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A note on a question of Markman

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A note on a question of Markman

SHENGYUAN HUANG

ABSTRACT: Let \mathcal{F} be a vector bundle on a complex projective algebraic variety X. If \mathcal{F} deforms along a first order deformation of X, its Mukai vector remains of Hodge type along this deformation. We prove an analogous statement for all polyvector fields, not only for those in $H^1(X, T_X)$ corresponding to deformations of the complex structure. This answers a question of Markman. We also explore a Lie theoretic analogue of the statement above.

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Key words. Hochschild homology and cohomology, HKR isomorphisms, deformation.

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1. Introduction

1.1. Let *X* be a smooth complex algebraic variety. Consider the first order deformation \tilde{X} of *X* associated to a class $\tilde{\alpha} \in H^1(X, T_X)$.

In general, a vector bundle \mathcal{F} may not deform to a bundle $\tilde{\mathcal{F}}$ on \tilde{X} . The obstruction $\alpha_{\mathcal{F}} \in \operatorname{Ext}^2(\mathcal{F}, \mathcal{F})$ to the existence of a vector bundle $\tilde{\mathcal{F}}$ on \tilde{X} such that $\tilde{\mathcal{F}}|_X \cong \mathcal{F}$ was described in [B94, T09] as the contraction

$$\alpha_{\mathcal{F}} = \tilde{\alpha} \lrcorner at_{\mathcal{F}} \in \operatorname{Ext}^{2}(\mathcal{F}, \mathcal{F}).$$

Here $at_{\mathcal{F}} \in \operatorname{Ext}^1(\mathcal{F}, \mathcal{F} \otimes \Omega_X)$ is the Atiyah class of \mathcal{F} .

Moreover, if \mathcal{F} does deform, then its Chern classes and hence its Mukai vector stay of Hodge type on the deformed space \tilde{X} . This implies that the class

$$\tilde{\alpha} \lrcorner v(\mathcal{F}) \in \mathrm{H}\Omega_*(X) \stackrel{\mathrm{def}}{=} \bigoplus_{q-p=*} H^p(X, \wedge^q \Omega_X)$$

vanishes, where $v(\mathcal{F})$ is the Mukai vector of \mathcal{F} .

Thus, in the simple case where $\tilde{\alpha} \in H^1(X, T_X)$ we conclude that if $\tilde{\alpha} \,\lrcorner\, at_{\mathcal{F}}$ is zero, then $\tilde{\alpha} \,\lrcorner\, v(\mathcal{F})$ is zero.

1.2. In an email correspondence, Eyal Markman asked if the above statement can be generalized to the case where $\tilde{\alpha}$ is an arbitrary polyvector field in $HT^*(X) = \bigoplus_{p+q=*} H^p(X, \wedge^q T_X)$. According to Markman, this question is central to his study of the deformations of hyperkähler manifolds. We provide an answer to this question in this paper.

1.3. First, there are two ways to generalize the class that appears in (1.1) above. For a class $\tilde{\alpha} \in HT^*(X)$ we can define classes in $Ext^*(\mathcal{F}, \mathcal{F})$ in the following two ways.

The first is defined by using the HKR isomorphism

$$I^{HKR}$$
: HT^{*}(X) \rightarrow HH^{*}(X),

where the latter is the Hochschild cohomology of *X*. Since $HH^*(X)$ can be interpreted as natural transformations of the identity functor at the dg level, this yields a natural map $HH^*(X) \rightarrow Ext^*(\mathcal{F}, \mathcal{F})$.

The second construction was defined by Toda [T09]. Consider the exponential Atiyah class

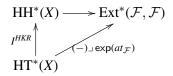
$$\exp(at_{\mathcal{F}}) = 1 + at_{\mathcal{F}} + \dots + \frac{(at_{\mathcal{F}})^k}{k!} + \dots,$$

where $(at_{\mathcal{F}})^k \in \operatorname{Ext}^k(\mathcal{F}, \mathcal{F} \otimes \wedge^k \Omega_X)$. Let $\tilde{\alpha}^{p,k} \in H^p(X, \wedge^k T_X)$ be the homogenous degree (p,k) part of $\tilde{\alpha}$. We can contract $\tilde{\alpha}^{p,k}$ with $\frac{(at_{\mathcal{F}})^k}{k!}$ to get an element in $\operatorname{Ext}^{p+k}(\mathcal{F}, \mathcal{F})$. Taking the sum over all (p,k), we get the desired class which will be denoted by $\alpha \lrcorner \exp(at_{\mathcal{F}}) \in \operatorname{Ext}^*(\mathcal{F}, \mathcal{F})$. When $\tilde{\alpha}$ is a class in $H^1(X, T_X)$, we recover the previous contraction $\tilde{\alpha} \lrcorner at_{\mathcal{F}}$.

Our first result is below.

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1.4. Theorem A. The two classes defined above are the same. In other words the diagram



is commutative.

There is an analogous result for Hopf algebras. See Theorem 2.7 in [CI17] and see [PW09] for more details.

1.5. The space $H\Omega_*(X)$ is naturally a module over $HT^*(X)$, mimicking the module structure of Hochschild homology over cohomology. For an object \mathcal{F} in the derived category of X, its Mukai vector $v(\mathcal{F})$ lies in $H\Omega_*(X)$. Thus we can act with the class $\tilde{\alpha}$ to obtain $\tilde{\alpha} \lrcorner v(\mathcal{F}) \in H\Omega_*(X)$.

Theorem B. If $\tilde{\alpha} \sqcup \exp(at_{\mathcal{F}}) = 0$, then we have

 $D(\tilde{\alpha}) \lrcorner v(\mathcal{F}) = 0.$

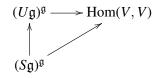
Here D is the Duflo operator,

$$D(\tilde{\alpha}) = Td(X)^{\frac{1}{2}} \,\lrcorner\, \tilde{\alpha},$$

where Td(X) is the Todd class of X.

- A polyvector field α̃ ∈ HT*(X) acts on a class v ∈ HΩ_{*}(X). This action is denoted by α̃ ⊥ v ∈ HΩ_{*}(X).
- A class v ∈ HΩ_{*}(X) acts on a polyvector field α̃ ∈ HT^{*}(X). This action yields an element v_⊥ α̃ ∈ HT^{*}(X). We only use the second contraction in the Duflo operator D(α̃) = Td(X)^{1/2} ⊥ α̃ in this paper. Note that D is an automorphism of HT^{*}(X). The inverse operator is D⁻¹(α̃) = Td(X)^{-1/2} ⊥ α̃.
- The third contraction map is $\beta \lrcorner \exp(at_{\mathcal{F}}) \in \operatorname{Ext}^*(\mathcal{F}, \mathcal{F})$ for $\beta \in \operatorname{HT}^*(X)$. An element β in $H^p(X, \wedge^k T_X)$ can only contract with the term $\frac{(at_{\mathcal{F}})^k}{k!}$ in the Taylor expansion of $\exp(at_{\mathcal{F}})$. It is easy to distinguish this map from the previous two maps.

1.6. The inspiration for Theorem A comes from a similar statement in Lie theory. Let \mathfrak{g} be a finite dimensional Lie algebra and V be a finite dimensional representation. One can draw the diagram



which is similar to the one in Theorem A. We will provide more details and prove that the diagram above is commutative in section 2.

1.7. Note that our statement in Theorem B appears to be different from the original one, which did not have the Duflo operator D. We will prove that the original statement follows easily from ours.

1.8. Plan of the paper. Section 2 contains the proof of Theorem A and of its Lie theoretic analogue.

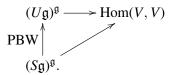
Section 3 is devoted to the proof of Theorem B. It is a consequence of Theorem A. At the end we prove that we can recover the result in (1.1) from Theorem B.

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2. The proof of Theorem A

We prove Theorem A in this section. The diagram in Theorem A has a Lie theoretic background. We draw the corresponding diagram for Lie algebras and we explain the similarity between the Lie algebra diagram and the diagram in Theorem A. We provide a proof for the commutativity of the Lie algebra diagram and explain that the proof can be generalized to the diagram in Theorem A.

2.1. A similar diagram for Lie algebras. Let \mathfrak{g} be a finite dimensional Lie algebra over a field of characteristic zero and let *V* be a finite dimensional representation of \mathfrak{g} . There is a diagram



The PBW map from the symmetric algebra Sg to the universal enveloping algebra Ug is defined on the degree *n*-th component of Sg as follows

$$x_1\cdots x_n \to \frac{1}{n!}\sum_{\sigma\in S_n} x_{\sigma(1)}\cdots x_{\sigma(n)}.$$

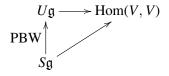
Here S_n is the symmetric group on a finite set of n symbols. The universal enveloping algebra $U\mathfrak{g}$ acts naturally on V. This natural action defines the map $(U\mathfrak{g})^{\mathfrak{g}} \to \operatorname{Hom}(V, V)$ on the top of the diagram above. The map $(S\mathfrak{g})^{\mathfrak{g}} \to \operatorname{Hom}(V, V)$ is defined as follows. We can rewrite the representation map $\mathfrak{g} \otimes V \to V$ as a map $\Lambda : V \to V \otimes \mathfrak{g}^*$. Take the exponent

$$\exp(\Lambda) = id_V + \Lambda + \dots + \frac{\Lambda^k}{k!} + \dots$$

of the map Λ . Then we can contract $\exp(\Lambda)$ with Sg.

In algebraic geometry, Kapranov and Kontsevich [K99] observed that the shifted tangent bundle $T_X[-1]$ has a Lie algebra structure in the derived category of X. Roberts and Willerton [RW10] proved that the category of representations of $T_X[-1]$ is the derived category of X and the universal enveloping algebra of $T_X[-1]$ is the Hochschild cochain complex $\mathcal{RHom}(\Delta_*\mathcal{O}_X, \Delta_*\mathcal{O}_X)$, where Δ is the diagonal embedding $\Delta : X \hookrightarrow X \times X$. The functor $(-)^{\mathfrak{g}}$ is the 0-th Lie algebra cohomology which is similar to $H^*(X, -)$. Setting \mathfrak{g} to be equal to $T_X[-1]$ in the Lie algebra diagram, we get the diagram in Theorem A for a smooth complex variety X. The Hochschild cohomology HH^{*}(X) plays the role of $(U\mathfrak{g})^{\mathfrak{g}}$, HT^{*}(X) plays the role of $(S\mathfrak{g})^{\mathfrak{g}}$, and the HKR map is precisely the PBW map.

Proof of the commutativity for the Lie algebra diagram. We can prove that the diagram in (2.1) is commutative even before taking g-invariants, i.e., the diagram



is commutative. The map PBW factors through the tensor algebra Tg

$$\mathsf{PBW}: S\mathfrak{g} \longrightarrow T\mathfrak{g} \longrightarrow U\mathfrak{g},$$

so we can replace $U\mathfrak{g}$ at the top left corner of the diagram by $T\mathfrak{g}$. It is easy to check that the map $S\mathfrak{g} \to \operatorname{Hom}(V, V)$ is equal to the following map

$$S\mathfrak{g} \xrightarrow{\psi} T\mathfrak{g} \xrightarrow{\varphi} \operatorname{Hom}(V, V),$$

where the map $\varphi : T\mathfrak{g} \to \operatorname{Hom}(V, V)$ is defined as follows. Rewrite the representation map $\mathfrak{g} \otimes V \to V$ as a map $\Lambda : V \to V \otimes \mathfrak{g}^*$. Instead of taking the exponential of the map Λ , we compose the map Λ with itself k times. We get a map $\Lambda^{\otimes k} : V \to V \otimes (\mathfrak{g}^*)^{\otimes k}$ in this way. Contract $\Lambda^{\otimes k}$ with $\mathfrak{g}^{\otimes k}$ and get a map $\mathfrak{g}^{\otimes k} \to \operatorname{Hom}(V, V)$. Adding the k-th components for all $k \in \mathbb{N}$, we obtain the desired map $\varphi : T\mathfrak{g} \to \operatorname{Hom}(V, V)$.

Now we have two maps $T\mathfrak{g} \to \operatorname{Hom}(V, V)$. One of them is the map φ , and the other one is $\Theta : T\mathfrak{g} \to U\mathfrak{g} \to \operatorname{Hom}(V, V)$. We want to show that they agree. This follows from Lemma 2.2 below by setting W_1 to be V and W_2 to be $\mathfrak{g}^{\otimes k}$.

2.2. Lemma. Let W_1 and W_2 be finite dimensional vector spaces over a field k and f be a map $W_2 \otimes W_1 \rightarrow W_1$. Rewrite the map as $g: W_1 \rightarrow W_2^* \otimes W_1$ by the adjunction formula $\operatorname{Hom}(W_2 \otimes_k W_1, W_1) = \operatorname{Hom}(W_1, W_2^* \otimes_k W_1)$. Fix an element $x \in W_2$. Then $f(x \otimes -)$ is a map from W_1 to W_1 . This map is precisely g followed by the contraction with x.

Proof. This is due to the adjunction property

$$\operatorname{Hom}(W_2 \otimes_k W_1, W_1) = \operatorname{Hom}(W_1, W_2^* \otimes_k W_1).$$

Proof of Theorem A. The proof above reduces the commutativity of the Lie algebra diagram to a statement about tensor algebras. The statement about tensor algebras remains valid in the case of derived categories.

One can define a map $\text{Sym}(T_X[-1]) \rightarrow T(T_X[-1])$ given by the formula

$$x_1 \wedge \cdots \wedge x_n \rightarrow \frac{1}{n!} \sum_{\sigma \in S_n} (-1)^{sgn(\sigma)} x_{\sigma(1)} \cdots x_{\sigma(n)},$$

where $T(T_X[-1])$ is the tensor algebra on $T_X[-1]$.

The map above is a differential graded version of the map ψ in (2.1). Let $X^{(1)}$ be the first order neighborhood of X in $X \times X$. There are embeddings $i : X \hookrightarrow X^{(1)}$ and $j : X^{(1)} \hookrightarrow X \times X$. Arinkin and Căldăraru [AC12] showed that $T(T_X[-1])$ is isomorphic to $(i^*i_*\mathcal{O}_X)^{\vee}$, where $(-)^{\vee}$ is the dual. The map

$$(i^*i_*\mathcal{O}_X)^{\vee} \to (i^*j^*j_*i_*\mathcal{O}_X)^{\vee} = (\Delta^*\Delta_*\mathcal{O}_X)^{\vee} = \mathcal{RHom}(\Delta_*\mathcal{O}_X, \Delta_*\mathcal{O}_X)$$

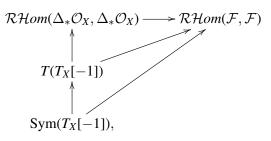
is defined by the adjunction $j^* \dashv j_*$. The composite map

$$\operatorname{Sym}(T_X[-1]) \to T(T_X[-1]) \cong (i^*i_*\mathcal{O}_X)^{\vee} \to (i^*j^*j_*i_*\mathcal{O}_X)^{\vee}$$
$$= (\Delta^*\Delta_*\mathcal{O}_X)^{\vee} = \mathcal{RHom}(\Delta_*\mathcal{O}_X, \Delta_*\mathcal{O}_X)$$

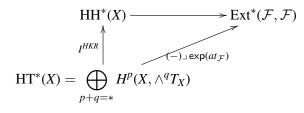
is the sheaf version HKR isomorphism as showed in [AC12]. Taking cohomology on both sides of the equality above, we get the HKR isomorphism

$$I^{HKR}: \mathrm{HT}^*(X) = igoplus_{p+q=*} H^p(X, \wedge^q T_X) o \mathrm{HH}^*(X).$$

Now it is clear that we have a commutative diagram



which is similar to the Lie algebra diagram in (2.1). Taking cohomology on the diagram above, we get the diagram



that we start with in Theorem A.

3. The proof of Theorem B

We use Theorem A to prove Theorem B in this section.

3.1. Denote $I^{hkr}(\tilde{\alpha})$ by $\alpha \in HH^*(X) = Ext^*_{X \times X}(\mathcal{O}_{\Delta}, \mathcal{O}_{\Delta})$, where $\mathcal{O}_{\Delta} = \Delta_*\mathcal{O}_X$. Denote the image of α in $Ext^*(\mathcal{F}, \mathcal{F})$ by $\alpha_{\mathcal{F}}$. For any vector bundle \mathcal{F} on X, Căldăraru and Willerton [CW10] defined an abstract Chern character $ch(\mathcal{F})$ which lies in the degree zero part of the Hochschild homology $HH_*(X) = Ext^*_{X \times X}(S^{-1}_{\Delta}, \mathcal{O}_{\Delta})$, where $S^{-1}_{\Delta} = \Delta_*(\omega_X^{\vee}[-\dim X])$. There is an HKR isomorphism for Hochschild homology

$$I_{HKR}$$
: HH_{*}(X) \rightarrow H $\Omega_*(X) = \bigoplus_{q-p=*} H^p(X, \wedge^q \Omega_X).$

The image of the abstract Chern character under the map I_{HKR} is the usual Chern character of \mathcal{F} [C05]. We need the lemma below.

3.2. Lemma. If $\alpha_{\mathcal{F}}$ is zero, then $\alpha \circ ch(\mathcal{F})$ is zero. Here \circ is the composition of morphisms in $\mathbf{D}^{b}(X \times X)$ and $ch(\mathcal{F})$ is the abstract Chern character.

Proof. The proof is known in an email correspondence with Eyal Markman. Let β be any class in $\operatorname{Ext}_{X\times X}^*(\mathcal{O}_{\Delta}, S_{\Delta})$, where $S_{\Delta} = \Delta_*(\omega_X[\operatorname{dim} X])$. Similar to the definition of the class $\alpha_{\mathcal{F}}$ associated to $\alpha \in \operatorname{Ext}_{X\times X}^*(\mathcal{O}_{\Delta}, \mathcal{O}_{\Delta})$, we get a class $\beta_{\mathcal{F}} \in \operatorname{Ext}_X^*(\mathcal{F}, S_X \mathcal{F})$, where $S_X(-) = \omega_X[\operatorname{dim} X] \otimes -$. It is shown in [C05] that the class $\operatorname{ch}(\mathcal{F})$ is characterized by the identity

$$\operatorname{Tr}_{X \times X}(\beta \circ \operatorname{ch}(\mathcal{F})) = \operatorname{Tr}_X(\beta_{\mathcal{F}}).$$

Due to the equality above, we have

$$\operatorname{Tr}_{X\times X}(\gamma \circ \alpha \circ \operatorname{ch}(\mathcal{F})) = \operatorname{Tr}_X((\gamma \circ \alpha)_{\mathcal{F}}) = \operatorname{Tr}_X(\gamma_{\mathcal{F}} \circ \alpha_{\mathcal{F}})$$

for any $\gamma \in \operatorname{Ext}_{X \times X}^*(\mathcal{O}_{\Delta}, S_{\Delta})$. The right hand side is zero since we assume that $\alpha_{\mathcal{F}}$ is zero. We can conclude that $\alpha \circ \operatorname{ch}(\mathcal{F})$ is zero because the equality $\operatorname{Tr}_{X \times X}(\gamma \circ \alpha \circ \operatorname{ch}(\mathcal{F})) = 0$ holds for any γ and $\operatorname{Tr}(-)$ is non-degenerate.

The two HKR isomorphisms I_{HKR} and I^{HKR} can be twisted by the Todd class. We denote the resulting twisted isomorphisms by I_K and I^K

$$I_{K} : \mathrm{HH}_{*}(X) \to \mathrm{H}\Omega_{*}(X) = \bigoplus_{q-p=*} H^{p}(X, \wedge^{q}\Omega_{X}),$$
$$I^{K} : \mathrm{HT}^{*}(X) = \bigoplus_{p+q=*} H^{p}(X, \wedge^{q}T_{X}) \to \mathrm{HH}^{*}(X).$$

They are given by the formula $I_K = (-\wedge Td(X)^{\frac{1}{2}}) \circ I_{HKR}$ and $I^K = I^{HKR} \circ D^{-1}$, where D^{-1} is the inverse of the Duflo operator.

The Mukai vector $v(\mathcal{F})$ of \mathcal{F} is $I_K(ch(\mathcal{F}))$ by definition. There are natural ring structures on HH^{*}(X) and HT^{*}(X): the product on HH^{*}(X) is the Yoneda product, and

the product on $HT^*(X)$ is the wedge product. Kontsevich [Kont03] claimed that the map I^K is a ring isomorphism. This statement was proved by Calaque and Van den Bergh [CV10]. The Hochschild homology is a module over the Hochschild cohomology and similarly $H\Omega_*(X)$ is a module over $HT^*(X)$. Calaque, Rossi, and Van den Bergh [CRV12] proved that the maps I_K and I^K respect the module structures.

Proof of Theorem B. The commutative diagram in Theorem A shows that

$$\tilde{\alpha} \lrcorner \exp(at_{\mathcal{F}}) = \alpha_{\mathcal{F}},$$

which is zero under the assumption of Theorem B. We conclude that $\alpha \circ ch(\mathcal{F})$ is zero by Lemma 3.2. Since I_K and I^K respect the module structures, we have

$$0 = I_K(\alpha \circ \operatorname{ch}(\mathcal{F})) = (I^K)^{-1}(\alpha) \,\lrcorner\, I_K(\operatorname{ch}(\mathcal{F})) = (I^K)^{-1}(\alpha) \,\lrcorner\, v(\mathcal{F}).$$

The inverse map of I^K is the composite map

$$(I^K)^{-1} : \operatorname{HH}^*(X) \xrightarrow{(I^{HKR})^{-1}} \operatorname{HT}^*(X) \xrightarrow{D} \operatorname{HT}^*(X).$$

As a consequence

$$0 = I_K(\alpha \circ \operatorname{ch}(\mathcal{F})) = (I^K)^{-1}(\alpha) \lrcorner v(\mathcal{F}) = D(\tilde{\alpha}) \lrcorner v(\mathcal{F}).$$

3.3. The special case when $\tilde{\alpha} \in H^1(X, T_X)$. The result in (1.1) says that $\tilde{\alpha} \lrcorner v(\mathcal{F})$ is zero if $\tilde{\alpha} \lrcorner \exp(at_{\mathcal{F}})$ is zero for any $\tilde{\alpha} \in H^1(X, T_X)$. We end this paper by proving that Theorem B implies the result in (1.1).

From now on let $\tilde{\alpha}$ be an element in $H^1(X, T_X)$. The only term in $\exp(at_{\mathcal{F}}) = 1 + at_{\mathcal{F}} + \frac{(at_{\mathcal{F}})^2}{2!} + \cdots$ that can contract with $\tilde{\alpha}$ is $at_{\mathcal{F}}$, so $\tilde{\alpha} \perp \exp(at_{\mathcal{F}}) = \tilde{\alpha} \perp at_{\mathcal{F}}$ in this case.

Choose $\mathcal{F} = O_X$. We have $\tilde{\alpha} \, \exists \, \exp(at_{O_X}) = 0$. Therefore

$$D(\tilde{\alpha}) \lrcorner v(O_X) = (Td(X)^{\frac{1}{2}} \lrcorner \tilde{\alpha}) \lrcorner Td(X)^{\frac{1}{2}} = 0$$

according to Theorem B.

Expand the Todd class Td(X) as $1 + \frac{c_1}{2} + \frac{c_1^2 + c_2}{12} + \cdots$, and note that the only term of $(Td(X)^{\frac{1}{2}} \sqcup \tilde{\alpha}) \sqcup Td(X)^{\frac{1}{2}}$ in $H^2(X, O_X)$ is $\tilde{\alpha} \sqcup \frac{c_1}{2}$. Since $(Td(X)^{\frac{1}{2}} \sqcup \tilde{\alpha}) \sqcup Td(X)^{\frac{1}{2}} = 0$, we can conclude that $\tilde{\alpha} \sqcup c_1$ is zero for any $\tilde{\alpha} \in H^1(X, T_X)$. The fact that $\tilde{\alpha} \sqcup c_1 = 0$ for $\tilde{\alpha} \in H^1(X, T_X)$ is also known due to Griffiths. Consider the first order deformation of X corresponding to $\tilde{\alpha}$. The vanishing of $\tilde{\alpha} \lrcorner c_1$ is equivalent to the class c_1 remaining of type (p, p).

The term $\tilde{\alpha} \lrcorner \frac{c_1}{4}$ is exactly the difference between $D(\tilde{\alpha})$ and $\tilde{\alpha}$ because

$$D(\tilde{\alpha}) = Td(X)^{\frac{1}{2}} \lrcorner \tilde{\alpha} = (1 + \frac{c_1}{4} + \cdots) \lrcorner \tilde{\alpha} = \tilde{\alpha} + \frac{c_1}{4} \lrcorner \tilde{\alpha} + 0.$$

We conclude that $\tilde{\alpha} \lrcorner v(\mathcal{F})$ is zero if and only if $D(\tilde{\alpha}) \lrcorner v(\mathcal{F})$ is zero for $\tilde{\alpha} \in H^1(X, T_X)$.

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