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1	Numerical simulation of the slipstream and aeroacoustic field around a
2	High-Speed Train
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8	Abstract
9	The flow field and sound propagation around a three coach 1/8 th scale
10	high-speed passenger train were obtained using a detached-eddy simulation
11	(DES) and the Ffowcs-Williams and Hawkings (FW-H) acoustic analogy. The
12	Reynolds number of flow based on the train height and speed was 2,000,000.
13	The numerical results of the flow and aeroacoustic fields were validated using
14	wind tunnel experiments and full-scale data, respectively. Features of overall
15	sound pressure level (OASPL), sound pressure level (SPL) and A-weighted SPL
16	of typical measuring points are discussed. Sound propagated by a high-speed
17	train is shown as a broadband spectrum concluding tonal component, where
18	high SPLs are concentrated on the low frequency range from 10 Hz to 300 Hz.
19	The inter-carriage gap is found to cause distinct tonal noise in contrast to the
20	other parts of the train that cause a broadband noise. The negative log law has
21	been used to study the influence of distance from the centre of track (COT) on
22	the SPL, where a good fit is shown at low frequency ranges. The peak values of
23	A-weighted SPL from both full-scale experiment and simulation results occur at

1 approximately 1 kHz, where simulation results show almost the same range as 2 the experiment. Each surface of the components of the train as well as the whole 3 train are chosen as the integral surface for the FW-H computation of the far-field 4 noise characteristics. It was found that the sound source generated by a 5 high-speed train is mainly dipole and the largest noise was obtained from the 6 leading bogie. The results of this paper provide, for the first time, a better 7 understanding of the aeroacoustic field around a three-coach train model and 8 the paper has the potential to assist engineers in better designing high-speed 9 trains for aeroacoustic noise reduction.

10 Key words

High-speed trains, train aerodynamics, aeroacoustics, DES, FW-H acousticanalogy

13 **1. Introduction**

High speed railways have undergone significant development during the last five decades since the first line was launched in Japan in 1964. Trains running at high speed (more than 250 kph) offer convenient inter-city travel but at such speeds aerodynamic effects such as drag, associated slipstreams and noise become increasingly significant. Indeed, the issues of energy efficiency ^{1, 2, 3} safety ^{4, 5, 6} and noise generation ^{7, 8, 9, 10, 11} are being addressed by various research groups around the world.

The methods used to investigate these issues are full-scale measurements,
wind tunnel tests and numerical simulations. Full-scale testing provides realistic

data, and avoids issues associated with reduced Reynolds' number, which occur
in model-scale testing ¹². Results from full-scale testing are usually averaged
over several runs in order to understand the influence of environmental
uncertainties and run-to-run variability ¹³. However, full-scale testing is
expensive and difficult due to manpower requirements, measurement equipment
and obtaining line access.

7 On the other hand, the conditions of the model-scale tests can be more 8 easily controlled than those in full-scale tests. However the effect of train motion 9 on the aerodynamic force coefficients is not considered due to the stationary vehicles, which are often used in wind tunnel tests ⁴. Furthermore, boundaries of 10 11 a test section (solid, partially open and completely open) also have a great influence on the measurements of vehicles in a wind tunnel ¹⁴. Computational 12 13 fluid dynamics (CFD) has the capability to omit external influences on the flow 14 (such as ambient winds) but CFD is also not easy to handle due to the large 15 length/height ratio of high speed trains that requires specialised techniques and expertise ¹³. Although CFD can offer a more detailed result, large mesh sizes 16 17 and small time-steps can cause simulations to become prohibitively expensive. 18 Like all numerical models, CFD requires validation against physical experiments. 19 Therefore, CFD offers a more detailed view of the flow field when used in 20 conjunction with physical experiments.

There are different CFD techniques available to researchers, some of them are scale-resolving techniques, which provide information about the instantaneous flow field, such as Direct Numerical Simulations (DNS),

large-eddy simulation (LES) and DES. Alternatively, Reynolds Averaged 1 2 Navier-Stokes (RANS) method provides a description of the mean flow. However, 3 due to the strong dependence of a RANS solution on the chosen turbulence 4 model (which are scarcely calibrated to bluff body flows), RANS has difficulty in the prediction of complex separated unsteady flows ¹⁵. Methods such as DES 5 6 and LES are becoming popular approaches for solving the instantaneous flow phenomena around ground vehicles ^{16, 17, 18, 19}. Hemida et al. ¹⁶ and Krajnović et 7 al. ¹⁷ used LES to investigate the effect of platform height on the slipstream of 8 9 high speed trains and flow around a bus-shaped body, respectively. In their work, 10 fine spatial resolution in the near wall region is required, which makes LES 11 computationally expensive and rarely practical for the complex engineering 12 applications. DES uses LES to resolve the detached flow and an Unsteady 13 Reynolds Averaged Navier-Stokes (URANS) model is used within the boundary 14 layer. The switch between URANS and LES is based on the model length scale and grid spacing ²⁰. Flynn et al. ¹⁸ and Muld et al. [19] utilised the S-A model for 15 16 the delayed detached-eddy simulation (DDES) approach for studying the 17 slipstream of a freight train and a simplified high speed train. Although the DES approach based on the k- ε model shows superior performance over the original 18 DES method ²¹ based on S-A model it has not yet been used in the study of train 19 20 aerodynamics. Many variants on the k- ε model are the standard k- ε model, the 21 RNG k- ε model and a realizable k- ε model, referred as SKE, RNG and RKE below, respectively. Lateb et al. 's work ²¹ illustrated the problems of the SKE 22 model when reproducing flow-field structures, while RNG and RKE yielded the 23

best agreement with wind tunnel tests ²². RKE model based DES approach
provided by commercial code FLUENT has been used in this paper, because of
its effectiveness in transporting turbulence quantities ²³.

Aerodynamic and aeroacoustic problems are a major limitation factor in the speed-up of trains on railway networks ²⁴. Noise induced by high-speed trains can cause adverse physical, physiological and psychological effects on humans, which is a matter of continuing concern ^{7, 8}. The aerodynamic noise generated by a turbulent flow increases with the eighth power of the velocity ²⁵. Therefore, aeroacoustic problems caused by trains become more significant at higher speeds and consequently affect people near railway lines.

Experimental methods including full-scale tests ^{9, 26, 27}, wind tunnel 11 tests ^{9, 28, 29, 30} and computational simulations ^{31, 32, 33} are the main methods in the 12 13 research of the aeroacoustic features of high speed trains. Besides the high cost of full-scale tests mentioned above, it is not easy to distinguish sound sources 14 accurately ¹⁰. It is equally difficult to determine the independent contribution of 15 each noise source ³⁴ (rolling noise, aerodynamic noise, and traction noise ¹¹) to 16 17 the total noise of a running high-speed train. Additionally, due to the varying 18 environment as well as absorption and reflection by the surroundings, the 19 mechanism of noise propagation to the far field cannot be fully studied. 20 Aeroacoustic results from wind tunnel experiments can be detrimentally affected by low frequency pulsation of inlet flow and high levels of background noise ³⁵. 21 22 Computational simulation of aeroacoustic noise can be performed using the FW-H acoustic analogy for the prediction of aeroacoustic noise from a moving 23

surface ³⁶. This method has gained growing attention in recent years for the 1 flow-induced noise prediction^{37, 38, 39}. For high-speed train related issues, Zhu et 2 al.⁴⁰ and Yu et al.⁴¹ used the FW-H acoustic analogy to study the noise produced 3 by a 1/10th scale isolated simplified wheelset model and isolated pantograph 4 5 system. From their study the SPL, OASPL, A-weighted SPL as well as noise directivity were analysed for the individual components of train. Sun et al.³³ used 6 7 a nonlinear acoustic solver and the FW-H model to study aeroacoustic field 8 generated by a high-speed train. Pressure measurements such as probes on the 9 surface of the train have been used to calculate aeroacoustic fields but little work 10 has been done with far-field computation. This paper is an example of far-field 11 propagation, noise distribution and noise directivity calculated using the results 12 from CFD simulations.

In this paper, a DES/FW-H aeroacoustic simulation has been performed to elucidate the slipstream behaviour, as well as aeroacoustic field, around a high speed passenger train. The structure of this paper is organised as follows. Computational Methodology is described in Section 2, experimental setup is shown in Section 3. Computational details are given in Section 4. Aerodynamic results are analysed in Section 5 and the aeroacoustic analysis is performed in Section 6. Conclusions are drawn in section 7.

20 2. Computational Methodologies

21 **2.1 DES**

22 RKE model based DES has been extensively validated for a wide range of

flows, including boundary layer flows and separated flows ²². This method separates the models in the two-layer approach by use of a damping function to smooth the transition between URANS and LES ⁴². The transport equations of this model are:

$$\frac{\partial}{\partial t}(\rho k) + \frac{\partial}{\partial x_j}(\rho k u_j) = \frac{\partial}{\partial x_j} \left[(\mu + \frac{\mu_t}{\sigma_k}) \frac{\partial k}{\partial x_j} \right] + G_k + G_b - \rho \varepsilon - Y_M + S_k$$
(1)

5
$$\frac{\partial}{\partial t}(\rho\varepsilon) + \frac{\partial}{\partial x_{j}}(\rho\varepsilon u_{j}) = \frac{\partial}{\partial x_{j}}[(\mu + \frac{\mu_{t}}{\sigma_{o}})\frac{\partial\varepsilon}{\partial x_{j}}] + \rho C_{1}S\varepsilon - \rho C_{2}\frac{\varepsilon^{2}}{k + \sqrt{v\varepsilon}} + C_{1\varepsilon}\frac{\varepsilon}{k}C_{3\varepsilon}G_{b} + S_{\varepsilon}$$
6 (2)

7 where the model constants are $C_{1\varepsilon} = 1.44$, $C_2 = 1.9$, $\sigma_k = 1.0$ and $\sigma_{o} = 1.2$; 8 G_k and G_b represent the generation of turbulence kinetic energy due to the mean 9 velocity gradients and buoyancy, respectively. The model turbulent viscosity μ_t 10 is obtained from the turbulent kinetic energy k and the rate of dissipation of 11 kinetic energy ε as Eq. (3).

$$\mu_t = \rho C_\mu \frac{k^2}{\varepsilon}$$
(3)

12

The dissipation term in RKE is modified as:

$$Y_k = \frac{\rho k^{\frac{3}{2}}}{l_{des}}$$
(4)

13 where $l_{des} = \min(l_{\tau ke}, l_{les})$, $l_{\tau ke} = \frac{k^{\frac{3}{2}}}{\varepsilon}$ and $l_{les} = C_{des}\Delta$. Δ is the maximum local

14 grid spacing $(\Delta x, \Delta y, \Delta z)$. The model constant is taken as $C_{des} = 0.61^{-43}$. More 15 details can be seen in ANSYS Fluent Documentation ⁴².

1 **2.2 FW-H** acoustic analogy

2 Sound is a series of pressure fluctuations propagating through compressible 3 air. An acoustic analogy can be used to obtain aeroacoustic field data after the 4 completion of instantaneous incompressible flow computation. This makes it 5 possible to solve incompressible Navier-Stokes equations for the flow field at low 6 Mach numbers and still obtain the sound propagation. The acoustic analogy 7 used in this paper is based on the FW-H approach, in which the complete 8 solution consists of a surface integral to included monopole and dipole noise 9 sources whilst the boundaries and volume integrals include quadrupole sources 10 ⁴⁴. The differential form of the FW-H equation can be written as ⁴⁵,

11
$$\left(\frac{1}{c_0^2}\frac{\partial^2}{\partial t^2} - \nabla^2\right)p' = \frac{\partial}{\partial t}\left\{\left[\rho_0 v_n + \rho(u_n - v_n)\delta(f)\right]\right\} - \frac{\partial}{\partial x_i}\left\{\left[P_{ij}n_j + \rho u_i(u_n - v_n)\right]\delta(f)\right]\right\} + \frac{\partial^2}{\partial x_i\partial x_i}\left[T_{ij}H(f)\right]$$
12 (5)

13 where c_0 and ρ_0 are the sound speed and density in a quiescent 14 medium, respectively; $\delta(f)$ is the Dirac delta function; u_n is the fluid velocity 15 component normal to the integration surface, v_n is the surface velocity 16 component normal to the surface; p' is the sound pressure at far-field that can 17 be defined as,

$$p = p - p_0 \tag{6}$$

18

 T_{ij} is the Lighthill stress tensor, which is written as,

$$T_{ij} = \rho u_i u_j + p_{ij} - c_0^2 \rho \delta_{ij}$$

H(f) is Heaviside function described as,

1

$$H(f) = \begin{cases} 0, \text{ for } f < 0\\ 1, \text{ for } f > 0 \end{cases}$$
8)

2 The three types of aeroacoustic noise sources, monopole, dipole and 3 quadrupole can represent fluctuations in the fluid mass within a given volume, fluctuating force and fluctuating stress, respectively ⁴⁴. For high speed trains, 4 5 monopole noise can be neglected on the condition that the train's surface is supposed to be rigid wall ⁴⁶. The ratio between the total sound power of 6 7 quadrupole and the total sound power of dipole is proportional to the Mach number squared ⁴⁷. Thus, for the exterior noise of high speed train which 8 9 operated at low Mach number, the guadrupole term can be ignored and the dominant sources are more typically dipole-type sources ^{32, 44}. 10

11 **3. Experimental configuration**

12 **3.1 Wind tunnel experiment (Aerodynamic Part)**

Wind tunnel experiments were carried out in the second test section of the CARDC (China Aerodynamic Research and Development Centre), which is an 8x6 m low-speed wind tunnel with a closed test section. The second test section has length, width and height dimensions of 16.1 m, 8 m and 4.94 m, respectively, whilst the effective cross-sectional area is 39.2 m². A 360° rotating turntable is installed in the middle of the wind tunnel with a diameter of 7 m to simulate the train operating at different yaw angles to the wind. Ground simulation has a

1 strong influence on the flow field as well as the measurement of drag and lift coefficients on the train ⁵. Single track ballast and rail (STBR) configuration with 2 3 a stationary floor was applied in the tests shown in **Figure 1**(a) ⁴⁸. The fixed 4 ground plate was mounted 1.06 m above the wind tunnel floor to minimise the 5 influence of the boundary layer on the wind tunnel floor on the train. The STBR 6 configuration's leading and trailing edges were angled to reduce the disturbance 7 to the oncoming flow. The schematic of wind tunnel is shown in Figure 1(b). The 8 boundary layer thickness above the floor in the empty wind tunnel was found to 9 be less than 20 mm, which means that the quality of the flow field is essentially 10 uniform at the position of the fixed ground plane. The mean inflow velocity used in the wind tunnel experiment was u_{in} =60m/s. Electronic pressure scanner 11 12 valves were used for the measurement of the surface pressures on the train 13 whereas a six-component balance system was used to measure the forces. A detailed description of the experimental work can been found in Zhang et al.⁶. 14

15



Figure 1. Wind tunnel experiment: (a) train model; (b) schematic of wind
tunnel.

4 **3.2 Full-scale experiment (Aeroacoustic Part)**

1

5 Full-scale experiments were carried out on the high-speed train line from 6 Nanchang to Yichun where the experimental setup is shown in Figure 2(a). 7 When a train passed the position of microphone array, the microphones started 8 collecting data by initiating devices synchronously and continuously. The 9 wheel-phased microphone array with 11 rods is shown in Figure 2(b), has the 10 capability to restrain side lobe. Noise distribution was obtained by the phase 11 comparison method of each time segment. Non-negative least squares (NNLS) 1 method was taken for data post processing, where the number of iteration time 2 was 100. The test equipment consisted of 66 1/4 inch microphones, a 3 microphone calibrator and a related data collecting and post processing system. 4 The microphone array was installed 19 m from COT, 3.5 m from top of rail (TOR). 5 The distance between initiating devices and centre of microphone array was 15 6 m. Results of full-scale experiment described in this paper are A-weighted SPL 7 to 1/3 octave band at speed of approximately 67m/s.





8 Figure 2. Full-scale experiment: (a) site arrangement; (b) wheel phased 9 microphone array.

10 4. Computational Setup

(a)

11 4.1 Computational model

12 High-speed trains are usually operated in multi-car sets. An example is the commercial CRH2 train which usually runs with 8 or 16 coach sets. Muld et al ¹⁹ 13 14 studied the effect of different train lengths on the flow structures in the wake and 15 illustrated strong similarities between the wake structures regardless of the 16 number of coaches. Therefore it can be concluded that the slipstream behaviour 1 of a 3 car train is representative of that on the first 2 cars of an 8 car train set. Figure 3 shows the 1/8th scale computational model and integral surfaces used 2 3 in this paper. The model has a full-scale length, width and height dimensions of 4 76 m, 3.68 m and 3.36 m, respectively. The dimensions in this paper are given 5 as full-scale values in order to allow the reader to compare the results to 6 real-world cases. The scaled train model used both in the wind tunnel 7 experiments and CFD are separated into the lead car, middle car and tail car. 8 Simplified bogies were included and the fairing structure around the 9 inter-carriage gaps was also kept in the model.



10 **Figure 3**. Computational model and each integral surface.

11 4.2 Computational domain and boundary conditions

Figure 4 shows the computational domain and boundary conditions used in the present work. The x, y and z axes are along the train's length, width and height directions, respectively. The origin is denoted as being at the front face of the train (x), COT (y) and TOR (z), in accordance with CEN ⁵¹. For the aerodynamic assessment of a high speed train, the CEN ⁵¹⁴⁹ recommendations state that the inlet of the computational domain should be at least 8 characteristic heights (H) upstream, and 16 H downstream of the model, where 1 H is the height of the train from the TOR.

2 In order to obtain a more accurate comparison between the wind tunnel tests 3 and the CFD simulations, the width and height of the computational domain were 4 specified to match those of the wind tunnel. However, the length was chosen according to CEN⁴⁹, which is longer than that of the wind tunnel's test section to 5 6 guarantee the wake flow was fully-developed and reduce any effect of the 7 boundary conditions on the flow around the train. The computational domain has 8 a length of 68H in the streamwise direction, a width of 17H and a height of 11H. 9 Train model was installed at the same distance of 8.5H from centreline of train to 10 the sidewalls similar to the experiment. The inlet boundary is 14H upstream of 11 and the outlet is at 34H downstream of the train. Based on these dimensions, the 12 blockage ratio is below 5% including the blockage due to STBR, which complies with CEN ⁴⁹. Based on the characteristic height of the train and the freestream 13 14 velocity (u_{in} =60m/s), the Reynolds number of the flow around the train model is 15 2,000,000. As the Mach number in this study is only 0.18, the flow can be 16 considered to be incompressible. Inlet was set as a velocity inlet with a steady 17 uniform profile of uin=60m/s. The outlet was set as a zero-pressure outlet. The 18 ground was set as the moving ground which is differs from the ground of wind 19 tunnel which has a stationary ground. However, because the wind tunnel has 20 measures to control the boundary layer on ground as described before, the more 21 realistic case of setting the velocity the same as the inlet (u_{in}) is applied. The 22 surface of train was set as a no-slip wall along with other boundaries which were



1 also set as no-slip walls to match the closed-jet wind tunnel.

2 **Figure 4**. Computational domain and boundary conditions.

3 4.3 Computational mesh

4 Unstructured hexahedral grids were built using snappyHexMesh, which is an 5 automatic meshing utility within OpenFOAM 2.3.0. Three meshes were made for 6 the mesh sensitivity study. The coarse mesh, medium mesh and fine mesh 7 consisted of 21 million cells, 36 million cells and 65 million cells, respectively. In all cases the maximum skewness of the cells was below 3. The mesh was 8 9 dominated by hexahedral cells although due to the complexity of the train's 10 geometry, a small number of polyhedral cells were also present. Figure 5 shows 11 the surface mesh of the train nose, bogie, fairing and the longitudinal 12 cross-section at y=0 m of the fine mesh.

13

(a) (b)



Figure 5. Fine mesh: (a) nose area; (b) bogie area; (c) fairing; (d)
 cross-section at y=0 m.

3

4 Turbulent flows are significantly affected by the presence of walls and the 5 near-wall modelling impacts on the fidelity of numerical solutions. For this reason, 6 accurate representation of the flow in the near-wall region is an important factor 7 in the accurate prediction of wall-bounded turbulent flows. Mesh quality in the 8 near wall region is important in running DES, otherwise an improper switch from 9 RANS modelling to LES could cause modelled-stress depletion and leading to grid-induced separation 20 . Table 1 shows the dimensionless wall distance (y⁺) 10 11 for different meshes obtained from the RKE model based DES simulations, 12 which is the criterion for the FLUENT to switch between the linear viscous layer

1 law and the turbulent logarithmic wall law. The y^+ value is calculated by:

$$y^+ = \frac{yu^*}{v}$$

where u^* is the friction velocity, y is the distance between the first node and the train surface in the wall normal direction, and v is the kinematic viscosity. More information about enhanced wall treatment used by the RKE model based DES model can be seen in ANSYS FLUENT Documentation ⁴².

6

Table 1. The dimensionless wall distance (y^{+}) for different meshes.

Mesh	Cells (x10 ⁶)	y⁺ max	y ⁺ average
Coarse	23	50.7	36.8
Medium	41	32.4	17.9
Fine	65	31.5	16.8

7

8 4.4 Numerical setup

9 The simulations were conducted using the commercial CFD code Fluent 6.3. 10 The SIMPLEC scheme was used to decouple the velocity and pressure terms. 11 Pressure was discretised using second order central differencing, while the 12 convection term was discretised using Bounded Central Differencing. The 13 turbulent kinetic energy and turbulent dissipation rate were also discretised 14 using second order central differencing scheme. To aid stability of the DES, a 15 steady RANS solution was used to calculate an initial flow field. In the DES, 16 time-steps were set at 0.0001 s. In total, 30,000 time steps were calculated. The convergence criteria of the absolute residuals were chosen as 10⁻⁶. 17

1 In the aeroacoustic analysis, the far-field noise is computed using the FW-H 2 analogy from the resolved flow field described in section 6. The data from 10,000 3 time steps (which is equivalent to 1 second of physical time) was exported to the 4 acoustic source with a write frequency of 1 step. An incompressible solver is 5 used because surface pressure is properly predicted for acoustic analogy at low 6 Mach number and the volume integral is neglected in the resolution of 7 density-based formulation of FW-H predictions 50. Different integration surfaces 8 shown in Figure 3 as well as the entire surface of train were selected to analyse 9 the OASPL, SPL and A-weighted SPL of typical measurement points. No far-field assumption has been made in the formulation of the FW-H analogy 50 , 10 11 thus receivers were all installed in the far-field at distances larger than 10 H from 12 COT due to the limitation of the FW-H aeroacoustic analogy in obtaining 13 near-field results.

14 5. Flow field

15 **5.1 Numerical validation**

DES is a combination of RANS and LES, therefore the interface between RANS and LES needs to be tested to ensure that LES is not solved inside the boundary layer. Figure 6 illustrates the variation line of u_{mag}/u_{in} and l_{des}/l_{rke} at different heights from the train roof. This shows the characteristics of boundary layer and RANS/LES interface, where u_{mag} represents the magnitude of velocity. From description in section 2.1, RANS is taken when $l_{des}/l_{rke} = 1$ while LES is 1 taken when $l_{des}/l_{rke} < 1$. In Figure 6, the non-dimensional boundary layer 2 thickness of the coarse, medium and fine mesh solutions is 0.019, 0.013 and 3 0.013, respectively. The position of the interface between the RANS/LES modes 4 of the coarse, medium and fine meshes is 0.039, 0.029 and 0.030, respectively. 5 Therefore, all of the dimensions of the interface are larger than the boundary 6 layer thickness, which means RANS is only applied within the boundary layer.



7 **Figure 6**. DES model properties of different meshes.

8

9 To ensure the accuracy of the solutions obtained from the three mesh 10 densities with respect to the wind tunnel data, validation must be performed. The 11 parameters used for verifying the results below are the force coefficient, 12 pressure coefficient and slipstream velocity.

Here, the drag coefficient C_d and the lift coefficient C_l are defined as,

$$C_d = \frac{F_d}{qA}$$
 10)

$$C_l = \frac{F_l}{qA}$$
 11)

1

where
$$q$$
 is the dynamic pressure shown defined as,

$$q = \frac{1}{2} \rho u_{in}^2$$
. 12)

In Eq. (10), Eq. (11) and Eq. (12), F_d and F_l represent the time-averaged drag force and lift force, respectively; ρ is the density of the freestream, *A* is the reference area. In order to maintain consistency with CEN⁴⁹, the experimental data and CFD data have been normalised by the standard reference area and reference length of 10 m² and 3 m, respectively.

7 Table 2. Time-averaged force coefficients validated against wind tunnel8 data.

Mothod	Mesh	Lead	d Car	Midd	le Car	Tail Car		
Method		\mathbf{C}_{d}	CI	C_{d}	Cı	C_{d}	CI	
	coarse	0.18	-0.03	0.08	-0.01	0.16	0.10	
CFD	medium	0.16	-0.05	0.09	-0.02	0.17	0.10	
	Fine	0.17	-0.06	0.09	-0.04	0.17	0.13	
Wind tunnel	-	0.17	-0.05	0.09	-0.03	0.18	0.11	

9 The time-averaged drag coefficient and lift coefficient obtained from DES 10 cases are outlined in Table 2, in which a blockage correction has been applied to 11 the wind tunnel results. For the boundary condition installation and mesh quality 12 described above, drag coefficient of each train are within 11% of the wind tunnel data. The lift forces are shown to be in reasonable agreement where results from
fine grid are within 33% of the wind tunnel data. This discrepancy is believed to
be due to the difference in ground simulation between CFD and wind tunnel.

Figure 7 shows the normalised slipstream velocity magnitude, U, relative to
a static observer obtained from the different meshes. U is defined by

$$U = \frac{\sqrt{(u_{in} - u)^2 + v^2 + w^2}}{u_{in}}$$
 13)

6 where u, v, and w are the longitudinal, the lateral, and the vertical velocity 7 components in the computational domain, respectively. This method converts 8 the velocity to the frame of reference of a static observer with the train passing 9 by ¹⁸. Results from different meshes show little difference, hence it can be 10 assumed that the large energy-containing motions have been resolved and the 11 resolution of the fine mesh is sufficient for the purposes of this work. Some 12 discrepancy in the near wake occurs between mesh densities due to the highly 13 unsteady flow which exists there. This region is of little interest in terms of 14 aeroacoustic behaviour so this difference is considered unimportant for this 15 work.



Figure 7. Mesh sensitivity studies of normalised slipstream velocity for the
 coarse (23 million), medium (41 million) and fine (65 million) meshes.

3

4 The surface pressure on the train is the source item for the computation of5 the far-field aerodynamic noise via FW-H acoustics analogy.

Figure 8 shows the mean static pressure coefficients C_p along four different
rings around the cross-section of the train. C_p is defined as,

$$C_p = \frac{p - p_a}{q}, \tag{14}$$

8 where p is the static pressure and p_a is the atmospheric pressure.

9 Figure 8 shows C_p on the streamlined part of head car. The small variation 10 of C_p between each mesh is attributed to the relatively steady flow caused by 11 the streamlined design of lead car. Apart from some difference which occurs at 12 x=5.42 m, the CFD results of pressure distribution of different meshes were 13 similar to those from the wind tunnel experiment. From the results described

- 1 above it can be deduced that there is no need for further mesh refinement and
- 2 remaining results described in this paper are all from fine mesh.



Coarse mesh × Medium mesh — Fine mesh × Exp.

3

Figure 8. Mesh sensitivity test for mean pressure coefficients on train cross
sections at : (a) x=2.56 m; (b) x=4.49 m; (c) x=5.42m.

6

7 5.2 Slipstream analysis

8 Mean and instantaneous characteristics of the slipstream are discussed in 9 this section, where mean flow is averaged after the simulation has already 10 reached a fully-developed state from 15,000 time-steps to 30,000 time-steps. 11 The positions of the slipstream samples are shown in Figure 9.



Figure 9. Measuring line of slipstream velocity and mean pressure
 coefficient relative to COT and TOR.

3

4 Figure 10 shows the slipstream velocity with varying distances from COT 5 and TOR. It is observed that the general trend of higher velocities exists closer to 6 the ground due to the increased relative roughness of the bogies in comparison 7 to the sides and roof of the train. Variations in velocity are more significant closer 8 to COT which are not only influenced by the train body but also by the underbody 9 complexities such as the bogies at the two lowest heights. Moreover, in Figure 10 10(a) and Figure 10(b), the six velocity peaks at y=1.78 m correspond to the six 11 bogies in sequence, respectively.

The slipstream velocity profiles show a rapid increase followed by a sharp decrease in the nose region, especially significant at lower position to TOR and nearer position to COT (Figure 10(a)). The near wake region is another area where high slipstream velocities occur. However, at distances further than 1.78 m from COT and within z=3 m from TOR, the slipstream peak value caused by
the nose region exceeds the peak value in the near wake region. When the
distance from COT is larger than 1.78 m, the slipstream velocities are all lower
than 0.3. Similar sets of results have also been obtained using LES ⁵² and
full-scale measurements ^{13, 53}.



Figure 10. Slipstream velocity U at distance from the COT and varying
distance above TOR: (a) z=0.3 m; (b) z=0.5 m; (c) z=1 m; (d) z=2 m; (e) z=3 m; (f)
z=4 m.

1 Head pressure pulses due to trains passing-by are also quantified by 2 measuring points at different position to COT and TOR (Figure 11). The pressure 3 trace around a train is essentially characterised by a larger nose transient, minor 4 inter-car transients and by the tail transient which is nearly the inverse shape of 5 the nose transient. The largest peak values are shown at points of y=1.78m at 6 height of 0.3 m from COT (Figure 11 (a)), which has a positive peak value is 0.20 7 whilst negative peak value -0.25.



Figure 11. Mean pressure coefficient C_p at distance from the COT and 8 9 varying distance above TOR : (a) z=0.3m; (b) z=0.5m; (c) z=1m; (d) z=2m;(e) 10 z=3m;(f) z=4m.

As a method of characterising the level of turbulent fluctuations, turbulence
 intensity is defined as,

$$I = \frac{u}{U_{mean}}$$
 15)

3 where u' is the root-mean-square (RMS) of the velocity fluctuations and 4 U_{mean} is the mean velocity magnitude, in this case u_{in} .

5 Figure 12 shows the mean turbulence intensity at different distances from 6 COT as well as different distance from ground, where turbulence intensities 7 larger than 20% occurred 1.8 m away from COT at height of z=0.2 m and 8 z=0.5m. Highly unsteady flow is observed at the first two measuring positions 9 above TOR and above COT. The most significant turbulence peaks at z=0.5m 10 are shown in Figure 12(b). The six positions correspond to the six bogie sets. Therefore, velocity profile u_{mag}/u_{in} is analysed in Figure 13, where first bogie 11 shows two near-symmetric peak value of u_{mag} / u_{in} , which are much larger than 12 13 results obtained from other bogies.



Figure 12. Turbulence intensity: (a) different distance from COT (2 m from
TOR); (b) different distance from ground (1.8 m from COT).





Figure 13. Velocity profile u_{mag} / u_{in} around each bogie: (a) measuring lines; (b) instantaneous velocity u_{mag} / u_{in} distribution; (c) u_{mag} / u_{in} vs. distance from COT (m).

4

5 In order to visualise the flow structures around the train, iso-surfaces of the 6 second invariant of velocity gradient tensor, Q, was used. Q is a measurement 7 which shows the proportion of rotation rate and shear rate of flow ⁵⁵ and is 8 defined as:

$$Q = -1/2\partial u_i / \partial x_j \partial u_j / \partial x_i$$

16)

9 Vortices are generated in the nose, under-floor, inter-carriage gaps and 10 near wake regions



1

(

2 3 Figure 14) using iso-surfaces of Q=20,000 s-2. Small vortices are generated around the inter-carriage gaps due to the unsteady behaviour which exists there. 4 5 The complicated underbody structure of the train and spatial confinement restrict 6 the size of flow structures which emanate from beneath the train. Vortex shedding away from tail car surface formed a low pressure region as well as the 7 8 flow generated underneath the train generate two strong vortices behind the 9 which distribution train, can also be seen in the turbulent in



- 1 2
 - 2 Figure 14(c).



(c)

Figure 14. Instantaneous iso-surface of Q=20,000 s⁻² coloured by slipstream
velocity: (a)lead car; (b) inter-carriage gap; (c)near wake region.

6

1 6. Aeroacoustic Field

2 6.1 Aeroacoustic noise level in the far-field

Sound pressure is relatively low far from noise source and does not express the noise level at the position of an observer. Therefore a Fast Fourier Transform (FFT) has been used to transfer the sound pressure in the time domain into SPL in the frequency domain. All spectra are obtained by averaging Fast Fourier transforms carried out on 9,999 samples using a Hanning window. SPL, L_p , reports sound level under each specified frequency, which is defined as

$$L_{p} = 10\log(p(t)/p_{ref})^{2}$$
(17)

9 where p(t) is the RMS sound pressure in Pa; $p_{ref} = 20\mu$ Pa is the 10 reference sound pressure ³².

11 Before further analysis, a mesh sensitivity study was performed using the 12 SPL computed from S_{whole} and is shown in Figure 13. The cut-off frequency (f_{max}) here is 5 kHz, which is determined by the simulation time-step: $f_{\text{max}} = 1/(2\Delta t)$, 13 14 where Δt is the time-step. From Figure 15, three meshes all showed 15 broadband noise characteristics with the same trend that gradually decrease 16 between 120 Hz and 250 Hz, and then become almost level but with a small 17 negative gradient. Minor differences of the SPL profile occur between the three 18 different meshes and are mainly concentrated on the tonal component. SPL 19 larger than 38 dB occurs in the low-frequency range of 0-300 Hz. It is worth

mentioning that most peak values exist at a level of approximately 10Hz with
respect to the high frequency broadband content of the spectrum, whilst peak
SPL from three meshes are approximately 65 dB. Therefore, although containing
slight discrepancies, the results from the three meshes can be considered as
comparable with each other. Surface pressure results from fine mesh was
chosen in the results described below.



7

Figure 15. Mesh sensitivity study of SPL (dB) for coarse (23 million),
medium (41 million) and fine (65 million) meshes (receiver installed at (5, 25, 2)).

11 OASPL represents an intensity of the spectrum as a whole, which provides 12 an overall description of acoustic field. As it is a combination of all computed 13 frequencies, it will exceed any individual SPL in the specification, where OASPL 14 L_{pZ} (dB) is defined as,

$$L_{pZ} = 10\log\left[\sum_{i=1}^{n} \frac{p_i^2}{p_0^2}\right] = 10\log\left[\sum_{i=1}^{n} 10^{\frac{L_{pi}}{10}}\right]$$
18)

15

where p_i is the RMS sound pressure in Pa of each sound source; L_{ni}

1 represent single sound pressure level.



Figure 16. OASPL distribution (within 25 m from COT): (a) different distance
from COT along train length at cross-sectional area of z=3.5m; (b) different
distance from COT along train height at cross-sectional area of x=72 m.

5

Figure 16 (a) shows the variation of OASPL along the train length at z=3.5m,
 which is in agreement with the requirements of the EN ISO 3095 ⁵⁶. At x=8m and
 x=72m relatively higher OASPL occur in the nose region and near wake region,

respectively. Figure 16 (b) shows the OASPL with different height from ground at x=72m, which is where the larger OASPL values occur from Figure 16(a). In Figure 16(b), the OASPL decreases with the height from TOR and increases closer to COT. However, variation of AOSPL is not obvious when receivers are further from COT (y = 20m, 25m).

6 Figure 17 shows the variation of SPL with different distances from COT and 7 TOR. In Figure 17(a), the larger distance from COT, the peak values occur at the 8 same frequency, but exhibit an inverse trend with distance from COT. However, 9 the influence of height on SPL is small enough, where a minor difference can be 10 seen in Figure 16(b). The SPL profile shows the broadband noise with tonal 11 component under some frequencies. The normal hearing range of the human ear is 20 Hz to 20 kHz, while the ear is most sensitive in the 3-4 kHz region ⁵⁷. 12 For the 1/8th scale train model with speed of 60m/s, although OASPL is large to 13 14 some extent, for example 68 dB at 25 m away from COT, the most sensitive 15 frequency range for hearing shows low SPL level is relatively low below 40dB.

16

17

18



Figure 17. SPL (dB) characteristics of measuring points on plane
perpendicular to TOR at x=72 m from train nose: (a) different distance from COT
with height of z=5 m; (b) different distance from TOR at y=25 m from COT.

6

1

Any sound source of small dimensions can be considered as a point source
for observers at great distance ⁵⁸, in which corresponding logarithmic equation is
described as,

$$L_p = L_{p(w)} - 20\log r - 11$$
(19)

10 where $L_{p(w)}$ is the SPL of the source, *r* is the distance to the sound 11 source.

To investigate the influence of distance from COT to SPL of a high-speed train typical frequencies of 12 Hz, 100 Hz, 1000 Hz, 4000 Hz are analysed (**Figure 18**). The purpose is to verify the entire trend of SPL attenuation with the variation of distance from COT. Negative log law curves are fitted, and the data show good similarity at the two lowest frequencies while poor fitting occurs at 1000 Hz and 4000 Hz. The reason for the mismatch is most likely due to the complexity of the train model which is not entirely suitable to simplify as an ideal point noise source. Furthermore, the variation of SPL with distance from COT is reduced, which means noise generated at lower frequencies (100 Hz) has a larger attenuation rate.



7 **Figure 18**. Effect of varying distance from COT vs. SPL (dB): (a) 12 Hz; (b)

8 100 Hz; (c) 1000 Hz; (d) 4000 Hz.

9



Figure 19. SPL (dB) of each integral surfaces: (a) S_{lead} (b) S_{tail}; (c) S_{bo1}; (d)
 S_{f2}(receiver installed at (72,25,3)).

3

Figure 19 illustrates the SPL integrated from various surfaces on the train; tail car, which is an area of flow separation where vortices shedding into the near wake; the fairing which shield the inter-car gap between the middle car and tail car, is also a component with highly concern which is similar to the noise generated by cavity flow. In Figure 19, the SPL of the tail car is larger than lead car. This is probably due to the measuring points installed on plane
perpendicular to TOR at x=72 m from train nose, which has a smaller
straight-line distance to the trail car than the lead car. The first bogie also shows
typical broadband features, where tonal noise also exists at higher frequencies.
The most significant SPL on a component of the high-speed train is generated
by the fairing. This is illustrated as a distinct tonal noise component in
accordance with Noh et al. ¹⁰.





Figure 20. A-weighted SPL (dBA) at 1/3-Octave Band (Hz) at place 15 m
from COT corresponding to: (a) first bogie; (b) fairing; (c) middle car; (d) tail car.

11

1 The A-weighted SPL is a filter which better reflects the actual level of sound 2 that humans can hear because humans are not sensitive to the sounds at the 3 lower frequencies (deep tones) and higher frequencies (high pitch).



4

Figure 20 shows the evaluation of A-weighted SPL compared with full-scale experimental data at 15 m from COT, from which the peak value of both simulation and experiment both occur at approximately 1 kHz. The 1/3-octave band of simulation is from 14 Hz to 4 kHz, while the full-scale experiment is from 500 Hz to 4 KHz. Although results from both cases are not strictly the same, they show almost the same range of A-weighted SPL. At the described speed (60m/s, 67m/s), the acoustic source power is mainly distributed between 1 kHz to 2 kHz. Furthermore, the peak value corresponding to each position is approximately 65
 dB.

3

6.2 Noise source distribution

4 Surface acoustic power is discussed in this section to study the broadband 5 noise source distribution, which has acoustic power that can spread across a wide range of frequencies ⁵⁹. Figure 21 shows the surface acoustic power level 6 7 of all the integral surfaces. The streamlined part of the leading car accounts for 8 the most noise of the three cars and the first bogie contributes the most noise 9 out of the six bogies. As for the streamlined part of the leading car, the vortex 10 shedding from the under-body complexities and the small flow structures in the 11 boundary layer are the main noise source. Although the impact zone of 12 boundary layer region is large, the sound intensity of boundary layer noise is 13 always below vortex shedding noise when train speed is between 100 km/h and 14 500 km/h⁵⁸. Therefore, the main contribution of the streamlined part of the lead 15 car is vortex shedding noise, which can be seen in Figure 21(a).

16 Figure 21(b) shows that the leading bogie is the largest noise source among 17 all the bogies, see both maximum and average values, (from Table 3), in 18 accordance with the results from Nagakura 9. Lower half of the wheels are the 19 with surface area strong noise power (dB). As shown in



Figure 14(a), a cluster of coherent vortices generated at the bottom of leading car at the place of first bogie and extended along the train length, which illustrates the highly unsteady flow around the first bogie that can also be verified by Figure 13. First bogie experiences relatively large flow velocity compared to the other bogies. Therefore first bogie contributes the most surface acoustic power among all the other bogies.



Figure 21. Surface acoustic source power distribution (dB): (a) train body; (b) bogies.

Table 3. Surface acoustic power level (dB) of maximum and average value ofeach part.

	Slead	S_{mid}	Stail	S _{bo1}	S _{bo2}	S_{bo3}	S_{bo4}	S_{bo5}	S_{bo6}	S _{f1}	S _{f2}
Ave	81.6	76.1	74.9	70.4	60.6	55.7	53.6	52.7	51.2	66.9	71.6
Max	115.2	106.4	108.1	118.0	106.9	106.0	97.3	99.0	95.2	98.5	106.0

5

6 6.3 Far-field noise directivity

7 Noise directivity in the far-field is an important feature of acoustic radiation, which reflects the physical feature of the sound generation mechanism ⁶⁰. Taking 8 9 the train centre as the base point, measuring points are arranged every 5 10 degrees at a radius of 1,000 m and at a height of z=3.5m. The whole train and 11 each bogie were selected separately as the noise source to calculate the OASPL 12 of every measuring point for the frequency from 0 Hz to 5000 Hz. Jia et al. 61 13 and Moore et al. 62 took the radius of r=15D and r=30D to study the far-field 14 noise directivity, respectively. Figure 22 shows the noise directivity of the OASPL 15 in xy-plane at radius r=1000m, which is equal to 26D, here D is distance from 16 train nose to train centre in horizontal plane, namely half of train length.

17 In Figure 22(a), the directivity of the OASPL in streamwise xy-plane indicates 18 that the directivity of the whole train has a 'figure of eight' form. This is in 19 accordance with the theoretical analysis that the main sound source of high 20 speed train is dipole. Nevertheless, the far-field noise directivity varies due to

1 effects such as train shape and train speed 60. As for the train model used in this 2 paper, the OASPL of the right-front and right-back of train is the lowest area 3 among all the directions. However, sound emission is strongest in the direction 4 perpendicular to the sides of the train. The directivity pattern of lead car and tail 5 car are closely matched, where tail car is 2dB lower than the lead car at the 6 sides of the train. In Figure 22(b), the noise directivity of OASPL integrated from 7 surfaces of six bogies is shown. When the bogie is chosen as the noise source, 8 the shielding effect from the bogie fairing can be neglected, since only the 9 surface pressure fluctuations on the bogie wall surfaces are used for the 10 resolution of FW-H acoustics analogy. Although the bogies have the same 11 structure, the first bogie of leading train shows the strongest directivity in all 12 directions (Figure 22(b)).





Figure 22. OASPL in streamwise xy-plane of z=1.2m at radius R=26D for
angles θ=0:10:360 degrees: (a) integral surfaces: each train and whole train; (b)
integral surfaces: each bogie.

1 7. Conclusion

2 A hybrid DES/FW-H acoustic analogy method was used to obtain the flow and aeroacoustic fields around a 1/8th scale high speed train. Mesh sensitivity 3 4 tests were conducted and the solutions were validated against force and surface 5 pressure coefficients from wind tunnel tests. Good agreement was shown 6 between the data sets. The slipstream was analysed using velocity, pressure 7 coefficient, turbulence intensity and vortex visualisation. The aeroacoustic 8 features presented were SPL, OASPL, A-weighted SPL, noise source 9 distribution and far-field directivity. Conclusions can be drawn as follows:

10 (1) All simulations were well-handled by DES in that RANS is used within the11 boundary layer.

(2) Time-averaged drag, lift and pressure coefficient are in reasonable
agreement with wind tunnel data, which are within 11%, 33% and 15%,
respectively. The flow and aeroacoustics field results show some dependence
on mesh density.

(3) Slipstream velocities around the train clearly shows the characteristic nose, boundary layer region, fairing and near wake regions. These regions contribute to the peak value of slipstream velocity with different distance away from COT and TOR. Nose region and near wake regions are responsible for peak slipstream velocities, whilst at lower part of train (i.e., z=0.5m), slipstream is influenced significantly by the bogies. The flow field around each bogie has also been investigated, in which leading bogie generates the highest turbulence 1 intensity flow.

2 (4) Sound propagated by a high-speed train is shown as broadband 3 spectrum with tonal component, where SPLs are concentrated on the low 4 frequency range (10 Hz-300Hz for this case). Fairings are the surface 5 component which generates the most distinct tonal noise. Negative log law has 6 been used to study the influence of distance from COT to the SPL, where a good 7 fit is shown in lower frequencies (i.e., 12Hz, 1000Hz). Simulation results show 8 almost the same range on items of A-weighted SPL compared with full-scale 9 experiment, where the peak value (about 65 dB) of both is all occurs at 10 approximately 1 kHz. At the described speed (60m/s, 67m/s), the acoustic 11 source power is mainly distributed between 1 kHz to 2 kHz.

12 (5) The sound source generated by high speed train system is mainly dipole 13 in accordance with the theoretical analysis and verified by the calculated figure 14 of eight style noise directivity of the whole train. The leading bogie accounts for 15 the largest broadband noise source among all the bogies by consideration of the 16 surface acoustic power distribution and far-field directivity. This is mainly due to 17 the highest relative velocity impacting on it compared with other bogies. Lead car 18 is also a predominant source of broadband noise, which is mainly the vortex 19 shedding noise.

20

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