UNIVERSITY^{OF} BIRMINGHAM University of Birmingham Research at Birmingham

The safety of epidural spinal cord stimulation to restore function after spinal cord injury

Pena Pino, Isabella; Nightingale, Tom E.; Hoover, Caleb; Zhao, Zixi; Cahalan, Mark; Dorey, Tristan; Walter, Matthias; Soriano, Jan Elaine; Netoff, Theodor; Parr, Ann; Samadani, Uzma; Phillips, Aaron; Krassioukov, Andrei; Darrow, David

DOI: 10.1038/s41393-022-00822-w

License: Other (please specify with Rights Statement)

Document Version Peer reviewed version

Citation for published version (Harvard):

Pena Pino, I, Nightingale, TE, Hoover, C, Zhao, Z, Cahalan, M, Dorey, T, Walter, M, Soriano, JE, Netoff, T, Parr, A, Samadani, U, Phillips, A, Krassioukov, A & Darrow, D 2022, 'The safety of epidural spinal cord stimulation to restore function after spinal cord injury: post-surgical complications and incidence of cardiovascular events', *Spinal Cord*, vol. 60, no. 10, pp. 903-910. https://doi.org/10.1038/s41393-022-00822-w

Link to publication on Research at Birmingham portal

Publisher Rights Statement:

This version of the article has been accepted for publication, after peer review (when applicable) and is subject to Springer Nature's AM terms of use, but is not the Version of Record and does not reflect post-acceptance improvements, or any corrections. The Version of Record is available online at: http://dx.doi.org/10.1038/s41393-022-00822-w

General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

•Users may freely distribute the URL that is used to identify this publication.

•Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

•User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?) •Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

1	Title: The safety	of epidural	spinal cord	l stimulation t	o restore	function	after spinal	cord injury:
	2	1	1				1	J J

- 2 post-surgical complications and incidence of cardiovascular events
- **3** Authors: Isabela Peña Pino^{1,2,*}, Thomas E. Nightingale^{3,4,5*}, Caleb Hoover⁶, Zixi Zhao¹, Mark
- 4 Cahalan⁴, Tristan W. Dorey⁷, Matthias Walter^{4,8}, Jan Soriano⁹, Theoden Netoff¹, Ann Parr²,
- 5 Uzma Samadani⁶, Aaron A. Phillips⁹, Andrei V. Krassioukov^{4,10,11†}, David Darrow^{2,6†}
- 6 * Denotes equal contribution
- 7 † corresponding author

9 Affiliations:

- ¹ Department of Biomedical Engineering, University of Minnesota, Minneapolis, Minnesota,
 USA.
- ² Department of Neurosurgery, University of Minnesota, Minneapolis, Minnesota, USA.
- ³ School of Sport, Exercise and Rehabilitation Sciences, University of Birmingham, Birmingham,
 UK.
- ⁴ International Collaboration On Repair Discoveries (ICORD), University of British Columbia
- 16 (UBC), Vancouver, British Columbia, Canada.
- ⁵Centre for Trauma Sciences Research, University of Birmingham, Edgbaston, Birmingham, UK
- ⁶ Department of Bioinformatics and Computational Biology, University of Minnesota,
- 19 Minneapolis, Minnesota, USA; Minneapolis Veterans Affairs Medical Center.

20	⁷ Cardiovascular and Respiratory Science, Cumming School of Medicine, University of Calgary,
21	Calgary, Alberta, Canada.
22	⁸ Department of Urology, University Hospital Basel, University of Basel, Basel, Switzerland
23	⁹ Departments of Physiology and Pharmacology, Cardiac Sciences, Clinical Neurosciences,
24	Hotchkiss Brain Institute, Libin Cardiovascular Institute, Cumming School of Medicine,
25	University of Calgary, Alberta, Canada.
26	¹⁰ Department of Medicine, Division of Physical Medicine and Rehabilitation, UBC, Vancouver,
27	British Columbia, Canada.
28	¹¹ GF Strong Rehabilitation Centre, Vancouver Coastal Health, Vancouver, British Columbia,
29	Canada
30	
31	Address for Correspondence:
32	David Darrow, MD, MPH, Department of Neurosurgery, University of Minnesota, Mayo
33	Building, MMC 96, Room D-429, 420 Delaware Street SE, Minneapolis, MN 55455
34	Phone: 612-624-6666
35	Email: darro015@umn.edu

- 36 Andrei V. Krassioukov, MD, PhD: ICORD-BSCC, UBC, 818 West 10th Avenue, Vancouver,
- BC, Canada, V5Z 1M9.
- Phone: 604-675-8819

- 39 Email: <u>krassioukov@icord.org</u>
- 40
- 41 Abstract word count: 242
- 42 Text word count: 3014
- 43 Number of references: 35
- 44 Number of tables and figures: 6

45 ABSTRACT

46	Epidural spina	l cord stimulation	(eSCS)	improves ^v	volitional	motor and	autonomic	function	after
----	----------------	--------------------	--------	-----------------------	------------	-----------	-----------	----------	-------

47 spinal cord injury (SCI). While eSCS has an established history of safety for chronic pain, it

48 remains unclear if eSCS in the SCI population presents the same risk profile.

49 Study design: Cohort prospective study

50 Objectives: We aimed to assess safety and autonomic monitoring data for the first 14 participants51 in the E-STAND trial.

52 Setting: Hennepin County Medical Center, Minneapolis and Minneapolis Veterans Affairs

53 Medical Center, Minnesota, USA

Methods: Monthly follow-up visits assessed surgical and medical device related safety outcomes
as well as stimulation usage. Beat-by-beat blood pressure (BP) and continuous electrocardiogram
data were collected during head-up tilt-table testing with and without eSCS.

57 Results: All participants had a motor-complete SCI. Mean age and time since injury were $38 \pm$

58 10 and 7 \pm 5 years, respectively. There were no surgical complications but one device

59 malfunction 4 months post-implantation. Stimulation was applied for up to 23 hours/day, across

a broad range of parameters: frequency (18-700 Hz), pulse width (100-600 μ s) and amplitude

61 (0.4-17 mA), with no adverse events reported. Tilt-table testing with eSCS demonstrated no

62 significant increases in the incidence of elevated systolic BP or a greater frequency of

63 arrhythmias.

64	Conclusions: Despite the prevalence of significant comorbidities and the wide variety of
65	stimulation parameters tested, eSCS to restore autonomic and volitional motor function
66	following SCI appears to have a similar safety profile as when used to treat chronic pain.
67	

Keywords: Epidural spinal cord stimulation, spinal cord injury, safety, surgical adverse events,dysautonomia

70 INTRODUCTION

71 Spinal cord stimulation has been used to treat chronic pain for the last 50 years. The use of spinal cord stimulation for modulating neuronal function has expanded beyond chronic pain to 72 73 restoring function in patients with spinal cord injury (SCI), although the mechanisms of these 74 outcomes have yet to be elucidated. While stimulation for pain suppression is guided by induced 75 paresthesia in the same area where pain is noted, the optimization for SCI patients requires 76 electromyography and autonomic testing.[1] Preliminary studies have shown immediate and 77 long-term benefits with training on motor function, [2, 3] as well as the amelioration of 78 autonomic deficits in cardiovascular (CV), bladder, bowel, and sexual function.[1, 4, 5] 79 Safety has previously been demonstrated amongst individuals with chronic pain and 80 spasticity.[6] Epidural spinal cord stimulation (SCS) encompasses the risks of the surgical 81 intervention itself, such as infection, hematoma formation, cerebrospinal fluid (CSF) leak, nerve 82 root injury and SCI, as well as the risks of hardware implantation, which include electrode 83 migration, electrode/lead wire malfunction, early end of battery life, epidural electrode 84 encapsulation, skin erosion or pain at implantable pulse generator (IPG) site.[7] The most 85 common complications are electrode migration (1.5 - 27%) and infection (2.5 - 14%).[6-8] Severe adverse events (AEs), such as spinal cord damage and large hematoma formation, are 86 87 extremely rare.[9] 88 The long-term safety profile in the SCI population, whereby a different SCS paradigm is 89 used to restore supraspinal control of various body functions, such as volitional movement and

90 autonomic functions, has not been assessed. Patients with SCI, specifically those with

91 established chronicity, have unique comorbid conditions, such as muscle wasting, osteoporosis,

92	neuropathic arthropathy, CV changes, a higher risk of infections, falls and fractures,[10, 11] all
93	of which might contribute to a different spectrum of possible AEs. Moreover, individuals with
94	injuries at or above the sixth thoracic level, even some as low as the tenth thoracic level,
95	commonly experience episodes of autonomic dysreflexia (AD).[11] This condition has been
96	associated with notable cerebrovascular consequences such as hypertensive encephalopathy,
97	seizure and stroke as well as cardiac rhythm disturbances such as atrial fibrillation, bigeminy,
98	premature atrial and ventricular contractions and prominent T waves.[12, 13] Cardiovascular
99	complications secondary to SCI dysregulation are amongst the leading causes of morbidity and
100	mortality in these individuals.[14]
101	Peripheral electrical stimuli have been shown to trigger AD in the SCI population.[15]
102	Epidural SCS is applied below the level of injury and acts through dorsal root afferents that may
103	potentially elicit episodes of AD. To our knowledge, there has been only one report in the
104	literature indicating that eSCS may induce AD.[16] However, the potential for eSCS to induce
105	AD or arrhythmias has not been studied in larger cohorts. Heart rate (HR) and rhythm
106	abnormalities are common among individuals with SCI due to the damage sustained to
107	descending spinal sympathetic pathways, including marked bradycardia, atrioventricular node
108	block, and atrial and ventricular ectopics.[17] Episodes of AD can exacerbate arrhythmias due to
109	variable sympathetic drive to the heart.
110	This study aims to assess the basic safety data, battery usage, and autonomic effects for

111 the first 14 participants enrolled in the E-STAND clinical trial in order to determine the 112 incidence of AEs due to changes in motor and autonomic functions.

114 METHODS

115 Study design and research participants

This non-randomized, multi-institutional, interventional study was approved by the local
Institutional Review Boards as well as the FDA for Investigational Device Exemption. The study
protocol is registered with ClinicalTrials.gov (NCT03026816). Inclusion and exclusion criteria
have been reported previously.[1]

120

121 Surgical procedure

122 Same day surgery was performed under general anesthesia. A 16-contact epidural paddle 123 lead (Tripole[™], Abbott, Plano, TX) was implanted through a standard one-level laminectomy at 124 approximately the twelfth thoracic vertebral level. On the day before surgery, patients were 125 asked to shower with chlorhexidine wipes. In the operating room, surgical prep started with a 126 chlorhexidine scrub dried with a sterile towel. Once completely dry, the area was saturated with 127 alcohol and allowed to completely dry once again. Finally, a chlorhexidine/alcohol prep stick 128 was used to prep the surgical field widely. Enough time was allowed for the solution to dry 129 before placing surgical drapes. A single dose of intravenous cefazolin was given prior to the start 130 of surgery. Prophylactic cephalexin was prescribed for 5 days after surgery.

131

132 Follow-up data and safety outcomes

Although safety was not a primary or secondary outcome in this study, AEs were
monitored during every study visit. Postoperative complications, based on the Clavien-Dindo

classification,[18] were screened for during the first month after surgery and subsequent monthly
follow-up study visits, as specified in the IRB approved study protocol. Participants self-reported
any medical events in the month prior and provided lab results, if applicable. Patients were
allowed to use the eSCS outside of the scheduled daily exercises. Monthly usage logs from the
implantable generator were extracted during visits. As this was implemented later on in the
study, capture windows vary by participant enrollment time. Total energy delivered was
calculated as the product of frequency, pulse width, amplitude and time.

142

143 Autonomic function testing

144 With participants securely strapped in an automated tilt table (Hausmann Industries 145 Model 6058 Northvale, NJ), continuous beat-to-beat blood pressure (BP) and heart function were 146 assessed via finger photoplethysmography (Finometer PRO, Finapres Medicine Systems, 147 Amsterdam, The Netherlands) from the right hand and electrocardiography (ECG) (ML 132; 148 ADInstruments), respectively. Discrete brachial BP was recorded every minute from the left arm 149 (BpTRU-BPM-100, Coquitlam; VSM Medical, Vancouver, BC, Canada). After 10 minutes of 150 baseline recording while resting in the supine position, participants were passively moved to a \sim 151 70° head up tilt (HUT). This position was maintained until the participant demonstrated 152 orthostasis symptoms or signs. Participants were assigned to the autonomic arm of the study if 153 they met orthostatic hypotension criteria during their initial assessment. Autonomic stimulation 154 settings were chosen based on previous reports.[19] At follow-up autonomic visits, eSCS was 155 applied until BP normalized and/or signs or symptoms of orthostatic intolerance were

ameliorated. For analysis purposes, where systolic BP (SBP) remained > 150 mmHg for ≥ 30
seconds this was deemed representative of an episode of AD.

158

159 Statistical Analysis

160	Hemodynamic outcomes were analyzed with a mixed effects generalized linear model
161	(GLM) with correction for the nested random effects of individuals and number of trials, to
162	assess significant differences between conditions: 1) supine baseline; 2) HUT without eSCS, and
163	3) HUT with eSCS. Seated brachial SBP values at the beginning and end of each follow-up
164	laboratory visit were compared between participants in the autonomic and non-autonomic groups
165	using an independent t-test. Chi-squared tests were used to assess the presence of arrhythmias
166	during different stages of autonomic assessments (supine rest, HUT without eSCS and HUT with
167	eSCS). Significance was set <i>a priori</i> at p < 0.05 for all statistical analyses. R Studio (R 3.0.1)
168	was used for statistical modeling.[20] Further methodological details and information on data
169	analysis can be found as supplementary material.

170

171 **RESULTS**

172 **Study population**

Fourteen participants were enrolled starting in 2017, 11 males and 3 females, with a mean age \pm SD of 38 \pm 10 years. Mean time since injury at enrollment was 7 \pm 5 years. All participants had SCIs that were classified as American Spinal Injury Association impairment scale (AIS) grade A or B (Table 1). None of the participants underwent formal rehabilitative exercisetraining during the study.

178

179 [PLEASE INSERT TABLE 1 ABOUT HERE]

180

181 Adverse events

182 There were no postoperative complications. There was one medical device related AE: an 183 IPG early end of battery life after 4 months of stimulation. There were also two AEs unrelated to 184 research interventions. Participant 2 reported a metatarsal fracture during the seventh month of 185 enrollment after her wheelchair slipped in the snow. This event was not related to intervention-186 related increased mobility and eSCS was turned off at the time. Participant 5 developed an 187 intergluteal cleft pressure ulcer during the eleventh month of enrollment, which resolved within 4 188 days. The pressure ulcer was distant to the IPG implant site. There were no falls or injuries 189 related to SCS increased mobility.

190

Bladder function

There were 7 reported urinary tract infections (UTI) confirmed with urine cultures.
Participant 2 had 3 UTIs at postoperative month 5, 7 and 8. Participant 11 had 3 UTIs at
postoperative month 1, 2 and 4. Participant 7 had 1 UTI at postoperative month 1. All UTIs
resolved following treatment with oral antibiotics. The incidence of UTIs was 6%. These events
were mild AEs that are unlikely to be related to research interventions. There were no reports of

other bladder-related complications, such as changes in incontinence, urgency, urinary retentionor inability to self-catheterize.

199

200 Cardiovascular safety

Over the course of 23 autonomic visits, > 11 hours of continuous CV monitoring with eSCS were collected from ten participants with autonomic dysregulation (total ~ 65 minutes per autonomic participant, range: 31 - 129 minutes). Frequencies tested ranged from 30 to 740 Hz, pulse widths ranged from 200 to 550 μ s, and amplitudes up to 14 mA. All tested eSCS parameters were analysed for CV safety, irrespective of whether they were configured to stabilize BP or delivered as a sham eSCS. Figure 1 shows a representative trace for a standard autonomic assessment.

208

209 [PLEASE INSERT FIGURE 1 ABOUT HERE]

210

One testing session for one participant was excluded from the CV safety analysis due an elevated average supine baseline SBP (155 mmHg) and AD symptoms (headache, goosebumps, sweating). Maximum continuous SBP readings (mean \pm SD) during supine (132 \pm 11 mmHg), HUT (mean 127 \pm 16 mmHg), and HUT with eSCS conditions (mean 128 \pm 14 mmHg) were comparable (Figure 2A). The mean change in SBP between the end and start of each eSCS program at maximum intensity was 1 \pm 8 mmHg (Figure 2B). Percentage time with SBP > 150 mmHg for \geq 30 seconds was not significantly different between supine, HUT, and HUT with

218	eSCS conditions in the GLM (Figure 2C). Two out of ten participants experienced elevations in
219	SBP > 150 mmHg for \ge 30 seconds during the application of eSCS. More information on the
220	eSCS configurations used during these AD episodes and possible causes (unrelated to eSCS) can
221	be found as supplementary material. Seated SBP measured at the beginning and end of
222	experimental visits was significantly lower ($p < 0.0001$) in the autonomic group compared to the
223	non-autonomic group (Figure 2D).
224	
225	[PLEASE INSERT FIGURE 2 ABOUT HERE]
226	
227	Heart rate responses to HUT (represented as percent change from supine) were reduced
228	(p=0.01) with eSCS (Figure 3A and B). Notable arrhythmias observed during the autonomic
229	testing sessions are displayed in Figure 3C-E. In summary, 5/10 participants demonstrated some
230	form of arrhythmia during supine rest. During HUT without eSCS, 4 participants developed
231	sinus tachycardia and 1 had premature ventricular contractions. In one case, sinus tachycardia
232	was ameliorated by eSCS. Epidural SCS did not appear to affect the development of arrhythmia
233	in other participants.
234	
-	

237 Stimulator use

238	Each study participant used chronic stimulation therapy, individualised to their
239	preferences/needs by adjusting stimulation time and amplitude. As such, these two factors
240	reflected inter-participant differences in daily stimulation energy delivered (Figure 4). Across all
241	participants, mean frequencies tested were 136 ± 175 Hz (range: 18 - 700 Hz). Pulse widths
242	tested were $249 \pm 130 \ \mu s$ (range: 100 - 600 μs). Amplitudes selected by the participants were 4.5
243	\pm 2.6 mA (range: 0.4 - 17 mA). Participants used stimulation for 16.2 \pm 7.7 hours/day (range: 0 -
244	23 hours/day). There were no AEs related to these ranges of stimulation parameters. Due to
245	participant 8's use of higher energy settings for longer periods of time, settings were adjusted to
246	preserve battery life. This intervention is reflected in this participant's fourth follow-up visit in
247	Figure 4. Figure 5 illustrates the range of stimulation parameter exploration for one participant
248	during 5 months of study enrollment. There is not sufficient data at this point in the study to
249	identify patterns in stimulation use across participants.
250	

251

[PLEASE INSERT FIGURE 4 & 5 ABOUT HERE]

252

253 **DISCUSSION**

Although eSCS in SCI patients has been used to treat pain and spasticity with acceptable safety results that are comparable to larger SCS cohorts,[21] SCI patients with eSCS for restoration of function have unique underlying comorbidities and undergo a different stimulation paradigm. This must be taken into consideration when extrapolating safety data from the SCS

258	pain literature. The safety results from the first 14 participants of the E-STAND trial help
259	validate eSCS as a safe intervention in individuals with SCI. Recommendations based on our
260	experience can be found in Table 2.
261	
262	[PLEASE INSERT TABLE 2 ABOUT HERE]
263	
264	Surgical and medical device risks
265	Lead migration is the most common complication associated with SCS (moreso with
266	percutaneous leads).[8] Therefore, stimulation therapy in our study was delayed for 1 month
267	after implantation on all patients to ensure adequate scarring around the implant. Although there
268	was no scheduled follow-up imaging, such as spine x-rays, to assess lead migration, there were
269	no clinical indicators for such complication in any of the enrolled participants. The second most
270	common complication from spinal cord stimulator placement is infection [8] and SCI patients are
271	at a higher risk for wound infections well as have a higher incidence of being overweight or
272	obese.[11] Two surgical infections and 3 wound dehiscences have been reported in a cohort of
273	11 patients receiving eSCS therapy for volitional movement.[22] As our study included 4 obese
274	and 4 overweight participants, the risk of infection was addressed by standardizing preoperative
275	care and surgical technique, as well as starting postoperative prophylactic antibiotic coverage for
276	5 days.

278 Risks of stimulation: rehabilitation/falls

279	Patients with SCI are at a higher risk for fractures during rehabilitative therapy due to
280	loss of muscle mass and bone density from lack of weight bearing activity. This translates to an
281	incidence of fragility fractures of 30%.[23] There have been reported cases of hip and femur
282	fractures when coupling electrical stimulation with rehabilitative therapy.[2, 24] Although
283	patients in this trial did not undergo intensive rehabilitation as part of the study design, there
284	were no AEs including fractures or falls with the use of eSCS during activities of daily living.
285	
286	Risks of stimulation: bladder
287	The benefits of eSCS for treating neurogenic detrusor overactivity in SCI patients with
287 288	The benefits of eSCS for treating neurogenic detrusor overactivity in SCI patients with adult neurogenic lower urinary tract dysfunction have been reported.[25] On the other hand, case
287 288 289	The benefits of eSCS for treating neurogenic detrusor overactivity in SCI patients with adult neurogenic lower urinary tract dysfunction have been reported.[25] On the other hand, case reports have described worsening of lower urinary tract symptoms with eSCS associated with
287 288 289 290	The benefits of eSCS for treating neurogenic detrusor overactivity in SCI patients with adult neurogenic lower urinary tract dysfunction have been reported.[25] On the other hand, case reports have described worsening of lower urinary tract symptoms with eSCS associated with increased urethral sphincter tone and bladder wall compliance.[26] In our study, there were no
287 288 289 290 291	The benefits of eSCS for treating neurogenic detrusor overactivity in SCI patients with adult neurogenic lower urinary tract dysfunction have been reported.[25] On the other hand, case reports have described worsening of lower urinary tract symptoms with eSCS associated with increased urethral sphincter tone and bladder wall compliance.[26] In our study, there were no patient-reported bladder function AEs that could be associated with research interventions.
287 288 289 290 291 292	The benefits of eSCS for treating neurogenic detrusor overactivity in SCI patients with adult neurogenic lower urinary tract dysfunction have been reported.[25] On the other hand, case reports have described worsening of lower urinary tract symptoms with eSCS associated with increased urethral sphincter tone and bladder wall compliance.[26] In our study, there were no patient-reported bladder function AEs that could be associated with research interventions. However, electrophysiological and urodynamic testing with chronic stimulation is warranted to
287 288 289 290 291 292 292 293	The benefits of eSCS for treating neurogenic detrusor overactivity in SCI patients with adult neurogenic lower urinary tract dysfunction have been reported.[25] On the other hand, case reports have described worsening of lower urinary tract symptoms with eSCS associated with increased urethral sphincter tone and bladder wall compliance.[26] In our study, there were no patient-reported bladder function AEs that could be associated with research interventions. However, electrophysiological and urodynamic testing with chronic stimulation is warranted to adequately assess the urologic effects of stimulation over time.

295 Risk of stimulation: cardiovascular

Epidural SCS appears safe from a CV perspective, with few AEs observed across a wide
range of stimulation parameters (both those optimised for CV control and the restoration of
motor function). During autonomic testing, sustained (> 30 seconds) increases in SBP > 150

299 mmHg were rarely observed during eSCS (representing 0.5% of pooled eSCS time for all 300 participants), and were not increased in frequency during eSCS compared to HUT and supine 301 conditions. It should be noted that the definition of AD is now constrained to uncontrolled 302 elevations in BP, so as to differentiate it from well-controlled therapeutic interventions 303 purposefully intended to increase BP.[27] Systolic BP > 150 mmHg was chosen as the safety 304 threshold based on the clinical practice guidelines for when pharmacological management of AD 305 is advised and has been used in the literature to indicate an episode of AD.[28] Systolic BP was 306 also relatively stable when maximum tolerated eSCS amplitude was held constant, with mean 307 change between end and beginning of maximum stimulation of 1 ± 8 mmHg. Approximately 75 -85% of AD episodes are a result of bladder related causes.[29] By nature of the study design, CV 308 309 responses to eSCS were tested after HUT responses were characterised, with autonomic sessions 310 lasting in some instances up to three hours. Nevertheless, despite possible AD triggers 311 developing over time (i.e. bladder distension, neurogenic detrusor overactivity, irritation from 312 straps, pain), we did not observe an increased frequency or severity of AD with eSCS. 313 Importantly, participants with significant OH benefited from eSCS (Figure 1) and those without 314 substantial autonomic dysautonomia in response to a HUT were not harmed by the application of 315 eSCS. Although eSCS-induced AD has previously been reported,[16] our study supports the safe 316 stabilization of BP, akin to what has been observed by other studies.[1, 30] Amongst both 317 groups, autonomic and non autonomic, sitting SBP means and SDs did not exceed 150 mmHg. 318 There were no negative effects on CV measures as a result of interventions during study visits or 319 from chronic eSCS over time.

Additionally, eSCS in SCI patients did not exacerbate the occurrence of arrhythmic
events in any of the study's participants. In one participant, eSCS prevented orthostatic

tachycardia. Chronic mid-thoracic eSCS in canine non-SCI models of heart failure [31] and
tachypacing induced atrial fibrillation [32] have shown beneficial antiarrhythmic effects due to
improved autonomic regulation of cardiac electrophysiology. In conjunction with the other
beneficial effects of eSCS in SCI patients, this may suggest that longer-term stimulation could be
beneficial at offsetting the risk of arrhythmia development in SCI.

327

328 Stimulation usage/range: Safety and costs

Trials assessing eSCS use in SCI patients have tested frequencies ranging from 0.5 to 130
Hz, pulse widths ranging from 180 to 800 µs, and amplitudes up to 10.5 V or 16 mA.[3, 33]
Only one study addressed the amount of time patients used eSCS; stimulation time ranged from
40-120 minutes exclusively during study rehabilitation sessions.[34] In our study, a broader
range of stimulation parameters was tested and patients adapted therapy to their daily activities.
As a result, they utilized eSCS for a mean of 16.2 hours/day.

335 In chronic pain management, eSCS has been deemed cost-effective. The expected 336 duration of eSCS battery life is 6.5 years when used for 12 hours a day (St. Jude Implantable 337 Pulse Generator ProclaimTM Clinician manual), with a 3% incidence of battery end of life at 1 338 year post implantation attributed to higher stimulation requirements. It is unclear whether the 339 device malfunction in our study was due to an early end of battery life. Nevertheless, the existing 340 literature and our experience in this study support that participant needs are highly variable and 341 may require higher energy expenditures. Patients utilize stimulation during the daily exercise 342 routines to maximize motor function. However, improvement in functions such as sexual, 343 bladder and bowel control, which remain the highest valued in paraplegics, [35] require daily and

constant use of eSCS. When considering long-term management for patients with SCI who have
spinal cord stimulators implanted, either the parameters set for best clinical response must be
weighed by their concomitant energy expenditure to prolong battery life or there must be a shift
to using IPGs with capacities for high frequency settings.

348 Strengths and limitations

349 This manuscript presents a safety analysis from the largest cohort of SCI participants 350 undergoing eSCS therapy published to date. Not only are surgical complications discussed, but a 351 comprehensive analysis of the autonomic, movement and hardware related safety outcomes is 352 included. However, the results are limited by the number of participants enrolled thus far who have completed a sufficient period of follow-up. Therefore, these findings are preliminary and 353 354 the study is still ongoing. Higher statistical power is needed to definitively conclude that the 355 implantation of SCS in SCI patients has an equivalent safety profile to SCS used in chronic pain 356 patients. Due to the nature of the intervention, a blinded, randomized controlled study is not 357 feasible.

358

359 CONCLUSION

The results of this study suggest that epidural stimulators can be safely implanted in SCI patients and that exploring large stimulation ranges does not increase the risk of motor, CV, and bladder related AEs. The choice of IPG should be carefully considered to allow greater freedom in stimulation use. Further research assessing the efficacy of eSCS for improving motor and autonomic functions in SCI patients is warranted. The safety of this intervention needs to be established in order to support larger and multi-institutional studies.

367 DATA AVAILABILITY

368 Data will be made available upon reasonable request.

372 **REFERENCES**

373	1.	Darrow D, Balser D, Netoff TI, Krassioukov A, Phillips A, Parr A, et al (2019) Epidural
374		Spinal Cord Stimulation Facilitates Immediate Restoration of Dormant Motor and
375		Autonomic Supraspinal Pathways after Chronic Neurologically Complete Spinal Cord
376		Injury. Journal of Neurotrauma 36:2325–2336
377	2.	Angeli CA, Boakye M, Morton RA, Vogt J, Benton K, Chen Y, et al (2018) Recovery of
378		Over-Ground Walking after Chronic Motor Complete Spinal Cord Injury. N Engl J Med
379		379:1244–1250
380	3.	Wagner FB, Mignardot J-B, Le Goff-Mignardot CG, et al (2018) Targeted neurotechnology
381		restores walking in humans with spinal cord injury. Nature 563:65–71
382	4.	Phillips AA, Squair JW, Sayenko DG, Edgerton VR, Gerasimenko Y, Krassioukov AV
383		(2018) An Autonomic Neuroprosthesis: Noninvasive Electrical Spinal Cord Stimulation
384		Restores Autonomic Cardiovascular Function in Individuals with Spinal Cord Injury. J
385		Neurotrauma 35:446–451
386	5.	Nightingale TE, Walter M, Williams AMM, Lam T, Krassioukov AV (2019) Ergogenic
387		effects of an epidural neuroprosthesis in one individual with spinal cord injury. Neurology
388		92:338–340
389	6.	Brinzeu A, Cuny E, Fontaine D, Mertens P, Luyet P-P, Van den Abeele C, et al (2019)
390		Spinal cord stimulation for chronic refractory pain: Long-term effectiveness and safety data
391		from a multicentre registry. Eur J Pain 23:1031–1044

392	7.	Verrills P, Sinclair C, Barnard A (2016) A review of spinal cord stimulation systems for
393		chronic pain. J Pain Res 9:481–492
394	8.	Bendersky D, Yampolsky C (2014) Is spinal cord stimulation safe? A review of its
395		complications. World Neurosurg 82:1359–1368
396	9.	Franzini A, Ferroli P, Marras C, Broggi G (2005) Huge epidural hematoma after surgery for
397		spinal cord stimulation. Acta Neurochir 147:565–7; discussion 567
398	10.	Dolbow DR, Gorgey AS, Daniels JA, Adler RA, Moore JR, Gater DR Jr (2011) The effects
399		of spinal cord injury and exercise on bone mass: a literature review. NeuroRehabilitation
400		29:261–269
401	11.	Ahuja CS, Wilson JR, Nori S, Kotter MRN, Druschel C, Curt A, et al (2017) Traumatic
402		spinal cord injury. Nat Rev Dis Primers 3:17018
403	12.	Bjelakovic B, Dimitrijevic L, Lukic S, Golubovic E (2014) Hypertensive encephalopathy as
404		a late complication of autonomic dysreflexia in a 12-year-old boy with a previous spinal
405		cord injury. Eur J Pediatr 173:1683–1684
406	13.	Duvall JR, Mathew PG, Robertson CE (2019) Headache Attributed to Autonomic
407		Dysreflexia: Clinical Presentation, Pathophysiology, and Treatment. Curr Pain Headache
408		Rep 23:80
409	14.	Eldahan KC, Rabchevsky AG (2018) Autonomic dysreflexia after spinal cord injury:
410		Systemic pathophysiology and methods of management. Auton Neurosci 209:59–70
411	15.	Ashley EA, Laskin JJ, Olenik LM, Burnham R, Steadward RD, Cumming DC, et al (1993)

412		Evidence of autonomic dysreflexia during functional electrical stimulation in individuals
413		with spinal cord injuries. Paraplegia 31:593–605
414	16.	DiMarco AF, Kowalski KE, Geertman RT, Hromyak DR (2009) Lower thoracic spinal cord
415		stimulation to restore cough in patients with spinal cord injury: results of a National
416		Institutes of Health-sponsored clinical trial. Part I: methodology and effectiveness of
417		expiratory muscle activation. Arch Phys Med Rehabil 90:717–725
418	17.	Ravensbergen HJC, Walsh ML, Krassioukov AV, Claydon VE (2012) Electrocardiogram-
419		based predictors for arrhythmia after spinal cord injury. Clin Auton Res 22:265–273
420	18.	Dindo D, Demartines N, Clavien P-A (2004) Classification of surgical complications: a new
421		proposal with evaluation in a cohort of 6336 patients and results of a survey. Ann Surg
422		240:205–213
423	19.	Aslan SC, Legg Ditterline BE, Park MC, Angeli CA, Rejc E, Chen Y, et al (2018) Epidural
424		Spinal Cord Stimulation of Lumbosacral Networks Modulates Arterial Blood Pressure in
425		Individuals With Spinal Cord Injury-Induced Cardiovascular Deficits. Front Physiol 9:565
426	20.	Team R, Others (2015) RStudio: integrated development for R. RStudio, Inc , Boston, MA
427		URL http://www rstudio com 42:14
428	21.	Lagauche D, Facione J, Albert T, Fattal C (2009) The chronic neuropathic pain of spinal
429		cord injury: which efficiency of neuropathic stimulation? Ann Phys Rehabil Med 52:180-
430		187
431	22.	Arnold FW, Bishop S, Johnson D, et al (2019) Root cause analysis of epidural spinal cord

432		stimulator implant infections with resolution after implementation of an improved protocol
433		for surgical placement. J Infect Prev 20:185–190
434	23.	Clark JM, Findlay DM (2017) Musculoskeletal Health in the Context of Spinal Cord Injury.
435		Curr Osteoporos Rep 15:433–442
436	24.	Dolbow JD, Dolbow DR, Gorgey AS, Adler RA, Gater DR (2013) The effects of aging and
437		electrical stimulation exercise on bone after spinal cord injury. Aging Dis 4:141-153
438	25.	Walter M, Lee AHX, Kavanagh A, Phillips AA, Krassioukov AV (2018) Epidural Spinal
439		Cord Stimulation Acutely Modulates Lower Urinary Tract and Bowel Function Following
440		Spinal Cord Injury: A Case Report. Front Physiol 9:1816
441	26.	Beck L, Veith D, Linde M, et al (2020) Impact of long-term epidural electrical stimulation
442		enabled task-specific training on secondary conditions of chronic paraplegia in two humans.
443		J Spinal Cord Med 1–6
444	27.	Phillips AA, Krassioukov AV (2017) Cardiovascular Dysfunction Following Spinal Cord
445		Injury. Neurological Aspects of Spinal Cord Injury 325–361
446	28.	Krassioukov A, Warburton DE, Teasell R, Eng JJ, Spinal Cord Injury Rehabilitation
447		Evidence Research Team (2009) A systematic review of the management of autonomic
448		dysreflexia after spinal cord injury. Arch Phys Med Rehabil 90:682–695
449	29.	Lindan R, Joiner E, Freehafer AA, Hazel C (1980) Incidence and clinical features of
450		autonomic dysreflexia in patients with spinal cord injury. Paraplegia 18:285–292
451	30.	Harkema SJ, Wang S, Angeli CA, Chen Y, Boakye M, Ugiliweneza B, et al. (2018)

452		Normalization of Blood Pressure With Spinal Cord Epidural Stimulation After Severe
453		Spinal Cord Injury. Front Hum Neurosci 12:83
454	31.	Issa ZF, Zhou X, Ujhelyi MR, Rosenberger J, Bhakta D, Groh WJ, et al. (2005) Thoracic
455		spinal cord stimulation reduces the risk of ischemic ventricular arrhythmias in a
456		postinfarction heart failure canine model. Circulation 111:3217–3220
457	32.	Ardell JL, Cardinal R, Beaumont E, Vermeulen M, Smith FM, Andrew Armour J (2014)
458		Chronic spinal cord stimulation modifies intrinsic cardiac synaptic efficacy in the
459		suppression of atrial fibrillation. Auton Neurosci 186:38–44
460	33.	Calvert JS, Grahn PJ, Strommen JA, et al (2019) Electrophysiological Guidance of Epidural
461		Electrode Array Implantation over the Human Lumbosacral Spinal Cord to Enable Motor
462		Function after Chronic Paralysis. J Neurotrauma 36:1451–1460
463	34.	Harkema S, Gerasimenko Y, Hodes J, et al (2011) Effect of epidural stimulation of the
464		lumbosacral spinal cord on voluntary movement, standing, and assisted stepping after motor
465		complete paraplegia: a case study. Lancet 377:1938–1947
466	35.	Anderson KD (2004) Targeting recovery: priorities of the spinal cord-injured population. J
467		Neurotrauma 21:1371–1383
468		

469 ACKNOWLEDGMENTS

470 We would like to thank the Minnesota Office of Higher Education SCI/TBI Grant Program for

471 the funding to carry out this study and St. Jude/Abbott for a generous device donation. We would

472	like to acknowledge the ESTAND study group in both Minnesota and Canada for carrying out
473	study procedures. The authors would like to thank the participants of this study and study
474	coordinators who were crucial for the success of the present study.

475 AUTHOR CONTRIBUTIONS

- 476 The study was conceived and designed by DD, AP, and AVK. TIN, AMP, US provided
- 477 conceptual and technical guidance for all aspects of the study. IPP, TEN, CH, ZZ, JES, MW

478 collected the data. IPP, TEN, ZZ, MC, TWD, MW, JES, and TIN performed data analysis and

479 interpretation. IPP, TEN, MC, TWD, MW, TIN drafted the article. All authors provided critical

480 revision of the manuscript and final approval of the version to be published.

481 FUNDING

482 This study is funded by a MN State SCI/TBI grant from the Minnesota Office of Higher

483 Education. Devices are donated by Abbott/St. Jude. AVK holds the Endowed Chair in

484 Rehabilitation Medicine, UBC. TEN (grant number: 17767) and MW (grant number: 17110)

485 were recipients of Michael Smith Foundation for Health Research Trainee Awards in

486 conjunction with the International Collaboration on Repair Discoveries and Rick Hansen

487 Foundation, respectively.

488 ETHICAL APPROVAL

489 Approval for this study was provided by the institutional review boards of both Hennepin County

490 Medical Center and the Minneapolis Veterans Affairs Medical Center. We certify that all

491 applicable institutional and governmental regulations concerning the ethical use of human

492 volunteers were followed during the course of this research.

493 COMPETING INTERESTS

494 None.

496 FIGURE LEGENDS

497 Figure 1. The above vignette is a representative trace showing cardiovascular responses (SBP 498 and DBP and HR) for an autonomic study participant (participant 10) during a standard 499 autonomic assessment. Upon transitioning to a 70° HUT the participant steadily experienced a 500 drop in BP and concomitant rise in HR (indicative of postural orthostatic tachycardia syndrome), 501 accompanied by 5/10 lightheadedness and perceived loss of hearing. To mitigate these 502 cardiovascular responses, the participant was returned to the supine position after 9 minutes of 503 HUT. These findings were then replicated during a second HUT. However, rather than returning 504 the participant to the supine position, the application of eSCS (frequency of 200 Hz, pulse width 505 of 420 μ s, up to 4 mA) immediately normalised cardiovascular outcomes. These responses were 506 maintained for 24 minutes with sustained eSCS, without the presence of notable skeletal muscle 507 tone (assessed anecdotally through investigator palpation). Despite the stimulator being turned 508 off, there appeared to be a residual effect on BP, while HR steadily increased mimicking a 509 similar response to that observed when the participant was tilted without eSCS (indicative of 510 orthostatic intolerance). HR is again modulated upon the application of a second eSCS program 511 (frequency of 640 Hz, pulse width of 500 µs, up to 4 mA). BP, blood pressure; DBP, diastolic 512 blood pressure; eSCS, epidural spinal cord stimulation; HR, heart rate; HUT, head up tilt; SBP, 513 systolic blood pressure.

Figure 2. Summary of SBP data. (A) Peak SBP data, taken as maximum 30 second rolling
averages per testing condition (supine, HUT, and HUT with eSCS), and represented as mean
with standard deviation. Each data point represents the maximum rolling average during the
latter half of a given testing condition, with a variable number of HUT and eSCS conditions
occurring per participant visit and per participant. (B) Difference between 30 second averages of

519 SBP at the end and start of maximal tolerated eSCS intensity, represented as mean with standard 520 deviation. Each data point represents one instance of testing an eSCS program. (C) Percentage 521 time where SBP was >150 mmHg for \geq 30 seconds during supine, HUT, and HUT with eSCS 522 conditions, with each data point representing an individual participant visit. Data represented as 523 median with interquartile range. Pooled means were 2.1%, 1.0%, and 0.5%, respectively. (D) 524 Seated SBP measurements for participants in the autonomic and non-autonomic groups of the 525 trial. The autonomic group had significantly lower SBP (p < 0.0001). 7.5% of the non-autonomic 526 group SBP readings and 2.9% of the autonomic group readings were >150 mmHg.

527 Figure 3. HR and arrhythmia analysis in response to eSCS. (A) Representative trace showing 528 HR response to tilt and eSCS in one study participant (Participant 2). (B) Summary HR data 529 showing percent change in HR from supine rest in response to HUT and HUT with eSCS. Each 530 data point is an average of multiple tilt tests during each testing condition and represents an 531 individual participant testing session. Data represented as means with standard deviations and 532 analyzed by paired *t*-test. (C) Summary data demonstrating the number of participants that 533 experienced abnormal heart rhythms before and during eSCS. P>0.05 for all comparisons by 534 Chi-squared test. (**D**) Representative arrhythmic event in one participant before stimulation. Red 535 circles indicate regular sinus P-waves followed by a premature junctional complex (PJC) that 536 occurs outside of normal sinus rhythm. (E) Representative unfiltered ECG trace demonstrating 537 stimulation artifact. Red arrows point to low amplitude stimulation artifacts evident in the 538 isoelectric line. Black arrows show the underlying T-wave which is covered by stimulation 539 artifacts. Black stars demonstrate regular QRS complexes.

Figure 4. Daily and cumulative stimulation delivered at home during study enrollment. Total
daily stimulation energy delivered is obtained as a function of frequency, pulse width, amplitude

542 and time for each participant. Daily energy delivered is highly variable between participants as a 543 result of preferences and goals. Daily energy delivered is highly variable within participants as 544 their physiologies and daily activities may vary. For example, Participant 8 modified stimulation 545 use in Month 7 and 8 after receiving high battery expenditure warnings. The dashed "pain" line 546 is based on the expected daily energy that would be delivered in a pain patient using the nominal 547 settings established by the manufacturer. This is plotted as a reference for how markedly 548 different eSCS therapy in this study is from regular eSCS therapy. A cumulative percentage of 549 energy delivered is also plotted with the connected point lines to exemplify how each patient's 550 specific usage can burden the total capacity of the IPG. However, since this estimate is not entirely reflective of the dynamic ageing process of implantable batteries, a prediction of battery 551 552 life expectancy cannot be made.

553 **Figure 5.** Stimulation parameter space exploration and energy delivered for participant 10 during 554 follow up month 2 to 7. Above: Stimulation settings are plotted on the frequency/pulse width 555 parameter space. The gray space below 60 Hz represents settings intended for volitional control 556 whereas the white space above 60 Hz represents settings intended for autonomic functions. 557 Frequency is set at a logarithmic scale due to a higher clustering of volitional settings on the low 558 frequency spectrum. Each circle represents a setting tested at home and concentric circles 559 represent repeated uses of the same setting. Circle size is proportional to the electric charge 560 delivered per second. Higher frequency and pulse width are fixed values that increase electric 561 charge. Amplitude is a patient controlled value that increases the charge and is therefore 562 visualized by concentric circles that have different diameters. Circles are also color coded by 563 time, see Below. Below: In the span of 5 months, each setting change (setting switch count) is plotted by the current amplitude (mA) that it was used at and the energy that was delivered at 564

565	each use. Energy delivered per use is dependent on fixed factors such as frequency and
566	amplitudes and participant determined factors such as amplitude and time used. The color
567	spectrum represents time (Dark blue: Month 1, Dark red: Month 6) and is used to code for the
568	point in the study when each setting was tested in the plot above. Dotted lines mark the monthly
569	follow up visits. There is not sufficient data to identify trends across different patients in terms of
570	stimulation use.

Table 1. Participant demographics and injury characteristics. Only adverse events related to
study intervention are included in this table. AIS: American Spinal Injury Association
Impairment Scale, BMI: Body Mass Index, DVT: Deep Vein Thrombosis, F: Female, IPG:
Implantable Pulse Generator, LOI: Level of Injury, M: Male, OSA: Obstructive Sleep Apnea,
YPI: Years Post-Injury, *: denotes participant who have completed the E-STAND trial

Partic	Age	Sex	YPI	LOI	AI	Comorbidities	Autono	Adverse
ipant	(yea		(year		S		mic	events
	rs)		s)				group	
1*	52	F	11.0	Т8	А	Cryptogenic stroke, OSA	No	
2*	32	F	8.2	T6	В	History of DVT, BMI 27.4 (Overweight)	Yes	
3*	40	М	16.8	Т8	A	BMI 29.7 (Overweight), History of pressure ulcer (2014)	No	
4*	36	М	5.4	Т5	В	Hypercholesterolemia, BMI 27.1 (Overweight)	Yes	
5*	47	F	5.4	T4	В	BMI 33.65 (Class 1 Obesity)	Yes	
6*	58	М	4.0	T4	А	-	Yes	
7	44	М	5.7	T10	А	-	No	

8	26	М	3.1	T4	А	BMI 25.5 (Overweight)	Yes	
9	40	М	3.3	T4	А	Hyperlipidemia, BMI 35	Yes	IPG
						(Class 2 Obesity)		malfunct
								ion
10	36	М	8.9	T4	А	-	Yes	
11	26	М	1.6	T4	А	BMI: 31.7 (Class 1 Obesity)	Yes	
12	31	М	13.4	T5	А	BMI: 30.6 (Class 1 Obesity)	Yes	
13	37	М	10.5	T8	A	-	Yes	
14*	27	М	1.9	T3	A	-	No	

579 Table 2. Recommendations for the use of eSCS in individuals with SCI

Point of care	Recommendation
Preoperative care	Clorhexidine wipes on the night before surgery
Surgical Technique	Security loop, chlorhexidine/alcohol prep
Postoperative care	5 day postoperative prophylactic antibiotics (i.e. cefalexin), 1 month rest period before initiating stimulation

	Choice of stimulator	Preference of rechargeable IPGs to allow for ample study of the parameter space
	Patient counseling for use of stimulation	Conservative use of high energy settings during long periods of time. Use of sleep timer
581		
582		
583		
584		
585		