

Advances in forward osmosis (FO) technology for enhanced efficiency and output

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1 **Advances in Forward Osmosis (FO) technology for enhanced efficiency and**
2 **output: A critical review**

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9 **Abstract**

10 Freshwater scarcity is one of the most important issues facing the world today. To address this
11 issue, processes have been developed to purify and desalinate water at an industrial scale,
12 especially based on membrane reverse osmosis RO. However, because of the drawbacks of
13 conventional RO – including the inability to handle high salinity and susceptibility to fouling
14 – forward osmosis (FO) has been introduced as a complementary technology. FO can be
15 coupled with other desalination techniques like membrane distillation and RO to remedy these
16 issues. We aim here to review recent advances in FO and the challenges facing this technology.
17 Important parameters in FO operation include transmembrane water flux and output, energy
18 consumption, fouling, draw solution type and regeneration, and membrane type. Several
19 methods to increase the water flux are discussed, including changes in system temperature,
20 development and alterations in draw solution (DS) properties, modelling and development of
21 new membranes, and techniques to reduce concentration polarization. These developments
22 help to increase water flux and water recovery and to mitigate membrane fouling and
23 concentration polarization. We also discuss the various applications of these novel techniques
24 in different areas, and how they can improve the efficiencies of hybrid systems. Finally, we

25 make recommendations for future developments, to allow the use of FO at a large scale in water
26 purification systems.

27 *Keywords:* Forward osmosis; draw solution; concentration polarization; water flux; water
28 recovery

29 **Nomenclature**

AL-DS	Active layer facing draw solution
AL-FS	Active layer facing feed solution
CNT	Carbon Nanotube
CTA	Cellulose Triacetate
DS	Draw Solution
ECP	External Concentration Polarization
FO	Forward Osmosis
FS	Feed Solute/Solution
GO	Graphene Oxide
ICP	Internal Concentration Polarization
LMH	Liter/square meter/hour
M	molarity
MED	Multi Effect Desalination
MSF	Multi Stage Flash
PRO	Pressure Retarded Osmosis
RO	Reverse Osmosis
TFC	Thin-Film Composite
UN	United Nations

30

31 **1. Water scarcity**

32 Freshwater scarcity is one of the most prevalent global problems, affecting more than two-
33 thirds of the world population (Abedin et al., 2020). Available freshwater represents less than
34 0.015% of water on Earth (Marvuglia et al., 2020). Freshwater resources are constantly being

35 depleted and degraded by pollution, global warming, population growth, and increasing rates
36 of consumption accompanying economic development (Omar et al., 2020). Per capita domestic
37 consumption is about 10 times higher in developed countries than in developing countries
38 (World Health Organisation, 2021). If the current trends continue, it is predicted that by 2030,
39 water demand is expected to outstrip supply by 40% (Omar et al., 2020).

40 About 81% of global wastewater is discharged back into the natural environment without
41 any further treatment or reuse (He et al., 2020). It is often cheaper and easier to source
42 freshwater than it is to treat and recycle wastewater (World Vision, 2018). Around 845 million
43 people lack access to basic drinking water today (World Health Organisation, 2019). Rising
44 freshwater demand has spurred on research and development in the area of desalination in
45 recent years (Sakthivadivel et al., 2021). Desalination refers to the process in which impure
46 saline water is treated by removing the mineral salt content, thus purifying it to within safe
47 drinkable limits (Lu et al., 2020). According to a report by the United Nations (UN), almost
48 1% of the world relies on desalination technologies to meet their daily needs (Nassrullah et al.,
49 2020). Among the various desalination techniques, membrane osmotic separation processes
50 are being widely adopted to extract impure elements or ions from saline water and produce
51 pure drinking water (Das and Warsinger, 2021).

52 **2. Membrane osmotic separation processes**

53 The two main osmotic separation processes are reverse osmosis (RO) and forward osmosis
54 (FO). RO refers to a water purification technique in which pressure is applied to overcome the
55 osmotic pressure caused by concentration difference of solute across a semi-permeable
56 membrane (Park et al., 2020). This method is used at large scale in water purifiers to remove
57 both dissolved and suspended impurities from water (Touati and Rahaman, 2020). RO has
58 become highly efficient in treating relatively dilute feeds such as brackish water and seawater,
59 but it cannot be used to treat solutions having high concentrations of impurities (X. Li et al.,

60 2019). For example, hypersaline water has very high osmotic pressure, requiring operating
61 pressures in excess of those RO membranes can withstand. Another limitation of RO is its
62 susceptibility to membrane fouling and scaling (Saleem and Zaidi, 2020).

63 Over the past decade, there has been much research into the techniques of forward osmosis
64 (FO) as a complementary technique to RO (Chiao et al., 2021). Like RO, FO is an osmotic
65 process, which uses a semi-permeable membrane separating the draw solute/solution (DS, of
66 high concentration) and the feed solute/solution (FS, of lower concentration) (Suwaileh et al.,
67 2020). FO can treat highly concentrated brine with salinity >70000 ppm. Brine treatment is
68 important, not only for harvesting of freshwater, but also for recovery of minerals and for
69 management of brine effluents (Zhu et al., 2020). Unlike RO, no hydraulic pressure is applied
70 in FO; instead, the driving force (i.e. osmotic pressure gradient) is provided by the
71 concentration differences across the membrane (Ibrahim et al., 2018).

72 Even though FO can treat highly concentrated brine, there are still problems to address
73 before FO can realize its full potential in industrial applications (Mahto et al., 2021). These
74 problems include membrane fouling, concentration polarization, declining output with
75 continuous usage, and difficulties in scaling up (Akhtar et al., 2021). Despite the rapidly
76 growing number of research papers in this field, there is only a limited number of review
77 articles related to the topics of draw solutes (Cai and Hu, 2016), graphene oxide-based
78 membranes (Wu et al., 2020), carbon-based nanomaterials (Yadav et al., 2020), draw solutes
79 regeneration (Luo et al., 2014), fouling mitigation (W. J. Lee et al., 2020) and process modeling
80 (Sekino, 2021). Key topics including efficiency, novel membranes (e.g. silica materials), and
81 the influence of parameters such as temperature and concentration polarization, have not been
82 adequately discussed (Blandin et al., 2020). Moreover, a critical review giving insights into
83 membrane materials, membrane advancement in the active and support layer of FO is timely
84 and undoubtedly necessary to support future membrane development.

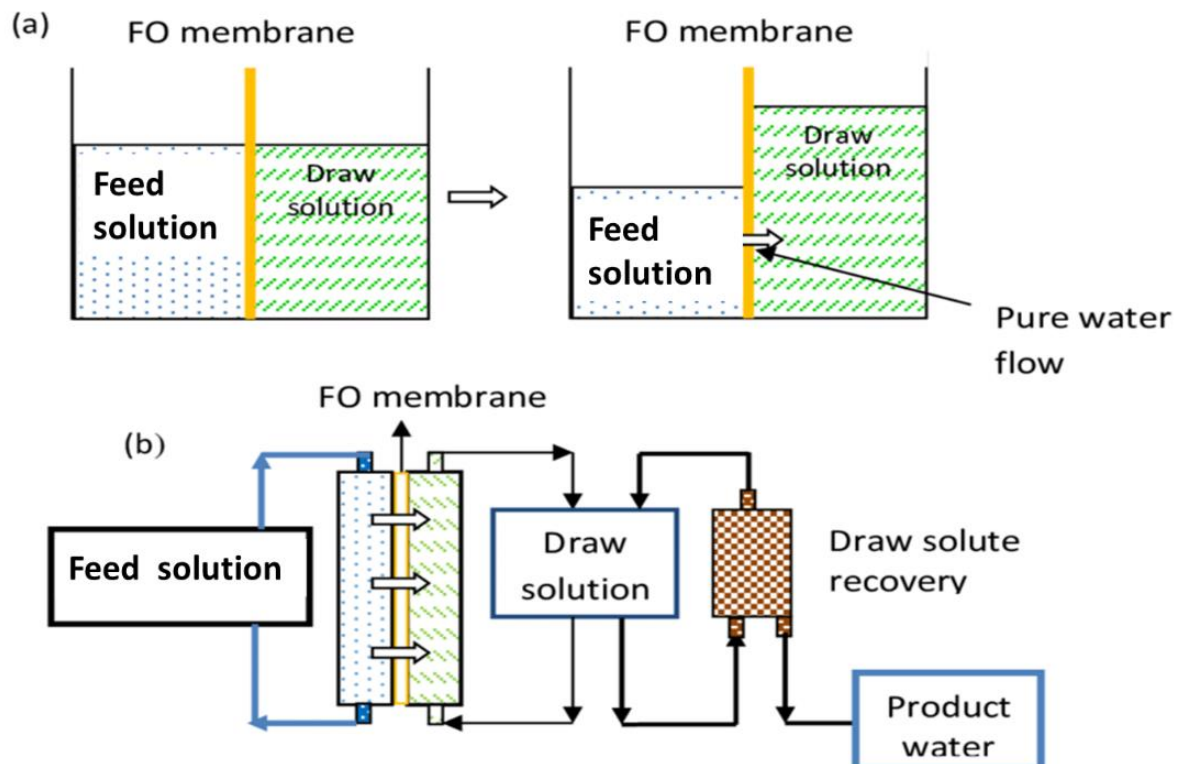
85 In this new review, we discuss the techniques and principles of FO, and we survey recent
86 approaches to enhance water flux and mitigate deficiencies of the system. The review covers
87 optimization of operating temperature, selection of appropriate DS to generate high osmotic
88 pressure, selection of appropriate membranes to reduce membrane fouling and scaling, and
89 selection of suitable membrane configuration and flow velocities to reduce the effects of ECP
90 (external concentration polarization) and of ICP (internal concentration polarization). In
91 addition, we explicitly address the following key questions related to membrane advancements:
92 Which is better, virgin cellulose triacetate (CTA) or thin-film composite (TFC) membrane?
93 What modifications are possible in the active layer and support of FO membranes? What is the
94 role of nanoparticles in membrane modification? Addressing the above questions will help
95 researchers and academics to understand membrane development and advance this topic
96 further, thus widening the range of FO applications. Further, novel industrial applications of
97 the FO process will be discussed here.

98 **3. Overview of the FO system**

99 Before presenting the detailed review, we introduce in this section the main components of
100 the FO system; namely, the feed solution (FS), draw solution (DS), FO membrane, and draw
101 solution regeneration system (Fig. 1).

102 The feed solution is the water to be treated. Types of feed solution include wastewater from
103 various industries and hypersaline water. The draw solution is more concentrated than the feed,
104 creating an osmotic pressure gradient and inducing water flow from feed to draw solution via
105 the FO membrane (Wang and Liu, 2021). The FO membrane permits only water molecules to
106 pass while rejecting other species. FO rejection rate and reverse solute flux are the two major
107 performance characteristics of a FO membrane (Blandin et al., 2020). FO rejection rate refers
108 to how efficiently a FO membrane prevents the other contaminants from permeating (Blandin
109 et al., 2020); whereas reverse solute flux refers to the rate of draw solute diffusion across the

110 membrane and into the feed solution (Suwaileh et al., 2020). Ideally, the rejection rate should
 111 be high and reverse solute flux should be low (Wang and Liu, 2021). During the FO process,
 112 the feed solution gets concentrated and the draw solution gets diluted. So, the draw solution
 113 should be further treated with a suitable regeneration system to recover the freshwater (Wang
 114 and Liu, 2021). Types of regeneration system include nanofiltration, RO, thermo-responsive
 115 regeneration and magnetic regeneration (Luo et al., 2014).



116

117 Fig. 1 (a) concept of FO (b) basic components of FO (Hai et al., 2014)

118 **4. FO Membranes**

119 **4.1. Cellulose triacetate (CTA) vs thin-film composite (TFC) membranes**

120 FO uses a semipermeable membrane that is made of either cellulose triacetate (CTA)
 121 material or thin-film composite (TFC) material (Akther et al., 2015). CTA membranes were
 122 the first commercially available membrane. They have advantages of good mechanical
 123 properties, hydrophilicity and low fouling (Akther et al., 2015). However, low pH, rejection

124 rate and permeability were found to be drawbacks of the CTA membranes. To overcome these
125 drawbacks, TFC membranes were developed which can have high pH and high rejection rate
126 (Wang et al., 2018). The rejection of CTA membranes is 85-90%, compared to 90-95% for
127 TFC membranes. This is because of the lower solute permeability and higher water
128 permeability of TFC membranes (Low et al., 2015). For example, CTA membrane has shown
129 solute permeability coefficient of 0.40 LMH (Goh and Ismail, 2018) compared to 0.26 LMH
130 for TFC membrane (Kim et al., 2017); and water permeability of 0.14 L/m².h.bar compared to
131 0.74 L/m².h.bar for TFC membrane (Seah et al., 2020).

132 CTA membranes are mostly used in domestic desalination applications and less
133 concentrated wastewater industrial applications due to their good mechanical strength and
134 lower fouling propensity (Shakeri et al., 2019b). Around 95-99% of trace antibiotics have been
135 removed from the wastewater in seawater desalination industries using these CTA membranes
136 (Liu et al., 2015). In addition, CTA membranes are often used in coal processing industries to
137 dewater waste coal slurry because of good fouling resistance (Lutchmiah et al., 2014). In one
138 trial, CTA membranes were able to endure thirty continuous tests with coal slurry, confirming
139 their mechanical strength (Shakeri et al., 2019b). In summary, CTA membranes can be used to
140 treat wastewater at low concentrations in large volumes.

141 TFC membranes can treat highly contaminated and concentrated wastewater. They
142 have a wider range of applications, extending to wastewater from beverage industries (Blandin
143 et al., 2020), pharmaceutical industries (Zhou and Lee, 2016), textile and manufacturing
144 industries (Korenak et al., 2019). For example, TFC membranes have been used to remove
145 ammonia and cyanides from industrial waste coke water, respectively, in beverage and
146 pharmaceutical industries with 98% removal efficiency (Blandin et al., 2020). Similarly, they
147 can remove mercury from industrial wastewater in manufacturing and textile industries with
148 96% removal efficiency (Nguyen et al., 2015).

149 **4.2. Fabrication methods**

150 In general, the fabrication of a FO membrane refers to the process of building the
151 membrane with the polymer and mesh structure needed to provide the desired stability, surface
152 properties and fouling resistance. There are various methods to fabricate the membrane,
153 depending on the type of membrane and polymer being used. These include interfacial
154 polymerisation, layer-by-layer fabrication, phase inversion through immersion precipitation,
155 and electrospinning. Interfacial polymerisation is the most common method. Here the support
156 layer is soaked in an aqueous solution of selected polymer and then immersed in di-isocyanate
157 solution, thus improving the structural morphology of the membrane (Purkait et al., 2018).

158 In the layer-by-layer technique, positively and negatively charged materials are
159 deposited on top of each other in an alternating fashion to form a sheet (Salehi et al., 2017).
160 Various polymers and nanoparticles, such as graphene oxide and polyethylene glycol, can be
161 coated on this membrane to decrease its structural parameter (Xu et al., 2015). In phase
162 inversion through precipitation, selected thermoplastic polymers including polyacrylonitrile
163 (Tiron et al., 2017), polysulfone (Suwaileh et al., 2018) and polyether sulfone are suspended in
164 a coagulation bath by mixing it with the appropriate solvent to improve the hydrophilicity,
165 mechanical, fouling resistance and thermal stability of the membrane (Xinfeng Zhang et al.,
166 2018).

167 In electrospinning, an electric force is used to deposit the charged threads of polymer
168 on the surface of the membrane. It is the most commercial method to coat nanofibers or
169 nanoparticles on the surface of a membrane. Electrospun FO membrane has good mechanical
170 stability and is flexible during operation (Nune et al., 2017). In general, phase inversion and
171 electrospinning methods are preferred to fabricate flat-sheet and HF membranes, whereas
172 interfacial polymerisation and layer by layer methods are preferred to deposit polyamide and
173 nano-range particles, respectively, on the support layer of the FO membrane.

174 **4.3.Modifications to CTA membranes**

175 Despite the wide range of applications of CTA membranes (see Section 7), this
176 membrane has limitations of low permeability, susceptibility to different types of fouling, and
177 low salt rejection, making it unsuitable to treat some effluents (Lutchmiah et al., 2014). To
178 overcome these limitations, researchers modified have the active layer and support layer of the
179 FO membrane to enhance the surface morphology, structural stability and membrane
180 characteristics (Suwaileh et al., 2018).

181 For example, the active layer of the CTA membrane was modified with polydopamine-
182 coated polyethylene glycol to reduce the biofouling (Xu et al., 2019). Similarly, the
183 modification of the support layer using components like polyester, polyethylene terephthalate
184 mesh, polyvinylidene and polyether-sulfone has improved the structural parameter, reduced
185 fouling, and enhanced the hydrophilicity of the virgin CTA membrane (Zhou et al., 2020). For
186 example, polyethylene terephthalate mesh was used in the CTA support layer to enhance the
187 water flux as it reduces the membrane fouling and improves the hydrophilicity of the membrane
188 (Xu et al., 2019).

189 **4.4.Addition of nanoparticles to CTA membrane**

190 To further enhance properties of hydrophilicity, porosity and permeability of CTA membranes,
191 nanoparticles such as functionalized carbon nanotubes (CNT), carboxylic and amine
192 nanofibers, have been used in both active and support layers (Shakeri et al., 2019a). For
193 example, functionalized carbon nanotubes on the membrane selective layer improved the
194 fouling resistance of the membrane and increased the water flux by 50% (Chiao et al., 2019).
195 This is due to the improved hydrophilicity of the membrane modified with CNT. In another
196 instance, silver polydopamine nanoparticles were coated on the membrane active layer
197 reducing the biofouling in the membrane and enhancing the water flux. This was due to the

198 improved anti-biofouling and anti-microbial properties of the modified membrane (Chiao et
199 al., 2019).

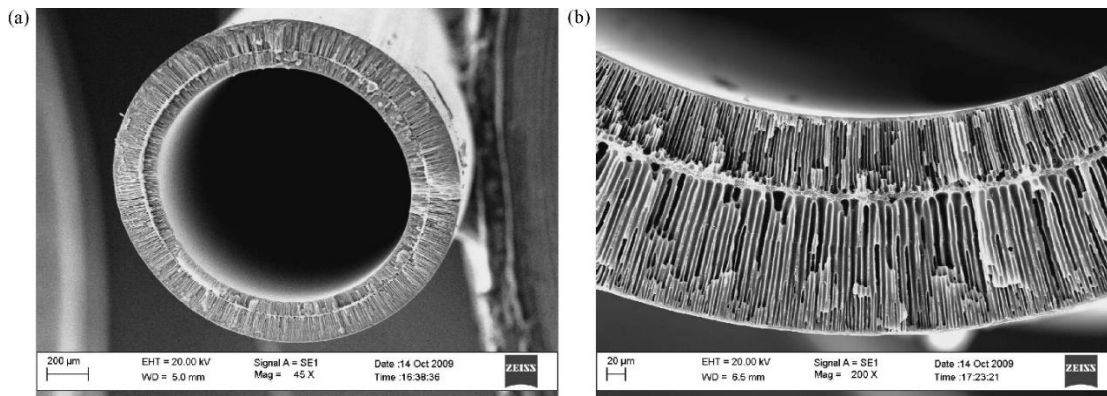
200 Increasing the surface area of the support layer can enhance the water flux, permeability
201 and mechanical strength of the virgin CTA membrane. Thus, silica nanoparticles have been
202 used to increase the surface area of the membrane by 15%, increasing permeability seven-fold
203 (Shakeri et al., 2019b). Another example is the use of graphene oxide sheets and zeolites in
204 the support layer to improve the surface area of the FO membrane. These nanoparticles
205 increased the hydrophilicity, fouling resistance and mechanical strength of the CTA
206 membranes and improved the water flux by 65% (Chiao et al., 2019). In summary, compared
207 to commercial CTA membranes, membranes modified with nanoparticles give better results.
208 However, care should be taken to decide the type and the concentration of nanoparticles for
209 optimized FO performance, because some studies have shown that overloading carbon
210 nanotubes on the FO membrane had a negative impact on performance.

211 **4.5. Modifications to TFC membranes**

212 Researchers have also modified the active and support layers of the TFC membrane for
213 similar reasons as with the CTA membrane (Section 4.3). However, modified TFC membranes
214 gave better mechanical and chemical stability, prompting a lot of research into TFC membrane
215 modification (Suwaileh et al., 2020).

216 Over the years, there has been much research to make the active layer more selective,
217 hydrophilicity and resistant to fouling (Shakeri et al., 2019b). Organic chemicals like
218 polyethylene glycol block polymer (Xu et al., 2019), phenylenediamine and trimethyl chloride
219 (Zhou et al., 2020) were successfully used to improve the hydrophilicity of the membrane. The
220 active layer of TFC membrane modified with these organic polymers (Fig. 2) also improved

221 the fouling resistance and structural parameters, which in turn yielded higher water flux (Xu
222 et al., 2019).



223

224 Fig. 2. Image depicting the hollow polymer fiber membrane used in FO (Wang et al., 2010)

225 Modifying the active layer surface by a novel composite of N-[3-(trimethoxysilyl)
226 propyl] ethylenediamine and m-phenylene also increased water flux due to improved
227 hydrophilicity and antifouling properties (Zhou et al., 2020). Coating by monomers like
228 Zwitterionic amides was found to increase selectivity (Zhang et al., 2016) and enhance the anti-
229 fouling and anti-microbial efficiency in desalination of industrial greywater (Zhang et al.,
230 2017). It also caused a reduction in structural parameters which enhanced water transport
231 characteristics (Khorshidi et al., 2016).

232 Motivated by the benefits of the active layer modification, researchers modified the
233 support layer of the TFC membrane to improve the stability, porosity, durability, tortuosity,
234 pore size and strength of the membrane (Xu et al., 2019). Polyacrylonitrile with fine pore size,
235 used in the support structures of the TFC membrane, enhanced the water flux due to its
236 improved porosity and hydrophilicity (Chiao et al., 2019). Similarly, ester (Zhou and Lee,
237 2016), polyketone (Yasukawa et al., 2017) and acetone (Zhang et al., 2016) doped in the
238 support layer of the TFC membrane generated high water flux by reducing the structural
239 parameter and improving the pore size, porosity and tortuosity (Rastgar et al., 2017).

240 Sulfonated polymers have also been used to improve the mechanical strength in the
241 support layer of the TFC membrane (Qiu et al., 2011). For example, di sulfonated poly arylene
242 ether sulfone and polyethersulfone improved the tensile strength and porosity in the support
243 layer of the membrane increasing the water flux 16.4 LMH of 40 LMH (Lotfi et al., 2015).
244 Another modification in the support layer is the use of double or multi-skin membranes over
245 the support layer, which reduces the fouling and protects the inner membrane from interacting
246 with DS (Ren and McCutcheon, 2015). For example, a double-layer membrane system with
247 polyvinylidene fluoride and polyvinyl chloride produced a water flux of 65 LMH and 55 LMH,
248 respectively (Tian et al., 2017). Future research should optimize the concentration of the
249 polymers and monomers used in the active and support layers of the TFC membrane.

250 **4.6.Addition of nanoparticles to TFC membrane**

251 Nanoparticles have been coated on TFC membranes to improve surface morphology,
252 membrane stability, water permeability, and hydrophilicity (Liang et al., 2017). For example,
253 titanium and titanite nanotubes fabricated on the polyamide active layer of TFC membranes
254 enhanced the surface morphology and hydrophilicity. This in turn increased the water flux and
255 reduced reverse salt flux (Liang et al., 2017). The same authors found that titanium oxide
256 nanoparticles enhanced the anti-fouling behaviour, selectivity and rejection capacity of the
257 virgin TFC membrane

258 Carbon-based nanoparticles including graphene oxide (GO) and CNT improved the
259 porosity, hydrophilicity and selectivity of the TFC membranes (Shokrollahzadeh and Tajik,
260 2018). For example, GO nanosheets in the polyamide active layer gave a thinner, smoother,
261 and more hydrophilic selective layer with better structural parameters and improved water
262 permeability (HG et al., 2017). Various nanoparticles have also been added to GO to improve
263 further the membrane properties (Faria et al., 2017). For example, a blended composite of both
264 CNT and graphene oxide on the active layer formed wide finger structures in the pores, due to

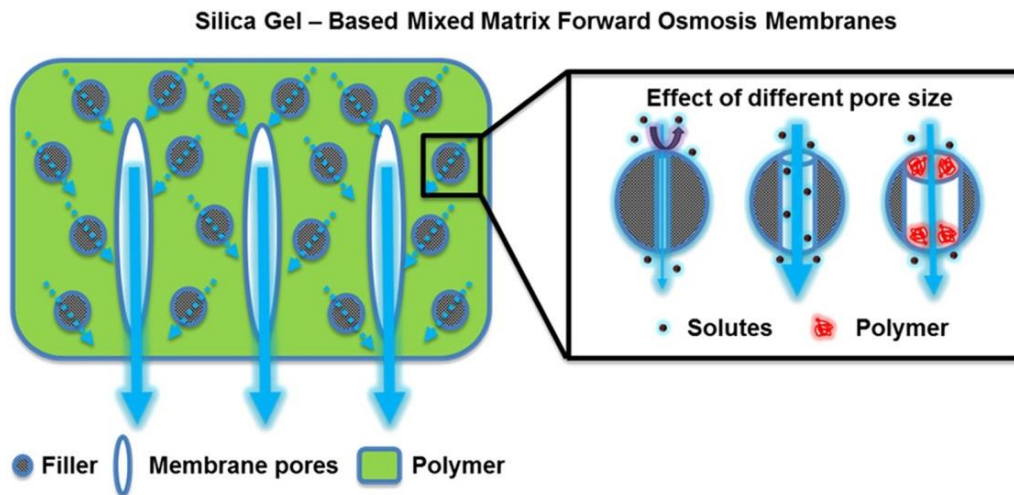
265 which, the surface properties like hydrophilicity and membrane selectivity were significantly
266 improved (Shokrollahzadeh and Tajik, 2018). This allowed a high water flux of 139 LMH.
267 Polyvinyl pyrrolidone was further used to modify graphene oxide-coated membranes as it
268 reduces GO aggregation and helps the homogenous distribution of GO on the TFC membrane.
269 Silver oxide nanoparticles with GO improved the anti-biofouling property of the TFC
270 membrane, which in turn increased the water flux by 80% (Faria et al., 2017).

271 For prolonged usage or continues operation of the TFC membrane in practical
272 applications, polyacrylonitrile (Pan et al., 2017) nanofibers were used in the active layer of the
273 TFC membrane. These nanofibers made the selective layer more hydrophilic and enhanced its
274 wettability, which prevented the TFC membrane from swelling during prolonged use (Pan et
275 al., 2017). With the addition of polyacrylonitrile nanofibers, the water flux was enhanced due
276 to its reduced porosity and structural parameters (Tian et al., 2017).

277 Besides coating the active layer, researchers have also tried to coat nanoparticles on the
278 support layer of the TFC membrane to analyse the variations in properties like surface area,
279 stability, porosity, durability, tortuosity, pore size and strength of the membrane (Rastgar et al.,
280 2017). Because of the high hydrophilic nature and stability of GO, CNT and silica nano
281 substrates, these nanoparticles have also been used by various researchers to modify the support
282 layer of the TFC membrane (Park et al., 2015). GO reduced the structural parameter from 217
283 μm to 163 μm and provided better thickness and porosity. GO-coated support layer TFC
284 membrane generated a high-water flux of 41 LMH (Wang et al., 2015). CNT and halloysite
285 nanotube were used to reduce the fouling by 60-70% in the support layer, thus enhancing the
286 water flux (Zhang et al., 2016).

287 Nanoparticles like calcium carbonate (Kuang et al., 2016), zinc (Zhao et al., 2017) and
288 silver oxide nanoparticles are more available commercially than GO and CNT. They have been
289 used in the support layer (Qiu and He, 2018) to improve the hydrophilic nature and structural

290 parameters of the membranes (Qiu and He, 2018). Recently, silica nanoparticles i.e.
 291 mesoporous materials (Fig. 3) were embedded in the nanofiber support layer of the TFC
 292 membrane increased the tortuosity, porosity of the virgin TFC membrane (Shakeri et al.,
 293 2019b). , which in turn resulted in a high water flux of 72 LMH (Lee et al., 2015).



294

295 Fig. 3. Mesoporous silica membrane in FO system (Lee et al., 2015)

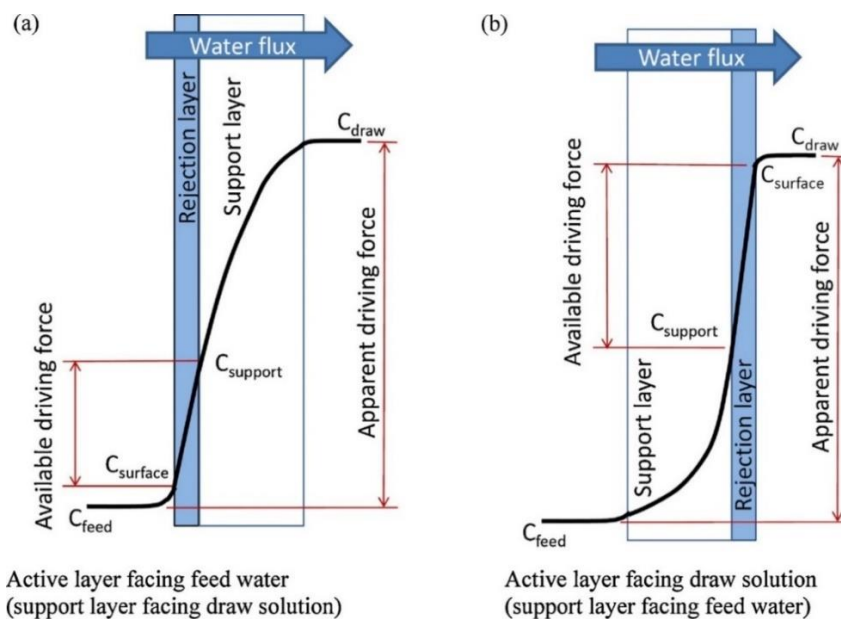
296 Layer-by-layer support (e.g. double- or triple-layer) has also been used to improve the
 297 properties of the TFC membrane (Xu et al., 2015). For example, hydroxide nanoparticles in
 298 double-layered created microvoids and formed finger-like structures in the TFC membrane,
 299 which improved water permeability and reduced the structural parameter (Duong et al., 2013).
 300 In another instance, three-layer support was provided by chitosan, polyacrylic and
 301 polyelectrolytes on the support layer of the TFC membrane improved the morphology,
 302 hydrophilicity and selectivity of the virgin TFC membrane, which resulted in a high-water flux
 303 (Pardeshi and Mungray, 2014).

304 Whereas most modifications were applied to either the support or active layer individually,
 305 in some studies aluminium oxide nanoparticles were applied to both layers of the TFC
 306 membrane (Ding et al., 2017)., thus improving the surface morphology, pore size, porosity,
 307 fouling resistance, high roughness and permeability (Kotp, 2021). In summary, modified TFC

308 membranes showed better performance with improved membrane properties and surface
 309 morphology, making them well suited to continuous operation. Future research should be
 310 directed towards optimising the volume and weight fractions of individual nanoparticles for
 311 each application.

312 4.7. Membrane orientation

313 Membrane orientation is one of the major parameters that govern the water flux of the
 314 FO process. The two possible orientations are (1) active layer of the membrane facing feed
 315 solution (AL-FS) (Fig. 4a) and (2) active layer facing the draw solution (AL-DS) (Fig. 4b)
 316 (Pramanik et al., 2019). AL-FS membrane orientation is preferred when treating hypersaline
 317 water, including wastewater from textile industries (Korenak et al., 2019), radioactive waste
 318 (Liu and Wang, 2013), pharmaceutical wastewater (Dong and Ge, 2019) and seawater
 319 (Valladares Linares et al., 2014). In contrast, AL-DS membrane orientation is preferred in
 320 treatment of low salinity water like brackish water (Zhao et al., 2012). Since AL-DS membrane
 321 orientation is preferred treating low salinity water, the quality of desalinated permeate was
 322 increased.



323
 324 Fig. 4. Schematic of membrane orientation (a) AL-FS and (b) AL-DS (Xu et al., 2010)

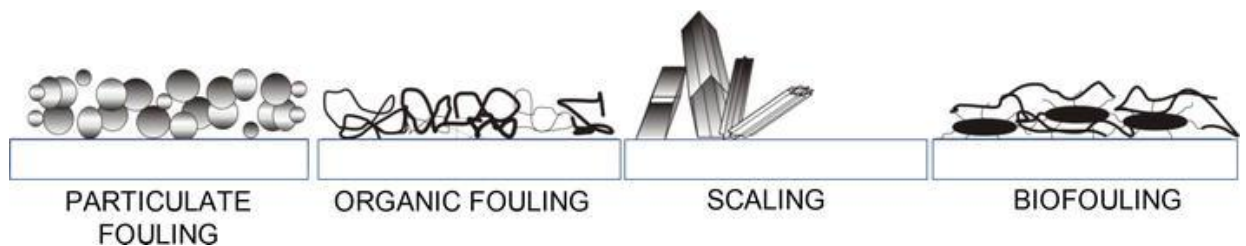
325 AL-FS oriented membrane has shown better ability to maintain water flux in
326 applications prone to fouling and scaling (Hawari et al., 2016). To summarize, concerning
327 membrane orientation, AL-FS membrane orientation is better for treating high saline water and
328 enhancing the water flux; whereas AL-DS membrane orientation is better to treat low saline
329 waters like brackish water.

330 **4.8.Limitations of FO membranes**

331 Fouling and concentration polarization (Zhang et al., 2012) are two major limitations
332 that affect the membrane performance and reduce water flux (Tripathi, 2015). Researchers
333 have therefore sought to investigate and mitigate these phenomena, as discussed next.

334 **4.8.1. Fouling and fouling mitigation**

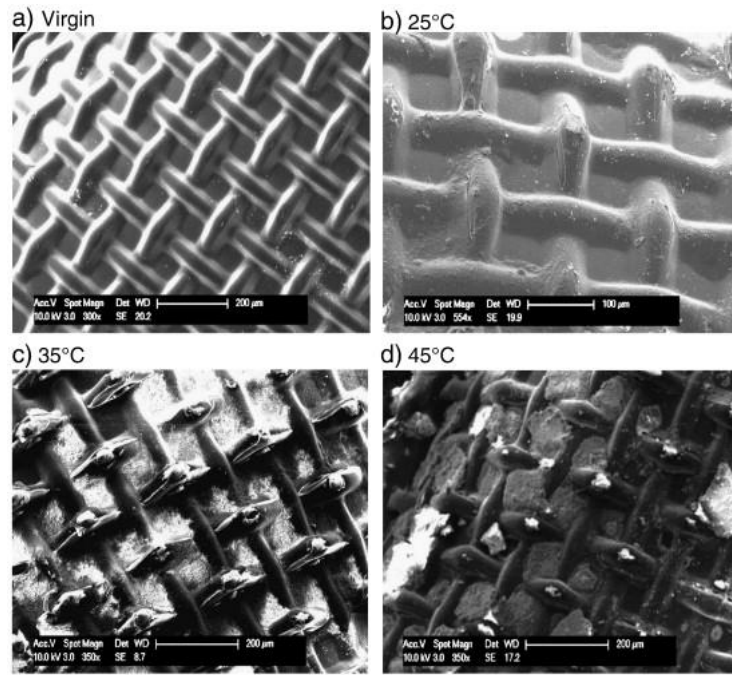
335 Deposition of various contaminants on the surface of the FO membranes may result in
336 fouling and loss of performance (Fig. 5) (Hizam et al., 2020). The main types of fouling are
337 particulate (suspended or colloidal), scaling (Mi and Elimelech, 2010), organic and biofouling
338 (Fortunato, 2020). For example, deposition of inorganic contaminants (particulates) like
339 calcium and silica on the membrane surface contributes to inorganic fouling (Parida and Ng,
340 2013); whereas the deposition of alginate, albumin (Aftab et al., 2020), silica (W. J. Lee et al.,
341 2020), humic acid and lysozyme (Yangshuo et al., 2013) contributes to organic fouling (Lee et
342 al., 2010a); and the accumulation of bacterial contaminants like *Pseudomonas aeruginosa* on
343 the membrane surface contributes to biofouling (Sim et al., 2018).



344

345 Fig. 5. Main types of membrane fouling (Fortunato, 2020)

346 To reduce or prevent fouling, operating parameters may be controlled, including
347 hydrodynamic conditions (both DS and FS), temperature (both DS and FS) (Zou et al., 2013),
348 membrane orientation (Xie et al., 2013). For example, regarding hydrodynamic conditions (eg.
349 flow velocity), increasing the DS flow rate from 5 L/h to 10 L/h decreased the water flux by
350 2% (C. Lee et al., 2020). Regarding temperature, at elevated temperatures (above 40°C),
351 fouling is higher on the draw side as compared to the feed side (Fig. 6 a, b, c and d) due to
352 higher permeability (Li et al., 2018) and more rapid diffusion on the feed side (Kim et al.,
353 2015). Thus, a higher temperature may provide higher initial flux but, after a few hours,
354 membrane fouling reduces the efficiency of the system (Zhao and Zou, 2011). So, temperature
355 has to be optimised over a period of time. Regarding membrane orientation: AL-FS orientation
356 gave higher water flux and tended to curtail fouling as compared to AL-DS orientation (Zhang
357 et al., 2012). This was because the hydraulic pressure was more on the active layer than the
358 support layer when the membrane faces the feed solution (AL-FS), which in turn decreases the
359 deposition of foulant on the surface of the membrane (Zhang et al., 2012). In summary,
360 maintaining the optimum temperature at both FS and DS and orienting the membrane in AL-
361 FS orientation reduces the fouling (Boo et al., 2012).



362

363 Fig. 6. Images depicting normal (a) and fouled (b, c, d) FO membranes from experiments
 364 conducted at various temperatures 25°C, 35°C and 45 °C (Zhao and Zou, 2011)

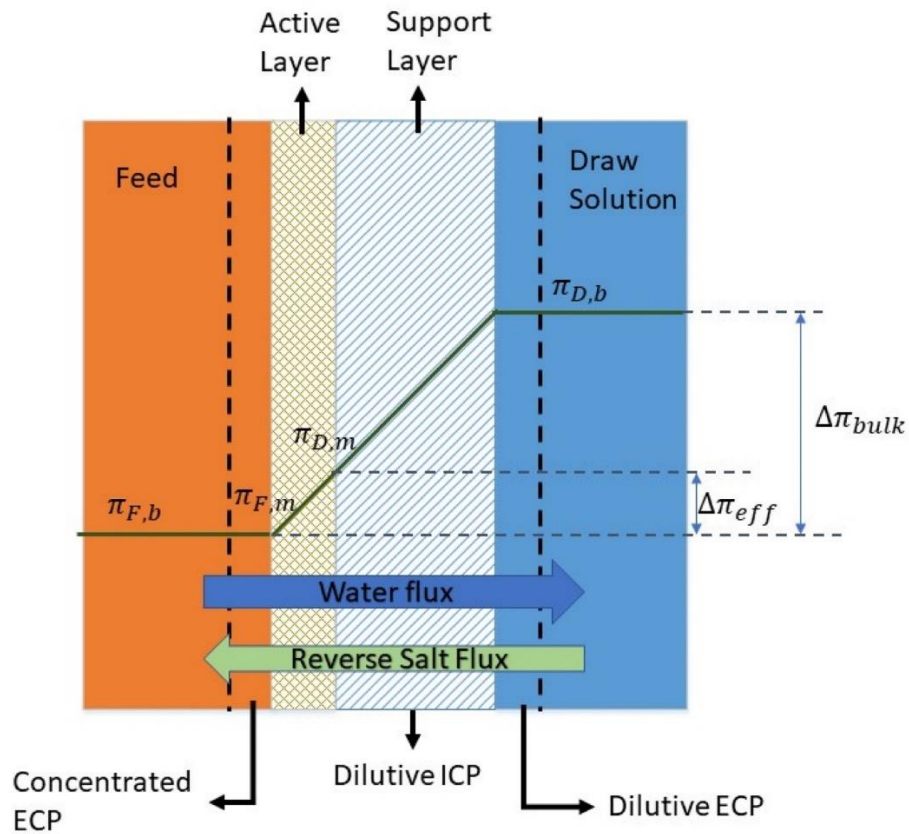
365 Modifying the membrane surface is another approach to mitigate fouling (Emadzadeh et
 366 al., 2014). This includes changing the roughness, hydrophilicity and characteristic group of the
 367 FO membrane (Arkhangelsky et al., 2012). Reducing the roughness makes the membrane
 368 smoother, which makes it more difficult for the foulant to stick to the surface membrane
 369 (Arkhangelsky et al., 2012). Hydrophilicity can be increased by adding hydrophilic functional
 370 group materials, like grafting polyamine and ionic groups onto the membrane surface
 371 (Valladares Linares et al., 2011). These materials include aliphatic amine, mesoporous silica
 372 (Ramezani Darabi et al., 2018), carboxylate ions, iron oxide-zinc oxide nanocomposites and
 373 ethylene glycol (Y. Wang et al., 2016). Modifying the membrane through a hydrophilic
 374 functional group reduces the contact angle and increases the antifouling capacity (Choi et al.,
 375 2015).

376 Sometimes fouling is unavoidable, in which case periodic rinsing and cleaning may be used
 377 to reverse the fouling (J et al., 2020). As the fouling in FO is less dense as compared to that in

378 RO process, around 80-95% of the water flux can be recovered through proper periodic rinsing
379 and cleaning (Lee et al., 2010b). Cleaning can be done either by tangential flushing of the
380 membrane surface or by backwashing (W. J. Lee et al., 2020). Tangential flushing increases
381 the shear force and cleans the foulant from the surface of the membrane (i.e. active layer). If
382 the foulant is deposited in the support layer, then flushing and cleaning are not effective, and
383 chemical treatment is preferred (Tow et al., 2018). Chemical agents like sodium hydroxide and
384 nitric acid can be used to treat the membrane and to subsequently weaken the adhesive bond
385 between foulant and membrane thereby mitigating fouling (Madaeni and Mansourpanah,
386 2004).

387 **4.8.2. Internal and external concentration polarization**

388 Concentration polarization refers to the accumulation of solutes near the membrane surface
389 (Arjmandi et al., 2020). It slows down the FO process by decreasing the osmotic pressure and
390 thus reduces the efficiency of the system (Wong et al., 2012). Whereas RO is only affected by
391 external concentration polarization (ECP), FO is affected by both external and internal
392 concentration polarization (ICP) (J. Wang et al., 2016). ICP occurs in the internal surface of
393 the membrane i.e. the support layer, whereas ECP occurs in the external surface of the
394 membrane i.e. in the active layer (Xuan Zhang et al., 2018). Membrane orientation affects the
395 relative accumulation in the support and active layer, which gives rise to either concentrative
396 or dilutive ICP and ECP (Gray et al., 2006) (Fig. 7). For example, if the membrane is in AL-
397 FS orientation, then the concentration of DS becomes higher near the external surface (active
398 layer) while the FS becomes less concentrated near the internal surface, contributing to
399 concentrative ECP and dilutive ICP, respectively (Bhinder et al., 2018). Conversely, for a
400 membrane with AL-DS orientation, concentrative ICP and dilutive ECP occurs (Abdelrasoul
401 et al., 2018).



402

403 Fig. 7. External and internal concentration polarization developed in an FO membrane
 404 oriented in FO mode i.e. active layer facing the FS (Anjum et al., 2021).

405 ICP affects water flux more severely than ECP. For example, ECP (both dilutive and
 406 concentrative ECP) decreases the difference in DS and FS transmembrane pressure, which
 407 reduces the water flux only slightly (Bhinder et al., 2018). Whereas ICP (both dilutive and
 408 concentrative ICP) impacts the concentration of FS or DS (based on membrane orientation)
 409 and reduces the concentration difference between DS and FS affecting the water flux in greater
 410 proportion (Tang et al., 2010). Thus, reducing ICP and ECP is more important to achieve a
 411 greater water flux. In this section, we discuss research advances aimed at overcoming the
 412 problem of concentration polarization (ICP and ECP).

413 4.8.3. Overcoming ICP and ECP

414 Methods of mitigating ECP tend to aggravate ICP and vice-versa. As ECP occurs on the
 415 external surface i.e. active layer of the membrane, this can be controlled by increasing the flow

416 rate of the FS and DS (W. J. Lee et al., 2020). For example, Suh and Lee (2013) found that
417 restricting the flow of DS into the membrane support layer reduced ECP, but also worsened
418 ICP in the supporting layer (Suh and Lee, 2013). Increasing the flow rate and optimizing the
419 flow rate equalizes the distribution of concentration across the membrane surface thus reducing
420 ECP (Gruber et al., 2011).

421 As ICP occurs in the internal surface of the membrane (i.e. in the support layer),
422 modification of the support layer such as a structural layer, temperature, functional group,
423 tortuosity, wall thickness and porosity reduces the ICP in the FO membrane (W. J. Lee et al.,
424 2020). For example, decreasing the wall thickness in the support layer and increasing the
425 tortuosity reduces ICP (Tan and Ng, 2008).

426 In another instance, increasing the temperature difference across the membrane enhanced
427 the water flux but, beyond a threshold of 40°C difference, the concentration polarization
428 increased which in turn reduced the efficiency of the process (McCutcheon and Elimelech,
429 2006). Thus, maintaining an optimum temperature across the membrane decreases the ICP
430 effects and increases the water flux (McCutcheon and Elimelech, 2006). This is because
431 increasing the temperature helps to reduce the deposition of compact crystals on the membrane
432 surface, which in turn increases the water flux. In summary, AL-FS membrane orientation
433 with a temperature less than 40°C is usually preferred in FO to reduce ICP and to enhance the
434 water flux (Tang et al., 2010).

435 **5. Draw solution**

436 The draw solution is one of the main components in FO, as it provides the driving force
437 for the process (Qasim et al., 2017). High osmotic pressure, high solubility, and low viscosity
438 are important criteria in DS selection (Jingxi et al., 2019). Almost all draw solutions are
439 aqueous (Chaoui et al., 2019); therefore, the DS may be distinguished according to the solute

440 used. A few researchers have used volatile solutes such as NH_4CO_3 and SO_2 and dimethyl ether
441 (Sato et al., 2014). However, due to their drawbacks like low water flux, high reverse solute
442 flux and biofouling, most researchers have opted for non-volatile solutes that may be inorganic
443 or organic type (McCutcheon et al., 2006).

444 **5.1.Comparison of inorganic and organic DS**

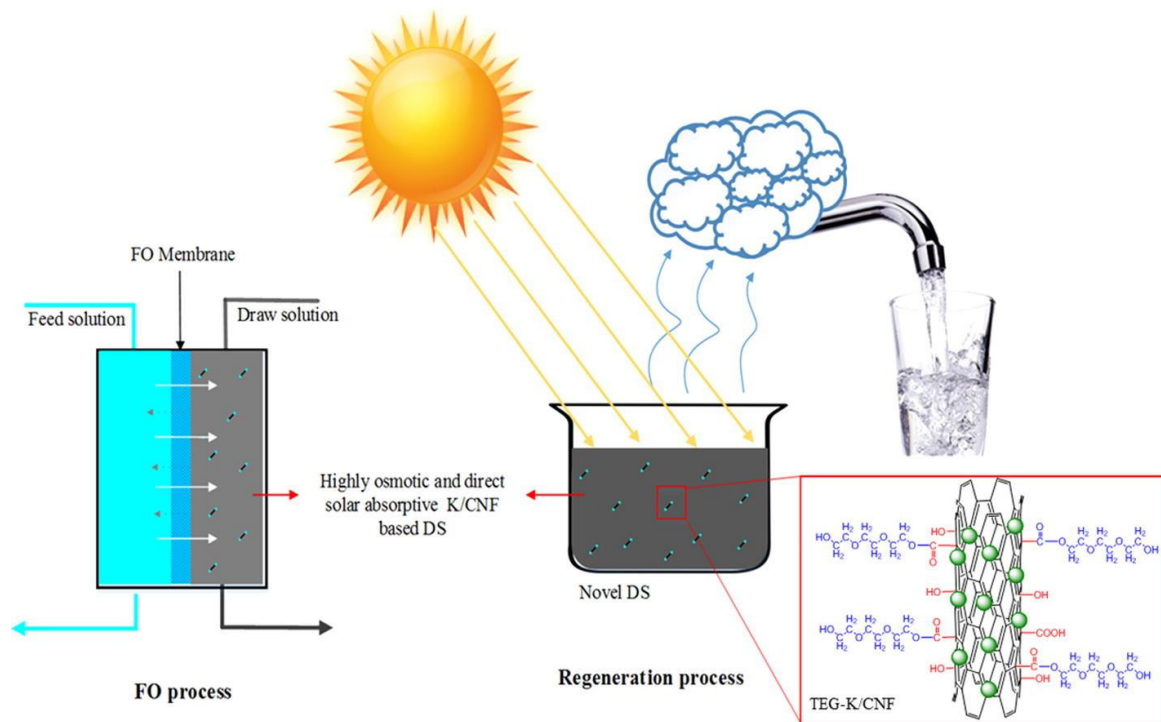
445 Inorganic DS are usually monovalent or multivalent ions that have high water solubility
446 and are thus able to create high osmotic pressure (Jingxi et al., 2019). They include Mg^{2+} , Ca^{2+} ,
447 Ba^{2+} , SO_4^{-2} , KCl , NaNO_3 and NaCl (Johnson et al., 2018). Many standard solutes including
448 Mg^{2+} , Ca^{2+} , Ba^{2+} , SO_4^{-2} , NaNO_3 , MgSO_4 , $\text{Ca}(\text{NO}_3)_2$, Al_2SO_4 and CuSO_4 are used in industrial
449 processes but have limitations of scaling, fouling and high reverse solute flux (Shon et al.,
450 2015). Specifically, Mg^{2+} , Ca^{2+} , Ba^{2+} and SO_4^{-2} groups cause membrane scaling and fouling
451 which in turn reduces the water flux (Chekli et al., 2012). NaNO_3 had disadvantages of low
452 solubility and high reverse solute diffusion (which contaminates the feed) (Nguyen et al.,
453 2015). Other DSs such as MgSO_4 , Al_2SO_4 and CuSO_4 were assessed and found to have poor
454 performance with cost penalty and gave toxic by-products in the permeate (Ge et al., 2013).
455 DS like $\text{Ca}(\text{NO}_3)_2$ and oxalic acid were found to have high biofouling properties and metal
456 toxicity (Johnson et al., 2018). Among various inorganic DS, compared to multivalent salts,
457 monovalent salts have high energy consumption for regeneration and possess high reverse
458 solute flux due to their lower hydrated radius and electrostatic repulsion (Alejo et al., 2017).
459 Because of this, researchers preferred multivalent salts as DS in recent years, including MgSO_4 .
460 CaCl_2 and fertilizers (e.g. $\text{NH}_4\text{H}_2\text{PO}_4$, and $(\text{NH}_4)_2\text{SO}_4$) (Alejo et al., 2017). Fertilizer used as
461 DS gets diluted and can be mixed with water and used for irrigation (Phuntsho et al., 2011).

462 Organic DS include ionic liquid co-polymer (salts in liquid state). These have high osmotic
463 pressure (Chen et al., 2019). The use of ionic liquids as draw solutions is helped by its
464 hydrophilic properties (Dutta and Nath, 2018). Hydrophilic anions enhanced the osmolality at

465 elevated temperatures, which in turn increased the water flux (Kamio et al., 2019). Another
466 ionic liquid, lightweight oligomeric co-polymer like poly-tetra butyl phosphonium styrene
467 sulfonate was also used as DS to improve the DS recovery rate. This could be separated by just
468 raising the temperature without the use of any other separation process (Kim et al., 2016).
469 Around 99.5% water was recovered which makes this polymer a good candidate in organic DS
470 in FO (Zhao et al., 2014). Another novel DS was made with co-polymer i.e. zwitterion group
471 of poly-sulfobetaine, through free-radical polymerization (Pejman et al., 2020b). This novel
472 draw solution overcame drawbacks related to reverse solute and low water flux (Pejman et al.,
473 2020a).

474 **5.2. Use of nanoparticles in DS**

475 Besides the use of ionic liquids, researchers have also used functionalized nanoparticles to
476 increase the water flux of DS (Na et al., 2014). For example, carbon nanofibers (Fig. 8)
477 enhanced the water flux due to the higher osmotic pressure (Tavakol et al., 2020). Standard
478 organic DS and DS based on functionalized nanoparticles are easy to regenerate and gave a
479 good performance (Ling and Chung, 2011), but in some cases their toxic nature hinders their
480 wider application, prompting researchers to investigate the use of hydrogels such as novel
481 polymeric and copolymeric polymers (Dabaghian and Rahimpour, 2015).



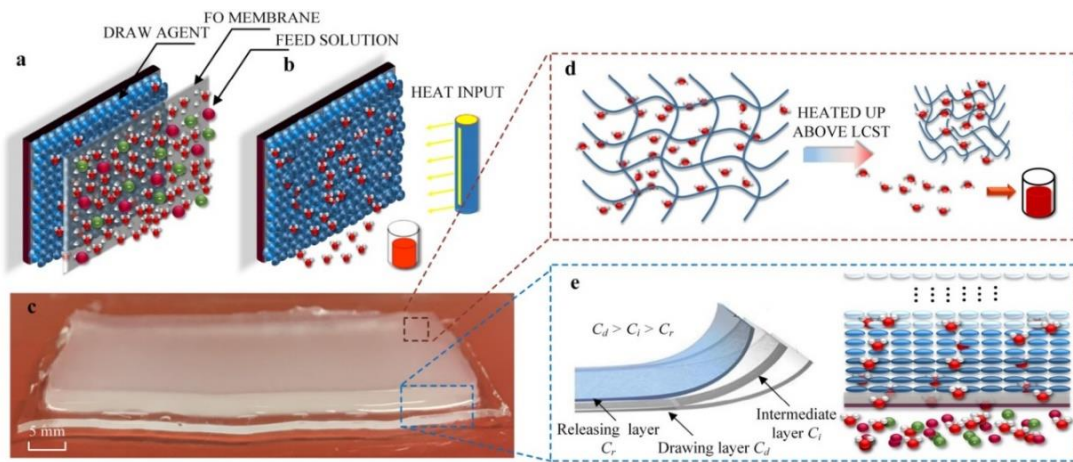
482

483 Fig. 8. A novel draw solution (tri-ethylene glycol suspended in potassium functionalized
 484 carbon nanofibers) for FO (Amjad et al., 2018)

485 **5.3.Hydrogel-based DS**

486 Compared to other organic DSs like diethyl ether and poly-tetra butyl phosphonium styrene
 487 sulfonate, hydrogels yield better water flux and give lower reverse solute flux with reduced
 488 concentration polarization (Hsu et al., 2019). For example, researchers have manufactured
 489 thermo-responsive hydrogels by polymerization of N-isopropyl acrylamide with poly sodium
 490 acrylate and used it as a DS in FO (Fig. 9a and b). The resulting hydrogel had a superior
 491 swelling and dewatering behaviour (Wibisono and Bilad, 2019). These types of thermo-
 492 responsive hydrogel (Fig. 9c, d and e) consume less energy and are recommended for industrial
 493 applications (Cai et al., 2013). Some researchers have manufactured hydrogels by ring-opening
 494 polymerization involving monomers of triblock copolymer including graphene oxide. The
 495 results showed that hydrogel having 0.09% weight graphene oxide gave the best results when
 496 used as DS (Nakka and Mungray, 2016). The research concluded that graphene in the hydrogel

497 could increase the swelling ratios and water fluxes due to the presence of functional groups
 498 that enhance the hydrophilic nature of the modified polymer hydrogels (Nakka and Mungray,
 499 2016).



500

501 Fig. 9. Schematic of (a) FO membrane (b) water releasing with temperature input (c) novel
 502 hydrogel (d and e) multi-layer design with water transportation (Zeng et al., 2019)

503 Motivated by the benefits of hydrogel, researchers compared four new hydrogel polymers
 504 (two ionic, two non-ionic) with respect to water flux. Two ionic hydrogels (poly-sodium
 505 acrylate and N-isopropyl acrylamide) and two non-ionic hydrogels (acrylamide and N-
 506 isopropyl acrylamide) were investigated (Li et al., 2011). N-isopropyl acrylamide gave the
 507 highest flux because of its high dewatering rate (Li et al., 2011). Building on the research work
 508 of Li et al., (2011), researchers tried to enhance hydrogels with graphene oxide and optimized
 509 the weight percentage of graphene oxide (Zeng et al., 2013). The results showed that 1.2% of
 510 reduced graphene oxide in hydrogel could increase the swelling ratio, which in turn increased
 511 the water flux. Another reason for such enhancement was increased softness and inter-particle
 512 and particle-membrane contact of the composite hydrogels, which led to an enhanced water
 513 flux at the output. In addition, the thermal properties of graphene oxide, especially thermal
 514 conductivity, helped to dewater the hydrogel giving an increased water recovery rate (Zeng et
 515 al., 2013).

516 Another attempt was made with copolymer i.e. sodium styrene-4-sulfonate-co-n-isopropyl
517 acrylamide (with 15 weight percentage) to get the maximum flux (Zhao et al., 2014). The
518 reason for this enhancement was that, as the temperature increased, the water vapour pressure
519 also increased whereas the osmotic pressure decreased, which enabled an effective
520 regeneration of water in the membrane and consequently enhanced the water flux further (Zhao
521 et al., 2014).

522 In summary, many novel hydrogels have been developed to replace the conventional DS
523 and to reduce concentration polarization thus improving water recovery. Poly-sodium acrylate
524 and N-isopropyl acrylamide are especially promising, as they achieved a very high water flux
525 of 74.2 LMH (Kamio et al., 2019). Moreover, other operational parameters like concentration,
526 temperature, and cross-flow velocities also have an important effect when using these novel
527 DS.

528 **6. FO operating parameters**

529 The performance of FO significantly depends on various operating parameters which
530 include operating temperature, flowrate and concentration (Wang and Liu, 2021). Studies have
531 been conducted to optimize these parameters with respect to both FS and DS, as discussed in
532 the next section:

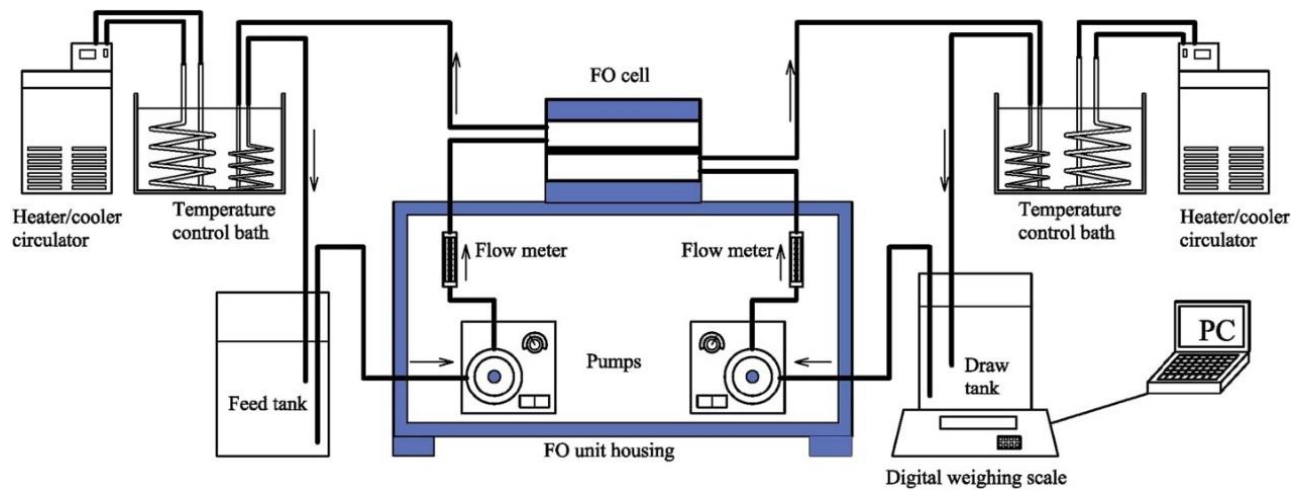
533 **6.1. What operating temperature range is best for FS and DS?**

534 Several studies, both experimental and theoretical, have been carried out to optimize
535 the operating temperature of DS and FS for enhanced output (Fig. 10).

536 Experimental results have shown that water flux increases with temperature but reverse
537 solute flux may also increase (Traxler, 1928). For example, several studies with NaCl as DS
538 gave a 100% increase in flux on increasing the DS temperature from 5°C to 25°C (Adhikary et
539 al., 2020). A different study with NaCl obtained only a 21% increase when the DS temperature

540 was increased from 25°C to 45°C (Phuntsho et al., 2012). The flux increased by an even smaller
541 15% when a similar temperature increase was applied on the FS side (Arcanjo et al., 2020).
542 The reason for the smaller flux enhancements could be the reverse solute flux which increased
543 by 47.5% with NaCl forward rejection of 1.2% under temperature increase on the DS side,
544 compared to just 8.3% and 0.4%, respectively, when temperature was increased on the FS side
545 (Phuntsho et al., 2012).

546 Another study found that water flux was increased by 100%, on increasing both DS and
547 FS temperature from 3°C to 40°C, because of the enhanced mass transfer across the membrane
548 (McCutcheon and Elimelech, 2006). Increasing the temperature of FS and DS above 40°C
549 further improved the water flux in FO due to the enhanced diffusion kinetics. The viscosity
550 decreases with temperature, which in turn increases the water permeability across the
551 membrane (Wang et al., 2014). Thus, higher temperatures may provide higher initial flux;
552 however, after a few hours, membrane scaling and fouling reduces the efficiency of the system
553 (Zhao and Zou, 2011). Another contradictory finding is that the FO system (both FS and DS)
554 which operated at 26°C gave only a 36% enhancement of water flux compared to operation at
555 23°C, whereas just by decreasing the temperature to 23°C (with all the other parameters
556 similar), there was a significant enhancement of 72% (Hawari et al., 2016). The difference of
557 only 3°C in the operating temperature apparently caused a large enhancement in the water flux
558 – a finding that may justify further investigation (Xie et al., 2013). Various DSs including KCl,
559 NaCl, CaCl₂ and Na₂SO₄ have been experimentally tested in FO to determine water flux over
560 a range of temperature from 25 to 40°C (Heo et al., 2016). KCl and NaCl gave higher water
561 flux than other DS, because of the hydration radii of chloride and sodium ions (C. Wang et al.,
562 2019).



563

564 Fig. 10. Schematic of temperature-controlled FO setup (Phuntsho et al., 2012)

565 Besides carrying out experimental studies, researchers have used model-based
 566 prediction to investigate the effect of temperature on water flux and reverse solute flux (Akbari
 567 and Peyravi, 2020). The results predicted that the viscosity of the solution is inversely
 568 proportional to the temperature; whereas the diffusivity is directly proportional to the
 569 coefficients of pure water and solute permeability (Chowdhury and McCutcheon, 2018). The
 570 accuracy of the model was further improved by including the effect of heat transfer across the
 571 membrane (Jawad et al., 2021), external resistivity (boundary layer) on the active (Nagy, 2014)
 572 and support layer of the FO membrane (Nagy et al., 2014). The simulations showed that an
 573 increase in feed temperature near the membrane active layer from 20°C to 40°C was responsible
 574 for increasing the salt permeability (by around 150%) and water permeability (by around
 575 161%) across the membrane (Lee and Ghaffour, 2019). To analyse the effect of temperature
 576 more precisely, a steady-state model was used to measure the temperature variation concerning
 577 the changes in osmotic pressure and water dynamics of the boundary layer in the DS (You et
 578 al., 2012). Since the developed steady-state model considers multiple parameters together like
 579 hydrodynamics of the boundary layer, temperature variation on osmotic pressure, mass transfer
 580 across the membrane and heat flux across the membrane to predict the water flux of the system,
 581 it is likely to be very precise (Ettouney and Aldaihani, 2020),. The model showed that an

582 increase in temperature reduced the viscosity and accelerated diffusion kinetics across the
583 membrane, which in turn increased the water flux and performance of the process. It also
584 showed that a decrease in the transmembrane temperature caused a decrease in the water flux
585 due to the reduction in transport kinetics and osmotic pressure (You et al., 2012).

586 In summary, increasing the temperature (DS or FS side) increases the water flux and
587 decreases the reverse solute flux. This is because increasing the temperature of DS or FS
588 decreases the viscosity of the fluid, causing the diffusion of the molecules to increase, which
589 in turn increases the water flux. The temperature may be beneficially increased up to 40°C
590 (depending on details such as DS concentration and membrane properties) to reduce
591 concentration polarization in the FO system.

592 **6.2.Optimal flowrate**

593 Flow rate of FS and DS influence various operational parameters like concentration
594 polarization, water flux and efficiency (Heo et al., 2013). Higher flow rates reduce the
595 concentration polarization, which in turn impacts the water flux and recovery of the FO system
596 (Ahmed et al., 2019). This variation in water flux depends on the orientation of the membrane
597 (i.e. either AL-DS or AL-FS). Increasing the flow rate at the draw side alone (from 1.2 L/min
598 to 3.2 L/min) reduces the water flux by 52% if the membrane is in AL-FS orientation. This
599 reduction may be attributed to the increased turbulence on the support layer of the membrane
600 and back diffusion of the salt (Tow et al., 2018). Whereas with AL-DS orientation, increasing
601 the flow rate (from 1.2 L/min to 3.2 L/min) at the draw side alone enhances the water flux by
602 36% (Hawari et al., 2016). This enhancement may be due to the reduced external concentration
603 polarization on the membrane surface (Mat Nawi et al., 2020). At the same time, with AL-DS
604 orientation, increasing the flow rate at the feed side alone (from 1.2 L/min to 3.2 L/min)
605 increases the flux by 38.5%. Here the enhancement may be due to reduced internal

606 concentration polarization and fouling in the support layer of the membrane (Mazlan et al.,
607 2016).

608 Attempts were also made to investigate the effect of water flux on increasing the flow
609 rate at both DS and FS simultaneously with AL-DS orientation. There was a 76% enhancement
610 in the water flux achieved with the increase in the flow rate of both DS and FS simultaneously
611 from 1.2 L/min to 3.2 L/min (Hawari et al., 2016). Even though increasing the flow rate may
612 mitigate the concentration polarization and enhance the water flux, this may augment the
613 energy consumption for the pumping process. Reducing the flow rate of DS from 100 mL/min
614 to 10 mL/min decreased the energy consumption from 1.86 kWh/m³ to 0.02 kWh/m³ (Zou and
615 He, 2016). In summary, increasing both DS and FS flow rate simultaneously was 40% more
616 efficient than increasing either of the flow rates individually. This may be attributed to the
617 simultaneous reduction of ICP and ECP.

618 **6.3.Effect of varying FS and DS concentration**

619 A difference must be maintained between concentration of FS and DS to maintain the
620 osmotic pressure gradient which drives the high water flux (Cui et al., 2014). Hence research
621 has been conducted to analyse the effect of varying the FS and DS concentrations on water flux
622 (Li et al., 2013).

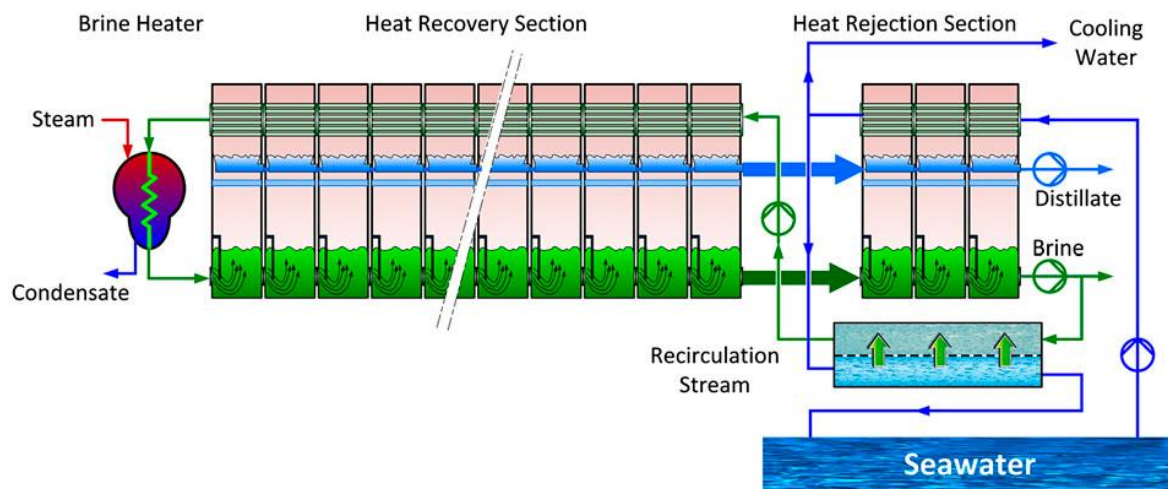
623 Water flux has both linear and non-linear relations with the DS concentration. The type of
624 DS and its concentration are important factors to get higher water flux (Cornelissen et al.,
625 2008). For example, increasing the concentration of some DSs like NaCl and MgCl showed a
626 linear relation to water flux, whereas other DSs like ZnSO₄ and EDTA showed a non-linear
627 relation (Cornelissen et al., 2008). When NaCl concentration increased from 0.5 to 4.5 M, the
628 water flux increased from 6 LMH to 13 LMH (Hau et al., 2014). In comparison, when ZnSO₄
629 concentration increased by the same amount, the water flux increased from 2.2 LMH (at 0.5

630 M) to 4.5 LMH (at 2.3 M), beyond which the water flux remained unchanged even when the
631 concentration was raised to 4.5 M of $ZnSO_4$ (Cornelissen et al., 2008). This difference may be
632 due to ICP, which was higher for $ZnSO_4$ because of its lower diffusion coefficient. For EDTA
633 as DS, the water flux increased from 4 LMH to 13 LMH when DS increased from 0.1 M to 1
634 M (Hau et al., 2014). The water flux varied linearly up to 0.7 M EDTA, beyond which the
635 variation in water flux was increased in a negligible manner, which accounted for just a 3%
636 increase in water flux from 0.7 M to 1 M of EDTA (Hau et al., 2014). However, for the DS
637 which has higher diffusion coefficients, high reverse salt flux is one of the major limitations
638 while increasing the DS concentration. Hence, selection of DS concentration should be done
639 based on high diffusion coefficient and low reverse salt flux for getting higher water flux
640 (Phuntsho et al., 2013). In summary, increasing DS concentration is preferred over increasing
641 FS concentration and optimum concentration of DS depends on the type of DS selected.

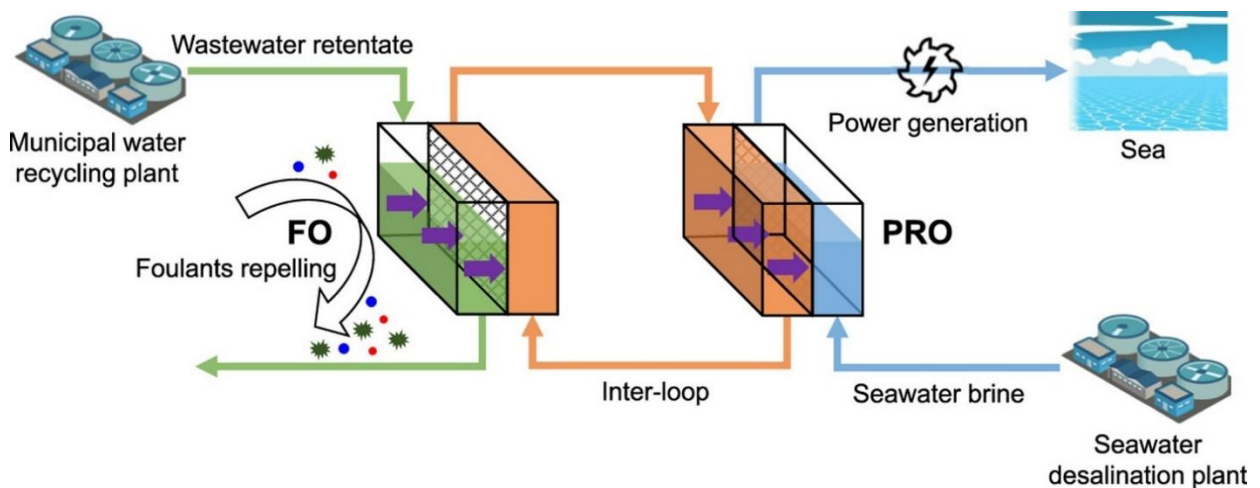
642 **7. FO applications and hybrid systems**

643 Many different applications of FO have been researched in recent years, including use in
644 multistage flash (MSF) desalination plants (Cath et al., 2006), pressure retarded osmosis (PRO)
645 (Loeb, 1976), biomass industries (Alsvik and Hägg, 2013), food processing industries (Haupt
646 and Lerch, 2018), and pharmaceutical industries (PRO) (Cui and Chung, 2018). In MSF
647 desalination plants (Fig. 11), FO is used as a pre-treatment technique (Darwish et al., 2016) for
648 effective treatment of highly saline water (Altaee et al., 2013). In PRO, brine is directed to a
649 high-pressure chamber, separated from an adjacent feed chamber by a semi-permeable
650 membrane, such that water at high pressure and high concentration draws water towards the
651 high-pressure chamber thus performing mechanical work (Cheng et al., 2018). The feedwater
652 can be river water or municipal wastewater effluent (Loeb et al., 1976). A major disadvantage
653 of PRO is its fouling propensity and FO can be used as a pre-treatment to alleviate this problem.
654 The resulting hybrid FO-PRO system (Fig. 12) is less prone to fouling, and lower energy

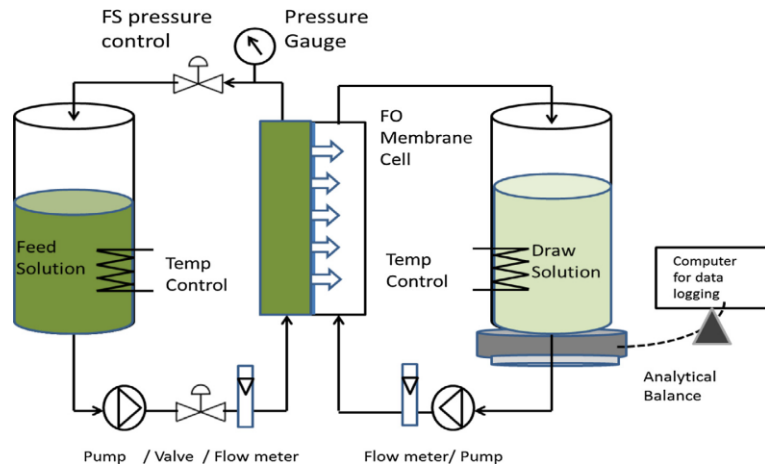
655 requirements, thus increasing its overall efficiency several-fold (Jamil et al., 2016). Variants of
 656 FO, such as pressure-assisted FO (Fig. 13), have also contributed to enhanced efficiencies
 657 (Helfer et al., 2014). In biomass industries, FO can be used to treat the nutrient-rich wastewater
 658 extracted from the digested biomass (Holloway et al., 2007). Pharmaceutical industries also
 659 use FO, in this case, to concentrate the medical ingredients (Rastogi, 2019) and recover the
 660 organic solvent (Cui and Chung, 2018).



661
 662 Fig. 11. Forward osmosis as a pre-treatment method for MSF system (Darwish et al., 2016)



663
 664 Fig. 12. Integrated FO-PRO system using wastewater retentate as FS and brine from seawater
 665 as DS for osmotic power generation (Cheng et al., 2018)



666

667

Fig. 13. Pressure assisted FO (Jamil et al., 2016)

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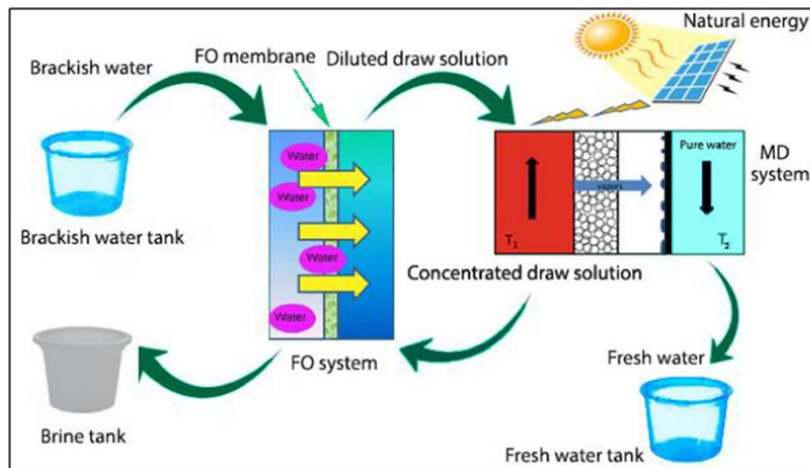
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FO has been integrated with other systems to form a hybrid system, to enhance efficiency (Usman et al., 2021) and to reduce the energy consumption of the whole system (Blanco Gálvez et al., 2009). For example, FO was integrated with membrane distillation units powered by solar energy (Fig. 14) (Q. Li et al., 2019). This integrated system reduced the specific energy consumption to 1.1 kWh/m³ compared to 7.06 kWh/m³ for the unmodified membrane distillation system (Suwaileh et al., 2019).



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Fig. 14. FO powered from solar energy for reducing energy consumption (Suwaileh et al., 2019)

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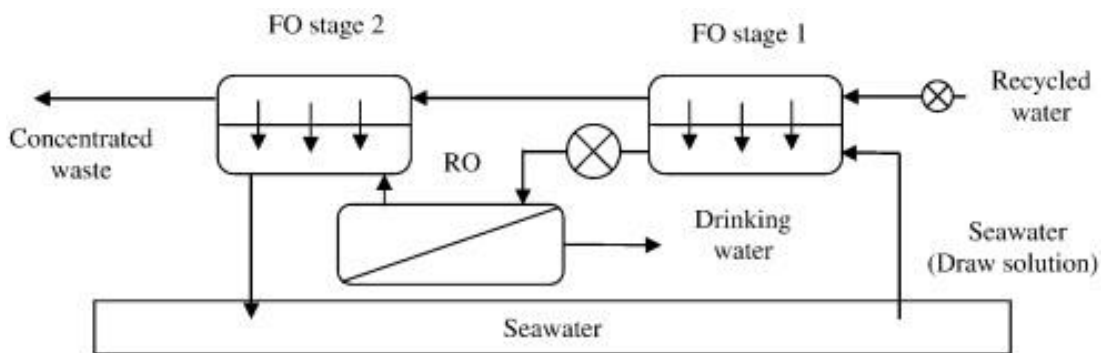
In another study, a multi-stage flash desalination unit was coupled with FO pre-treatment for seawater. The recovery of the hybrid system was enhanced by 32%; and

679 concentrations of calcium, magnesium and sulfate ions in the feed solution were reduced. Due
680 to the lower concentration of these ions, water permeability and the water flux were enhanced
681 in the hybrid system (Altaee et al., 2014a). Researchers have also coupled low-pressure reverse
682 osmosis (RO) with a FO system to reduce the energy consumption from 2.5 kWh/m³ (for a
683 standalone system) to 1.5 kWh/m³ (for the hybrid system). The fouling was reduced in the
684 hybrid system thus yielding a greater water flux (Yangali-Quintanilla et al., 2011).

685 Studies reveal that, upon prolonged use, the decline of water flux in FO was less than
686 in RO (Lee et al., 2010a). With sufficient DS concentration, the water flux in FO can even
687 exceed that in RO (Altaee et al., 2014b). The FO membrane was more resistant to fouling than
688 the RO membrane (Siddiqui et al., 2018), allowing high flux to be maintained over a longer
689 period (Xie et al., 2017). Under standard conditions, RO gave better water flux as compared to
690 FO but, on increasing the temperature and cross-flow velocities, the water flux for FO was
691 several times higher than for RO (Altaee et al., 2017). This was attributed to increased fouling
692 in RO at high temperatures (Mazlan et al., 2016). The RO system was coupled with FO to
693 reduce the scaling, thus avoiding the use of chemicals in pretreatment and effectively remove
694 the byproducts of sodium (such as hydrogen phosphate and hexametaphosphate) (Bamaga et
695 al., 2011). The RO-FO system (Fig. 15) reduces the reverse water flux when operated at a
696 higher temperature and the specific energy consumption of this hybrid system was only 1.66
697 kWh/m³ – as such lower than conventional seawater RO which typically consumes >2 kWh/m³
698 (Park et al., 2018). More than 60% of this energy was used for thermal heating of the flow
699 stream (Ju and Kang, 2017) and hence the study recommended that the energy consumption
700 could be further reduced by coupling FO with waste heat recovery devices (Wang et al., 2014).

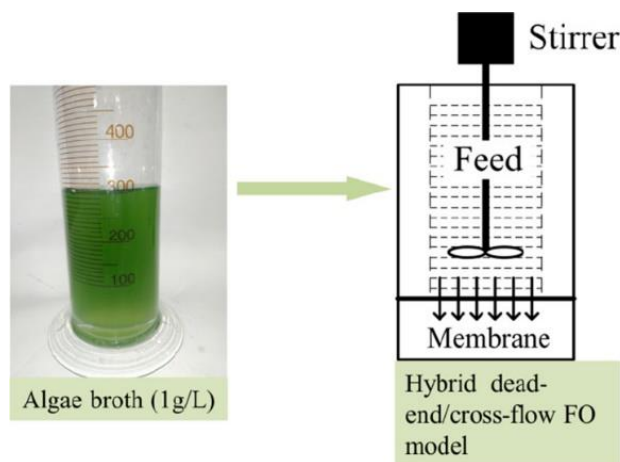
701 Researchers have also integrated FO with biotechnological applications (Ma et al.,
702 2020). For example, FO was used in a micro-algae dewatering system to achieve a water flux

703 of about 15.5 LMH with a recovery rate of 97% (Fig. 16). A stirrer was used to reduce the
 704 concentration polarization and enhance the water flux in this system (Zhang et al., 2019).



705

706 Fig. 15. Integrated system with FO and RO for augmenting the water flux and osmotic
 707 pressure (Bamaga et al., 2011)



708

709 Fig. 16. A hybrid FO with micro-algae dewatering system (Ma et al., 2020)

710 In summary, FO can be integrated with various advanced technologies to improve their
 711 efficiency and reduce their energy consumption (Esmailion, 2020). The integration also
 712 reduces the concentration polarization with an improvement in the recovery rate of freshwater.
 713 In all cases, it is evident that hybrid systems are very advantageous over standalone systems.
 714 Further research should be conducted to use waste heat recovery devices for hybrid integration
 715 with FO, to enhance the performance. Table 1 gives an overview of studies concerning the
 716 working temperature, DS, type of membrane and water flux obtained.

717 Table. 1. Overview of forward osmosis desalination studies showing the working temperature, draw solution used, type of membrane and the flux
 718 obtained (where data are provided).

Sl. No	Reference	Working temperature (highest) in °C	Draw Solution	Advantages of the DS	Disadvantages of the DS	Type of FO membrane	FO membrane material	Water flux recorded (LMH)
1	(Phuntsho et al., 2012)	45	KCl	Enhanced osmotic pressure Low viscosity	Low water recovery	CA FO membrane	cellulose acetate	7.1
2	(Feng et al., 2018)	40	ammonium bicarbonate solution	Better water flux Decreased reverse solute flux	Enhanced ECP effects	asymmetric FO membrane	Asymmetric FO membrane, Saehan (Republic of Korea)	11.6
3	(Chowdhury and McCutcheon, 2018)	40	NaCl in DI water	Better mass transfer rates	Increase fouling after continuous use	CTA FO membrane	Cellulose triacetate	27
4	(Lee and Ghaffour, 2019)	40	NaCl	Decrease reverse salt flux Decreased fouling	High Cost Complex setup	TFC-PA FO membrane	Isopropyl alcohol	27.26
5	(You et al., 2012)	40	NaCl	Increased transmembrane flux	Low working temperature range	CTA FO membrane	Polyester screen mesh with Cellulose triacetate	30
6	(C. Wang et al., 2019)	50	NaCl (1M)	High osmotic pressure	Low water recovery	CTA FO membrane	Cellulose triacetate	27.1
7	(Heo et al., 2016)	45	CaCl ₂	Low biofouling Low viscosity	Drastic decline in flux after continuous use	CTA FO membrane	Cellulose triacetate	24.9
8	(Li et al., 2018)	25	CaCl ₂	Enhanced water flux Low reverse solute flux	Low cross-flow velocity	TFC membrane	Cellulose triacetate. Active layer of polyamide and support layer of polysulfone	62
9	(Kim et al., 2015)	50	NaCl (3M)	Better diffusivity Enhanced Water recovery	Low durability	cellulose-based polymers membrane	Cellulose-polyester	29.61

10	(Xie et al., 2013)	40	NaCl (0.5M)	Reduced ICP effects	Increase desalination time	TFC membrane	Polyamide active layer with polysulfone support layer	27.3
11	(Zhao and Zou, 2011)	45	Na ₂ SO ₄	Better water recovery	Increased fouling Not commercially available	CTA FO membrane	Cellulose triacetate	17
12	(Q. Wang et al., 2019)	47	NaCl (5M)	High water flux High osmotic pressure	Increased ICP effect	TFC membrane	-	71
13	(Ahmed et al., 2019)	85	ethylene oxide-propylene oxide copolymer	Fouling resistant	Not commercially available	CTA FO membrane	Cellulose triacetate	-
14	(Tow et al., 2015)	100	NaCl	Commercially available	-	-	-	-
15	(Xu et al., 2010)	24	NaCl	Low cost	low osmotic pressure	Commercial membrane	Cellulose triacetate	6.6
16	(Amjad et al., 2018)	50	TEG-K/CNF	High solar absorptive Better morphology	Complex setup	asymmetric FO membrane	-	13.3
17	(Wang et al., 2017)	45	Poly epoxy succinic acid	High rejection rate Reusability	High cost	TFC FO membrane	-	23
18	(Cai et al., 2013)	40	semi-IPN hydrogels	Low fouling reusability	Low water flux	CTA FO membrane	Cellulose triacetate	0.24
19	(Nakka and Mungray, 2016)	40	Triblock Copolymer Hydrogels	Biodegradable Anti-biofouling	Low water flux	CTA FO membrane	Cellulose triacetate	0.68
20	(Li et al., 2011)	50	Polymer hydrogel	High water flux Low reverse solute flux	Low durability	-	-	74.2
21	(Zeng et al., 2013)	45	polymer-graphene	Enhanced recovery	Membrane fouling	CTA FO membrane	Cellulose triacetate	8.2

			composite hydrogels	Low cost	Complex setup			
22	(Zheng et al., 2020)	45	Glycine	Enhanced water permeability High rejection rate	ICP effects	TFC FO membrane	Thin film composite polyamide Polyether sulfone support on polyamide active layer	35.4
23	(Zhao et al., 2014)	45	poly(sodium styrene-4-sulfonate-co-n-isopropylacrylamide)	Low viscosity Better diffusivity	Complex design Low water flux	TFC FO membrane	Thin film composite-polyamide	4
24	(Kamio et al., 2019)	25	Thermo-responsive ionic liquids	Enhanced water flux Increased cross-flow velocity	Toxic High cost	-	-	45±7.8
25	(Pramanik et al., 2019)	40	NaCl (0.5 M)	Low concentration polarization	Low water flux after continuous use	Flat sheet thin-film composite membrane	-	28
26	(McCutcheon and Elimelech, 2007)	20	NaCl (1.5 M)	Commercially available Low cost	Enhanced fouling	Commercial membrane	-	23
27	(Hawari et al., 2016)	26	NaCl (0.5 M)	Better water flux Reduced desalination time	Low water rejection	TFC FO membrane	-	47
28	(Kim et al., 2012)	20	NaCl (5 M)	-	Low membrane transfer properties	-	-	-
29	(Lay et al., 2012)	22	NaCl (0.5 M)	Reduced ICP effects	Increased desalination time	TFC FO membrane	Thin film composite-polyamide active layer with polysulfone support layer	20
30	(X. Wang et al., 2016)	25	NaCl (1 M)	Commercially available Low cost	Increased fouling	CTA FO membrane	Cellulose triacetate	16
31	(Zhang et al., 2012)	23	NaCl (0.5 M)	Reduced biofouling	Low water recovery	TFC hollow fibre membrane	Thin film composite	22

				Better water flux				
32	(Li et al., 2012)	21	NaCl (2 M)	Low cost Reduced ICP effect	Low membrane transfer properties	CTA FO membrane	Cellulose triacetate	7.2
33	(Boo et al., 2012)	21	LaCl ₃	Better water recovery	Not commercially available	CTA FO membrane	Cellulose triacetate	5.3
34	(Wang et al., 2010)	23	NaCl (0.5 M)	Better water flux Better rejection rate	High cost Complex setup	cartridge-type FO flat sheet membranes	Polyester screen mesh	32.2
35	(Zeng et al., 2019)	23	-	Better recovery rate	High swelling propensity	poly(<i>N</i> -isopropylacrylamide-co-sodium acrylate) Hydrogel	poly(<i>N</i> -isopropylacrylamide-co-sodium acrylate) Hydrogel	10
36	(Altaee et al., 2013)	35	Brine	Simplistic design	Low water flux	-	-	9.6
37	(Phuntsho et al., 2013)	25	CaCl ₂	Enhanced water flux Low viscosity	Increased Fouling	TFC FO membrane		37.73
38	(Wang et al., 2010)	23	NaCl (4 M)	High cross-flow velocity Fouling resistant	Low durability	polyamide-polyethersulfone FO hollow fiber membranes	polyamide-polyethersulfone	25
39	(Yang et al., 2009)	23	MgCl ₂ (5 M)	Better water flux Reusability	Increased cost	Dual-layer polybenzimidazole-polyethersulfone (PBI-PES) nanofiltration hollow fiber membrane	polybenzimidazole-polyethersulfone (PBI-PES)	33.8
40	(Chou et al., 2010)	23	NaCl (2 M)	High rejection rate Better water recovery	Prone to biofouling	polymer polyethersulfone (PES) membrane	polymer polyethersulfone with an active layer mixture of m-phenylenediamine and tri-mesoyl chloride	42.6
41	(Ren and McCutcheon, 2014)	20	NaCl (1 M)	Better osmotic pressure Low reverse solute flux	Low water flux after continuous use	TFC FO membrane	-	22.9

42	(Qiu et al., 2011)	23	MgCl ₂ (3 M)	High water flux High cross flow velocity	Not commercially available	porous polyacrylonitrile (PAN) membrane	porous polyacrylonitrile	105.4
43	(Han et al., 2012b)	23	NaCl (2 M)	Simplistic design	Increased ICP effects Toxic material	polysulfone (PSf) membrane	Polysulfone with active layer mixture of m-phenylenediamine and trimesoyl chloride	14
44	(Lee et al., 2015)	23	MgCl ₂ (3 M)	Commercially available	Low water flux	-	-	11
45	(Emadzadeh et al., 2013)	25	NaCl (0.5 M)	Fouling resistant Enhanced water flux	High cost	thin-film nanocomposite (TFN) membrane	polysulfone–titanium dioxide	57
46	(Shakeri et al., 2019b)	25	NaCl (1 M)	High water permeability hydrophilicity	Complex design	thin film nanocomposite (TFN) membrane	Polyamide with polyester support layer	63
47	(Han et al., 2012a)	23	NaCl (2 M)	Reduced biofouling Better water flux	Increased desalination	TFC FO membrane	thin aromatic polyamide	35
48	(Ma et al., 2013)	20	NaCl (2 M)	High water flux Better membrane structure	Toxic material	Polysulfone-nanocomposite membrane	Polysulfone	86
49	(Ma et al., 2012)	20	NaCl (2 M)	Better water flux Multiple usabilities with various processes	Low water rejection	Zeolite-polyamide thin film nanocomposite (TFN) membrane	Zeolite-polyamide	48
50	(Wong et al., 2012)	30	NaCl	Low cost	Prone to fouling	CTA membrane	cellulose triacetate with polyester mesh	-
51	(Tan and Ng, 2008)	30	NaCl (2 M)	Low cost	Prone to fouling	CTA membrane	cellulose triacetate	-
52	(Bhinder et al., 2018)	23	NaCl (1.5 M)	Simple design	Low water flux	-	-	13
53	(Abdelrasoul et al., 2018)	35	NaCl	Low reverse solute flux	Increased ICP effects	TFC FO membrane	-	-

54	(McCutcheon and Elimelech, 2006)	40	NaCl (1.5 M)	Better water flux Biodegradable	Low water flux after continuous use	CTA membrane	cellulose triacetate	22
55	(Tang et al., 2010)	24	NaCl (0.5 M)	High water flux Low cost	Highly selective	CTA membrane	cellulose triacetate	57
56	(Gray et al., 2006)	22.5	NaCl (0.5 M)	Good osmotic pressure	Membrane fouling	CTA membrane	cellulose triacetate	-
57	(Suh and Lee, 2013)	23	NaCl (4 M)	Low scale desalination	Prone to fouling and scaling	-	-	18
58	(Suwaileh et al., 2019)	60	NaCl (2 M)	Better water flux High rejection rate	Complex design	Polyethersulfone (PES) UF membranes	Polyethersulfone with polyamide active layer	30
59	(Altaee et al., 2014a)	40	NaCl	Low power consumption Fouling resistant	Low cross-flow velocity Low water recovery	HTI (Hydration Technology Innovations) membrane	-	14.3
60	(Yangali-Quintanilla et al., 2011)	20	seawater	Low cost Simple design	Low desalination efficiency	-	-	10.5
61	(Bamaga et al., 2011)	25	seawater	Better osmotic pressure	Prone to fouling	CTA membrane	cellulose triacetate	11
62	(Park et al., 2018)	70	Adipic acid	Good diffusivity	Low rejection rate	CTA membrane	cellulose triacetate	-
63	(Ma et al., 2020)	25	NaCl (5 M)	Fouling resistant Good cross flow velocity	Low water flux after continuous use	CTA membrane	cellulose triacetate	15.5
64	(Zhang et al., 2019)	-	NaCl	Anti-biofouling Good dewatering capacity	Increase concentration polarization at high temperature	TFC FO membrane	-	20
65	(Liyanaarachchi et al., 2015)	40	brine	Low cost	Prone to fouling	CTA membrane	cellulose triacetate	10.2

66	(Ju and Kang, 2017)	40	zwitterionic homopolymer polysulfobetaine	Good water recovery Low reverse solute flux	Low water flux	TFC FO membrane	-	3.22
67	(Wang et al., 2014)	50	Cooling water	Low cost Simple design	Low water flux Low rejection rate	CTA membrane	cellulose triacetate with polyester mesh	3.1
68	(Kim et al., 2016)	25	Tetrabutyl phosphonium styrenesulfonate	Fouling resistant Better osmotic pressure	Selective effluents desalination	TFC FO membrane	Hydration Technology Innovations	16.28
69	(Yong et al., 2012)	20	NaCl (4 M)	Better water flux Lowered reverse solute flux	Increased ICP and ECP effects on prolonged use	CTA membrane	cellulose triacetate	21

719

720 **8. Summary and challenges**

721 This section summarizes the research advances in FO technology concerning draw
722 solution, feed solution, membranes, operating conditions and hybrid systems. The challenges
723 and measures to address them are explained under each corresponding sub-section.

724 8.1. *Draw solution*

725 Researchers prefer DSs with low reverse solute flux and less energy consumption.
726 Multivalent DS has low reverse solute flux and less energy consumption as compared to
727 monovalent DS. Regenerating the DS to get freshwater is one of the main challenges and
728 hence organic DSs are preferred, as they can be regenerated easily by volatilization.

729 8.2. *Feed solution*

730 In general, researchers used tapwater, groundwater and simulated industrial wastewater
731 as the feed solution. The concentration of FS is certainly important to maintain an optimum
732 level of concentration difference between feed and draw solution to generate the highest
733 possible osmotic pressure gradient for maximum efficiency. Use of simulated wastewater at
734 lab scale has been a limitation of much past research, as this may differ from actual
735 wastewater from industries such as textile, cement and tannery industries. Hence, more
736 recent research is tending to make greater use of real industrial wastewater from textile,
737 manufacturing and tannery industries for experimentation.

738 8.3. *Membranes*

739 TFC membranes are preferred to treat highly concentrated wastewater from textile,
740 manufacturing and pharmaceutical industries; whereas CTA membranes are preferred to
741 treat less concentrated water from coal processing industries. In general, the active layer of
742 a CTA membrane is modified to improve the surface morphology, selectivity,
743 hydrophilicity, and structural stability; whereas the selective layer is modified to enhance
744 the membrane properties like stability, tortuosity, mechanical strength, porosity and

745 permeability, the support layer should be modified. Nanoparticles can enhance all these
746 properties further. Fouling is one of the main challenges, which affects the membrane
747 efficiency. Even though fouling can be minimized by membrane cleaning, its initial
748 efficiency cannot be restored after cleaning. Hence, effective cleaning techniques are
749 desirable to restore the initial membrane efficiency.

750 *8.4. Operating conditions*

751 Water flux can be improved by maintaining a constant temperature in both DS and FS
752 due to the enhanced mass transfer across the FO membrane. Increasing the flow rates of DS
753 and FS simultaneously reduces the concentration polarization across the FO membrane and
754 improves the water flux. Modifying the flow rate of DS and FS reduces the ECP, whereas
755 support layer modification reduces the ICP in the FO membrane. AL-FS membrane
756 orientation is preferred to treat hypersaline water, whereas AL-DS was preferred to treat low
757 saline water. Maintaining the constant temperature of feed or draw solution is one of the
758 main challenges for applications in temperate climate zones, where the ambient temperature
759 will be less than 15°C. Heating may be needed to maintain the temperature of FS and DS,
760 leading to additional energy consumption. Hence, there is on-going research for overall
761 process optimisation with respect to effectiveness and energy consumption.

762 *8.5. Hybrid systems*

763 FO systems may be integrated with other technologies like RO and MED to reduce the
764 specific energy consumption and to improve the overall salt rejection rate, water permeability
765 and flux. High energy consumption is one of the main challenges in integrating FO with other
766 RO and MED. Hence, energy consumption could be further reduced by the integration of FO
767 with waste heat recovery devices.

768 **9. Conclusions and future research prospects**

769 Over the past two decades (from 2000 to 2021) there has been a dramatic increase in
770 research and development in forward osmosis. Based on the ScienceDirect database, there was
771 around a seven-fold increase in the total number of publications on FO between 2000 to 2010,
772 followed by a further 350% increase from 2010 to 2019. The main areas of research include
773 membrane fabrication and modification, novel DS and regeneration methods to reduce specific
774 energy consumption, fouling reduction techniques and optimization of operating and process
775 parameters.

776 According to Gluck (Gluck, 2017), FO is still at an early commercial stage. Though the
777 number of installations worldwide is small, however, the number of companies active in this
778 area is increasing. BlueTech was among the first companies active in this field, with activities
779 reported up to 2015. Since then other companies like FTSH₂O, Porifera, Oasys and Modern
780 Water and Aquaporin have become prominent. The number of installations, however, still only
781 totals about 50 worldwide. Despite its early stage of development, in coming years FO will
782 likely become commercialised in various industries including textile, dairy and tannery to treat
783 wastewater effectively.

784 In this review, we have discussed membrane developments, novel DS, changes in system
785 temperatures, and hybrid systems targeted at increasing the efficiency of FO. Nevertheless,
786 many DS's including hydro-acid complexes, super-hydrophilic nanoparticles and recently-
787 developed nanoparticles, such as graphene and carbon nanofibers, are still in their infancy as
788 regards use in FO. Hence, research and development in these areas are expected to provide
789 further enhancements to water flux.

790 Research should also be directed towards more efficient ways of integrating PRO with
791 FO to enhance the efficiency and water flux. Concerning membrane materials, novel
792 membranes like mesoporous silica material-15, 16, and graphene oxide – which possess high
793 hydrophilicity with low solute diffusion, fouling, ICP and ECP – can be used in FO.

794 Concerning the draw solution, effective regeneration methods which consume less energy must
795 be identified. Future research can be focussed on integrating shape memory polymer (SMP)
796 with hydrogel as an effective DS as this may have various advantages like restoring the original
797 shape under heat or light. Optimization studies employing a multi-criteria decision-making
798 process should be carried out to optimize the concentration of FS, DS and membrane to arrive
799 at a maximum water flux. It is important that future lab studies should use real industrial
800 wastewater rather than only simulated wastewater.

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