

# Impact of particle morphology on abrasion, polishing and stain removal efficacy in a tooth cleaning model system

Wang, Changxiang; Lucas, Robert; Milward, Michael; Cooper, Paul

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# **Impact of Particle Morphology on Abrasion, Polishing and Stain Removal Efficacy in a Tooth Cleaning Model System**

Short title: Abrasive Particle Morphology Affects Dental Cleaning

Changxiang Wang <sup>a,\*</sup>, Robert Lucas <sup>b</sup>, Michael Milward <sup>a</sup>, Paul R. Cooper <sup>c</sup>

<sup>a</sup> Oral Biology, School of Dentistry, University of Birmingham, 5 Mill Pool Way, Edgbaston, Birmingham, B5 7EG, UK.

<sup>b</sup> GlaxoSmithKline Consumer Healthcare, St. George's Avenue, Weybridge, Surrey, KT13 0DE, UK.

<sup>c</sup> Department of Oral Sciences, Sir John Walsh Research Institute, Faculty of Dentistry, University of Otago, Dunedin 9054, New Zealand

\* Corresponding author: Dr Changxiang Wang, Oral Biology, School of Dentistry, University of Birmingham, 5 Mill Pool Way, Edgbaston, Birmingham B5 7EG, UK; Tel.: +44 121 466 5528; fax: +44 121 466 5491; E-mail address: c.wang@bham.ac.uk

36

## 37 Highlights

- 38 • Spherical silica gel particles achieved greater dental stain removal compared with  
39 standard angular silica particles.
- 40 • Spherical alumina particles demonstrated greater dental stain removal compared with  
41 angular alumina particles.
- 42 • The main factor influencing stain removal, abrasivity and surface finishing was  
43 abrasive particle morphology compared with particle concentration and size.

44

## 45 Abstract

46 Toothbrushing with toothpaste is used for daily maintenance of oral hygiene, and aims to  
47 remove food debris, the dental plaque biofilm and dental stains from tooth surfaces.  
48 However, toothpastes can also cause tooth abrasion as different particle morphologies are  
49 known to exert differential cleaning and abrasivity. Consequently, silica and alumina  
50 particles with spherical and angular morphologies, at comparable size ranges and  
51 concentrations, were used to brush polished, partially roughened or stained bovine enamel  
52 specimens and their impact on tooth abrasion, surface polishing and stain removal *in vitro*,  
53 was determined. Spherical silica gel particles at concentrations as low as 0.5% (w/w)  
54 achieved greater dental stain removal and higher surface polishing compared with 15% (w/w)  
55 standard abrasive silica without producing significant increases in enamel wear. Comparable  
56 results were also found for alumina abrasive particles, whilst spherical alumina particles at  
57 concentrations as low as 0.25% (w/w) showed greater stain removal compared with 1%  
58 (w/w) angular alumina particles. Both particles achieved similar surface polishing and  
59 produced less enamel wear. These findings are important in underpinning the development of

60 dentifrices which aim to achieve optimal cleaning whilst minimising dental hard tissue  
61 damage.

62

## 63 Keywords

64 Bovine enamel; Toothbrush abrasion; Surface polishing; Stain removal; Particle morphology

65

## 66 1. Introduction

67 Dental diseases, such as caries and periodontal disease, remain the most prevalent chronic  
68 disease in both children and adults despite being preventable [1]. Clear causal links are well  
69 established between the presence of dental plaque and disease progression [2]. In dentistry,  
70 toothbrushing with toothpaste is the most common method used for daily maintenance of oral  
71 hygiene and aims to remove food particulates, the plaque biofilm and stain from tooth  
72 surfaces [3]. Notably, there is also an increasing demand for improved dental aesthetics using  
73 dental whitening products [4]. It is now widely recognised that toothpaste abrasivity is  
74 necessary for the prevention and removal of extrinsic stain [5]. However, the abrasivity of the  
75 toothpaste needs to be moderated as excessive wear can lead to loss of mineralised tissue,  
76 resulting in dentine hypersensitivity and poor aesthetics [6], [7]. Consequently, it is not only  
77 important to determine the stain removal efficacy of toothpaste but also to determine its  
78 potential wear on dentine and enamel [8], [9].

79

80 A typical toothpaste formulation consists of multiple components, each with their own  
81 purpose and also with the potential to influence the behaviour and performance of other  
82 ingredients in the formulation [10]. Of all the ingredients in dentifrices or toothpastes, dental  
83 abrasive particles are regarded as being the key particles responsible for the physical cleaning  
84 and polishing of the tooth surface [11], [12]. Dental abrasives typically used in toothpastes

85 include hydrated silica, calcium carbonate, dicalcium phosphate, alumina, sodium carbonate,  
86 perlite, hydroxyapatite and diamond [5], [8], [13], [14], [15], [16], [17], [18]. Data indicate  
87 that the properties of the abrasive particles, including their hardness, concentration, size  
88 distribution and morphology, are key to cleaning performance [9], [11], [16], [19], [20], [21].

89

90 Notably, particle morphology has also been reported as being an important factor in  
91 determining abrasivity [22]. In engineering studies, it has been widely established that  
92 angular particles cause higher wear than spherical particles [23]. Previously, large differences  
93 in abrasivity were identified when polymethylmethacrylate (PMMA) substrates abraded with  
94 calcium carbonate and silica abrasives. This outcome was largely attributed to differences in  
95 particle shape with spherical particles causing the lowest abrasion [22]. Similarly, a greater  
96 reduction in abrasive damage of stainless steel occurred due to spherical alumina filled  
97 polytetrafluoroethylene (PTFE) compared with angular alumina filled PTFE [24].

98

99 Currently, there is limited knowledge with regard to the influence of abrasive particle  
100 morphology on tooth wear and stain removal. However, some *in vitro* and *in vivo* data has  
101 indicated that compared with angular particles, spherical particles demonstrate a decrease in  
102 volume loss of enamel and higher stain removal properties [25], [26]. Ideally, a toothbrushing  
103 regime should show excellent cleaning efficiency for dental plaque and stain removal, as well  
104 as for polishing ability, while exerting minimal tooth wear [19]. Consequently, we  
105 hypothesised that small quantities of spherical particles in toothpastes could achieve similar  
106 or greater stain removal compared with standard angular abrasive particles. The aim of this  
107 work, therefore, was now to investigate the effect of spherical silica gel and spherical alumina  
108 abrasive particles on tooth abrasion, polishing and stain removal.

109

110 **2. Materials and methods**

111        *2.1. Characterisation of silica and alumina abrasives*

112        Three silica abrasives and three alumina abrasives were used in the present study (Table 1).  
113        Scanning Electron Microscopy (SEM, EVO MA10, Zeiss) was used for particle morphology  
114        characterisation. The abrasive particles were adhered to carbon tape on an aluminium stub  
115        (Agar Scientific Ltd., UK). Ultra-thin sputtered gold coating (EMITECH K550X, Emitech,  
116        United Kingdom) was applied to the particles prior to SEM observations to prevent specimen  
117        charging. Representative images were captured at a range of magnifications.

118

119        A Malvern Mastersizer 2000 laser diffraction particle size analyser (Malvern Instruments  
120        Ltd., United Kingdom) was used to perform the particle size analysis. Silica and alumina  
121        abrasives were added in distilled water to an agitated flask attached to the diffraction machine  
122        and the particle size distribution of the abrasives were determined. Particle size distribution  
123        data (Table 1) are shown as d10, d50 and d90, average values of three measurements. The  
124        d10, d50 and d90 values indicate that 10%, 50% and 90% of the particles measured were less  
125        than or equal to the size stated.

126

127        *2.2 Preparation of bovine enamel samples*

128        Freshly extracted permanent bovine incisor teeth were stored in 0.1% (w/w) thymol (Sigma-  
129        Aldrich, UK) solution at 4 °C prior to use. A custom-built diamond-edged saw with water  
130        cooling was used to dissect tooth crowns (approximate 12mm × 18mm) from the bovine  
131        teeth. The tooth crowns then were embedded in Ø25 mm blocks of epoxy resins (Buehler,  
132        UK). Eight bovine enamel specimens per treatment group were prepared (approximate 10mm  
133        × 10mm of the enamel surface was exposed) on a Phoenix Beta Grinder/Polisher (Buehler,  
134        UK) using Silicon Carbide grinding paper (SiC) abrasive discs (Buehler, UK). A roughened  
135        surface group was prepared with a 280-grit SiC ground finish for *in vitro* stain removal. A

136 partially roughened surface group was prepared with a 400-grit SiC ground finish for surface  
137 polishing. A polished surface group was prepared using 600-grit SiC grinding paper followed  
138 by 3  $\mu\text{m}$  diamond finishing for enamel abrasion. Any residual grinding/polishing materials on  
139 the sample surfaces was removed by 5 minutes ultrasonication in tap water.

140

### 141 *2.3. Tooth staining on the roughened surface group*

142 The tooth staining assay previously reported was used [2]. Freshly combined solutions of  
143 0.1% (w/w) tannic acid (ACS reagent, Sigma-Aldrich) and 0.1% (w/w) of diammonium iron  
144 (II) sulphate 6-hydrate (Sigma-Aldrich), a dark colloidal iron (III) tannic acid complex  
145 (“ferric-tannate”) forms on contact with air, were used to mimic a dietary tannin stain. Data  
146 showed that no statistically significant differences in stain removal efficacy were detected  
147 between 3 to 10 layers of stain [2], and 10 layers of stain were used in the present study  
148 which gave reproducible results. The fresh mixture was applied as 10 successive layers on the  
149 enamel specimens. For the initial layer, a 40  $\mu\text{l}$  aliquot of the mixture was pipetted onto each  
150 specimen and dispersed evenly over the specimen surface. For the subsequent 9 layers, 10  $\mu\text{l}$   
151 aliquots of the solutions were applied as described above. Each layer was dried in an oven  
152 (D-63450 Hanau, Kendro Laboratory Products Ltd, Germany) at 40 °C for 10 mins before  
153 application of the subsequent layer.

154

### 155 *2.4. Toothbrushing procedure*

156 The *in vitro* toothbrushing protocol used here is well established and has previously been  
157 reported [2], [27], [28]. A test band of the enamel specimen was exposed and an unbrushed  
158 reference area was generated by coverage of the tooth surface with ADA/ISO standard tape.  
159 Oral B P35 medium toothbrushes were used for the brushing. Eight bovine enamel specimens  
160 per treatment group were mounted in two brushing channels of the brushing simulator.

161 Slurries were freshly prepared with the addition of 0.1% (w/w) to 15% (w/w) silica or  
162 alumina abrasives (Table 1) in 10% (w/w) Glycerol (VWR International BVBA, Belgium)  
163 and 0.5% (w/w) Hercules 7 MF Carboxymethyl Cellulose (Hercules Incorporated, USA).  
164 Concentration as low as 0.1% (w/w) was selected for the spherical abrasives, 4% (w/w) AC  
165 43 and 15% (w/w) Zeodent 113 as these levels are commonly used in commercially available  
166 toothpastes. A 150 g brushing load was applied on each toothbrush head and 150 g slurry was  
167 added in each channel. Specimens were “brushed” for up to 5,000 strokes at a brushing speed  
168 of 120 rpm. The temperature was maintained at 20 °C throughout the brushing procedure. All  
169 specimens were washed under tap water after brushing and any residual tape was removed.

170

#### 171 *2.5. Surface profiles of enamel specimens*

172 A Talysurf Series 2 inductive gauge profilometer (Taylor-Hobson, UK) was used to obtain  
173 surface profiles before and after brushing. The inductive gauge profilometer uses a conical  
174 probe with 2 µm diamond tip to accurately measure surfaces at the sub-micron level, it has a  
175 resolution of 16 nm and a 1 mm range in the z-axis. Linear profiles (2D) were obtained on the  
176 surfaces with a point spacing of 0.25 µm and at a measurement speed of 0.5 mm/s. The  
177 arithmetic mean surface roughness (Ra) and wear depth values were calculated (µltra version  
178 5.1.14, Taylor-Hobson, UK).

179

#### 180 *2.6. Gloss measurements*

181 Gloss measurements before and after brushing were determined using a Novo-Curve small  
182 area glossmeter (Rhopoint Instruments Limited, UK) at intervals of 90 degree rotations about  
183 the centre point of each specimen.

184

#### 185 *2.7. Colour evaluation*



186 All surfaces were consistently dried prior to colour measurements and changes in colour were  
187 determined as previously described [2]. Colour values ( $L^*$ ,  $a^*$ ,  $b^*$ ) for each tooth specimen  
188 before staining (=Initial), after 10 layers of stain application (=Stained) and after the brushing  
189 treatments (=Brushed) were measured. A calibrated spectrophotometer (Minolta CM-2600d,  
190 Konica Minolta Sensing Americas, Inc, USA) was used for the colour measurements. The  $L^*$   
191 value represents the value of 'brightness/darkness' of a colour and values indicated by  $a^*$  and  
192  $b^*$  represent two colour axes, with  $a^*$  the red-green axis and  $b^*$  the yellow-blue axis. A  
193 perfect black body has an  $L^*$  value of zero and the perfect reflecting diffuser has an  $L^*$  value  
194 of 100. Stain removal was assessed using the following formula:

$$195 \quad \% \text{ Removal} = \frac{L^* (\text{Brushed}) - L^* (\text{Stained})}{L^* (\text{Initial}) - L^* (\text{Stained})} \times 100$$

196 Where  $L^*$  (Initial),  $L^*$  (Stained) and  $L^*$  (Brushed) is the brightness before staining, after 10  
197 cycles of stain application and after toothbrushing for the requisite number of strokes with the  
198 silica or alumina abrasive slurry, respectively.

199

200 A Nikon D7000 camera (Nikon Corporation, Japan) was used to digitally capture images of  
201 the enamel surfaces before staining, after 10 layers of stain and post-stain removal with 1,000  
202 brush strokes to visually demonstrate the effects of stain removal.

203

## 204 *2.8. Statistical analyses of the data*

205 The data from abrasivity, polishing and stain removal were analysed using a single factor  
206 ANOVA with a significance level of  $p \leq 0.05$  applied.

207

## 208 **3. Results**

### 209 *3.1. Morphology and particle size of silica and alumina abrasive particles*

210 Representative SEM micrographs of the test silica and alumina abrasives are shown in Figure  
211 1 and the particle size distributions of the abrasives are shown in Table 1. The d10, d50 and  
212 d90 values indicate that 10%, 50% and 90% of the particles measured were less than or equal  
213 to the size stated. Differences in particle size and range of morphologies for the silica and  
214 alumina abrasives can be clearly observed. All the angular abrasive particles (AC 43 silica  
215 and Zeodent 113 silica; P10 alumina and 3  $\mu\text{m}$  alumina) exhibited irregular morphologies and  
216 consisted of a range of particle sizes. SEM micrographs clearly demonstrated that the  
217 spherical silica gel and spherical alumina were of the reported morphology, and also  
218 contained a range of particle sizes. Particle size distribution data (d50) indicated that Zeodent  
219 113 silica abrasive had the largest particle size (20.5  $\mu\text{m}$ ), followed by the spherical silica gel  
220 (9.3  $\mu\text{m}$ ) and spherical alumina abrasives (6.7  $\mu\text{m}$ ), AC 43 silica (6.2  $\mu\text{m}$ ), P10 Feinst  
221 alumina (5.3  $\mu\text{m}$ ) and 3  $\mu\text{m}$  alumina (4.3  $\mu\text{m}$ ) had the smallest particle sizes. Notably,  
222 Zeodent 113 silica had the largest d10 (6.2  $\mu\text{m}$ ), d90 (38.3  $\mu\text{m}$ ) particle size, which also  
223 showed the largest relative particle size span. The two spherical abrasives had the smallest  
224 d10 particle size (<1  $\mu\text{m}$ ), and the 3  $\mu\text{m}$  angular alumina had the smallest d90 (7.8  $\mu\text{m}$ )  
225 particle size and revealed the smallest relative particle size span.

226

### 227 *3.2. Abrasivity of test silica and alumina abrasives on polished enamel*

228 Tables 2 and 3 provide wear depth and surface finishing data for the polished enamel  
229 specimens after brushing at 5,000 strokes with the silica and alumina abrasives, respectively.  
230 Wear occurred for all the tested enamel specimens. Statistically significant differences  
231 ( $p < 0.05$ ) were detected in wear depth between the tested silica abrasives. The 0.1% (w/w)  
232 spherical silica gel, 4% (w/w) AC 43 silica and 15% (w/w) Zeodent 113 silica resulted in the  
233 least wear (least abrasive), followed by the 0.5% (w/w) spherical silica gel abrasive (medium  
234 abrasive), while the 3% (w/w) and 5% (w/w) spherical silica gel produced the most wear

235 (most abrasive). Statistically significant differences ( $p<0.05$ ) were also detected in wear  
236 depth between the test alumina abrasives. The 1% (w/w) P10 alumina caused the least wear  
237 (least abrasive), then followed by the 0.25% (w/w), 0.5% (w/w) and 1% (w/w) spherical  
238 alumina abrasives (medium abrasive), while the 1% (w/w) 3  $\mu\text{m}$  alumina abrasive produced  
239 the most wear (most abrasive). Interestingly there were no statistically significant differences  
240 in wear depth between the spherical silica gel and spherical alumina with the same  
241 concentration of 0.5% (w/w) although 0.5% (w/w) spherical silica gel caused more wear than  
242 the 0.5% (w/w) spherical alumina.

243

244 There was a decrease in gloss for all of the polished enamel surfaces after toothbrushing with  
245 all test silica and alumina abrasives. Statistically significant differences were detected for the  
246 decreases in gloss when the polished enamel specimens were brushed with the test silica and  
247 alumina abrasives. There was a trend for the decrease in gloss to be greater when the polished  
248 enamel specimens were brushed with the spherical silica gel and alumina abrasive particles.

249

250 The polished enamel surfaces became roughened following toothbrushing and there was an  
251 increase in the surface roughness for all of the polished enamel surfaces. The abrasive  
252 particles generated grooves in the polished enamel surfaces which resulted in a roughening of  
253 the polished enamel surfaces, hence, resulting in increases in surface roughness. The increase  
254 in surface roughness of the polished enamel specimens brushed with silica abrasives was  
255 lowest for the 0.1% (w/w) spherical silica gel, 4% AC 43 silica and 15% (w/w) Zeodent 113  
256 silica abrasives, followed by the 0.5% (w/w), 3% (w/w) and 5% (w/w) spherical silica gel  
257 particles. When brushed with the test alumina abrasive particles, the 1% (w/w) P10 alumina  
258 caused the lowest increase in surface roughness of the polished enamel specimens, and no

259 statistically significant differences in roughness increase was detected between the spherical  
260 alumina and 3  $\mu\text{m}$  alumina abrasives.

261

### 262 *3.3. Polishing effect of silica and alumina abrasives on partially roughened enamel*

263 The polishing effects for the silica and alumina abrasives on the partially roughened enamel  
264 specimens after brushing for 3,000 strokes are shown in Tables 4 and 5, respectively. There  
265 were no appreciable differences in wear depth after 3,000 brushstrokes.

266

267 There was an increase in gloss for all of the roughened enamel surfaces due to wear and  
268 removal of asperities, and these specimens also showed a decrease in surface roughness. The  
269 most abrasive particles increased gloss and decreased the surface roughness at a greater rate  
270 than the least abrasive particles.

271

272 Notably, when brushed with the spherical silica gel, there was a continual increase in gloss  
273 and decrease in surface roughness for roughened enamel surfaces with the increasing  
274 concentrations from 0.1% (w/w) to 3% (w/w). There was no further increase in gloss and  
275 decrease in surface roughness with further concentration increases up to 5% (w/w). A similar  
276 trend was also found with the spherical alumina abrasives, and no statistically significant  
277 differences in gloss increase and surface roughness decrease were found between 0.25%  
278 (w/w) and 0.5% (w/w) spherical alumina.

279

### 280 *3.4. Stain removal from partially roughened enamel*

281 The *in vitro* stain removal efficacy results for silica and alumina abrasives are presented in  
282 Figures 2a and 3a, respectively. Data indicate that the spherical silica gel demonstrated the  
283 greatest cleaning power, and the concentration as low as 0.5% (w/w) removed similar or

284 more stain than the 4% (w/w) AC 43 silica and 15% (w/w) Zeodent 113 silica abrasives. The  
285 stain removal data from spherical silica gel abrasives also showed that increases in the  
286 concentration of spherical silica gel from 0.1% (w/w) to 5% (w/w) continuously increased the  
287 stain removal efficacy. Compared with the angular alumina abrasive particles, the spherical  
288 alumina abrasive also exhibited greater cleaning power. However, there was no change in  
289 stain removal efficacy when the concentration of spherical alumina increased from 0.25%  
290 (w/w) to 0.5% (w/w). The images of enamel surfaces before staining and post-stain removal  
291 following application of 1000 brush strokes with silica and alumina abrasives are shown in  
292 Figures 2b and 3b, respectively. A trend indicating that spherical abrasive particles removed  
293 more stain from the enamel surfaces compared with the angular abrasives was observed. No  
294 obvious differences can be seen from the enamel surfaces post-stain removal between the  
295 spherical silica gel with concentrations of 0.5% (w/w) or above and the spherical alumina  
296 abrasives as no stain was apparent on the enamel surfaces.

297

### 298 *3.5. Cleaning efficiency index (CEI)*

299 The cleaning efficiency index (CEI) was used for further analysis of the correlation between  
300 the abrasivity and stain removal. The CEI emphasized the importance of good stain removal  
301 and low dentine abrasivity, which was calculated according to the following equation [29]:

$$302 \text{CEI} = (\text{RDA} + \text{PCR} - 50) \div \text{RDA}$$

303 Where RDA is relative dentine abrasion, PCR is pellicle cleaning ratio.

304 The following modified equation was used in the present study for the CEI calculation by  
305 using the enamel wear depth and *in vitro* stain removal data.

$$306 \text{CEI} = (\text{Wear depth} + \text{Stain removal} \times 100 - 50) \div \text{Wear depth} \div 100$$

307 Different specimens were used for the abrasivity and stain removal measurements. The CEI  
308 values that were calculated from the enamel wear depth (Tables 2 and 3) and stain removal

309 data (Figures 2 and 3) are presented in Figure 4. The CEI values range from -2.47 to 4.69.  
310 The negative CEI value indicated that the stain removal efficacy was lower than 50%. It is  
311 interesting to see that 0.5% (w/w) spherical silica gel showed greater CEI value than the  
312 commercially used 15% (w/w) Zeodent 113, although 4% (w/w) AC 43 performed the best.  
313 Spherical alumina as low as 0.25% (w/w) performed better than 1% (w/w) compared with the  
314 other two angular alumina abrasives.

315

## 316 4. Discussion

317 Freshly extracted bovine enamel specimens were used in the present study. Bovine enamel  
318 has similar physical properties and chemical composition compared with human enamel and  
319 is routinely considered as a suitable human model in toothbrush abrasion studies [2], [19],  
320 [21], [25], [29], [30]. A dentifrice or toothpaste should have the ability to remove extrinsic  
321 stain effectively without causing unnecessary and damaging tooth abrasion. Additionally, it  
322 should also have the ability to produce a smooth and highly polished tooth surface which  
323 inhibits stain and plaque accumulation [2], [29]. It is now apparent that the morphology of  
324 the particles used in toothpastes is an important factor in determining abrasivity and stain  
325 removal [25], [26]. In the present study, the silica and alumina particle morphology effects  
326 were investigated on tooth abrasion, polishing and *in vitro* stain removal. Results under these  
327 experimental conditions demonstrated that relatively small quantities of spherical silica gel or  
328 spherical alumina showed similar or greater stain removal compared with standard angular  
329 abrasive particles and data support the original hypothesis underpinning this study.

330

### 331 4.1. Influence of particle morphology of the tested silica and alumina abrasives

#### 332 4.1.1. Particle morphology impact on abrasivity of polished enamel

333 The practice of designing effective toothpaste abrasive systems is complex and is dependent  
334 on a variety of properties of the abrasives, mainly the chemical composition, particle size and  
335 size distribution, morphology, particle structure, as well as concentration of the abrasive  
336 particles within the toothpaste [31]. Abrasive particle morphology is a key factor which  
337 impacts on the behaviour of the particles during toothbrushing and thus the wear depth. In  
338 engineering, it is reported that spherical particles are less damaging or less abrasive than  
339 angular particles [22], [23], [24]. Consistent with this, recent data has also reported that  
340 spherical particles cause a lower volume loss of enamel compared with angular particles [25],  
341 [32], [33].

342

343 In the present study, abrasivity data did not indicate a clear trend on enamel abrasivity when  
344 brushed with spherical and angular particles. The enamel wear depth was mainly dependent  
345 on the chemical composition and physical structure of the abrasive concentration and shape.  
346 Indeed, it has been widely accepted that the harder the particle the more abrasive it is.  
347 However, there were no statistically significant differences in wear depth between spherical  
348 silica gel and spherical alumina with the same concentration (0.5% (w/w)) and this might  
349 relate to their spherical morphology.

350

351 A low concentration (0.1% (w/w)) of spherical silica gel produced similar or less wear of  
352 enamel with no statistically significant differences compared with 4% (w/w) AC 43 angular  
353 silica and angular Zeodent 113 silica. However, the spherical silica gel generated more wear  
354 at higher concentration (0.5% (w/w) to 5% (w/w)) compared with that produced by 4% (w/w)  
355 AC 43 and 15% (w/w) Zeodent 113. A similar trend was found for alumina abrasives with a  
356 low concentration (0.25% (w/w)) of spherical alumina causing more enamel loss compared  
357 with 1% (w/w) P10 angular alumina. However, 3  $\mu\text{m}$  angular alumina were more abrasive

358 than spherical alumina abrasives. There was no clear trend of spherical particles causing less  
359 wear than angular particles under the current experimental conditions, and this highlights the  
360 complexity of toothpaste abrasive systems. Indeed, abrasivity is dependent on multiple  
361 factors including abrasive particle structure, size distribution, shape, and concentration.

362

363 Toothpaste concentration affects abrasivity of enamel and dentine, although only small  
364 changes on enamel abrasion when toothpaste concentration increased have been previously  
365 reported [34]. Data in the present study demonstrated that the wear depth for polished enamel  
366 increased with the increase in concentration of the spherical silica gel. This wear depth  
367 increased up to a content of 3% (w/w) for the spherical silica gel, subsequently the effect was  
368 reversed with further increases in the concentration of spherical silica gel up to 5% (w/w).

369 Similar results on enamel loss were previously reported when enamel specimens were  
370 brushed with an experimental toothpaste containing silica abrasives [35]. Notably, no  
371 statistically significant differences were detected with the wear depth of enamel between  
372 spherical alumina abrasives with different concentration.

373

374 Particle size effect is a well-known phenomenon in abrasion [36] and there is reportedly a  
375 minimum abrasive particle size or critical particle size which allows maximum abrasive  
376 action [19]. Unfortunately, it is not straightforward to isolate the separate effects of particle  
377 size and shape from wear depth data. However, a concentration as low as 0.5% (w/w) of  
378 spherical silica gel produced more enamel wear than the test angular silica abrasives, while  
379 1% (w/w) 3  $\mu\text{m}$  angular alumina caused the most wear among the test alumina abrasives. It is  
380 noteworthy that the spherical silica comprised of extremely small particles and the 3  $\mu\text{m}$   
381 angular alumina had the smallest d90 particle size and smallest relative particle size span.



382 Further work needs to be performed to isolate the separate effects of particle shape, size and  
383 size distribution effects within dentifrices.

384

#### 385 *4.1.2. Particle morphology effects on polishing*

386 More highly polished enamel surfaces appear whiter due to their enhanced ability to reflect  
387 light [37]. Notably, and more importantly, these polished and smoothed tooth surfaces are  
388 less receptive to the build-up and retention of dental plaque [29]. These properties are  
389 important for the aesthetics of the dentition and studies on the effects of enamel surface finish  
390 on *in vitro* stain removal have shown that polished surfaces require fewer brush strokes to  
391 remove stain compared with roughened enamel surfaces [2].

392

393 Dulled enamel specimens have also been used to study polishing effects of toothpastes and  
394 previous work has used tooth specimens etched with acid prior to toothbrushing [8], [29]. In  
395 our current study, partially roughened enamel specimens were also generated and used to  
396 analyse the polishing effects of spherical silica gel and spherical alumina. After brushing with  
397 the test abrasives, a proportion of the peaks and troughs on the partially roughened enamel  
398 surfaces were removed. The partially roughened enamel surfaces subsequently became  
399 smoother, consequently, the gloss increased and the surface roughness decreased. Data in the  
400 present study clearly demonstrated the greater polishing power of the test spherical abrasives  
401 when compared with the angular silica abrasives. In addition, the spherical alumina exhibited  
402 greater polishing ability compared with the spherical silica gel due to their hardness  
403 differences with alumina being harder than silica. It was notable that there was a continual  
404 increase in gloss when concentration of the spherical silica gel increased from 0.1% (w/w) to  
405 5% (w/w), while no obvious differences were detected when the concentrations of the  
406 spherical alumina increased from 0.25% (w/w) to 0.5% (w/w). As alumina is harder than

407 silica, therefore, spherical alumina was more efficient than spherical silica to remove  
408 asperities and achieved similar gloss performance at lower concentrations, with the plateau  
409 effect occurring at a lower concentration.

410

#### 411 *4.1.3. Particle morphology effects on stain removal*

412 It has been reported that abrasivity and stain removal are correlated [11]. Usually, more stain  
413 is removed when brushing is performed with more abrasive particles and a linear relationship  
414 between wear depth and stain removal was previously reported when alumina abrasive  
415 particles were used [19]. Under the experimental conditions used in the present study, the  
416 abrasivity and stain removal were correlated when brushing with spherical silica gel  
417 abrasives. However, no such relationship was found between the 0.1% (w/w) spherical silica  
418 gel, 4% (w/w) AC 43 angular silica and 15% (w/w) Zeodent angular silica. There was also no  
419 such correlation observed between the spherical alumina and 3  $\mu\text{m}$  angular alumina.

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421 Stain removal data from the present study showed that toothpaste slurry containing relatively  
422 minor quantities of spherical abrasives resulted in similar or greater stain removal compared  
423 with slurries used at a higher concentration of angular abrasives. One potential explanation  
424 for this outcome may be due to the shape and particle structure differences. However, more  
425 work needs to be undertaken to investigate the interaction between the toothbrushes and  
426 spherical abrasive particles in tooth cleaning. A further potential explanation may be that the  
427 spherical silica gel and spherical alumina abrasives also comprise extremely small particles,  
428 including a d10 particle size of 0.8  $\mu\text{m}$  for spherical silica gel and d10 0.7  $\mu\text{m}$  for spherical  
429 alumina. These slurry properties would consequently provide more particles per tooth area to  
430 clean the stained enamel surfaces. Consequently, lower concentrations of abrasive particles  
431 may be used to achieve similar or improved stain removal compared with angular abrasives.

432

433 *4.2. Wear pattern observation of the brushed polished enamel*

434 Particle morphology plays an important role in the behaviour of granular materials and is also  
435 important in the mechanics of contact [38]. By definition, angular particles have ‘sharp’  
436 edges resulting in highly concentrated contact stresses which can chip or fracture enamel,  
437 whereas spherical particles due to their morphology, penetrate the enamel less [25].  
438 Therefore, the morphology of worn enamel surfaces depends on the shape of the abrasive  
439 particles contacted. Reportedly, spherical particles generate round craters (indents) and  
440 smooth grooves while angular particles produce sharp indents and narrow cutting grooves  
441 [39]. Our data (Figure 5) clearly demonstrated the differences in wear patterns on the  
442 polished enamel due to the differences in morphology of the abrasive particles. A  
443 considerable number of indents were observed when surfaces were brushed with spherical  
444 alumina particles (0.5% (w/w)) which may relate to their rolling wear (three-body wear) on  
445 the enamel surfaces, while narrow and sharp grooves (two-body wear) were found on the  
446 enamel surfaces after brushing with the angular alumina, especially 3 µm alumina due to the  
447 sharp edges. However, notably, the majority of wear occurred as grooving wear (two-body)  
448 wear.

449

450 **5. Conclusions**

451 The properties of the abrasive particles in a toothpaste system, including their hardness,  
452 concentration, size distribution and morphology, are key to cleaning performance and  
453 abrasion. Data in the present study demonstrated that spherical abrasives at lower  
454 concentration achieved similar or better stain removal efficacy compared with angular  
455 standard abrasives. Abrasive morphology (spherical vs angular shape) also affected the wear  
456 of the polished enamel surfaces and the polish of the partially roughened enamel surfaces.

457 The novel findings reported here provide new information on abrasive morphology for  
458 modification and control of toothpaste abrasivity, polishing and cleaning. This information  
459 can now be used in the development of novel and more efficacious toothpaste formulations.

460

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466

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564 **Table 1** Abrasives used in this study indicating material, individual particle shape, particle size distribution, concentration in the slurry and  
 565 manufacturer information\*

<b>Abrasive</b>	<b>Material</b>	<b>Shape</b>	<b>d10 (µm)</b>	<b>d50 (µm)</b>	<b>d90 (µm)</b>	<b>Concentration, % (w/w)</b>	<b>Manufacturer</b>
<b>Zeodent 113</b>	Silica	Angular	6.2	20.5	38.3	15	Evonik Industries AG
<b>AC 43</b>	Silica	Angular	3.1	6.2	15.0	4	PQ Corporation
<b>Spherical silica gel</b>	Silica	Spherical	0.8	9.3	20.3	0.1, 0.5, 3, 5	Asahi Glass SI-Tech. Co. Ltd
<b>P10 Feinst</b>	Alumina	Angular	1.5	5.3	16.6	1	Almatis GmbH
<b>3 µm alumina</b>	Alumina	Angular	2.3	4.3	7.8	1	Almatis GmbH
<b>Spherical alumina</b>	Alumina	Spherical	0.7	6.7	15.6	0.25, 0.5, 1.0	Denka Company Limited

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567 \* Particle size data are average values of three measurements. The d10, d50 and d90 values indicate that 10%, 50% and 90% of the particles  
 568 measured were less than or equal to the size stated by the manufacturer.



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**Table 2** Surface finish and wear depth of polished bovine enamel brushing with silica abrasives (n=8, mean ± standard deviation)

Brush strokes	Parameters	0.1% (w/w) spherical silica gel	0.5% (w/w) spherical silica gel	3% (w/w) spherical silica gel	5% (w/w) spherical silica gel	4% (w/w) AC 43 silica	15% (w/w) Zeodent 113 silica
<b>Before</b>	Gloss, GU	100.0±2.1	100.5±2.5	100.2±1.1	103.5±0.8	101.5±2.0	102.1±2.4
	Roughness, µm	0.019±0.002	0.020±0.002	0.023±0.004	0.021±0.002	0.020±0.001	0.021±0.002
<b>5000</b>	Gloss, GU	84.1±9.8	67.4±8.1	69.0±3.5	73.9±4.6	76.9±2.7	92.0±5.8
	Gloss change, GU	-15.9±9.0	-33.1±6.9	-31.3±3.0	-29.5±4.8	-24.6±2.9	-10.1±4.4
	Roughness, µm	0.042±0.014	0.090±0.040	0.096±0.020	0.088±0.021	0.039±0.006	0.044±0.012
	Roughness change, µm	0.022±0.014	0.070±0.040	0.074±0.019	0.067±0.020	0.020±0.006	0.023±0.012
	Wear depth, µm	0.089±0.039	0.224±0.146	0.379±0.100	0.332±0.048	0.083±0.027	0.113±0.047
			Bcd	ACE	aBef	aef	Bcd
Column names		A	B	C	D	E	F

Statistically significant differences were found in wear depth. Upper-case letters to indicate results significant at the *p* values of 0.05 level and lower-case to indicate results significant at the *p* values of 0.001 level.

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**Table 3** Surface finish and wear depth of polished bovine enamel brushing with alumina abrasives (n=8, mean ± standard deviation)

Brush strokes	Parameters	0.25% (w/w) spherical alumina	0.5% (w/w) spherical alumina	1% (w/w) spherical alumina	1% (w/w) P10 alumina	1% (w/w) 3 µm alumina
<b>Before</b>	Gloss, GU	106.5±0.9	106.1±0.7	106.5±1.3	106.7±0.3	106.4±0.6
	Roughness, µm	0.014±0.001	0.014±0.001	0.014±0.001	0.014±0.001	0.014±0.001
<b>5000</b>	Gloss, GU	89.8±3.9	90.0±2.3	89.5±5.8	99.7±1.5	89.4±5.5
	Gloss change, GU	-16.7±3.6	-16.1±2.4	-17.1±6.1	-7.0±1.5	-17.0±5.2
	Roughness, µm	0.059±0.007	0.056±0.007	0.057±0.013	0.040±0.005	0.062±0.011
	Roughness change, µm	0.046±0.007	0.042±0.007	0.043±0.014	0.026±0.005	0.047±0.012
	Wear depth, µm	0.176±0.033	0.182±0.029	0.220±0.072	0.119±0.028	0.320±0.101
Column names		A	B	C	D	E

Statistically significant differences were found in wear depth. Upper-case letters to indicate results significant at the *p* values of 0.05 level and lower-case to indicate results significant at the *p* values of 0.001 level.

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**Table 4** Surface finish and wear depth of partially roughened bovine enamel brushing with silica abrasives (n=8, mean ± standard deviation)

<b>Brush strokes</b>	<b>Parameters</b>	<b>0.1% (w/w) spherical silica gel</b>	<b>0.5% (w/w) spherical silica gel</b>	<b>3% (w/w) spherical silica gel</b>	<b>5% (w/w) spherical silica gel</b>	<b>4% (w/w) AC 43 silica</b>	<b>15% (w/w) Zeodent 113 silica</b>
<b>Before</b>	Gloss, GU	17.6±5.3	16.9±4.4	12.6±2.3	16.1±4.2	16.4±4.6	16.0±3.7
	Roughness, µm	0.147±0.029	0.149±0.033	0.176±0.031	0.150±0.025	0.151±0.029	0.172±0.025
<b>3000</b>	Gloss, GU	39.1±6.8	59.2±11.0	69.7±4.2	73.0±6.2	31.1±5.8	27.7±8.0
	Gloss change, GU	21.5±5.5	42.3±8.8	57.1±3.3	56.9±8.2	14.7±7.2	11.7±6.5
	Roughness, µm	0.111±0.016	0.097±0.025	0.100±0.039	0.079±0.014	0.123±0.027	0.142±0.035
	Roughness change, µm	-0.037±0.016	-0.053±0.019	-0.075±0.032	-0.071±0.018	-0.028±0.012	-0.030±0.025
	Wear depth, µm	0.239±0.056	0.249±0.049	0.354±0.095	0.266±0.061	0.258±0.050	0.260±0.038

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**Table 5** Surface finish and wear depth of partially roughened bovine enamel brushing with alumina abrasives (n=8, mean ± standard deviation)

<b>Brush strokes</b>	<b>Parameters</b>	<b>0.25% (w/w) spherical alumina</b>	<b>0.5% (w/w) spherical alumina</b>	<b>1% (w/w) P10 alumina</b>	<b>1% (w/w) 3 µm alumina</b>
<b>Before</b>	Gloss, GU	15.9±3.2	12.0±3.0	16.4±5.0	11.6±2.1
	Roughness, µm	0.153±0.022	0.184±0.038	0.163±0.030	0.192±0.040
<b>3000</b>	Gloss, GU	70.4±7.7	69.9±8.1	49.1±17.8	67.4±10.8
	Gloss change, GU	54.5±7.8	57.9±6.8	32.7±13.8	55.8±10.2
	Roughness, µm	0.082±0.018	0.095±0.018	0.109±0.032	0.100±0.025
	Roughness change, µm	-0.072±0.018	-0.089±0.026	-0.054±0.014	-0.092±0.027
	Wear depth, µm	0.254±0.063	0.310±0.062	0.255±0.066	0.381±0.073

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615 **Figure legends**

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Figure 1 Representative SEM micrographs of the tested abrasives particles. a) spherical silica gel; b) AC 43 silica; c) Zeodent 113 silica; d) spherical alumina; e) P10 Feinst alumina; and f) 3  $\mu\text{m}$  alumina. Differences in particle size and range of morphologies for the abrasives can be clearly observed. The spherical silica gel and spherical alumina were of their reported morphologies and AC 43 silica, Zeodent 113 silica, P10 Feinst alumina and 3  $\mu\text{m}$  alumina displayed an angular shape.

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Figure 2 a) Stain removal efficacy for roughened bovine enamel specimens after 1000 strokes when brushed with the test silica abrasives; b) Representative images of enamel surfaces before staining, after staining and post-stain removal with 1000 brush strokes. (left to right, upper row): before stain; after staining; stain brushing with 0.1% (w/w) spherical silica gel; stain brushing with 0.5% (w/w) spherical silica gel; (left to right, lower row): stain brushing with 3% (w/w) spherical silica gel; stain brushing with 5% (w/w) spherical silica gel; stain brushing with 4% (w/w) AC 43 silica; stain brushing with 15% (w/w) Zeodent 113 silica.

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Figure 3 a) Stain removal efficacy for roughened bovine enamel specimens after 1000 strokes when brushed with the test alumina abrasives; b) Representative images of enamel surfaces before staining, after staining and post-stain removal with 1000 brush strokes. (left to right, upper row): before stain; after staining; stain brushing with 1% (w/w) P10 alumina; (left to right, lower row): stain brushing with 1% (w/w) 3  $\mu\text{m}$  alumina; stain brushing with 0.25% (w/w) spherical alumina; stain brushing with 0.5% (w/w) spherical alumina.

Figure 4 Cleaning efficiency index (CEI) data of the tested abrasives based on the equation in the text by using the enamel wear depth and stain removal data. Negative CEI value indicated the stain removal efficacy was lower than 50%.

Figure 5 Representative SEM micrographs of wear patterns on the polished enamel surfaces after 5000 strokes when brushed alumina abrasives. Red arrows indicate the brushing direction. The majority of the wear occurred as two-body wear (grooving wear) and also a considerable number of indents were evident due to the three-body wear (rolling wear). a) 0.25% (w/w) spherical alumina; b) 0.5% (w/w) spherical alumina; c) 1% (w/w) spherical alumina; d) 1% (w/w) P10 Feinst alumina; e) 1% (w/w) 3  $\mu\text{m}$  alumina.

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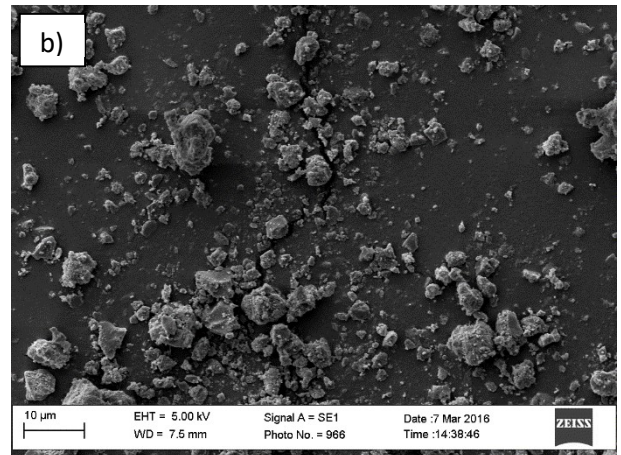
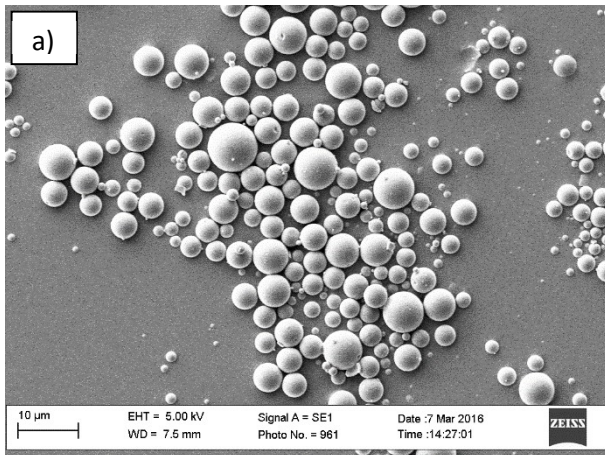
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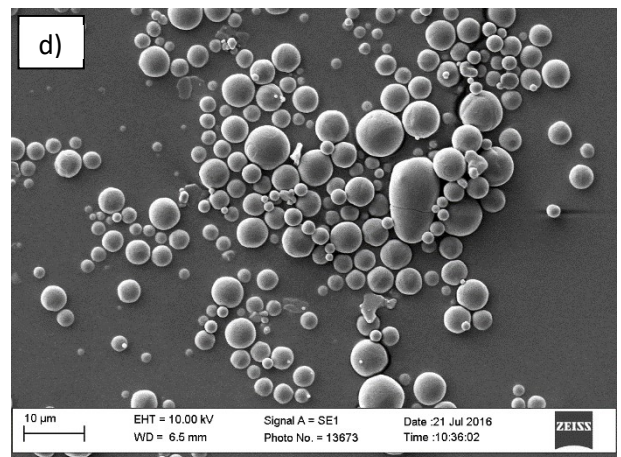
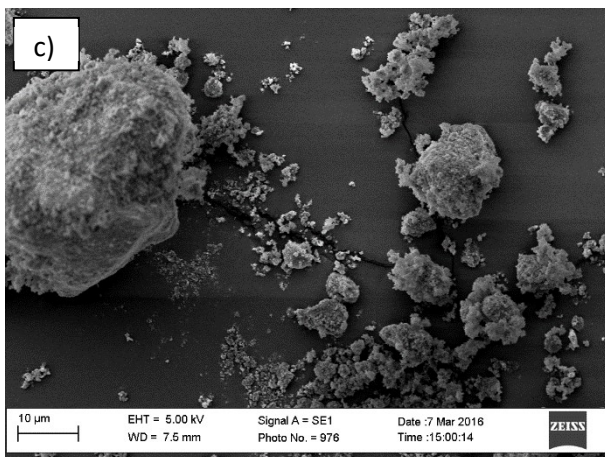
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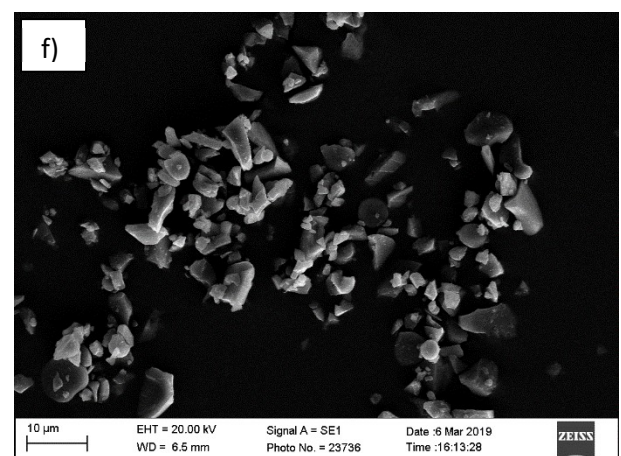
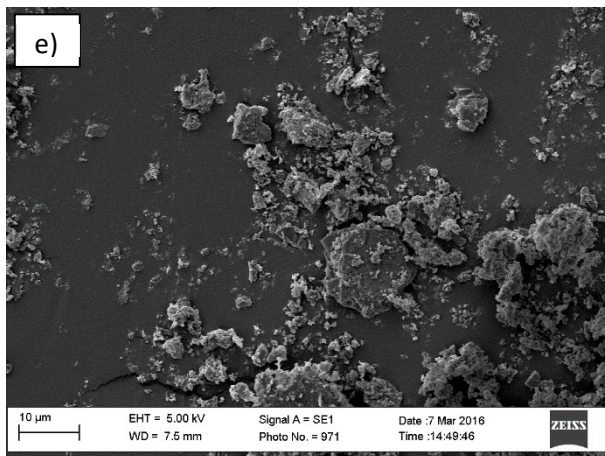
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645 Figure 1

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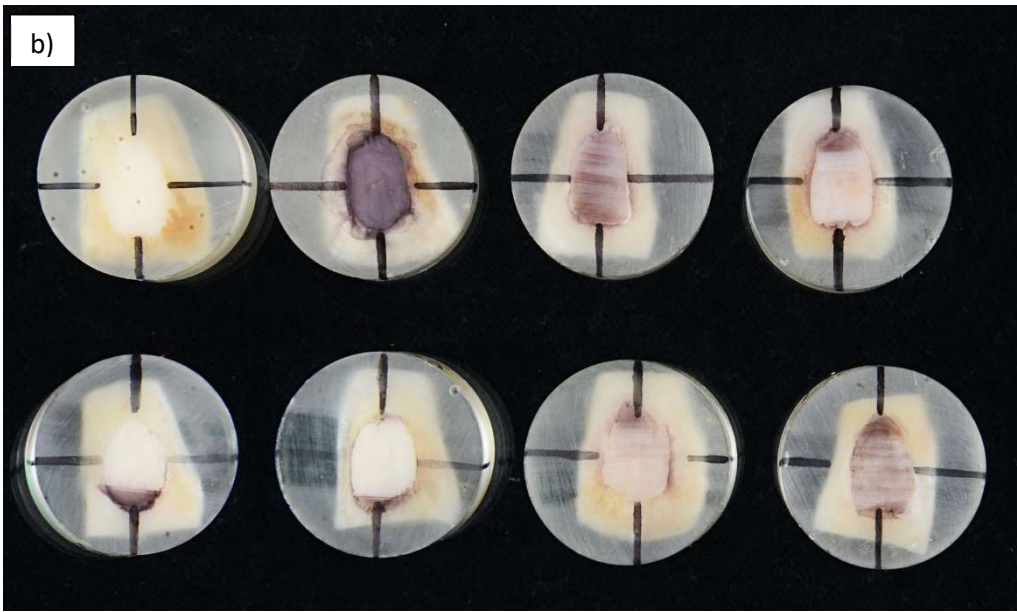
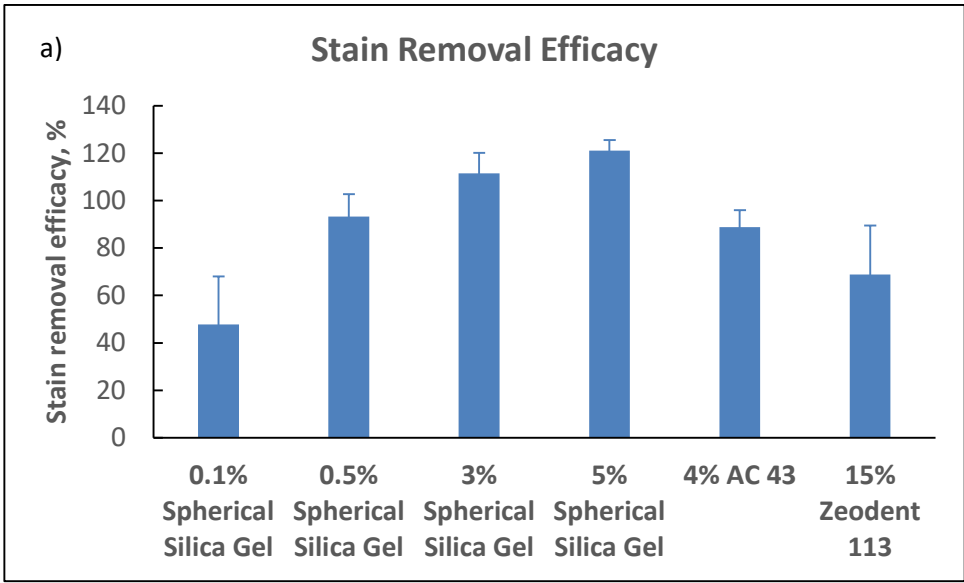


Figure 2

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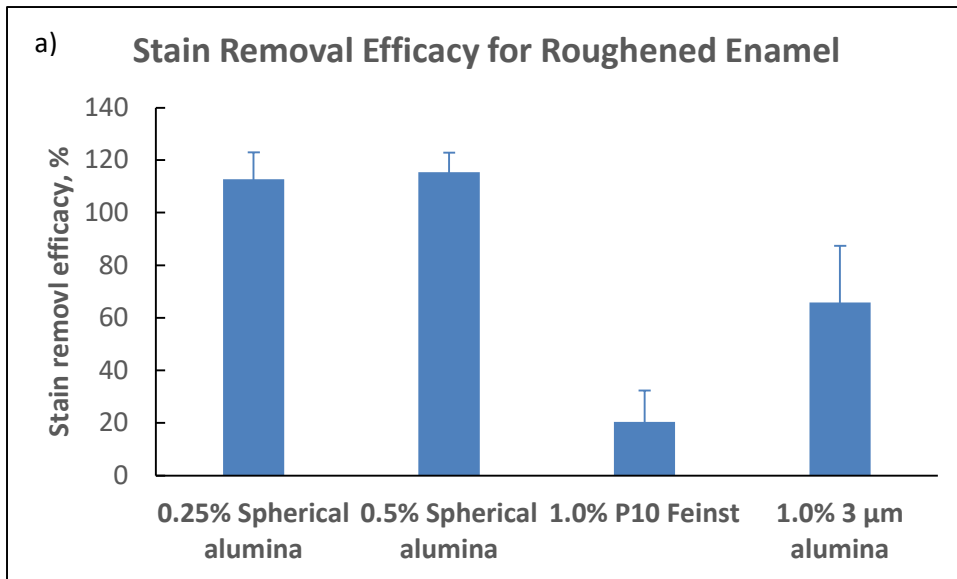
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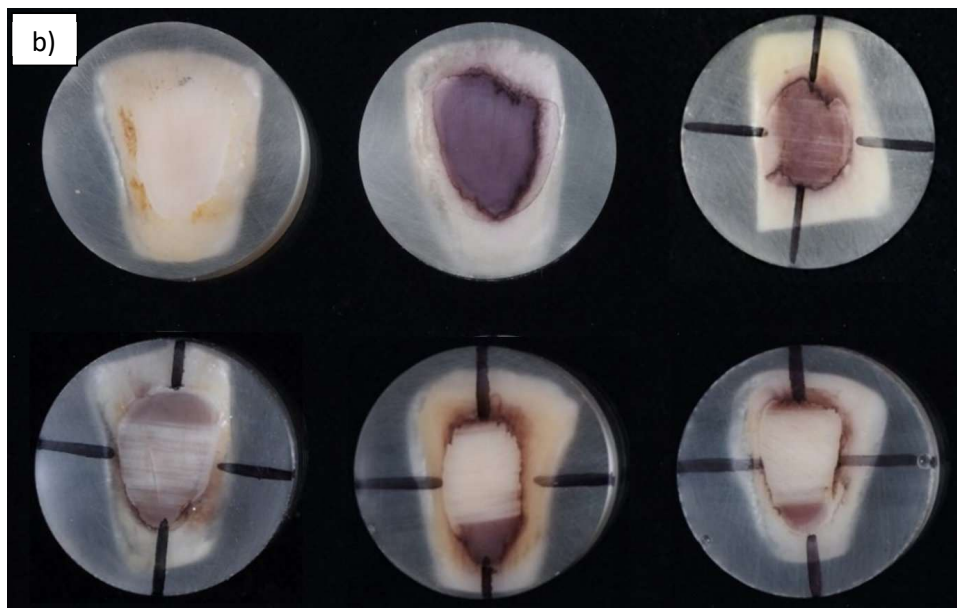
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697 Figure 3

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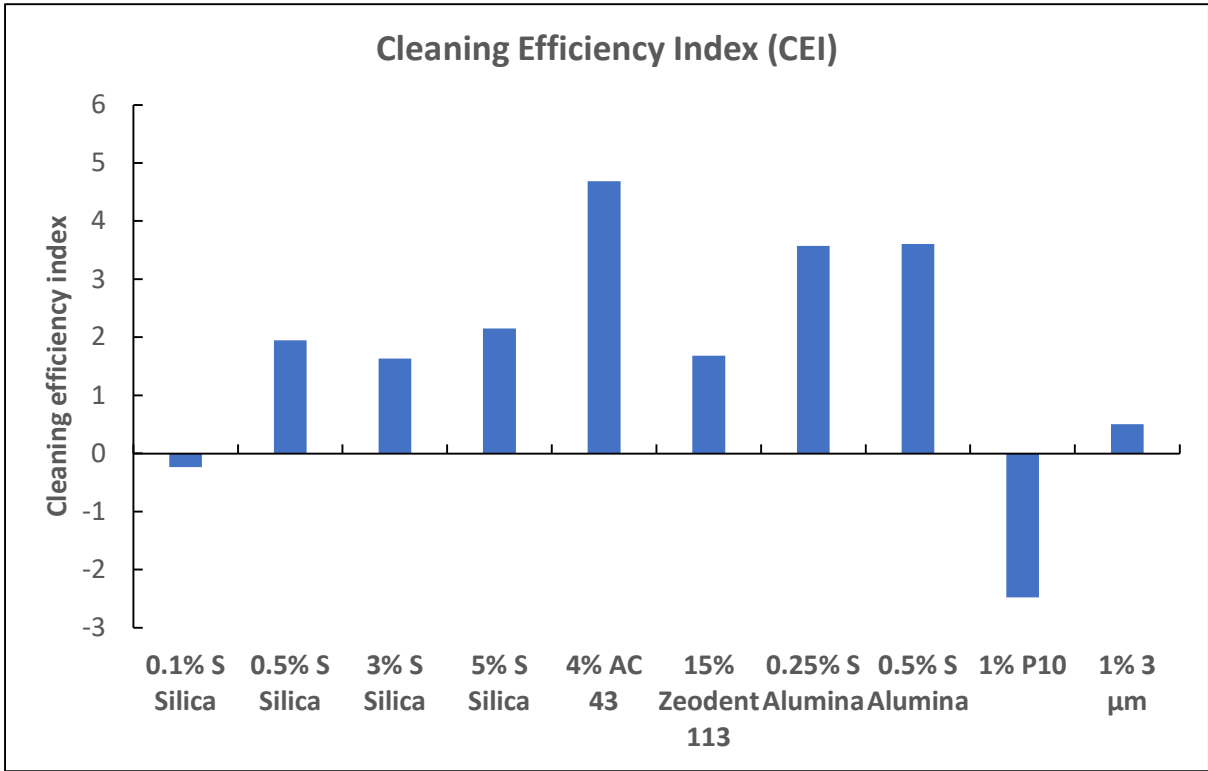


Figure 4



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753 Figure 5

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