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# AN IMPROVED 3-LOCAL CHARACTERISATION OF $\text{McL}$ AND ITS AUTOMORPHISM GROUP

CHRIS PARKER AND GERNOT STROTH

ABSTRACT. This article presents a 3-local characterisation of the sporadic simple group  $\text{McL}$  and its automorphism group. The proof of the theorem is underpinned by two further identification theorems, one due to Camina and Collins and the other proved in this paper. Both these supporting results are proved by using character theoretic methods. The main theorem is applied in our investigation of groups with a large 3-subgroup [11].

## 1. INTRODUCTION

This article extends earlier work of Parker and Rowley [9] in which the McLaughlin sporadic simple group  $\text{McL}$  and its automorphism group are characterised by certain 3-local information. Suppose that  $p$  is a prime and  $G$  is a finite group. Then the normalizer of a non-trivial  $p$ -subgroup of  $G$  is called a *p-local subgroup* of  $G$ . A subgroup  $M$  of  $G$  is said to be of *characteristic  $p$*  provided  $F^*(M) = O_p(M)$  where  $F^*(M)$  is the generalized Fitting subgroup of  $M$ . See [1] for the fundamental properties of the generalized Fitting subgroup. The group  $G$  is of *local characteristic  $p$*  if every  $p$ -local subgroup of  $G$  has characteristic  $p$  and  $G$  is of *parabolic characteristic  $p$*  if every  $p$ -local subgroup of  $G$  which contains a Sylow  $p$ -subgroup of  $G$  has characteristic  $p$ . The difference between these two group theoretic properties is the difference between the characterisation theorem presented in [9] and the theorem presented in this article. The main theorem of the former article essentially assumes that the group under investigation is of local characteristic 3. The theorem we prove here in essence only assumes that the group  $G$  has parabolic characteristic 3 though it is not necessary to articulate this explicitly in the statement of the theorem.

**Theorem 1.1.** *Suppose that  $G$  is a finite group,  $S \in \text{Syl}_3(G)$ ,  $Z = Z(S)$  and  $J$  is an elementary abelian subgroup of  $S$  of order  $3^4$ . Further assume that*

- (i)  $O^{3'}(N_G(Z)) \approx 3_+^{1+4}.2.\text{Alt}(5)$ ;
- (ii)  $O^{3'}(N_G(J)) \approx 3^4.\text{Alt}(6)$ ; and
- (iii)  $C_G(O_3(C_G(Z))) \leq O_3(C_G(Z))$ .

*Then  $G \cong \text{McL}$  or  $\text{Aut}(\text{McL})$ .*

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The main theorem of [9] carries the additional hypothesis

$$C_G(O_3(C_G(x))) \leq O_3(C_G(x))$$

for all  $x \in J^\#$ . Hence to prove Theorem 1.1, we just need to show that this inequality is a consequence of the assumptions in Theorem 1.1.

Theorem 1.1 is applied in our investigation of exceptional cases which arise in the determination of groups with a large  $p$ -subgroup [11]. A  $p$ -subgroup  $Q$  of a group  $G$  is *large* if and only if

- (L1)  $F^*(N_G(Q)) = Q$ ; and
- (L2) for all non-trivial subgroups  $U$  of  $Z(Q)$ , we have  $N_G(U) \leq N_G(Q)$ .

It is an elementary observation that most of the groups of Lie type in characteristic  $p$  have a *large*  $p$ -subgroup. The only Lie type groups in characteristic  $p$  and rank at least 2 which do not contain such a subgroup are  $\text{PSP}_{2n}(2^a)$ ,  $\text{F}_4(2^a)$  and  $\text{G}_2(3^a)$ . It is not difficult to show that groups  $G$  which contain a large  $p$ -subgroup are of parabolic characteristic  $p$  (see [11, Lemma 2.1]). The work in [8] begins the determination of the structure of the  $p$ -local overgroups of  $S$  which are not contained in  $N_G(Q)$ . The idea is to collect data about the  $p$ -local subgroups of  $G$  which contain a fixed Sylow  $p$ -subgroup and then using this information show that the subgroup generated by them is a group of Lie type. However sometimes one is confronted with the following situation: some (but perhaps not all) of the  $p$ -local subgroups of  $G$  containing a given Sylow  $p$ -subgroup  $S$  of  $G$  generate a subgroup  $H$  and  $F^*(H)$  is known to be isomorphic to a Lie type group in characteristic  $p$ . Usually  $G = H$ . To show this, we assume that  $H$  is a proper subgroup of  $G$ , and first establish that  $H$  contains *all* the  $p$ -local subgroups of  $G$  which contain  $S$ . The next step then demonstrates that  $H$  is strongly  $p$ -embedded in  $G$  at which stage [10] is applicable and delivers  $G = H$ . The last two steps are reasonably well understood, at least for groups with mild extra assumptions imposed. However it might be that the first step cannot be made. Typically this occurs only when  $N_G(Q)$  is not contained in  $H$ . The main theorem of this article is applied in just this type of situation. Specifically, it is applied in the case that  $p = 3$  and  $F^*(H)$  is the group  $\text{PSU}_4(3)$ . In this case in  $F^*(H)$  the large 3-subgroup  $Q$  is extraspecial of order  $3^5$  and is the largest normal 3-subgroup in the normalizer of a root group in  $F^*(H)$ . In this configuration we are not able to show that  $N_G(Q) \leq H$ , as is demonstrated by noting that  $\text{PSU}_4(3)$  is a subgroup of  $\text{McL}$ . In fact in [11] we show that, if  $F^*(H) \cong \text{PSU}_4(3)$  and  $N_G(Q) \not\leq H$ , then  $F^*(G) \cong \text{McL}, \text{Co}_2$ , or  $\text{PSU}_6(2)$ . It is precisely for the identification of  $\text{McL}$  that we need the result of this paper.

The route to prove Theorem 1.1 is paved by two preliminary results. These theorems state that under certain hypotheses a subgroup  $H$  of a group  $G$  is actually equal to  $G$ .

We denote by  $K$  the subgroup of  $\text{Alt}(9)$  which normalizes

$$J = \langle (1, 2, 3), (4, 5, 6), (7, 8, 9) \rangle.$$

Thus

$$K = \langle (1, 2, 3), (1, 4, 7)(2, 5, 8)(3, 6, 9), (1, 2)(4, 5), (1, 4)(2, 5)(3, 6)(7, 8) \rangle.$$

The first of the preliminary theorems is as follows:

**Theorem 1.2.** *Suppose  $G$  is a finite group,  $H \leq G$  with  $H \cong K$  and  $J = O_3(H)$ . If  $C_G(j) \leq H$  for all  $j \in J^\#$  and  $J$  is strongly closed in  $H$  with respect to  $G$ , then  $G = H$ .*

The second theorem that we require is due to Camina and Collins [3, Proposition 5] and we record it here.

**Theorem 1.3** (Camina and Collins [3]). *Suppose  $G$  is a finite group and  $H$  is a subgroup of  $G$  with*

$$H \cong \langle (1, 2, 3), (4, 5, 6), (7, 8, 9), (1, 2)(4, 5), (1, 2)(7, 8) \rangle \approx 3^3 : 2^2.$$

*Let  $T \in \text{Syl}_3(H)$  and assume  $N_G(T) = H$  and  $C_G(t) \leq H$  for all  $t \in T^\#$ . Then  $G = H$ .*

The hypothesis about the embedding of  $H$  in  $G$  in Theorem 1.3 is equivalent to saying that  $H$  is strongly 3-embedded in  $G$ . The proofs of both of the above theorems exploit the methods introduced by Suzuki which permit parts of the character table of  $G$  to be constructed. Details of the theory behind the Suzuki method are very well presented in [5]. The embedding properties in both Theorems 1.2 and 1.3 which assert that centralizers of certain elements of  $H$  are contained in  $H$  are precisely the requirements needed to make the Suzuki theory of special classes work. The result of the Suzuki method are fragments of possible character tables for  $G$ . These fragmentary tables provide all the character values on certain elements of order 3 in  $H$ .

For the proof of Theorem 1.2, as we start to build up the required character decompositions there is an overwhelming number of possibilities and so we have performed this calculation using MAGMA [2]. The result of this computation is four fragments of possible character tables for  $G$ . However this statement is rather disingenuous as in fact each fragment represents many possible character tables as the entries of the partial tables are only known up to sign choices.

Recall that for  $x, y, z \in G$  the  $G$ -structure constant

$$a_{xyz} = |\{(a, b) \in x^G \times y^G \mid ab = z\}|$$

is determined by the character table of  $G$  by the following equation

$$a_{xyz} = \frac{|G|}{|C_G(x)||C_G(y)|} \sum_{\chi \in \text{Irr}(G)} \frac{\chi(x)\chi(y)\chi(z^{-1})}{\chi(1)}.$$

If we select  $x, y \in H$  such that  $C_G(x)$  and  $C_G(y)$  are contained in  $H$ , then we know  $|C_G(x)| = |C_H(x)|$  and  $|C_G(y)| = |C_H(y)|$ . Furthermore, for certain choices of  $x, y$  and  $z$ , we know all the character values of  $x, y$  and  $z$ . Thus

the only unknown quantity on the left hand side of the structure constant equation is  $|G|$ . The proof of Theorem 1.2 pivots on the following fundamental fact [6] about groups generated by two elements of order 3 which have product of order 3:

*Suppose that  $X = \langle x, y \mid x^3 = y^3 = (xy)^3 = 1 \rangle$ . Then  $X$  has an abelian normal subgroup of index 3.*

This fact allows us to show that for certain  $z \in H$ , and for certain pairs  $x, y$  of elements of order 3 in  $G$ , if  $xy = z$  then  $x, y \in H$ . This means that we can calculate  $a_{xyz}$  in  $H$  and so we know the left hand side of the structure constant formula. Hence in principle we can determine  $|G|$ . What in fact happens is that we can discover enough information about  $|G|$  to decide that the possible partial character tables are invalid or to show that  $G = H$ .

Once Theorem 1.2 is proved, in Section 3 we prove Theorem 1.1. Now suppose  $G$  and  $J$  are as in Theorem 1.1 and set  $Q = O_3(N_G(Z))$  and  $M = N_G(J)$ . The initial part of the proof recalls some pertinent facts from [9]. In particular, we recall that  $M/J \cong \text{Mat}(10)$  or  $2 \times \text{Mat}(10)$ . For  $y \in Q \setminus Z$ , we also show that  $O^3(C_M(y))/\langle y \rangle$  is isomorphic to the group  $H$  in Theorem 1.3 if  $N_G(J)/J \cong \text{Mat}(10)$  and to the group  $H$  in Theorem 1.2 if  $N_G(J)/J \cong 2 \times \text{Mat}(10)$ . The main technical result in this section proves, for  $x \in O_3(C_M(y)/\langle y \rangle)$ ,  $C_{C_G(y)/\langle y \rangle}(x) \leq C_M(y)/\langle y \rangle$  and exploits the theorem of Smith and Tyrer [12]. Once this is proved we quickly finish the proof of Theorem 1.1 with the help of Theorems 1.2 and 1.3.

Our notation follows that of [1] and [7]. We use ATLAS [4] notation for group extensions. For odd primes  $p$ , the extraspecial groups of exponent  $p$  and order  $p^{2n+1}$  are denoted by  $p_+^{1+2n}$ . The quaternion group of order 8 is  $Q_8$  and  $\text{Mat}(10)$  is the Mathieu group of degree 10. A central product of groups  $H$  and  $K$  will be denoted  $H \circ K$ . For a subset  $X$  of a group  $G$ ,  $X^G$  is the set of  $G$ -conjugates of  $X$ . From time to time we shall give suggestive descriptions of groups which indicate the isomorphism type of certain composition factors. We refer to such descriptions as the *shape* of a group. Groups of the same shape have normal series with isomorphic sections. We use the symbol  $\approx$  to indicate the shape of a group. All the groups in this paper are finite groups.

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## 2. PROOF OF THEOREM 1.2

In this section we use the Suzuki method which exploits virtual characters to prove Theorem 1.2. We recall the following definition from [5, Definition 14.5].

**Definition 2.1** (Suzuki Special Classes). *Let  $G$  be a group and  $H$  be a subgroup of  $G$ . Suppose that  $\mathcal{C} = \bigcup_{i=1}^n \mathcal{C}_i$  is a union of conjugacy classes of*

Class	$\mathcal{C}_1$	$\mathcal{C}_2$	$\mathcal{C}_3$	$\mathcal{C}_4$	$\mathcal{C}_5$	$\mathcal{C}_6$	$\mathcal{C}_7$	$\mathcal{C}_8$	$\mathcal{C}_9$	$\mathcal{C}_{10}$	$\mathcal{C}_{11}$	$\mathcal{C}_{12}$	$\mathcal{C}_{13}$	$\mathcal{C}_{14}$
Size	1	27	54	6	8	12	72	54	54	108	72	72	54	54
Order	1	2	2	3	3	3	3	4	6	6	9	9	12	12
$\chi_1$	1	1	1	1	1	1	1	1	1	1	1	1	1	1
$\chi_2$	1	1	-1	1	1	1	1	-1	1	-1	1	1	-1	-1
$\chi_3$	2	2	0	2	2	2	-1	0	2	0	-1	-1	0	0
$\chi_4$	3	-1	-1	3	3	3	0	1	-1	-1	0	0	1	1
$\chi_5$	3	-1	1	3	3	3	0	-1	-1	1	0	0	-1	-1
$\chi_6$	6	2	0	3	-3	0	0	2	-1	0	0	0	-1	-1
$\chi_7$	6	2	0	3	-3	0	0	-2	-1	0	0	0	1	1
$\chi_8$	6	-2	0	3	-3	0	0	0	1	0	0	0	$\zeta$	$-\zeta$
$\chi_9$	6	-2	0	3	-3	0	0	0	1	0	0	0	$-\zeta$	$\zeta$
$\chi_{10}$	8	0	0	-4	-1	2	2	0	0	0	-1	-1	0	0
$\chi_{11}$	8	0	0	-4	-1	2	-1	0	0	0	2	-1	0	0
$\chi_{12}$	8	0	0	-4	-1	2	-1	0	0	0	-1	2	0	0
$\chi_{13}$	12	0	-2	0	3	-3	0	0	0	1	0	0	0	0
$\chi_{14}$	12	0	2	0	3	-3	0	0	0	-1	0	0	0	0

TABLE 1. The character table of  $H$ . Here  $\zeta = \sqrt{3}$ .

$H$ . Then  $\mathcal{C}$  is called a set of special classes in  $H$  provided the following three conditions hold.

- (i)  $C_G(h) \leq H$  for all  $h \in \mathcal{C}$ ;
- (ii)  $\mathcal{C}_i^G \cap \mathcal{C} = \mathcal{C}_i$  for  $1 \leq i \leq n$ ; and
- (iii) if  $h \in \mathcal{C}$  and  $\langle h \rangle = \langle f \rangle$ , then  $f \in \mathcal{C}$ .

As mentioned in the introduction, the Suzuki method and the theory behind it are well explained in [5] and we refer the reader explicitly to Section 14B in [5].

Let  $K$  be the subgroup of  $\text{Alt}(9)$  which normalizes

$$J = \langle (1, 2, 3), (4, 5, 6), (7, 8, 9) \rangle.$$

Thus

$$K = \langle (1, 2, 3), (1, 4, 7)(2, 5, 8)(3, 6, 9), (1, 2)(4, 5), (1, 4)(2, 5)(3, 6)(7, 8) \rangle.$$

We remark that  $K$  has shape  $3^3:\text{Sym}(4)$  but is not the unique group of this shape which has characteristic 3. We assume that  $G$ ,  $H$  and  $J$  are as in the statement of Theorem 1.2. Hence we may identify  $H$  with  $K$  and for all  $j \in J^\#$  we have  $C_G(j) \leq H$ . Furthermore,  $J$  is strongly closed in  $H$  with respect to  $G$ . We recall that this means that  $J^g \cap H \leq J$  for all  $g \in G$ .

We have used [2] to produce the character table of  $H$  and have presented the result in Table 1. The conjugacy classes of  $H$  will be represented by  $\mathcal{C}_1, \dots, \mathcal{C}_{14}$  as labeled in Table 1. We let  $x = (1, 2, 3) \in \mathcal{C}_4$ ,  $y = (1, 2, 3)(4, 5, 6) \in \mathcal{C}_6$ ,  $z = (1, 2, 3)(4, 5, 6)(7, 8, 9) \in \mathcal{C}_5$  and  $\mathcal{J} = x^H \cup y^H \cup z^H$ .

So

$$\mathcal{J} = \mathcal{C}_4 \cup \mathcal{C}_6 \cup \mathcal{C}_5 = J^\#.$$

Notice that  $z$  is 3-central and so we may suppose that  $T$  is chosen so that  $Z(T) = \langle z \rangle$ .

**Lemma 2.2.** *The following hold:*

- (i)  $T \in \text{Syl}_3(G)$ ;
- (ii)  $H$  controls  $G$ -fusion of elements of order 3 in  $T$ ;
- (iii)  $\mathcal{C} = \mathcal{J} \cup \bigcup_{i=9}^{14} \mathcal{C}_i$  is a set of special classes in  $H$ ; and
- (iv) if  $t \in \mathcal{J}$  and  $a, b \in \mathcal{J}^G$  satisfy  $ab = t$ , then either  $\langle a \rangle$ ,  $\langle b \rangle$  and  $\langle t \rangle$  are all  $G$ -conjugate or  $a, b \in J^\#$ .

*Proof.* We calculate  $Z(T) \leq J$  and  $Z(T) = \langle z \rangle$ . Hence  $C_G(Z(T)) \leq H$  by hypothesis and so  $T \in \text{Syl}_3(G)$  which is (i).

Using Table 1 and the fact that  $x, y, z \in J$ , we have  $|C_H(x)| = |C_G(x)| = 108$ ,  $|C_H(y)| = |C_G(y)| = 54$  and  $|C_H(z)| = |C_G(z)| = 81$ . Hence  $x, y$  and  $z$  are not conjugate in  $G$ . Let  $w \in T \cap \mathcal{C}_7$ . Then  $w$  has order 3. As, by assumption,  $J$  is strongly closed in  $H$ ,  $w$  is not  $G$ -conjugate to some element in  $J$ . This completes the proof of (ii).

For  $s \in \bigcup_{i=9}^{14} \mathcal{C}_i$ , some power of  $s$  is contained in  $\mathcal{J}$ . Hence parts (i) and (ii) and the hypothesis that  $C_G(t) \leq H$  for  $t \in \mathcal{J}$  imply that (i) and (iii) of Definition 2.1 hold. Furthermore, as  $C_G(s) \leq H$  for all  $s \in \mathcal{C}$ , the only possibility for Definition 2.1 (ii) to fail is if classes  $\mathcal{C}_{11}$  and  $\mathcal{C}_{12}$  fuse in  $G$  or if  $\mathcal{C}_{13}$  and  $\mathcal{C}_{14}$  fuse in  $G$ . In the first case there are  $a \in \mathcal{C}_{12}$  and  $b \in \mathcal{C}_{13}$  such that  $\langle a \rangle = \langle b \rangle$  and  $a^3 = b^3 \in \mathcal{C}_5$  is 3-central. Hence if these classes fuse, they do so in the centralizer of  $a^3$  and thus in  $H$  which is a contradiction. A similar argument shows classes  $\mathcal{C}_{13}$  and  $\mathcal{C}_{14}$  also cannot fuse. Hence  $\mathcal{C}$  is a set of special classes in  $H$ .

Before we start with the proof of (iv) we make some remarks. Let  $w \in \mathcal{C}_7$ . Then we may suppose that  $T = J\langle w \rangle$ . From the structure of  $H$  (or Table 1), we know  $C_H(w)$  is 3-closed with Sylow 3-subgroup  $\langle z, w \rangle$ . In particular,  $\langle z, w \rangle$  contains exactly two conjugates of  $z$  and therefore  $N_G(\langle z, w \rangle) \leq C_G(z) \leq H$  which implies

**(2.2.1)** For  $w \in \mathcal{C}_7 \cap T$ ,  $\langle z, w \rangle \in \text{Syl}_3(C_G(w))$  and  $\langle z, w \rangle$  contains two  $G$ -conjugates of  $z$  and six  $G$ -conjugates of  $w$ .

Suppose that  $t \in \mathcal{J}$  and  $a, b \in \mathcal{J}^G$  satisfy  $ab = t$ . To prove (iv) we may suppose that  $\langle a \rangle$ ,  $\langle b \rangle$  and  $\langle t \rangle$  are not all  $G$ -conjugate. Furthermore, setting  $X = \langle a, b \rangle$  we may suppose that  $X = A\langle a \rangle$  where  $A$  is a normal abelian subgroup of  $X$ . Let  $A_3$  be the Sylow 3-subgroup of  $A$ . As  $\langle a \rangle$ ,  $\langle b \rangle$  and  $\langle t \rangle$  are not all  $G$ -conjugate,  $A_3 \neq 1$ .

Assume that  $X \not\leq H$ . We first suppose that  $t \in A_3$ . Then  $A_3 \leq A \leq C_G(t) \leq H$  and hence  $a \notin H$ . Let  $B = C_{A_3}(a)$ . If  $B \cap J \neq 1$ , then  $a \in C_G(B \cap J) \leq H$ , which is a contradiction. Thus  $B$  has order 3 and the non-trivial elements of  $B$  are in class  $\mathcal{C}_7$ . Since  $A_3$  is abelian and  $t \in A_3$ ,

we now have  $\langle B, t \rangle \leq A_3 \leq C_H(B)$ . As  $|C_H(B)|_3 = 9$  by (2.2.1),  $\langle B, t \rangle = A_3$  and  $\langle t \rangle = A_3 \cap J$  is the unique  $G$ -conjugate of  $\langle t \rangle$  contained in  $A_3$ . But then  $a \in C_G(t) \leq H$  a contradiction. Hence  $t \notin A_3$  and  $X = \langle t \rangle A$ . If  $C_{A_3}(t) \cap J \neq 1$ , then we have that  $X = \langle t \rangle A \leq C_G(C_{A_3}(t) \cap J) \leq H$ , a contradiction. So we have that  $C_{A_3}(t)$  is of order three and the non-trivial elements are all in  $\mathcal{C}_7$ . By (2.2.1) we have that  $C_{A_3}(t)\langle t \rangle$  is a Sylow 3-subgroup of  $C_G(C_{A_3}(t))$  and so  $U_t = C_{A_3}(t)\langle t \rangle$  is a Sylow 3-subgroup of  $X$ . As  $a$  and  $b$  are not in  $\mathcal{C}_7^G$  by (ii), we have that also  $a$  and  $b$  are not in  $A$  and so  $U_a = \langle C_{A_3}(t), a \rangle$ ,  $U_b = \langle C_{A_3}(t), b \rangle$  are Sylow 3-subgroups of  $X$  too. Now we have that  $\mathcal{J}^G \cap \langle t, C_{A_3}(t) \rangle = \{t, t^{-1}\}$  by (ii). As  $U_t$ ,  $U_b$  and  $U_a$  are conjugate in  $X$ , we have that also  $|U_a \cap \mathcal{J}^G| = 2 = |U_b \cap \mathcal{J}^G|$ . However this means that  $\langle t \rangle$ ,  $\langle a \rangle$  and  $\langle b \rangle$  are all  $X$ -conjugate, which is a contradiction. Therefore (iv) holds.  $\square$

For  $g, h, k \in G$  we let  $a_{ghk}$  be the corresponding  $G$ -structure constant. Recall  $x = (1, 2, 3)$ ,  $y = (1, 2, 3)(4, 5, 6)$  and  $z = (1, 2, 3)(4, 5, 6)(7, 8, 9)$ .

**Lemma 2.3.** *We have the following  $G$ -structure constants values:  $a_{xyz} = 3$ ,  $a_{xxy} = 2$ ,  $a_{xxz} = 0$ ,  $a_{yyx} = 4$ ,  $a_{yyz} = 6$ ,  $a_{zzx} = 4$ ,  $a_{zzy} = 2$ .*

*Proof.* Because of Lemma 2.2 (iv) we can calculate these  $G$ -structure constants in  $H$ . To do this we may either calculate by hand in  $K$  or use the character table of  $H$  presented in Table 1.  $\square$

**Lemma 2.4.**  $|G : H| \equiv 1 \pmod{27}$ .

*Proof.* Suppose that  $x \in G$  and consider  $J \cap H^x$ . As  $J$  is strongly closed in  $H$  with respect to  $G$ ,  $J \cap H^x \leq J^x$ . Hence, if  $J \cap H^x > 1$ , then by the assumption on the centralizers of elements in  $J^\#$ ,  $J \leq H^x$  and consequently  $J^x = J$  and  $x \in N_G(J)$ . Thus the conjugation action of  $J$  on  $\{J^x \mid x \in G\}$  fixes  $J$  and otherwise has orbits of length  $|J| = 27$ . Since

$$N_G(J) = C_{N_G(J)}(z)H = C_H(z)H = H$$

by Lemma 2.2 (ii), we conclude that  $|G : H| = |\{J^x \mid x \in G\}| \equiv 1 \pmod{27}$  as claimed.  $\square$

We use the notation for characters of  $H$  introduced in Table 1.

**Lemma 2.5.** *Suppose that  $\theta$  is a virtual character of  $G$ . Then  $\theta(1) \equiv \theta(z) \pmod{9}$ .*

*Proof.* Set  $\psi = \sum_{i=6}^{14} \chi_i$ . We have  $\psi(1) = 72$ ,  $\psi(z) = -9$  and  $\psi(w) = 0$  if  $w$  is not conjugate to either 1 or  $z$ . Hence, as there are eight conjugates of  $z$  in  $H$ ,

$$(\psi, \theta_H) = \frac{1}{|H|}(72\theta(1) - 72\theta(z)) = \frac{1}{9}(\theta(1) - \theta(z))$$

is an integer. This proves the claim.  $\square$



**Lemma 2.6.** *Suppose that  $\theta$  is a virtual character of  $G$ . Then*

$$\theta(1) \equiv 4\theta(z) - 3\theta(x) \pmod{27}.$$

*Proof.* Set  $\psi = \sum_{i=6}^9 \chi_i$ . We have  $\psi(1) = 24$ ,  $\psi(x) = 12$ ,  $\psi(z) = -12$  and  $\psi(w) = 0$  if  $w$  is not conjugate to either 1 or  $z$ . Hence

$$(\psi, \theta_H)_H = \frac{1}{|H|} (24\theta(1) + 6 \cdot 12\theta(x) - 8 \cdot 12\theta(z)) = \frac{1}{27} (\theta(1) + 3\theta(x) - 4\theta(z))$$

is an integer which proves the claim.  $\square$

We now follow the Suzuki method. We find the following basis for the class functions which vanish off the special classes  $\mathcal{C}$ :

$$\begin{aligned} \lambda_1 &= 2\chi_1 + \chi_{13} - \chi_6 - \chi_{10}, \\ \lambda_2 &= \chi_1 - \chi_5 - \chi_6 + \chi_{12}, \\ \lambda_3 &= \chi_1 + \chi_2 + \chi_8 - \chi_{10}, \\ \lambda_4 &= \chi_1 + \chi_5 + \chi_{12} - \chi_{14}, \\ \lambda_5 &= \chi_2 - \chi_4 - \chi_7 + \chi_{12}, \\ \lambda_6 &= \chi_{12} - \chi_3 - \chi_8, \\ \lambda_7 &= \chi_8 - \chi_9, \\ \lambda_8 &= \chi_{11} - \chi_{12} \text{ and} \\ \lambda_9 &= \chi_4 + \chi_5 - \chi_8. \end{aligned}$$

For  $1 \leq i \leq 9$ , define

$$\gamma_i = \sum_{j=1}^{15} \chi_j(t_i) \chi_j$$

where  $t_i \in \mathcal{C}_{i+3}$  for  $1 \leq i \leq 3$  and  $t_i \in \mathcal{C}_{i+5}$  for  $4 \leq i \leq 9$ . By the second orthogonality relations, for  $1 \leq i \leq 9$ ,

$$\gamma_i \in \langle \lambda_j \mid 1 \leq j \leq 9 \rangle.$$

In the matrix  $D$  below, row  $i$  describes the decomposition of  $\gamma_i$  in terms of the  $\lambda_j$ ,  $1 \leq j \leq 9$ .

$$D = \begin{pmatrix} 0 & -3 & 4 & 0 & -3 & -2 & -3 & -4 & 0 \\ 3 & 0 & -2 & -3 & 3 & -2 & 3 & -1 & 6 \\ -3 & 3 & 1 & 3 & 0 & -2 & 0 & 2 & 3 \\ 0 & 1 & 0 & 0 & 1 & -2 & -1 & 0 & 0 \\ 1 & -1 & -1 & 1 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 2 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 & -1 & 0 & \zeta & 0 & 0 \\ 0 & 1 & 0 & 0 & -1 & 0 & -\zeta & 0 & 0 \end{pmatrix}.$$

We now determine the possibilities for the matrices  $B$  which have columns indexed by the irreducible characters  $\text{Irr}(G) = \{\theta_1, \dots, \theta_s\}$  of  $G$ , rows indexed by the induced virtual characters  $\mu_i = \lambda_i^G$  and  $(i, j)$ th entry indicating

the multiplicity of the character  $\theta_j$  in the virtual character  $\mu_i$ . To determine the entries in the candidates for  $B$ , we use that, for  $1 \leq i \leq k \leq 9$ ,

$$(\mu_i, \mu_k) = (\lambda_i, \lambda_k)$$

and that the multiplicity of the principal character of  $H$  in  $\lambda_i$  is the same as the multiplicity of the principal character of  $G$  in  $\mu_i$  (see [5, Lemma 14.9, Theorem 14.11]). The calculation to determine the candidates for  $B$  was performed using MAGMA [2]. From the candidate matrices  $B$ , we can calculate fragments of the character table of  $G$  by calculating  $C = (DB)^t$ . The columns of the fragments are indexed by the  $G$ -conjugacy classes represented in the special classes  $\mathcal{C}$  and the rows are indexed by the irreducible characters of  $G$ . For the computation we use the fact that aside from the first row, no character of  $G$  appears with multiplicity other than  $\pm 1$  or 0. The MAGMA programme is stored on the ArXiv.

When calculating  $B$ , we note that negating a column results in a further solution to the inner product equations. Similarly a permutation of the columns results in a different candidate for the matrix  $B$ . These operations correspond to renaming the characters in  $C$  or to negating a character in  $C$  and so we may consider the solutions to be equivalent. We always arrange that the first row corresponds to the principal character. The calculation reveals four solutions, whose rows are inequivalent under the manipulations just described. The columns of the fragments corresponding to the elements  $x$ ,  $y$  and  $z$  are presented in Table 2. In fragments one and two, the rows consisting of zeros have non-zero entries on other members of the special classes and so we have left the rows in our partial tables. Remember that these fragments now have rows that may be the negative of character values.

For the candidates for the partial character table of  $G$  given in Table 2, we show that the first three cases are not associated with a character table of a group which satisfies Lemmas 2.4, 2.5 or 2.6 whereas in the fourth case we demonstrate that  $G = H$ . All the calculations make use of the structure constants presented in Lemma 2.3. We denote the virtual characters of  $G$  represented in the fragments in Table 2 by  $\theta_1, \dots, \theta_s$  where  $s$  is the number of rows in the corresponding fragment and  $\theta_1$  is the principal character of  $G$ . For  $1 \leq i \leq s$ , define

$$d_i = \theta_i(1).$$

Thus  $d_i$  is an integer and is positive if and only if  $\theta_i$  is a character. We set

$$g = \frac{|G|}{54^2} = \frac{2}{9}|G : H|.$$

**Lemma 2.7.** *Fragment one of Table 2 is not possible.*

$x$	$z$	$y$	$x$	$z$	$y$	$x$	$z$	$y$	$x$	$z$	$y$
1	1	1	1	1	1	1	1	1	1	1	1
4	1	-2	4	1	-2	3	0	-3	4	1	-2
-3	3	0	-3	3	0	1	4	-2	0	3	-3
0	-3	3	0	-3	3	3	-3	0	3	-3	0
3	3	3	-4	2	-1	-3	-3	-3	-4	-1	2
4	1	-2	-3	-6	0	-4	-1	2	-3	-3	-3
-4	2	-1	4	-2	1	4	-2	1	-1	-1	-1
0	0	0	0	0	0	3	-3	0	-3	3	0
0	3	-3	0	-3	-6	0	3	-3	0	3	-3
0	0	0	4	-2	1	3	3	3	-3	-3	-3
-5	1	-2	-5	-2	1	2	2	2	-3	3	0
-4	-1	2	0	0	0	-3	3	0	2	2	2
0	-6	-3	$\vdots$	$\vdots$	$\vdots$	-4	-1	2	-3	3	0
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	-4	-1	2
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$

TABLE 2. The candidates for inequivalent fragments of the character table of  $G$ .

*Proof.* The values of the structure constants  $a_{xyz} = 3$ ,  $a_{xxy} = 2$  and  $a_{yyx} = 4$  given in Lemma 2.3 yield, respectively, the following equalities:

$$\begin{aligned} \frac{6}{g} &= 1 - \frac{8}{d_2} + \frac{27}{d_5} - \frac{8}{d_6} + \frac{8}{d_7} + \frac{10}{d_{11}} + \frac{8}{d_{12}} \\ \frac{8}{g} &= 1 - \frac{32}{d_2} + \frac{27}{d_5} - \frac{32}{d_6} - \frac{16}{d_7} - \frac{50}{d_{11}} + \frac{32}{d_{12}} \\ \frac{4}{g} &= 1 + \frac{16}{d_2} + \frac{27}{d_5} + \frac{16}{d_6} - \frac{4}{d_7} - \frac{20}{d_{11}} - \frac{16}{d_{12}}. \end{aligned}$$

To simplify our notation we set  $w_1 = -\frac{8}{d_2} - \frac{8}{d_6} + \frac{8}{d_{12}}$ ,  $w_2 = -\frac{4}{d_7}$ ,  $w_3 = \frac{10}{d_{11}}$  and  $w_4 = 1 + \frac{27}{d_5}$ .

$$(1) \quad \frac{6}{g} - w_4 = w_1 - 2w_2 + w_3$$

$$(2) \quad \frac{8}{g} - w_4 = 4w_1 + 4w_2 - 5w_3$$

$$(3) \quad \frac{4}{g} - w_4 = -2w_1 + w_2 - 2w_3.$$

Subtracting four times Eqn. 1 from Eqn. 2 and adding two times Eqn. 1 to Eqn. 3 we get

$$\begin{aligned} -\frac{16}{g} + 3w_4 &= 12w_2 - 9w_3 \\ \frac{16}{g} - 3w_4 &= -3w_2 \end{aligned}$$

which means that  $w_2 = w_3$ . Thus  $-4d_{11} = 10d_7$ . Now, by Lemma 2.5,  $d_{11} \equiv 1 \pmod{9}$  and  $d_7 \equiv 2 \pmod{9}$ . This implies  $-4d_{11} \equiv -4 \pmod{9}$  and  $10d_7 \equiv 20 \pmod{9} = 2 \pmod{9}$ , which is a contradiction.  $\square$

**Lemma 2.8.** *Fragment two of Table 2 is not possible.*

*Proof.* We consider the structure constants  $a_{xyz} = 3$  and  $a_{yyx} = 4$ . These provide the equations

$$(4) \quad \frac{6}{g} = 1 - \frac{8}{d_2} + \frac{8}{d_5} - \frac{8}{d_7} - \frac{8}{d_{10}} + \frac{10}{d_{11}}$$

$$(5) \quad \frac{4}{g} = 1 + \frac{16}{d_2} - \frac{4}{d_5} + \frac{4}{d_7} + \frac{4}{d_{10}} - \frac{5}{d_{11}}.$$

Adding two times Eqn.5 to Eqn. 4 gives the conclusion

$$\frac{14}{g} = 3 + \frac{24}{d_2}.$$

This means that  $7 \cdot 9d_2 - 3d_2|G : H| = 24|G : H|$  and yields

$$7 \cdot 3d_2 - d_2|G : H| = 8|G : H|.$$

By Lemma 2.5 we have  $d_2 \equiv 1 \pmod{9}$  and by Lemma 2.4  $|G : H| \equiv 1 \pmod{9}$ . Therefore

$$2 \equiv 7 \cdot 3d_2 - d_2|G : H| = 8|G : H| \equiv -1 \pmod{9},$$

which is absurd. Thus Fragment two does not lead to a group satisfying the current assumptions.  $\square$

**Lemma 2.9.** *Fragment three of Table 2 is not possible.*

*Proof.* In this case we use all the structure constants other than  $a_{xyz}$  to reach our conclusion. The structure constants  $a_{xxy} = 2$ ,  $a_{yyx} = 4$ ,  $a_{xxz} = 0$ ,  $a_{zzx} = 4$ ,  $a_{yyz} = 6$ , and  $a_{zzy} = 2$  lead, respectively, to the following six equations:

$$\frac{8}{g} = 1 - \frac{27}{d_2} - \frac{2}{d_3} - \frac{27}{d_5} + \frac{32}{d_6} + \frac{16}{d_7} + \frac{27}{d_{10}} + \frac{8}{d_{11}} + \frac{32}{d_{13}}$$

$$\frac{4}{g} = 1 + \frac{27}{d_2} + \frac{4}{d_3} - \frac{27}{d_5} - \frac{16}{d_6} + \frac{4}{d_7} + \frac{27}{d_{10}} + \frac{8}{d_{11}} - \frac{16}{d_{13}}$$

$$0 = 1 + \frac{4}{d_3} - \frac{27}{d_4} - \frac{27}{d_5} - \frac{16}{d_6} - \frac{32}{d_7} - \frac{27}{d_8} + \frac{27}{d_{10}} + \frac{8}{d_{11}} + \frac{27}{d_{12}} - \frac{16}{d_{13}}$$

$$\frac{9}{g} = 1 + \frac{16}{d_3} + \frac{27}{d_4} - \frac{27}{d_5} - \frac{4}{d_6} + \frac{16}{d_7} + \frac{27}{d_8} + \frac{27}{d_{10}} + \frac{8}{d_{11}} - \frac{27}{d_{12}} - \frac{4}{d_{13}}$$

$$\frac{6}{g} = 1 + \frac{16}{d_3} - \frac{27}{d_5} - \frac{4}{d_6} - \frac{2}{d_7} + \frac{27}{d_9} + \frac{27}{d_{10}} + \frac{8}{d_{11}} - \frac{4}{d_{13}}$$

$$\frac{9}{2g} = 1 - \frac{32}{d_3} - \frac{27}{d_5} + \frac{2}{d_6} + \frac{4}{d_7} - \frac{27}{d_9} + \frac{27}{d_{10}} + \frac{8}{d_{11}} + \frac{2}{d_{13}}.$$

We set  $w_1 = \frac{27}{d_2}$ ,  $w_2 = \frac{2}{d_3}$ ,  $w_3 = -\frac{27}{d_4} - \frac{27}{d_5} + \frac{27}{d_{12}}$ ,  $w_4 = 1 - \frac{27}{d_5} + \frac{27}{d_{10}} + \frac{8}{d_{11}}$ ,  $w_5 = \frac{2}{d_6} + \frac{2}{d_{13}}$ ,  $w_6 = \frac{2}{d_7}$  and  $w_7 = \frac{27}{d_9}$ .

$$(6) \quad \frac{8}{g} - w_4 = -w_1 - w_2 + 16w_5 + 8w_6$$

$$(7) \quad \frac{4}{g} - w_4 = w_1 + 2w_2 - 8w_5 + 2w_6$$

$$(8) \quad -w_4 = 2w_2 + w_3 - 8w_5 - 16w_6$$

$$(9) \quad \frac{9}{g} - w_4 = 8w_2 - w_3 - 2w_5 + 8w_6$$

$$(10) \quad \frac{6}{g} - w_4 = 8w_2 - 2w_5 - w_6 + w_7$$

$$(11) \quad \frac{9}{2g} - w_4 = -16w_2 + w_5 + 2w_6 - w_7.$$

If we add the first four equations and subtract twice the sum of the last two equations, we obtain

$$0 = 27w_2.$$

Therefore  $0 = w_2 = \frac{2}{d_3}$ , which is ridiculous.  $\square$

**Lemma 2.10.** *Fragment four of Table 2 leads to the conclusion  $G = H$ .*

*Proof.* This time we focus on the structure constants  $a_{xyz} = 3$ ,  $a_{xxy} = 2$  and  $a_{yyz} = 6$ . These, respectively, provide the following equations:

$$\begin{aligned} \frac{6}{g} &= 1 - \frac{8}{d_2} + \frac{8}{d_5} - \frac{27}{d_6} - \frac{1}{d_7} - \frac{27}{d_{10}} + \frac{8}{d_{12}} + \frac{8}{d_{14}} \\ \frac{8}{g} &= 1 - \frac{32}{d_2} + \frac{32}{d_5} - \frac{27}{d_6} - \frac{1}{d_7} - \frac{27}{d_{10}} + \frac{8}{d_{12}} + \frac{32}{d_{14}} \\ \frac{6}{g} &= 1 + \frac{4}{d_2} + \frac{27}{d_3} - \frac{4}{d_5} - \frac{27}{d_6} - \frac{1}{d_7} + \frac{27}{d_9} - \frac{27}{d_{10}} + \frac{8}{d_{12}} - \frac{4}{d_{14}}. \end{aligned}$$

Thus setting  $w_1 = 1 - \frac{27}{d_6} - \frac{1}{d_7} - \frac{27}{d_{10}} + \frac{8}{d_{12}}$ ,  $w_2 = -\frac{4}{d_2} + \frac{4}{d_5} + \frac{4}{d_{14}}$  and  $w_3 = \frac{27}{d_3} + \frac{27}{d_9}$ , we see that

$$\begin{aligned} \frac{6}{g} - w_1 &= 2w_2 \\ \frac{8}{g} - w_1 &= 8w_2 \\ \frac{6}{g} - w_1 &= -w_2 + w_3. \end{aligned}$$

Therefore  $w_3 = 1/g$  and so

$$\frac{27}{d_3} + \frac{27}{d_9} = \frac{9}{2}|G : H|^{-1}.$$

Hence

$$(12) \quad d_3d_9 = 6|G : H|(d_3 + d_9).$$

In particular  $d_3 + d_9 \neq 0$ . As  $d_3^2$  and  $d_9^2$  are both less than  $|G|$ ,  $|G| > |d_3 d_9|$  and consequently Eqn. 12 also implies  $|G| > 6|G : H||d_3 + d_9|$ . This means that

$$|d_3 + d_9| < |H|/6 = 108.$$

On the other hand,

$$\begin{aligned} 0 &< (d_3 + d_9)^2 = d_3^2 + d_9^2 + 2d_3 d_9 \\ &= d_3^2 + d_9^2 + 12|G : H|(d_3 + d_9) < |G| + 12|G : H|(d_3 + d_9) \end{aligned}$$

and so

$$|H| + 12(d_3 + d_9) > 0.$$

We conclude  $d_3 + d_9 > -54$ . Furthermore, if  $d_3 + d_9$  is positive, then, by Eqn. 12,  $d_3$  and  $d_9$  are both positive and, as  $(d_3 + d_9)^2 = d_3^2 + d_9^2 + 12|G : H|(d_3 + d_9)$ , we see

$$12|G : H| \leq d_3 + d_9 \leq 108$$

which gives  $|G : H| \leq 9$ . Since Lemma 2.4 states  $|G : H| \equiv 1 \pmod{27}$ , this means that  $G = H$  as desired. Therefore we may assume that  $d_3 + d_9 < 0$ .

By Lemma 2.6,  $d_3 \equiv d_9 \equiv 12 \pmod{27}$ . Hence  $a = d_3/3$  and  $b = d_9/3$  are integers with  $a \equiv b \equiv 4 \pmod{9}$ . As  $d_3 + d_9 > -54$ , we have  $-18 < a + b < 0$ . Additionally Eqn. 12 becomes

$$(13) \quad ab = 2|G : H|(a + b).$$

We now determine the possibilities for  $a$  and  $b$  modulo 27. From Lemma 2.4 we have  $|G : H| \equiv 1 \pmod{27}$  and therefore Eqn. 13 yields  $ab \equiv 2(a + b) \pmod{27}$ . Since  $a \equiv b \equiv 4 \pmod{9}$ , we have that  $a$  and  $b$  are equivalent to one of 4, 13 or 22 modulo 27. As  $ab \equiv 2(a + b) \pmod{27}$ , we infer that either  $a \equiv b \equiv 4 \pmod{27}$ , or up to change of notation,  $a \equiv 13 \pmod{27}$  and  $b \equiv 22 \pmod{9}$ . In particular  $a + b \equiv 8 \pmod{27}$ . Since  $-18 < a + b < 0$ , this is impossible.  $\square$

*The proof of Theorem 1.2.* Since fragments 1, 2 and 3 of Table 2 are not associated to a possible character table of  $G$  and since fragment 4 leads to the conclusion that  $G = H$ , we must have  $G = H$ .  $\square$

### 3. THE PROOF OF THEOREM 1.1

In this section we assume the hypothesis of the Theorem 1.1 which we recall reads as follows.

**Hypothesis 3.1.**  $G$  is a finite group,  $S \in \text{Syl}_3(G)$ ,  $Z = Z(S)$  and  $J$  is an elementary abelian subgroup of  $S$  of order  $3^4$ . Furthermore

- (i)  $O^{3'}(N_G(Z)) \approx 3_+^{1+4} \cdot 2 \cdot \text{Alt}(5)$ ;
- (ii)  $O^{3'}(N_G(J)) \approx 3^4 \cdot \text{Alt}(6)$ ; and
- (iii)  $C_G(O_3(C_G(Z))) \leq O_3(C_G(Z))$ .

We use the same notation as established in [9, Section 5]. Thus

$$Q = O_3(N_G(Z)) = O_3(C_G(Z))$$

is extraspecial of order  $3^5$  and exponent 3,

$$L = N_G(Z), L_* = O^{3'}(L), M = N_G(J) \text{ and } M_* = O^{3'}(M).$$

Notice that

$$J = O_3(M) = O_3(M^*).$$

With this notation Hypothesis 3.1 (i) and (ii) are expressed as

$$L_*/Q \cong 2 \cdot \text{Alt}(5) \cong \text{SL}_2(5) \text{ and } M_*/J \cong \text{Alt}(6) \cong \Omega_4^-(3).$$

**Lemma 3.2.** *The following hold:*

- (i)  $C_G(Q) = Z(Q) = Z$  has order 3;
- (ii)  $C_G(J) = J$ ;
- (iii)  $J = J(S)$  is the Thompson subgroup of  $S$ ; and
- (iv)  $S = JQ$  and  $N_G(S) = L \cap M$ .

*Proof.* As  $C_G(Q) \leq Q$  by Hypothesis 3.1 (iii) and  $Q$  is extraspecial, part (i) holds. Since  $S \leq L$ ,  $|S : Q| = 3$  and  $Q$  is extraspecial of order  $3^5$ ,  $J$  is a maximal abelian subgroup of  $S$  and  $Z \leq J$ . It follows from the described structure of  $L$  and  $M$  that  $C_G(J) \leq J$  and, as  $J$  is abelian, (ii) holds.

For (iii) suppose there is  $\hat{J} \leq S$  with  $\hat{J}$  abelian and  $|\hat{J}| \geq |J|$ . Then, as  $S/J \in \text{Syl}_3(M_*/J)$  and  $M_*/J \cong \text{Alt}(6)$ ,  $|J \cap \hat{J}| \geq 3^2$ . If  $S = J\hat{J}$ , then  $Z(S) \geq J \cap \hat{J}$  which contradicts (i). Thus  $J\hat{J} \neq S$  and so  $|J \cap \hat{J}| \geq 3^3$ . If  $\hat{J} \neq J$ , then, by Hypothesis 3.1 (ii),  $J\hat{J}$  is not normal in  $N_{N_G(J)}(S)$ . Hence there is  $x \in N_G(S)$  such that  $S = J\hat{J}\hat{J}^x$ . Further we have  $|J \cap \hat{J}| = |J \cap \hat{J}^x| = 3^3$ , in particular  $|J \cap \hat{J} \cap \hat{J}^x| \geq 3^2$ . Since this group is contained in  $Z(S)$ , we again have a contradiction to (i). Hence  $J = \hat{J}$  and  $J = J(S)$ . This is (iii).

Since  $J \not\leq Q$  and  $|S/Q| = 3$ , we have  $S = JQ$ . Also  $N_G(S) \leq N_G(Z(S)) = L$  and  $N_G(S) \leq N_G(J(S)) = N_G(J) = M$ . Hence  $N_G(S) \leq L \cap M$ . Finally we have  $L \cap M \leq N_G(S)$  as  $S = JQ$ . Thus (iv) holds.  $\square$

**Lemma 3.3.** *Let  $X = M^*/J \cong \text{Alt}(6)$ . Then, as a  $\text{GF}(3)X$ -module,  $J$  can be identified with the irreducible 4-dimensional section of the natural 6-point  $\text{GF}(3)X$ -permutation module for  $\text{Alt}(6)$ . In particular,  $J$  supports a non-degenerate orthogonal form which is invariant under the action of  $M$ .*

*Proof.* See [9, Lemma 5.4 and the discussion at the bottom of page 1769].  $\square$

**Lemma 3.4.** *Let  $X = \text{Alt}(6)$  and  $V$  be the irreducible 4-dimensional section of the natural 6-point  $\text{GF}(3)X$ -permutation module. Then*

- (i)  $X$  has three orbits on the 1-dimensional subspaces of  $V$ . One orbit has length 10 and the other two orbits both have length 15.
- (ii) If  $\langle \nu \rangle \leq V$  is in an  $X$ -orbit of length 15, then  $C_X(\nu) \cong \text{Alt}(4)$ .
- (iii) Every hyperplane of  $V$  contains an element from the orbit of length 10.

(iv) If  $x \in X$  is of order 4, then  $C_V(x) = 0$  and  $|C_V(x^2)| = 3^2$ .

*Proof.* These statements are the results of easy calculations.  $\square$

Set

$$L_0 = L_*N_{M_*}(S) \text{ and } M_0 = M_*N_{L_*}(S).$$

Since, by Lemma 3.2 (iv),  $N_G(S) = M \cap L$ ,  $L_0$  and  $M_0$  are subgroups of  $G$ .

In the next lemma  $2^- \text{Sym}(5)$  denotes the double cover of  $\text{Sym}(5)$  which contains  $2 \cdot \text{Alt}(5)$  and in which the transpositions of  $\text{Sym}(5)$  lift to elements of order 4. The Sylow 2-subgroups of  $2^- \text{Sym}(5)$  are quaternion groups of order 16.

**Lemma 3.5.** *The following hold.*

- (i)  $M_0/J \cong \text{Mat}(10)$ ,  $L_0/Q \cong 2^- \text{Sym}(5)$  and  $N_{L_*}(S)N_{M_*}(S)$  has Sylow 2-subgroups which are isomorphic to  $\mathbb{Q}_8$ .
- (ii)  $|L : L_0| = |M : M_0| \leq 2$ .
- (iii) If  $|L : L_0| = 2$ , then  $M/J \cong 2 \times \text{Mat}(10)$  and  $L/Q \cong (4 \circ \text{SL}_2(5)).2$ . Furthermore,  $N_G(S)$  has Sylow 2-subgroups which are isomorphic to  $2 \times \mathbb{Q}_8$ .

*Proof.* See [9, Lemma 5.11].  $\square$

**Lemma 3.6.** *All involutions contained in  $M$  act with determinant 1 on  $J$  and project to elements in  $F^*(M/J)$ .*

*Proof.* By Lemma 3.5 either  $M/J \cong \text{Mat}(10)$  or  $M/J \cong \text{Mat}(10) \times 2$ . As all involutions of  $\text{Mat}(10)$  are contained in  $\text{Alt}(6)$ , all the involutions of  $M/J$  are contained in  $F^*(M/J)$ . If  $F^*(M/J) \cong \text{Alt}(6)$ , then, as  $\text{Alt}(6)$  is perfect, the result holds. If  $F^*(M/J) \cong \text{Alt}(6) \times 2$ , then the central involution in  $M/J$  inverts  $J$  and so also has determinant 1. This completes the proof.  $\square$

**Lemma 3.7.** *Suppose that  $A \leq M$  has order 4 and  $|C_J(A)| \geq 9$ . Then  $C_J(A)$  contains a conjugate of  $Z$ .*

*Proof.* As any involution of  $\text{Mat}(10)$  is contained in  $\text{Alt}(6)$  and, by Lemma 3.5,  $|M : M_0| \leq 2$  and  $M_0/J \cong \text{Mat}(10)$ ,  $A$  contains an involution  $a \in M_*$ . By conjugating  $A$  by elements of  $M$ , we may assume that  $a$  normalizes  $S$ . Because  $N_{M_*}(S)/S$  is cyclic of order 4,  $a$  is a square in  $N_{M_*}(S)$ . Hence  $a$  centralizes  $Z(S) = Z$ . As  $a$  has determinant 1 in its action on  $J$ , we have that  $|C_J(a)| = 9$  and so  $C_J(a) = C_J(A)$  contains  $Z$ .  $\square$

Since, by Lemma 3.2 (iii),  $J$  is the Thompson subgroup of  $S$  and because  $J$  is abelian, [1, 37.6] implies  $M$  controls  $G$ -fusion of elements in  $J$ . Therefore Lemma 3.4 (i) implies that there are at most three and at least two conjugacy classes of subgroups of order 3 in  $J$ . We know that the 3-central class is represented by  $Z$  and that the non-trivial elements of  $Z$  correspond to singular vectors in  $J$ . As  $\text{Alt}(6)$  is not isomorphic to a subgroup of  $\Omega_4^+(3)$  (which is soluble), the quadratic form on  $J$  which is preserved up to similarity by  $M$  is of  $-$ -type. Thus there are no subgroups of  $J$  of order 9 in which all the subgroups of order 3 are conjugate to  $Z$ .



- Lemma 3.8.** (i)  $M$  controls  $G$ -fusion in  $J$ ;  
(ii)  $M$  has exactly two orbits when acting on the subgroups of order 3 in  $J$ ; and  
(iii)  $Z$  is weakly closed in  $Q$  with respect to  $G$ .

*Proof.* We have already discussed (i).

As  $M_0/J \cong \text{Mat}(10)$  by Lemma 3.5 and  $\text{Mat}(10)$  has no subgroups of index 15, we deduce that  $\langle y \rangle^{M_0}$  has size 30 and therefore  $C_{M_0}(y) = C_{M_*}(y)$  and  $C_{M_*}(y)/J \cong \text{Alt}(4)$  by Lemma 3.4(ii). In particular, there are at most two orbits of  $M_0$  on subgroups of  $J$  of order three. Since there are at least two orbits, (ii) holds.

Suppose that  $Y \leq Q \cap J$  has order 3 with  $Y \neq Z$  and that  $Y$  is  $G$ -conjugate to  $Z$ . Then  $W = YZ$  is a subgroup of  $J$  of order 9 in which every proper subgroup is conjugate to  $Z$ . Since  $J$  has no such subgroups of order 9, we infer that no such  $Y$  exists. Thus, if  $y \in (J \cap Q) \setminus Z$ , then  $y$  is not 3-central in  $G$ . Suppose now that  $Y \in Z^G \setminus \{Z\}$  and  $Y \leq Q$ . Then  $C_Q(Y) \cong 3 \times 3_+^{1+2}$  and so, as  $L^g/Q^g$  has cyclic Sylow 3-subgroups,  $Z = C_Q(Y)' \leq Q^g$ . Now  $C_{Q^g}(Z)$  normalizes  $Q$  and by conjugating in  $L$ , we may assume that  $C_{Q^g}(Z) \leq S$ . But then  $C_{Q^g}(Z)$  normalizes  $J$  and consequently, as  $S/J$  is abelian,  $Y = C_{Q^g}(Z)' \leq J \cap Q$ , which is a contradiction.  $\square$

**Lemma 3.9.** *The only 3'-subgroup of  $G$  which is normalized by  $J$  is the trivial subgroup.*

*Proof.* Assume that  $J$  normalizes a non-trivial 3'-subgroup  $X$  of  $G$ . Then, as every subgroup of  $J$  of order 27 contains a conjugate of  $Z$  by Lemma 3.4 (iii), as  $J$  acts coprimely on  $X$ , and, as  $X = \langle C_X(J_1) \mid |J : J_1| = 3 \rangle$ , we may assume that  $Y = C_X(Z) \neq 1$ . But then  $Y$  is a non-trivial 3'-subgroup of  $L$ . As  $Y$  is normalized by  $A = J \cap Q$  and  $Y$  normalizes  $Q$ ,  $[A, Y] \leq Q \cap Y = 1$  and hence, as  $Y \neq 1$  and  $A$  is a maximal abelian subgroup of  $Q$ ,  $Y \leq C_L(A) = J$ . But then  $Y = 1$ , which is a contradiction.  $\square$

We now select and fix  $y \in (Q \cap J) \setminus Z$ . By Lemma 3.8 (iii),  $y$  is not a 3-central element of  $G$ . Define

$$K = C_M(y) \text{ and } H = C_G(y).$$

The aim of the remainder of this section is to prove that

$$C_G(O_3(H)) \leq O_3(H).$$

**Lemma 3.10.** *We have  $C_L(y) \leq K$ .*

*Proof.* We consider the subgroup  $U = \langle y, Z \rangle$  and calculate  $C_{L_*}(U) = C_{L_*}(y)$ . As  $U \leq J$  and  $J$  is abelian,  $J \leq C_{L_*}(U)$  and, as  $Q$  is extraspecial,  $C_Q(U)$  has index 3 in  $Q$  and  $C_Q(U)J$  is a Sylow 3-subgroup of  $C_L(U)$ . Since the elements of order 5 in  $L_*$  act fixed-point-freely on  $Q/Z$  and since the involutions in  $L_*$  invert  $Q/Z$ , we infer that  $C_{L_*}(U) = C_Q(U)J$ . Hence  $C_{L_*}(y)$  and  $C_L(y)$  are 3-closed. It follows that  $C_L(y) \leq N_G(C_Q(U)J) \leq N_G(J) = M$  as  $J = J(S)$  by Lemma 3.2 (iii).  $\square$

Define

$$K_a = \langle (1, 2, 3), (1, 4, 7)(2, 5, 8)(3, 6, 9), (1, 2)(4, 5) \rangle$$

and

$$\begin{aligned} K_b &= N_{\text{Alt}(9)}(\langle (1, 2, 3), (4, 5, 6), (7, 8, 9) \rangle) \\ &= \langle (1, 2, 3), (1, 4, 7)(2, 5, 8)(3, 6, 9), (1, 2)(4, 5), (1, 4)(2, 5)(3, 6)(7, 8) \rangle. \end{aligned}$$

We have that  $K_a$  is isomorphic to a semidirect product of an elementary abelian group of order 27 by  $\text{Alt}(4)$  and  $K_b$  is isomorphic to a semidirect product of an elementary abelian group of order 27 by  $\text{Sym}(4)$ . Moreover  $|K_b : K_a| = 2$ . Note that any elementary abelian subgroup of  $\text{Sym}(9)$  of order 27 is conjugate in  $\text{Sym}(9)$  to  $\langle (1, 2, 3), (4, 5, 6), (7, 8, 9) \rangle$ .

**Lemma 3.11.** *One of the following holds:*

- (i)  $M/J \cong \text{Mat}(10)$  and  $K/\langle y \rangle \cong K_a$ ; or
- (ii)  $M/J \cong \text{Mat}(10) \times 2$  and  $K/\langle y \rangle \cong K_b$ .

Moreover,  $C_K(O_3(K)) \leq O_3(K)$ .

*Proof.* We saw in the proof of Lemma 3.8 that  $C_{M_0}(y) = C_{M_*}(y)$  and  $C_{M_*}(y)/J \cong \text{Alt}(4)$ . Let  $A \in \text{Syl}_2(C_{M_*}(y))$  and  $a \in A^\#$ . Then the action of  $C_{M_*}(y)$  on the cosets of  $[J, a]A\langle y \rangle$  gives an embedding of  $C_{M_*}(y)/\langle y \rangle$  into  $\text{Sym}(9)$ . Since  $C_{M_*}(y)/\langle y \rangle$  is generated by elements of order 3 and normalizes  $J/\langle y \rangle$ , we have  $C_{M_*}(y)/\langle y \rangle \cong K_a$ . Thus, if  $M = M_0$ , then (i) holds.

Suppose that  $M > M_0$ . Then  $M/J \cong \text{Mat}(10) \times 2$ . Let  $b \in M$  be an involution such that  $bJ \in Z(M/J)$ . Then  $b$  inverts  $y$  and also  $y$  is inverted in  $N_{M_*}(C_{M_*}(y)) \approx 3^4:\text{Sym}(4)$ . Thus the diagonal subgroup of  $\langle b \rangle N_{M_*}(C_{M_*}(y))/J \cong 2 \times \text{Sym}(4)$  which is isomorphic to  $\text{Sym}(4)$  centralizes  $y$ . Now every element of  $M_*\langle b \rangle$  acts on  $J$  with determinant 1. It follows that every element of  $C_{M_*\langle b \rangle}(y)$  acts on  $J/\langle y \rangle$  with determinant 1. Let  $B \in \text{Syl}_2(C_{M_*\langle b \rangle}(y))$  and  $t \in Z(B)$ . Then  $C_{M_*\langle b \rangle}(y)$  acts on the nine cosets of  $[J, t]B\langle y \rangle$  in  $C_{M_*\langle b \rangle}(y)$ . Thus again we have  $C_{M_*\langle b \rangle}(y)/\langle y \rangle$  is isomorphic to a subgroup of  $\text{Sym}(9)$  which normalizes a subgroup of order  $3^3$ . Since every element of  $C_{M_*\langle b \rangle}(y)$  acts on  $J/\langle y \rangle$  with determinant 1, we deduce that they have commutator of order  $3^2$  in  $J/\langle y \rangle$ ; in particular  $C_{M_*\langle b \rangle}(y)$  does not contain elements conjugate in  $\text{Sym}(9)$  to  $(1, 2)$  or  $(1, 2)(3, 4)(5, 6)$ . Hence  $C_{M_*\langle b \rangle}(y)/\langle y \rangle$  is isomorphic to a subgroup of  $\text{Alt}(9)$ . Therefore  $C_M(y)/\langle y \rangle \cong K_b$ .

Finally, as  $O_{3'}(K) = 1$  by Lemma 3.9 and  $K$  is soluble,  $C_K(O_3(K)) \leq O_3(K)$ .  $\square$

The proof of Lemma 3.13 uses the following theorem of Smith and Tyrer.

**Theorem 3.12** (Smith and Tyrer [12]). *Let  $D$  be a finite group and let  $P$  be a Sylow  $p$ -subgroup of  $D$  for some odd prime  $p$ . Suppose  $P$  is abelian but not cyclic. If  $|N_D(P) : C_D(P)| = 2$ , then  $O^p(D) < D$  or  $D$  is  $p$ -soluble of  $p$ -length 1.  $\square$*

The next lemma is required when we apply Theorems 1.2 and 1.3.

**Lemma 3.13.** *Let  $x \in J \setminus \langle y \rangle$ . Then  $C_{H/\langle y \rangle}(x\langle y \rangle) \leq K/\langle y \rangle$ .*

*Proof.* Set  $U = \langle x, y \rangle$  and let  $W$  be the preimage in  $H$  of  $C_{H/\langle y \rangle}(x\langle y \rangle)$ . Then  $|U| = 9$ ,  $W$  normalizes  $U$  and  $O^3(W)$  centralizes  $U$ .

Assume first that  $U$  contains a  $G$ -conjugate of  $Z$ . If this is a  $K$ -conjugate of  $Z$ , we may assume that  $U = \langle Z, y \rangle$ . Thus  $C_G(U) = C_L(y) \leq K$  by Lemmas 3.8 (iii) and 3.10.

Assume that  $U$  contains a conjugate  $Z^g$  of  $Z$ , which is not conjugate to  $Z$  in  $K$ . Then, as  $J$  supports an  $M$ -invariant quadratic form,  $U$  contains exactly two conjugates of  $Z$  and  $W = C_G(U)$ . Moreover  $(Z^g)^K$  is of length three or six, depending on whether  $K/\langle y \rangle \cong K_a$  or  $K_b$  respectively. In particular  $J$  is a Sylow 3-subgroup of  $W$ . Now  $W \leq L^g$ . As  $J$  is a Sylow 3-subgroup of  $W$ , we have that  $U \not\leq Q^g$  and so  $Q^g U = Q^g \langle y \rangle$  is a Sylow 3-subgroup of  $K^g$ . We now have that  $C_{K^g}(y) \leq N_{K^g}(Q^g U)$ . Since  $J \leq Q^g U$ , we have that  $J = J(Q^g U)$  and so

$$W \leq N_G(J(Q^g U)) \leq N_G(J) = M.$$

But then  $W \leq K$  and we are done.

So we finally consider the case when  $U^\#$  just consists of  $G$ -conjugates of  $y$ . As the centre of  $C_S(y) = JC_Q(y)$  is equal to  $\langle y, Z \rangle$ , we again see that  $J$  is a Sylow 3-subgroup of  $C_G(U)$ . In particular, by the Frattini Argument  $W = (W \cap M)C_G(U)$ . Thus it suffices to show that  $C_G(U) \leq M$ . By Lemma 3.7, a Sylow 2-subgroup of  $C_M(U)$  has order at most 2.

So we have

**(3.13.1)**

- (i)  $J/U$  is a Sylow 3-subgroup of  $C_G(U)/U$ ; and
- (ii)  $|N_{C_G(U)/U}(J/U) : J/U| \leq 2$ .

Assume that  $C_G(U)$  is not 3-soluble. Then Burnside's normal  $p$ -complement Theorem [7, Theorem 7.4.3] and (3.13.1) (i) and (ii) imply  $|N_{C_G(U)/U}(J/U) : J/U| = 2$ . Therefore Theorem 3.12 shows  $O^3(C_G(U)/U) < C_G(U)/U$ . However, there is an involution  $a$  from  $C_M(U)$  acting on  $J/U$  and, by Lemma 3.6, it acts on  $J$  with determinant 1. Thus  $a$  inverts  $J/U$ . In particular  $J/U$  is contained in  $O^3(C_G(U)/U)$ , which is a contradiction.

So we have shown that  $C_G(U)/U$  is 3-soluble. Using Lemma 3.9 yields  $O_{3'}(C_G(U)/U) = 1$  and so  $J/U$  is normal in  $C_G(U)/U$ . But then  $C_G(U) \leq M$  and this proves the lemma.  $\square$

**Lemma 3.14.** *Let  $\rho \in K$  be an element of order three. Then  $\rho$  is  $H$ -conjugate to an element of  $J$  if and only if  $\rho \in J$ . In particular,  $J$  is strongly closed in  $C_S(y)$  with respect to  $H$ .*

*Proof.* Assume that  $\rho \in C_S(y) \setminus J$ . As  $C_S(y)/\langle y \rangle \cong 3 \wr 3$  by Lemma 3.11, all elements of order three in the coset  $J\rho$  are conjugate into  $\langle y \rangle\rho$ . As  $C_Q(y) \not\leq J$ , we may assume that  $\rho \in Q$ . So, again using Lemma 3.11, we have  $C_K(\rho) =$

$\langle y, Z, \rho \rangle \leq Q$ . As  $Z$  is weakly closed in  $Q$  by Lemma 3.8 (iii), we deduce  $N_H(C_K(\rho)) \leq N_G(Z) = L$ . As  $H \cap L \leq K$  by Lemma 3.10,  $C_K(\rho)$  is a Sylow 3-subgroup of  $C_H(\rho)$ . In particular  $\rho$  is not conjugate to any element of  $J$ . This proves the lemma.  $\square$

Let

$$K_c = K'_a = \langle (1, 2, 3), (4, 5, 6), (7, 8, 9), (1, 2)(4, 5), (1, 2)(7, 8) \rangle.$$

**Lemma 3.15.** *Assume that  $M/J \cong \text{Mat}(10)$ . Then  $H$  has a normal subgroup  $F$  of index 3 and  $(F \cap K)/\langle y \rangle \cong K_c$ .*

*Proof.* By Lemma 3.11 (i),  $K/J \cong \text{Alt}(4)$ . Notice that as  $Z(C_S(y)) = \langle y, Z \rangle$ ,  $C_S(y) \in \text{Syl}_3(H)$  and  $N_H(C_S(y)) \leq K$  by Lemma 3.10. In particular  $(N_H(C_S(y)))' \leq J$ . Furthermore, for any Sylow 3-subgroup  $P$  of  $H$ ,  $P'$  is contained in an  $H$ -conjugate of  $J$ . Hence by Lemma 3.14, the focal subgroup

$$\langle (N_H(C_S(y)))', C_S(y) \cap P' \mid P \in \text{Syl}_3(H) \rangle \leq J.$$

Thus Grün's Theorem [7, Theorem 7.4.2] implies  $O^3(H/\langle y \rangle) < H/\langle y \rangle$ . The action of  $K_a$  on  $J$  shows that  $J \leq O^3(H)\langle y \rangle$ . Hence there is a subgroup  $F$  containing  $J$ , which is of index 3 in  $H$  and  $(F \cap K)/\langle y \rangle \cong K_c$ .  $\square$

The next theorem is the final step before we achieve our goal.

**Theorem 3.16.** *Suppose that  $G$  satisfies Hypothesis 3.1. Then, for all  $j \in J^\#$ ,*

$$C_G(O_3(C_G(j))) \leq O_3(C_G(j)).$$

*Proof.* By Lemma 3.8 (ii),  $j$  is either conjugate to an element of  $Z$  or to an element of  $\langle y \rangle$ . In the former case we have  $C_G(O_3(C_G(j))) \leq O_3(C_G(j))$  by Hypothesis 3.1 (iii). Thus we may suppose  $j \in \langle y \rangle$ . We distinguish between the two possibilities in Lemma 3.5.

Suppose first that  $M/J \cong \text{Mat}(10)$ . By Lemma 3.15 we have that  $H$  possesses a normal subgroup  $F$  of index 3. By Lemmas 3.15 and 3.13,  $F/\langle y \rangle$  satisfies the assumption of Theorem 1.3 (with  $G = F/\langle y \rangle$  and  $H = (F \cap K)/\langle y \rangle$ ). Hence  $F/\langle y \rangle = (F \cap K)/\langle y \rangle$  and so  $H = K$ . Thus the result follows from Lemma 3.11.

Now suppose that  $M/J \cong 2 \times \text{Mat}(10)$ . Then Lemmas 3.11 (ii), 3.13 and 3.14 imply that  $H/\langle y \rangle$  satisfies the assumptions of Theorem 1.2. Hence again we get  $H = K$  and the result follows from Lemma 3.11.  $\square$

*Proof of Theorem 1.1.* Hypothesis 3.1 and Theorem 3.16 provide the hypothesis for [9, Theorem 1.1]. Thus application of [9, Theorem 1.1] yields  $G \cong \text{McL}$  or  $G \cong \text{Aut}(\text{McL})$  and proves the theorem.  $\square$

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