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# Release and co-release of model hydrophobic and hydrophilic actives from 3D printed kappa-carrageenan emulsion gels

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#### 7 Abstract

8 This study formulated and compared 3D printed (3DP) and cast kappa-carrageenan (KC) 9 emulsion gels for the co-release of model lipophilic (cinnamaldehyde) and hydrophilic (erioglaucine disodium salt (EDS)) molecules. Tween 20 (T20) or whey protein isolate (WPI) 10 were used as the emulsifier. Both 3DP and cast emulsion gels maintained their oil droplet size 11 12 over 8 weeks owing to the set gel matrix. Penetration texture analysis revealed 3DP and cast 5% oil emulsion gels, required more force to break compared to 40% oil gels (3 N against 0.4-13 0.5 N). This was because the oil droplets, disrupted the gel matrix; thereby weakening it. 3DP 14 gels required less force to break than cast gels, owing to failure between the printed layers. 15 Release tests in various media showed no significant difference in the final % cinnamaldehyde 16 released between 3DP gels and cast gels. Release tests in carried out 0.1M hydrochloric acid 17 saw an increase in cinnamaldehyde release compared to other media, owing to 18 cinnamaldehyde's increased solubility in acidic media. Addition of EDS into the gel matrix 19 20 facilitated co-release studies, with EDS release having no effect on the cinnamaldehyde release, indicating EDS release was driven by liberation from the gel network and 21 cinnamaldehyde release by its expulsion from the oil droplets. Simple modelling showed that 22 diffusion rather than polymeric relaxation was more dominant for active release in 3DP gels 23 compared to cast gels. This work shows that 3DP can be used to produce customisable KC-24 25 emulsion gels, with multiple actives; suitable for use as modified release vehicles.

26

#### 27 **1. Introduction**

28 3D-printing (3DP), otherwise known as additive manufacturing, is a production technique that 29 uses digital files rendered slice by slice to create products in a layer-by-layer manner. Initially 3DP was primarily concerned with construction materials such as plastic polymers (Rahim, 30 Abdullah, & Md Akil, 2019), ceramics (Z. Chen, et al., 2019) and metals (Buchanan & Gardner, 31 2019). However, 3DP research has expanded to encompass various other research avenues 32 33 including pharmaceuticals (Goyanes, et al., 2017), and edible ingredients such as dough (Fan Yang, Zhang, Prakash, & Liu, 2018), dairy gels (Daffner, Ong, Hanssen, Gras, & Mills, 2021; 34 35 Daffner, Vadodaria, et al., 2021), chocolate (Lanaro, Desselle, & Woodruff, 2019) and many 36 more, via a variety of methods (Gholamipour-Shirazi, Kamlow, T Norton, & Mills, 2020). 3DP of food is an area of much research interest owing to its ability to create highly customisable 37 products at the point of consumption or sale, and make alterations without the need for 38 moulding or tooling (Sun, et al., 2015). As things stand, there still exist process disadvantages 39 compared to current mass production techniques, including high cost per individual item, low-40 throughput and a restricted repertoire of printable edible materials; which still require further 41 research (Pallottino, et al., 2016). 42

43 One of the major issues within the area of food 3DP is undoubtedly the multifaceted nature of food systems, comprising multiple phases in varying ratios, micro- and nanostructure factors 44 that affect structural and sensory traits and sensitivity to processing, ion and temperature 45 46 changes (Ubbink, Burbidge, & Mezzenga, 2008). Hydrocolloid gels (hereafter referred to as hydrogels) have become an area of much interest within the field of 3DP. Hydrogel 47 formulations commonly consist of 0.1-10% w/w hydrocolloid, small quantities of salts and 48 water. Therefore, there is much scope for flexible formulations using hydrogels as a starting 49 50 base. This can involve the addition of sugars, salts and other hydrophilic molecules (Ricci, Derossi, & Severini, 2019). Past 3DP studies into hydrogels have focused on agar (Serizawa, 51 52 et al., 2014), starch (Huan Chen, Xie, Chen, & Zheng, 2019), alginate (Jin, Compaan, 53 Bhattacharjee, & Huang, 2016) and mixtures of materials (Fenton, Gholamipour-Shirazi, 54 Daffner, Mills, & Pelan, 2021; Z. Liu, Bhandari, Prakash, Mantihal, & Zhang, 2019; Vadodaria, He, Mills, & Wildman, 2020) 55

One biopolymer of specific interest in hydrogel 3DP is kappa-carrageenan (κC). κC is an 56 57 anionic, sulphated polysaccharide extracted from an edible seaweed named Rhodophyta. 58 When dispersed in water, alongside complementary gelling cations, KC forms firm, thermally 59 reversible gels. The most effective gelling cation for KC is potassium (Hermansson, Eriksson, 60 & Jordansson, 1991). When the hot dispersion is lowered below its gelation temperature ( $T_{qel}$ ), 61 random KC coils order themselves into double helices, and these aggregate to form a polymeric network (Norton, Morris, & Rees, 1984). KC has been used as a hydrogel feed 62 63 source for cold-extrusion 3DP (Gholamipour-Shirazi, Norton, & Mills, 2019), hot extrusion 3DP by itself (Diañez, et al., 2019), and as part of a mixed-system (Gholamipour-Shirazi, Norton, 64 65 & Mills, 2021).

66 The need to produce more complex feeds for 3DP has turned attention to emulsion gels, involving the production of an oil-in-water (o/w) emulsion and then dispersing gelling agents 67 within the water phase. These gels more closely resemble real food systems compared to 68 69 simple water based hydrogels. This facilitates the production of more complex and 70 customisable products and enables the straightforward incorporation of lipophilic molecules. Since 3DP has the potential to customise food assemblies through precise ingredient 71 72 placement and distribution, emulsion gels are ideal candidates for further study. Their uses include modification of food texture (Matsumura, Kang, Sakamoto, Motoki, & Mori, 1993) and 73 delivery of lipophilic molecules both alone (F. Liu & Tang, 2016; Thakur, et al., 2012; Zhang, 74 75 et al., 2022) and alongside hydrophiles (Singla, Saini, Joshi, & Rana, 2012). There has been some study into 3DP of emulsion gels (Hu, et al., 2019; Johannesson, Khan, Hubert, Teleki, 76 77 & Bergström, 2021; Wang, et al., 2021), but as far as the authors are aware none involving κC-emulsion gels apart from a previous study (Kamlow, Spyropoulos, & Mills, 2021). There is 78 79 currently an increasing interest in the formulation of multi-dose medicines and nutritionally 80 fortified foods (David Julian McClements, 2018; Nagula & Wairkar, 2019). Therefore emulsion gels are an obvious area for research in the sphere of functional foods, owing to their biphasic 81 nature, and ability to manipulate energy levels through oil content. However, despite this, none 82 of the previous studies assessed the release of a model lipophile (cinnamaldehyde) with and 83 without a model hydrophile (Erioglaucine disodium salt) compared to the cast equivalent. Even 84 85 though previous studies have shown that by moving from cast to 3DP bulk structures, you can 86 affect a change in release rates, while providing a far greater degree in flexibility with respect to dosage size, shape and appearance (Kamlow, Vadodaria, Gholamipour-Shirazi, 87 Spyropoulos, & Mills, 2021). 88

Cinnamaldehyde is an essential oil extracted from the bark of cinnamon trees. It has
antibacterial and antifungal properties (Gill & Holley, 2004; Siddiqua, Anusha, Ashwini, & Negi,
2015) as well as antioxidant activity (Gowder & Devaraj, 2006). Cinnamaldehyde has a log P

92 of 1.82, meaning that it has very limited water solubility, but retains enough to be able to track the release over time from a lipophilic environment to a hydrophilic one (Ben Arfa, Preziosi-93 Belloy, Chalier, & Gontard, 2007). Cinnamaldehyde has been utilised in release studies 94 95 before, and is an optimal model lipophile owing to its ability to partition from an oil phase into a water phase, pleasant aroma and safety profile, being generally recognised as safe by the 96 food and drug administration (Govindaraj, Subramanian, & Raghavachari, 2021). Erioglaucine 97 disodium salt (EDS) is a water-soluble dye that once dissolved gives a blue colour and is often 98 used in release studies as a model hydrophilic molecule (Andrews, et al., 2009; Jeong, et al., 99 100 2021; Lu, Tarn, Pamme, & Georgiou, 2018).

The present study aims to evaluate the release of model lipophilic with and without hydrophilic 101 102 small molecular weight species from hot extrusion 3DP KC-emulsion gels, and assess their performance compared to the equivalent cast gels, in order to assess the performance of both 103 as possible solid dosage forms or implants. This involved formulating 3% w/w KC-emulsion 104 gels containing either 5% or 40% w/w sunflower oil (SFO) and stabilised with Tween 20 (T20) 105 106 or whey protein isolate (WPI). After production of simple o/w emulsions with and without cinnamaldehyde, with a monomodal or bimodal distribution, droplet size was tested through 107 dynamic light scattering and emulsion stability was scrutinised via zeta-potential 108 measurements. KC-emulsion solutions were created by dispersing KC powder into the simple 109 emulsions while heating. Following this, KC-emulsion gels were created either by 3DP or 110 casting in moulds. 3DP and cast KC-emulsion gels had their stability tested through droplet 111 size measurements utilising time domain nuclear magnetic resonance (NMR) spectroscopy 112 and through syneresis measurements. The gels' mechanical properties were also scrutinised 113 through texture profile analysis, namely penetration tests, comparing 3DP and cast gels. 114 Finally, the printed and cast gels underwent release tests to assess their performance as 115 release vehicles in various release media, with cinnamaldehyde release examined. After this 116 EDS was loaded into the gels as a model molecule to test hydrophilic release and co-release 117 118 studies were carried out.

119

#### 120 2. Materials and methods

#### 121 **2.1. Materials**

κC, T20, cinnamaldehyde, potassium chloride (KCI), and EDS were purchased from Sigma-122 Aldrich (UK). High performance liquid chromatography grade pentane and 32% w/v HCl were 123 purchased from Honeywell, (UK). Phosphate Buffer Solution (PBS) tablets were obtained from 124 Oxoid (UK). WPI was obtained from Sachsenmilch Milk & Whey Ingredients (Sachsenmilch 125 Leppersdorf GmbH, Wachau, Germany). According to the manufacturer it contained 93.74% 126 w/w protein in dry matter, 0.23% w/w fat, 0.61% w/w lactose and 3.16% w/w ash. SFO was 127 purchased from the supermarket Spar (UK). Milli-Q water was used (Elix® 5 distillation 128 apparatus, Millipore®, USA) for sample preparation. All materials were used as received with 129 no further modification or purification. 130

131

#### 132 **2.2. Emulsion preparation**

Simple emulsions containing no KC were produced for particle size analysis before gelation and zeta-potential measurements. Production of the emulsions followed the same procedure as that previously described by (Kamlow, Spyropoulos, et al., 2021). Emulsions stabilised with T20 had 1% w/w T20 added to the required amount of water and SFO. Emulsions stabilised with WPI had a 2% w/w stock solution of WPI diluted to 1% w/w WPI by adding deionised water and SFO. These mixtures where then premixed on a Silverson L5M for 3 minutes at

6000 rpm with a fine emulsor screen. The formed pre-emulsions were then passed through a 139 high-pressure homogeniser at 25 bar to produce smaller scale emulsions with a droplet size 140 of approximately 1 µm and 1000 bar to produce larger-scale emulsions with a droplet size of 141 approximately 8-18 µm. Emulsions containing cinnamaldehyde had 0.7% w/w of the SFO 142 fraction replaced with cinnamaldehyde and these were stirred together using a magnetic stirrer 143 for ten minutes to ensure complete mixing. To create bimodal droplet size distribution 144 emulsions, larger and smaller scale emulsions were created and then mixed 50/50 by stirring 145 with a magnetic stirrer for five minutes. 146

147

#### 148 **2.3. κC-Emulsion solution preparation**

149 T20 and WPI stabilised O/W emulsions (as described in section 2.2) with and without 150 cinnamaldehyde were used for the preparation of KC-emulsion solutions as described by 151 (Kamlow, Spyropoulos, et al., 2021). Emulsions were heated for 30 minutes on a hot-plate 152 stirrer set to 80 °C. Then κC was added and left to stir for two hours to ensure that all κC had dispersed. 3% w/w KC in the water phase was chosen as this has been reported to give optimal 153 printing outcomes (Kamlow, Spyropoulos, et al., 2021; Kamlow, Vadodaria, et al., 2021). 154 155 Finally, O/W emulsion gels were formed by cooling the systems, either via 3D printing as described in section 2.8 or casting in moulds as described in section 2.9. 156

157

#### 158 **2.4. κC-EDS-emulsion solution preparation**

For the co-release tests,  $\kappa$ C-emulsion solutions containing EDS were prepared to test the release of EDS by itself. Emulsions were produced as in section 2.3, but with 7.04g of water removed. Then after  $\kappa$ C-emulsion production, 7.04g of EDS 2% w/w solution was added to the hot  $\kappa$ C-emulsion solution and stirred for a further 30 minutes. This solution could then be gelled via 3D printing as in section 2.8 or casting in moulds as in section 2.9.

164

#### **2.5. κC-EDS-emulsion with cinnamaldehyde solution preparation**

166 For the co-release tests,  $\kappa$ C-EDS-emulsion solutions containing cinnamaldehyde were 167 produced by following the method in 2.4 but utilising an emulsion that had 0.7% w/w of the 168 SFO fraction replaced with cinnamaldehyde.

#### 169 **2.6. Simple emulsion droplet size analysis**

The emulsion droplet size was obtained using a Malvern Mastersizer MS 2000, (Malvern 170 Panalytical, UK), utilising a Hydro SM manual small volume sample dispersion unit. This gave 171 data for Surface weighted mean  $(D_{3,2})$  and volume weighted mean  $(D_{4,3})$  droplet size values 172 were obtained immediately after preparation. The values for refractive index were input into 173 the software and were 1.33 for water and 1.467 for the sunflower oil. For the SFO mixed with 174 cinnamaldehyde, a refractometer (J357 Automatic refractometer, Rudolph research) was used 175 to calculate the refractive index of the mixture. Cinnamaldehyde was found to have a refractive 176 index of 1.62, and the mixture of cinnamaldehyde and sunflower oil was found to be 1.468. 177 Samples were dispersed in distilled water at 1300 rpm to give an obscuration value of 4.2-178 4.6%. Samples were prepared and tested in triplicate and droplet size values were the average 179 180 of at least three measurements.

181

#### 182 **2.7. Zeta-potential measurement**

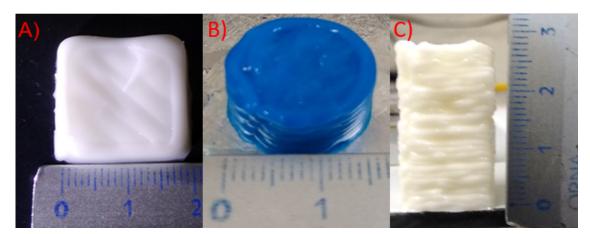
183 The zeta potential ( $\zeta$ -potential) was determined using a Zetasizer (Malvern Panalytical, UK) in 184 order to assess the stability of the emulsions created and to assess if replacing part of the 185 SFO fraction with cinnamaldehyde affected stability. Samples were diluted 100 times with 186 deionised water (Wu, et al., 2016). This was to reduce the absorbance of laser light and 187 multiple scattering. All  $\zeta$ -potential measurements were carried out at room temperature.

#### 188 2.8. 3D printing

The 3D printer was supplied by the Institute of Food Science and Biotechnology at the 189 University of Hohenheim (Germany). It is a Fabbster 3D printer that was modified in order to 190 handle a liquid feed. Full detail of the printer's setup and function can be found in a previous 191 study (Kamlow, Spyropoulos, et al., 2021). After production of KC-emulsion solutions as in 192 193 sections 2.3-2.5, these were fed into the printer, with the temperature being maintained indirectly via double walled pipes containing heated water in counterflow. The syringe was 194 also wrapped in a heated jacket to prevent pre-gelation. The computer would then slice the 195 desired 3D printed shape into layers and send this information to the printer which would then 196 move in the X, Y and Z axes to produce the 3D shape. The material flowed via a syringe driver 197 with a controllable flow rate, which was important as 5% w/w SFO and 40% w/w SFO emulsion 198 solutions had different viscosities, necessitating two different flow rates (Pal & Rhodes, 1989). 199

The printing parameters for these emulsion gels were determined in a previous study 200 (Kamlow, Spyropoulos, & Mills, 2021). The replacement of 0.7% of the SFO with 201 202 cinnamaldehyde, as well as the addition of 0.1408% w/w EDS in the water phase had no effect on the printability of the gels which were printed at the optimal parameters previously defined. 203 These were a printer bed temperature of 35 °C, print speed of 30 mm/s, fill space of 1.25 mm, 204 205 a water bath temperature on the feed pipes of 72 °C, a water bath temperature on the nozzle of 72 °C, layer height of 1.2 mm, nozzle size of 20G/0.8mm and nozzle height of 0.5 mm. The 206 syringe driver rate was 0.7 mL/min for 5% SFO KC-emulsion gels and 0.84 mL/min for 40% 207 SFO KC-emulsion gels. This was used to produce 20 x 20 x 9 mm cuboids for texture profile 208 209 analysis and syneresis testing, 15 x 15 x 30 mm cuboids for droplet size testing and 15mm 210 height and 12 mm diameter cylinders for release tests. These are shown in Fig 1.

211



212 213

Figure 1: 3DP (A) 20 x 20 x 9.6 mm cuboid, (B) Cylinder containing EDS and (C) 15 x 15 x 30 mm cuboid

214

#### 215 **2.9. Production of moulds for casting**

Cylinder and cuboid shaped moulds were produced using a form 2 stereolithography 3D 216 printer (Formlabs, USA). The moulds were designed by computer aided design and uploaded 217 218 to the software, digitally sliced into layers, then transmitted to the printer digitally to print. The cube mould facilitated the production of emulsion gel cuboids with dimensions of 20 x 20 x 9.6 219 mm by casting. These were then used for comparative penetration testing as a control, cast 220 221 sample to compare to the 3D printed cuboids produced in 2.8. The cylinder mould was used 222 to produce cast, control samples for release tests of cinnamaldehyde, EDS or both at the same time, to compare to the 3D printed cylinders produced in 2.8. 223

#### 224 2.10. Syneresis testing

KC emulsion gel cuboids containing 5% or 40% SFO with dimensions of 20 x 20 x 9.6 mm were produced by 3D printing or casting and stored in an airtight container at 5 °C. Syneresis measurements were carried out by measuring the amount of water release by the emulsion gels after several time intervals as described in a previous study (Ako, 2015). The syneresis ratio ( $R_s$ ) was determined using equation 1.

230 
$$R_s = \frac{w_e}{w_g} \times 100 \ Eq \ [1]$$

231 Where  $w_e$  is the weight of water released by the gel, and  $w_g$  is the weight of the initial gel. 232 Since 40% SFO emulsion gels contain considerably less water per 100g compared to 5% SFO 233 emulsion gels, the values were normalised to a constant water concentration.

234

#### 235 **2.11. Time-Domain nuclear magnetic resonance spectroscopy**

Droplet size measurements of the emulsion gels were performed using an NMR device 236 (Bruker Minispec NMR, Bruker Optics, UK), equipped with a gradient unit. Measurements 237 were performed on three different samples, in triplicate. Droplet size calculations were 238 239 performed using the Minispec software, which fits the data to a log-normal curve. Measurements were taken to assess the stability of the emulsion gels over 8 weeks, with 240 samples tested at 0, 1, 2, 3, 4 and 8 weeks. Cast gels were tested by adding hot KC-emulsion 241 242 gel solution to the NMR tubes. 3DP gels were tested by printing a cuboid measuring 15 x 15 x 30 mm and then using a cork borer, the same size as the internal diameter (Ø 10mm) of the 243 NMR tubes to cut out a cylinder and place that into the NMR tube. D<sub>3,2</sub> values from section 2.6 244 were converted to the volume-weighted geometric mean diameter  $(D_{3,3})$  values to be used as 245 a comparison to see if oil droplet sizes changed during gelation, using equation 2 insert 246 247 reference on endnote - https://doi.org/10.1006/jcis.2001.7603.

248 
$$D_{3,3} = \frac{D_{3,2}}{e^{-\sigma^2 \div 2}}$$
 Eq [2]

249 where  $D_{3,3}$  is the geometric weighted mean diameter,  $D_{3,2}$  is the surface weighted mean 250 diameter and  $\sigma$  is the standard deviation of the logarithm of the droplet diameter.

#### 251 **2.12. Texture Profile Analysis**

Texture profile analysis (TPA) was carried out using a TA XT plus Texture Analyser. Printed 252 and cast cuboids of dimensions  $20 \times 20 \times 9.6$  mm were tested; the cast cuboids were given 3 253 minutes 30 seconds to set, mimicking the time taken to print their respective counterparts. 254 Penetration testing was carried out using a P/6 cylindrical aluminium probe set to a constant 255 speed of 0.5 mm/s, over a distance of 6 mm, alongside a 30 kg load cell and 3 g of trigger 256 force. Through penetration testing, data for the force at breaking, firmness and gel strength 257 were acquired for printed and cast cuboids. Force at breaking, in g, is defined as the first 258 significant discontinuity produced in the curve during penetration (Fiszman, Lluch, & Salvador, 259 260 1999). Firmness, in g/mm, is the initial slope of the penetration curve within the first 2 seconds (Fiszman & Salvador, 1999). Gel strength, in g x mm, is the multiplication of the penetration 261 force by the distance of the penetration where failure occurs (H. Liu, Nie, & Chen, 2014). All 262 tests were carried out in triplicate. 263

264

#### 265 **2.13 Release studies**

Release studies were carried out using ultraviolet (UV)-visible spectrophotometry to assess the release of cinnamaldehyde from the printed and cast  $\kappa$ C-emulsion gels. All studied gel systems were of a consistent weight; 1700 mg ± 5%. Oil droplets were tested at both the micron and sub-micron scale. Three cast and three printed  $\kappa$ C-emulsion gels were each placed inside semipermeable cellulose dialysis membrane (approx. 80 mm x 40 mm), which had been

soaked for 24 hours in deionised water. The molecular weight cut-off for the membrane was 271 stated to be 14,000 Daltons. This was far below the molecular weight of the KC, ensuring none 272 would interfere with the absorbance readings (Phillips & Williams, 2009). The gel-containing 273 274 membranes were placed within 150 mL of various media (deionised water, PBS, 0.1 M HCI and 1 M KCl). 100% release of the cinnamaldehyde within the gels would equate to 275 276 approximately 4 mg/L. Since this is significantly lower than the maximum solubility within 277 water, this equates to sink conditions. The release tests were carried out within an Incu-Shake MIDI shaker incubator (Sciquip, UK) at 150 rpm. Release tests were carried out at 37 °C for 278 279 in vitro testing. Measurements were taken at various time points up to and including 360 minutes. Determination of the cinnamaldehyde release was carried out using a Jenway 280 Genova Bio life science spectrophotometer (Cole-Parmer, UK), set to 290 nm, the maximum 281 absorbance for cinnamaldehyde in the various release media. 0.9 mL of dissolution medium 282 was taken with an Eppendorf pipette and tested at the times stated above. This was placed 283 284 into the UV-Vis spectrophotometer which had been blanked using fresh release media. The 285 release profile was calculated from a calibration curve determined by the UV-Vis spectrophotometer, which had an  $R^2$  value of 0.999. The contents of the cuvette were then 286 287 discarded and 0.9 mL of fresh medium added into each beaker. This was corrected when determining the cinnamaldehyde release percentage following the procedure of (B. Singh, 288 Kaur, & Singh, 1997). All tests were carried out in triplicate. 289

290

#### 291 **2.14 Co-release studies**

Co-release studies were carried out in water using a modified version of the protocol in 2.13. 292 KC-EDS-emulsion gels with cinnamaldehyde were cast and printed, placed within 293 semipermeable cellulose dialysis membrane, then placed into beakers containing 150 mL 294 295 water, 100% release of the EDS would equate to approximately 8 mg/L, which meant that it 296 was being released into sink conditions. 1.8 mL aliquots were taken at the various release points. 0.9 mL was taken and tested for EDS release at 629 nm on the UV-vis 297 298 spectrophotometer, which had been blanked against deionised water. The release profile was calculated from a calibration curve for the EDS which had an R<sup>2</sup> value of 0.999. Then a solvent 299 300 extraction protocol was carried out on the remaining 0.9 mL. 0.9 mL of pentane was added to the remaining 0.9 mL of the aliquot and they were shaken together. The cinnamaldehyde was 301 302 far more soluble in the pentane than the water, but the EDS is insoluble in the pentane 303 (Balaguer, et al., 2013). This prevented any interference from the EDS when measuring the 304 absorbance of the cinnamaldehyde in the pentane. This was tested within the UV-vis spectrophotometer at 280 nm, as being within pentane caused a shift in the maximum 305 absorbance peak compared to being dissolved within water. Blank pentane that had been 306 shaken through deionised water was used to blank the instrument. A separate calibration 307 curve was created for cinnamaldehyde in pentane which had an  $R^2$  value of 0.990. 308

309

#### 310 2.15 Modelling of release data

Cinnamaldehyde and EDS release data (up to 60%) were fitted to the following model (Ritger & Peppas, 1987)

313 
$$\frac{M_t}{M_{\infty}} = k_1 t^m + k_2 t^{2m}$$
 Eq [3]

where  $Mt/M\infty$  is the fraction of active released at time t. The first term  $(k_1t^m)$  relates to Fickian 314 effects while the second term  $(k_2 t^{2m})$  to relaxational contributions to the release.  $k_1$  is the kinetic 315 constant regarding release from the matrix by Fickian diffusion and  $k^2$  is the kinetic constant 316 for case-II relaxation. Lastly the coefficient m is the purely Fickian diffusion exponent which is 317 dependent on the shape of the device; the value of the exponent concerning relaxation 318 319 transport is in theory twice the Fickian exponent (2m). This modelling yielded values between 320 0.5 and 1. The closer to 0.5, the greater the contribution of Fickian diffusion to the release of the molecules. The closer to 1.0, the greater the contribution of polymeric relaxation to 321 322 molecular release.

#### 323 **2.16 Statistics**

The average droplet size,  $\zeta$ -potential, R<sub>s</sub>, force at break, gel strength, firmness and cumulative release % were compared using the two-sample T-test in the Analysis ToolPack for Microsoft Excel. Confidence levels were set at 95%. Therefore, if P < 0.05, the two sets of data have different means, otherwise the two means have no significant statistical difference.

328

#### 329

#### 330 3. Results and discussion

#### 331 **3.1. Droplet size analysis and zeta-potential measurements**

The o/w emulsions containing 5% or 40% w/w SFO (dispersed phase) and stabilised by either 332 T20 or WPI, were studied both in the presence and absence of included cinnamaldehyde; 333 comprising 0.7% of the total oil fraction in each system. Emulsifier concentration was fixed at 334 1% w/w, which was sufficient to stabilise the emulsions. It was important to characterise the 335 simple emulsions before conversion to emulsion gels, for printing, in order to establish a 336 baseline for later stability testing (see section 3.3). These systems were all formed in 337 dimensions that were able to undergo printing through the 0.8 mm nozzle, practically 338 undisturbed. They were also determined to be stable during the heating step described in 339 340 section 2.3, and thus are not expected to change from production to printing. We were able to deliver systems with controlled variations to droplet length scale, emulsifier type, dispersed 341 phase content as well as droplet surface charge. Establishment of this level of customisation 342 is important for proving the use of 3DP KC-emulsion gels as flexible delivery systems for 343 targeted molecules. D<sub>4.3</sub> droplet size data is presented in Fig. 2A for larger scale and Fig 2B 344 for smaller scale emulsions. 345

346 Statistical analysis showed no significant difference in the droplet sizes produced by varying the SFO concentration or by replacing 0.7% of the oil fraction with cinnamaldehyde, in either 347 length scale. However, there is a statistically significant difference between the emulsions 348 stabilised by T20 compared to those stabilised by WPI. This is due to T20 being a low 349 molecular weight surfactant (LMWS), meaning it can position itself faster at the interface 350 (Kenta, et al., 2013). Furthermore, LMWS are superior at decreasing the water/oil interfacial 351 tension compared to proteins, further aiding droplet breakup (Beverung, Radke, & Blanch, 352 1999). 353



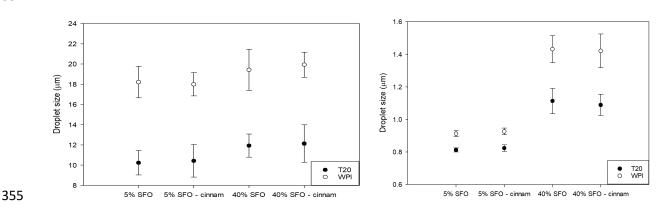


Figure 2: Comparison of the average droplet size of emulsions with and without cinnamaldehyde containing 5% or 40% SFO and 1% w/w T20 or WPI in the larger (A) or smaller (B) scale.

358

Bimodal distributions were also created to be used for stability testing (see section 3.3), with 359 larger and smaller scale emulsions mixed in a 1:1 ratio. Because release studies were to be 360 carried out, the possibility of custom release profiles created by mixing different droplet sizes 361 in varying ratios, meant that purpose made bimodal droplet distributions were investigated as 362 well. However, since the D<sub>4,3</sub> values from the mastersizer are calculated for monomodal 363 distributions, it is far more practical to show the droplet distribution graphs, which are given in 364 365 Fig 3, including the distributions of the individual size scale systems. This is because a single, average droplet size value does not fully represent the equally mixed droplet populations for 366 367 the mixed size scale systems.

The distributions in Fig. 3 showed that there was no significant difference when replacing 0.7% of the oil fraction with cinnamaldehyde. Furthermore, the mixing of the larger and smaller scale emulsions created bimodal distributions, which reflected the two constituent monomodal distributions of which they were comprised.

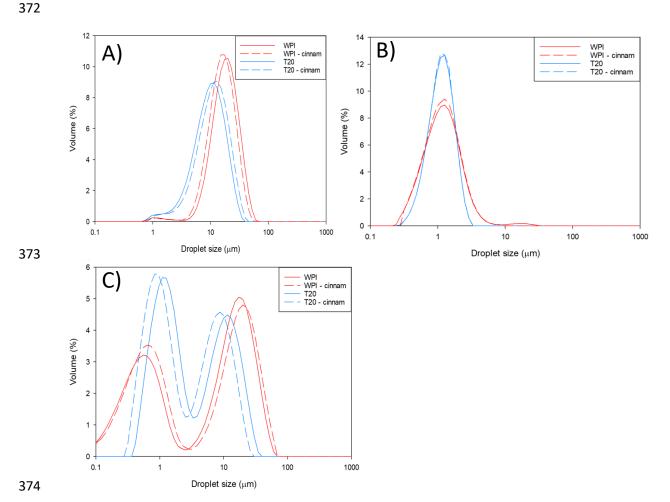


Figure 3: D<sub>4,3</sub> distribution of 40% SFO (A) larger scale emulsions, (B) smaller scale emulsions and (C) mixed scale
 emulsion systems stabilised with either T20 or WPI.

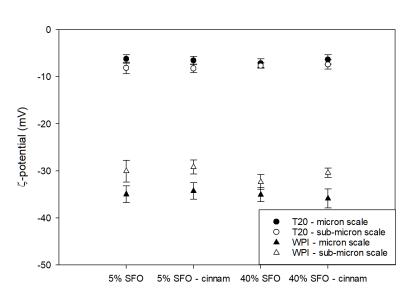
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The emulsions were also tested for their  $\zeta$ -potential, to assess whether the different emulsifiers, the changes in length-scale of the emulsion droplets, or the addition of the cinnamaldehyde fraction has an effect on surface charge (Fig 4). The  $\zeta$ -potential values for T20 reflect that it is a non-ionic surfactant, which stabilises emulsions through steric repulsion, rather than surface charge (Teo, et al., 2016). The WPI stabilised emulsions had a strong

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negative charge, owing to being above their isoelectric point, giving a  $\zeta$ -potential value of around -34 mV to -37mV for larger-scale emulsions and a statistically significant difference of -29mV to -32 mV for the smaller-scale emulsions. This is fairly typical with smaller droplet sizes having been shown to present with a lower  $\zeta$ -potential value (A. Wiącek & Chibowski, 1999; A. E. Wiącek & Chibowski, 2002). Replacing 0.7% of the SFO fraction with cinnamaldehyde did not have a statistically significant difference on the  $\zeta$ -potential of the emulsions.





391

Figure 4: ζ-potential of O/W emulsions with and without cinnamaldehyde, stabilised by either T20 or WPI in the
 micron and sub-micron scale

394

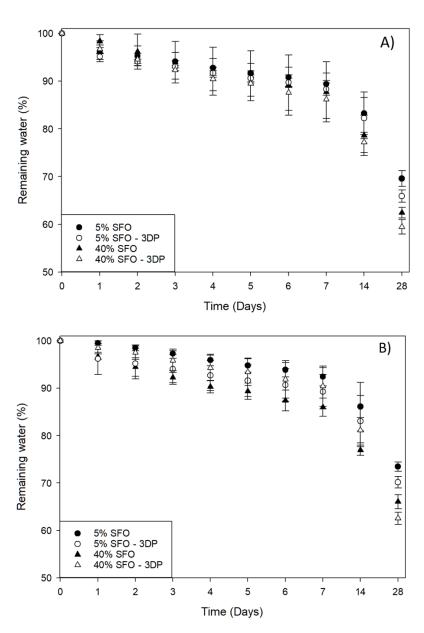
#### 395 3.2.Syneresis testing

During emulsion gel formation, while most water is retained within the gel network overtime, 396 some is expelled during contraction over time as the polymer helices aggregate further 397 (Thrimawithana, Young, Dunstan, & Alany, 2010). Syneresis is considered to be unfavourable 398 when it comes to the use of biopolymer gels as molecular delivery vehicles, as any loss of 399 water could lower the availability of any hydrophilic molecules within the water phase of the 400 401 gels. Fig. 5 shows the results of syneresis testing over 28 days for cast and 3DP KC-emulsion gel cuboids containing 5% or 40% SFO stabilised by either T20 or WPI. The values have been 402 normalised for the total water content. 403

As the gels continued to contract over time, water is expelled from within the gel matrix. The 404 syneresis of the emulsion gels followed the same pattern, regardless of whether they were 405 stabilised by T20 or WPI. Previous studies have shown that an increase in SFO concentration 406 within emulsion gels reduces the water loss from syneresis; however, this study did not fix the 407 biopolymer concentration to the water content (Honggiang Chen, Lu, Yuan, Gao, & Mao, 408 2021). Therefore as the oil fraction increased, there was an effective increase of the 409 biopolymer concentration within the aqueous phase of the emulsion gels. Furthermore while 410 the data presented in Fig. 5 went to 40% w/w SFO, the previous study only assessed emulsion 411 gels up to 20% SFO, making comparisons more difficult with previous reported results in 412 literature. Here, there was no statistically significant difference between 5% and 40% SFO KC-413 emulsion in terms of water loss before 28 days had passed, then a statically significant 414 415 difference was observed. One explanation for this could be the far higher oil concentration within the 40% SFO emulsion gels, causing greater disruption the network structure, leading 416

417 to a decrease in gel elasticity (D. Julian Mcclements, Monahan, & Kinsella, 1993). A decrease in elasticity of KC-emulsion gels has been shown to increase the rate of syneresis (Rostami, 418 Nikoo, Rajabzadeh, Niknia, & Salehi, 2018). The authors have previously reported that 40% 419 420 SFO KC-emulsion gels have lower elasticity compared to 5% SFO KC-emulsion gels (Kamlow, Spyropoulos, et al., 2021). The 3DP KC-emulsion gels lost more water than their cast 421 equivalents, and this was believed to be due to the layering that runs through the 3DP KC-422 emulsion gels, as a consequence of the 3DP process, which can allow water a shorter route 423 to exit the gels (Kamlow, Vadodaria, et al., 2021). 424

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426

427

Figure 5: Syneresis of the κC-emulsion gels with different oil fractions for 3DP and cast κC-emulsion gels stabilised
 by (A) T20 and (B) WPI

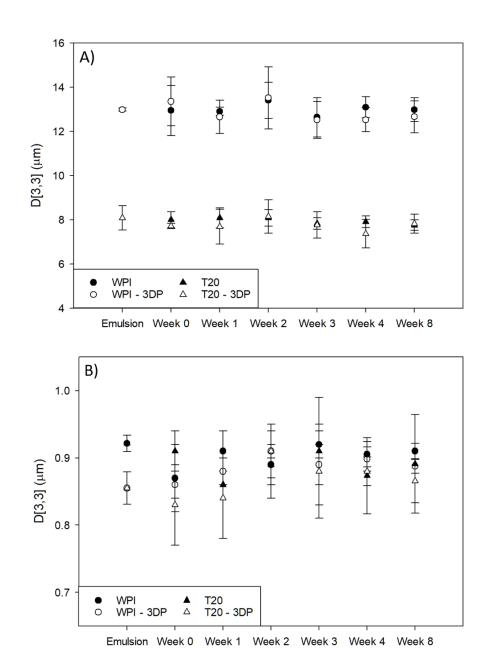
430

#### 431 **3.3.Time Domain-NMR stability testing**

Time Domain-NMR (TD-NMR) was used to evaluate the droplet size within κC-emulsion gel
 samples containing monomodal and bimodal droplet distribution systems in both the micron

and sub-micron scale. This was to assess whether the 3DP process, causes aggregation or flocculation of the emulsion systems, once gelled. TD-NMR has the advantage of being able to evaluate droplet size distributions within solid systems, unlike dynamic light scattering techniques and without the time-consuming nature of microscopy. Fig 6. Shows the data for emulsion gels over 8 weeks for micron and sub-micron, monomodally distributed  $\kappa$ C-emulsion gel samples.

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441

442

Figure 6: TD-NMR over 8 weeks showing  $D_{3,3}$  values for cast and 3DP  $\kappa$ C-emulsion gels in the micron (A) and submicron (B) scales

445

Fig. 6 showed that despite the simple emulsions being heated and stirred for two and a half
hours during emulsion gel production, there was no significant coalescence of the oil droplets
observed. Furthermore, the 3D printing process also appeared to have no significant effect on
the oil droplet size within the κC-emulsion gels. The differences observed between the T20

450 and the WPI follow trends observed from section 3.1. While the droplet sizes appear to have stayed constant for both emulsifiers, the nature of TD-NMR means that it does not detect 451 flocculation of oil droplets, as the restriction of self-diffusion of oil by the walls of the droplets, 452 453 won't be affected by the flocculation (Goudappel, van Duynhoven, & Mooren, 2001). However, previous studies have shown that KC-emulsion gels flocculate when stabilised by T20 454 (Kamlow, Spyropoulos, et al., 2021; H. Singh, Tamehana, Hemar, & Munro, 2003). This 455 doesn't occur with the WPI stabilised KC-emulsion gels, and is linked to the higher surface 456 charge on the oil droplets stabilised by WPI (see Fig. 4). This highlights the need to examine 457 458 complex systems such as emulsion gels through various techniques. There was no change seen over 8 weeks, which is due to the solid continuous phase restricting any movement of 459 460 the oil droplets within the network.

Separately sub-micron and micron scale emulsions were created and mixed by stirring for five 461 462 minutes and then gelled as above. These were also stored for 8 weeks and the droplet sizes examined. Fig. S1 and table 1 show that despite intentionally creating a bimodal emulsion 463 distribution, the emulsion gels maintained their stability over 8 weeks. This is despite the fact 464 that bimodal emulsions are less stable, and more prone to Ostwald ripening and coalescence 465 (van der Ven, Gruppen, de Bont, & Voragen, 2001). The addition of cinnamaldehyde caused 466 no significant change to the droplet sizes. Since  $D_{3,3}$  are calculated based on monomodal 467 distributions, these values alone are not fully representative of the droplet size distributions 468 present within these systems (Juslin, Antikainen, Merkku, & Yliruusi, 1995). It also appears 469 470 that the tween emulsion gel systems appear to have eliminated the flocculation observed in the simple emulsion systems. The distribution values over 8 weeks are presented in table 1. 471

Table 1 shows that the emulsion gels for the mixed-scale systems maintained the same 472 473 distributions and  $\sigma$  values after production and storage for 8 weeks. The  $\sigma$  value corresponds to the standard deviation of the logarithm of the droplet diameter. The κC-emulsion gels 474 stabilised with T20 had a narrower droplet size distribution owing compared to those stabilised 475 476 by WPI, which is reflected in the smaller  $\sigma$  value. The demonstrated ability of emulsion gels to 477 maintain emulsion stability over time, coupled with their thermoreversible nature means that 478 they could potentially be used to store poorly stable emulsions as emulsion gels, and then 479 heat them to undergo a gel-sol transition and consume them as a liquid form if needed.

480

Table 1: Data on droplet size distributions of mixed scale κC-emulsion gels following production and after 8
 weeks. A higher σ value indicates a wider droplet size distribution

|            | I              | ze after 0 weeks | 6                              | Droplet size after 8 weeks     |                |                |                                |                                |
|------------|----------------|------------------|--------------------------------|--------------------------------|----------------|----------------|--------------------------------|--------------------------------|
|            | WPI            | T20              | WPI with<br>cinnamaldeh<br>yde | T20 with<br>cinnamal<br>dehyde | WPI            | T20            | WPI with<br>cinnamal<br>dehyde | T20 with<br>cinnamal<br>dehyde |
| Diameter   | 0.31 ±         | 0.25 ±           | 0.30 ± 0.04                    | 0.23 ±                         | 0.28 ±         | 0.25 ±         | 0.24 ±                         | 0.24 ±                         |
| 2.5% (µm)  | 0.05           | 0.04             |                                | 0.02                           | 0.07           | 0.02           | 0.05                           | 0.01                           |
| Diameter   | 5.80 ±         | 2.89 ±           | 5.79 ± 0.30                    | 3.03 ±                         | 5.83 ±         | 2.96 ±         | 5.72 ±                         | 3.00 ±                         |
| 50% (µm)   | 0.23           | 0.15             |                                | 0.09                           | 0.33           | 0.02           | 0.40                           | 0.04                           |
| Diameter   | 81.88 ±        | 47.27            | 77.61 ± 2.14                   | 47.66 ±                        | 79.37 ±        | 48.46 ±        | 77.45 ±                        | 46.34 ±                        |
| 97.5% (µm) | 4.21           | ± 2.23           |                                | 3.19                           | 3.86           | 1.69           | 3.61                           | 1.82                           |
| σ          | 2.54 ±<br>0.06 | 1.53 ±<br>0.08   | 2.55 ± 0.10                    | 1.64 ±<br>0.12                 | 2.61 ±<br>0.09 | 1.42 ±<br>0.36 | 2.59 ±<br>0.10                 | 1.51 ±<br>0.09                 |

#### 484 **3.4.Texture profile analysis**

Texture profile analysis of gels is an important technique for characterising the gel 485 microstructure. In the past TPA has been used to assess how gels perform when it comes to 486 487 the release of molecules from within their matrices. More rigid, and less elastic gels tends to release molecules slower, owing to a more dense gel network retarding molecular release 488 489 (Boland, Delahunty, & van Ruth, 2006; Özcan, et al., 2009). While previous studies have carried out TPA on 3DP gels, there exists little, if any, literature that utilises penetration testing, 490 with all the existing work focusing on compression testing (Kamlow, Spyropoulos, et al., 2021; 491 Kamlow, Vadodaria, et al., 2021; Strother, Moss, & McSweeney, 2020; Fanli Yang, Zhang, 492 Bhandari, & Liu, 2018). 493

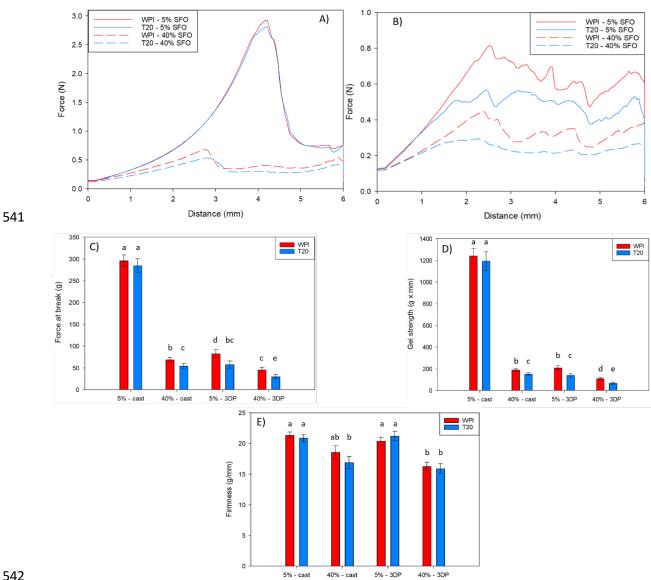
494 Since penetration testing measures force as a function of penetration depth, it is much more sensitive to local variances in the gel architecture. Whereas, compression tests are 495 determined by the average material property for the whole sample (C. M. Lee & Chung, 1989). 496 497 Penetration testing data for both the 3DP and cast KC-emulsion gels studied here are shown in Fig. 7. Cast KC-emulsion gels display a typical shaped TPA curve, with one failure point 498 (Fig. 7A), whereas 3DP gels had several peaks and troughs, with each of these roughly 499 corresponding to a penetration depth of 1.2 mm (Fig. 7B); this is also compatible with the 500 printed layer height. The data in Fig. 7 also shows (for both cast and 3DP κC-emulsion gels) 501 that as the concentration of SFO increases, the amount of force required to penetrate the gels 502 decreased. Literature suggests this behaviour to be a result of the oil droplets within the 503 network acting as non-interacting filler particles, with therefore further increases to their 504 505 population (higher SFO content) resulting in a more pronounced disruption in the formation of the gel network around them, and thus weaker gels (D. Julian Mcclements, et al., 1993). 506

507 A previous study by the present authors (Kamlow, Spyropoulos, et al., 2021) revealed no statistically significant difference in the performance of 3DP KC-emulsion gels when 508 undergoing compression tests, regardless of SFO concentration. It was observed that under 509 compression, 3DP gels undergo delamination, breaking down at the semi-fused sites that 510 511 follow the lines of the printing, as opposed to cast gels which are one continuous network. However, the data for the penetration testing in Fig. 7 highlights that penetration testing can 512 demonstrate a difference in performance for 3DP gels; not only was a difference observed 513 with SFO concentration, but also as a function of the emulsifier chosen. The disparity in the 514 515 results between compression and penetration testing is due to how failure propagates when gels are subjected to either type of forces. While compression testing evaluates the 516 cohesiveness of the gels, that is to say their overall binding, penetration testing assesses the 517 degree of compactness in the gels, that is to say, their density (C. M. Lee, et al., 1989). 518

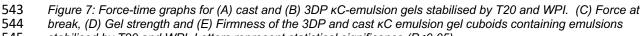
From the force-distance graphs in Fig. 7A and 7B, data for force at break, in g, firmness, in 519 520 g/mm, and gel strength, in g x mm, could be determined and these are shown in Fig. 7C-E. The 5% SFO cast gels had far higher values for force at break and gel strength because they 521 522 lacked the extensive network disruption caused by either a high concentration of SFO or the discontinuous nature of a 3DP bulk structure. This was responsible for the far higher values 523 observed in Fig. 7C and 7D for 5% SFO cast gels. In terms of force at break and gel strength 524 525 (Fig. 7C and D), there was a statistically significant difference between the emulsion gels 526 stabilised by either T20 or WPI, except for the cast 5% SFO gels. WPI contains 3.16%, milkbased ash contains cations such as potassium and calcium which are known to reinforce KC 527 528 gels (Hermansson, et al., 1991). It is believed that this was a contributing factor, to the WPI-529 stabilised emulsion gels requiring more force before failure. The firmness data (Fig. 7E) saw the 5% SFO gels having no significant difference between them, regardless of emulsifier. For 530 531 the 40% SFO cast gels, there was no significant difference between the cast gels stabilised by WPI, and the 5% SFO gels. While the 40% SFO cast gels stabilised by T20 were statistically 532 533 significantly different from the 5% SFO gels, but not the 40% SFO cast gel stabilised by WPI. Between the 40% SFO 3DP gels there was no statistically significant difference, nor was there 534 one with the 40% SFO cast emulsion gel stabilised by T20, but there was for the 40% SFO 535

cast gel stabilised by WPI. The lower firmness values of the 40% SFO gels, both cast and 536 3DP, suggest that these systems deformed more easily and tended to flow more before 537 breaking, when compared to the 5% SFO gels (Pang, Deeth, Sopade, Sharma, & Bansal, 538 2014). 539





542



545 stabilised by T20 and WPI. Letters represent statistical significance (P<0.05)

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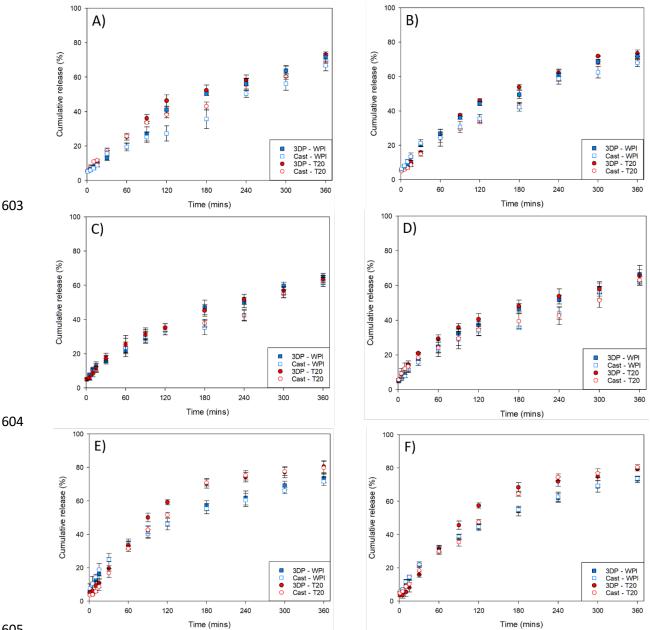
#### 3.5. Release studies 547

The amount of cinnamaldehyde released from 3DP and cast KC-emulsion gels was measured 548 at 37 °C and 20 °C in water as a simple release medium and PBS and 0.1M HCl as they are 549 more physiologically relevant release media. Control cinnamaldehyde release performance 550 551 from non-gelled emulsions and a pure sunflower oil phase (both) in water at 37 °C, was also

assessed. The release data acquired are all presented in Fig 8 and Fig S2. 552

The release data showed no major difference in the amount of cinnamaldehyde being released 553 from emulsion gels, regardless of whether they were 3DP or cast. This differed from our 554 previous study which found that 3DP gels released a greater proportion of their active in the 555 556 same timeframe compared to cast gels (Kamlow, Vadodaria, et al., 2021). However, the previous study was for a hydrophilic active directly encased within the gel network, while the 557 present work studies the release of cinnamaldehyde (which is lipophilic) from emulsion gels. 558 This appears to suggest that in the current study, overall cinnamaldehyde release from both 559 the 3DP and cast KC-emulsion gels is predominantly dictated by the active's liberation from 560 the oil droplets rather than by its subsequent discharge from the surrounding gel network. One 561 difference between 3DP and cast gels is observed in Fig. 8A to 8F. Here, there is a divergence 562 in the release performance between the 3DP and cast KC-emulsion gels that is exhibited 563 around the 60-120 mins time frame. Placing the gels into the acceptor medium, creates an 564 osmotic gradient for the transfer of cations from the gel into the aqueous sink; this is controlled 565 566 by diffusion. This took place at a faster rate in the 3DP (than in the cast) gels, as their inherent 567 layered structure facilitates the migration of the cations into the acceptor phase (Kamlow, Vadodaria, et al., 2021). However, when eventually the gel network of the cast assemblies is 568 also lost, cinnamaldehyde release from these systems is seen to once again coincide with that 569 from their 3DP counterparts. This divergence takes place at later stages in the release 570 experiments utilising PBS as the acceptor phase, owing to the greater concentration of ions 571 (compared to deionised water) present in this case. 572

573 In order to confirm that the loss of gelling cations via diffusion causes the collapse of the gels, 574 the data for cinnamaldehyde release in 1M KCI was scrutinised (Fig. S2D). Furthermore cinnamaldehyde release at 20 °C (Fig. S2A-C) was carried out to assess the effect of 575 temperature. In both cases, the previously observed divergence between 3DP and cast KC-576 emulsion gels was absent, either because the concentration gradient led to the gels taking up 577 salt (1M KCI) or because the lower temperature (20 °C) has slowed down the diffusional 578 transfer of salts out of the gel network (Vrentas & Vrentas, 1992). This led to release rates 579 being lower in PBS as seen in Fig. 8C-D compared to 19A-B. In 0.1M HCI (Fig. 8E and 8F), a 580 statistically significant increase in the percentage release of cinnamaldehyde was observed. 581 This was due to the free carbonyl group present on cinnamaldehyde, facilitating the formation 582 583 of Schiff base adducts with an increased aqueous solubility (Friedman, 2017; Wei, Xiong, 584 Jiang, Zhang, & Wen, 2011). In terms of cinnamaldehyde release in an acidic environment (0.1M HCl), WPI stabilised emulsion gel systems behaved differently to those stabilised by 585 T20. As the pH in this case is below the isoelectric point of WPI, the protein has an overall 586 587 positive charge (Chanamai & McClements, 2002), and thus can associate with KC, effectively acting as a gelling cation (de Kruif, Weinbreck, & de Vries, 2004; Stone & Nickerson, 2012). 588 This meant that the cast and 3DP gels remained solid, despite any potential loss of gelling 589 590 cations such as potassium and sodium to the acceptor phase. This yielded the different release behaviour compared to the remaining release studies at 37 °C. The enhanced 591 aqueous solubility of cinnamaldehyde within an acidic environment can be seen through 592 comparison of Fig. 8E and 8F with Fig. 8A-D, with the release in 0.1M HCl yielding a 593 statistically significant increased amount of cinnamaldehyde compared to release in other 594 media; this trend persists at 20 °C as well as shown in the supplementary information. This 595 596 shows that simply by controlling the emulsifier used to stabilise the KC-emulsion gels, the release rate of active molecules can be manipulated based on the release medium. The 597 enhanced solubility and release of cinnamaldehyde in 0.1M HCl compared to water, was also 598 599 observed when the active was simply delivered by dissolution in a pure SFO phase, as seen in Fig. S2F. Here there was again, a statistically significant difference in release based on the 600 601 release medium tested (0.1M HCl or water).



605 606

Figure 8: A comparison of cumulative release rates of cinnamaldehyde from 3DP and cast κC-emulsion gels at
 37 °C in water stabilised by T20 and WPI in the (A) micron and (B) sub-micron scale and the same order is
 followed for the remaining figures with (C-D) in PBS, (E-F) in 0.1M HCI

609

Another observation was that there was no significant difference in the trends for final release 610 concentrations observed between the micron and sub-micron scale emulsions gels, and this 611 held true even for the simple emulsion systems in Fig. S2E. Even though a smaller average 612 droplet size yields an increased surface (interfacial) area, that should accelerate release out 613 of the oil globules, literature in this area includes a number of conflicting results (Li & 614 615 McClements, 2010). Some studies have reported that such a droplet size reduction yields an increase in percentage release of lipophilic molecules (Charles, Lambert, Brondeur, 616 617 Courthaudon, & Guichard, 2000; S. J. Lee & McClements, 2010), whereas others suggest that droplet size variations have no significant difference (Ahmed, Li, McClements, & Xiao, 2012). 618 619 Additionally, the use of dialysis tubing has been shown to act as a rate limiting step for the release of lipophiles from emulsion systems, and this may have contributed in not observing 620

a statistically significant difference between the micron and sub-micron scale systems (Magalhaes, Cave, Seiller, & Benita, 1991). Finally, the possibility exists that  $\kappa$ C and cinnamaldehyde interactions via hydrogen bonding could have impeded the release of the active from the gels (Yamada & Shizuma, 2021). The final cinnamaldehyde release values for the release data are presented in Table 2.

626

627 Table 2: Final cinnamaldehyde release values as a %. Superscript letters indicate statistical significance (P<0.05)

| Cinnamaldehyde-<br>carrying system | Acceptor<br>Phase | Temp<br>(°C)      | Cinnamaldehyde cumulative release (%)<br>after 6 hours |                    |                   |                   |                      |                   |                   |                   |  |  |
|------------------------------------|-------------------|-------------------|--|--------------------|-------------------|-------------------|----------------------|-------------------|-------------------|-------------------|--|--|
| pure SFO phase                     | Water             | 37                | 82.9ª  |                    |                   |                   |                      |                   |                   |                   |  |  |
|                                    | 0.1M HCI          | 37                |  |                    |                   | 89.               | 3 <sup>b</sup>       |                   |                   |                   |  |  |
|                                    |                   | Micron Sub-micron |  |                    |                   |                   |                      | 1                 |                   |                   |  |  |
|                                    |                   |                   | T  | 20                 | WPI               |                   | T20                  |                   | WPI               |                   |  |  |
| O/W emulsion                       | Water             | 37                | 76.8°  |                    | 76.3°             |                   | 77.7°                |                   | 77.8 <sup>c</sup> |                   |  |  |
|                                    | 3DP               | Cast              | 3DP  | Cast               | 3DP               | Cast              | 3DP                  | Cast              |                   |                   |  |  |
| O/W emulsion gel                   | Water             | 37                | 71.2 <sup>d</sup>                                      | 68.4 <sup>d</sup>  | 71.4 <sup>d</sup> | 68.8 <sup>d</sup> | 71.5<br>d            | 69.9 <sup>d</sup> | 71.7 <sup>d</sup> | 69.0 <sup>d</sup> |  |  |
|                                    |                   | 20                | 55.6 <sup>e</sup>                                      | 58.3 <sup>e</sup>  | 56.2 <sup>e</sup> | 60.1 <sup>e</sup> | -                    | -                 | -                 | -                 |  |  |
|                                    | PBS               | 37                | 63.7 <sup>f</sup>                                      | 62.6 <sup>f</sup>  | 65.0 <sup>f</sup> | 61.2 <sup>f</sup> | 64.6 <sup>f</sup>    | 63.1 <sup>f</sup> | 64.4 <sup>f</sup> | 62.6 <sup>f</sup> |  |  |
|                                    |                   | 20                | 53.1 <sup>g</sup>                                      | 53.5 <sup>g</sup>  | 51.9 <sup>g</sup> | 52.2 <sup>g</sup> | -                    | -                 | -                 | -                 |  |  |
|                                    | 0.1M HCI          | 37                | 80.5 <sup>h</sup>                                      | 79.8 <sup>h</sup>  | 74.6 <sup>1</sup> | 74.6 <sup>1</sup> | 79.5<br><sup>h</sup> | 80.5 <sup>h</sup> | 74.2 <sup>1</sup> | 74.7 <sup>1</sup> |  |  |
|                                    |                   | 20                | 66.4 <sup>f</sup>                                      | 69.4 <sup>df</sup> | 66.0 <sup>f</sup> | 66.2 <sup>f</sup> | -                    | -                 | -                 | -                 |  |  |
|                                    | 1M KCI            | 37                | 65.1 <sup>f</sup>                                      | 67.5 <sup>f</sup>  | 63.6 <sup>f</sup> | 64.5 <sup>f</sup> | -                    | -                 | -                 | -                 |  |  |
| O/W emulsion gel*                  | Water             | 37                | 69.3 <sup>d</sup>                                      | 69.1 <sup>d</sup>  | 68.8 <sup>d</sup> | 68.7 <sup>d</sup> | -                    | -                 | -                 | -                 |  |  |

\*This system was used for the co-release of cinnamaldehyde (cinn; enclosed within the oil droplets of
 the O/W emulsion gels) and erioglaucine disodium salt (EDS; entrapped within the gel network of the
 O/W emulsion gels)

631

The co-release profiles for cinnamaldehyde and EDS from both the printed and cast KC-632 emulsion gels and for all release media, were fitted to Ritger-Peppas model shown in Eq [3] 633 in order to assess the contributions of diffusion and relaxation to the release of the active 634 molecules (Ritger, et al., 1987). The exponent m values resulting from the fits are given in 635 Table 3. The data suggests cinnamaldehyde release from 3DP cylinders is driven primarily by 636 Fickian diffusion as opposed to relaxation of the polymer chains. This is believed to be due to 637 638 the differences in the internal bulk structures of the 3DP cylinders allowing water to penetrate faster into the 3DP shapes compared to cast gel structures. This leads to a greater diffusion 639 contribution to release compared to the relaxational contribution (Falk, Garramone, & 640 Shivkumar, 2004; Kamlow, Vadodaria, et al., 2021). This is demonstrated by the cast gels 641 having m values further away from 0.5, indicating that the relaxational contribution is amplified. 642 This supports the notion that water was unable to penetrate into the cast cylinders as quickly 643 as the 3DP cylinders, meaning that the relaxation of the polymer chains played a bigger part 644 645 in the release of the actives.

This modelling data further supports some of the previous conclusions made about differences 646 in release of cinnamaldehyde from micron and sub-micron scale oil droplets. The different 647 droplet length scales did not see a significant difference in cinnamaldehyde release with 648 regards to diffusion and relaxational contributions. The release tests carried in 0.1M HCl, 649 showed that the WPI had a larger relaxational contribution, most likely due to the fact that the 650 network remained intact owing to the WPI molecules stabilising the κC-gel network. The 651 652 release tests carried out at 20 °C had larger m values owing to the decreased energy in the 653 system, slowing the diffusion contribution, and this meant that there was as delay as relaxation

had to come into effect to drive more of the release. In terms of the co-release experiments, 654 the presence of the EDS releasing had no effect on the observed release phenomena of the 655 cinnamaldehyde. This provides further evidence that their release occurred through two 656 different mechanisms. The m values for EDS release were higher for the cast gels, compared 657 to the 3DP gels. While our previous study highlighted a much starker difference between 3DP 658 and cast gels for release of a hydrophilic molecule, there were differences such as the lack of 659 dialysis tubing and the previous active, vitamin B1 being cationic, meaning it complexed with 660 the KC which would have affected its release rate (Kamlow, Vadodaria, et al., 2021). 1M KCI 661 662 did not have a significant effect on the m value compared to the other release media, showing that the gels turning to liquid did not necessarily affect the contributions of diffusion and 663 relaxation to the release. This is highlighted by the similar values observed with the simple 664 emulsions. This further supports the release of the cinnamaldehyde primarily occurring 665 because of expulsion from the oil droplets, rather than the gel network itself. 666

667

| 668 | Table 3: Data on the exponent m, which indicates the balance between the relaxational and diffusional |
|-----|---|
| 669 | contribution to the release of cinnamaldehyde and EDS.  |

| Active-                 |                  |        | Exponent <i>m</i> ±SD ( <i>R</i> <sup>2</sup> ) |                     |                     |                     |                     |                     |                     |                     |
|-------------------------|------------------|--------|---|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| carrying Acceptor Temp  |                  | Micron |   |                     |                     | Submicron           |                     |                     |                     |                     |
| system                  | Phase            | (°C)   | T20   |                     | WPI                 |                     | T20                 |                     | WPI                 |                     |
| O/W<br>emulsion         | Water            | 37     | 0.55±0.04<br>(0.99)                             |                     | 0.57±0.04<br>(0.99) |                     | 0.52±0.05<br>(0.97) |                     | 0.51±0.04<br>(0.98) |                     |
| CITICISION              |                  |        | 3DP   | Cast                | 3DP                 | Cast                | 3DP                 | Cast                | 3DP                 | Cast                |
| O/W<br>emulsion<br>gel  | Water            | 37     | 0.58±0.03<br>(0.98)                             | 0.66±0.03<br>(0.99) | 0.58±0.06<br>(0.98) | 0.69±0.03<br>(0.98) | 0.55±0.04<br>(0.99) | 0.65±0.04<br>(0.99) | 0.53±0.03<br>(0.99) | 0.67±0.04<br>(0.97) |
|                         |                  | 20     | 0.65±0.02<br>(0.99)                             | 0.78±0.02<br>(0.99) | 0.66±0.02<br>(0.99) | 0.72±0.02<br>(0.99) | -                   | -                   | -                   | -                   |
|                         | PBS              | 37     | 0.53±0.02<br>(0.99)                             | 0.67±0.03<br>(0.98) | 0.55±0.03<br>(0.99) | 0.69±0.03<br>(0.98) | 0.55±0.03<br>(0.99) | 0.67±0.03<br>(0.98) | 0.62±0.01<br>(0.99) | 0.62±0.03<br>(0.98) |
|                         |                  | 20     | 0.72±0.01<br>(0.99)                             | 0.74±0.02<br>(0.99) | 0.70±0.01<br>(0.99) | 0.73±0.02<br>(0.99) | -                   | -                   | -                   | -                   |
|                         | 0.1M HCI         | 37     | 0.58±0.04<br>(0.99)                             | 0.61±0.04<br>(0.99) | 0.63±0.01<br>0.99)  | 0.66±0.01<br>(0.99) | 0.51±0.01<br>(0.99) | 0.59±0.04<br>(0.99) | 0.63±0.02<br>(0.99) | 0.66±0.02<br>(0.99) |
|                         |                  | 20     | 0.62±0.02<br>(0.99)                             | 0.65±0.02<br>(0.99) | 0.71±0.01<br>(0.99) | 0.75±0.02<br>(0.99) | -                   | -                   | -                   | -                   |
|                         | 1M KCI           | 37     | 0.59±0.02<br>(0.99)                             | 0.68±0.02<br>(0.99) | 0.58±0.02<br>(0.99) | 0.64±0.02<br>(0.99) | -                   | -                   | -                   | -                   |
| O/W<br>emulsion<br>gel* | Water<br>(cinn.) | 37     | 0.56±0.02<br>(0.98)                             | 0.69±0.03<br>(0.98) | 0.56±0.03<br>(0.98) | 0.67±0.04<br>(0.97) | -                   | -                   | -                   | -                   |
|                         | Water<br>(EDS)   | 37     | 0.55±0.02<br>(0.96)                             | 0.62±0.02<br>(0.98) | 0.52±0.03<br>(0.98) | 0.59±0.03<br>(0.98) | -                   | -                   | -                   | -                   |

\*This system was used for the co-release of cinnamaldehyde (cinn; enclosed within the oil droplets of the O/W emulsion gels) and erioglaucine disodium salt (EDS; entrapped within the gel network of the

672 O/W emulsion gels)

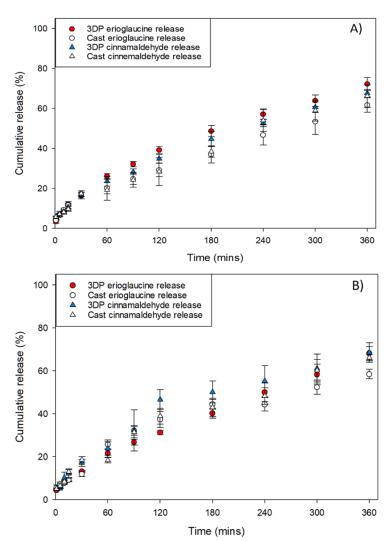
673

#### 674 **3.6 Co-release study**

Co-release KC-emulsion gels was also evaluated. Two actives were introduced within the KC-675 emulsion gels, with cinnamaldehyde being present within the lipid phase (oil droplets) of the 676 emulsion gels, and EDS entrapped within the aqueous gel phase. This was carried out to 677 assess whether co-release of actives placed within separate compartments of the KC-678 679 emulsion gel microstructure can effectively be utilised to enable their independent co-delivery. This approach is certainly unique in the 3DP emulsion gel literature, and thus extends the 680 current capabilities of these systems to also provide opportunities for the design of novel 681 and/or customisable co-release profiles of both hydrophilic and hydrophobic actives. The 682

release behaviour of the  $\kappa$ C-EDS-cinnamaldehyde emulsion gels in water at 37 °C were studied and the obtained data is shown in Fig. 9.

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Figure 9: Co-release profiles for cinnamaldehyde and EDS from κC-emulsion gels stabilised by (A) T20 and (B)
 WPI in water at 37 °C.

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The release profiles showed no significant difference between T20 and WPI stabilised KC-692 emulsion gels for the co-release of cinnamaldehyde and EDS. Furthermore, there was once 693 694 again no significant difference in the final release percentages for the cinnamaldehyde, and the divergence and subsequent convergence could be once more be observed. With the EDS 695 however, there was a statistically significant difference between its release between cast and 696 3DP gels after 6 hours. This indicates that the release profiles of the two model actives are 697 independently controlled; cinnamaldehyde release is principally controlled by expulsion from 698 the entrapped oil droplets, while EDS release is dictated by the rate of its liberation from the 699 700 gel network. This is in agreement with previous studies comparing 3DP to cast KC gels when releasing a hydrophilic molecule (Kamlow, Vadodaria, et al., 2021). It should be noted, 701 however, that after 24 hours 100% of the EDS had been released from the gel matrices for 702 703 both cast and 3DP gels. Compared to the 'mono' release of cinnamaldehyde in water at 37 704 °C, the presence of EDS in the co-release formulation did not have any significant effect on 705 the release of the former active from the κC-emulsion gels. Overall, the data presented here 706 offer clear confirmation that both 3DP and cast KC-emulsion gels can indeed facilitate the independent co-release of one model lipophilic active (cinnamaldehyde) and one model 707 hydrophilic active (EDS). What is further highlighted here is that the desirable individual co-708 709 release profiles can be designed separately and then effectively assembled into one 3DP emulsion gel microstructure, without loss of individual release identity/performance, while 710 utilising 3DP's ability to readily alter the size and shape of the desired product, delivering 711 flexible dosing, without the need of additional moulds or tooling that would be required with 712 cast samples. 713

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#### 716 **4. Conclusions**

This study provides further insight into the stability, mechanical and performance 717 characteristics of KC-emulsion gels. 3DP KC-emulsion gels lose slightly more water over time 718 than cast gels, but the 3DP process has no effect on droplet size stability during production or 719 storage of KC-emulsion gels. This study has for the first time highlighted differences between 720 3DP gels based on their SFO concentration and emulsifier type through penetration testing, 721 722 with lower SFO concentration and the use of WPI as an emulsifier yielding more resistant gels. This study has shown for the first time in release testing, that the release medium has been 723 724 shown to affect the release rate of lipophilic molecules from cast and 3DP  $\kappa$ C- emulsion gels. 725 However, droplet size and production process had no effect on the release rate, although the 726 use of dialysis tubing might have affected this. Finally, co-release of EDS and cinnamaldehyde was carried out, for the first time from a 3DP bulk structure. This shows that 3DP can be used 727 728 to create customisable KC gels containing hydrophilic and/or lipophilic active molecules, with 729 desired properties tuneable through variances in SFO concentration, shape, emulsifier type and size. Future studies could focus on the addition of a dietarily appropriate protein and 730 731 carbohydrate concentrations, to give a total food source that can be fortified with active 732 molecules.

733

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#### SUPPLAMENTARY INFORMATION

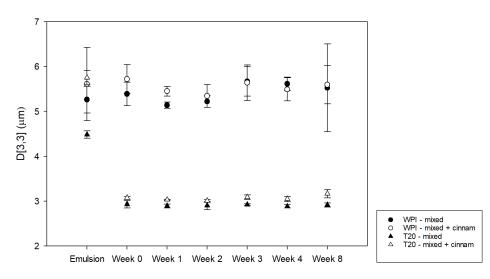


Figure S1: TD-NMR over 8 weeks showing D3,3 values for κC-emulsion gels containing mixed micron and sub-micron scale emulsions with and without cinnamaldehyde

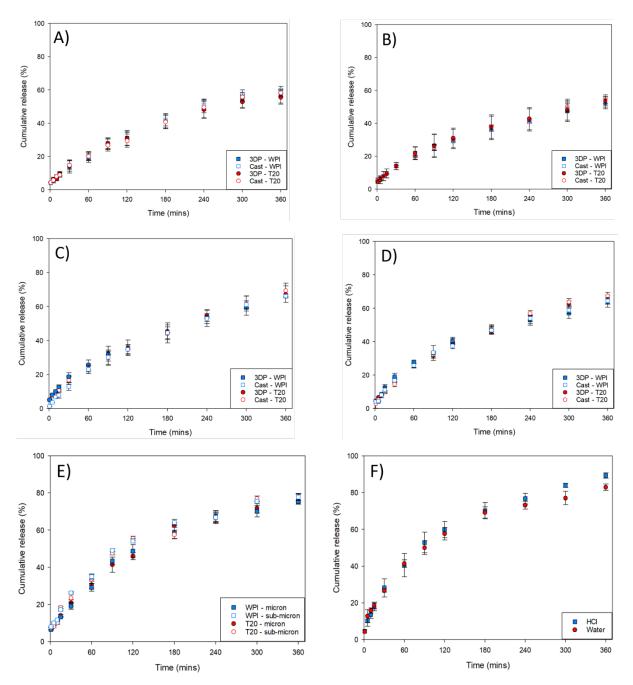


Figure S2: A comparison of cumulative release rates of cinnamaldehyde from 3DP and cast  $\kappa$ C-emulsion gels stabilised by T20 or WPI, at (A) 20 °C in water (B) PBS at 20 °C, (C) 0.1M HCl at 20 °C, (D) 1M KCl at 37 °C, (E) release from non-gelled emulsions in water at 37 °C and (F) release from cinnamaldehyde mixed with SFO in HCl and water at 37 °C