end greenhouse gas emissions scenario. We find CO₂ effects increase global CWP by 10[0;47]%-27[7;37]% (median[interquartile range] across the model ensemble) by the 2080s depending on crop types, with particularly large increases in arid regions (by up to 48[25;56]% for rainfed wheat). If realised in the fields, the effects of elevated [CO₂] could considerably mitigate global yield losses whilst reducing agricultural consumptive water use (4-17%). We identify regional disparities driven by differences in growing conditions across agro-ecosystems that could have implications for increasing food production without compromising water security. Finally our results demonstrate the need to expand field experiments and encourage greater consistency in modelling the effects of rising [CO₂] across crop and hydrological modelling communities.

Main text

Research indicates unabated climate change will exacerbate water scarcity around the world^{4,5}. This is thought to threaten agricultural productivity and food security especially in arid regions⁶⁻⁸, where agriculture relies heavily on irrigation and consumes the majority of diverted freshwater⁹. Yet, rising atmospheric CO₂ concentrations ([CO₂]), despite directly contributing to climate change, have the potential to increase crop water productivity (CWP; defined here as the ratio of crop yield to total crop water use over the growing season) by enhancing photosynthesis and reducing leaf-level transpiration of plants^{1,2}. If these effects can be harnessed to increase crop yields and reduce water consumption in agriculture at national to continental scales, this could greatly help in ensuring food and water security for a rapidly growing global population¹⁰.

The enhancement of photosynthesis rates in C₃ crops and the reduction in stomatal conductance – and thus water loss – in both C₃ and C₄ crops under elevated [CO₂] is well supported by numerous plant manipulation experiments^{1,11}. The extent to which such mechanisms eventually enhance crop yields and reduce evapotranspiration (ET) is less well understood on large scales¹²⁻¹⁴, but observations of crops grown under elevated [CO₂] (Free Air Carbon Enrichment, FACE) show that an average increase of 13% in yields and 5% reduction in ET can be expected^{1,15}. However, FACE experiments are for the most part located in temperate regions, whereas tropical and arid regions, where food security is most threatened⁶, are under-represented^{16,17}. Given the strong dependence of CO₂ effects on environmental conditions and the limited coverage of FACE experiments for representing the diversity of agricultural production systems worldwide^{16,17}, process-based modelling is needed to assess the scope of beneficial effects of elevated CO₂ on CWP¹⁸. The few such studies that exist rely on single models e.g. ^{19,20} and therefore do not cover the range of uncertainty embedded in crop modelling methodology, and particularly in calculations of the effect of rising [CO₂] on yields of C₃ crops (e.g. Figure 4 in ref. 21), which can lead to substantial variation in simulated impacts e.g.^{3,22}.

Here we present a spatially explicit global assessment of effects of elevated $[\text{CO}_2]$ on future CWP originating from a large ensemble of simulations, resulting from a recent international modelling intercomparison exercise³. The model ensemble comprises six global gridded crop models (GGCMs), with simulations using climate input data from five global climate models (GCMs)²³ under a high-end greenhouse gas emissions scenario that projects a doubling of $[\text{CO}_2]$ by 2080 relative to 2000, i.e. the Representative Concentration Pathway (RCP) 8.5²⁴ (see Methods). We estimate changes in simulated crop yields, actual evapotranspiration (AET) and CWP under rising $[\text{CO}_2]$ and associated climate change for three C_3 crops, wheat, rice and soybean, and one C_4 crop, maize, throughout the 21st century relative to the present-day baseline (circa 2000). To assess the specific role of elevated $[\text{CO}_2]$ under various crop growing conditions, we considered two sets of simulations: (1) accounting for both effects of elevated $[\text{CO}_2]$ and changes in climate (*CC w/ CO_2*); (2) accounting for changes in climatic conditions whilst keeping $[\text{CO}_2]$ constant to present-day levels (*CC w/o CO_2*). We examined rainfed and irrigated growing conditions according to present distribution of rainfed and irrigated cropping areas²⁵ and assumed no change in the assumption of individual models on input rates of fertiliser applications (see Methods). We collected all available FACE data on both yield and water use and/or crop water use efficiency for the four crops (see Methods; Supplementary Tables S1 and S2) to compare simulated and observed CO_2 effects on CWP at elevated concentrations (Fig. 1 and Supplementary Results). We present and discuss in detail sources of differences in simulated CWP in the Methods.

By 2080 under *CC w/o CO_2* , we find severe negative impacts on crop yields at the global scale and small reductions in corresponding AET, which together lead to large reductions in global CWP (median 13-26%, with larger reductions for C_3 crops) supported by more than 80% of the simulations (Table 1; see Methods for a description of the aggregation approach). In contrast, under *CC w/ CO_2* , median negative impacts on yields are fully compensated for wheat and soybean, and mitigated by up to 90% for rice and 60% for maize. We find effects of elevated $[\text{CO}_2]$ reduce global AET of maize, wheat and soybean by a median 8 to 17%, but are less pronounced (3%) on AET of rice, as the latter is mostly grown under well-watered conditions, and thus less affected by water-stress (Table 1 and Supplementary Table S3). The combined effects of *CC w/ CO_2* on yield and AET result in substantial increases in global average CWP of wheat (27[7;37]%) and soybean (18[-9;42]%) and moderate increases in that of maize (13[3;22]%) and rice (10[0;47]%) (Table 1; numbers in bracket represent the interquartile range).

We compare impacts across climatic regions and growing conditions. By 2080 under *CC w/ CO_2* , simulated CWP in arid, temperate and cold regions exhibits particularly large increases relative to 2000 (median increase above 15%), whilst CWP in tropical cropland increases by only a negligible amount on average (median increase below 4%) (Fig. 2). In fact, we find CWP of crops grown in arid climate benefit the most from the effects of elevated $[\text{CO}_2]$, especially under rainfed conditions (Supplementary Table S3), leading to additional crop production along with substantial reductions in consumptive crop water use by 2080. For example, assuming wheat rainfed areas remain steady in future, global production of rainfed wheat could increase by a median 9% by 2080 relative to 2000, whilst corresponding consumptive water use decreases by 11% (see Supplementary Table S4). Crops grown under irrigated conditions also benefit from CO_2 -induced decreases in crop stomatal conductance. For example, CWP of irrigated wheat in arid areas - covering 63% of harvested areas - increases by a median 18% (Supplementary Table S3). These beneficial effects on CWP reduce overall consumptive crop water use with non-negligible reductions in consumptive irrigation water use, which can be critical as such use directly competes for water resources with

other uses such as households, industry and the maintenance of other ecosystem services (Supplementary Table S5).

We then examine the contribution of simulated CO₂ effects on crop behaviour across regions by comparing *CC w/ CO₂* and *CC w/o CO₂* simulations. We find particularly larger effects on maize grown in semi-arid regions including most of southern Africa, the Middle East and parts of central Asia, western USA and the Iberian Peninsula (Fig. 3a). Our results for maize display a high level of confidence in the spatial distribution, except for the Iberian Peninsula where a particularly large response simulated by some GGCMs increases the range of results (Supplementary Fig. S5a and Supplementary Results). In the case of the C₃ crops, we find spatial distribution of CO₂ effects follows different patterns than for maize: For wheat (Fig. 3b), median simulated effects on CWP are relatively larger in tropical areas (20-30%) than in temperate ones (10-20%). For soybean and rice, we find smaller regional differences in the CO₂ effects with overall larger effects for soybean (Fig. 3c,d). Furthermore, we find some regions show a particularly wide range of impacts across the simulation ensemble: notably western sub-Saharan Africa and eastern Brazil for rice (Supplementary Fig. S5c); the Middle East, southern Africa, south-east Asia and south-western Australia for soybean (Supplementary Fig. S5d). Further information are presented in the Methods and supported by maps of individual model responses (Supplementary Fig. S8-S11).

While results from the simulation ensemble confirm that the median CWP of six models generally agrees with observations (Fig 1 and Supplementary Fig. S4), there are considerable variations among the models caused by differences in calibration and parameterisation methods. The inclusion of six GGCMs in our modelling inter-comparison study drastically amplifies the range of simulated CWP under *CC w/ CO₂* (Fig. 4), which more than doubles by 2050 (the range is $\pm 14\%$ for an ensemble of 6 GGCMs x 1 GCM instead of $\pm 6\%$ for an ensemble of 1 GGCM x 5 GCMs). This is caused primarily by GGCMs differences in simulating crop response to CO₂ (Supplementary Table S6). We are able to differentiate the role of CO₂ from that of climate by quantifying uncertainties under both scenarios *CC w/ CO₂* and *CC w/o CO₂*: we find the range in simulated global CWP reaches $\pm 25\%$ under *CC w/ CO₂* instead of $\pm 12\%$ under *CC w/o CO₂* (Supplementary Table S6; estimates refer to the median absolute deviation from the median). We therefore find a significantly larger variance resulting from multiple GGCM responses than from multiple bias-corrected GCM signals (Supplementary Fig. S7).

Our analysis provides a global spatially explicit assessment of the role of rising CO₂ on CWP throughout the 21st century and explores variations in key mechanisms across agro-climatic regions. We show large regional differences in the intensity of CO₂ effects across the world (Fig. 2 and 3) and between crop types (Fig. 3 and 4). We find the range of simulated results (yield, AET, CWP) is comparable to the range of FACE measurements (Fig. 1, Supplementary Fig. S4), which can vary widely from year-to-year and site-to-site¹, even though the sample of available CWP data is very small. These FACE experiments are currently only available in a small number of locations (Arizona, USA, Germany and Australia for wheat; Japan and China for rice; Germany for maize; and Illinois, USA, for soybean). It is also important to highlight additional caveats in our evaluation. First, methods to represent CO₂ effects in GGCMs include a key assumption that crop responses to elevated [CO₂] will be the same under extremes of temperature and water supply as they are in the moderate conditions where experiments have been performed to date. Second, we compare current climate w/ and w/o CO₂ (FACE) with future climate w/ and w/o CO₂ (simulations). Third, simulation of irrigated systems can differ from actual irrigated systems in FACE (Methods). The dearth of long-term observational data and the large spread among model simulations highlights the urgent need for expanding FACE experiments, especially in arid and semi-arid cropland areas.

Continuing coordinated efforts for model inter-comparison and improvement are equally important. Finally, the use of GGCMs here could inform the design of subsequent FACE experiments to be conducted under more extreme growing conditions.

Our results – based on state-of-the-art modelling and observational capacities – demonstrate that a robust understanding of the role of rising [CO₂] is vital to assess potentially beneficial effects on crop production and agricultural water requirements; effect which might offer crucial opportunities for food and water security in arid and semi-arid areas^{26,27}. Nonetheless, other sources of uncertainties in GGCMs have yet to be explored in greater detail, especially with respect to CTWN (carbon-temperature-water-nitrogen) interactions and agricultural management assumptions. We quantify the importance of CO₂ effects on potential water savings and in so doing highlight key limitations of global hydrological models that do not consider effects of CO₂ on ET^{5,7}. The next generation of models need to account for the large effects of elevated [CO₂] on crop water dynamics and global irrigation requirements. Anticipating climate impacts and interactions across the agriculture and water sectors is essential to improve the efficiency and resilience of agricultural systems. Food security, especially in arid and less developed regions, is not only a function of crop productivity and available land, but also of CWP and available water resources. This relationship is strongly affected by elevated [CO₂] and demands greater attention in scientific and policy assessment.

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Contributions:

DD had the main responsibility for the study idea, methods, analysis, and writing the paper. DD, JE and CR designed and coordinated the modelling intercomparison analysis. JE, CF, CM and TAMP contributed to analysis. DD, JE, CF, CM, TAMP, SO, NK and ES performed the crop model simulations. JE, CM, CF, TAMP, KJB, DC and ACR made significant contribution in developing the paper structure and interpreting the results. JE, ACR, DG, SS, HY, JWJ and CR made important contribution in developing the original study idea. All authors contributed to writing the paper.

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Tables and Figures captions

Table 1: Relative change in global average yield, AET and CWP (%): Median values across all GCM–GGCM combinations for *w/ CO₂* and *w/o CO₂* simulations for 2080 relative to 2000 under RCP 8.5. Numbers in brackets are the first and third quartiles, respectively. Degree of agreement in the sign of change is characterised by a background colour (orange: more than 80% agreement in a net decrease; yellow: between 60-80% agreement in a net decrease; green: between 60-80% agreement in a net increase; blue: > 80% agreement in a net increase; clear: < 60% agreement in the sign of change).

Figure 1: CWP responses to elevated CO₂ (550 ppm from FACE and corresponding grid-cell values extracted from GGCM simulations in this study) for maize, wheat, rice and soybean at ample and limited soil water. FACE data were collected from references summarised in Table S1. The left and right sides of the box are lower and upper quartiles, respectively, and the band near the middle of the box is the median value across each set of simulations. Open circles are outliers. Note rainfed simulations for maize and rice at the FACE locations correspond to negligible water stress conditions.

Figure 2: Median change in rainfed (rain.) and irrigated (irr.) CWP (%) in tropical (trop.), arid, temperate (temp.) and cold regions simulated under RCP 8.5 by 2080 relative to 2000 for all crop–GGCMs–GCMs combinations for *w/ CO₂* and *w/o CO₂* only. Width of the boxes varies according to corresponding total crop irrigated and rainfed harvested areas.

Figure 3: Map of median relative change between simulated CWP *w/ CO₂* and *w/o CO₂* only (%) in the model ensemble (inc. 6 GGCMs × 5 GCMs) by 2050 under RCP 8.5. Rainfed simulations are shown for maize (a), wheat (b), rice (c) and soybean (d). Simulated areas are masked by current rainfed areas from the MIRCA dataset.

Figure 4: Global average CWP (%) relative to 2000 simulated under RCP 8.5 for each GGCM driven by five different GCMs. Solid lines show median CWP under both climate change and CO₂ effects whereas dashed-lines show median CWP under climate change effects only, i.e., with constant [CO₂]. Shaded areas show the range across the GGCM–GCM ensemble under *w/o CO₂* (yellow) and *w/ CO₂* (blue), distinctively, and overlap between *w/o CO₂* and *w/ CO₂* (red).

Methods

Overview of the global gridded crop models

The model ensemble comprises six global gridded crop models (GGCMs) driven by daily climate data from five different global climate models (GCMs) under RCP 8.5³. The six GGCMs consist of:

1. the Environmental Policy Integrated Climate (EPIC) model²⁸⁻²⁹ (originally the Erosion Productivity Impact Calculator; ref. 30);
2. the Geographic Information System-based Environmental Policy Integrated Climate (GEPIC) model³⁰⁻³²;
3. the Lund-Potsdam-Jena managed Land (LPJmL) dynamic global vegetation and water balance model³³⁻³⁵;
4. the Lund-Potsdam-Jena General Ecosystem Simulator (LPJ-GUESS) with managed land^{33,36,37};
5. the parallel Decision Support System for Agro-technology Transfer [pDSSAT^{38,39}; using the Crop Environment Resource Synthesis (CERES) models for maize, wheat, and rice and the Crop Template approach (CROPGRO) for soybean];
6. the Predicting Ecosystem Goods And Services Using Scenarios (PEGASUS) model^{40,41}.

These GGCMs can be grouped into two families spanning more than three decades of model development:

- site-based crop models – extended for global analyses using geographical information system (EPIC and GEPIC) and advanced parallel simulation system (pDSSAT);
- ecosystem models – initially developed to simulate the terrestrial carbon cycle for natural vegetation using downscaled global climate data and then extended to represent managed land (LPJmL, LPJ-GUESS and PEGASUS);

The site-based crop models tend to include a more detailed representation of cropping systems but necessitate substantial computing resources, whereas the ecosystem models typically include less detail on crop management but present the advantage of being run globally in a short fraction of time. In addition, since the ecosystem models simulate global carbon and water cycles, they are useful tools for assessing crop production in the context of global environmental change.

Model representation of biophysical processes

Biophysical processes represented in GGCMs include light utilisation, CO₂ effects (see next section for CO₂), environmental stresses, soil water dynamic and, for some, soil nutrient cycling. Firstly, photosynthesis is described with either a simple radiation use efficiency (RUE) (e.g. PEGASUS, described in ref. 41) or a detailed leaf-level photosynthesis respiration (PR) (ref. 42) (e.g. LPJmL and LPJ-GUESS, described in ref. 43) approach. Representation of CO₂ fertilisation effects on photosynthesis and transpiration rates thus follows either a descriptive (RUE-type models) or explanatory approach (PR-type models). Secondly, all GGCMs take into account temperature and water stress. Most models also include nitrogen stress (except the LPJ-type models). Additionally both EPIC-type models represent aluminium and oxygen stresses. PEGASUS represents heat stress effect at anthesis³⁹, resulting in systematically strongest negative impacts (Supplementary Figure S1). Thirdly, GGCMs differ in respect to crop water demand and estimated evapotranspiration (ET): the EPIC-type models use the Penman-Monteith approach^{44,45}, whereas the other GGCMs use Priestley-Taylor⁴⁶. In addition, the number of soil layers varies among GGCMs and roots are either linearly or exponentially distributed throughout the soil depth. Finally, crop phenology in GGCMs depends on temperature using growing degree day accumulation, which varies with models'

definition of base and maximum temperature thresholds that are also crop specific (See Tables S3 in ref. 3*)

Model representation and parameterisation of crop response to [CO₂]

The choice of light utilisation representation method (RUE vs. PR) in GGCM determines that of CO₂ effects. In the RUE approach (followed by PEGASUS, EPIC, GEPIC and pDSSAT – for wheat/rice/maize), rising [CO₂] increases a RUE coefficient, which proportionally affects the rate of photosynthesis^{31,47}. In PEGASUS, parameterisation of the modified RUE coefficient was done by comparing grid-cell simulations and FACE results reported in ref. 12 using [CO₂] levels of 380 parts per million (ppm) for baseline⁴⁰. In EPIC and GEPIC, parameterisation of the modified RUE coefficient uses pre-FACE data normalised around 330 ppm as described in ref. 48. In the case of pDSSAT, Boote et al. (ref. 49) evaluated the CO₂-responses of each DSSAT model, which was originally based on pre-FACE observations and normalised to 330 ppm. Evaluations for CERES-wheat and rice showed that the simulated responses to doubled CO₂ (27 and 32% response for wheat and rice, respectively) were sufficiently close to reported FACE data (31 and 30% response for wheat and rice, respectively). The review by Boote et al. (ref. 49) concluded that prior DSSAT parameterisation to CO₂ effect for C₄ CERES-Maize, Sorghum, and Millet models was too high (based on old literature). Therefore, the response of these three C₄ crops in DSSAT was reduced to give a 4.2% grain yield increase for doubled CO₂ (350 to 700 ppm) beginning with DSSAT version V4.5 released in 2010, and in this study.

Transpiration in PEGASUS, EPIC and GEPIC increases with CO₂ following a logarithmic equation as in refs. 31, 47 and is identical for all crops⁴⁰. Transpiration in pDSSAT follows the approach of leaf resistance increasing as a function of rising CO₂, one equation for C₃ and one for C₄. Then, the daily transpiration is reduced as a function of rising CO₂, where the relative transpiration effect ratio is computed in a Penman-Monteith style equation that considers the psychrometric constant, gamma, the two canopy resistances (at reference CO₂ and at present CO₂), and boundary resistance. The effect is modest and has not been tested with any transpiration data (see ref. 49 for more information).

The PR approach in pDSSAT-soybean (i.e. CROPGRO-soybean) uses an analytical derivation, e.g. RuBP-limiting side of the rubisco kinetics of ref. 50, as described in refs. 51, 52. Farquhar and von Caemmerer (ref. 50) developed an analytical solution for quantum efficiency that depends on the RuBP-limiting (light-limiting) phase, with no need to consider rubisco enzyme parameters. The approach is applied to make quantum efficiency and light-saturated photosynthesis (A_{max}) sensitive to temperature and CO₂ within asymptotic exponential equations for sunlit and shaded leaf classes. As a replacement for rubisco enzyme, the A_{max} term is strongly dependent on specific leaf nitrogen. The CO₂ response for soybean is an emergent outcome of this parameterisation and was shown to give yield response to doubled CO₂ comparable to metadata⁴⁹. Similarly, in the PR approach followed by the two LPJ models, potential photosynthesis rate is calculated as a function of co-limitation by light and the rubisco enzyme, considering the influences of photosynthetically active radiation, temperature, and [CO₂]⁴³. Note that although rubisco capacity is not prescribed but maximised daily, photosynthesis rate is not acclimated in response to a possible down-regulation of

* Accessible at

<http://www.pnas.org/content/suppl/2013/12/16/1222463110.DCSupplemental/sapp.pdf>

rubisco activity at elevated [CO₂]. In case of a soil moisture deficit, both photosynthesis and transpiration (canopy conductance) are reduced nonlinearly⁵³.

Model representation of agricultural management practices

Representation of farm management practices is also a source of difference in GGCM results: whether and how fertiliser application, irrigation, crop residue management, crop cultivar selection and planting date decision are simulated strongly influence yield and other outputs. The site-specific models (i.e. EPIC, GEPIC & pDSSAT) apply fertiliser dynamically through the crop growing season: application occurs at specific stages of the crop development to take into account the role of both application quantity and timing. PEGASUS applies fertiliser as a daily stress function and thus does not simulate effect of fertiliser application timing⁴⁰. LPJmL and LPJ-GUESS do not represent fertiliser application. As well, although ISI-MIP provided harmonised climate data, models generally used quite different input data/methods for soil characteristics and national fertiliser application rates³.

Planting date decision and choice of crop cultivars also vary among GGCMs. Tables S2 and S4 in ref. 3 provide a detailed description of GGCMs assumptions[†]. Chiefly, PEGASUS and GEPIC allow for adaptation in planting window whilst the other GGCMs assumed planting window fixed to present-day. LPJ-GUESS and PEGASUS also allow for adaptation in crop cultivars (growing degree-day requirements) whilst the other GGCMs use fixed crop cultivars.

Model calibration

Finally, GGCM calibration methods differ significantly between site-specific and ecosystem models. Ecosystem models are calibrated to global crop yield data (e.g. PEGASUS, ref. 40) and FAO national statistics (e.g. LPJmL, ref. 33) by tuning a limited number of parameters, whereas the site-specific models use a large set of parameters previously calibrated at various study sites³. Given all these differences, we found models from similar origins, such as EPIC/GEPIC and LPJmL/LPJ-GUESS, differ enough to be considered each as an independent GGCM within the ensemble.

Climate inputs

All GGCMs were run at 0.5° lat × 0.5° lon spatial resolution using bias-corrected climate scenarios resulting from five GCMs under RCP 8.5 for the period 1971–2099. Hempel et al. (ref. 23) provides a detailed description of the GCMs used and downscaling methods. The five GCMs include:

1. HadGEM2-ES (developed at the Hadley Centre for Climate Prediction and Research in the UK);
2. IPSL-CM5A-LR (developed at the Institut Pierre Simon Laplace in France);
3. MIROC-ESM-CHEM (cooperatively developed at the Center for the University of Tokyo, the National Institute for Environmental Studies, and the Frontier Research Center for Global Change in Japan);

[†] Accessible at <http://www.pnas.org/content/suppl/2013/12/16/1222463110.DCSupplemental/sapp.pdf>

4. GFDL-ESM2M (developed at the Geophysical Fluid Dynamics Laboratory in the United States);
5. NorESM1-M (developed at the Norwegian Climate Centre in Norway).

Modelling protocol

All GGCMs simulated maize, wheat, rice and soybean except PEGASUS, which does not simulate rice. In the case of wheat, PEGASUS simulated spring variety everywhere as it does not simulate winter wheat, assuming a spring variety was planted in areas where a winter one is typically grown. Each GGCM-GCM combination was run with (w/) and without (w/o) CO₂ from 1971 to 2099 according to the modelling protocol developed within the framework of the Agricultural Model Intercomparison and Improvement Project (AgMIP) and the Inter-Sectoral Impacts Model Intercomparison Project (ISI-MIP)³. In the *CC w/o CO₂* simulations, [CO₂] were kept constant to 380 (ppm), corresponding to concentrations in the year 2000. For this analysis, simulations under *CC w/o CO₂* have been updated from the original set of simulations presented in ref. 3 to ensure all models used the same [CO₂] baseline, i.e. 380 ppm in 2000. We analyse GGCM outputs of crop yield and AET and calculate crop water productivity (CWP in kg m⁻³) for a specific year following the equation: $CWP = 100Y/AET$ where Y is the crop yield in ton ha⁻¹ yr⁻¹ and AET is the total actual evapotranspiration in mm over the growing season of that specific year. Each year of crop yield data is averaged over a 30-year or a 10-year period according to the ISI-MIP protocol. GGCMs perform simulations over the entire land surface according to their own agro-climatic suitability indices. We then mask out results to current cropland rainfed and irrigated areas using the MIRCA dataset²⁵. Global average estimates of yield, AET and CWP consist in weighted mean values across all grid-cells, weighted by crop rainfed and irrigated harvested areas. Two irrigation scenarios were considered: no irrigation (i.e. rainfed) and fully irrigated assuming no water stress (the specific threshold for water stress was independently selected by each GGCM modelling team). We calculate global average CWP from actual yield combining both fully irrigated and rainfed yields according to the MIRCA data for irrigated cropland areas²⁵. We further disaggregated our results by climatic regions following the Köppen-Geiger system to distinguish between tropical, arid, temperate and cold regions⁵⁴. An extensive description of the GGCMs that participated in the AgMIP/ISI-MIP fast-track exercise is also published in the Supplementary Appendix of ref. 3.

Comparison to FACE observations

To assess GGCMs' performance against current observations, we compiled available results from FACE experiments reporting on CWP identified at several locations across the world (wheat in Arizona, USA⁵⁵⁻⁵⁸, Germany^{59,60} and Australia⁶¹; rice in China⁶² and Japan⁶³; soybean in Illinois, USA⁶⁴; and maize in Germany⁶⁵). Supplementary Tables S1 and S2 summarise FACE site characteristics and GGCMs results. We compared GGCM simulations against these FACE observations (i.e. at the grid-cell level) for rainfed and/or irrigated conditions (Supplementary Tables S1 & S2). We selected corresponding yield and AET values from the GGCM simulations at grid-cells matching the coordinates of FACE observations to calculate relative change in CWP between *CC w/ CO₂* and *CC w/o CO₂*. Ambient atmospheric [CO₂] in the FACE experiments varied between 360 and 380 ppm and elevated CO₂ corresponds to 550 ppm. We thus used 10-year average estimates around the year 2050, which corresponds to the same increment of [CO₂] level rise relative to the baseline (550 ppm in 2050 to 380 ppm in 2000, respectively). In most FACE experiments reported here, temperatures are held constant. We thus estimate relative change between w/ and w/o CO₂ around the year 2050 to single out effects of CO₂ from those of temperature and precipitation changes relative to

the year 2000.

Sources of differences in simulated CWP

Model evaluation against FACE measurements show median simulated CO₂ effects on CWP tend to be slightly greater than observation for maize (Fig. 1 and Supplementary Fig. S1) due to stronger simulated effects on ET (Supplementary Fig. S3). Overall, we find CO₂ effects on maize yield are minimal for both simulated and observed data (Supplementary Fig. S2). However, the choice of a descriptive rather than explanatory representation of light utilisation (i.e. radiation use efficiency - RUE - versus leaf-level photosynthesis and respiration - PR; see Methods) slightly overestimates the CO₂ effects on maize yield at the “wet” FACE site (Supplementary Fig. S2), and thus partly contributes to greater simulated CO₂ effects on CWP in the ensemble (Fig. 1). On the contrary, in drier agroclimatic conditions, the greater responsiveness of crop yield and CWP to elevated [CO₂] appears independent of the choice of light utilisation representation method but rather sensitive to model calibration and parameterisation method (Supplementary Fig. S8).

In the case of the C₃ crops, we find simulated CO₂ effects are much stronger on carbon assimilation and thus on leaf area and crop yield in all models, broadly confirming FACE measurements (Fig. 1). However, CO₂ responses is much higher when simulated using the PR approach to light utilisation representation. We find simulated CO₂ effects on CWP tend to be slightly lower than observations for wheat and rice and nearly the same as observations for soybean (Fig. 1 and Supplementary Table S1). Differences in fertiliser inputs assumption is the main source of differences in simulated wheat and rice responses to elevated [CO₂]: for example, EPIC, which considers high nitrogen (N) application rates everywhere, shows much stronger positive effects of elevated [CO₂] in Africa than GEPIC, which only applies N inputs according to present-day levels; Similarly, LPJmL is tuned – partly through a constraint in the maximum leaf area index (LAI) - to FAO yields, and thus indirectly accounts for different nutrient/management intensity across nations. LPJmL thus simulates a lower CWP response in many parts of Africa and in low N inputs regions, unlike LPJ-GUESS, which does not have a constraint on the maximum LAI and can thus reach higher AET values without a corresponding increase in yield (Supplementary Figs. S9-11). We also find these differences lessen for soybean since, being an N-fixing legume, is less sensitive to N input levels. Finally, EPIC, which in this study constrains irrigation water use, shows smaller CO₂ effects on AET than GEPIC, which allows for optimum irrigation water use (Supplementary Fig. S3). Furthermore, the choice of ET equation that differs between the EPIC-type models and pDSSAT and PEGASUS (ref. 3), contributes to important differences in model behaviour in some regions (Supplementary Figs. S9-11). Another source of difference between LPJmL and LPJ-GUESS concerns model assumption on the choice of crop cultivar, which affects timing of the growing. As a consequence, allocation of biomass production over the growing period differs in these two models. Similarly, GEPIC and EPIC use different assumptions on planting date decision, which is also a source of differences in simulated yield and AET.

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Tables and Figures

**Regional Disparities in the Beneficial Effects of Rising
CO₂ Concentrations on Crop Water Productivity**

Delphine Deryng et al.

February 9, 2016

TABLES

Table 1: Relative change in global average yield, AET and CWP (%): Median values across all GCM–GGCM combinations for *w/ CO₂* and *w/o CO₂* simulations for 2080 relative to 2000 under RCP 8.5. Numbers in brackets are the first and third quartiles, respectively. Degree of agreement in the sign of change is characterised by a background colour (orange: more than 80% agreement in a net decrease; yellow: between 60-80% agreement in a net decrease; green: between 60-80% agreement in a net increase; blue: > 80% agreement in a net increase; clear: < 60% agreement in the sign of change).

	Yield		AET		CWP	
	<i>w/ CO₂</i>	<i>w/o CO₂</i>	<i>w/ CO₂</i>	<i>w/o CO₂</i>	<i>w/ CO₂</i>	<i>w/o CO₂</i>
Maize	-8.5[-16.4;1]	-21.2[-28.2;-13.3]	-17.4[-23.7;-4.9]	-8.2[-13.1;-1.6]	13[2.8;22.4]	-12.9[-22.1;-1.9]
Rice	-2.9[-12.3;13.8]	-27.2[-32.9;-16.3]	-3.3[-19.8;2.1]	-3.3[-11.1;-0.1]	9.7[-0.4;47]	-23[-27;-16.8]
Soybean	0[-12.1;33.3]	-35.3[-40.5;-27.7]	-8.3[-20.4;3.4]	-4.7[-16.8;0.9]	18.2[-8.7;41.8]	-26.2[-39.8;-18.8]
Wheat	3.2[-0.6;13.7]	-22.6[-27.8;-14.9]	-11[-20.8;-5.8]	-6.6[-12.1;-4.8]	27.2[6.6;37.2]	-16.6[-23.6;-1.1]

FIGURES

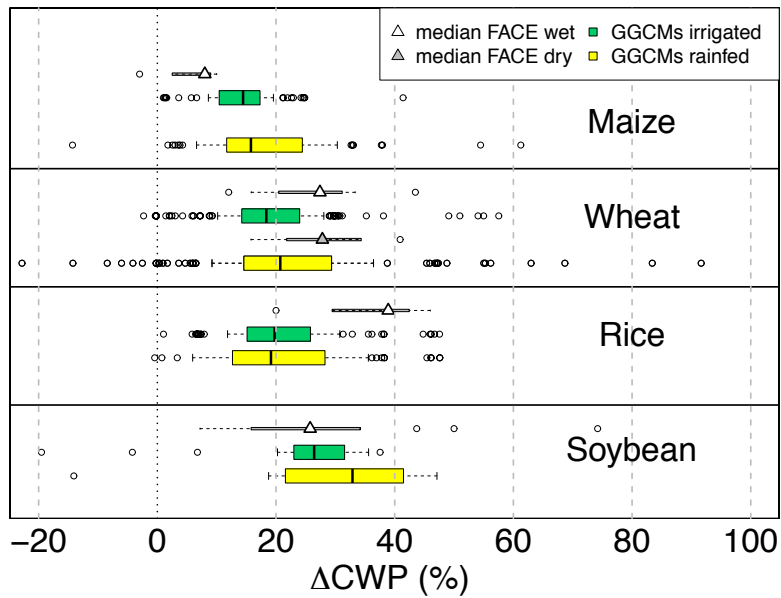


Figure 1: CWP responses to elevated CO₂ (550 ppm from FACE and corresponding grid-cell values extracted from GGCM simulations in this study) for maize, wheat, rice and soybean at ample and limited soil water. FACE data were collected from references summarised in Table S1. The left and right sides of the box are lower and upper quartiles, respectively, and the band near the middle of the box is the median value across each set of simulations. Open circles are outliers. Note rainfed simulations for maize and rice at the FACE locations correspond to negligible water stress conditions.

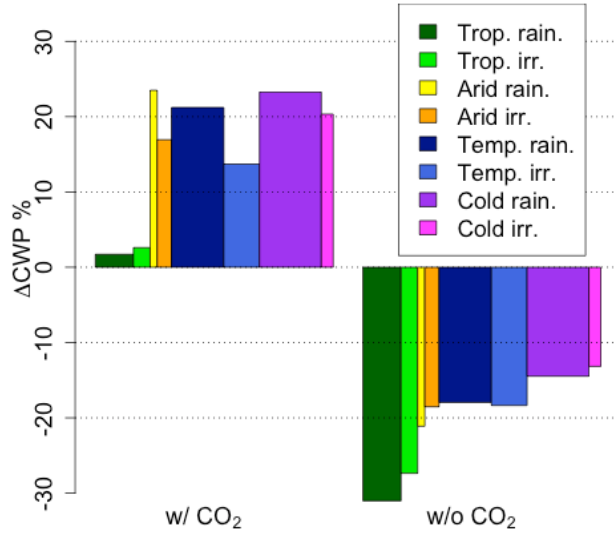


Figure 2: Median change in rainfed (rain.) and irrigated (irr.) CWP (%) in tropical (trop.), arid, temperate (temp.) and cold regions simulated under RCP 8.5 by 2080 relative to 2000 for all crop-GGCMs-GCMs combinations for *w/ CO₂* and *w/o CO₂* only. Width of the boxes varies according to corresponding total crop irrigated and rainfed harvested areas.

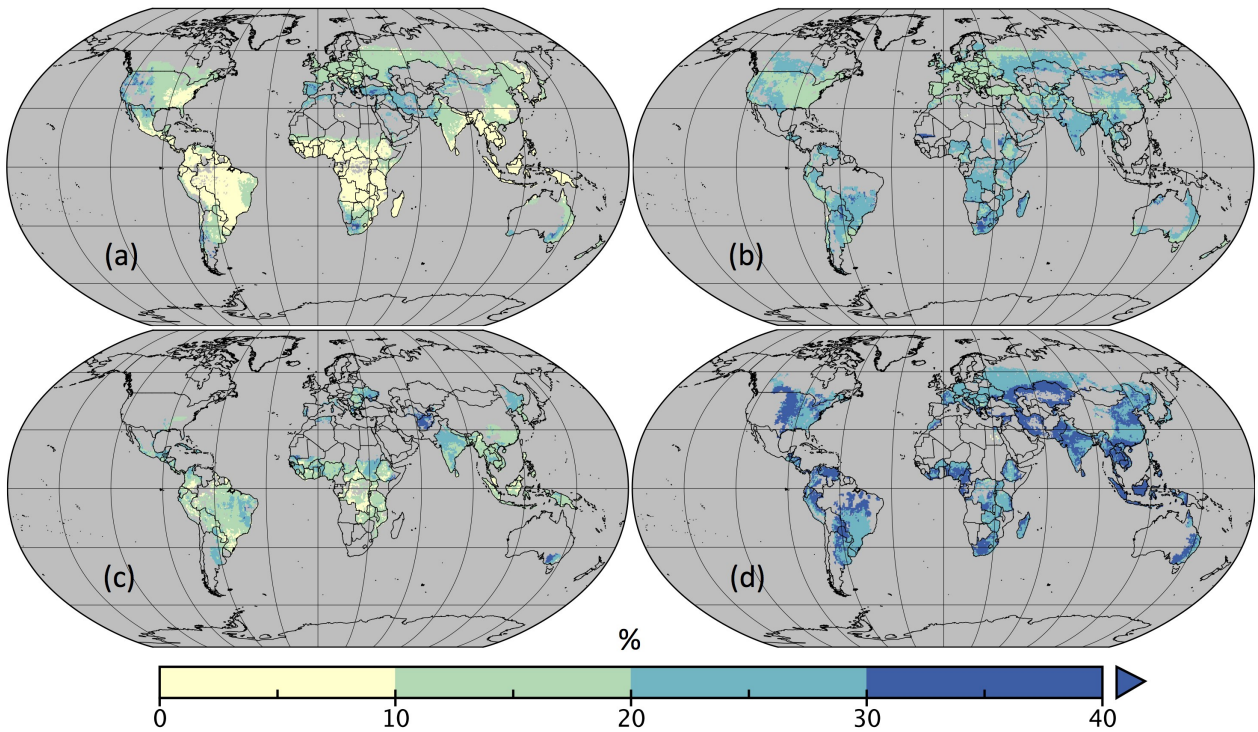


Figure 3: Map of median relative change between simulated *CWP w/ CO₂* and *w/o CO₂* only (%) in the model ensemble (inc. 6 GGCMs \times 5 GCMs) by 2050 under RCP 8.5. Rainfed simulations are shown for maize (a), wheat (b), rice (c) and soybean (d). Simulated areas are masked by current rainfed areas from the MIRCA dataset.

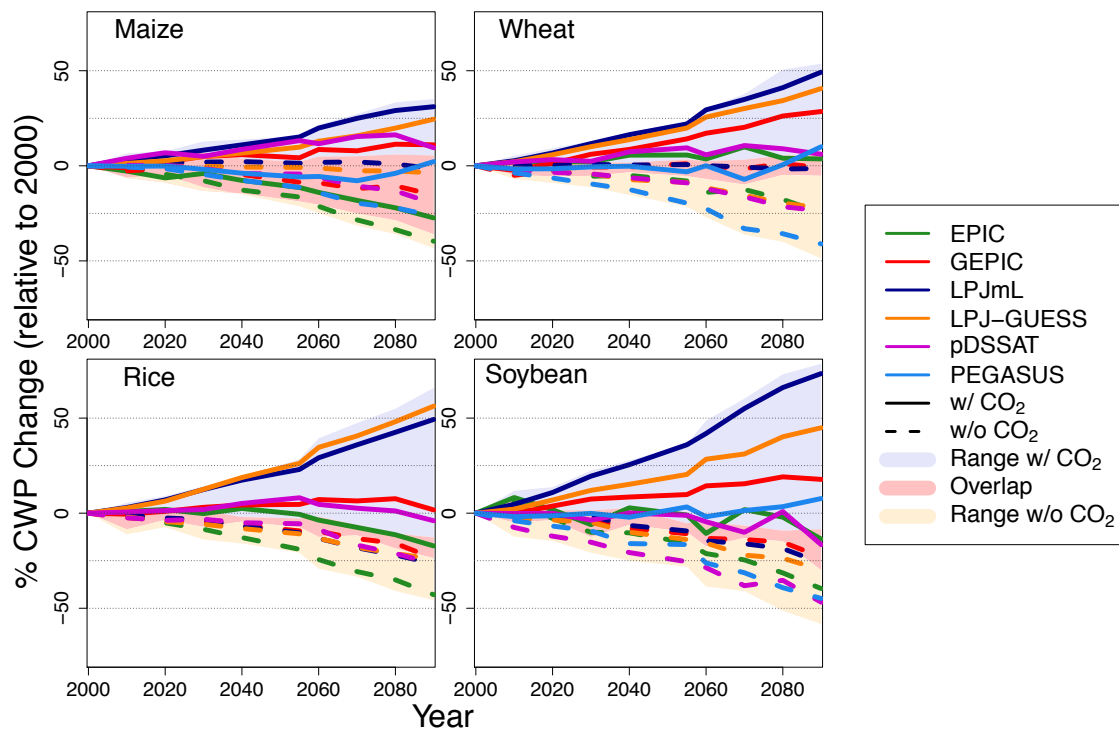


Figure 4: Global average CWP (%) relative to 2000 simulated under RCP 8.5 for each GGCM driven by five different GCMs. Solid lines show median CWP under both climate change and CO₂ effects whereas dashed-lines show median CWP under climate change effects only, i.e., with constant [CO₂]. Shaded areas show the range across the GGCM-GCM ensemble under *w/o* CO₂ (yellow) and *w/* CO₂ (blue), distinctively, and overlap between *w/o* CO₂ and *w/* CO₂ (red).