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Zhang, Yongqun; Wang, Zhuolin; Jiang, Lixue; Skalomenos, Konstantinos; Zhang, Dongbo

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1 **Seismic fragility analysis of masonry structures considering the**
2 **effect of mainshock-aftershock sequences**

3 Yongqun Zhang^{a,b,c}, Zhuolin Wang^{c*}, Lixue Jiang^c, Konstantinos Skalomenos^d, Dongbo Zhang^c

4

5 a. Key Laboratory of Earthquake Engineering and Engineering Vibration, Institute of Engineering Mechanics,
6 China Earthquake Administration, Harbin, China

7 b. Key Laboratory of Earthquake Disaster Mitigation, Ministry of Emergency Management, Harbin, China

8 c. Shanghai Key Laboratory of Engineering Structure Safety, Shanghai Research Institute of Building Sciences
9 Co. Ltd, Shanghai, PR China

10 d. Department of Civil Engineering, The University of Birmingham, B15 2TT, Edgbaston, Birmingham, United
11 Kingdom

12

13 **Abstract:** Earthquake investigations indicate that strong aftershocks can further
14 aggravate the structural damage and low attention has been given to understand the
15 seismic performance of masonry structures under seismic sequences. This manuscript
16 studies the seismic fragility of masonry structures considering the effect of aftershocks
17 by proposing a simplified probabilistic approach. In total, 36,000,000 stochastic
18 earthquake-structure samples were generated using Monte Carlo simulation to consider
19 the uncertainty of earthquake ground motions and structures, while seismic fragility
20 curves of masonry structures were obtained by considering the following parameters:

21 1) aftershock intensity, 2) seismic wall area ratio, 3) site conditions, 4) the number of
22 storeys, 5) reinforced concrete (RC) tie column, 6) mortar strength. The interstorey drift
23 ratio was employed to assess the structural performance levels. The results showed that
24 strong aftershocks have a significant influence on the fragility curves of masonry
25 structures. The probability of exceeding the collapse limit state of structures can
26 increase by 32.2% due to aftershock effect. Among the examined parameters it was
27 found that the number of storeys has the greatest effect on the seismic fragility of
28 masonry structures. By increasing the number of storeys, the probability of exceedance
29 of the collapse damage state can increase up to 28.7%. The derived fragility curves are
30 validated against a finite element method, which indicate the rationality of the proposed
31 methodology.

32 **Key words:** masonry structures; mainshock-aftershock sequences; fragility curves;
33 seismic performance assessment; uncertainty

34

35 **1 Introduction**

36 Past earthquakes showed that a major earthquake (mainshock) is always followed
37 by secondary earthquakes (aftershock), and the mainshock and the aftershocks
38 constitute a mainshock-aftershock (M-A) sequence. Although the magnitude of
39 aftershock tends to be lower than that of the mainshock, the aftershock can still produce
40 moderate and strong ground motions that can further aggravate the structural damage
41 produced by the mainshock. The aftershock events of the 1999 Chi-Chi earthquake [1],

42 the 2008 Wenchuan earthquake [2], the 2010 New Zealand earthquake [3], the 2011
43 Tohoku earthquake [4], and the 2015 Nepal earthquake [5] further increased structural
44 damage of structures caused by the main event and in some cases led to the structural
45 collapse, resulting in an increase in casualties and economic losses. Meanwhile, it has
46 been observed that no effective repair or strengthening works can be done within short
47 interval between a mainshock and the corresponding aftershock [6]. To investigate the
48 effect of aftershocks, several researchers have studied the effect of M-A sequences on
49 structures, mainly on reinforced concrete frames [7][8][9][10], steel frames
50 [11][12][13], and wooden structures [14][15]. However, less attention has been paid to
51 masonry structures [16], and the related fields still need to be further studied.

52 Masonry structures have been widely used in China due to its simple construction
53 and low cost. However, masonry structures exhibit obvious brittle characteristics and
54 poor structural integrity due to the low tensile, flexural and shear strength of masonry.
55 As a result, masonry structures are seriously damaged by earthquakes [17], especially,
56 under M-A sequences. Vulnerability assessment is a commonly used method to evaluate
57 structural performance. Sun and Zhang [18] proposed seismic damage probability
58 matrices based on 38 regions in 17 earthquake events. Asteris et al. [19] conducted a
59 vulnerability assessment method of historical masonry structures by utilizing a finite
60 element method. Borzi et al. [20] and Ahmad et al. [21] established a simplified
61 pushover-based method for masonry structures to obtain the fragility curves.
62 Lagomarsino et al. [22] proposed a macroseismic vulnerability model for existing

63 masonry buildings, which can be used for seismic risk assessment on a regional and
64 national scale. Shabani et al. [23] reviewed the simplified analytical methods for the
65 seismic vulnerability assessment of unreinforced masonry structures. To consider the
66 effect of aftershocks, Rinaldin and Amadio [16] studied the structural response through
67 time history dynamic analysis on a single-degree-of-freedom (SDOF) system. It has
68 been seen from the above studies that although fragility analysis is a well-accepted
69 method to analyze the seismic vulnerability of masonry structures, the effect of
70 aftershocks is ignored.

71 This manuscript tends to propose a simplified probabilistic approach for seismic
72 fragility of masonry structures. According to the location of RC tie columns of masonry
73 structures built in different times, the masonry structures are divided into five categories,
74 and the corresponding performance levels and interstorey drift ratio thresholds for
75 assessing their seismic performance are defined. In total, 36,000,000 stochastic
76 earthquake-structure system models are developed to support the construction of the
77 M-A fragility curves of masonry structures. The effects of the seismic wall area ratio,
78 aftershock intensity, site condition, number of storeys, RC tie column, and mortar
79 strength are systematically investigated.

80 **2 Seismic vulnerability assessment**

81 Fragility curves are often used to describe the conditional probability that a
82 structure can reach or exceed for a given damage state resulted by subjecting the
83 structure to a ground motion with a specific intensity. To obtain the seismic fragility

84 curves of building structures, the peak ground acceleration (PGA) of ground motions is
 85 used as the intensity of input ground motions according to the current seismic design
 86 codes [24]. Considering the lognormal distribution assumption of structural response
 87 and damage limit state, the conditional probability $P(\cdot)$ that the interstorey drift ratio
 88 demand of structures $IDR_{\max}|PGA$ exceeds the interstorey drift ratio capacity of damage
 89 limit state for a given PGA can be calculated from Eq.(1).

$$90 \quad P\left(IDR_{\max}|PGA > IDR_{LSi}\right) = 1 - \Phi\left(\frac{\ln\left(IDR_{LSi}/\overline{IDR}_{\max}\right)}{\sqrt{\beta_c^2 + \beta_d^2}}\right) \quad (1)$$

91 where LSi ($i = 1\sim 5$) represents the i th damage limit state of structure; $\Phi(\cdot)$ is the normal
 92 standard distribution function; IDR_{LSi} is the median interstorey drift ratio value of the
 93 i^{th} damage limit state; \overline{IDR}_{\max} is the median value of the interstorey drift ratio response
 94 of the structures; β_c represents the logarithmic standard deviation of the IDR_{LSi} ; β_d
 95 represents the logarithmic standard deviation of the IDR_{\max} .

96 The calculation method of structural fragility curves for M-A sequences is the
 97 same as that of a single earthquake. The difference is that the structural demand for M-
 98 A sequences is larger, which is affected not only by the PGA of mainshock (PGA_{ms}),
 99 but also by the PGA of aftershock (PGA_{as}). Therefore, to calculate the fragility curves
 100 for M-A sequences, the $IDR_{\max}|PGA$ will be replaced by $IDR_{\max}|PGA_{\text{seq}}$ for M-A
 101 sequences in Eq.(1). The $IDR_{\max}|PGA_{\text{seq}}$ represents the interstorey drift ratio for M-A
 102 sequences.

103 To facilitate statistical analysis, the relative intensity of aftershock (γ) is introduced
 104 and defined as the ratio of PGA_{as} to PGA_{ms} . To investigate the influence of aftershocks

105 with different intensity, 6 levels of γ is adopted: $\gamma = 0, 0.2, 0.4, 0.6, 0.8,$ and $1.0,$ where
106 $\gamma = 0$ considers only the mainshock effect.

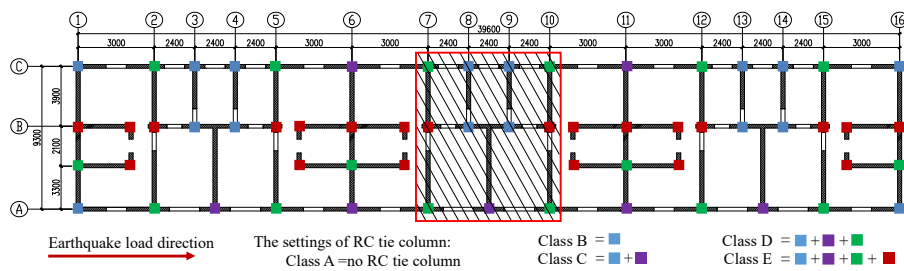
107 **3 Structural capacity and seismic demand assessment**

108 **3.1 Structural performance levels**

109 Performance-based seismic design has been established over the last decades to
110 set appropriate seismic performance objectives for structural design, so that the damage
111 state and economic loss of the structure under severe earthquakes can meet the
112 requirements of the owner/stakeholder. The performance level describes the structural
113 damage limit state and the damage index required to define the damage limit state.
114 According to the Reference [24][25], five performance levels are considered: (a)
115 Negligible (LS1), (b) Minor (LS2), (c) Moderate (LS3), (d) Severe (LS4), and (f)
116 Collapse (LS5), respectively. Common damage indices include the bearing capacity,
117 deformation capacity, and energy consumption capacity. Investigations show [26][27]
118 that storey displacement or interstorey drift ratio can comprehensively reflect the
119 damage state of masonry structures, which is widely applied in seismic design and
120 performance assessment.

121 There are great differences in the design of RC tie columns for masonry structures
122 in different areas in China due to economical reasons, therefore, masonry wall systems
123 are firstly classified to five classes according to the location of RC tie columns (see
124 Fig.1), namely as: class A (no RC tie columns), class B (RC tie columns located at blue
125 square in Fig.1), class C (RC tie columns located at blue and purple square in Fig.1),

126 class D (RC tie columns located at blue, purple, and green square in Fig.1), and class E
 127 (RC tie columns located at blue, purple, green, and red square in Fig.1), respectively.
 128 For example, the masonry structures built in 1970s do not have structural columns, that
 129 is, class A in Fig. 1; The location of RC tie columns for masonry structures built in
 130 2010s, which are located at all color squares, is same as class E in Fig. 1. Then,
 131 interstorey drift ratio limit values of each type of masonry structures are determined.
 132 Jiang et al. [28] summarized numerous investigations of brick wall tests and obtained
 133 the interstorey drift ratios corresponding to each performance level of masonry
 134 structures, as shown in Table 1. According to Borzi et al. [20], the interstorey drift ratio
 135 of each damage limit states obeys lognormal distribution. The coefficient of variations
 136 (COVs) of the interstorey drift ratios for LS1, LS2, LS3, LS4, and LS5 limit state are
 137 35%, 30%, 35%, 35% and 35%, respectively. Finally, the maximum interstorey drift
 138 ratio due to M-A sequences was observed, and the degree of structural damage can be
 139 determined.



140

141 Fig. 1. Plane of basic structure model and the location of RC tie columns (unit: mm).

142

Table 1 Interstorey drift ratio limits for each performance level.

Performance level	Limit state	A	B	C	D	E
Negligible	LS1			0.04%		

Minor	LS2	0.08%				
Moderate	LS3	0.13%				
Severe	LS4	0.26%	0.28%	0.31%	0.39%	0.46%
Collapse	LS5	0.39%	0.43%	0.52%	0.65%	0.79%

143 3.2 Interstorey drift ratio demand

144 In this study, the failure mode of multi-storey masonry structures is assumed to be
145 dominated by shear, and the storey shear capacity-storey displacement curve is assumed
146 to follow the principles of the elastic-perfectly plastic (EPP) model. The storey yield
147 strength coefficient ξ_i , can be calculated as Eq. (2) [29], is defined as the ratio of the
148 ultimate shear strength of the i^{th} storey to the seismic shear force V_i of the i^{th} storey.

$$149 \quad \xi_i = \frac{0.9R_{ui}}{V_i} = \frac{0.11\rho_i}{\alpha_{\max}g_e} \cdot \frac{n+1}{(n+i)(n-i+1)} \sqrt{f_{2,i} + \frac{8.33g_e(n-i+1)\sqrt{f_{2,i}}}{\rho_i + \rho'_i}} \quad (2)$$

150 where ξ_i is the storey yield strength coefficient of the i^{th} storey; R_{ui} is the ultimate shear
151 capacity of i^{th} storey; V_i is the seismic shear force of the i^{th} storey; g_e is a combined
152 gravity load that consider the effect of dead load and live Load (1.0 Dead load + 0.5
153 Live Load) [24]; n is the number of storeys; α_{\max} is the maximum seismic influence
154 coefficient, which can be determined as $\alpha_{\max}=2.25 \cdot \text{PGA}_{\text{ms}} \cdot (1+0.03 \cdot \gamma)$ for M-A
155 sequences; ρ_i and ρ'_i is the seismic wall area ratio in calculation direction and
156 orthogonal direction of the i^{th} storey, which represents the ratio of the cross-sectional
157 area of masonry wall in half-storey height to the storey area; $f_{2,i}$ is average compressive
158 strength of the mortar used in i^{th} storey. The coefficient of 0.11 in Eq. (2) should be
159 changed to $0.11 \times 0.85 = 0.094$ [29] when n is equal to 1.

160 The strength reduction factor R can be expressed as the inverse of minimum ξ_i

161 (that is $\xi_{i,\min}$), which is shown in Eq. (3). Because RC tie column has a great influence
 162 on storey yield strength coefficient ξ_i (described in Fig. 2), influence coefficient η is
 163 introduced to consider the influence of RC tie columns on the lateral capacity of
 164 masonry structures. According to *Standard for seismic appraisal of buildings* [30], for
 165 class A, class B, class C, class D, and class E, the η are 1.0, 1.05, 1.1, 1.2, and 1.3
 166 respectively.

$$167 \quad R = \frac{1}{\eta \cdot \xi_{i,\min}} = \frac{\alpha_{\max} g_e n}{0.11 \rho_i \sqrt{f_{2,i} + \frac{8.33 n g_e \sqrt{f_{2,i}}}{\rho_i + \rho'_i}}} \quad (3)$$

168 Generally, the soft-storey of a regular masonry structure is located at the bottom
 169 storey of buildings, so the i in Eq.(3) can be approximated to be 1.

170 Considering the effect of shear deformation, bending deformation and coupling of
 171 wall limbs, Jiang et al. [31] proposed a calculation formula for the natural period $T_{0,e}$ of
 172 multi-storey masonry structures, which is expressed by Eq. (4).

$$173 \quad T_{0,e} = \left(0.132 + 0.050 \frac{H}{B} \right) \sqrt{\frac{g_e}{f_m^{1.5} h \rho}} \cdot H \quad (4)$$

174 where H and B are the structural height and width, respectively; f_m is the average
 175 compressive strength of masonry; h is the height of the soft-storey.

176 The ductility factor μ is obtained by R through R - μ - T relationship [32]. In this
 177 manuscript, the R - μ - T relationship proposed by Zhang [33], which is calculated as
 178 shown in Eq. (5), is adopted, because the expression considers the effect of M-A
 179 sequences.

180
$$R = 1 + \frac{a_0 (a_1 T_{0,e} + T_{0,e}^2)(a_4 + \mu)}{(1 + a_2 T_{0,e} + a_3 T_{0,e}^2)(1 + a_5 \mu)} \frac{1}{0.87 + 0.08 e^{1.2\gamma}} \quad (5)$$

181 where a_0, a_1, a_2, a_3, a_4 and a_5 are parameters depending on site classes. The site classes
 182 are determined according to V_{20} [24] and listed in Table 2.

183 Table 2 The value of $a_0 \sim a_5$.

Site class	V_{20}	V_{30}	a_0	a_1	a_2	a_3	a_4	a_5
I	$V_{20} > 500\text{m/s}$	$V_{30} > 596\text{m/s}$	0.86	10.83	9.68	0.57	-0.79	0.02
II	$250\text{m/s} < V_{20} \leq 500\text{m/s}$	$278\text{m/s} < V_{30} \leq 596\text{m/s}$	0.71	13.21	9.97	0.98	-0.84	0.01
III	$150\text{m/s} < V_{20} \leq 250\text{m/s}$	$158\text{m/s} < V_{30} \leq 278\text{m/s}$	1.03	10.93	11.49	0.77	-0.95	0.04
IV	$V_{20} \leq 150\text{m/s}$	$V_{30} \leq 158\text{m/s}$	0.66	13.25	9.95	0.55	-0.81	0.01

184 To evaluate the displacement response of masonry structures, an equivalent elastic
 185 single-degree-of-freedom system was proposed by Lin and Lin [34] is adopted, and the
 186 equivalent elastic period T_{eq} and the equivalent damping ζ_{eq} are computed by Eq. (6)
 187 and Eq. (7), respectively.

188
$$T_{eq} = T_{0,e} \sqrt{\mu} \quad (6)$$

189
$$\zeta_{eq} = \zeta_0 + 0.079 T_{0,e}^{-0.252} \sqrt{R-1} \quad (7)$$

190 where ζ_0 is the elastic viscous damping coefficient.

191 Based on T_{eq} and ζ_{eq} , the damping reduction factor B can be determined by Eq. (8)
 192 [29].

193
$$B = \begin{cases} 1 + \frac{0.05 - \zeta_{eq}}{0.06 + 1.4 \zeta_{eq}} & (T_{eq} \leq T_g) \\ \left(1 + \frac{0.05 - \zeta_{eq}}{0.06 + 1.4 \zeta_{eq}} \right) \cdot \left(\frac{T_g}{T_{eq}} \right)^{0.9 + \frac{0.05 - \zeta_{eq}}{0.5 + 5 \zeta_{eq}}} & (T_{eq} > T_g) \end{cases} \quad (8)$$

194 where T_g is the characteristic period, which can be obtained from Reference [24].

195 The yield spectral displacement S_{dy} and inelastic spectral displacement S_{dp} can be
196 obtained by Eq.(9) and Eq.(10) [35], respectively.

197
$$S_{dy} = \frac{S_{de}}{R} = \frac{T_{0,e}^2}{4\pi^2} \cdot \frac{\alpha_{max} g}{R} \quad (9)$$

198
$$S_{dp} = \frac{T_{eq}^2}{4\pi^2} \cdot \alpha_{max} g \cdot B \quad (10)$$

199 where S_{de} is the elastic spectral displacement of the single-degree-of-freedom (SDOF)
200 system.

201 The inelastic displacement δ_p of the soft storey can be obtained by Eq.(11) [36].

202
$$\delta_p = \delta_y + \frac{S_{dp} - S_{dy}}{0.8 + 0.1n} = \frac{h}{\Gamma_h H} S_{dy} + \frac{S_{dp} - S_{dy}}{0.8 + 0.1n} \quad (11)$$

203 where δ_y is the yield displacement of the soft storey; Γ_h is the modal height coefficient.

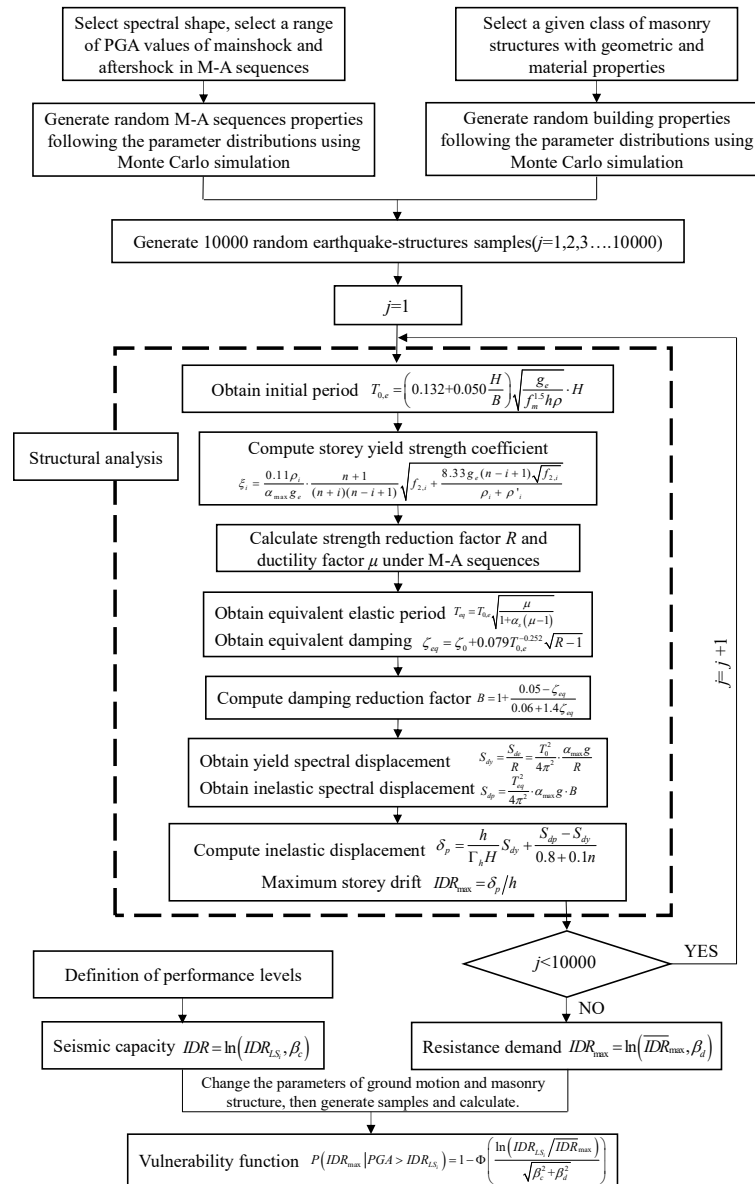
204 The maximum interstorey drift ratio IDR_{max} can be estimated as Eq. (12).

205
$$IDR_{max} = \delta_p / h \quad (12)$$

206 Base on the calculation of IDR_{max} , the flow charts for the seismic fragility function
207 of masonry structures is presented in Fig.2.

208 The proposed method (Eq. (2)~Eq. (11)) applies to the masonry structures with a
209 height up to 21m, dominated by shear deformation, and with a uniform distribution of
210 mass and stiffness along the height of the building. This method is not applicable to
211 irregular structures with significant torsional effects. Moreover, the equivalent base
212 shear method, which assumed that the horizontal seismic load is distributed in an
213 inverted triangle along the height of the building, was adopted to establish Eq. (2) and
214 Eq. (3).

215 The out-of-plane failure and the interaction between the in-plane and out-of-plane
 216 actions [37] is not considered in this study. When the vertical load of the masonry wall
 217 is small and the out-of-plane constraint is poor (e.g., wooden floor, large walls without
 218 out-of-plane support), the influence of out-of-plane damage should be considered, and
 219 further research is needed.



220
 221 Fig. 2. Calculation flowchart of seismic fragility analysis of masonry structures.

222 The effect of hysteretic energy on structural damage is not considered in this

223 method. The reason is that when the two-parameter model (e.g. Park-Ang model) is
224 used as the damage index, the R - μ - T relationship of Eq. (5) will be replaced by the R -
225 μ - D - T relationship [38][39], in which the D and μ are unknown and the calculation
226 flowchart (see Fig. 2) cannot continue.

227 **4 Earthquake-structure system**

228 **4.1 Basic structure model (BSM)**

229 A 5-storey masonry structure was designed as the basic structure model (BSM),
230 with a storey height of 3.0m, as shown in Fig. 1. The width and length of BSM is 9.3m
231 and 39.6m, respectively. The thickness of all masonry walls is 240mm, so the ρ (X-
232 direction) and ρ' (Y-direction) are 0.049 and 0.084, respectively. The g_e is 12.0kN/m².
233 The compressive strength of mortar and masonry are 2.5MPa and 2.90MPa,
234 respectively. The site condition of BSM is site class II.

235 **4.2 Random variables to describe uncertainty in earthquake-structure system**

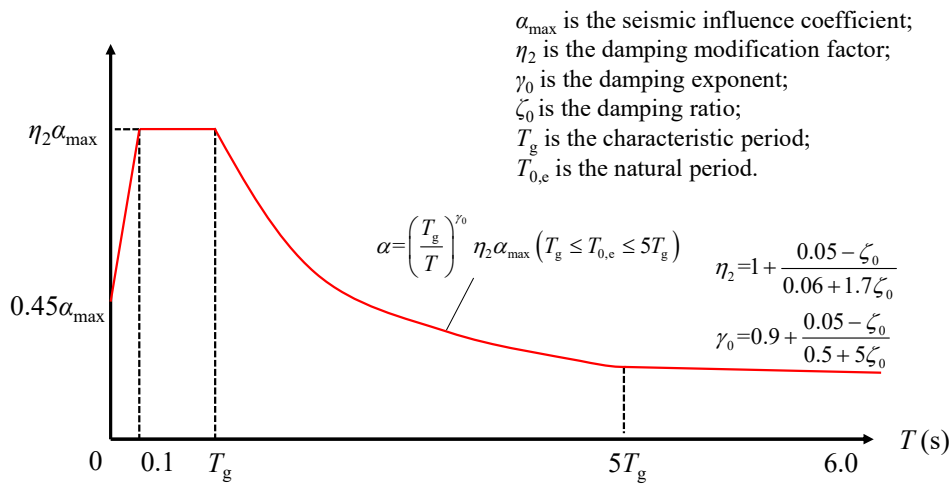
236 The structural response is determined by the characteristics of structures and
237 earthquakes. As a result, the uncertainty of an earthquake-structure system includes
238 structural uncertainty and earthquake uncertainty. There are many factors causing the
239 uncertainty of masonry structures, including the uncertainty of materials and the
240 uncertainty of geometry dimensions. The compressive strength of mortar f_2 and
241 masonry f_m are used as random variables to reflect the uncertainty induced by the
242 construction of masonry walls. The length L , width B , height h , and wall thickness t of
243 masonry structures are used as random variables to reflect the uncertainty induced by

244 the geometrical dimensions of the structures themselves. Moreover, to comprehensively
 245 reflect the uncertainty of structures, it is also necessary to investigate the elastic
 246 damping ratio ζ , the seismic wall area ratio ρ , and the combined gravity load g_e .
 247 Moreover, the PGA_{ms} and characteristic period T_g are employed to reflect the
 248 uncertainty induced to the analysis system by the M-A sequences. The lower value of
 249 PGA_{ms} is 0. The curve of α_{max} , which is related to PGA of the input seismic motions, is
 250 plotted in Fig. 3 according to Chinese code GB 50011-2010 [24]. The characteristics of
 251 the parameter uncertainty of the BSM are shown in Table 3.

252 Table 3 Random variables used in basic structure model and earthquake motions.

Parameter	Mean Value	Coefficient of variation	Distribution model	references
Height $h(m)$	3.0	5.0%	Normal	[20], [21]
Length $L(m)$	39.6	5.0%	Normal	[20], [21]
Width $B(m)$	9.3	5.0%	Normal	[20], [21]
Wall thickness $t(m)$	0.24	5.0%	Normal	[20], [21]
Compression strength of masonry $f_m(MPa)$	2.90	17.0%	Normal	[20], [21], [40]
Compression strength mortar $f_2(MPa)$	2.5	30.0%	Normal	[20], [21], [40]
Seismic wall area ratio of Y-direction wall ρ'	0.084	3.5%	Normal	[20]

Seismic wall area ratio of X-direction wall ρ	0.049	4.1%	Normal	[20]
Combined gravity load g_e	11.0	7.0%	Normal	[40]
Elastic damping ratio ζ	0.05	30.0%	Normal	[40]
PGA of mainshock PGA_{ms}	—	44.0%	Lognormal	[20], [40]
Characteristic period T_g (s)	0.55	10.5%	Uniform	[20]



253

254 Fig. 3. Seismic influence coefficient curve specific in GB 50011-2010 [24].

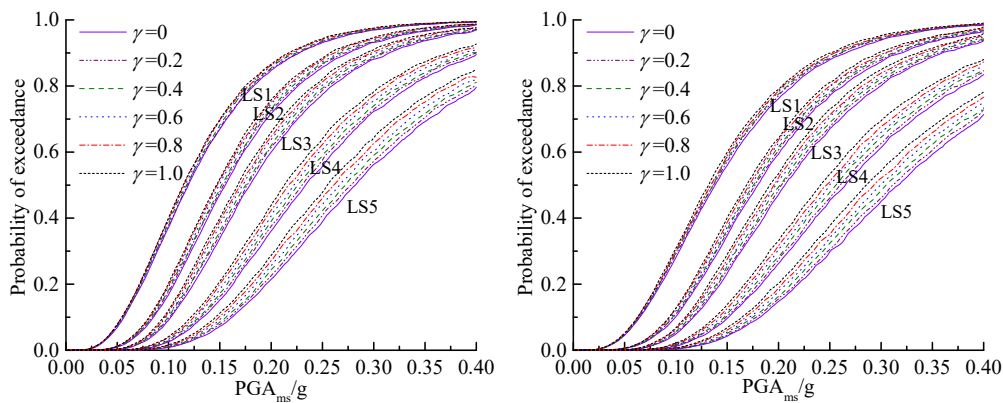
255 4.3 Earthquake-structure samples

256 Monte Carlo simulations were employed to generate random variables of
257 earthquake-structure system. To analyze the effect of different parameters on the
258 fragility curves of masonry structures, a total of 3,600 structure models were developed,
259 corresponding to the earthquake-structure system with 2 levels of seismic wall area
260 ratio, 6 levels of aftershock intensity, 4 levels of site condition, 3 levels of number of
261 storeys, 5 levels of the location of RC tie columns, and 5 levels of mortar strength. Each
262 model generates 10,000 earthquake-structure samples, thus 36,000,000 earthquake-

263 structure samples were obtained by developing 3,600 structure models.

264 5 Seismic fragility curves

265 According to the procedure described in Section 3, a total of 36,000,000
266 earthquake-structure samples are used to develop the seismic fragility curves. The
267 fragility curves of masonry structures with different ρ is shown in Fig. 4, the X-axis
268 represents PGA_{ms} , and the Y-axis represents the exceeding probability for different
269 damage limit states.



270

271 (a) Seismic wall area ratio $\rho = 0.049$ (b) Seismic wall area ratio $\rho = 0.068$

272 Fig. 4. The fragility curves for M-A sequences with different ρ and γ .

273 For the same damage limit state, the exceeding probability of masonry structures
274 increases as PGA_{ms} increases. Namely, with the increment of the earthquake intensity,
275 more masonry structures exceed the given damage limit state. Moreover, under same
276 PGA_{ms} , as the damage state worsens, the exceeding probability of the structures
277 gradually decreases.

278 The wall thickness of BSM is 240 mm, and ρ is 0.049. To study the influence of ρ ,
279 the thickness of exterior walls in BSM is changed to 370mm, and the corresponding ρ

280 is 0.068. As shown in Fig. 4, when $PGA_{ms}=0.2g$ and $\gamma=1.0$, the exceeding probability
281 of LS1 for $\rho=0.049$ and 0.068 are 86.6% and 79.1%, respectively. The exceeding
282 probability of LS3 for $\rho=0.049$ and 0.068 are 66.0% and 53.4%, respectively. The
283 exceeding probability of LS5 for $\rho=0.049$ and 0.068 are 28.7% and 20.2%, respectively.
284 The results show that the greater the ρ , the lower the vulnerability of masonry structures.
285 The earthquake load is mainly beared by the walls along the direction of earthquake
286 load. Therefore, the greater the ρ , the better the seismic performance, and the lower the
287 structural vulnerability.

288 When $PGA_{ms}=0.2g$ and $\gamma=0, 0.2, 0.4, 0.6, 0.8,$ and 1.0 , the exceeding probability
289 of LS1 for BSM (see Fig. 4a) are 85.2%, 85.3%, 85.6%, 85.9%, 86.2%, and 86.6%,
290 respectively. The exceeding probability of LS3 for BSM are 60.0%, 61.4%, 62.1%,
291 63.8%, 64.7%, and 66.0%, respectively, while the exceeding probability of LS5 for
292 BSM are 21.4%, 22.6%, 24.4%, 25.6%, 27.2%, and 28.7%, respectively. The results
293 show that with the increment of the γ , M-A sequences induce higher levels of damage
294 resulting in greater vulnerability of the system. When γ is less than 0.6, the difference
295 of the exceeding probability between the mainshock analysis and M-A sequences
296 analysis was found to be less than 10%, indicating that the aftershock effect can be
297 ignored. When γ is greater than 0.6, the difference of the exceeding probability between
298 the mainshock analysis and M-A sequences analysis was found to be greater than 10%,
299 indicating that the aftershock effect should be considered in the preliminary design of
300 the structures. The exceeding probability of LS5 for an aftershock with $\gamma=1.0$ is 32.2%

301 higher (in average) than that when the structure is subjected to a mainshock only.

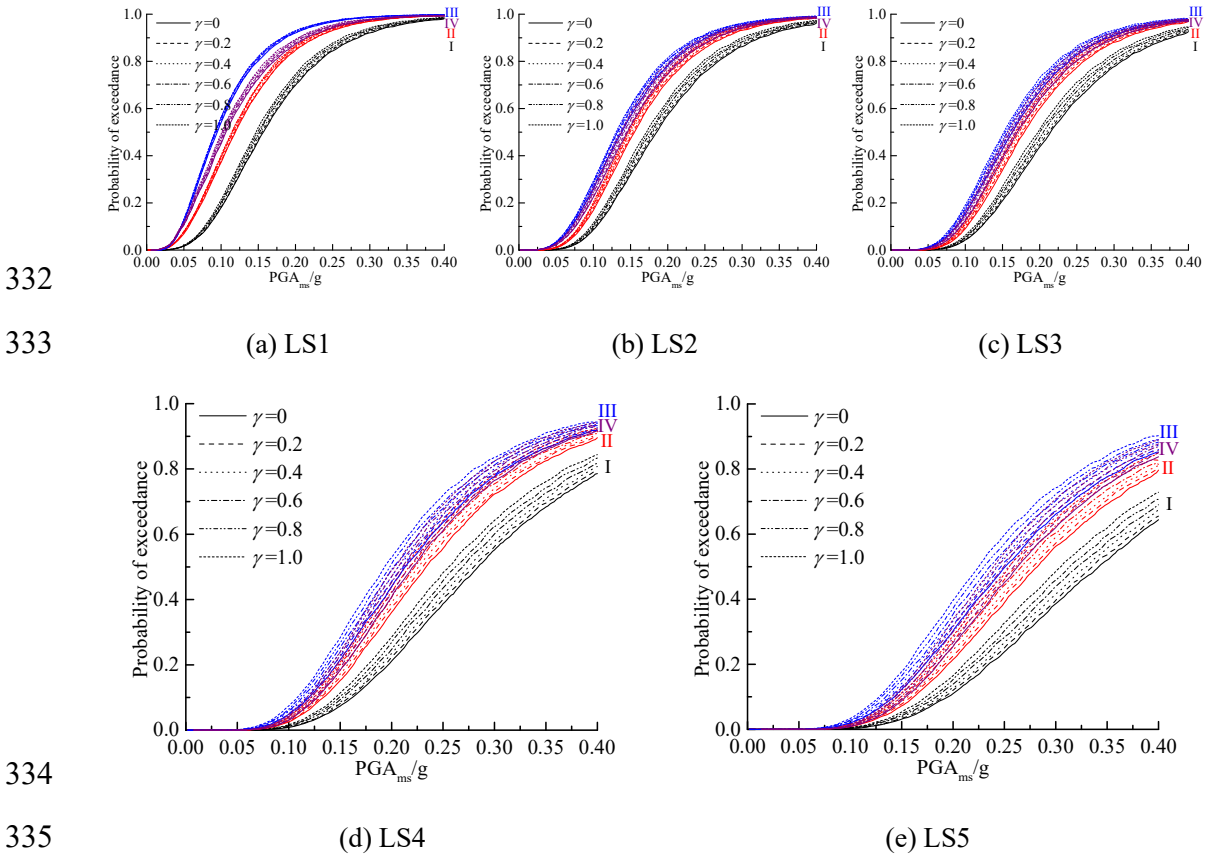
302 **5.1 Effect of site condition**

303 To investigate the effect of site condition on fragility curves, four site condition of
304 BSM are considered: site class I, site class II, site class III, and site class IV, respectively,
305 remaining the other parameters of BSM unchanged. Fig. 5 shows the fragility curves of
306 the BSM with different site condition.

307 For the masonry structures located at site class I, site class II, site class III, and site
308 class IV, when $PGA_{ms}=0.2g$ and $\gamma=1.0$, the exceeding probability of LS1 are 74.5%,
309 86.6%, 92.9%, and 89.2%, respectively; the exceeding probability of LS3 are 49.8%,
310 66.0%, 73.0%, and 69.9%, respectively; the exceeding probability of LS5 are 15.3%,
311 28.7%, 39.6%, and 33.7%, respectively. The results show that there are significant
312 differences in the seismic fragility curves of masonry structures located at different site
313 condition. The reason is that the characteristic periods T_g of each site class are different,
314 among which the characteristic period of class I site is 0.2-0.35s, site class II is 0.35-
315 0.45s, site class III is 0.45-0.65s, and class IV site is 0.65-0.90s. However, the initial
316 period T_0 of the BSM is approximately 0.39s. According to *Code for seismic design of*
317 *buildings* [24], the spectral acceleration of site class I is significantly smaller than that
318 of other site classes, resulting in smaller vulnerability for the system. Due to the
319 randomness of T_0 and T_g , the T_g of site class II might also be smaller than the T_0 . As a
320 result, the vulnerability of the system for site class II is smaller than that of site class
321 III. For site class III and site class IV, the latter has a greater strength reduction factor

322 than the former, so the effect of the latter is smaller than the former, thus system's
 323 vulnerability of the latter is smaller than the former.

324 For the masonry structures located at site class I, site class II, site class III, and site
 325 class IV, the exceeding probability of LS1 for $\gamma=1.0$ is 1.04, 1.01, 1.01, and 1.02 times
 326 of that for $\gamma=0$, respectively, while the exceeding probability of LS5 for $\gamma=1.0$ is 1.36,
 327 1.23, 1.19, and 1.21 times of that for $\gamma=0$, respectively. The results show that the
 328 increase of the exceeding probability for site class II, III and IV is basically the same
 329 under the same γ , while the increase of the exceeding probability for site I was found to
 330 be larger, indicating that aftershocks have greater impact on masonry structures located
 331 at site class I.



334
 335
 336 Fig. 5. The fragility curves of the masonry structures in different site classes.

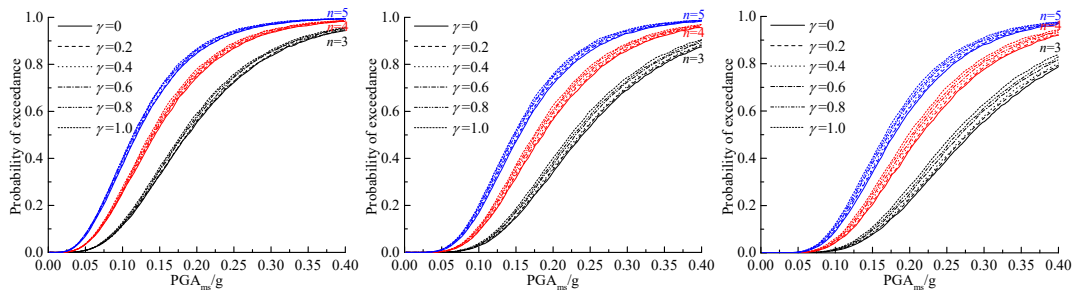
337 5.2 Effect of number of storeys

338 To study the effect of the number of storeys n , the n is changed based on the BSM,
339 and the n is set as 3, 4, and 5, respectively, the fragility curves for different n are are
340 shown in Fig. 6.

341 As shown in Fig. 6, n has a significant impact on the seismic fragility curves of
342 masonry structure. When PGA_{ms} is 0.2g and $\gamma=1.0$, the exceeding probability of LS1
343 for masonry structures with $n = 3, 4, \text{ and } 5$ are 59.2%, 78.0%, and 86.6%, respectively.
344 The exceeding probability of LS3 for structures with $n = 3, 4, \text{ and } 5$ are 28.3%, 50.7%,
345 and 66.0%, respectively. Accordingly, the exceeding probability of LS5 for structures
346 with $n = 3, 4, \text{ and } 5$ are 6.7%, 18.2%, and 28.7%, respectively. The results show that
347 the exceeding probability increases significantly as n increases. Namely, the larger the
348 n , the more severe the structural damage. As the n or total height increase, the
349 overturning moment of the masonry structure increases, resulting in more severe
350 damage to the structures induced by the greater base shear.

351 Earthquake damage investigation showed that the degree of structural damage in
352 the same intensity zone is proportional to n . The larger the n , the higher the percentage
353 of damage or collapse.

354 For masonry structures with $n = 3, 4, \text{ and } 5$, the exceeding probability of LS1 for
355 $\gamma=1.0$ is 1.04, 1.03, and 1.02 times of that for $\gamma=0$, while the exceeding probability of
356 LS5 for $\gamma=1.0$ is 1.42, 1.33, and 1.24 times of that for $\gamma=0$. The results show that the
357 effect of aftershocks increases with the decrease of the number of storeys.



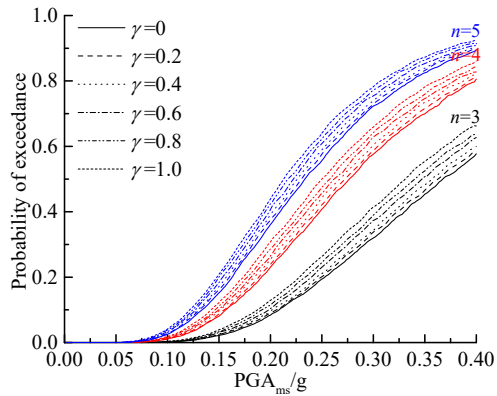
358

359

(a) LS1

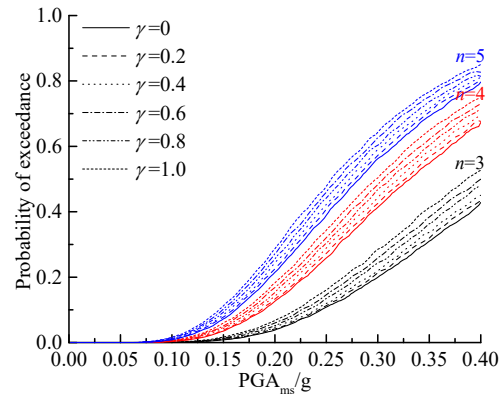
(b) LS2

(c) LS3



360

(d) LS4



361

(e) LS5

362 Fig. 6. The fragility curves of masonry structures with different n .

363 5.3 Effect of RC tie column location

364 To investigate the effect of the location of RC tie columns, based on the BSM, five
 365 locations of RC tie columns, namely class A, class B, class C, class D and class E (see
 366 Fig.1), are adopted to calculate the fragility curves, as shown in Fig. 7.

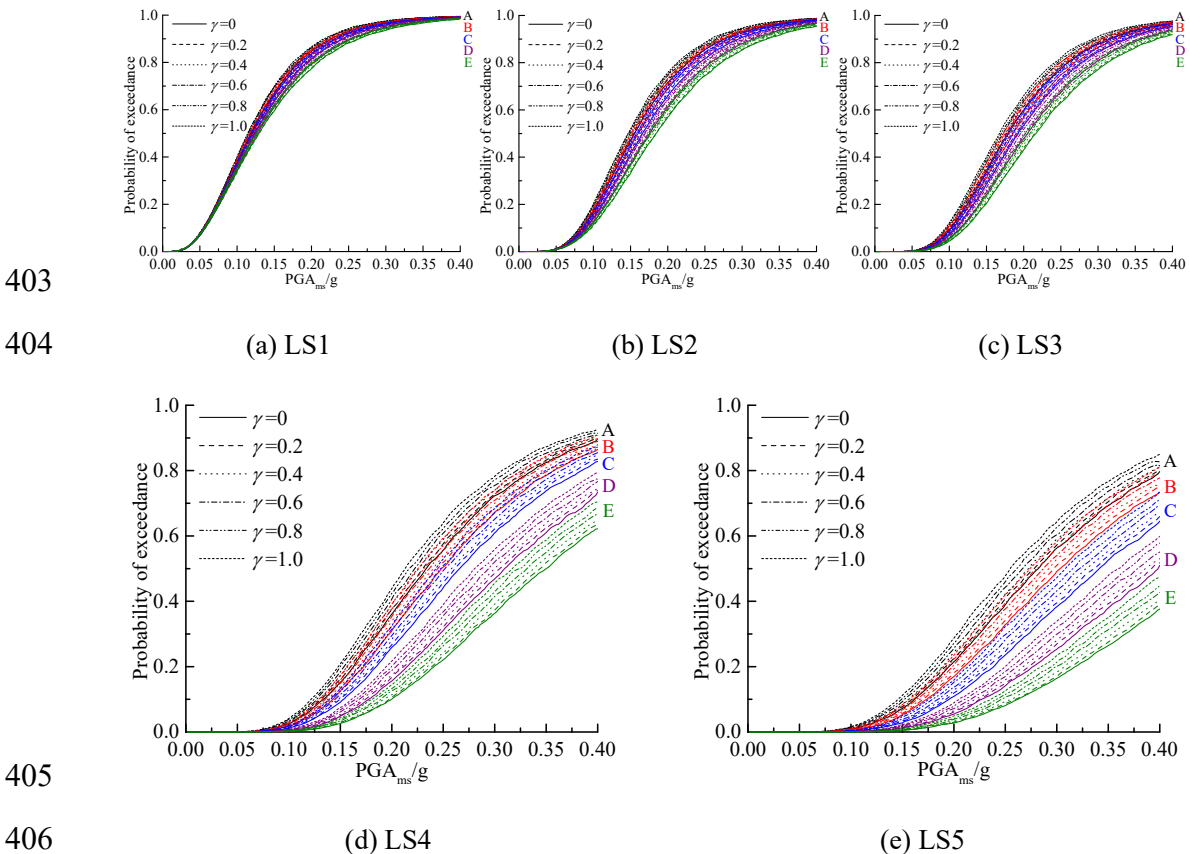
367 For $PGA_{ms} = 0.2g$ and $\gamma = 1.0$, the exceeding probability of LS1 for class A, class
 368 B, class C, class D and class E are 86.6%, 85.6%, 84.0%, 81.8%, and 80.3%,
 369 respectively; the exceeding probability of LS3 for class A, class B, class C, class D and
 370 class E are 66.0%, 63.2%, 59.8%, 54.5%, and 49.1%, respectively. The difference of
 371 exceeding probability of LS1 for masonry structures with different location of RC tie
 372 columns is very small, which is also the same for the exceeding probability of LS2 and

373 LS3. The phenomenon indicates that the RC tie column has little influence on the
374 structural performance before yielding. For $PGA_{ms} = 0.2g$ and $\gamma = 1.0$, the exceeding
375 probability of LS5 are 28.7%, 23.5%, 15.8%, 8.9%, and 4.9%, respectively. The
376 exceeding probability of LS5 for masonry structures with different location of RC tie
377 columns have a significant difference. The results show that reasonable setting of RC
378 tie columns can reduce the exceeding probability of LS5 for 5-storey masonry structure
379 from 28.7% to 4.9%, indicating that the RC tie column has a significant influence on
380 the structural performance after yielding. The main reason is that RC tie column is
381 effective at larger displacements to confine the masonry, thus improving the structural
382 integrity and structural deformation capacity. Before yielding, the structural integrity is
383 good, and the influence of RC tie column is small. After yielding, the RC tie column
384 effectively ensures the structural integrity and improves the structural vulnerability, and
385 the influence of RC tie column is significant. By increasing the number of RC tie
386 columns in a masonry structure, the restraint of RC tie columns on masonry walls is
387 stronger, the shear bearing capacity of the structure increases, displacements are
388 reduced, and less damage is expected.

389 When the same damage limit for the interstorey drift ratio is adopted for masonry
390 structures with different locations of RC tie columns, the damage state of masonry
391 structure with class E will be overestimated while that of class A will be underestimated.
392 As a result, for a given damage limit state, masonry structures with different locations
393 of RC tie columns should adopt different limit values for the interstorey drift ratio, as

394 shown in Table 1.

395 For the masonry structures with class A, class B, class C, class D and class E, the
396 exceeding probability of LS1 for $\gamma=1.0$ is 1.01, 1.02, 1.02, 1.02, and 1.02 times of that
397 for $\gamma=0$, respectively, while the exceeding probability of LS5 for $\gamma=1.0$ is 1.23, 1.28,
398 1.34, 1.44, and 1.54 times of that for $\gamma=0$, respectively. The results show that aftershocks
399 have greater impact on the exceeding probability of LS4 and LS5 (see Fig. 7), indicating
400 that aftershocks have a great influence on the masonry structure after yielding, but have
401 small influence on the elastic region of the structural response. Moreover, the effect of
402 aftershocks increases with the increase of the number of RC tie columns.



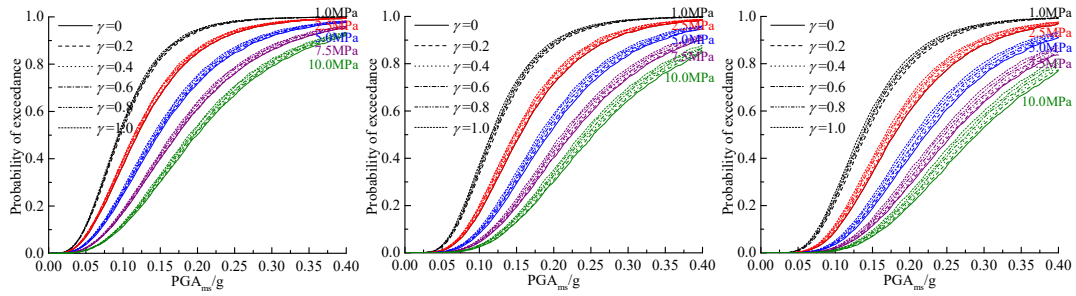
407 Fig. 7. The curves of masonry structures with different setting of RC tie columns.

408 **5.4 Effect of mortar strength**

409 To study the effect of mortar strength f_2 , based on the BSM, five mortar strengths,
410 namely the $f_2 = 1.0\text{MPa}$, 2.5MPa , 5.0MPa , 7.5MPa and 10.0MPa , are adopted to
411 calculate the seismic fragility curves, as shown in Fig. 8.

412 When $\text{PGA}_{\text{ms}}=0.2\text{g}$ and $\gamma=1.0$, the exceeding probability of LS1 for masonry
413 structures with $f_2 = 1.0\text{MPa}$, 2.5MPa , 5.0MPa , 7.5MPa and 10.0MPa are 94.7%, 86.6%,
414 75.3%, 64.2%, and 53.9%, respectively. The exceeding probability of LS3 for masonry
415 structures with $f_2 = 1.0\text{MPa}$, 2.5MPa , 5.0MPa , 7.5MPa and 10.0MPa are 82.9%, 66.0%,
416 47.6%, 34.8%, and 25.3%, respectively. The exceeding probability of LS5 for masonry
417 structures with $f_2 = 1.0\text{MPa}$, 2.5MPa , 5.0MPa , 7.5MPa and 10.0MPa are 44.8%, 28.7%,
418 17.2%, 11.0%, and 7.2%, respectively. The results show that as the f_2 increases, the
419 structural performance increases significantly, and the vulnerability of the system
420 gradually decreases. The shear strength of masonry increases with the increase of f_2 ,
421 and the seismic capacity of the structures is improved. As a result, the stronger the
422 mortar strength the lower the probability of exceeding a certain damage state.

423 For the masonry structures with $f_2 = 1.0\text{MPa}$, 2.5MPa , 5.0MPa , 7.5MPa and
424 10.0MPa , the exceeding probability of LS1 for $\gamma=1.0$ is 1.01, 1.01, 1.03, 1.04, and 1.05
425 times of that for $\gamma=0$, respectively, while the exceeding probability of LS5 for $\gamma=1.0$ is
426 1.19, 1.24, 1.31, 1.38, and 1.47 times of that for $\gamma=0$. The results show that the effect of
427 the aftershocks increases with the increase of the f_2 , respectively.



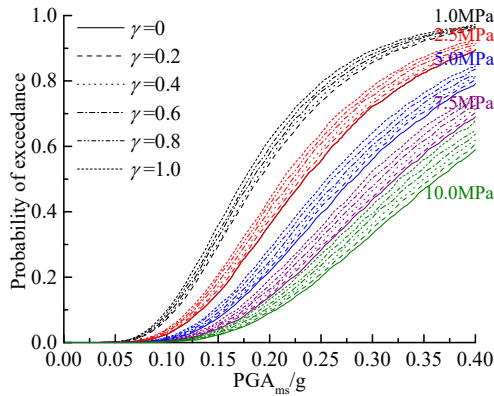
428

429

(a) LS1

(b) LS2

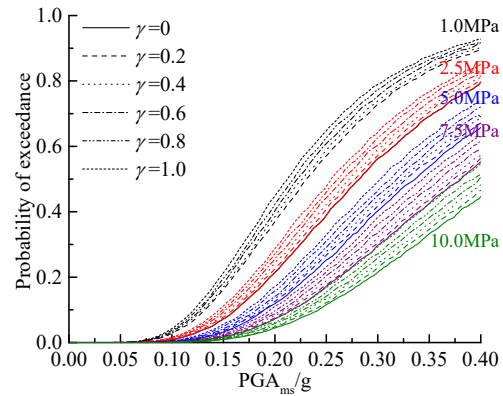
(c) LS3



430

431

(d) LS4



(e) LS5

432 Fig. 8. The fragility curves of masonry structures with different f_2 .

433 5.5 Validation

434 5.5.1 Comparison between shake table tests and the proposed method

435 A part of the BSM was modeled (the shaded part of the masonry structure shown
 436 in Fig. 1) to verify the proposed approach for *IDR* demand of masonry structures. The
 437 shake table tests [41] were adopted to obtain *IDR* of the 1/4-scaled model, and the
 438 calculated results are compared with those calculated by the proposed method, as shown
 439 in Table 4. The measured natural period of the 1/4-scaled model is 0.126s and the
 440 similarity coefficient is 3.162:1, so the natural period of the BSM is 0.397s. The
 441 calculated natural period is 0.412s, and the error of the natural period is 3.8%, indicating
 442 that the calculated and experimental results have good consistency for the dynamic

443 properties of the building structure. When the input $PGA_{ms} = 0.035g, 0.10g,$ and $0.22g$
 444 for mainshock only, the errors of IDR_{max} between calculated and average experimental
 445 results are all within 10.0%, indicating that the proposed method is reasonable.

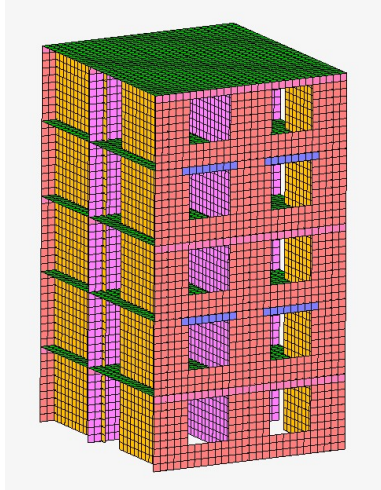
446 Table 4 Validation of IDR_{max} of masonry structures.

	Experimental results		Numerical results		Calculated results
	El Centro	Taft	El Centro	Taft	
0.035g	1/2941	1/3393	1/2959	1/3217	1/3030
0.10g	1/1167	1/789	1/1214	1/744	1/857
0.22g	1/142	1/158	1/153	1/171	1/153

447 5.5.2 Comparison with fragility curves obtained from finite element method

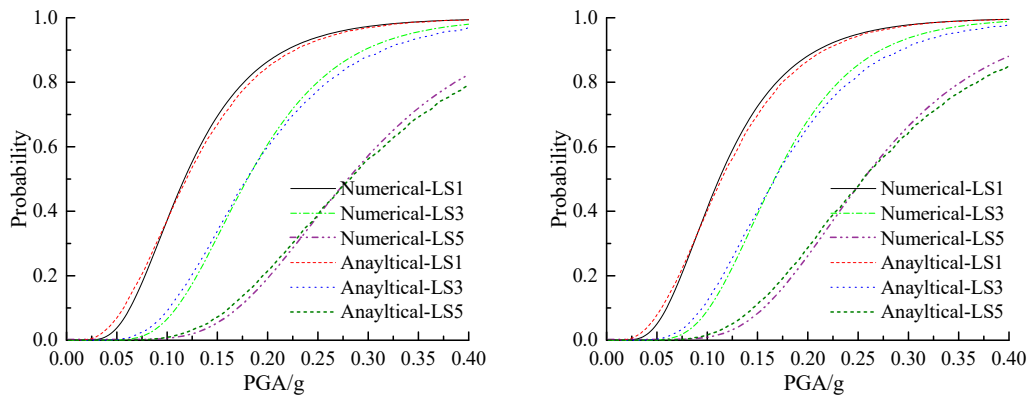
448 Considering that fragility curves under M-A sequences are not available [42], the
 449 more accurate macro-modeling finite element method (FEM) which has been
 450 previously validated by the authors using shake table tests is adopted in this section to
 451 obtain the fragility curves of the 5-storey BSM (the shaded part of the masonry structure
 452 shown in Fig. 1). The natural periods of experimental and FEM results are 0.397s and
 453 0.405s, and the error is 2.0%. It can be seen from Table 4 that the errors of IDR between
 454 experimental and FEM results are all within 10%. Then, the numerical results are
 455 compared with those obtained from the proposed calculation method. In macro-
 456 modeling finite element method, multilayer shell elements are used to simulate the
 457 masonry walls and reinforced concrete floors, as shown in Fig. 9. The macro-modeling
 458 method simplifies the brick and mortar into a homogenous material, the mechanical

459 properties of which have been determined by both the brick and mortar. The plasticity
460 model is employed to consider the constitutive laws of masonry and concrete, while the
461 kinematic hardening model is used as the constitutive law of steel. The measured
462 average compressive strength of masonry and mortar are 3.3MPa and 1.5MPa,
463 respectively. The specific value of other material strengths can be referred to [41]. The
464 failure of the element is determined by the maximum strain and stress rather than
465 cumulative damage. To consider the uncertainty of ground motions, 8 M-A sequences,
466 which are recorded from site class II, are selected from 8 earthquake events and used
467 for the time history dynamic analysis. The specific finite element model and M-A
468 sequence records can be found elsewhere [29]. Then, the interstorey drift ratios of the
469 5-storey BSM are calculated using dynamic time history analysis. Finally, the seismic
470 fragility curves are obtained by adopting the incremental dynamic analysis method. The
471 fragility curves of the BSM calculated by the above two methods subjected to M-A
472 sequences with $\gamma=0$ and $\gamma=1.0$ are shown in Fig. 10. As expected, a good agreement can
473 be observed between the seismic fragility curves obtained by the finite element method
474 and the proposed method, which preliminary shows the feasibility of the proposed
475 method. It should be noted that the dynamic time history analysis method takes nearly
476 a week to completion, while the proposed method takes only 2 hours.



477

478 Fig. 9. The finite element model.



479

(a) $\gamma=0$

(b) $\gamma=1.0$

480

481 Fig. 10. Comparison between the fragility curves calculated by the finite element
482 method and the proposed method in this manuscript.

483 5.6 Recommendation and Discussions

484 According to the analysis of the obtained fragility curves, the number of storeys
485 has the greatest effect on the fragility of masonry structures, followed by the location
486 and number of RC tie columns. The seismic wall area ratio and mortar strength follow,
487 while it was found that the site conditions have the lowest impact. Since the geometric
488 dimensions and the number of storeys has been pre-defined by the architects before
489 structural analysis, the most effective way to improve the seismic performance of

490 masonry structures is by placing a sufficient number of RC tie columns in original
491 design. Using mortars with high strength is an alternative way to improve seismic
492 performance. The effect of aftershock should be considered in the preliminary design
493 of the structures when γ is less than 0.6.

494 **6 Conclusion**

495 This manuscript focuses on a simplified probabilistic approach for seismic
496 fragility analysis of masonry structures considering the influence of aftershocks.
497 36,000,000 stochastic earthquake-structure system samples were generated by Monte
498 Carlo simulation to calculate seismic fragility curves. The effect of aftershock intensity,
499 seismic wall area ratio, site condition, number of storeys, RC tie column, and mortar
500 strength were studied. The following conclusions can be drawn:

501 (1) Based on the characteristics of the soft storey mechanism of masonry structures,
502 a simplified probabilistic approach for seismic fragility analysis of masonry structures
503 under M-A sequences is proposed. The uncertainty of masonry structures and the
504 uncertainty of earthquake ground motions are considered in the proposed method to
505 generate a large database of earthquake-structure samples. Compared with the finite
506 element methods, the proposed method can save computational time significantly while
507 maintaining accuracy.

508 (2) Strong aftershocks can further aggravate the damage state of masonry
509 structures. As the relative intensity γ of aftershock increases, structural vulnerability
510 increases gradually. The effect of aftershock on structural performance can be ignored

511 when γ is less than 0.6. The probability of exceeding the collapse limit state of structures
512 can increase by 32.2% when γ is equal to 1.0 (i.e., aftershock having equal intensity
513 with the mainshock).

514 (3) The number of storeys n has the greatest influence on the seismic vulnerability
515 of masonry structures. It was found that the exceeding probability of collapse damage
516 state increases from 6.7% to 28.7% with the increases of n .

517 (4) RC tie columns can enhance the seismic performance of masonry structures,
518 especially after yielding. By increasing the number of RC tie columns and selecting
519 properly their location across the floor plan of the structure, the exceeding probability
520 of collapse damage state decreases from 28.7% to 4.9%.

521 (5) The seismic wall area ratio ρ and the mortar strength f_2 strongly affects the
522 seismic vulnerability of masonry structures. With the ρ increasing from 0.049 to 0.068,
523 the exceeding probability of collapse damage state decreases from 28.7% to 20.2%.
524 With the f_2 increasing from 1.0MPa to 10.0MPa, the exceeding probability of collapse
525 damage state decreases from 44.8% to 7.2%.

526

527 **CRedit authorship contribution statement**

528 **Yongqun Zhang:** Conceptualization, Methodology, Investigation, Writing -
529 original draft, Funding acquisition. **Zhuolin Wang:** Investigation, Formal analysis,
530 Writing - original draft. **Lixue Jiang:** Supervision, Writing - review & editing.
531 **Konstantinos Skalomenos:** Supervision, Writing - review & editing. **Dongbo Zhang:**

532 Validation, Writing - original draft.

533

534 **Declaration of Competing Interest**

535 None.

536

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543

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