

Optimization of microfluidic synthesis of silver nanoparticles

Nathanael, Konstantia; Cheng, Sibio; Kovalchuk, Nina M.; Arcucci, Rossella; Simmons, Mark J.h.

DOI:

[10.1016/j.cherd.2023.03.007](https://doi.org/10.1016/j.cherd.2023.03.007)

License:

Creative Commons: Attribution (CC BY)

Document Version

Publisher's PDF, also known as Version of record

Citation for published version (Harvard):

Nathanael, K, Cheng, S, Kovalchuk, NM, Arcucci, R & Simmons, MJH 2023, 'Optimization of microfluidic synthesis of silver nanoparticles: a generic approach using machine learning', *Chemical Engineering Research and Design*, vol. 193, pp. 65-74. <https://doi.org/10.1016/j.cherd.2023.03.007>

[Link to publication on Research at Birmingham portal](#)

General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

- Users may freely distribute the URL that is used to identify this publication.
- Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.
- User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?)
- Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

Available online at www.sciencedirect.com

Chemical Engineering Research and Design

journal homepage: www.elsevier.com/locate/cherdICChemE
ADVANCING
CHEMICAL
ENGINEERING
WORLDWIDE

Optimization of microfluidic synthesis of silver nanoparticles: A generic approach using machine learning

Konstantia Nathanael^{a,*}, Sib0 Cheng^b, Nina M. Kovalchuk^a,
Rossella Arcucci^{b,c}, Mark J.H. Simmons^a

^a School of Chemical Engineering, University of Birmingham, UK

^b Data Science Institute, Imperial College London, London SW7 2AZ, UK

^c Earth Science & Engineering Department, Imperial College London, London SW7 2AZ, UK

ARTICLE INFO

Article history:

Received 19 December 2022

Received in revised form 20 February 2023

Accepted 4 March 2023

Available online 7 March 2023

Keywords:

Reaction kinetics

Microfluidic synthesis

Silver nanoparticles

Decision tree

Machine learning

ABSTRACT

The properties of silver nanoparticles (AgNPs) are affected by various parameters, making optimisation of their synthesis a laborious task. This optimisation is facilitated in this work by concurrent use of a T-junction microfluidic system and machine learning approach. The AgNPs are synthesized by reducing silver nitrate with tannic acid in the presence of trisodium citrate, which has a dual role in the reaction as reducing and stabilizing agent. The study uses a decision tree-guided design of experiment method for the size of AgNPs. The developed approach uses kinetic nucleation and growth constants derived from an independent set of experiments to account for chemistry of synthesis, the Reynolds number and the ratio of Dean number to Reynolds number to reveal effect of hydrodynamics and mixing within device and storage temperature to account for particle stability after collection. The obtained model was used to define a parameter space for additional experiments carried out to improve the model further. The numerical results illustrate that well-designed experiments can contribute more effectively to the development of different machine learning models (decision tree, random forest and XGBoost) as opposed to randomly added experiments.

© 2023 The Authors. Published by Elsevier Ltd on behalf of Institution of Chemical Engineers. This is an open access article under the CC BY license (<http://creativecommons.org/licenses/by/4.0/>).

1. Introduction

The precise and controlled fabrication of silver nanoparticles (AgNPs) is required for a range of applications (Nathanael et al., 2022). Optimisation of the chemical synthesis process is a very labour-intensive, costly and time-consuming task

because it includes multiple reagents and different independent experimental conditions including the types and concentrations of reagents, temperature, reactor design and mixing conditions. For example, the size of AgNPs strongly depends on both the type and concentration of stabilizing agent (Amir et al., 2021; Patel et al., 2017; Tejamaya et al.,

Abbreviation: AgNPs, silver nanoparticles; AI, artificial intelligence; BO, Bayesian optimization; DCA, dicarboxyacetone; De, Dean number; DNN, deep neural network; DT, Decision Tree; MAE, Mean Absolute Error; ML, machine learning; MSE, Mean Squared error; PTFE, polytetrafluoroethylene; PVA, polyvinyl alcohol; Re, Reynolds number; RF, Random Forest; RRSE, Root Relative Square Error; SI, supporting information; SN, silver nitrate; TA, tannic acid; TC, trisodium citrate; XGBoost, Extreme Gradient Boosting

* Corresponding author.

E-mail address: cxn782@student.bham.ac.uk (K. Nathanael).

<https://doi.org/10.1016/j.cherd.2023.03.007>

0263-8762/© 2023 The Authors. Published by Elsevier Ltd on behalf of Institution of Chemical Engineers. This is an open access article under the CC BY license (<http://creativecommons.org/licenses/by/4.0/>).

2012) and often depends on pH of the solution (Anigol et al., 2017, Dong et al., 2009, Qin et al., 2010). Ionic stabilizers such as citrate used in this study produce a charged layer around particles which inhibit agglomeration. Several studies showed that both decreasing (Guardia et al., 2010) and increasing (Shevchenko et al., 2003) particles sizes can be obtained by varying the amount of stabilizer. Das, Bandyopadhyay (Das et al., 2021) and Henglein and Giersig (Henglein and Giersig, 1999) found there is an optimum concentration of trisodium citrate in AgNP synthesis. They observed that smaller particles with a narrow size distribution can be formed for a specific range of citrate concentrations and larger particles at concentrations higher than the optimal, due to the high ionic strength of the solutions. It was observed that the reducing ability of the completely hydrolysed citrate species and dicarboxyacetone (a by-product of citrate reaction with silver) is higher at pH 12, causing a fast reduction rate of the precursor and therefore smaller particles (Marciniak et al., 2020). However, it was also found in Liu, Kozlovskaya (Liu et al., 2014) that alkaline pH in the presence of high salt concentrations can lead to thicker tannic acid-poly(N-vinylpyrrolidone) (TA-PVPON) multilayers as a result of screening of the negatively charged tannic acid. Furthermore, the hydrodynamic conditions play a significant role in the nucleation and growth steps for the synthesis of nanoparticles (Baber et al., 2017, Pal et al., 2020). Relevant works are represented in Khan, Günther (Khan et al., 2004) and Wu, De Varine Bohan (Wu et al., 2017). The former demonstrated that the sizes and size distributions of colloidal silica particles can be tuned in laminar flow reactors and segmented flow reactors by varying their linear flow velocity and mean residence time. The latter showed that decreasing the helix diameter increases the mixing in helical reactor (due to generation of Dean vortices) which then leads to a controlled size distribution in continuous synthesis of AgNPs in the absence of capping agents. A similar effect was observed at increasing flow rates when the Dean number (De) was above 5.

Microfluidic technology can improve the optimisation process by providing better control over the reactions and reduced reagent consumption (Kulkarni and Goel, 2020). Machine learning combined with microfluidic synthesis offers a promising approach to tackle the repeated and extensive experimental tests and accelerate the development of efficient protocols (Chen and Lv, 2022).

Recently, artificial intelligence (AI) has become a valuable aid for many scientific and engineering fields, including nanotechnology, due to its contribution in data acquisition and processing improvements (Hrvat et al., 2021, Zhang et al., 2021, Adir et al., 2020). Machine learning (ML) algorithms which are a subset of AI have been employed specifically in the synthesis of AgNPs to predict their characteristics (Sun et al., 2017, shafaei and Khayati, 2020, Findlay et al., 2018) or perform other kinds of decision making under uncertainty (Liu et al., 2021). Mekki-Berrada, Ren (Mekki-Berrada et al., 2021) combined a deep neural network (DNN) and Gaussian process-based Bayesian optimization (BO) to synthesize AgNPs with a desired absorbance spectrum in a droplet microfluidic device. They used the flow rates of silver seeds (Q_{seed}), of silver nitrate, SN (Q_{AgNO_3}), of trisodium citrate, TC (Q_{TC}) and polyvinyl alcohol, PVA (Q_{PVA}) as input parameters and efficiently predicted the desired plasmon resonance for the reaction synthesis. Sattari and Khayati (Sattari and Khayati, 2020) applied a Gene Expression Programming (GEP)

to predict the size of AgNPs prepared by a green synthesis route. The proposed predictive model with coefficient of determination, $R^2=0.9961$, mean absolute error, MAE = 0.2545, and root relative square error, RSME= 0.0668 showed that the initial concentration of silver precursor and plant extract were the most influential parameters for the final particle size. Other relevant work used to predict the final particle size of AgNPs is shown in Shabanzadeh, Senu (Shabanzadeh et al., 2013).

Decision trees are an established keystone in machine learning literature (Myles et al., 2004) as a powerful tool for prediction, interpretation and data manipulation. More specifically, a decision tree is a supervised learning algorithm which sorts a population into segments. This approach looks like an inverted tree with a root node, some internal nodes and leaf nodes. The root node is always on the top of the tree structure and represents the variable that best splits the data, whilst the leaf nodes at the bottom display the final outcome of a combination of decisions. A decision pathway is presented by a line connecting the root node with one of the leaf nodes (Song and Lu, 2015). Compared with deep learning approaches (LeCun et al., 2015), the advantages of a tree-based algorithm are the good interpretability of the constructed models and the low computational cost. It can identify, in a linear way, information and relationships that can be used to design future experiments and analyse data (Myles et al., 2004). A limitation is that it can display overfitting or underfitting within a small data set (Song and Lu, 2015).

The literature review revealed that previous ML studies for the synthesis of AgNPs focused on input parameters which are related to specific chemical compositions and process conditions. This means that a model which is derived for a certain experimental configuration cannot be applied for another set of reagents or for a different reactor design. The aim of this study is to develop a generalised workflow to design experiments for fast prediction of the size of AgNPs synthesized in microfluidic systems. It is suggested that the chemistry of the process can be described in the terms of nucleation and growth constants, whereas the hydrodynamics of the process can be accounted for by Reynolds number, Re , and the ratio of Dean number to Reynolds number De/Re . Storage temperature is included to enable consideration of particle stability after collection.

A machine learning-guided design of experiments based on the decision tree method has been applied for the production of AgNPs in a continuous flow microreactor. The proposed strategy, which used optimal and flexible experiment designs based on uncertainty analysis, can provide more flexibility compared to existing approaches such as design of experiments (Sethuramiah and Kumar, 2016), since the exact values of the initial parameters are not fixed and the training features could be parameters which have been calculated based on physical parameters, such as nucleation and growth constants. The nucleation and growth constants were derived from an independent set of experiments carried out in a beaker using the Finke-Watzky (F-W) two-step mechanism (Watzky and Finke, 1997) which has been applied previously to describe well a wide range of kinetic processes such as protein aggregation (Iashchishyn et al., 2017, Ohgita et al., 2022) and metal nanoparticle formation including silver (Sandoe et al., 2019), gold (Pestovsky and Srichana, 2022), palladium (Wojnicki et al., 2016) and rhodium (Yao et al., 2012). Only one set of chemicals was used in

this study providing several pairs of nucleation and growth constants. These kinetic constants were used as input parameters in the decision tree to build a ML model which combines parameters that can be applied in the future for different sets of chemicals. By applying this strategy, a general model for the synthesis of AgNPs can be obtained in a more direct way by providing the region of interest for further experiments. The suggested approach can also enable a considerable reduction of ecological impact of process optimization by using microfluidics, which manipulates small samples under well-controlled conditions resulting in a considerable reduction of materials and energy consumption.

2. Materials and methods

2.1. AgNPs synthesis and characterisation

The silver nanoparticles were synthesized in a T-junction microfluidic device composed of 0.5 mm inner diameter polytetrafluoroethylene (PTFE) cylindrical tubes (Cole-Parmer). Two inlets (length of 0.6 m each) were used to supply silver nitrate, SN (0.92 mM) and the mixture of tannic acid, TA (0.123 mM) / trisodium citrate, TC (1.91–3.82 mM.) respectively and an outlet tube of 2 m length was used as the reaction channel (Fig. 1). The inlet flow rates were regulated by syringe pumps (World precision instrument-AL-4000) equipped with 5 mL syringes (Fisher), while the three tubes were connected with a Tee tubing junction (0.020" (0.5 mm) Thru-Hole) from Upchurch Scientific. The outlet channel has the same cross section as the inlet channels, therefore the superficial velocity in the outlet channel is twice that observed in each of the two inlet channels.

The concentrations of reagents were determined based on a previous study of Kašpar, Koyuncu (Kašpar et al., 2019) with modifications in the concentrations of reducing agent (TA) and stabilizing agent (TC). The solution of TA and TC had a pH of 7 or 12. The reagents including silver nitrate, 99 + % (AgNO_3), tannic acid ($\text{C}_7\text{H}_5\text{O}_4$), trisodium citrate dihydrate ($\text{Na}_3\text{C}_6\text{H}_5\text{O}_7 \cdot 2\text{H}_2\text{O}$) and sodium hydroxide (NaOH) were purchased from Alfa Aesar.

The outlet tube was either straight or coiled onto a 3D-printed helical shape devices with diameters of 3 mm and 5 mm to improve the mixing of the reagents. The particle size was measured using dynamic light scattering (DLS) by Zetasizer Nano series (Malvern) and confirmed by transmission electron microscopy (TEM) (JEOL JEM-1400). The concentration of silver nitrate in the collected sample at different flow rates was measured to investigate the completeness of the reaction. A silver electrode from EDT direction connected to mV meter from Mettler Toledo-FP20 was used.

The nucleation, k_1 , and growth, k_2 , rate constants were derived from the absorbance intensity at 400 nm, characteristic for AgNPs using F-W two step mechanism (Watzky and Finke, 1997) which combines a homogenous nucleation reaction and an autocatalytic growth process in which nuclei and growing particles play the role of auto-catalysts. The time dependence of the absorbance of AgNPs (kinetic curve) for different experimental conditions was measured by UV-vis spectrophotometer (Jenway-6300). Reactions were carried out in a beaker and mixing was set at a point where further increase of mixing intensity does not affect the kinetic curve. Thus, AgNPs synthesis was independent of

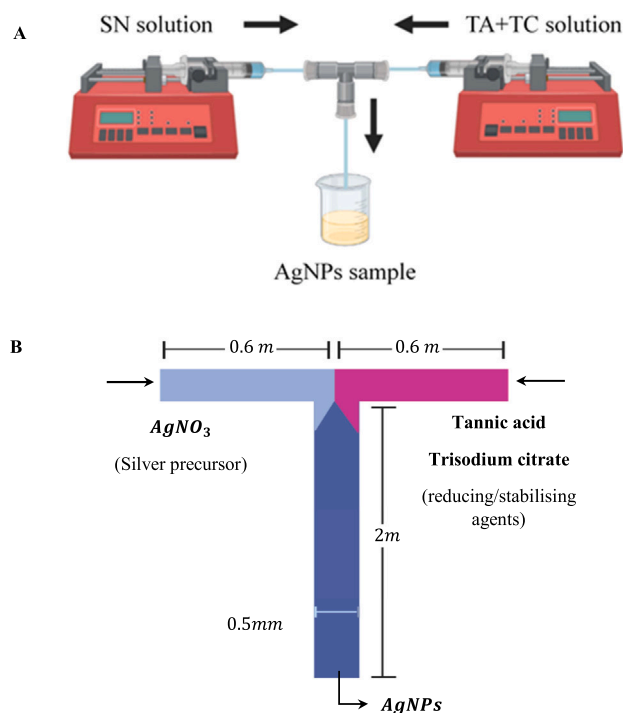


Fig. 1 – A) Experimental set up B) Schematic diagram of T-junction microfluidic device.

mass-transfer and the rate was determined by true chemical kinetics. To quantify the amount of silver in AgNPs at any time during synthesis, the UV-vis spectra was recorded every 5 min. All measurements were performed in triplicate.

The synthesis of AgNPs involves three different steps (Thanh et al., 2014) including the reduction of silver ions to silver atoms, the formation of silver nuclei and their subsequent growth to generate AgNPs (see Fig. 2). In this study, the reaction was performed through the reduction of SN in the presence of TA which is a weak reducing agent and TC which is both reducing and stabilizing agent.

As described in Eqs. (1) and (2), nuclei represented as B are produced uniformly from the precursor represented as A. This reaction follows an autocatalytic growth of nuclei leading to the formation of B particles (see Fig. 2).



where, n_0 and G are the nucleation and growth rates, k_1 and k_2 are the nucleation and growth kinetic constants and $[A]$ and $[B]$ are the molar concentrations of the precursor and silver in the nuclei/particles. The overall reaction rate can be expressed as shown:

$$-\frac{d[A]}{dt} = \frac{d[B]}{dt} = k_1[A] + k_2[A][B] \quad (3)$$

The F-W mechanism can be expressed through the integrated form (Eq. (4)) which was also used to fit all experimental kinetic data sets (Sandoe et al., 2019). It was considered that the concentration of material inside the particles changes with time t as $[B]_t = [A]_0 - [A]_t$, where $[A]_0$ is the concentration of the precursor solution at $t=0$ and $[A]_t$ the concentration of precursor at time t .

$$[B](t) = [A]_0 - \frac{\frac{k_1}{k_2} + [A]_0}{1 + \frac{k_1}{k_2[A]_0} \exp(k_1 + k_2[A]_0)t}. \quad (4)$$

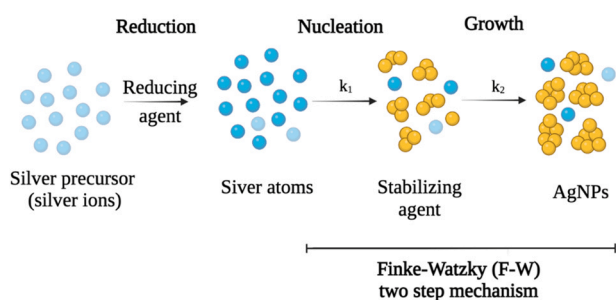


Fig. 2 – Formation of AgNPs through three different steps, i) the reduction of silver ions to silver atoms, ii) the nucleation step where the smallest thermodynamically stable clusters are formed and iii) their growth to produce AgNPs. The nucleation and growth step can be described based on F-W mechanism, where reduction rate is included in nucleation rate.

For curve fitting procedures, the value of $[A]$ was estimated using Eq. (5), where a is B_t/B_∞ and B_t and B_∞ are the maximum absorbance at t and ∞ , respectively (Amirjani and Haghshenas, 2018).

$$\frac{[A]_0 - [A]}{[A]} = \frac{a}{1 - a} \quad (5)$$

The nucleation k_1 and growth k_2 constants were estimated using the linearized form below under assumptions that nucleation happens more slowly than growth (i.e. $k_1 \ll k_2[A]$) and $[A] < [A]_0$ Amirjani and Haghshenas (2018).

$$\ln\left(\frac{[A]_0 - [A]}{[A]}\right) = \ln\left(\frac{k_1}{k_2[A]_0}\right) + k_2[A]_0 t, \quad (6)$$

By plotting, $\ln(a/1 - a)$ versus t , a straight line with a slope and intercept were obtained as shown in Fig. 4B in Section 3.1. The values of rate constants were found by varying pH of reducing solution at two levels, pH 7 and pH 12. At each value of pH, three different concentrations of TC were probed: 1.91, 2.87 and 3.82 mM. This provided six different pairs of nucleation and growth constants to be used in the ML models.

2.2. Machine learning modelling

The machine learning analysis was performed using Python programming language with the Scikit-learn package (Pedregosa, 2011). Tree-based algorithms, including Decision Tree (DT), Random Forest (RF), and Extreme Gradient Boosting (XGBoost) were applied to test the prediction performance for the sizes of AgNPs. These methods have been extensively used in a wide range of engineering problems (Natekin and Knoll, 2013, Cheng et al., 2022, Gong et al., 2022). After implementation, all the proposed models were compared based on the accuracy of their predictions.

The DT algorithm used to guide the experiments was built based on 20 samples, each being repeated three times to obtain the averaged ‘output quantity’ as model output. For fair comparison, 10 extra experiments (30 experiments in total, including three replications) were carried out either randomly or following the DT-guided design of experiments to enhance the performance of the predictive models. For each experiment, the average size was recorded. Each dataset was divided into five inputs and one predicted output. The training features (inputs) and their investigated range are summarized in Table 1.

Table 1 – Input parameters and their investigated range.

Feature	Range investigated
Nucleation constant (min^{-1})	0.0011–0.1
Growth constant ($\text{M}^{-1}\text{min}^{-1}$)	13.66–77.97
Storage temperature ($^{\circ}\text{C}$)	0–20
Dean number/Reynolds number	0–0.41
Reynolds number	0.0849–16.96

$$\text{Re} = \frac{\rho u d}{\mu} \quad (7)$$

$$\text{De} = \text{Re} \sqrt{d/2R_c} \quad (8)$$

Eqs. (7) and (8) define Reynolds, Re , and Dean, De , numbers respectively, where d is the inner diameter of the microchannel, R_c is the radius of helix curvature, ρ is the fluid density, u is the velocity of the fluid, and μ is the viscosity of the fluid.

The effect of the chosen input parameters on the size of AgNPs was investigated through the Pearson correlation (Navlani et al., 2021) shown in Eq.(9) (Profillidis and Botzoris, 2019).

$$r_{XY} = \frac{\sum (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum (x_i - \bar{x})^2 \sum (y_i - \bar{y})^2}} \quad (9)$$

In Eq. (9) x_i is for the values of the independent variables, \bar{x} is the mean of the values of x -variable, y_i is for the values of the dependent variables and \bar{y} is the mean of the values of y -variable.

2.3. DT-guided design of experiments

The iterative process of prediction and experiment design carried out is shown in Fig. 3, with the key focus being to perform experiments where the predictive model has the highest uncertainties. In particular, DT is chosen to guide the experiments thanks to its good interpretability and computational efficiency (Gilpin et al., 2018). The first step in the proposed approach described previously was focused on obtaining the datasets required for the training of the algorithm, i.e., data collection based on experimental measurements. The second and third steps included data sorting or any other required data processing and the development of the most appropriate algorithm. For example, during these steps, the independent and dependent variables were identified, and a DT based algorithm was built. To ensure a good explainability of the DT model for the uncertainty evaluation, the maximum tree depth for this stage was set to be 4.

The fourth stage comprised carrying out additional experiments where the obtained DT algorithm has the highest mean squared error (MSE). The challenge of this step was to ensure that the developed DT model based on the designed experiments can improve the performance regarding different metrics. As shown in Fig. 3, once the experimental design is performed using the preliminary DT model, other ML classifiers such as RF and XGBoost can be applied to achieve a more accurate prediction based on the new experimental data.

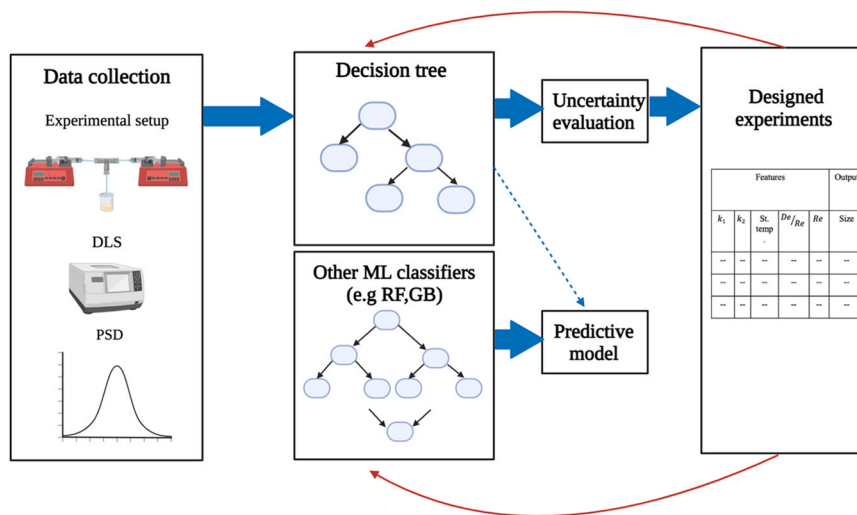


Fig. 3 – Workflow chart and description of methods used in the building of machine learning-guided design of experiment based on the decision tree method.

3. Results and discussion

3.1. Kinetic constants

The kinetic curves describing time dependence of concentration of silver included in nanoparticles (Figs. 4A, 4B) were analysed by F-W mechanism (Watzky and Finke, 1997) described in detail in Section 2.1. At pH 7, nucleation is rather slow, and the kinetic curves have a typical sigmoidal shape. The values of kinetic constants found from the linear fitting are given in Table 2. Using these values, it is easy to find that condition $k_1 \ll k_2 [A]$ is valid for $[A] > 0.03$ mM, which is only

3% of initial concentration of SN, 0.92 mM. The obtained values of rate constants are validated by recalculating the full kinetic curve using Eq. (4) with these constants. Excellent agreement between the theoretical and experimental kinetic curves is shown in Fig. 4A (pH 7).

Multiple studies such as Anigol, Charantimath (Anigol et al., 2017), Jebakumar Immanuel Edison and Sethuraman (Jebakumar Immanuel Edison and Sethuraman, 2013), and Dong, Ji (Dong et al., 2009) have shown that pH changes the kinetics in the formation of AgNPs, using *Capparis Moonii* fruit extract, Pod Extract of *Acacia nilotica* and citrate as reducing agents, respectively. At low pH, there is a slow reduction rate of the precursor

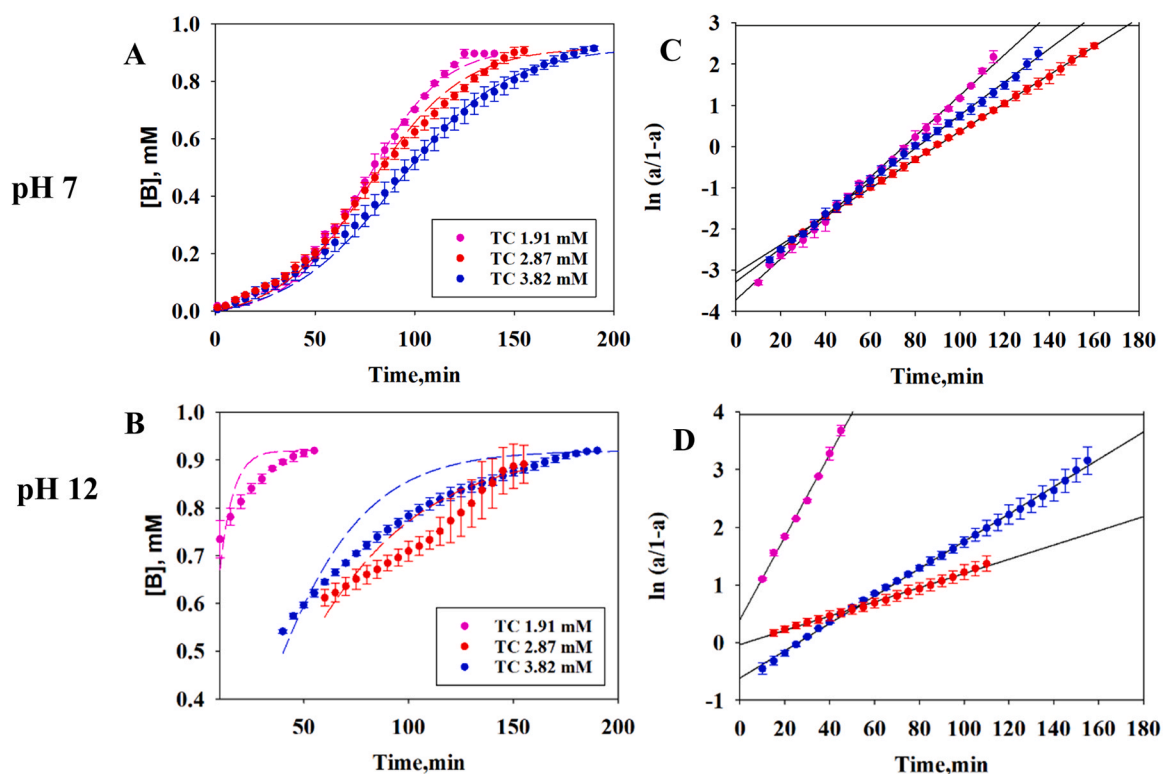


Fig. 4 – Application of the F-W mechanism for the analysis of AgNPs formation kinetics. Time evolution of [B] at 400 nm for A) pH 7 and B) pH 12 and different concentrations of the stabilizing agent: symbols represent experimental data; lines are fitting of full model Eq. (4) with kinetic constants found from linear fitting; Fitting of experimental data using linear form of Finke-Watzky model as shown in Eq. (6) for C) pH 7 and D) for pH 12.

Table 2 – Nucleation and growth constants for varied pH and concentrations of trisodium citrate.

pH	[TC] (mM)	Nucleation constant, k_1 (min^{-1}) (average)	Growth constant, k_2 ($\text{M}^{-1}\text{min}^{-1}$) (average)
7	1.91	0.0011	55.51
7	2.87	0.0013	37.86
7	3.82	0.0015	44.81
12	1.91	0.1	77.97
12	2.87	0.012	13.66
12	3.82	0.0128	26.01

while at high pH there is an improved reducing ability of the reducing agent that results in smaller size particles.

At pH 12, it was observed that the silver nuclei formation proceeded very fast, without a noticeable lag phase for all studied stabilizing agent concentrations, in agreement with literature data (Iashchishyn et al., 2017). Only the right-hand side part of sigmoidal curve can thus be measured, which mostly accounts for particle growth. Although the linear fitting in Fig. 4D (pH 12) gives a reasonable fit, using values of kinetic constants provided in Table 2 shows that this linear fitting is valid only for $[A] > 0.5$ mM for $[TC] = 3.82$ mM and $[A] > 1$ mM for $[TC] = 1.91$ mM. Using these constants with the full F-W model, Eq. (4), does not provide as good agreement with experimental data as for the lower value of pH, but it is still acceptable as can be seen from Fig. 4D (pH 12). Note, non-linear fitting directly using Eq. (6) does not improve the fitting presented in Fig. 4B (pH 12). Thus, the values of nucleation and growth constants presented in Table 2 were used as the best possible fitting. For the investigated case, under alkaline pH, there is a full dissociation of citrate species (Dong et al., 2009) and dicarboxyacetone (DCA) (Marciniak et al., 2020), generated as a by-product of the decarboxylation of citrate during silver ions reduction, which makes the chemistry of the system more complex. Therefore, it is possible that several parallel processes are involved with different nucleation and growth constants making fitting of the kinetic curves much more challenging.

3.2. Pearson correlation and the effect of input parameters

The results of Pearson correlation calculations are presented in Fig. 5 which shows that all input variables impact the

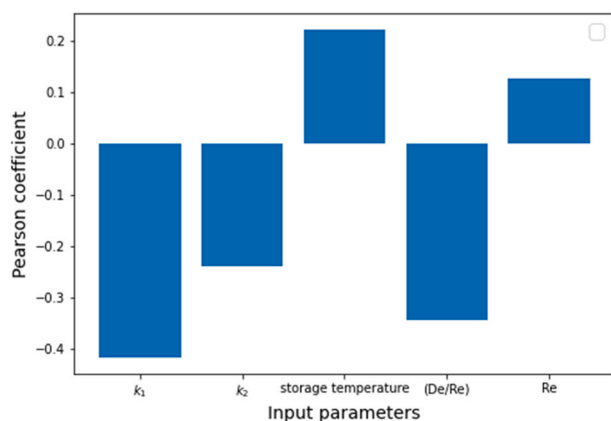


Fig. 5 – Pearson correlation between input variables and the size of AgNPs.

particle size significantly with a correlation larger than 0.12 in absolute value. The estimation of the Pearson correlation value was obtained using all of the data generated in this study. The data used for this study can be found in the supporting information (SI). For example, it was observed that the variables including k_1 , k_2 and De/Re impact the particle size negatively, whilst the storage temperature and Re impact the size of particles positively. The Pearson correlation calculations showed that k_2 is less important compared to k_1 for the prediction of the size of AgNPs. In this study, the effect of kinetic constants was investigated for only one set of chemicals, so that k_1 and k_2 cannot be considered as completely uncoupled. Therefore, it cannot be concluded that faster growth results in smaller particles, because for the chosen set of chemicals all growth constants are of the same order of magnitude, whereas nucleation constants vary over 2 orders of magnitude. Thus, the particle size is mostly defined by the nucleation constant. Similar studies, such as the works of Mansouri and Ghader (2009) and Liu et al. (2020) showed that size of particles is a result of a significant effect of k_1 instead of k_2 .

The samples of AgNPs were kept at 20 °C and 0 °C and Fig. 5 shows that the temperature has a positive effect on the particle size, i.e., that smaller particles were observed at low storage temperatures. The full set of data on the particles size depending on process parameters, including storage temperature is provided in SI. Measurements of the silver nitrate concentration for different flow rates of reagents show that the reduction reaction is completed before collection. For example, at $Re = 5.66$ with a 2 m outlet tube, the residual concentration of silver nitrate was around 0.017 ± 0.00053 mM (less than 2% of initial concentration) whilst at highest flow rate used, $Re = 16.96$ and 2 m length, the residual concentration of silver nitrate was 0 mM (~ 3% of initial concentration). The small residual concentrations of silver nitrate showed that the reaction was practically complete for all the investigated values of Re including the highest one where residence time was smaller. Izak-Nau et al. (2015) and Peng et al. (2010) have described in detail the ageing of AgNPs samples stored at room temperature, proposing that growth of the particle size is due to agglomeration or oxidation of silver.

When helical coils are employed, improved mixing due to the formation of Dean vortices leads to smaller particles, and this effect is one of the largest (Fig. 5). It can be assumed that a decrease in particle size due to faster mixing is the result of more intensive nuclei formation at the initial stage of reaction. Of course, faster mixing results also in faster particle growth, but comparing the relative effect of nucleation and growth constant in Fig. 5, it can be suggested that effect of faster nucleation is larger than the effect of faster growth. The effect of the Reynolds number is positive, yet the least significant.

3.3. Prediction results with DT-guided experiments

The performance of the developed models (DT, RF, XGBoost) was described through MSE, MAE and R^2 . In the following Eqs (10)–(12), n is the number of data within training and testing data sets, X_i is the predicted i^{th} value, Y_i is the actual i^{th} value and \hat{Y} is the mean of true values (Chicco et al., 2021).

$$\text{MSE} = \frac{1}{n} \sum_{i=1}^n (X_i - Y_i)^2 \quad (10)$$

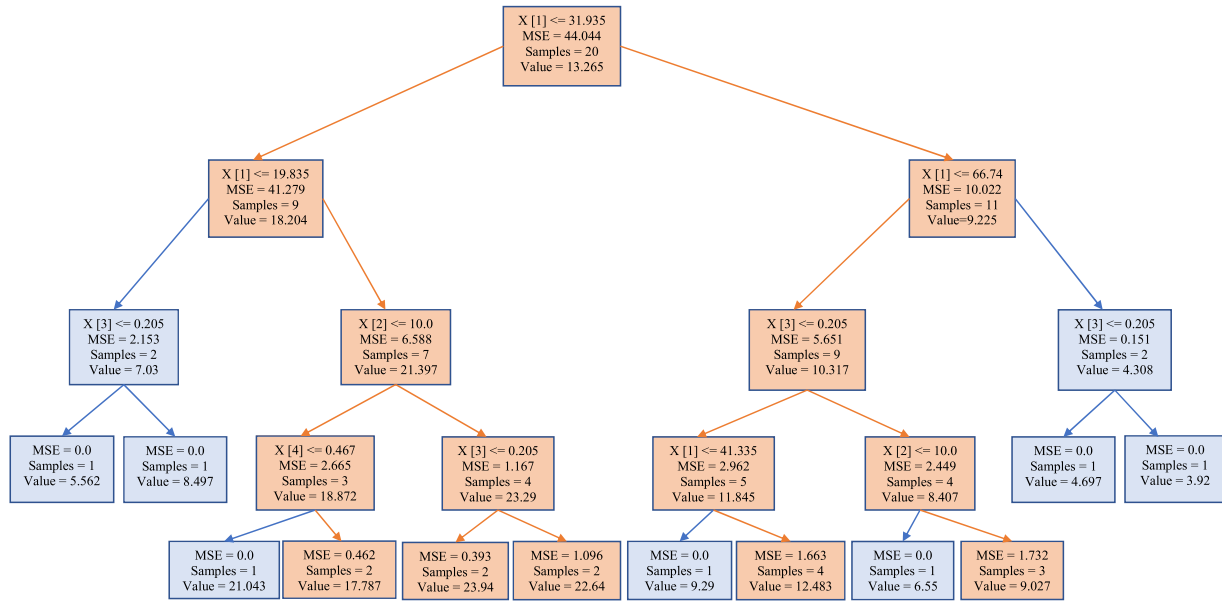


Fig. 6 – Decision tree which examines the size of synthesized AgNPs as a function of five parameters where x_0, x_1, x_2, x_3, x_4 , are nucleation constant k_1 , growth constant k_2 , storage temperature, Dean number/Reynolds number (De/Re) and Reynolds number (Re) respectively. Orange boxes are showing where there is a high MSE.

$$MAE = \frac{1}{n} \sum_{i=1}^n |X_i - Y_i| \tag{11}$$

$$R^2 = 1 - \frac{\sum_{i=1}^n (X_i - Y_i)^2}{\sum_{i=1}^n (\hat{Y} - Y_i)^2} \tag{12}$$

The decision tree shown in Fig. 6 examined the synthesis of AgNPs based on the combination of five conditions. The decision tree shows different routes from up to down for preparing AgNPs. Each box presents the value of the parameter, the estimated size, the MSE and the sample sizes. The tree showed that there is a high MSE (Eq. (10)) in some synthesis routes e.g., when the growth constant, k_2 was smaller than 32, the storage temperature was higher than 10 °C, and the values of De/Re and Re were smaller and higher than 0.2 and 0.47 respectively. To improve the performance of the DT developed, synthesis routes were designed under the conditions with high MSE (orange routes on DT). These designed experiments were used not only to improve the performance of the developed DT model but also of other models such as RF and XGBoost. Additionally, the designed synthesis routes (set of parameters identified in the DT with high MSE) were applied to confirm the validity of this approach.

The closer the value of R^2 is to 1 and the values of MAE and MSE are to zero, the better is the fit of the developed models. The statistical characteristics of the three appropriate models for the original (20 initial conditions used to build the DT model), random (10 random extra experiment conditions) and designed extra data sets (10 extra designed experiment

conditions where high uncertainty was found) are given in detail in Table 3.

These results are obtained on the unseen test data. In particular, the designed data sets are obtained by uncertainty analysis (on the training data) of preliminary DT predictions as illustrated in Fig. 6. As shown, the values of R^2 are always increasing with the designed experiments for all the models while MAE and MSE are decreasing. These results confirmed that the designed experiments based on DT approach can improve the final predictive models with less cost and time.

As shown in Fig. 7 and Table 3, the computed predictive models, including DT, RF, and XGBoost, which were assisted by DT-based designed of experiments, outperform considerably the ones trained on random extra experiments. These results also confirmed that the proposed DT-based on designed experiments can significantly enhance the performance of other machine learning algorithms with a considerable reduction (–26% for XGBoost and –38% for RF) of MSE compared to the original model. Note, this paper consists of a 'proof of concept' of the proposed DT-guided design of experiments and more experimental data should be collected to obtain more reliable predictions.

Table 3 – Decision tree (DT), Extreme Gradient boosting (XGBoost) and Random Forest (RF) results for original, random and designed experiments.

A Decision Tree			
	MSE	MAE	R^2
Original	9.43	2.46	0.45
Random	8.65	2.24	0.49
Designed	6.41	2.01	0.62

B. Gradient Boosting			
	MSE	MAE	R^2
Original	8.88	2.27	0.47
Random	7.26	2.01	0.57
Designed	6.56	2.16	0.61

C. Random Forest			
	MSE	MAE	R^2
Original	9.42	2.52	0.44
Random	6.45	1.93	0.62
Designed	5.82	1.96	0.66

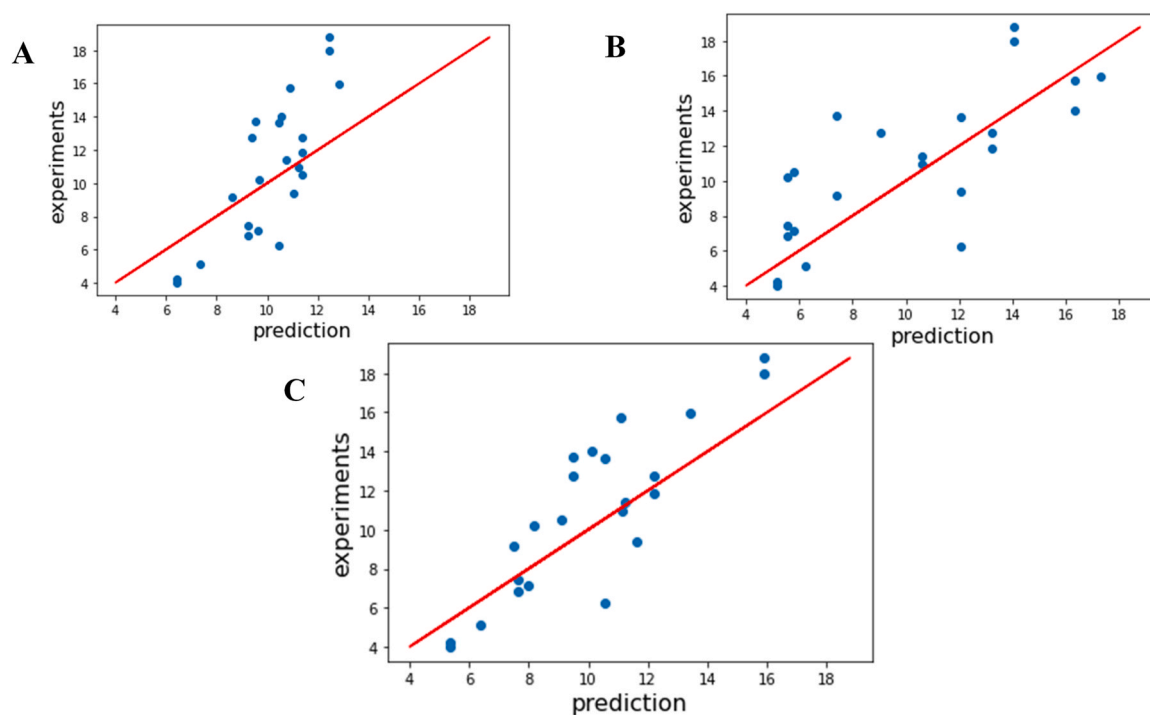


Fig. 7 – Predicted versus real measurements for the size of AgNPs using DT. **A)** Original training data (20 initial conditions, 60 training samples in total including replications), **B)** Random extra experiments (10 experiments, 30 validation samples in total including replications) and **C)** Designed extra experiments (10 experiments, 30 validation samples in total including replications). The conditions that have been used for training, random extra experiments and designed extra experiments are described in detail in SI. The average size of AgNPs on X, Y axes is given in nm.

4. Conclusions

A machine learning-guided design of experiments based on the decision tree method for the size of AgNPs synthesized in a continuous flow T-junction device was implemented. The parameters investigated were nucleation and growth constants derived from an independent set of experiments using F-W mechanism, as well as storage temperature and hydrodynamic parameters including Reynolds and Dean number.

The decision tree based on designed experiments is an effective and low-cost strategy to improve a system in a much more directed manner. The obtained model showed the areas of interest for further experiments (regions with high MSE) and numerical results showed that well designed synthesis routes can improve significantly the performance not only of DT itself but also of other regression methods such as RF and XGBoost compared to randomly extra synthesis routes. In particular, considerable reduction of MSE compared to the original model, 26% for XGBoost and 38% for RF, was found.

Additionally, the obtained model can be developed further in the future by data-assimilation from numerical simulations and additional experiments, including experiments with other chemicals and other device types.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgements

The authors gratefully acknowledge support from the Engineering & Physical Sciences Research Council, UK, through the PREMIERE Programme Grant (EP/T000414/1). KN is funded by a PhD scholarship from the School of Chemical Engineering, University of Birmingham.

Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.cherd.2023.03.007](https://doi.org/10.1016/j.cherd.2023.03.007).

References

- Nathanael, K., et al., 2022. Computational modelling and microfluidics as emerging approaches to synthesis of silver nanoparticles – A review. *Chem. Eng. J.* 436, 135178.
- Amir, D., et al., Effect of Stabilizers in the Synthesis of Silver Nanoparticles and Methylene Blue Oxidation. *IOP Conference Series: Materials Science and Engineering*, 2021. 1192(1): p. 012031.
- Patel, K., et al., 2017. Role of stabilizing agents in the formation of stable silver nanoparticles in aqueous solution: Characterization and stability study. *J. Dispers. Sci. Technol.* 38 (5), 626–631.
- Tejamaya, M., et al., 2012. Stability of Citrate, PVP, and PEG Coated Silver Nanoparticles in Ecotoxicology Media. *Environ. Sci. Technol.* 46 (13), 7011–7017.
- Anigol, L.B., J.S. Charantimath, and P.M. Gurubasavaraj. Effect of Concentration and pH on the Size of Silver Nanoparticles Synthesized by Green Chemistry, 2017.
- Dong, X., et al., 2009. Shape control of silver nanoparticles by stepwise citrate reduction. *J. Phys. Chem. C.* 113 (16), 6573–6576.

- Qin, Y., et al., 2010. Size control over spherical silver nanoparticles by ascorbic acid reduction. *Colloids Surf. A: Physicochem. Eng. Asp.* 372 (1), 172–176.
- Guardia, P., et al., 2010. Controlled synthesis of iron oxide nanoparticles over a wide size range. *Langmuir* 26 (8), 5843–5847.
- Shevchenko, E.V., et al., 2003. Study of Nucleation and Growth in the Organometallic Synthesis of Magnetic Alloy Nanocrystals: The Role of Nucleation Rate in Size Control of CoPt3 Nanocrystals. *J. Am. Chem. Soc.* 125 (30), 9090–9101.
- Das, S., Bandyopadhyay, K., Ghosh, M.M., 2021. Effect of stabilizer concentration on the size of silver nanoparticles synthesized through chemical route. *Inorg. Chem. Commun.* 123, 108319.
- Henglein, A., Giersig, M., 1999. Formation of colloidal silver nanoparticles: capping action of citrate. *J. Phys. Chem. B* 103 (44), 9533–9539.
- Marciniak, L., et al., 2020. The Effect of pH on the Size of Silver Nanoparticles Obtained in the Reduction Reaction with Citric and Malic Acids. *Materials* 13 (23), 5444.
- Liu, F., et al., 2014. Encapsulation of anticancer drug by hydrogen-bonded multilayers of tannic acid. *Soft Matter* 10 (46), 9237–9247.
- Baber, R., et al., 2017. An engineering approach to synthesis of gold and silver nanoparticles by controlling hydrodynamics and mixing based on a coaxial flow reactor. *Nanoscale* 9 (37), 14149–14161.
- Pal, S.K., et al., 2020. Tuning of particle size in a helical coil reactor. *Ind. Eng. Chem. Res.* 59 (9), 3962–3971.
- Khan, S.A., et al., 2004. Microfluidic synthesis of colloidal silica. *Langmuir* 20 (20), 8604–8611.
- Wu, K.J., De Varine Bohan, G.M., Torrente-Murciano, L., 2017. Synthesis of narrow sized silver nanoparticles in the absence of capping ligands in helical microreactors. *React. Chem. Eng.*
- Kulkarni, M.B., Goel, S., 2020. Microfluidic devices for synthesizing nanomaterials—a review. *Nano Express* 1 (3), 032004.
- Chen, X., Lv, H., 2022. Intelligent control of nanoparticle synthesis on microfluidic chips with machine learning. *NPG Asia Mater.* 14 (1), 69.
- Hrvat, F., et al., 2021. Artificial Intelligence in Nanotechnology: Recent Trends, Challenges and Future Perspectives. 2021. CMBEBIH. Springer International Publishing, Cham.
- Zhang, P., et al., 2021. Nanotechnology and artificial intelligence to enable sustainable and precision agriculture. *Nat. Plants* 7 (7), 864–876.
- Adir, O., et al., 2020. Integrating Artificial Intelligence and Nanotechnology for Precision Cancer Medicine. *Adv. Mater.* 32 (13), e1901989.
- Sun, B., Fernandez, M., Barnard, A.S., 2017. *Machine Learning for Silver Nanoparticle Electron Transfer Property Prediction*, in: *J. Chem. Inf. Model.*
- shafaei, A., Khayati, G.R., 2020. A predictive model on size of silver nanoparticles prepared by green synthesis method using hybrid artificial neural network-particle swarm optimization algorithm. *Measurement*, 107199.
- Findlay, M.R., et al., 2018. Machine learning provides predictive analysis into silver nanoparticle protein corona formation from physicochemical properties. *Environ. Sci.: Nano* 5 (1), 64–71.
- Liu, L., et al., 2021. Cytotoxicity of phytosynthesized silver nanoparticles: A meta-analysis by machine learning algorithms. *Sustain. Chem. Pharm.* 21, 100425.
- Mekki-Berrada, F., et al., 2021. Two-step machine learning enables optimized nanoparticle synthesis. *npj Computational. Materials* 7 (1), 55.
- Sattari, R., Khayati, G.R., 2020. Prediction of the size of silver nanoparticles prepared via green synthesis: A gene expression programming approach. *Sci. Iran.* 27 (6), 3399–3411.
- Shabanzadeh, P., et al., *Artificial intelligence in numerical modeling of silver nanoparticles prepared in montmorillonite interlayer space*, in *Journal of Chemistry*, 2013.
- Myles, A.J., et al., 2004. An introduction to decision tree modeling. *J. Chemom.* 18 (6), 275–285.
- Song, Y.Y., Lu, Y., 2015. Decision tree methods: applications for classification and prediction. *Shanghai Arch. Psychiatry* 27 (2), 130–135.
- LeCun, Y., Bengio, Y., Hinton, G., 2015. Deep learning. *Nature* 521 (7553), 436–444.
- Sethuramiah, A., Kumar, R., 2016. Statistics and experimental design in perspective. In: Sethuramiah, A., Kumar, R. (Eds.), *Modeling of Chemical Wear*. Elsevier, Oxford, pp. 129–159.
- Watzky, M.A., Finke, R.G., 1997. Transition metal nanocluster formation kinetic and mechanistic studies. A new mechanism when hydrogen is the reductant: slow, continuous nucleation and fast autocatalytic surface growth. *J. Am. Chem. Soc.* 119 (43), 10382–10400.
- Iashchishyn, I.A., et al., 2017. Finke–Watzky Two-Step Nucleation–Autocatalysis Model of S100A9 Amyloid Formation: Protein Misfolding as “Nucleation” Event. *ACS Chem. Neurosci.* 8 (10), 2152–2158.
- Ohgita, T., et al., 2022. Mechanisms of enhanced aggregation and fibril formation of Parkinson’s disease-related variants of α -synuclein. *Sci. Rep. (Nat. Publ. Group)* 12, 1.
- Sandoe, H.E., Watzky, M.A., Diaz, S.A., 2019. Experimental probes of silver metal nanoparticle formation kinetics: Comparing indirect versus more direct methods. *Int. J. Chem. Kinet.* 51 (11), 861–871.
- Pestovsky, Y.S., Srichana, T., 2022. Formation of Aggregate-Free Gold Nanoparticles in the Cyclodextrin-Tetrachloroaurate System Follows Finke–Watzky Kinetics. *Nanomaterials* 12 (4), 583.
- Wojnicki, M., Fitzner, K., Luty-Błoch, M., 2016. Kinetic studies of nucleation and growth of palladium nanoparticles. *J. Colloid Interface Sci.* 465, 190–199.
- Yao, S., et al., 2012. *Insights into the formation mechanism of rhodium nanocubes*. *J. Phys. Chem. C* 116 (28), 15076–15086.
- Kašpar, O., et al., 2019. Governing factors for preparation of silver nanoparticles using droplet-based microfluidic device. *Biomed. Micro* 21 (4), 88.
- Thanh, N.T.K., Maclean, N., Mahiddine, S., 2014. Mechanisms of nucleation and growth of nanoparticles in solution. *Chem. Rev.* 114 (15), 7610–7630.
- Amirjani, A., Haghshenas, D.F., 2018. Modified Finke–Watzky mechanisms for the two-step nucleation and growth of silver nanoparticles. *Nanotechnology* 29 (50), 505602.
- Pedregosa, F., 2011. Scikit-learn: Machine Learning in Python. *J. Mach. Learn. Res.* 12 (10), 2825–2831.
- Natekin, A., Knoll, A., 2013. Gradient boosting machines, a tutorial. *Front. Neurobot* 7, 21.
- Cheng, S., et al., 2022. Parameter Flexible Wildfire Prediction Using Machine Learning. *Tech.: Forw. Inverse Model. Remote Sens.* 14 (13), 3228.
- Gong, H., et al., 2022. An efficient digital twin based on machine learning SVD autoencoder and generalised latent assimilation for nuclear reactor physics. *Ann. Nucl. Energy* 179.
- Navlani, A., Fandango, A., Idris, I., 2021. *Python Data Analysis, 3rd edition*, Packt Publishing.
- Profillidis, V.A., Botzorris, G.N., 2019. Chapter 5 - Statistical Methods for Transport Demand Modeling. In: Profillidis, V.A., Botzorris, G.N. (Eds.), *Modeling of Transport Demand*. Elsevier, pp. 163–224.
- Gilpin, L.H., et al. *Explaining Explanations: An Overview of Interpretability of Machine Learning*. in 2018 IEEE 5th International Conference on Data Science and Advanced Analytics (DSAA). 2018.
- Jebakumar Immanuel Edison, T.N., Sethuraman, M.G., 2013. Electrocatalytic Reduction of Benzyl Chloride by Green Synthesized Silver Nanoparticles Using Pod Extract of *Acacia nilotica*. *ACS Sustain. Chem. Eng.* 1 (10), 1326–1332.
- Mansouri, S.S., Ghader, S., 2009. Experimental study on effect of different parameters on size and shape of triangular silver nanoparticles prepared by a simple and rapid method in aqueous solution. *Arab. J. Chem.* 2 (1), 47–53.
- Liu, H., et al., 2020. Effect of temperature on the size of bio-synthesized silver nanoparticle: Deep insight into microscopic kinetics analysis. *Arab. J. Chem.* 13 (1), 1011–1019.

- Izak-Nau, E., et al., 2015. Impact of storage conditions and storage time on silver nanoparticles' physicochemical properties and implications for their biological effects. *RSC Adv.* 5 (102), 84172–84185.
- Peng, H.-I., Krauss, T.D., Miller, B.L., 2010. Aging induced Ag nanoparticle rearrangement under ambient atmosphere and consequences for nanoparticle-enhanced DNA biosensing. *Anal. Chem.* 82 (20), 8664–8670.
- Chicco, D., Warrens, M.J., Jurman, G., 2021. The coefficient of determination R-squared is more informative than SMAPE, MAE, MAPE, MSE and RMSE in regression analysis evaluation. *PeerJ Comput. Sci.* 7, e623.