

Taphonomic and diagenetic implications of reduction spot formation in Cretaceous red beds from the Jiaolai Basin, Eastern China

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DOI:

[10.1016/j.jseaes.2022.105533](https://doi.org/10.1016/j.jseaes.2022.105533)

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Document Version

Peer reviewed version

Citation for published version (Harvard):

Fu, W, Turner, P, Clements, T, Spencer, ART, Yu, J, Yang, Y, Guo, B, Ning, Z, Zhuo, Z, Riley, M & Hilton, J 2023, 'Taphonomic and diagenetic implications of reduction spot formation in Cretaceous red beds from the Jiaolai Basin, Eastern China', *Journal of Asian Earth Sciences*, vol. 243, 105533. <https://doi.org/10.1016/j.jseaes.2022.105533>

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1 **Taphonomic and diagenetic implications of reduction spot**
2 **formation in Cretaceous red beds from the Jiaolai Basin, NE**
3 **China**

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23 **Abstract**

24 Green-grey coloured reduction spots are common in continental red beds through
25 geological history and occur in a range of different lithologies and depositional
26 environments, but their timing and mode of formation remain controversial. We
27 investigate the Late Cretaceous to earliest Paleogene Jiaozhou Formation using
28 borehole data from the Jiaolai Basin in Shandong province of northern China, and
29 consider the distribution, morphology, and geochemistry of reduction spots in these
30 continental red beds to evaluate how the reduction spots formed. Here, we report a
31 novel application of three-dimensional X-ray Computed Tomography (XCT) to
32 analyse reduction spot morphology, composition and density. Our data show that
33 individual reduction spots are spheroidal, tubular or irregular shaped, and often
34 contain small, grey, dark brown or black organic cores, referred to as loci. Typically,
35 reduction spots have a similar chemical composition to the host red beds, but with
36 elevated levels of vanadium (Va), lower levels of iron (Fe), and lower density.
37 Isolated, small refractory fossils (e.g., charcoal) in the sediment alongside reduction
38 spots but not within them indicates that microbial decay of organic labile (reactive)
39 tissues in early diagenesis is an important control in reduction spot formation. We
40 propose a new taphonomic model of reduction spot formation: post burial, during the
41 primary sedimentary cycle in the groundwater zone, vanadium is released by
42 intrastratal oxidation of titanomagnetite. Decay of organic matter creates localised
43 reducing conditions resulting in the reduction of Fe^{3+} and the eventual depletion or
44 removal of the resulting Fe^{2+} (altering the colour of the reduction spot).

45 Simultaneously, the reduction of V^{4+} and the consequent lowering of the concentration
46 of V as V^{2+} minerals occur in the reduction spot, explaining their lower density than
47 the host sediment.

48

49 **Keywords:** continental red beds; diagenesis, vanadium, redox, taphonomy, 3D X-ray
50 Computed Tomography analysis

51

52 **1. Introduction**

53 Green-grey coloured reduction spots are common throughout geological history
54 and have been observed stratigraphically from the Mesoproterozoic through the
55 Phanerozoic (see [Turner 1980](#); [Hofman, 1991](#); [Spinks et al., 2010](#); [Table 1](#)). Although
56 they occur in various lithologies and depositional environments, their mode of
57 formation remains controversial ([Turner, 1980](#); [Hofmann, 1991](#); [Parnell, 1985, 1988](#);
58 [Parnell et al., 1987, 2016, 2018](#); [Spinks, 2010, 2014](#)). [Hofmann \(1991\)](#) summarised
59 reduction ‘spheroid’ (referred to here as reduction spot) mineralogy and geochemistry
60 across a range of stratigraphic contexts, and concluded that, despite the variation in
61 age and lithology, reduction spots are similar in terms of their morphology,
62 mineralogy, and geochemistry, potentially indicating a shared mode of formation.
63 Typically, reduction spots cross-cut depositional laminations and bedding which, in
64 combination with their shape and colour variations, suggest that they formed during
65 diagenesis ([Turner, 1980](#)). However, the timing of formation is debated: the
66 spheroidal nature of reduction spots has been used to suggest that they formed after

67 sedimentary compaction, whilst vertically shortened examples may indicate growth
68 prior to compaction (Spinks et al., 2010). Another feature of reduction spots is that
69 many of them have a dark grey-black locus (or core), which has been inferred to be
70 organic matter (OM) (Hofmann, 1990; Yang et al., 2019). Reduction spots tend to
71 mimic the shape of the loci, suggesting a direct relationship between the development
72 of a spot and its locus.

73 What triggers the formation of green-grey coloured reduction spots is
74 contentious. Previously, it has been suggested that organic matter acts as a fuel source
75 for microbial activity, and the decay of organic matter may have triggered localised
76 reducing conditions around these loci points (e.g., Durrance et al. 1978; Turner 1980).
77 However, Hofmann (1993) demonstrated that some reduction spot loci contained the
78 mica roscoelite, which weathers to a dark brown colour (similar to that of organic
79 matter), suggesting the possibility that some loci may not be organic rich. However,
80 the absence of organics does not indicate that organics were never present; Hofmann
81 (1990, 1993) concluded that microbial action was the most likely mode of reduction
82 spot formation (see also Spinks et al., 2010) and that the absence of organic matter in
83 some reduction spot loci could indicate simply that it had been fully consumed by
84 microbial activity (Hofmann 1993).

85 The cause of the colour bleaching of reduction spots is primarily due to the
86 absence of pigmentary iron oxide which gives the surrounding host sediment its red
87 colour. Reduction spots and surrounding sediment contain a wide variety of minerals
88 including roscoelite (V- mica), coffinite (U-silicate), cuprite (Cu-oxide), a variety of

89 nickel (Ni), copper (Cu) and cobalt (Co) arsenides and sulphides, and a wide range of
90 vanadate and uranyl vanadates (Hofmann, 1990; Chong et al., 2019). The origin of
91 these minerals provides clues to the mechanisms of reduction spot formation. Many of
92 these minerals are also present in large scale sedimentary hosted mineral deposits
93 (Rose 1976; Brown et al 2014) for which leaching and transport of metals from host
94 sediment with precipitation under changing redox conditions is a well-established
95 mechanism. However, it is not clear if the formation of reduction spots and larger
96 scale Uranium-vanadium (U-V) mineral deposition are part of a continuous process.
97 Thorson (2004) and Hahn and Thorson (2005) suggested a two-stage process in which
98 leaching occurred prior to a mineralization stage, while Asael et al. (2022) have
99 shown the importance of redox controls at both local and regional scale using Copper
100 (Cu) and lead (Pb) isotopes. These processes include the formation of reducing fluids
101 by the alteration of organic material and the bleaching of formerly red sediments so
102 that they are drab-coloured greens and greys (Barton et al 2018). Sufficiently reducing
103 conditions would cause reduction of U^{6+} to U^{4+} and V^{4+} to V^{2+} ; and in the reduced
104 state these elements are less mobile, and mineralization can occur by combination
105 with other elements. By contrast, the reduction of Fe^{3+} to the more mobile Fe^{2+} would
106 result in the solution and removal of iron and subsequent bleaching of the reduced
107 zone. It has been previously argued that diagenetic alteration of red beds can provide
108 sufficient Cu for stratiform copper mineralization. The solubility of Cu is much
109 increased in the presence of Cl^- rich solutions (Rose, 1976; Rose and Bianchi-
110 Mosquera, 1993) and this could explain the common association of major bleached

111 zones with evaporitic, arid climate deposits. The colour difference from the
112 surrounding red sediment suggests *in-situ* reduction of ferric iron (Fe^{3+}) to ferrous
113 iron (Fe^{2+}) and the dissolution and removal of pigmentary oxides (Sherlock, 1974).
114 Cu^+ in mineral deposits and reduction spots is frequently present as copper sulphide
115 and in large scaled bleached zones the bacterial reduction of sulphate leached from
116 associated evaporites is a likely mechanism of formation. The importance of faults in
117 the migration of reducing fluids in bleached zones has been emphasised previously
118 (Naylor et al. 1989) and described in more detail by Brown (2005).

119 Reduction spots are closely linked to the mechanism of formation of continental
120 red beds and this is linked to palaeoclimate and the accumulation of organic material.
121 In arid climates intrastratal alteration of non-red sediment (Walker et al., 1978) is
122 dominant, but in humid, tropical climates partially reddened clay-rich alluvium is
123 deposited. In the Late Triassic Newark Trough, Van Houten cycles recording
124 lacustrine transgression and regression have colour variations ranging from black
125 (perennial lake) to red (desiccated playa lake). These processional cycles result from
126 the ~20kyr astronomical forcing cycle and now form the basis of the Late Triassic
127 time scale (Olsen and Kent, 1996).

128 Within the continental red-beds of the Late Cretaceous-earliest Paleogene
129 Jiaozhou Formation in the Jiaolai Basin, northeast China, gray-green reduction spots
130 (typically with dark grey-black loci) are common making them an excellent case
131 study for investigating the mode of reduction spot formation. Currently, the mode of
132 reduction spot formation in the Jiaozhou Formation remains enigmatic (Yang et al.,

133 [2019](#)). In this paper we evaluate the formation mechanism of reduction spots in the
134 Jiaozhou Formation from the JK-1 borehole in Shandong Province and consider how
135 this relates to their formation in other geological contexts. We achieve this by (i)
136 reinterpreting the previously identified depositional sedimentary environments by
137 correlating the sediments of the JK-1 borehole with the nearby LK-1 borehole and
138 interpreting lithological and faunal evidence, (ii) evaluating the physical and
139 geochemical properties of the reduction spots and their host sediments, and (iii)
140 proposing a new model for the formation of the reduction spots incorporating
141 formation and eventual discoloration. We also (iv) propose a revised temporal
142 framework for the lower part of the Jiaozhou Formation based upon a re-evaluation of
143 a recently published cyclostratigraphic analysis ([Yang et al., 2021](#)), allowing us to
144 consider the duration of its deposition and rates of change in sedimentary facies.
145 Collectively, our data should help develop our understanding of the formation of
146 reduction spots in continental red beds.

147

148 **2. Geological background**

149 The Jiaolai Basin is an Early Cretaceous composite rift basin with an area of
150 approximately 12,000 km² in the Jiaozhou Peninsula of Shandong Province, northeast
151 China ([Fig. 1a, b](#)). Formation of the Jiaolai Basin is related to subduction and
152 retracement of the palaeo-Pacific plate into the Eurasian plate and the changing
153 direction of their relative movement during the Mesozoic ([Shen et al., 2020](#)). [Li and](#)
154 [Hou \(2018\)](#) identified three phases of stress field orientation during the Cretaceous

155 evolution of the Jiaolai Basin, comprising NE-SW extension in the early Early
156 Cretaceous, NNE-SSW extension in the later Early Cretaceous, and then E-W
157 extension in the Late Cretaceous. The basin is bounded by the Jiaobei Terrane to the
158 north, the NE trending Muping-Jimo Fault to the east, the NNE trending Tanlu Fault
159 to the west (Fig. 1b, c), and the Sulu Ultra-High Pressure (UHP) metamorphic zone to
160 the south (Zhang et al., 2003; Li, et al. 2020). The basin fill comprises Lower
161 Cretaceous sediments of the Laiyang Group and igneous lithologies of the Qingshan
162 Group, and the Late Cretaceous to early Paleocene Wangshi Group (Zhang et al.,
163 2003; Tian et al., 2021). The Wangshi Group is dominated by purple to brick red
164 conglomerate-sandstone-siltstone sets intercalated with marlstone from alluvial fan-
165 fluvial-lacustrine facies, and also includes volcanic rocks. From the bottom to the top
166 the Wangshi Group comprises the Linjiazhuang, Xingezhuang, Hongtuya, Shijiatun
167 and Jiaozhou formations (Zhang et al., 2003; Tian et al., 2021). Zhang et al. (2021)
168 inferred that the sediments of the Jiaozhaou Formation were provenanced from rocks
169 in the Sulu UHP metamorphic zone to the south. Here we focus on the Shijiatun and
170 Jiaozhou formations.

171 The Shijiatun Formation, comprising grey andesites in the lower part and black
172 to greyish-brown basalts in the upper part, interbedded with red sandstones, siltstones
173 and claystones, has been regarded by some authors to be the lowermost member of the
174 Hongtuya Formation that occurred only locally in the Jiaozhou-Zhucheng area of the
175 Jiaolai Basin (Ji, 2017; Tian et al., 2021). However, Ji (2017) and Li et al. (2020)
176 considered it a separate formation restricted to the Jiaozhou-Zhucheng area based on

177 its distinctive features. It comprises approximately 970 m of sedimentary and volcanic
178 rocks that includes three separate eruptive phases intervened by two intervals of
179 clastic deposition.

180 The Jiaozhou Formation is composed of purple, red, and pale green siltstones
181 and claystones with occasional conglomerates and sandstones (Ji, 2017; Tian et al.,
182 2021). Stratigraphically, the Jiaozhou Formation spans the K/Pg boundary, has been
183 identified from gamma ray log (GR) profiles and anomalies in the concentration of the
184 platinum group metal element, Iridium (Ir) (Xu, 2017). Based on this, Yang et al.
185 (2021) used Gamma Ray log data from the JK-1 borehole (referred to in error as the
186 ZK-1 borehole) to establish an astronomical timescale to determine the position of the
187 K/Pg boundary. These studies demonstrate that continuous fluvial and lacustrine
188 deposition occurred through the K/Pg boundary interval (Xu et al., 2019; Yang et al.,
189 2021). The Jiaozhou Formation preserves a rich biota including charophytes,
190 ostracods, and gastropods from shallow lacustrine settings, and sporopollen
191 assemblages derived from terrestrial floras (Du et al., 2020; Li et al. 2020; Tian, et al.
192 2021; Yu et al. 2021). The charophyte flora of the Jiaozhou Formation have been
193 analyzed biostratigraphically by Tian et al. (2021), and include typical Maastrichtian
194 species (*Tolypella grambastii*, *Peckichara praecursoria*, *Microchara cristata*, *M.*
195 *prolixa*, *Lamprothamnium ellipticum*, *Nodosochara (Turbochara) specialis*, and
196 *Lychnothamnus* aff. *vectensis*) and Paleocene species (*Lychnothamnus lanpingensis*), as
197 well as species with ranges that span the boundary (*Chara changzhouensis*). The
198 gastropod *Hydrobia datangensis* indicates a Late Cretaceous age, while the ostracod

199 species *Porpocypris sphaeroidalis* indicates a Paleocene age (Yu et al., 2021).

200 Regarding palaeoenvironmental interpretations, Tan et al. (2019) suggested that
201 the Jiaozhou Formation in LK-1 borehole (614–0 m) consists mainly of floodplain
202 facies purple mudstones, grey-greenish siltstones and sandstones in the lower part
203 (614–452 m), shore-shallow lacustrine, sage-green to purple mudstone in the middle
204 part (452–250 m), and meandering fluvial, upward-thinning sandstones and
205 mudstones with intercalated conglomerates and marlstones in the upper part (250–
206 0 m). Subsequently, Li et al. (2020) divided the Jiaozhou Formation in the LK-1
207 borehole into seven intervals based primarily on lithological associations, in which the
208 interval from 533–499 m is interpreted as shallow lake deposits, the interval from
209 498–426 m are channels and floodplain facies, while the interval of 425–311 m is
210 returned to shallow lake deposits (Li et al., 2020, 2021; Yu et al., 2021). The other
211 parts of the Jiaozhou Formation in the LK-1 borehole lack charophyte flora, which
212 was interpreted to reflect climate cooling (Li et al., 2020, 2021). The K/Pg boundary
213 transition charophyte flora of the Jiaolai Basin are composed of *Nodosochara*
214 (*Turbochara*) *specialis*, which is characteristic of the deeper lacustrine facies, with
215 seven other species, which inhabit mainly shallow lake facies. The Jiaolai Basin is
216 considered to have developed as a high elevation intramontane palaeolake (Tian et al.,
217 2021).

218 In the recently drilled JK-1 borehole, situated 1 km from the LK-1 borehole,
219 reduction spots only occur in a 74 m thick interval at the bottom of the Jiaozhou
220 Formation, situated between basalts of the Shijiatusun Formation and green lacustrine

221 mudstones that span the K/Pg boundary (Yu et al., 2021; Fig. 2). This 74 m interval
222 comprises an alluvial-lacustrine continental red bed sequence (Tan et al., 2019; Li et
223 al., 2020, 2021; Yu et al., 2021; Tian et al., 2021), but detailed sedimentological
224 investigations and palaeoenvironmental interpretations of the JK-1 core, and precise
225 correlation with the nearby LK-1 borehole, are yet to be undertaken. It is also
226 unknown if diagenetic alteration of titanomagnetites from basalts from the Shijiatusun
227 Formation during the primary sedimentary cycle played a role in reduction spot
228 formation as a source of vanadium in the Jiaozhou Formation. A more detailed
229 sedimentological analysis involving the identification of transitional facies, like
230 lacustrine shorefaces, can only be undertaken by consideration of surface outcrops
231 and the 3D geometry of the facies (e.g., Deschamps et al. 2020). Such an analysis will
232 be the focus of future research.

233

234 **3. Materials and Methods**

235 This study is based on analysis of the JK-1 borehole from Jiaozhou City in
236 Shandong Province and its correlation to the LK-1 borehole that is approximately
237 1 km away. The JK-1 borehole (referred to as LX-1 by Yang et al., 2019) is located in
238 the north of Dongxinzhi Village, Jiaozhou City (36°16'39"N; 119°58'06" E) and was
239 drilled by the Shandong Institute of Geological Survey. Borehole LK-1 is located to
240 the west of Beixinzhi Village (36°15'57.98"N; 119°57'10.76"E) and was drilled by the
241 Institute of Geology of the Chinese Academy of Geological Sciences and Shandong
242 Institute of Geology in Jiaozhou (Li, Wang et al., 2016, 2020; Tian et al. 2021; Yu et

243 [al., 2021; Fig. 1](#)).

244 Cores from the boreholes were logged, and lithology, grain size, sedimentary
245 structures (along with a detailed log of the colour variations) were collected. Vertical
246 profiles, coarse grain size, arrangement of facies and associated biota indicate that the
247 sediments were deposited in an alluvial-fan lacustrine environment. The charophyte
248 flora in LK-1 ([Li et al., 2020](#)) indicate the presence of shallow freshwater lakes and
249 minor brackish ephemeral lakes associated with gypsum crusts and calcareous soils.

250 Reduction spots occur from 624–550 m in borehole JK-1 in brick red siltstone to
251 fine sandstone. Samples were collected from 628 m, 624.8 m, 621.4 m, 611.1 m,
252 600 m, and 593.2 m depth and numbered *zk01* to *zk06* respectively. Of the samples,
253 *zk01* to *zk04* did not contain reduction spots and had the suffix *r* added to denote their
254 red colour, while *zk05* contained a few small reduction spots and also had the suffix *r*
255 added to denote its dominantly red colour. Sample *zk06* was divided into two and re-
256 labelled *zk06r* for red sediment and *zk06g* for the green part. In addition, 16 samples
257 containing frequent reduction spots were photographed from 550.8 m to 599 m in the
258 borehole core to analyze their physical features.

259 Samples with reduction spots were prepared by petrographic thin section before
260 being observed under stereomicroscope and transmission polarizing microscopy in the
261 Geological Lab Center at Liaoning Technical University (LNTU). Samples were
262 powdered to 180 μm mesh to analyze clay mineral composition using X-ray
263 diffraction, and to analyze major and trace elements using an Axiosm AX AB104L X-
264 ray fluorescence spectrometer and NexION300D Plasma mass spectrometer. To

265 determine loss on ignition values, individual samples were weighed then heated in
266 crucible from 500°C to 1000°C for 60 mins and weighed again to determine loss.
267 Using the results from the major elemental analysis, we calculated the Chemical Index
268 of Alteration (CIA; Nesbitt and Young, 1982) and the Weathering Index of Parker
269 (WIP; Parker, 1970) to quantitatively evaluate the weathering state of the investigated
270 rock samples. The CIA is calculated as

$$271 \quad \text{Al}_2\text{O}_3 / (\text{Al}_2\text{O}_3 + \text{CaO}^* + \text{Na}_2\text{O} + \text{K}_2\text{O}) \times 100$$

272 while the WIP is expressed as

$$273 \quad (2\text{Na}_2\text{O}/0.35 + \text{MgO}/0.9 + 2\text{K}_2\text{O}/0.25 + \text{CaO}^*/0.7) \times 100$$

274 CaO* in the CIA refers to the calculated calcium content in the silicate fraction
275 (McLennan et al., 1993).

276 Sample *zk06g* was scanned for Micro X-ray Computed Tomography (XCT) using
277 a Zeiss Xradia 510 Versa at the Key Laboratory of the Institute of Geomechanics,
278 Chinese Academy of Geological Sciences, Beijing. This method uses X-rays to collect
279 3D data of the sample's internal structure in which material density differences are
280 reflected in the data by changes in X-ray attenuation. The scan was performed with
281 112 kV voltage, 112 μA current, and an exposure time of 1.5 s. No optical
282 magnification was employed. The resultant 3D data attained a resolution of 22.01 μm
283 per voxel (3D pixel). An image stack containing 1024 16-bit grayscale image slices,
284 each 1024x1024px in size, was created. These were imported into a non-commercial
285 version of Dragonfly (v2021.1; Object Research Systems (OSR) Inc.;
286 <https://www.theobjects.com/dragonfly/>) for 3D volume rendering and analysis. In

287 addition, a second 8-bit version of the dataset was generated with stretched
288 brightness/contrast to aid the 3D surface rendering. First the datasets were cleaned by
289 masking and removal of extraneous noise from outside the specimen. Then five
290 regions of interest (ROIs) were selected and segmented by pixel thresholding of the
291 16-bit data, each representing a different material density range and visualised by a
292 separate false colour. The false colours used are from (lower density) dark blue > light
293 blue > yellow > red (higher density). Statistics from ten selected areas within the
294 specimen were obtained, five from the red sediment and five from the reduction spot,
295 using manually placed 1 mm³ cube-shaped ROIs within the 16-bit data, for which
296 minimum, maximum, mean, and standard deviation of the voxel values were
297 calculated to determine density variations. Video animations of the 3D data were
298 composed from Dragonfly generated images within the open-source software Blender
299 (v3.1; The Blender Foundation; <https://www.blender.org/>).

300 Finally, samples *zk06r* and *zk06g* were broken into parts and the different
301 coloured sediments were analyzed with a FEI Nova Nano SEM450 field emission
302 scanning electron microscope (FE-SEM) at the Analysis and Testing Center of Beijing
303 Research Institute of Uranium Geology. This analysis was to observe surficial features
304 of the samples and to undertake geochemical analysis using the machine's Energy
305 Dispersive Spectrometer (EDS) to identify the chemical composition at specific
306 points.

307

308 **4. Results and interpretation**

309 **4.1. Correlation of the LK-1 and JK1 boreholes and depositional facies**

310 Sedimentary logs of JK-1 and LK-1 boreholes are shown in [Figure 2](#). Correlation
311 between the boreholes is made from the position of the top of the stratigraphically
312 youngest basalt (614 m in LK-1, 628 m in JK-1) which marks the boundary between
313 the Shijiatusun and Jiaozhou formations ([Ding, 2016](#); [Ji, 2017](#); [Li et al., 2018](#); [Wang et
314 al., 2019](#); [Han et al., 2020](#); [Li et al., 2020](#)). The depth of the K/Pg boundary has been
315 identified from the Ir anomaly and GR profile at 523.35m in LK-1, and the GR profile
316 at 537 m in JK-1 ([Xu et al. 2017](#); [Yang et al. 2021](#)). After the volcanism of the
317 Shijiatusun Formation, the sedimentary succession commenced with a high energy
318 alluvial fan facies that changed into lacustrine facies just below the K/Pg boundary.
319 The two episodes of lacustrine facies are typified by mudstones and siltstones in the
320 distal settings and sandstones in more proximal settings ([Fig. 2](#)).

321 Variations in the lithological features allow us to characterise the depositional
322 environments further. Based on grain size trends and sandstone/mudstone ratios, there
323 are clearly differences between the two boreholes. The lower part of the Jiaozhou
324 Formation below the K/Pg boundary is similar in both the JK-1 and LK-1 boreholes.
325 Similarly, the lacustrine interval which spans the K/Pg boundary is of similar
326 thickness and shows a similar profile in both boreholes. Above the K/Pg boundary
327 there are marked differences between the boreholes. In JK-1, the almost 50 m thick
328 alluvial section from 517–463 m is very variable in grain size. The equivalent section
329 in LK-1 from 500–448 m is coarser grained and less variable. Above this level the
330 differences become accentuated even further: JK-1 is represented by finer grained

331 sediments as lacustrine systems become dominant from 463–364 m, whereas in LK-1,
332 coarse alluvial sediments dominate the section. Near the top of the profile (364–324 m
333 in JK-1 and 351–310 m in LK-1) there is a return to more widespread lacustrine
334 conditions. The lacustrine sections in JK-1 are in a more distal position within the
335 depositional basin with finer grained sediments (Fig. 2).

336

337 **4.2. Reduction spot morphology**

338 In the JK-1 borehole, pale green reduction spots occur from 624–550m.
339 Typically, these reduction spots have a dark grey, brown or black locus (or core)
340 surrounded by a ‘bleached’ grey-green outer zone which contrasts sharply with the
341 surrounding host red sediment. Figure 3 shows typical reduction spots including
342 spheroidal (Fig. 3A, 3B), irregular (Fig. 3C–3F, 3H), and tubular (Fig. 3C, 3G, 3I)
343 forms. The largest reduction spot in Figure 3C is irregular but has a tubular part with
344 an elongated locus. In all cases where a locus is visible, the shape of the reduction
345 spot exaggerates the overall shape of its locus, so the reduction spot appears to have
346 developed around its locus. The irregular reduction spot shown in Figure 3C branches
347 and has the appearance of a fossil plant axis or a palaeosol root. The other two
348 reduction spots are tubular and have elongated loci; the overall reduction spot shapes
349 exaggerate the shapes of their loci. Figure 3G shows vertical and near-vertical
350 orientated tubular reduction spots that may represent ‘rhizohalos’ which formed
351 around rhizoliths in palaeosols that developed on floodplains and around lake margins
352 (Trendall et al., 2013). The reduction and removal of iron oxides around rhizoliths is

353 widely reported in palaeosol studies (see [Retallack, 2008](#); [Kraus and Hasiotis, 2006](#)).
354 The spheroidal reduction spots illustrated in [Figures 3A, B](#) do not show visible loci
355 but most likely formed around small fragments of organic material that was
356 incorporated from plant communities on the floodplain and lake margins. It is possible
357 that the loci in some reduction spots may not be visible because the plane of the image
358 does not pass sufficiently close to the center of the spot.

359 Irregular and tubular forms typically have their long axes orientated parallel to
360 bedding ([Fig. 3C, 3I](#)) and have smaller vertical extents suggesting they formed in
361 early diagenesis prior to sedimentary compaction. In the JK-1 borehole, reduction
362 spots range from 0.1 mm diameter spheroids ([Fig. 3A; Table 2](#)) to irregular bodies up
363 to 65 x 40 mm ([Fig. 3C–H; Table 2](#)) in maximum dimension. Unlike larger reduction
364 spots, the smallest ones of less than 0.5 x 0.5 mm diameter do not noticeably affect
365 the overall red colour of the rock ([Fig. 3C, 3E](#)). In many of the reduction spots, the
366 colour is slightly heterogenous with occasional small flecks of red less than 0.5 mm in
367 diameter ([Fig. 3D, 3E](#)).

368 Reduction spots occur in red coloured mudstone, argillaceous siltstone,
369 siltstone and fine sandstone ([Table 2](#)). Measurements taken come from two
370 dimensional planes for which three-dimensional structure is more accurately
371 characterised from X-CT results (see below). Maximum dimensions of reduction
372 spots in mudstones are 22 x 20 mm ([Fig. 4A; Table 2](#)), while in argillaceous siltstone,
373 siltstone and fine sandstone, there are abundant small ones less than 0.3 x 0.3 mm,
374 while the largest are up to 60 x 40 mm in argillaceous siltstone, 65 x 25 mm in

375 siltstone, and 50 x 40mm in fine sandstone (Fig. 4A; Table 2). Spherical reduction
376 spots vary in size from 0.1–40 mm in diameter, while tubular ones from 6–65 mm and
377 irregular ones from 3–50 mm in their maximum dimensions (Fig. 4B; Table 2); there
378 is no consistent relationship of the reduction spot size to shape. Reduction spots with
379 diameters of less than 10 x 10 mm consistently lack distinguishable loci, while larger
380 reduction spots typically have distinguishable loci and occur in argillaceous siltstone,
381 siltstone and fine sandstones (Fig. 4C; Table 2). There is no clear relationship between
382 reduction spot size and how sharp or gradual their boundary is with the surrounding
383 sediment other than those with largest diameters (>40 mm) have sharp rather than
384 gradual boundaries (Fig. 4D; Table 2). Reduction spots with loci and a sharp boundary
385 usually occur in very fine grained lithologies from mudstone and argillaceous siltstone
386 (Fig. 4D), whereas reduction spots in sandstones more often contain gradual
387 boundaries with the surrounding red sediment.

388 Petrographic images through the margin of a reduction spot in a red, fine-grained
389 sandstone are shown in Figure 5A and 5B. Figure 5A shows a stereoscopic image of
390 the very sharp boundary between the reduction spot and host sediment. In the drab
391 area clear quartz grains are completely free of pigmentary iron oxides. The red host
392 rock shows pigmentary grain coatings and the interstitial matrix is also stained red
393 with fine grained iron oxides. Figure 5B shows a thin section photomicrograph of a
394 reduction spot (left) and red host sediment (right). Red pigmentary oxides are
395 interspersed in the matrix and there are also abundant opaque specularite grains that
396 are probably oxidized titanomagnetite. Note that these opaque grains have a strong

397 pigmentary grain coating. In contrast the reduction spot lacks abundant opaque
398 minerals and pigmentary hematite. The sediment is arkosic with abundant feldspar
399 overgrowths.

400

401 **4.3. Fossil composition**

402 In the JK-1 borehole from the 74m interval with reduction spots, plant fossils are
403 typically from 1–7 mm wide and up to 50 mm long with sinuous rather than straight
404 profiles and occasionally branch; these represent roots from palaeosols. Invertebrate
405 fossils are extremely rare in this interval and, where present, are fragmentary, small
406 (typically 1–3 mm) and often unidentifiable. Occasional fossils or fragments of fossil
407 occur without reduction spots enveloping them, including shell fragments and the
408 charcoal fragment shown in [Figure 3I and 3J](#). These organic particles appear to have
409 been inert during diagenesis and did not result in reduction spot formation around
410 them. Variations in the nature of the organic matter in the sediment and its relationship
411 to reduction spot formation is further considered below (see discussion).

412

413 **4.4 Major and trace elements analysis**

414 Major and trace element compositions of green reduction spots and the red host
415 sediments are shown in [Table 3](#) and [Figure 6](#). The overall composition of the samples
416 is very similar to that seen for sandstones in comparable tectonic settings ([Middleton,](#)
417 [1960](#)). There are only minor variations in major elements; the SiO₂ content ($\bar{x} = 52\%$)
418 of siltstone and argillaceous siltstone samples numbered zk04 and zk05 is lower than

419 that of fine sandstone samples zk02, zk03, zk06r and zk06g ($\bar{x} = 64\%$) (Fig. 6A, C).
420 This is consistent with the fact that the finer grained lithologies are more poorly sorted
421 and contain a higher proportion of clay minerals. Al₂O₃, Na₂O, K₂O, MnO, TiO₂, P₂O₅
422 and FeO contents of all samples show little difference (Fig. 6A, C). Sample zk05r
423 contains much more CaO than any other samples, which suggests the siltstone to have
424 a calcium carbonate cement, possibly of pedogenic origin (Fig. 6C).

425 Chemical Index of Alteration (CIA) values varies from 64.9–69.0 except for
426 sample zk05r which is significantly lower at 37.0 (Table 3), while Weathering Index
427 of Parker (WIP) values vary from 36.5–49.8. Both of CIA and WIP results show the
428 siliclastic sediments in the source area have undergone moderate weathering.

429 The total iron content (Fe₂O₃) of the measured samples varies from 1.94 to
430 6.84% and the mean of red samples is 4.8%, a little higher than the overall average for
431 red sandstones which is 1.7–3.5% according to Van Houten (1973). Typically, the
432 amount of total iron increases with decreasing grain size and the average value of red
433 mudstones is between 3.3–4.7%. The data presented here show a strong negative
434 correlation between total iron and ferrous iron. The reason for this is unclear, but it
435 maybe that grain size exerts a strong influence on iron composition.

436 Loss on ignition (LOI) values are shown in Table 3 and Figure 6A. Values range
437 6.65–14.93% ($\bar{x} = 9.42$) and are relatively high. The lowest LOI values occur in
438 sample zk06r (red sediment, 6.65%) and zk06g (green reduction spot, 6.66%), with
439 other samples from the red sediments having significantly higher values than the
440 reduction spots. LOI has been routinely used as a proxy for organic material in soil

441 science (Jensen et al., 2018). These authors showed that the conventional conversion
442 of soil organic carbon = 0.58LOI can be misleading, especially when the clay content
443 is high, such that LOI values by themselves cannot be used to infer organic materials
444 (OM) concentrations. The high values we record might be attributed to a higher clay
445 (montmorillonite) content. The fact that the LOI values in zk06r and zk05g are similar
446 would suggest similar organic carbon contents. Hofmann (1993) noted that the locus,
447 reduction spot body sand host rock in a wide range of reduction spots had very low
448 organic content (0.02% or less) but were always mineralised and characterised by the
449 presence of roscoelite.

450 Comparison of trace elements (Fig. 6B, D) shows that each sample has nearly the
451 same trace element composition except for the elements V, Sr, Ba and Zr, although
452 there are small differences in Sr, Ba and Zr. However, the reduction spot sample *zk06g*
453 has a concentration of up to 512 µg/g and contains almost five times the vanadium
454 content of the other samples that have an average content of 77.56 µg/g. The average
455 content of vanadium in the Earth's crust is approximately 135–140µg/g, while it is
456 approximately 130 µg/g in shales and 20 µg/g in sandstones and carbonate rocks (Li,
457 1976; Mason and Moore, 1982; Tian and Zhang, 2016). Therefore, the vanadium
458 content in the reduction spot sample *zk06g* is anomalously enriched. Vanadium
459 enrichment in drab zones and reduction spots has been described by multiple authors
460 (Turner, 1980; Hofmann, 1991).

461

462 4.5 X-ray diffraction analysis

463 Samples *zk03r*, *zk05r* and *zk06r* (red beds) and *zk06g* (reduction spot) were
464 analyzed by X-ray diffraction to identify their mineral compositions to consider if
465 there is a difference between the reduction spots and host red bed sediments (Fig. 8).
466 Results indicate similar mineral compositions in the host red sediment and reduction
467 spots, comprising quartz, feldspar, mica, montmorillonite, maghemite and harmotome.

468 The overall mineral composition of the Jiaozhou Formation is comparable to
469 many other continental red beds, especially those composed of first cycle alluvium
470 (Suttner and Dutta, 1986) with quartz, feldspar and mica, the main framework
471 constituents. The iron oxide maghemite (oxidized titanomagnetite) and the barium
472 zeolite mineral harmotome may represent minor detrital components derived from the
473 intercalated basalts; harmotome is normally associated with higher temperatures and
474 is most commonly found in basaltic rocks. The swelling clay montmorillonite forms
475 as a result of intrastratal alteration of feldspars and ferromagnesian silicates (Walker,
476 1976; 1978) and represents cements formed during diagenesis. During burial
477 montmorillonite is transformed into illite (Pytte and Reynolds, 1989). This transition
478 has widely been used as a geothermometer (Pollastro, 1993) with the onset of
479 transition starting at about 100°C closely coincident with the start of petroleum
480 generation. The XRD results indicate that montmorillonite (smectite) is the dominant
481 clay mineral. On this basis we conclude that the rocks have not been deeply buried or
482 subjected to petroleum generation. Although we are not able to identify the mineral
483 from whole rock XRD data, the data do show a small peak at $9^{\circ} 2\theta$ which would
484 correspond to the 001 reflection of roscoelite (Fig. 8).

485

486 **4.6 3D X-ray Computed Tomography (XCT)**

487 Sample *zk06g* including a spherical reduction spot with dark locus in red
488 sediment was observed under 3D XCT to analyse three-dimensions density variations
489 in its internal structure. Results from the XCT data surface rendering (Fig. 9A–C),
490 relative density false colour segmentation (Fig. 9D–F) and combination of the surface
491 rendering and false colour segmentation (Fig. 9G–I) all enable the boundary of the
492 reduction spot to be distinguished from the surrounding sediment. The CT rendering
493 (Fig. 9A–C) allows the external surface of the specimen to be visualised, with the
494 reduction spot visible as a darker zone compared to the surround red sediment. This
495 distinction is more visible in the false colored segmentations (Fig. 9D–F), where
496 discrete ranges of different relative density material, from lower to higher density, are
497 shown as: dark blue > light blue > green > yellow > orange > red. The sharp boundary
498 of the reduction spot can be readily identified from the false colour images due to its
499 general relative low-density reflected by its overall blue colour, whereas the
500 surrounding sediment has an overall orange colour (Fig. 9 D–F) depicting relative
501 higher-density composition. The reduction spot also has a lower frequency of relative
502 high-density contents compared to the surrounding sediment, and these are typically
503 of smaller size (Fig. 9D–F). The combined CT rendering and false colour overlays
504 (Fig. 9G–I) show the same as the separate lines of evidence but make it easier to
505 identify the position individual minerals on the surface of the specimen.

506 Analysis of the ten manually placed regions of interest in the sample show the

507 mean 16-bit grayscale pixel value, which equate to relative density. For the five values
508 from the reduction spot this is 8359.9, whereas the mean of the five values attained
509 from the red sediment is approximately 4.1% higher at 8704.8 (Table 4).

510 Small, irregular and high-density features occur throughout the sample in both
511 red sediment and reduction spots, but this method does not permit their composition
512 to be identified with certainty. The high-density objects shown in red in the false
513 colour model may represent metallic-rich minerals of detrital origin or organic tissues
514 that have decayed and been mineralized by vanadium or other transition metals. The
515 relative mid-density features in orange are smaller and appear much more frequent in
516 the red sediment than within the reduction spot.

517

518 **4.7 Energy Dispersive Spectrometry (EDS)**

519 The positions of Energy Dispersive Spectrometer (EDS) point analyses are
520 shown in Figure 10 and the corresponding results in Table 5. Samples P7, P10 and
521 P11 (Table 5) are from the reduction spot loci whilst P17, P18 and P19 are from green
522 reduction spot bodies. Two of the sample points P3 and P5 show higher proportions of
523 iron (78.7–79.8%) and chromium (17.5–18.1%), possibly indicating the presence of
524 chromite (FeCr_2O_4), most likely of detrital origin.

525 The composition of samples from the reduction spot loci and bodies are broadly
526 similar with only minor differences between silica, magnesium, sodium, and calcium
527 (Table 4). There are however important differences between potassium (0.85% vs
528 1.51%), aluminium (10.63% vs 8.44%), iron (0.85% vs 1.17%) and vanadium (0 vs

529 1.51%) (spots P3 and P5 are excluded from the mean calculation). These data lend
530 further support to the idea of redistribution of elements during reduction spot
531 formation. It suggests to us that whilst vanadium was originally sequestered by
532 organic material in the loci of the reduction spot, as the organic material was
533 metabolized V was released back into the system along with other elements including
534 potassium and iron. During subsequent diagenesis it may well have been incorporated
535 into other inorganic minerals. Although not identified in our XRD data, probably
536 because of limitations on the detection limits, it seems likely that the V may be
537 present in the reduction spots as roscoelite ($K(V^{3+}, Al.Mg)_2 AlSi_3O_{10}(OH)_2$).

538

539 **5. Discussion**

540 **5.1. Palaeoenvironment of red beds in the Jiaozhou Formation**

541 Based on charophyte distributions in the Jiaozhou Formation, [Li et al. \(2020\)](#)
542 thought most of the water bodies were freshwater lakes, although there was evidence
543 for subordinate brackish water conditions. The occurrence of gypsum crusts and
544 calcareous palaeosols in LK-1 is consistent with this interpretation ([Li et al., 2020](#)).

545 Our data indicate that the late Cretaceous red beds of the Jiaozhou Formation
546 formed in a humid, warm, oxic, continental freshwater environment; most likely an
547 alluvial-fan lacustrine environment. Below we consider the evidence for this
548 interpretation. The colour of modern alluvial sediments in humid tropical climates has
549 been described in detail by van Houten (1973) and Walker (1974). They are mainly
550 greyish brown, brown or yellowish brown in colour and contain abundant hydrated

551 iron oxides. Colour variations are noted relating to the degree of pedogenesis on
552 alluvial floodplains. The red colouration is produced by the aging of hydrated iron
553 oxides and the formation of hematite during diagenesis and pedogenesis. The late
554 Cretaceous red beds of the Jiaozhou Formation comprise first cycle detritus supplied
555 by the high-grade metamorphic rocks and Cretaceous igneous lithologies of the Sulu
556 Orogen and the basic igneous lithologies underlying the formation. Furthermore, the
557 influx of terrigenous sediment also introduced allochthonous labile and refractory OM
558 (discussed below). The presence of occasional gypsum crystals indicates periods of
559 periodic evaporation, in which plants colonized the sediment, developing root
560 complexes, and eventually calcareous palaeosols. Continued high sedimentation rates
561 would have led to these palaeosols becoming inundated in turn.

562 The sandstones show dissolution and alteration of feldspars and ferromagnesian
563 silicates with the precipitation of authigenic quartz, clay minerals, feldspars and iron
564 oxides. The presence of finely crystalline hematite on detrital grains is consistent with
565 a diagenetic origin of the red colour ([Walker, 1967, 1974, Turner, 1980](#)), however, we
566 cannot rule out the presence of detrital ferric hydroxides. The clear implication is that
567 the sediment was non-red at the time of deposition and has become red during burial
568 and diagenesis.

569 Sr/Ba and Fe/Mn ratios and MnO₂ content can reflect the palaeosalinity of
570 sedimentary environment ([Liu, 1980](#)). All the samples from the JK-1 core have Sr/Ba
571 <0.6, Fe/Mn>5, and the average content of MnO₂ is 0.00052 indicating that the
572 clastic rocks formed in continental freshwater sedimentary environments (e.g. Turner,

573 1980).

574 The ratios of Cu/Zn, (Cu+Mo)/Zn, and Sr/Cu can indicate redox conditions in
575 sedimentary environments (Hallberg et al., 1976). In the JK-1 borehole, the red beds
576 formed in an oxidizing environment with high temperature because the ratio value of
577 Cu/Zn and (Cu+Mo)/Zn were less <1 and value of Sr/Cu were close to and slightly
578 higher than 10.

579 Quality fraction of oxides and the corresponding ratio of K₂O/Na₂O plotted
580 against SiO₂/Al₂O₃ (Fig. 7a) shows that samples from the JK-1 borehole formed in an
581 active continental margin setting (Roser and Korsch, 1986). Composition of the trace
582 elements La, Th and SC in sandstone, plotted in a ternary diagram (Fig. 7b), shows
583 that red beds have composition similar to those from active continental margins
584 settings and formed related to volcanism (Mao and Liu, 2011; Tian and Zhang, 2016;
585 Fig. 7b).

586

587 **5.2. Origin and nature of organic matter in the Jiaozhou Formation**

588 In the Jiaozhou Formation, organic matter (OM) is abundant and derived from
589 organisms living in the alluvial to lacustrine environments including terrestrial and
590 aquatic plants, wind- and water-borne pollen and spores, invertebrate and vertebrates.
591 In the JK-1 core, the OM comprises fine-grained, amorphous particles that have been
592 reworked and distributed by sedimentary processes, and larger (mm-cm diameter)
593 carbonaceous plant axes and roots from palaeosols, as well as occasional charcoalified
594 plant matter. From the LK-1 borehole Li et al. (2020) and Tian et al. (2021)

595 documented charophyte and gastropod assemblages, with charophytes restricted to
596 aquatic environments while gastropods are vagrant and can live in water and damp
597 terrestrial conditions. In the JK-1 borehole, reduction spots formed over a relatively
598 narrow stratigraphic interval in the basal 74 m of the Jiaozhou Formation spanning an
599 estimated duration of 0.45 Ma (Fig. 2). During this interval, palaeosol development
600 indicates a hiatus in sedimentation for plants to grow, increasing the concentration of
601 OM as rootlets and litter.

602 The survival of plant matter in the Jiaozhou Formation is unsurprising but it only
603 occurs within reduction spots. Plant tissues, especially those that provide structural
604 support (i.e. contain cross-linked macro molecules such as lignin) may be
605 taphonomically recalcitrant and geologically stabilized during diagenesis ([Briggs,](#)
606 [1999](#)). Similarly, as products of wildfire, charcoalfied plant tissues (= the coal
607 maceral inertinite) are refractory and do not undergo decay post-mortem during burial
608 and early diagenesis due to high carbon content (e.g., [Scott, 2010](#)). However, non-
609 plant OM in the Jiaozhou Formation is often difficult to identify, most likely due to
610 the taphonomic processes that occurred pre and post burial. Labile organic
611 components (e.g. non-biomineralised, soft tissues such as skin, internal organs, hair,
612 feathers) rapidly undergo post-mortem decay from microbial activity either before or
613 during burial or early diagenesis (e.g., [Brenchley and Harper, 1998](#); [Tyson, 1995](#)). In
614 contrast, refractory organic components comprising organic hard parts containing
615 collagen and inorganic minerals, including calcium phosphate (e.g., vertebrate bones,
616 teeth, scales), calcite, or aragonite (e.g., mollusc shells) are more recalcitrant,

617 decaying at a slower rate (Brenchley and Harper, 1998, Tyson, 1995). The principal
618 abiotic process of collagen breakdown is hydrolysis, which may occur alongside
619 microbial degradation. Demarchi et al. (2016) identify the major control on collagen
620 preservation in porous minerals to be the surface binding of the component collagen
621 peptides to the mineral skeleton, which stabilizes both the peptides and the water in
622 contact with them. They suggest that collagen under these conditions may remain
623 substantially intact for millennia. Consequently, the release of collagen from
624 refractory organics due to hydrolysis is extremely slow, which suggests that the
625 concentrations of organic material produced abiotically from a refractory organic
626 would be unlikely to build up sufficiently to generate reduction spot loci, which is
627 consistent with the absence of refractory organics in the reduction spots seen in our
628 data. Acidic burial conditions and microbial metabolism will cause mineral
629 dissolution and exacerbate the speed of collagen break down (Collins et al. 1995,
630 2003; Collins and Riley, 2000). The abiotic breakdown of collagen is also temperature
631 dependent, with degradation rates increasing at higher temperatures (Collins et al.
632 1995) and higher temperatures also increase the rate of degradation due to microbial
633 action (Briggs and Kear 1993b). The breakdown of organics by microbial action
634 would alter the geochemistry of the surrounding sediment and would be the potential
635 trigger/driver to the formation of reduction spots. A potential model for the formation
636 of these reduction spots is discussed below.

637

638 **5.3. Source of vanadium**

639 The origin of vanadium is unknown but is likely to have been derived from the
640 underlying basic igneous lithologies either through the weathering and its absorption
641 into groundwater, or as detrital grains in the first alluvial cycle. It is likely to have
642 been ubiquitous in the interstitial water through the sediment but in very low
643 concentrations as suggested by its low concentration in the sedimentary red beds
644 (Tables 3, 4). Vanadium is concentrated and precipitated in reduction spots as outlined
645 below.

646

647 **5.4. Model for reduction spot formation in the Jiaozhou Formation**

648 A model showing the formation of the reduction spots is shown in Figure 11.
649 After burial, labile OM decays in the sediment under aerobic conditions (Stage 1 in
650 Figure 11). Microbial metabolism of labile tissues would use, and deplete, the
651 available free oxygen from porewater, while increasing levels of waste bi-products
652 including CO₂ (aq), H₂SO₄, as well as liberating organic ligands from the OM, such as
653 fatty acids, (see Briggs and Kear, 1993a, 1993b). The release of these metabolic bi-
654 products would alter the geochemical conditions directly around the OM, creating
655 localised microenvironments that were oxygen depleted, acidic, and increasingly
656 reducing (e.g. Sagemann et al. 1999; Raiswell and Fisher 2000) (Stage 2 in Figure
657 11). At this stage the microbial communities would begin to use iron oxides as a
658 respirant and the migration of released iron would be set in place. Lentini et al. (2012)
659 have shown that iron-reducing bacterial communities are influenced by the oxide
660 mineralogy and the nature of the carbon substrate. The hydrated oxides ferrihydrite

661 and goethite are more reactive than hematite. Lentini et al. (2012) showed that only
662 ferrihydrite was reduced in the presence of acetate, but when glucose and lactate were
663 available goethite and hematite were also reduced. This change coincided with the
664 presence of *Desulfohalobium* spp. and *Enterobacter* spp. indicating the presence of
665 sulphate reduction and fermentation processes. Information on the range of microbial
666 groups and their activity with different carbon sources is described by Su et al. (2020).
667 As OM consisting of labile tissues was the fuel source for the microbial metabolism,
668 these OM would have, therefore, acted as the locus points for these geochemically
669 distinct microenvironments that typically would have expanded isotropically by
670 molecular diffusion (Stages 3 and 4 in Figure 11). This explains the typical spherical
671 nature of many of the reduction spots observed surrounding smaller fragments of OM.
672 Around larger OM fragments (e.g., plant roots), the reduction spots are larger and
673 often mimic the morphology of the OM – adding further evidence that the OM acted
674 as a fuel source for the generation of microbial-induced microenvironments. In the
675 Jiaozhou Formation, fossil plant remains are only found in association with reduction
676 spots. Conversely, we do not observe reduction spots around refractory OM such as
677 charcoalfied plant matter (fusinite) or non-labile shell material, further strengthening
678 the hypothesis that the microbial metabolism of labile tissues drove the generation of
679 reducing microenvironments within the sediment.

680 Sediment porosity would have been a key factor in limiting the diffusion of decay
681 products away from the OM (see [McCoy et al. 2015](#)). In the Jiaozhou Formation we
682 observe that the boundary of the reduction spot is diffuse in the coarser-grained fine

683 sandstones, whereas the boundaries of these redox zones are much sharper in mud and
684 silt dominated sediments. The spherical nature of many reduction spots suggests that
685 there was little or no flow in the groundwater during their formation; asymmetry or
686 diffusion (and eventually obliteration of the microenvironment) might be envisaged
687 along the primary flow direction if present. In our analysis, irregular shaped reduction
688 spots only developed around irregular shaped fossil plant loci, with reduction spot
689 margins developing uniformly around these irregular shapes.

690 Once the available oxygen in porewater has been consumed, bacterial populations
691 within the sediment would be forced to utilise other oxidants during the breakdown of
692 OM (Allison, 1988). In terrigenous sediment with ample supply of Fe, it is highly
693 likely that within the localised anaerobic reduction zone, Fe was utilised by the bacteria
694 as an electron acceptor. Furthermore, it is possible that as the iron oxides are reduced,
695 the Fe²⁺ is more mobile and may have diffused away from the reduction spot further
696 depleting the microenvironment around the OM. This would explain the lower
697 relative density of the reduction spots in relation to the surrounding red beds which
698 would have higher proportions of dense pigmentary iron oxides including hematite
699 (see XCT results).

700 As the pore water becomes more reduced, the vanadium and is fixed in
701 association with the OM and changes valency from V⁴⁺ to V²⁺. It should be noted that
702 anaerobic bacteria often show anaerobic plasticity, and that some species of vanadium
703 reducing bacteria have been identified (Myers *et al.* 2004). This results in a reduction
704 spot with elevated V concentrations compared to the host sediment. The form in

705 which the V is present is not known; we have not identified any of the common V-
706 bearing minerals such as roscoelite.

707 The microenvironments around the OM would have been sustained until the OM
708 fuel source was exhausted — as can be potentially identified by our LOI values but
709 agreeing with the low OM concentrations in reduction spots reported by [Hofmann](#)
710 [\(1993\)](#), or until conditions became unfavorable for bacterial activity to continue. Our
711 observations of smaller reduction spots without OM loci could represent an exhausted
712 fuel source.

713 Finally, as the sediment became buried, compacted, and moved into early
714 diagenesis, the localised sediment surrounding OM became green due to the removal
715 of Fe and the fixation of V and U, while the main sedimentary matrix became red as
716 iron oxide precipitated. Where reduction spots have very sharp boundaries (e.g., [Fig.](#)
717 [5A–C](#)) it indicates the maximum extent or outer limit of the removal of pigmentary
718 iron oxides. The fate of the iron removed in this way is not clear, but it is plausible
719 that it has been used as a metabolic agent for anaerobic bacterial metabolism ([Berner,](#)
720 [1981](#); [Allison, 1988](#)). It would depend on the chemical conditions of the reducing
721 fluid, not least the presence of Cl⁻ which would increase the solubility of iron. Iron
722 oxide would be reprecipitated as soon as oxidizing conditions return, for example as
723 small Fe-Mn nodules as seen in some Triassic palaeosols ([Trendall et al., 2013](#)), or it
724 could become incorporated into other authigenic minerals which might be forming at
725 the same time e.g. as newly formed pigmentary hematite.

726

727 **6. Conclusions**

728 (1) The palaeoenvironment of the latest Cretaceous continental red beds from
729 the basal part of the Jiaozhou Formation in the Jiaolai Basin, North China, is
730 reinterpreted as high energy alluvial fan and fan delta facies that changed into
731 lacustrine facies just below the K/Pg boundary.

732 (2) In the Jiaozhou Formation, reduction spots formed in the first alluvial cycle
733 around fossil plant tissues in palaeosols during early diagenesis. The geochemical data
734 from reduction spots is consistent with the migration of Fe^{2+} from the reduction spot
735 into the surrounding sediment. Subsequent oxidation would likely enhance its red
736 colouration. Vanadium is strongly enriched in the reduction spot loci due to
737 incorporation of VO^{2+} in organic compounds, thereby contributing to the dark colour
738 of the reduction spot loci .

739 (3) In the past there has been disagreement on how continental red beds became
740 red, with different studies suggesting it was either from incorporation of oxidized,
741 reddened alluvial sediments, or through *in-situ* intrastratal alteration during
742 diagenesis. In the Jiaolai Basin the sharp colour contrast between the grey-green
743 lacustrine and red alluvial sediment shows that there was an important depositional
744 control. Our observations of intrastratal oxidation of silicates and titanomagnetites
745 shows that both processes were operative and provided a complex environment in
746 which the reduction spots formed.

747 (4) X-CT analysis reveals reduction spots have a lower relative density,
748 presumably resulting from the migration of Fe from the reduction spot to the

749 surrounding sediment where it deposited as thin coatings of pigmentary iron oxides on
750 mineral grain surfaces during diagenesis.

751 (5) We develop a four-stage taphonomic model for the formation of reduction
752 spots in the Jiaozhou Formation. Microbial metabolism of labile fossil components
753 generated localized geochemical conditions that reduced Fe^{3+} into the more mobile
754 Fe^{2+} , which would be depleted or removed via continued metabolism or diffusion,
755 while simultaneously the reduction of V^{4+} to V^{2+} reduced the density of the reduction
756 spots. This process would also change the colour of the spots into the grey-green hue.
757 Meanwhile refractory fossils within the sediment, such as charcoal, could not be
758 utilized by microbial activity, which explains why these fossils do not act as loci for
759 the reduction spots.

760

761 **Acknowledgments**

762 This research was financially supported by the Liaoning Provincial Department
763 of Education Youth Foundation (Grants LJ2017QL027), China Scholarship Council
764 State Scholarship Fund (Grant 201908210471), Shandong Provincial Key Laboratory
765 of Depositional Mineralization and Sedimentary Minerals Opening Foundation
766 (Grants DMSM201405), Shandong Geological Prospecting Project (Grant
767 Lukan2021-1), and National Science Foundation of China (Grant 41472092). TC is
768 funded by a Leverhulme Early Career Fellowship (ECF-2019-097). We thank
769 Engineers Kemin XU and Zhigang ZHANG (Shandong Institute of Geological
770 Survey) for sampling assistance, Junhu WANG (Beijing Research Institute of

771 Uranium Geology) and Shengxin LIU (Key Laboratory of the Institute of
772 Geomechanics, Chinese Academy of Geological Sciences) for sample analysis
773 assistance. This manuscript was improved by editorial recommendations from A. E.
774 Radwan and MeiFu Zhou, and two anonymous reviews.

775

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1037

1038 **Figure captions**

1039

1040 **Figure 1.** Location maps showing position and the Jiaolai Basin. **A**, Map of northeast
1041 China, Mongolia and Russia. **B**, Enlargement of boxed area from A showing major
1042 tectonic units in northeast China. **C**, Enlargement of boxed area from B showing the
1043 Jiaolai Basin and position of the studied boreholes (modified from [Han et al., 2020](#)).

1044

1045 **Figure 2.** Correlated sedimentary logs from the JK-1 and L-K1 boreholes in the
1046 Jiaolai Basin showing lithostratigraphy, Gamma Ray (GR) log, cyclostratigraphy
1047 based on GR values, sedimentary facies and faunal distributions; charophyte and
1048 gastropod ranges from the LK-1 borehole based on [Yu \(2021\)](#). M - Mudstone; SM -
1049 Silty Mudstone; AS - Argillaceous Siltstone; Sil - Siltstone; Sst - Sandstone; Cg/Ba -
1050 Conglomerate or Basalt

1051

1052 **Figure 3.** Example reduction spots and fossils on bedding planes of the JK-1 borehole
1053 in the Jiaolai Basin. **A**, Small spheroidal reduction spot with sharp boundary
1054 containing occasional flecks of red sediment. **B**, Small spheroidal reduction spot near
1055 a larger reduction spot each. **C**, Dark red sedimentary matrix with longitudinally
1056 elongate reduction spots surrounding elongate loci that comprise fossil plant roots
1057 oriented parallel to bedding. **D–F**, Variations in irregular reduction spot morphology
1058 cross-cutting bedding and with occasional red inclusions. **G**, Elongate, tubular
1059 reduction spots with thin, tubular cores oriented vertically and near-vertically in the

1060 sediment most likely representing palaeosol roots. **H**, Reduction spots in vertically
1061 split core showing intricate and irregular nature that cross-cuts bedding. **I**, Bedding
1062 plane showing large, tubular reduction spot with elongated black locus, and several
1063 smaller, isolated, spheroidal reduction spots. **J**, Enlargement from **3I** showing sharp
1064 reduction spot margin with adjacent white gypsum crystal and isolated, black,
1065 refractory fossil charcoal fragment that each lack enveloping reduction spots.

1066

1067 **Figure 4.** Reduction spots characteristics. **A**, Distribution of diameters with the
1068 lithology; **B**, Distribution of diameters with the shape of reduction spots; **C**,
1069 Distribution of diameters with the cores contained in reduction spots; **D**, Distribution
1070 of diameters with the different boundary between reduction spots and red beds;

1071

1072 **Figure 5.** Details of the boundary between reduction spots and host sediment. **A**.
1073 Stereoscope image showing the clear boundary between the reduction spot and
1074 surrounding host red sediment. **B**. Thin section photomicroscope illustrating the
1075 differences in opaque and pigmentary iron oxide content between host red sediment
1076 and reduction spot. Opaque specularite grains with pigmentary grain coatings are
1077 abundant in the red host sediment. Specularite grains are less abundant and much
1078 smaller in the reduction spot area. **C**. Detailed thin section photomicroscope
1079 illustrating the sharp boundary (dashed yellow line) of the red sediments with
1080 abundant pigmentary hematite coating grains and the reduction spot with no
1081 pigmentary hematite.

1082

1083 **Figure 6.** Elemental composition of reduction spot and surrounding sediments. **A,**
1084 Major element content of samples in JK-1 borehole; **B,** Trace element content of
1085 samples in JK-1 borehole; **C,** Major elements in from sediment and reduction spots in
1086 the JK-1 borehole showing overall similar compositions. **D,** Trace element
1087 composition from the JK-1 borehole showing elevated Vanadium (V) and Barium
1088 (Ba) and depleted Cadmium (Cd) concentrations. **E,** Trace element composition in the
1089 JK1 borehole compared with reduction spots from Colorado (Hofmann, 1991) that
1090 also show elevated Vanadium (V) but at significantly higher concentrations.

1091

1092 **Figure 7. A,** $K_2O/Na_2O-SiO_2/Al_2O_3$ diagram plotting samples from the JK-1 borehole
1093 (empty circles) against lithologies typical of continental island arcs (I), oceanic island
1094 arcs (II), active continental margin (III) and passive continental margin (IV). **B,** La-
1095 Th-Sc discrimination diagram plotting samples from the JK-1 borehole (cope circles)
1096 with sediments from passive continental margins (A), sediments related to magmatic
1097 arcs (B), ocean island alkaline basalt (C), and shale sediments in post-Archean
1098 Australia (D) (modified from [Mao and Liu, 2011](#); [Tian and Zhang, 2016](#)).

1099

1100 **Figure 8.** Whole rock X-ray diffraction analysis results of samples from red host
1101 rocks compared with a green reduction spot. Harmotome and maghemite are largely
1102 absent in the green reduction spot and there is a notable reduction in the amount of
1103 montmorillonite. The results are consistent with those from the XCT.

1104

1105 **Figure 9.** 3D X-ray Computed Tomography (XCT) analysis of sample *zk06g* showing
1106 relative density differences in the sample. Three views are shown, each rotated in a
1107 vertical plane ~45 degrees apart in a clockwise direction from left to right (e.g., D to E
1108 to F; white arrows represent the same features across views). Each view has three
1109 corresponding but distinct data visualizations (View 1 = A, D, G; View 2 = B, E, H;
1110 View 3 = C, F, I). First visualisation **A, B, C** shows the surface rendering of the CT data
1111 after converting and stretching to the 8-bit pixel range (corresponding grayscale scale
1112 given on right). The second **D, E, F** shows the false colour volume rendering based of
1113 the raw unaltered 16-bit CT data (corresponding colour scale given on right). The
1114 third **G, H, I** shows a combination of the previous two visualisations, overlaying the
1115 false colour data on the 3D geometry of the sample. In all views the boundary of the
1116 reduction spot and red sediment can be readily distinguished (dotted line) and is most
1117 clearly delimited in false colour images in which the reduction spot has a lower
1118 relative density (blue), contains fewer high relative density (red) features, and the
1119 contains fewer and smaller mid-high relative density orange features. The specimen
1120 does not contain the center of the reduction spot to identify the core but contains a
1121 large, rhomboidal, relative mid-density (yellow orange) crystal (labeled as X, in C, F,
1122 and I). This is particularly apparent in false colour image (F) were it stands out from
1123 the low-density background of the reduction spot. This method does not permit the
1124 density to be quantified in absolute nor the composition of the different density
1125 materials to be identified. Note that the 1cm scale bar (bottom right) is an indication

1126 of scale in the foreground only due to the orthographic projection of 3D data onto a
1127 3D plane.

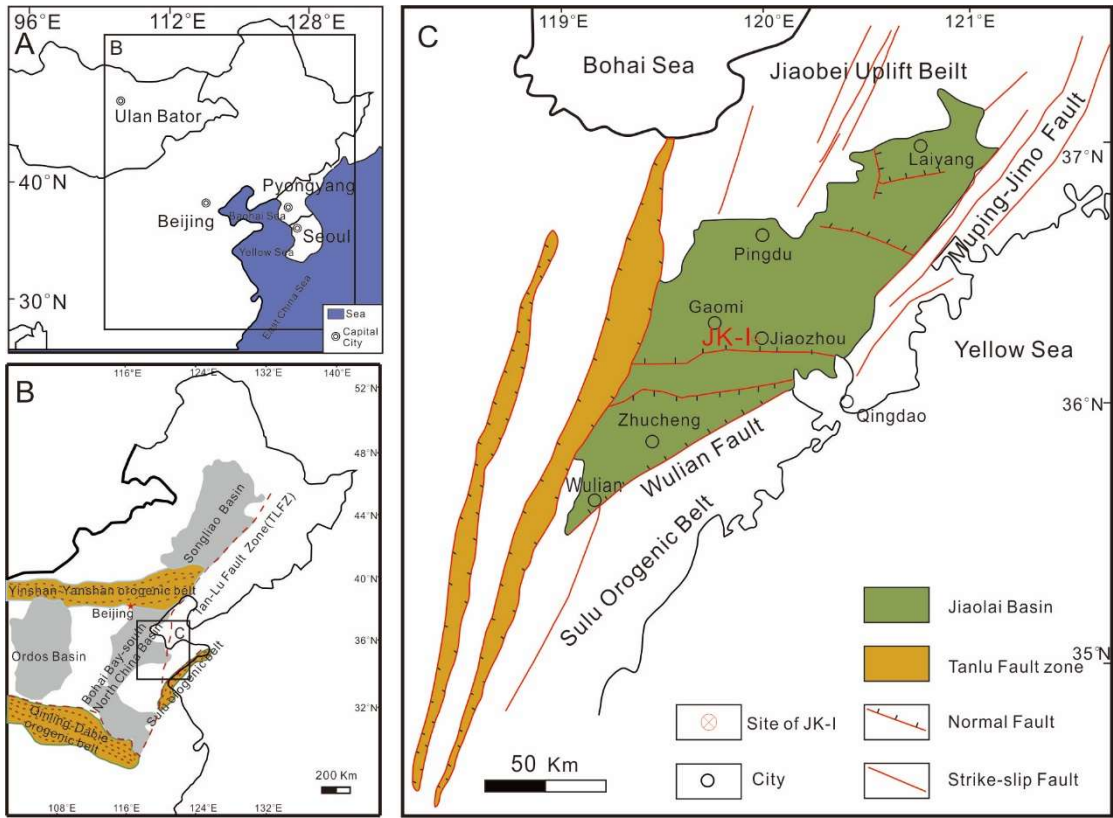
1128

1129 **Figure 10.** Back scattered electron (BSE) analyses of reduction spots and black core
1130 from the sample zk06g. A. P17, P18 and P19 located in the green reduction spot. B.
1131 P7, P10, P11 in the black core area. C, D, E show analyses for the green reduction spot
1132 with the presence of vanadium. **D, E, F** show analyses from the black core area
1133 showing the absence of vanadium

1134

1135 **Figure 11.** Model for the formation of reduction spots in Cretaceous red beds in the
1136 Jiaolai Basin, China. Abbreviations: Eh=+ve = positive activity of electrons; VO =
1137 Vanadium oxide.

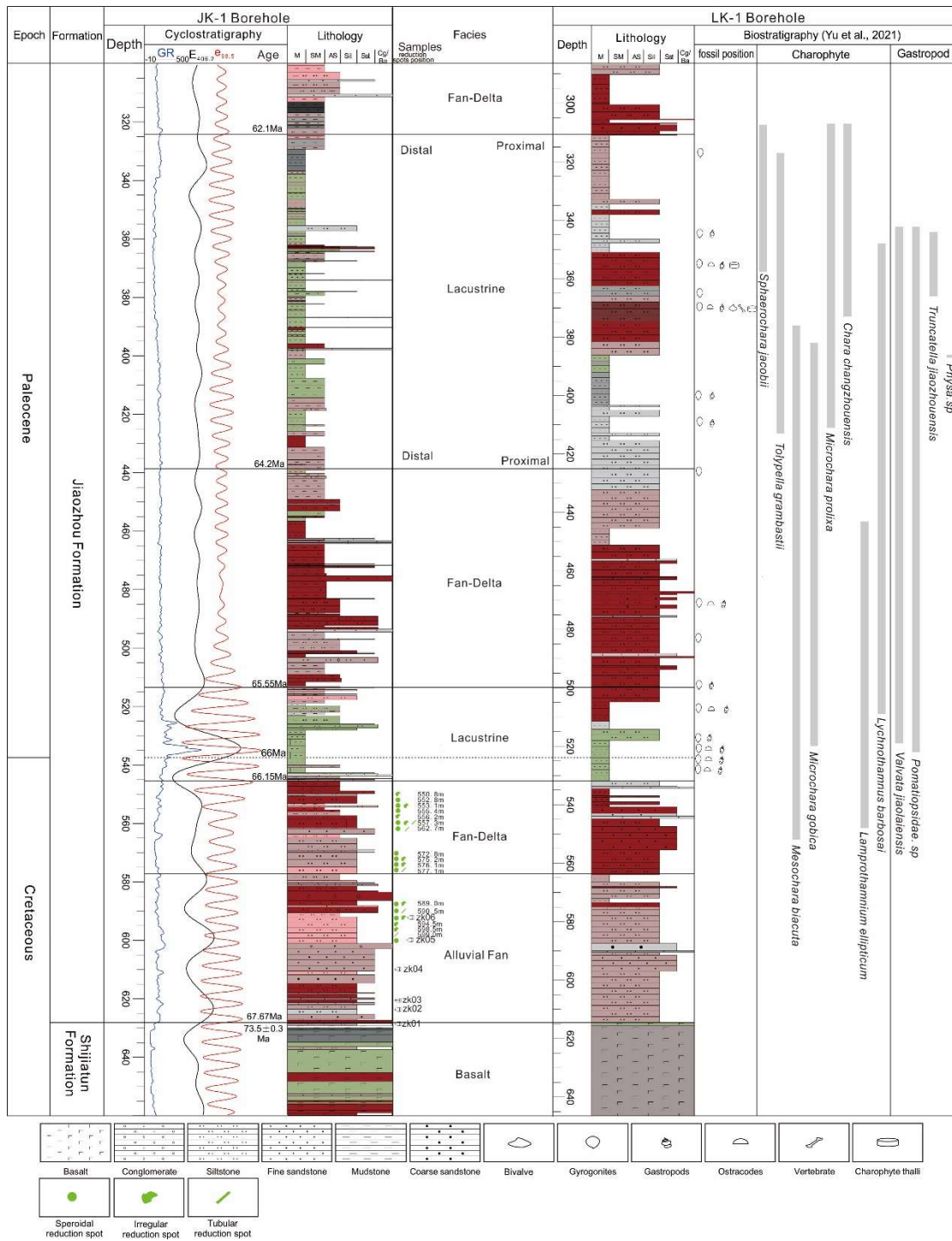
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1139

1140 Figure 1

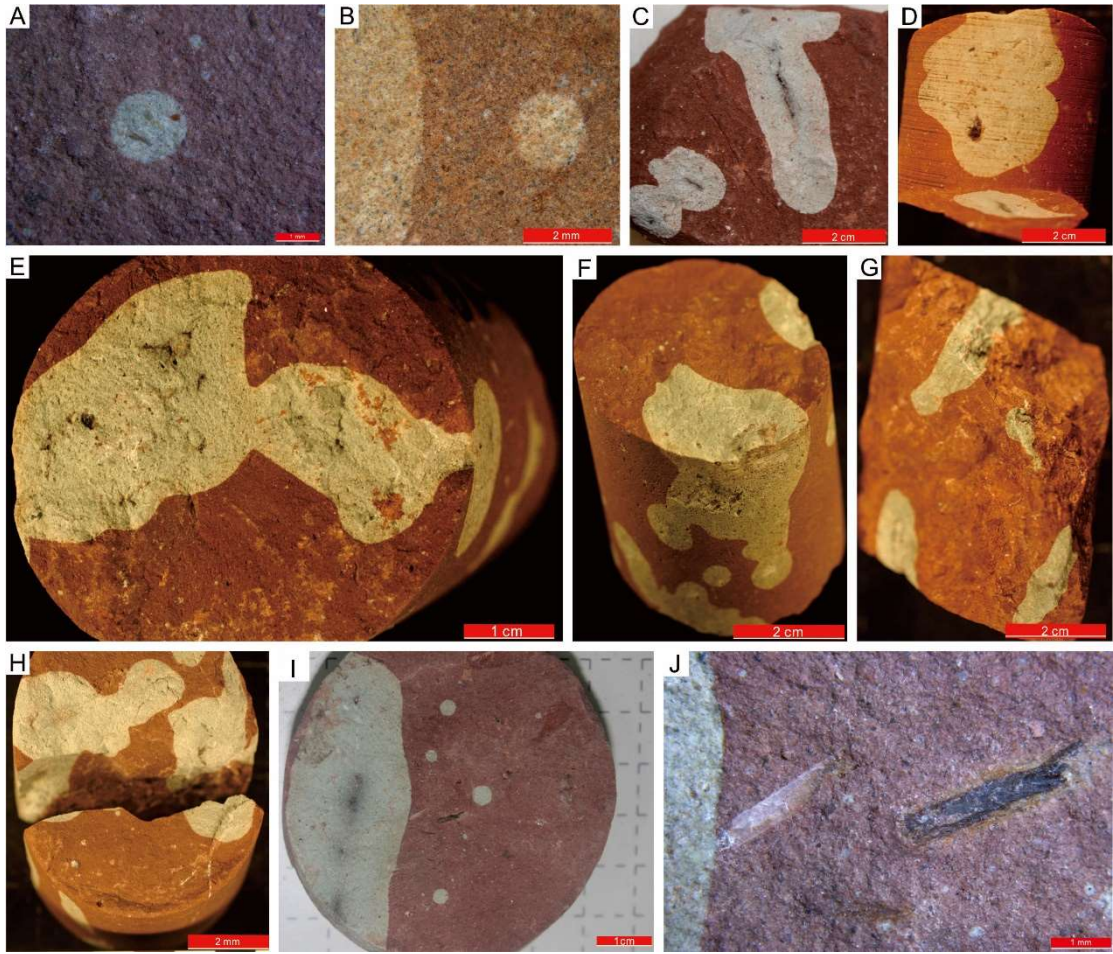
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1142

1143 Figure2

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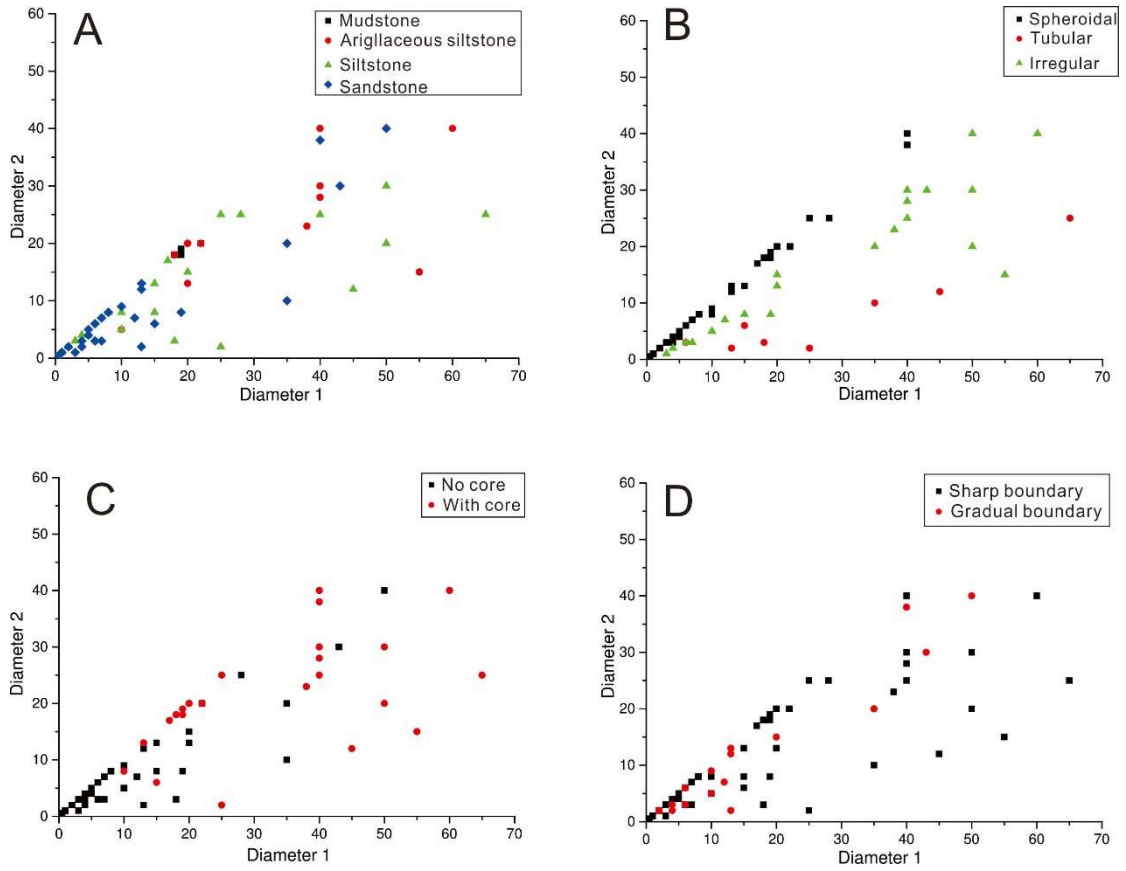


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1146 Figure 3

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1148



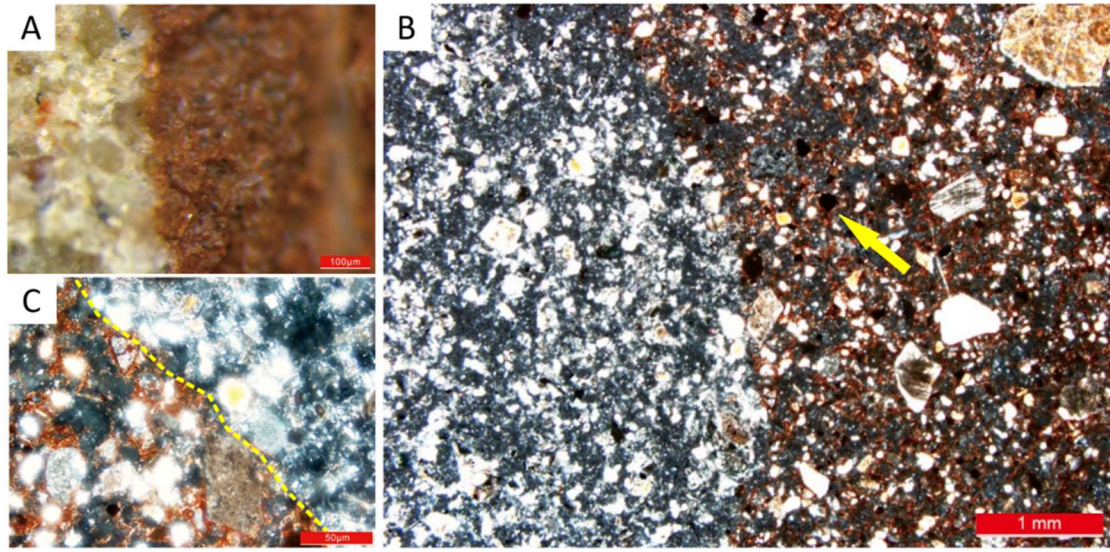
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1150 Figure 4

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1152

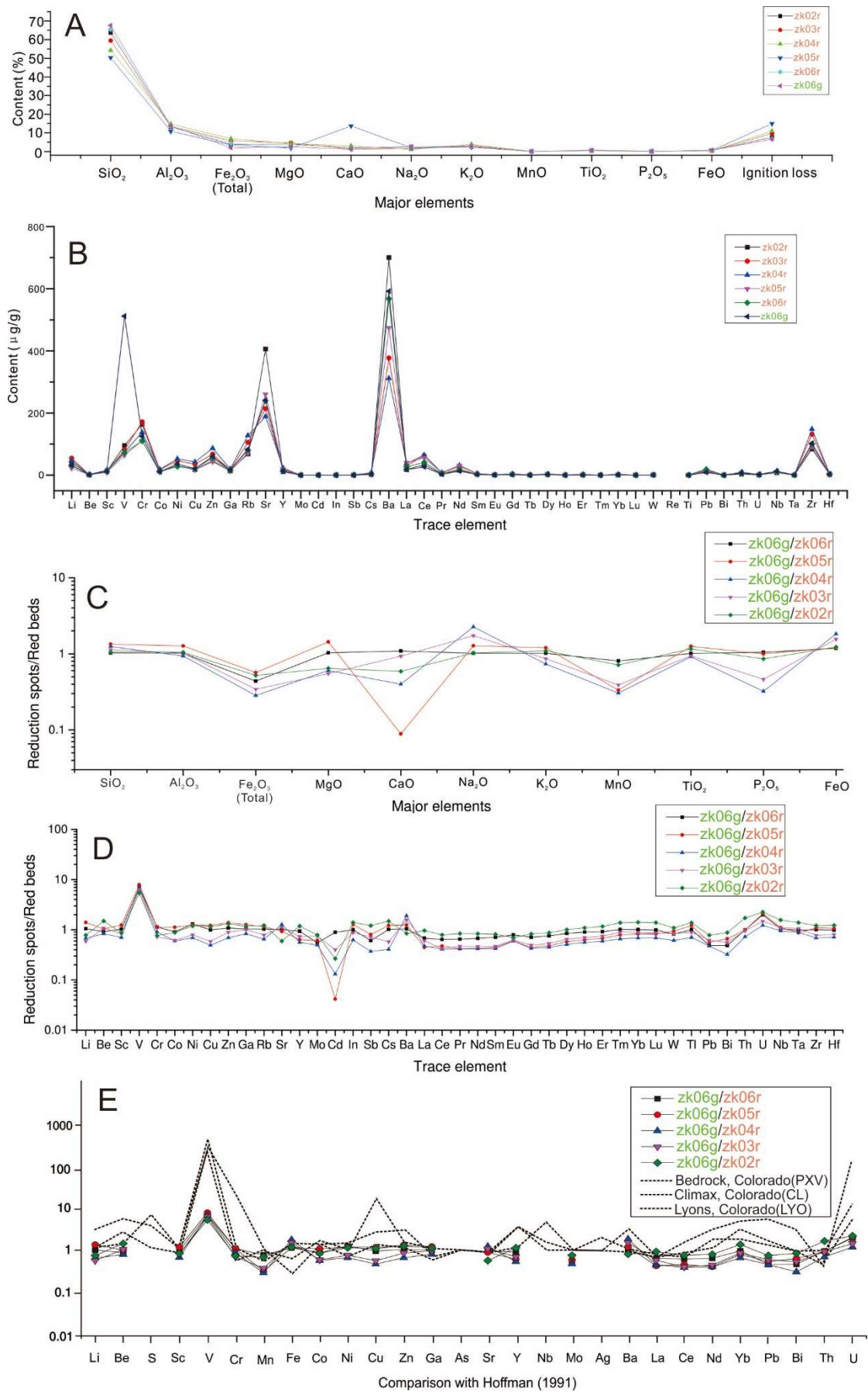
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1155 Figure 5

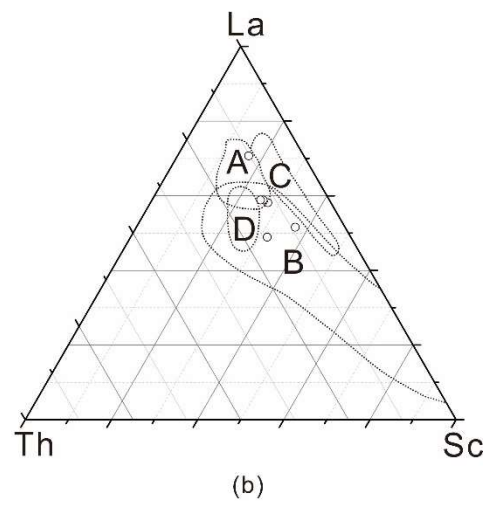
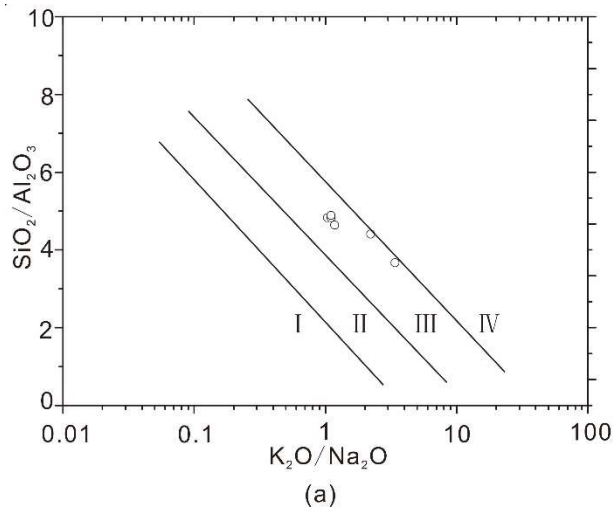
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1158 Figure 6

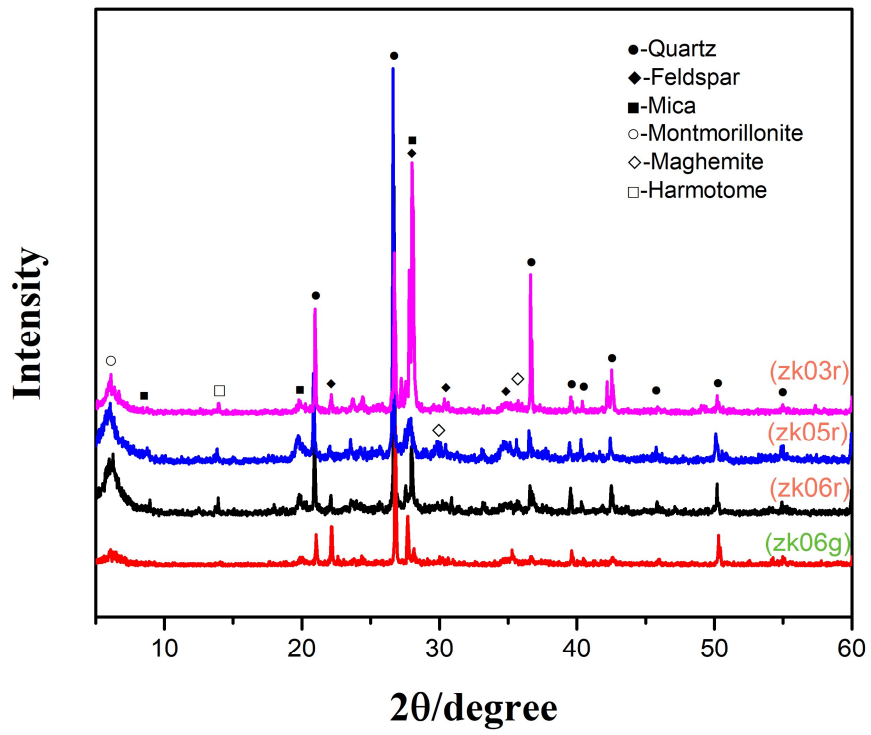
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1161 Figure 7

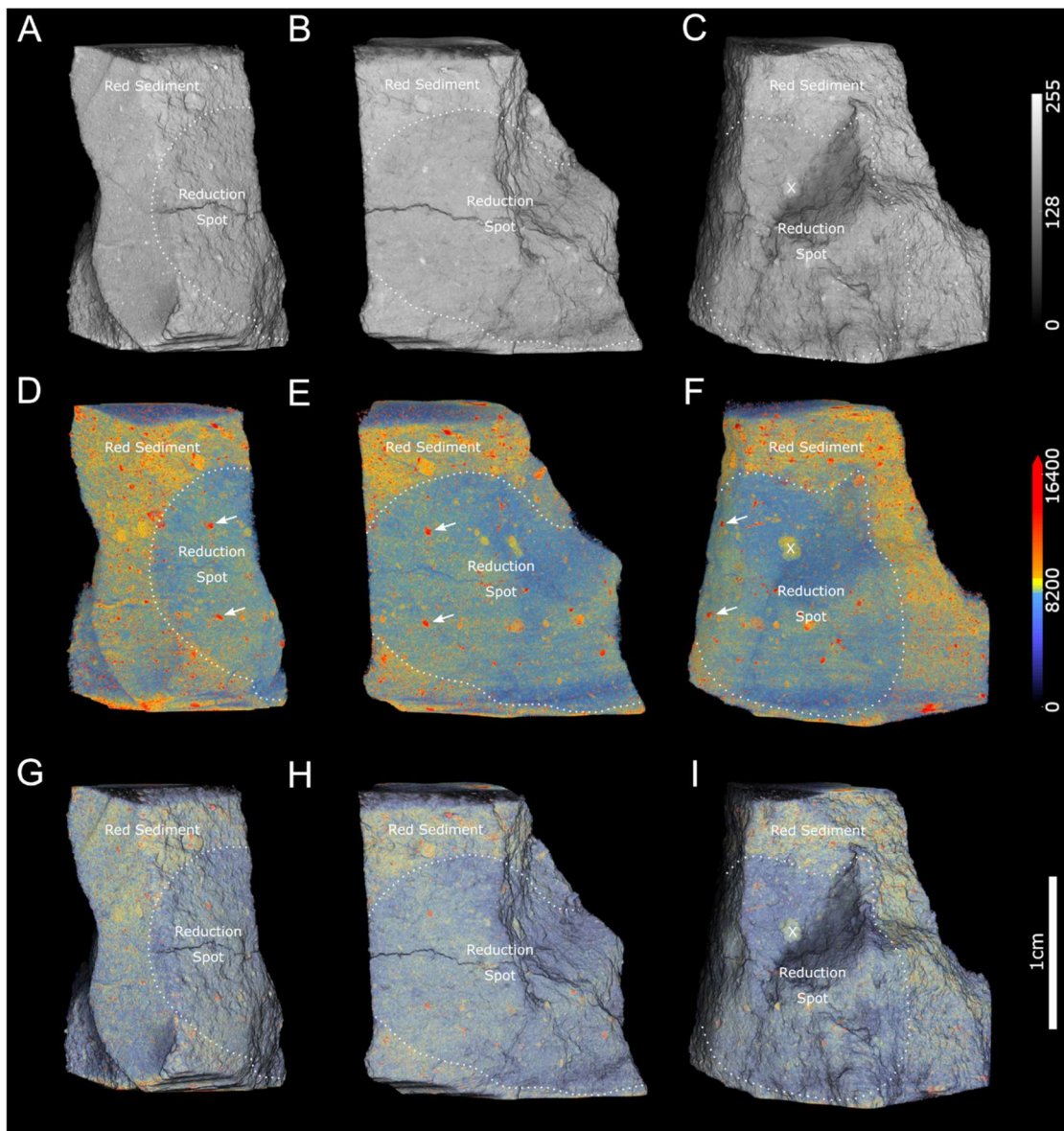
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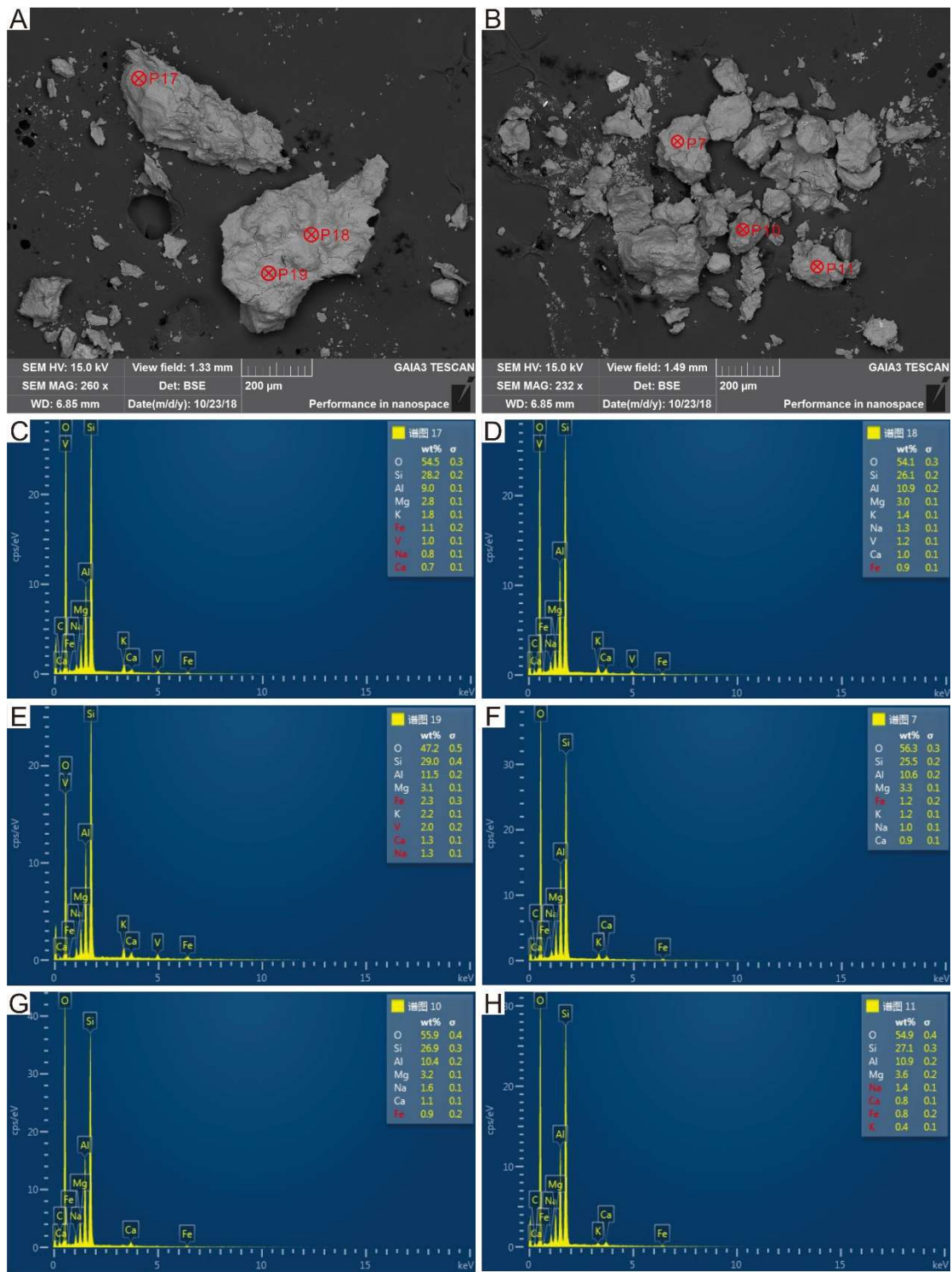


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1165 Figure 8

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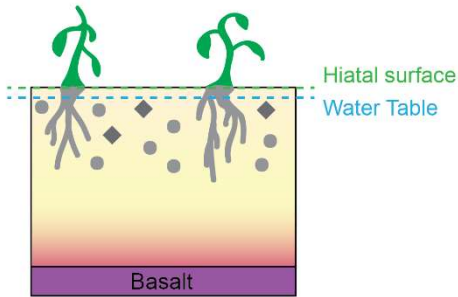




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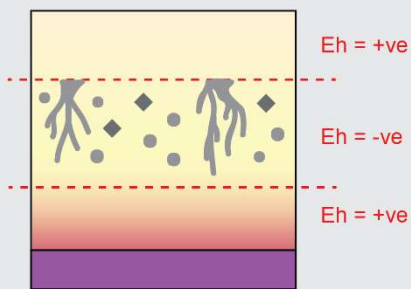
Figure 10

Stage 1: Deposition and pedogenesis



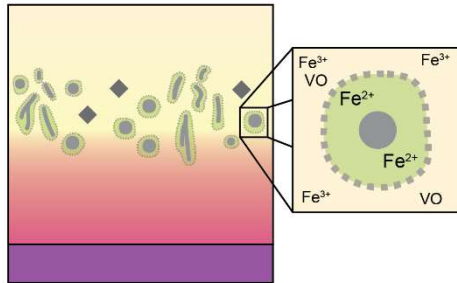
- Sediment deposited in humid, warm, freshwater depositional environment. Input of terrigenous sediment and labile (●) and refractory (◆) organic material (OM).
- Water table drops. Plant growth in waterlogged conditions. Some O₂ depletion. Reduction of Fe³⁺ and Mn⁴⁺

Stage 2: Shallow burial diagenesis (0 - 30 m)



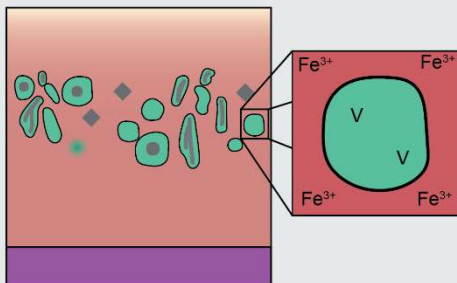
- Microbial metabolism uses available O₂ forming mildly reducing conditions in organic rich zone.
- Aging of detrital iron oxides.
- Oxidation of Ti-magnetite with release of V, Ni into groundwater

Stage 3: Intermediate burial diagenesis (30 - 1000 m)



- Microbial respiration depletes O₂ levels creating anaerobic conditions. Microbial respiratory bi-products form highly reducing microenvironments around labile OM.
- Fe²⁺ depleted by bacterial metabolism or migrates away from OM along diffusion gradients. VO combines with organics to form V-porphyrins derived from labile material.
- Intrastratal solution of silicates and precipitation of authigenic quartz, clay minerals, and hematite continues under oxidizing conditions of host sediment

Stage 4: Deeper burial diagenesis (1000 m+)



- Sediment matrix progressively reddened during diagenesis.
- Microenvironments around OM fully depleted of Fe²⁺ creating green reduction spots.
- OM cores enriched in V.
- Bacterial metabolism continues until OM exhausted (or conditions become unfavourable).