

# Determination of Prestressing Force in Railway Concrete Sleepers Using Dynamic Relaxation Technique

Remennikov, Alex; Kaewunruen, Sakdirat

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1 REVISION OF TECHNICAL PAPER

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11 **Alex M. Remennikov**

12 School of Civil, Mining, and Environmental Engineering, Faculty of Engineering  
13 University of Wollongong, Wollongong, NSW, Australia

14  
15 and

16  
17 **Sakdirat Kaewunruen**

18 Department of Civil and Environmental Engineering  
19 Massachusetts Institute of Technology  
20 Cambridge MA USA

21  
22  
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25  
26  
27 Corresponding Author:

28  
29 Sakdirat Kaewunruen  
30 Technical Specialist  
31 RailCorp – Track Engineering  
32 Level 13, 477 Pitt St  
33 Sydney NSW 2000 Australia  
34 Tel: 02 89221151  
35 E-mail: sakdirat@mit.edu

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52 **Determination of prestressing force in railway concrete sleepers using**  
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54

55 Alex M. Remennikov<sup>1</sup> and Sakdirat Kaewunruen<sup>2</sup>  
56  
57

58 **Abstract:** Prestressed concrete sleepers (or railroad ties) are designed in order to carry and transfer the  
59 wheel loads from the rails to the track foundation. Over a period of time, a railway track could experience  
60 various types of static and dynamic loading conditions, which are attributable to commercial train  
61 operations. Previous studies have established two main limit states for the design consideration of concrete  
62 sleepers: ultimate limit states under extreme impact and fatigue limit states under repeated probabilistic  
63 impact loads. Prestressed concrete has played a significant role as to maintain the high endurance of the  
64 sleepers under low to moderate repeated impact loads. In spite of the most common use of the prestressed  
65 concrete sleepers in railway tracks, their remaining lives are not deeply appreciated nor taken into account  
66 for track maintenance and renewal. This experimental investigation was aimed at determining the residual  
67 prestressing force of railway concrete sleepers after revenue services using the dynamic relaxation  
68 technique. Fifteen sleepers were extracted from a heavy haul rail network for testing using experimental  
69 facilities at the University of Wollongong Australia. Structural evaluation program included quasi-static  
70 bending tests, dynamic impact tests, and tests to establish the current level of prestress in the steel wires  
71 using the dynamic relaxation technique. Two of the sleepers were evaluated for the level of prestressing  
72 forces in accordance with Australian Standards. It is found that the level of prestress determined using the  
73 dynamic relaxation technique turned out to be significantly lower than that expected from the theoretical  
74 analysis of time-dependent prestressing losses for the concrete sleepers.

75  
76 **Keywords:** Prestressed concrete sleeper; Remaining prestressing force; Accumulative damage; Dynamic  
77 relaxation technique; Ballasted railway track.

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<sup>1</sup> Associate Professor of Structural Engineering, School of Civil, Mining, and Environmental Engineering, Faculty of Engineering, University of Wollongong, Wollongong, NSW 2522 Australia; e-mail: alexrem@uow.edu.au

<sup>2</sup> Corresponding author; Technical Specialist, RailCorp, Sydney NSW 2000 Australia; currently a visiting executive fellow at Department of Civil and Environmental Engineering, Massachusetts Institute of Technology, Cambridge MA 02139-4307 USA; e-mail: sakdiat@mit.edu

## 78 **Introduction**

79 In Australia, railway prestressed concrete sleepers have been used in a rail network for nearly 35  
80 years in comparison with those experiencing about 50 years in European and Japanese rail  
81 networks. The railway sleepers (or called ‘railroad tie’ in the US) are a main part of railway track  
82 structures. Its main duty is to distribute loads from the rail foot to the underlying ballast bed. Based  
83 on the current design approach, the design life span of the concrete sleepers is also considered  
84 around 50 years (Standards Australia, 2003). Typical ballasted railway tracks and their components  
85 are shown in Figure 1. During their life cycles, railway track structures experience static, dynamic  
86 and often impact loading conditions due to wheel/rail interactions associated with the abnormalities  
87 in either a wheel or a rail (Remennikov and Kaewunruen, 2008). It was found that the magnitude of  
88 the dynamic impact loads per railseat is varying from 200 kN and sometimes can be more than 600  
89 kN, whilst the design static wheel load per railseat for a 40-tonne axle load could be only as much as  
90 110 kN. In principle, wheel load is an important factor in design and analysis of railway track and  
91 its components. The design load ( $F^*$ ) for the limit states design concept takes into account both the  
92 static ( $F_s$ ) and dynamic ( $F_i$ ) wheel loads. There are three main steps in designing the concrete  
93 sleepers. First, the design actions or loads are to be determined based on the importance level of the  
94 track (e.g.  $F^* = 1.2 F_s + 1.5 F_i$ ). Then, the design moment can be achieved by converting the design  
95 load to sleeper bending moment envelopes using advanced railtrack dynamic analysis or the design  
96 formulation (Kewunruen and Remennikov, 2008; 2009). Last, the strength and serviceability of the  
97 prestressed concrete sleepers can be optimized in accordance with AS3600 Concrete structures  
98 (Standards Australia, 2001).

99         Recent studies showed that it is very likely that a railway sleeper could be subjected to  
100 severe impact loads, resulting in a rapid deterioration of its structural integrity and durability  
101 (Esveld, 2001; Kaewunruen, 2007; Leong, 2007; Kaewunruen et al., 2014). A major research effort  
102 at the University of Wollongong (UOW) has revealed that the failure of a railway sleeper is more  
103 likely due to the cumulative damage rather than due to only a once-off extreme event, which might

104 occur due to the derailment or the terrorist attack. However, it was found that, for prestressed  
105 concrete sleepers, the low magnitude but high cycle impact fatigue tend to be insignificant in  
106 comparison with the high magnitude but low cycle impact fatigue (Ye et al., 1994; Wang, 1996;  
107 Wakui et al., 1999; Gustavson 2002; Stevens and Dux, 2004; and Kaewunruen, 2007). According to  
108 the literature review, it appears that there exists no research investigation into residual condition or  
109 remaining life prediction of concrete sleepers. These have caused either incorrect or inefficient asset  
110 management under constantly changing operations in the real world. This practical issue has  
111 resulted in an initiative to investigate the existing condition of railway concrete sleepers and to  
112 develop a standard guidance for predicting the remaining life of such component. The strength and  
113 capacity of concrete sleepers depend largely on the prestressing force and bonds between steel  
114 strands and concrete (Warner et al., 1998). Loss of prestress can generally be classified into two  
115 stages: initial loss and time-dependent loss. Initial loss of prestress in concrete sleepers (due to  
116 elastic shortening, bond and friction, and anchorage set) at the release of prestress was measured to  
117 be between 20 and 27 percent - depending on the type of strands, bond characteristics, concrete  
118 materials and the workmanship during the processes of prestress release (Kaewunruen, 2007). Over  
119 the time, the concrete sleepers experience various traffic loads and may incur any damages and  
120 cracks, resulting in a further time-dependent loss in prestress level (due to shrinkage and creep of  
121 concrete, and relaxation of steel). [The phenomena also incur even without external loading.](#) This  
122 paper addresses a part of the main initiative with respect to the determination of remaining  
123 prestressing force in concrete sleepers after a period of service life using dynamic relaxation  
124 method.

125 This investigation arose from planned expansion of the traffic on the heavy haul coal line in  
126 New South Wales (NSW), Australia. The company planned to double the traffic on that coal line  
127 and was concerned about the ability of its railway concrete sleepers (SRA types 1 and 2 concrete  
128 sleepers on the coal line) to carry the increased traffic loads. The sleepers on that coal line were  
129 manufactured and installed in 1982-84. A cluster of fifteen in-service concrete sleepers that were

130 installed in a heavy haul rail network were pulled out from the rail track and shipped to the  
131 structures laboratory at UoW, Australia. Visual inspections and laboratory material testings were  
132 conducted at the initial stage of the project. Two of the sleepers were evaluated for the level of  
133 prestressing forces [based on the design method](#) in accordance with Australian Standards. This paper  
134 presents the experimental study into the remaining prestressing force of existing aged prestressed  
135 concrete sleepers. Also demonstrated are the engineering characteristics of materials used for  
136 manufacturing concrete sleepers.

137

## 138 **Experimental Overview**

### 139 *Test specimens*

140 Fifteen sleepers were retrieved from the heavy-haul coal line and delivered to UOW in July  
141 2011 for testings in accordance with Australian Standards AS1085.14 (2003). The railway operator  
142 and maintainer confirmed that the sleepers were typical heavy-duty sleepers manufactured around  
143 1982. Design parameters detailing concrete strength, level of prestress, design moment capacities  
144 were not available and therefore could not be used in this project for the direct comparison of the  
145 current design parameters to the original design parameters at the time of sleeper manufacture.  
146 However, it was reported from industry practices that the permissible stresses and design  
147 restrictions of the concrete sleepers back in 1980s are very similar to those in existing standards  
148 (Standards Australia, 2003). There was not much change in the standard design methodology and  
149 inputs over the past decades. The design characteristics as tabulated in Table 2 were thus adopted  
150 from AS1085.14 and AS3600, respectively (Standards Australia, 2003; 2001)

151 In this investigation, two test specimens are typical full-scale prestressed concrete sleepers  
152 commonly used in Australia, as shown in Figure 2. [Two test specimens were selected to  
153 demonstrate the variation and importance of detecting prestressing loss in currently used aged  
154 concrete sleepers.](#) The prestressed concrete sleepers are often the main part of the standard-gauge,  
155 heavy-haul rail tracks. The measured dimensions of the prestressed concrete sleeper are given in

156 Table 1. The cross-sections of the prestressed concrete sleeper were optimized for specific load  
157 carrying capacities at different functional performances for rail seat and mid span. The prestressing  
158 tendons are the chevron-patterned indented wires of about 5mm diameter (Standards Australia,  
159 2003). The high strength concrete material was used to cast the prestressed concrete sleepers, with  
160 design compressive strength at 28 days of 50-55 MPa, and the prestressing steels used were the high  
161 strength with rupture strength of 1700 MPa (or 0.2% proof stress of 1530 MPa). The cored samples,  
162 drilled from the sleepers, were taken for a confirmation test, as per the Australian Standard  
163 AS1012.14 (Standards Australia, 1991), as shown in Figure 3. Although the common concrete  
164 strength adopted for design is 50 MPa, it was found that condition of the concrete at the test age of  
165 about 30 years (since 1982) was deteriorated. From visual inspection, it could be observed that the  
166 high strength prestressing wires were of high quality and the strength would not rapidly change  
167 during time.

168

### 169 ***Material testing***

170 Core samples were taken from the two sleepers. The cored samples, drilled from the  
171 sleepers, were taken to confirm the material properties of the tested concrete sleepers, in accordance  
172 with the Australian Standard AS 1012.14 (1991). The standard recommends avoiding the top layer  
173 of a concrete member, as it may be of lower strength than the bulk of the concrete. There can be a  
174 strength gradient within the concrete, increasing with depth below the surface resulting from curing  
175 and consolidating effects. In their manufacture, the sleepers are cast upside down, therefore coring  
176 from the bottom was avoided.

177 The ends of the two sleeper specimens were cut clean from the rest of the sleeper at the  
178 location of the rail seat, as shown in Figure 4. The sleeper ends were then placed upright and the  
179 cores extracted from the freshly cut interior face. Cores were extracted from between the two rows  
180 of prestressing wires from each of the two specimens.

181           Once the cylindrical cores were extracted from the sleeper ends, they were checked for  
182 overall smoothness, steps, ridges and grooves. The ends of the samples were trimmed and finished  
183 to a smooth flat surface with the length-to-diameter ratio maintained at 2:1.

184

#### 185 *Dynamic relaxation technique*

186           Experimental verification of prestressing force in the prestressing wires was conducted using  
187 a dynamic relaxation technique. Dynamic relaxation is a process whereby 100 percent relaxation of  
188 the steel wire is induced in an instant, relieving all remaining prestress (Otter et al., 1966; Saiidi et  
189 al., 1994; Nawy, 1996; Lundqvist, 2012). Using the technique of dynamic relaxation, the  
190 prestressing force in the prestressed wires was determined. With the knowledge of the final state of  
191 strain in the wires after relaxation and Hooke's law for the prestressing steel, the prestressing force  
192 was calculated for the individually tested wires. To perform the test, three specimens were prepared.  
193 Two sleepers extracted from the coal line under investigation were prepared in accordance with  
194 Australian Standards (1991, 2001, 2003) while an additional concrete sleeper (similar type)  
195 removed from another mixed-traffics railway track in NSW was used for comparison and validation  
196 of the results.

197           The top 30 mm of concrete cover was removed from the specimens to expose the top row of  
198 the reinforcing wires near the centre of the sleepers. The mid-span position was chosen to ensure  
199 adequate anchorage zone of prestressing tendons surrounding the test zone. A small area of concrete  
200 was removed from underneath the wires to eliminate the steel-concrete bond and ensure complete  
201 freedom of the wires for longitudinal displacement during the test as shown in Figure 5. In total, less  
202 than 5 percent of concrete was removed axially along the sleepers in order to ascertain that the  
203 concrete cover removal did not critically affect the prestress level and eccentricity according to  
204 AS3600 (Standards Australia, 2001). The wires were polished and cleaned and had strain gauges  
205 with a 2 mm gauge length attached by using dynamic strain gauge epoxy resin as shown Figure 6.  
206 The strain gauges were attached to symmetrical wires on each specimen. The strain gauges were



207 connected to the high-speed data acquisition system. The data logger was calibrated to process and  
208 capture changes in axial strains at the rate of 10,000 data points per second, anticipating a very  
209 sudden relaxation of the wires.

210 After attaching the strain gauges to the wires, the strain gauged wires were cut one at a time  
211 using bolt cutters, as seen in Figure 7. [The cutting process was swift and very minimal disturbance](#)  
212 [on the dynamic strains can be observed in Figure 8.](#) Cutting the wires released the tensile stress that  
213 the wires were subjected to during prestressing. Rapid removal of this stress provoked axial  
214 vibration of the steel wire that was recorded as a time history of strains by the high-speed data  
215 logger. [Note that the residual effect of strain relaxation on the condition of strain gauges is](#)  
216 [negligible as shown in the final state of strains in Figure 8.](#) It was observed that the dynamic strains  
217 [could be acquired reliably using dynamic strain gauges and there was no slip nor delamination of](#)  
218 [strain gauges after the tests.](#)

219

## 220 **Material Properties**

221 Five compressive tests were conducted using compression testing apparatus in the High Bay  
222 laboratory at the University of Wollongong. The tests were performed in accordance with concrete  
223 compressive testing procedures outlined in [AS 1012.9-1999](#) (Standards Australia, 1999). Table 3  
224 presents the results of concrete compressive tests. The average compressive strength of the [tested](#)  
225 core samples was found to be 44.2 MPa.

226 The typical characteristic concrete compressive strength (after 28 days) in prestressed  
227 concrete sleepers is 50 MPa. It was expected that the 30-year old concrete sleepers would develop  
228 compressive strength around 65-80 MPa (Kaewunruen and Remennikov, 2010; 2014), thus the  
229 experimentally determined concrete strength of 44.2 MPa ( $\pm 4$  MPa) was much lower than that  
230 expected. There was no known reason for such significant degradation of the concrete compression  
231 strength over the service life period, unless the sleepers were originally manufactured with very low  
232 strength of concrete of around 25-30 MPa.

## 233 **Experimental results and discussion of dynamic relaxation tests**

234 Figures 8 (a) and (b) present the time histories of axial strain from the dynamic relaxation of  
235 the wires for the two sleepers tested. The graphs demonstrate how the strain relaxed over a very  
236 short period of time before settling on a final constant value representing the actual prestressing  
237 force. Upon cutting, the sudden release of prestressing force causes the exposed portion of wire to  
238 undergo a rapid shortening along its length, expressed as a sudden drop in strain on the graphs. Due  
239 to the inertial effects, the wire segment vibrates with rapidly decreasing amplitudes due to damping  
240 and friction effects generated by the steel-concrete interface. The calculated differential strain from  
241 the initial prestressed state to the final relaxed state is approximately 2000 microstrain for both  
242 sleepers tested, as seen in Figures 8 (a) and (b).

243 Adopting a modulus of elasticity of 200 GPa for the steel tendons and using the  
244 experimentally determined axial strain of 2000 microstrain, the steel wire stress can be calculated as

$$245 \quad S_{s,1} = E_s \times e_{s,1} = (200 \times 10^3) (MPa) (0.002) = 400 MPa \quad (1)$$

246 With the 0.2% proof stress of 1530 MPa, the theoretical design value of prestressing stress  
247 should be about  $0.85 \times 1530 = 1300$  MPa. Allowing for 20% initial loss of prestress at the sleeper  
248 centre, the **design** stress in the steel wires should have been 1040 MPa in the top row of wires. This  
249 shows that the experimentally determined level of prestress is just about 40 percent of the expected  
250 stresses in the prestressing tendons in the coal-line sleepers tested herein.

251 The experimentally determined values of the prestressing force were validated by utilising  
252 the same experimental procedure to determine the level of prestress in a heavy-duty sleeper (similar  
253 type) extracted from the mixed-traffic track near Wollongong, NSW. It is estimated that the heavy-  
254 duty sleeper has been in service for about 10 years and subjected predominately to suburban train  
255 loads.

256 Figure 9 demonstrates the time histories for the axial strains from the dynamic relaxation of  
257 the top row wires at the centre of heavy-duty sleeper. One can notice that the average measured

258 strain from relaxation for the two wires was approximately 5200 microstrain. Adopting a modulus  
259 of elasticity of 200 GPa for the steel and using the experimentally determined axial strain of 5200  
260 microstrain, the steel wire stress can be calculated as

$$261 \quad S_{s,2} = E_s \times e_{s,2} = (200 \times 10^3) (MPa) (0.0052) = 1004 MPa \quad (2)$$

262 which is reasonably close to the theoretical design stress of 1040 MPa in the top row of wires at the  
263 sleeper centre, or about 23% loss from the initial design prestress, which is justifiable. According to  
264 AS1085.14 (Standards Australia, 2003), the prestress level at transfer only (or without any applied  
265 wheel load) should remain approximately consistent. Note that the overall cross-section relationship  
266 between fibre stresses ( $\sigma$ ), prestressing forces ( $P_s$ ) and eccentricity ( $e$ ) can be correlated as:

$$267 \quad \sigma = -\frac{P_s}{A_g} \pm \frac{P_s \cdot e \cdot y}{I_g} \quad (3)$$

268 where  $y$  is the moment-arm distance from neutral axis,  $A_g$  and  $I_g$  are the gross area and moment of  
269 inertia of cross section, respectively.

270 Based on the validating results for the heavy-duty sleeper, it is believed that the  
271 experimentally determined value of prestress is justified, and it indicates significant loss of prestress  
272 in some existing coal-line sleepers tested in this programme. One possible explanation of the above  
273 phenomenon is illustrated in Figure 10, showing one of the tested sleepers with a significantly  
274 damaged end. This type of concrete damage may have resulted in the loss of bond between the steel  
275 wire and the concrete and reduction in the level of prestress in the steel wire. But, the second sleeper  
276 tested did not have significant concrete damage and also showed a very low level of the prestress. It  
277 is therefore recommended that the proposed experimental technique for determining the level of  
278 prestress in the existing concrete sleepers is adopted as part of assessment of remaining life of  
279 sleepers in the existing heavy haul train lines.

280

## 281 **Conclusions**

282 This paper presents a part of the investigation arose from the planned expansion of the

283 traffic on the heavy-haul coal lines by a rail operator and maintainer. There was a concern whether  
284 the railway concrete sleepers would be capable of carrying the increased traffic loads. Note that the  
285 concrete sleepers on that coal line were manufactured and installed in 1982-84.

286 Accordingly, fifteen aged concrete sleepers that were installed in the heavy haul rail network  
287 were extracted from the rail track and shipped to the structures laboratory at the [University of](#)  
288 [Wollongong \(UOW\), Australia](#). Visual inspections and laboratory material testings were conducted.  
289 The sleepers were evaluated for their current positive and negative bending capacities, fatigue  
290 resistance, and resistance to impact loading. Several sleepers were evaluated for the current level of  
291 prestressing forces in accordance with Australian Standards.

292 The visual inspection of the concrete sleepers revealed that there were potential problems  
293 with durability of the sleepers. Concrete spalling of sleepers due to tamping damage, poor  
294 construction, and loss of concrete section due to abrasions were among the problems that could  
295 cause the rapid deterioration of strength and serviceability.

296 It is found that the dynamic relaxation technique is a suitable procedure for the  
297 determination of the level of prestress in existing aged concrete sleepers. [Using this technique, it](#)  
298 [was possible to detect the existing sleepers in which the current level of prestress in the steel wires](#)  
299 [was only 40 percent of the design value](#). The experimental results demonstrated that loss of  
300 prestress could be linked to the integrity of the concrete material, which could be used for initial  
301 screening of the existing concrete sleepers with a view of detecting defective sleepers. This  
302 information is also critical for predicting remaining life of concrete sleepers in existing railway  
303 tracks and their ability to sustain higher wheel loads or higher train speeds when expansion of the  
304 traffic is planned.

305 It is important to note that loss of prestress affects serviceability (rail gauge widening, cant  
306 dynamics, rotational capacity at rail seats, dynamic geometry and deflection, etc.) and durability (i.e.  
307 fatigue life, crack propagation, etc.) of sleepers. The future investigations will include the material  
308 strengths, structural capacity, spectrum of impact loads from the wheel impact detectors, and

309 responses of the concrete sleepers to impact and fatigue loading conditions, in order to predict their  
310 remaining capacity of concrete sleepers to cater for the current or planned increased traffic loads.

311

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384 **Table 1.** Dimensions and masses of the test sleepers

Mass (kg)	Gauge length (m)	Total length (m)	At railseat (m)		At centre (m)	
			width	depth	width	depth
206.0	1.60	2.50	0.20	0.23	0.21	0.18

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390 **Table 2.** Design properties of materials

Materials	Elastic modulus (MPa)	Compressive strength (MPa)	Tensile strength (MPa)
Concrete	38,000	55	6.30
Prestressing tendon	200,000	-	1,700
Steel rails	205,000	-	-

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395 **Table 3.** Tested compressive strength of concrete

Core No	Mean diameter (mm)	Ultimate load (kN)	Compressive strength (MPa)
1	54.20	114	49.4
2	54.39	100	43.1
3	54.18	90	39.1
4	54.24	102	44.1
5	54.26	105	45.4
Average			44.2

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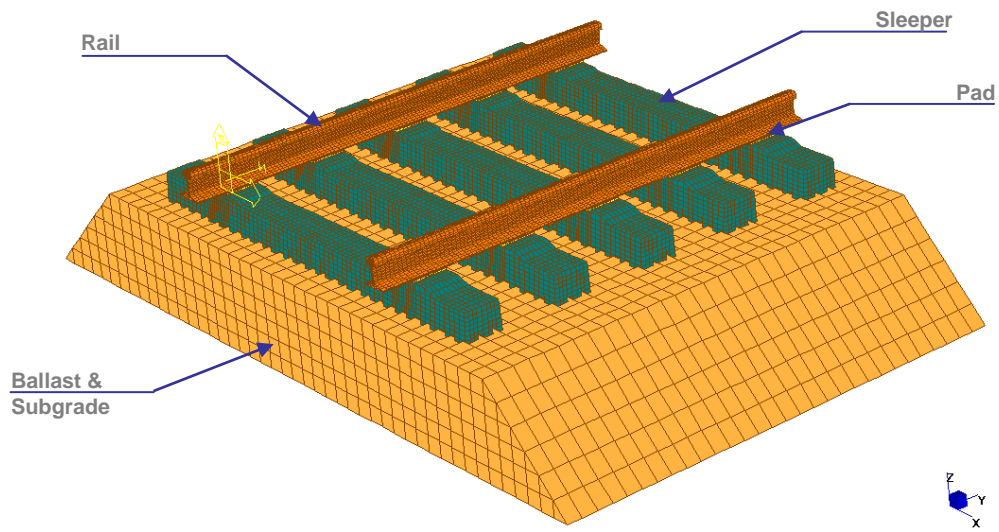


Figure 1. Typical components of railway tracks.

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Figure 2. Condition of concrete sleepers

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Figure 3. Preparation of concrete samples (left: coring machine; and right: cored concrete samples prior to compression testing).



Figure 4. Freshly cut sleeper end ready for coring (SRA1)

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Figure 5. Preparation of specimens for dynamic relaxation tests

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Figure 6. Two-millimetre strain gauges attached to prestressing wire

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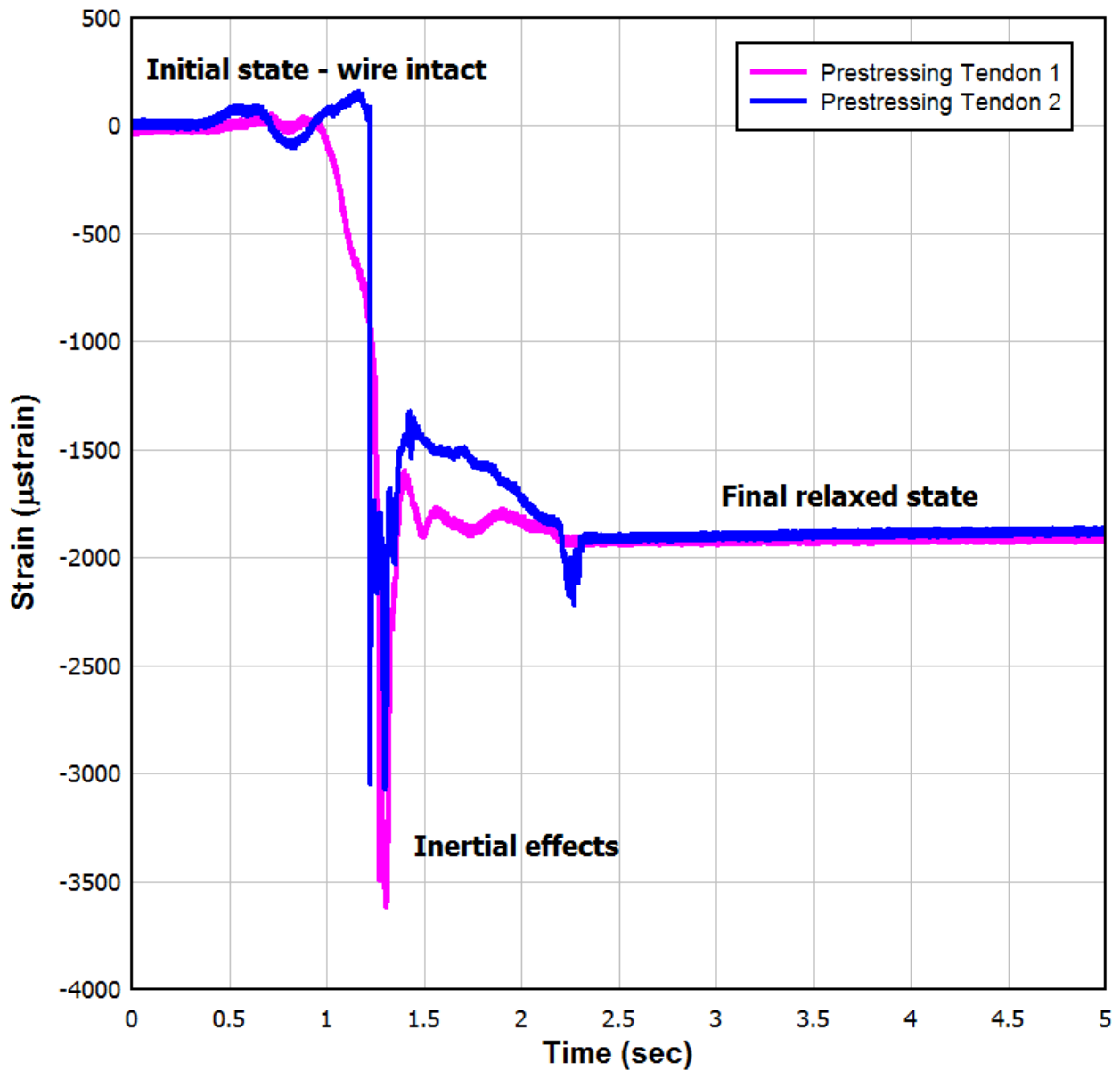


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Figure 7. Cutting of prestressing wires

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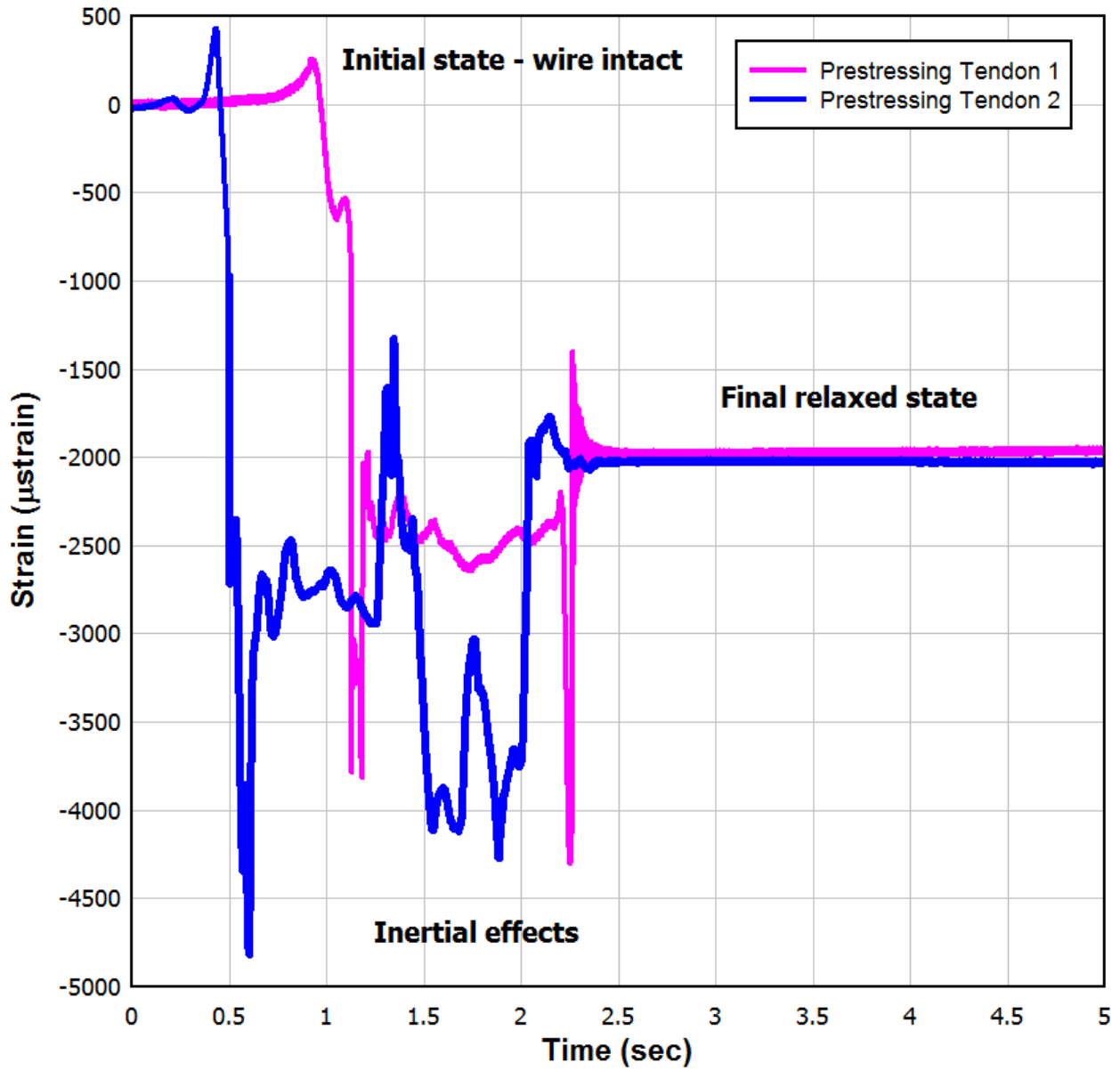
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a) Coal-line Sleeper #1

Figure 8. Dynamic relaxation of prestressing force in prestress tendons for coal-line sleepers

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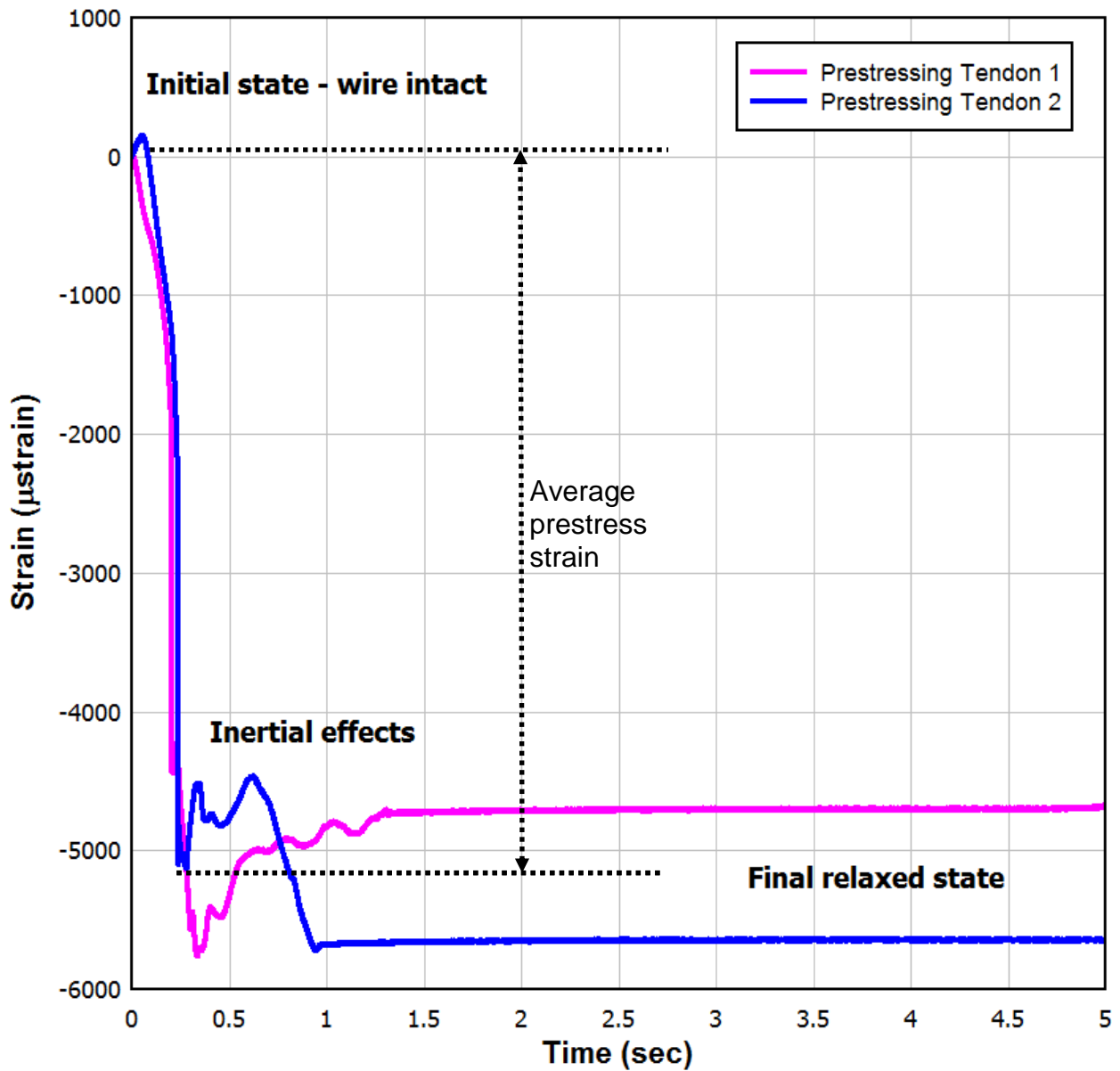
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b) Coal-line Sleeper #2

Figure 8. Dynamic relaxation of prestressing force in prestress tendons for coal-line sleepers

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557 Figure 9. Dynamic relaxation of prestressing force in prestress tendons for ROCLA sleepers  
558 (validating test)

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Figure 10. Sleeper end damage possibly resulting in considerable loss of prestress