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Morgan, Robert D.; Clamp, Andrew R.; Barnes, Bethany M.; Timms, Kirsten; Schlecht, Helene; Yarram-Smith, Laura; Wallis, Yvonne; Valganon-Petrizan, Mikel; Macmahon, Suzanne; White, Rhian; Morgan, Sian; McKenna, Sarah; Hudson, Emma; Tookman, Laura; George, Angela; Manchanda, Ranjit; Sundar, Sudha S.; Nicum, Shibani; Brenton, James D.; Kristeleit, Rebecca S.

DOI: 10.1136/ijgc-2022-004211

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Document Version Peer reviewed version

Citation for published version (Harvard):

Morgan, RD, Clamp, AR, Barnes, BM, Timms, K, Schlecht, H, Yarram-Smith, L, Wallis, Y, Valganon-Petrizan, M, Macmahon, S, White, R, Morgan, S, McKenna, S, Hudson, E, Tookman, L, George, A, Manchanda, R, Sundar, SS, Nicum, S, Brenton, JD, Kristeleit, RS, Banerjee, S, McNeish, IA, Ledermann, JA, Taylor, SS, Evans, DGR & Jayson, GC 2023, 'Homologous recombination deficiency in newly diagnosed FIGO stage III/IV high-grade epithelial ovarian cancer: A multi-national observational study', *International Journal of Gynecological Cancer*. https://doi.org/10.1136/ijgc-2022-004211

Link to publication on Research at Birmingham portal

Publisher Rights Statement:

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Homologous recombination deficiency in newly diagnosed
 FIGO stage III/IV high-grade epithelial ovarian cancer: a multi national observational study

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58 Abstract

59

60 *Objective*

Olaparib plus bevacizumab maintenance therapy improves survival outcomes in women with newly diagnosed, advanced, high-grade ovarian cancers with a deficiency in homologous recombination. We report data from the first year of routine homologous recombination deficiency testing in the National Health Service (NHS) in England, Wales and Northern Ireland between April 2021 and April 2022.

66

67 Methods

The Myriad myChoice® companion diagnostic was used to test DNA extracted from formalin-fixed, paraffin-embedded tumour tissue in women with newly diagnosed FIGO (The International Federation of Gynecology and Obstetrics) stage III/IV highgrade epithelial ovarian, fallopian tube or primary peritoneal cancer. Tumours with homologous recombination deficiency were those with a *BRCA1/2* mutation and/or a Genomic Instability Score (GIS) of \geq 42. Testing was coordinated by the NHS Genomic Laboratory Hub network.

75

76 Results

The myChoice® assay was performed on 2,829 tumours. Of these, 2,474 (87%) and 2,178 (77%) successfully underwent *BRCA1/2* and GIS testing, respectively. All complete and partial assay failures occurred due to low tumour cellularity and/or low tumour DNA yield. Three-hundred-and-eighty-five tumours (16%) contained a *BRCA1/2* mutation and 814 (37%) had a GIS \geq 42. Tumours with a GIS \geq 42 were more likely to be *BRCA1/2* wild-type (n=510) than *BRCA1/2* mutant (n=304). The distribution

of GIS was bimodal, with *BRCA1/2* mutant tumours having a higher mean score than
 BRCA1/2 wild-type tumours (61 versus 33, respectively, chi-squared test P<0.0001).

86 Conclusion

This is the largest real-world evaluation of homologous recombination deficiency testing in newly diagnosed FIGO stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer. It is important to select tumour tissue with adequate tumour content and quality to reduce the risk of assay failures. The rapid uptake of testing across England, Wales and Northern Ireland demonstrates the power of centralised NHS funding, centre specialisation and the NHS Genomic Laboratory Hub network.

95 Key messages

96

97 What is already known on this topic?

98 Olaparib plus bevacizumab maintenance therapy improves survival outcomes in 99 women with newly diagnosed, advanced, high-grade ovarian cancers with a deficiency 100 in homologous recombination. There is a scarcity of real world evidence describing 101 the prevalence of homologous recombination deficiency.

102

103 What this study adds?

This is the largest real world evaluation of homologous recombination deficient tumour 104 testing in newly diagnosed FIGO (The International Federation of Gynecology and 105 Obstetrics) stage III/IV high-grade epithelial ovarian, fallopian tube or primary 106 107 peritoneal cancer. We report the prevalence of homologous recombination deficient tumours in England, Wales and Northern Ireland as 37% using Myriad's myChoice® 108 companion diagnostic. The complete and partial failure rate of the assay was 13% and 109 23%, respectively. Tests failed due to low tumour cellularity and/or low tumour DNA 110 vield. 111

112

113 How might this study affect research, practice or policy?

This study highlights the importance of testing tumour DNA for a deficiency in homologous recombination to optimise outcomes for women with newly diagnosed FIGO stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer. Tumour tissue must be carefully selected prior to testing to reduce the chance of assay failure. The rapid uptake of homologous recombination deficient tumour

- testing demonstrates the power of centralised NHS funding, centre specialisation and
- 120 the NHS Genomic Laboratory Hub network.

121 Introduction

Ovarian cancer is the most common cause of gynaecological cancer-related death in 122 Europe (1). Epithelial ovarian cancer accounts for approximately 85 to 90% of all 123 ovarian cancers. The majority of women diagnosed with high-grade epithelial ovarian 124 cancer present with advanced disease (The International Federation of Gynaecology 125 and Obstetrics [FIGO] stage III and IV), meaning despite good response to first-line 126 multi-modality therapy, at least 80% develop relapsed disease, at which point cure is 127 unlikely (2). Consequently, the five-year overall survival for advanced ovarian cancer 128 is approximately 35% (3). 129

Maintenance therapy aims to extend relapse-free survival in patients at high 130 risk of recurrence, without impacting on quality of life (4). Randomised, phase III trials 131 132 have demonstrated that maintenance therapy with a poly(ADP-ribose) polymerase-1/2 inhibitor (PARPi) improves progression-free survival in women with newly 133 diagnosed FIGO stage III/IV or platinum-sensitive, relapsed high-grade serous and/or 134 endometrioid ovarian cancer (5, 6, 7, 8, 9, 10, 11, 12, 13, 14). These small molecule 135 inhibitors of PARP-1/2 are synthetically lethal to cells deficient in homologous 136 recombination, a high-fidelity DNA double-strand break repair pathway that maintains 137 genomic stability (15, 16). The best-studied causes of homologous recombination 138 deficiency are loss-of-function mutations in BRCA1 and BRCA2, which occur in 20 to 139 25% of high-grade serous ovarian cancers (17). 140

The myChoice® companion diagnostic (Myriad Genetics, Inc.) is a nextgeneration sequencing assay used to detect a deficiency in homologous recombination in genomic DNA derived from formalin-fixed, paraffin-embedded tumour tissue (18). The assay reports homologous recombination deficient tumours

145 as those that harbour a BRCA1/2 mutation and/or have a Genomic Instability Score (GIS) of ≥42. The phase III trial, PAOLA-1, showed an improved hazard ratio (HR) for 146 disease progression or death in women with newly diagnosed, advanced, high-grade 147 ovarian cancer who were randomised to maintenance olaparib plus bevacizumab 148 versus placebo plus bevacizumab, following a response to first-line platinum-taxane 149 chemotherapy (HR 0.59; 95% confidence interval [CI] 0.49-0.72) (11, 19). The 150 greatest reduction in HR was reported in women with tumours positive for homologous 151 recombination deficiency (HR 0.33; 95%CI 0.25-0.45). By contrast, those women with 152 homologous recombination proficient tumours gained no benefit from the addition of 153 olaparib to bevacizumab (HR 1.00, 95%CI 0.75-1.35). More recently, data presented 154 from PAOLA-1 also showed that olaparib plus bevacizumab improved the overall 155 survival of women with homologous recombination deficient tumours (HR 0.62, 95%CI 156 0.45-0.85), but not in women with homologous recombination proficient tumour (HR 157 1.19, 95%CI 0.88-1.63) (20). 158

The results from PAOLA-1 led to European licensing of maintenance olaparib 159 plus bevacizumab for women with newly diagnosed FIGO stage III/IV high-grade 160 ovarian, fallopian tube or primary peritoneal cancers that responded to platinum-based 161 chemotherapy and were homologous recombination deficient. Consequently, access 162 to Myriad's myChoice® companion diagnostic became available in the United 163 Kingdom (UK) from April 2021 onwards. We report data from the first year of routine 164 tumour testing for homologous recombination deficiency in the National Health Service 165 166 (NHS) in England, Wales and Northern Ireland between April 2021 and April 2022.

167 **Methods**

168 Eligibility criteria

Eligibility criteria for myChoice® testing included women with newly diagnosed FIGO stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer. Tumour testing was requested during first-line treatment. No patient who underwent tumour testing as part of a clinical trial was included.

173

174 *Tumour testing*

Tumour testing was co-ordinated by the NHS Genomic Laboratory Hub network. The hubs co-ordinating testing for England were North West, South West, Central and South, and North Thames. All Wales Genomics Laboratory co-ordinated testing for Wales. The North West Genomic Laboratory Hub co-ordinated testing for cancer centres in Northern Ireland.

Myriad's myChoice® companion diagnostic became available in England, 180 Wales and Northern Ireland from April 2021, October 2021 and September 2021, 181 respectively. The UK includes Scotland, although myChoice® testing did not become 182 available in Scotland until after April 2022, therefore no cases from Scottish cancer 183 centres were included in this study. Local medical teams obtained informed consent 184 from the patient prior to tumour testing. All cancer centres were asked to provide 10 x 185 slide mounted formalin-fixed, paraffin-embedded tumour sections at a thickness of 5 186 μm. 187

188

189 Myriad's myChoice® companion diagnostic

The myChoice® test was performed by Myriad Genetics, Inc. (Salt Lake City, Utah) 190 191 (18). The GIS was calculated as a composite score (range 0 to 100) based on three bioinformatic algorithms that assessed genome-wide putative biomarkers of 192 homologous recombination deficiency including Loss of Heterozygosity, Telomeric 193 Allelic Imbalance and Large-scale State Transitions. The Loss of Heterozygosity 194 score was defined by the number of loss of heterozygosity regions longer than 15 195 196 megabases but shorter than the whole chromosome. The Telomeric Allelic Imbalance score was defined by the number of regions with allelic imbalance that extend to one 197 of the sub telomeres, did not cross the centromere, and were longer than 11 198 199 megabases. The Large-scale State Transitions score was defined by the number of chromosomal breaks between two adjacent regions of at least 10 megabases, after 200 filtering out regions less than 3 megabases and adjusting for ploidy. To quantify Loss 201 of Heterozygosity, Telomeric Allelic Imbalance and Large-scale State Transitions the 202 myChoice® test interrogated >27,000 genome-wide single nucleotide polymorphisms. 203

Tumours with a GIS of \geq 42 were reported as 'GIS-positive', while those with a GIS of <42 were reported as 'GIS-negative'. Tumours with a *BRCA1/2* mutation and/or a GIS of \geq 42 were reported as homologous recombination deficient, while those with *BRCA1/2* wild-type and a GIS of <42 were reported as homologous recombination proficient. Only tumour *BRCA1/2* pathogenic or likely pathogenic variants were reported (21).

210

211 Statistical analysis

Categorical data were reported as number (percentage). Continuous data were reported as median (range and interquartile range) and mean (standard deviation). The chi-squared test was used to determine if there were statistically significant differences between categorical variables, with a p-value of <0.05 defined as significant. The t-test was used to determine if there were statistically significant differences between the mean averages of two groups, with a p-value of <0.05 defined as significant.

In accordance with the journal's guidelines, we will provide our data for independent analysis by a selected team by the Editorial Team for the purposes of additional data analysis or for the reproducibility of this study in other centres if such is requested.

223

224 **Results**

The myChoice® assay was performed on 2,829 tumours. The tumour content was 225 ≥30% in 83% (n=2,362) of formalin-fixed, paraffin-embedded tissue sections tested. 226 Of the 2,829 tumours tested, 2,474 (87%) and 2,178 (77%) were successfully tested 227 for BRCA1/2 and GIS, respectively. Testing failed due to low tumour cellularity and/or 228 low tumour DNA yield. In the UK, early testing (April to July 2021) showed a very high 229 rate of quantity insufficient cancellations as higher DNA inputs were required for 230 version 1 (legacy version) of the myChoice® test. The myChoice® test version 2 231 (improved version, due to higher yield DNA extraction and lower DNA input minimum) 232 was implemented from August 2021 onwards and the rate of sample failures 233 dramatically dropped (21.7% between April to July 2021, down to 5.3% between 234 August 2021 to April 2022). 235

237 Tumour BRCA1/2 mutations

Of the 2,474 tumours successfully tested, 385 (16%) *BRCA*1/2 mutations were detected (**Supplementary Table 1**). These included 220 (9%) *BRCA1* and 165 (7%) *BRCA2* mutations. There were 308 (80%) distinct tumour *BRCA1/2* mutations (178 *BRCA1* and 130 *BRCA2*). There were no mutational hotspots in *BRCA1* or *BRCA2*, with mutations detected across the length of each gene (**Figure 1**).

The majority of tumour BRCA1/2 mutations were small deletions (172/385) or 243 single nucleotide variants (143/385) leading to premature protein terminations 244 245 (201/385 frameshift-deletions and 103/385 nonsense mutations) (Table 1). Small deletions, duplications and insertions ranged from 1 to 116 base pairs in length. Of the 246 385 tumour *BRCA1/2* mutations, 360 (94%) were ≤40 base pairs in length and would 247 have been detected using local tumour BRCA1/2 next-generation sequencing assays 248 used in the UK (22, 23). Twenty-one (5%) pathogenic large genomic rearrangements 249 were detected. All pathogenic large genomic rearrangements were large deletions 250 (21/21), with no pathogenic large duplications (0/21) detected. One whole gene 251 deletion was detected, in BRCA2. 252

We were unable to confirm which tumour *BRCA1/2* mutations were germline or somatic. No genetic assay has been validated to distinguish between germline and somatic *BRCA1/2* mutations from tumour DNA alone. However, multiple European *BRCA1/2* founder mutations have been described, thereby allowing us to predict those tumour *BRCA1/2* mutations that were most likely to be germline. Of the 385 tumour *BRCA1/2* mutations, 79 (21%) were European *BRCA1/2* founder mutations, including 51 *BRCA1* and 28 *BRCA2*. There were 34 individual *BRCA1/2* founder mutations, of

260 which 16 (47%) were detected in 2 or more tumours. By contrast, of the remaining 306 tumour BRCA1/2 mutations, there were 274 individual mutations, of which only 25 261 (25/274; 9%) were detected in 2 or more tumours (chi-squared test P<0.0001). The 262 commonest European BRCA1/2 founder mutations were BRCA2:c.6275 6276deITT 263 (n=9). BRCA1:c.68 69delAG (n=6). BRCA1:c.5266dupC (n=6) 264 and BRCA2:c.5946delT (n=6;). BRCA2:c.6275 6276delTT is a founder mutation from the 265 UK and the other three BRCA1/2 mutations are Ashkenazi Jewish founder mutations 266 (24, 25). 267

268

269 Genomic Instability Score

Of the 2,178 tumours successfully tested, 814 (37%) had a GIS of \geq 42. Of these, 304 (37%) had a *BRCA1/2* mutation, while 510 (63%) were *BRCA1/2* wild-type.

The GIS had a bimodal distribution (**Figure 2**). Tumours with a *BRCA1/2* mutation had higher GIS than those with *BRCA1/2* wild-type. The mean GIS for tumours with a *BRCA1/2* mutation was 61 (median 62; range 3-90; interquartile range 54-70; standard deviation 13) compared to 33 for *BRCA1/2* wild-type tumours (median 28; range 0-100; interquartile range 18-45; standard deviation 20; t-test P<0.0001) (**Figure 3**).

Of the 337 tumours with a *BRCA1/2* mutation that were successfully tested for GIS, 33 (10%) were GIS-negative. Although these tumours did not meet the GIS threshold for homologous recombination deficiency, they were classified as homologous recombination deficient due to the presence of a tumour *BRCA1/2* mutation.

283

284 Prevalence of tumours positive for homologous recombination deficiency

By including the number of tumours successfully tested for *BRCA1/2* mutation or a GIS, the prevalence of homologous recombination deficiency was 36% (895/2,474) and 37% (814/2,178), respectively.

288

289 Discussion

290 Summary of Main Results

291 This observational study reports the largest real world evaluation of routine tumour 292 testing for homologous recombination deficiency in women diagnosed with ovarian cancer (26). Over 12 months of testing, 895 women with newly diagnosed FIGO stage 293 III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer in 294 295 England, Wales and Northern Ireland were found to have a homologous recombination deficient tumour. In the UK, around 7,500 women are diagnosed with 296 ovarian cancer each year. Of these, approximately 5,000 will be diagnosed with FIGO 297 stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer. 298 The number of cases tested for homologous recombination deficiency in this study 299 represents almost half of these women. Moreover, it is notable that tumour testing was 300 not available in Wales and Northern Ireland until Autumn 2021, meaning fewer than 301 12 months of eligible women were included from these countries. No cases from 302 303 Scotland were included in this study either. The rapid uptake of homologous recombination deficiency testing across the NHS demonstrates a concerted effort 304 amongst multi-disciplinary teams to identify women most likely to respond to first-line 305

maintenance PARPi. The substantially higher number of GIS-positive tumours with *BRCA1/2* wild-type compared to *BRCA1/2* mutations demonstrates the value of using mutational scar assays to identify potentially PARPi sensitive tumours, above and beyond standard germline and somatic *BRCA1/2* testing (18, 27, 28).

310

311 Results in the Context of Published Literature

312 The prevalence of homologous recombination deficient tumours in this study was lower than anticipated. It has been suggested that approximately 50% of high-grade 313 serous ovarian cancers harbour a genetic or epigenetic mutation that brings about 314 315 homologous recombination deficiency (17). The relative lower prevalence in this study 316 may have occurred because of a number of reasons. Firstly, eligibility criteria specified FIGO stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal 317 cancer. As a result, non-high-grade serous carcinomas such as endometrioid (grade 318 2 or grade 3) and clear cell will have been tested. These subtypes account for 319 approximately 10 to 15% of high-grade ovarian cancers and are rarely deficient in 320 homologous recombination (26, 29, 30, 31, 32, 33). Secondly, eligibility criteria did not 321 322 mandate a response to first-line platinum-based chemotherapy prior to tumour testing. 323 Thus, tumours that did not respond to first-line platinum would have been tested, and these are highly unlikely to be homologous recombination deficient (18). Thirdly, fewer 324 germline BRCA1/2 mutations may have been included in this study. Women with 325 326 newly diagnosed FIGO stage III/IV high-grade ovarian cancer who are known germline BRCA1/2 heterozygotes can access maintenance olaparib plus bevacizumab without 327 requiring tumour testing (11). Fourthly, observational data suggests that tumour 328 samples with higher chemotherapy response scores (2/3 versus 1) following 329

330 neoadjuvant chemotherapy are more likely to be deficient in homologous recombination, but also more likely to fail testing (34). Therefore, homologous 331 recombination proficient tumours are often disproportionately reported in cases 332 treated with neoadjuvant chemotherapy plus delayed primary surgery. Fifthly, this 333 study shows a relatively higher rate of complete and partial assay failure compared to 334 clinical trials, meaning a significant number of patients with a tumour BRCA1/2 335 mutation may have been missed (10, 11). Finally, our real world data is likely to include 336 more elderly patients compared to clinical trials. Observational data from the 337 BriTROC-1 study has demonstrated that the presence of homologous recombination 338 deficient-related single nucleotide variant-signature 3 inversely correlates with age 339 (35). 340

341

342 Strengths and Weaknesses

There are three main limitations with this study. Firstly, no clinical data have been 343 provided. This information was not mandated on the test request form. Thus, we are 344 unable to determine the distribution of homologous recombination deficiency across 345 demographic groups. Secondly, no follow-up data have been provided. Therefore, we 346 cannot determine whether testing influenced clinical decision making. The UK testing 347 scheme did not mandate treatment with first-line maintenance olaparib plus 348 bevacizumab for BRCA1/2 mutant or GIS-positive tumours. In fact, because the 349 optimal first-line maintenance therapy for FIGO stage III/IV high-grade epithelial 350 ovarian, fallopian tube or primary peritoneal cancer has not been precisely defined, 351 several alternative options are available including olaparib, niraparib or bevacizumab 352 (8, 10, 36). Thirdly, the germline and somatic status of each tumour BRCA1/2 mutation 353

is unknown. No tumour DNA sequencing assay is able to distinguish between germline and somatic *BRCA1/2* variants. Thus, we are unable to report whether GIS was affected by germline or somatic status. Interestingly, 10% of tumours with a *BRCA1/2* mutation had a GIS of <42. The reason for this unusual genotype is unclear but may suggest certain *BRCA1/2* mutations having a passenger role in carcinogenesis. Those patients found to have a *BRCA1/2* mutant/GIS-negative tumour should be more closely observed for poorer response to PARPi therapy.

361

362 Implications for Practice and Future Research

363 We report data from the largest observational study evaluating homologous 364 recombination deficiency in newly diagnosed FIGO stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer. These data show the value of 365 tumour testing to identify women most likely to respond to first-line maintenance 366 PARPi. These data demonstrate the importance of homologous recombination 367 deficiency testing to optimise outcomes for eligible women. The relatively high failure 368 rate of testing, resulting from formalin-fixed, paraffin-embedded tissue with low tumour 369 cellularity and/or low tumour DNA yield, also highlights the need for local multi-370 disciplinary teams to carefully select tumour tissue to be tested. Finally, the rapid 371 uptake of homologous recombination deficiency testing in England, Wales and 372 Northern Ireland demonstrates the power of centralised NHS funding, centre 373 specialisation and the NHS Genomic Laboratory Hub network. 374

375

376 Conclusions

The real world prevalence of homologous recombination deficient tumours in women with newly diagnosed, FIGO stage III/IV high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer in England, Wales and Northern Ireland was 37%. Most of tumours positive for homologous recombination deficiency were *BRCA1/2* wild-type

381 Funding

The Myriad myChoice® companion diagnostic was funded by AstraZeneca PLC (Address: 1 Francis Crick Avenue, Cambridge Biomedical Campus, Cambridge CB2 0AA, United Kingdom; Tel: +44 (0)20 3749 5000).

385

386 Acknowledgement

The data reported in this manuscript has been presented at the European Society of Medical Oncology 2022 Congress (*Annals of Oncology* 2022;33(suppl_7):S235-S282).

390

391 Conflicts of interest

- 392 RDM, BMB, SN, HS, LY-S, YW, MV-P, RW, SM, SMc, EH, AG, SS, SN, SST and
- 393 DGRE declare no conflicts of interest.
- 394 KT is an employee and stockholder of Myriad Genetics.
- 395 ARC declares research funding from AstraZeneca.
- 396 SMac declares travel funding and speaker fees from AstraZeneca, Merck and Eli Lily.
- 397 LT declares honoraria from AstraZeneca, Clovis Oncology, GSK and Tesaro.
- 398 RM declares honoraria from AstraZeneca, Merck Sharp & Dohme and GSK and

399 research funding from Barts & The London Charity, Rosetrees Trust, GSK and

400 Yorkshire Cancer Research.

JB declares honoraria from AstraZeneca and GSK and consulting and advisory roles
in Clovis Oncology and GSK.

SB declares institutional grants from AstraZeneca and GSK, personal fees for
speaker, consulting/advisory roles from Amgen, AstraZeneca, Clovis Oncology,
Epsilogen, GSK, Immunogen, Mersana, Merck Sharp & Dohme, Merck Serono,
Novartis, OncXerna, Pfizer, Roche, Shattuck Labs & Takeda.

RSK declares honoraria from AstraZeneca, Clovis Oncology, GSK and Incyte and
travel support from AstraZeneca, GSK and Sierra Oncology and trial grants from
Merck Sharp & Dohme and consulting fees from Basilea Pharmaceutica and Shattuck
Pharma.

IMcN declares honoraria from AstraZeneca, Clovis Oncology, Epsila Bio, GSK, Roche
and Scancell and institutional funding from AstraZeneca.

JAL declares honoraria from AstraZeneca, Clovis Oncology, GSK, Eisai, Neopharm,
Artios Pharma, Merck/Merck Sharp & Dohme, Mersana, Regeneron, VBL
Therapeutics, Nuvation and Bristol Myers Squibb.

416 GCJ declares research funding from AstraZeneca.

417

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424 trials: by the Arbeitsgemeinschaft Gynaekologische Onkologie Studiengruppe
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	BRCA1	BRCA2	Total
	(N=220)	(N=165)	(N=385)
Nucleotide level			
Small deletions	82 (37)	90 (55)	172 (45)
Single nucleotide variants	95 (43)	48 (29)	143 (37)
Small duplications	19 (9)	19 (12)	38 (10)
Large genomic rearrangements	18 (8)	3 (2)	21 (5)
Small insertion-deletions	6 (3)	3 (2)	9 (2)
Small insertions	0	2 (1)	2 (1)
Protein level			
Frameshift-deletions	96 (44)	105 (64)	201 (52)
Nonsense	59 (27)	44 (27)	103 (27)
Splice	22 (10)	8 (5)	30 (8)
Large genomic rearrangements	18 (8)	3 (2)	21 (5)
Missense	16 (7)	4 (2)	20 (5)
Intron	9 (4)	1 (1)	10 (3)

Table 1. Types of tumour BRCA1/2 pathogenic and likely pathogenic variants.Data is presented as number (percentage).

Figure Legends

Figure 1. Lollipop diagram showing the loci of each pathogenic or likely pathogenic variant in *BRCA1* and *BRCA2*. Key: (**A**) *BRCA1* and (**B**) *BRCA2*; splice site, intronic variants and large genomic rearrangements are not included; the number of circles on each lollipop stick indicates the number tumours containing that variant; the exons of BRCA1 and BRCA2 proteins are numbered; reference sequences are LRG_292(BRCA1) and LRG_293(BRCA2).

Figure 2. Bar graph showing the distribution of Genomic Instability Scores in tumours with a *BRCA1/2* mutation or wild-type. Two-thousand-one-hundred-and-seventy-eight tumours were successfully tested for Genomic Instability Score.

Figure 3. Dot plot diagram showing the Genomic Instability Score of tumours with a *BRCA1/2* mutation or wild-type. Key: each dot represents the Genomic Instability Score (GIS) for a single tumour; the dotted line at GIS = 42 represents the threshold at which a tumour is classified as deficient in homologous recombination.