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Perspectives of at-Risk Individuals on Preventive Intervention for Rheumatoid Arthritis: A Mini Review

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There has been intense research focus on the biological mechanisms underlying the transition from health to disease for rheumatoid arthritis (RA) over recent years, and it is now well established that a state of autoimmunity precedes the development of symptoms for a large proportion of patients. This has led to an increased interest in the identification of at-risk groups and the potential for preventive intervention. The ability of several immunomodulatory agents to delay or prevent RA is under investigation and novel cellular therapies are in development. Preventive approaches are also being assessed in other chronic autoimmune diseases. For example, an anti-CD3 antibody has recently been shown to delay progression to type 1 diabetes in non-diabetic relatives of patients identified as being at high risk. The identification and treatment of individuals as being at risk of a disease where there is a degree of uncertainty around the potential for benefit is socially and ethically challenging. Recently reported difficulties in recruitment to RA prevention trials have underlined the importance of understanding the perspectives of at-risk individuals to identify barriers and facilitators that need to be addressed in order for preventive strategies to be acceptable. Understanding of their preferences for benefits and risks of preventive interventions can inform efficient intervention prioritization, prevention trial design and the development of informational resources for those at risk. In this review we summarize current knowledge of preferences for RA prevention and make recommendations for further research needed to ensure efficient development of preventive therapies and clinical implementation.

Keywords: rheumatoid arthritis, prediction, prevention, at-risk groups, perceptions, preferences, choice - behaviour

INTRODUCTION

Rheumatoid arthritis (RA) is an inflammatory disease that causes painful swelling of the joints, fatigue, depression, and extra-articular manifestations including accelerated cardiovascular disease. There is currently no cure, and long-term treatment is usually required to prevent joint erosion and loss of function (1). Although the introduction of biologic and targeted synthetic disease modifying anti-rheumatic drugs (b/ts DMARDs) has revolutionized management of RA, approximately 10-15% of patients do not respond to multiple sequential therapies (2). Risks of treatments for RA include infection and lung, liver and haematological toxicity. In addition to the disease burden experienced by patients, RA presents a significant socioeconomic burden (3, 4). There is thus a clear rationale for the development of a cure and/or preventive interventions for this condition.

It is established that early treatment of RA is associated with improved outcomes (5). This has led to increased focus on the earliest stages of disease development, including pre-clinical phases (6). Understanding of the biological mechanisms operating at articular and extra-articular sites in at-risk individuals has evolved rapidly (7), and algorithms to predict the development of clinical arthritis in at-risk populations have become increasingly sophisticated (8). Recognition of groups at risk of RA presents possibilities for preventive intervention. Such intervention could prevent or delay the onset of clinical arthritis, and also reduce the complex symptom burden often experienced before diagnosis (9). Intervention at this stage could also reduce RA severity if it were to subsequently develop.

The European Alliance of Associations for Rheumatology (EULAR) has provided recommendations for terminology to identify distinct at-risk phases (based on genetic and environmental risk factors, RA-related autoantibodies and symptoms) (10). Key target groups for preventive approaches may have one or more of the following: (a) genetic risk factors (e.g. risk is increased approximately fourfold in first-degree relatives [FDRs] (11)); (b) environmental risk factors [e.g. smoking (12)]; (c) systemic autoimmunity associated with RA (typically indicated by rheumatoid factor and/or anti-citrullinated protein/peptide antibodies); (d) symptoms suggestive of underlying inflammation but without clinically apparent synovitis [clinically suspect arthralgias (CSAs) (13)]; or (e) early arthritis that does not fulfil RA classification criteria. Different approaches are likely to be appropriate at each phase. Primary prevention of seropositive RA would involve intervention to prevent development of systemic autoimmunity, while secondary prevention of seropositive RA would involve prevention of RA development in individuals with pre-existing systemic autoimmunity (6).

EULAR guidance for trials and observational studies in individuals at-risk of RA, based on expert consensus and evidence from systematic reviews (14, 15), is now available and the scene is set for progress towards a new paradigm of prevention, rather than treatment of RA (16). Evaluation of candidate preventive therapies for RA is a nascent research area, though early findings are promising. Whilst intramuscular glucocorticoid did not delay arthritis development in

seropositive arthralgia patients (17), it prevented 10% of patients with early inflammatory polyarthritis from progressing to RA and delayed DMARD prescription (18). B-cell depletion with a single infusion of rituximab delayed, but did not prevent RA onset in individuals with seropositive arthralgia and either imaging synovitis or evidence of an acute phase response (19). The effects of time-limited courses of other immunomodulatory therapies, including abatacept (20) and hydroxychloroquine (21), on RA development are currently being assessed in other at-risk groups, including asymptomatic FDRs (21). Preventive treatments are also under investigation in other chronic autoimmune conditions. For example, an anti-CD3 antibody delayed progression to type 1 diabetes in non-diabetic relatives of patients identified as being at high risk based on the presence of diabetes-related autoantibodies and other risk factors (22).

Although trials of lifestyle interventions to prevent RA are currently lacking, Vitamin D supplementation for five years has been shown to reduce risk of autoimmune diseases (23). Omega 3 fatty acids have been inversely associated with the presence of RA-related autoantibodies (24, 25), though a prospective cohort study did not find an association between fish intake with RA development (26). There is a robust rationale for studies of smoking cessation to reduce risk of RA (12, 27, 28), and other interventions such as periodontal treatment and weight control have preventive potential (29, 30).

Whilst prevention of diseases such as RA has considerable potential to improve outcomes and reduce societal costs, the identification of individuals as being at risk, and the use of preventive treatment where there is a degree of uncertainty around disease development and progression, is ethically challenging (31, 32). Those at risk may face complex decisions around accepting predictive assessments and risks associated with immunomodulatory interventions in exchange for uncertain benefit. A recent trial of 40mg atorvastatin daily for three years to prevent arthritis development in seropositive arthralgia patients was terminated prematurely due to unwillingness to participate (33). A related qualitative study exploring barriers to trial participation highlighted perceptions that the need for treatment was low and outweighed by concerns about treatment risks and the burden of trial participation (34).

Understanding the perceptions and preferences of those at risk for preventive approaches is therefore essential to inform the development of balanced, tailored informational resources for those considering trial participation, and to support efficient clinical translation. There is increasing recognition of the value of information about patient preferences for decision-making by the pharmaceutical industry, regulatory agencies, and health technology assessors (35–37). Systematically collected data on patient preferences can support efficient, patient-focused medicine development, including target product profile development, endpoint selection, benefit-risk assessment, and regulatory approval (38, 39). The integration of patient preference information into drug development is more likely to result in treatments that are acceptable to patients. This is especially important in the context of disease prevention, where uptake and adherence to medications can be low (40, 41). Therefore, the objective of this article is to provide a

narrative review of what is known about the perceptions and preferences of at-risk populations (EULAR at-risk stages a-d) and other key stakeholders for predictive and preventive strategies for RA, and identify opportunities for further investigation. The search strategy used to identify relevant literature is summarized in **Supplementary Material**.

EXPLORATORY QUALITATIVE STUDIES

A summary of published qualitative investigations exploring perceptions of predictive testing and/or preventive interventions for RA can be found in **Table 1**. Perceptions of predictive approaches have been studied in those with CSA (43, 48), asymptomatic individuals who have tested positive for RA-related autoantibodies (48), FDRs (44), the general public (49), and RA patients (who may be involved in providing access and/or information to FDRs) (45). Participants across these studies recognized the value of disease risk information in terms of increased self-awareness and also the potential for early or preventive treatment (15). However, several studies noted concerns around the uncertainty associated with disease development and potential for psychological distress (44, 45, 48). Mosor et al. (2020) reported that these concerns were particularly salient for participants with joint symptoms (48). However in another study, FDRs who received personalized risk education reported greater levels of reassurance than those who received standard RA risk information (50).

In a focus group study of CSA patients, participants had negative views of the utility of numerical information about risk (43). Interview studies with FDRs (44) and patients (45) suggested that positive views of predictive testing for RA were associated with the misperception that such tests could rule in/out RA. Negative viewpoints were associated with an understanding of the probabilistic nature of risk information (45). In focus groups, members of the general public reflected misperceptions about the severity of RA that had been found in previous studies, and held beliefs that risk assessment was more appropriate for diseases that were perceived to be more serious (49). Lack of public awareness about the negative personal impact of RA was highlighted by RA patients as a potential barrier to predictive strategies (45). Several studies emphasized unmet needs for information about RA and risk factors for RA (44, 45, 48).

The first qualitative study addressing perspectives on preventive treatments for RA found that most participants would accept a prophylactic treatment if their risk of developing RA was 30% or greater (42). However, the participants in that study were FDRs enrolled in a prospective observational cohort and their views may not be representative of other at-risk groups. Other studies of FDRs and RA patients (45–47) suggested that lifestyle interventions would be preferred over pharmaceutical therapies, highlighting concerns about medication side effects and beliefs that drug treatment is appropriate only after symptoms have developed. Such beliefs were echoed by Mosor et al. (2020) who reported that seropositive individuals without symptoms were less inclined

TABLE 1 | Summary of published qualitative studies exploring perceptions/preferences of RA prediction/prevention.

Participants	Study Objectives	Methods	Key findings	Authors
20 FDRs* taking part in an observational cohort study (Switzerland)	Explore perceptions of preventive treatments and participation in interventional trials to prevent RA	Interviews	Preventive treatments with low risk of serious adverse effects were acceptable when risk of RA was above 30%.	Novotny et al. (42)
4 CSA** patients taking part in an observational cohort study (Netherlands)	Explore perceptions of CSA and prognostic information about RA	Focus Groups	Negative views about numerical risk estimates	Newsom et al. (43)
32 FDRs recruited via RA patients (UK, Germany, Austria)	Explore perceptions of RA risk and predictive testing	Interviews	Unmet information needs and concerns about uncertainty/anxiety	Stack et al. (44)
22 RA patients (UK)	Explore perceptions of predictive testing, preventive intervention and communicating with relatives about RA risk	Interviews	Positive views associated with misperceptions about risk information. Selective family communication about risk	Falahee et al. (45)
32 FDRs recruited via RA patients in secondary care clinics (UK, Germany, Austria)	Explore perceptions of preventive interventions for RA	Interviews	Lifestyle interventions preferred. Drugs appropriate after symptom onset. Concerns about drug side effects.	Simons et al. (46)
25 participants (13 patients, 5 FDRs and 7 rheumatologists)	Define attributes of treatments to prevent RA to be assessed in a quantitative study	Focus groups	Role of healthcare professional recommendation in treatment decisions highlighted	Munro et al. (47)
34 seropositive individuals (24 CSA patients and 10 asymptomatic individuals attending extended health examination) (Austria, Germany, UK)	Explore perspectives and information needs around predictive test results and preventive treatment	Interviews	Symptomatic individuals more likely to accept preventive intervention and experience anxiety	Mosor et al. (48)
18 seropositive CSA patients invited to take part in interventional trial to prevent RA development (Netherlands)	Identify barriers and facilitators to participation in trial to prevent RA development	Focus groups	Identified information needs of trial participants highlighting potential for benefit and addressing concerns about burden of trial participation	Van Boheemen et al. (34)
21 members of the public (UK)	Perceptions of predictive testing for RA, breast cancer and early onset Alzheimer's disease	Focus groups	Concerns around predictive testing less pronounced for RA. RA perceived to be less serious than other diseases.	Singhal et al. (49)

*FDR, First-degree relative; **CSA, Clinically suspect arthralgia.

to consider preventive treatments than those who were experiencing arthralgia (48). The focus group study by Munro et al. (2020) involving participants who were either RA patients, FDRs or rheumatologists also found that the precision of disease risk estimates and endorsement by a trusted healthcare professional would be important considerations when deciding whether to accept a preventive treatment for RA (47). No other qualitative studies published to date have addressed the perspectives of healthcare professionals.

Many of the themes described above were also found in interviews with autoantibody positive individuals with CSA who had been invited to take part in a trial of a treatment to reduce their risk of developing RA (34). Whilst potential for personal and societal benefit, along with detailed information and support from the individual's physician, facilitated trial participation, barriers included beliefs about personal risk status and the need for treatment, and concerns about treatment-related harms and the perceived burden of trial participation.

QUANTITATIVE INVESTIGATIONS

Table 2 summarizes published quantitative investigations. A survey study found that over 50% of FDRs were definitely interested in taking a predictive test to quantify their risk of developing RA (52). Predictors of levels of interest included attitudes about risk knowledge, information-seeking preferences and beliefs that predictive testing could cause psychological harm. No other quantitative studies have addressed preferences for predictive testing for RA.

Van Boheemen et al. (2020) surveyed willingness to use 100% effective preventive medications amongst seropositive arthralgia patients and rheumatologists (54). At 30% baseline risk of developing RA, 53% of patients and 74% of rheumatologists would be willing to use a preventive therapy with no side effects. At 70% baseline risk, this increased to 69% for patients and 92% for rheumatologists. A drug with minor side effects was acceptable to 26% of patients and 31% of rheumatologists when the baseline risk of RA was 30%; and to 40% of patients and 76% of rheumatologists when risk of RA was 70%. Patients' willingness to make preventive lifestyle changes was high, though this was not often the focus of rheumatologists' consultations (54).

Stated choice methods, where participants choose between hypothetical treatment options described by treatment attributes (e.g., risks, benefits, method of administration, etc.) with pre-specified levels that are varied systematically, provide quantitative information about the relative importance of treatment attributes, benefit/risk tradeoffs, preference heterogeneity, and predicted uptake. Such information can inform selection of outcomes and endpoints in clinical trials and also support stakeholder (e.g. regulator, HTA) decision-making (38, 39). Whilst stated preferences for RA treatments have been widely assessed (56) there are limited examples for RA prevention (57).

A best-worst scaling study of 32 FDRs enrolled in a prospective cohort in Switzerland reported that treatment

TABLE 2 | Summary of published quantitative studies assessing perceptions/preferences of RA prediction/prevention.

Participants	Study Objectives	Methods	Key findings	Authors
32 FDRs* taking part in an observational cohort study (Switzerland)	Assess impact of treatment efficacy, mode of administration, severe side effects and mild side effects on likelihood of acceptance of preventive treatment for RA	Stated choice survey (best-worst scaling) ¹	Hypothetical RA risk status affected likelihood that treatment chosen. Treatment effectiveness and severe side effects significantly affected choices, mild side effects and mode of administration did not.	Finckh et al., (51)
288 self-reported FDRs recruited via Amazon's Mechanical Turk platform	Assess relative importance of, and trade-offs between, preventive treatment effectiveness, side effects, mode of administration, certainty in evidence for effectiveness, and healthcare professional endorsement	Stated choice survey (discrete choice experiment) ²	Method of administration, effectiveness, healthcare professional endorsement and serious side effects were most influential determinants of choices. Predicted uptake of biological therapies was low.	Harrison et al., (52)
108 participants (78 RA patients, 30 of their FDRs and 39 rheumatologists) (Canada)	Assess relative importance of, and trade-offs between, preventive treatment effectiveness, side effects, mode of administration, certainty in evidence for effectiveness, and rheumatologist/patient endorsement	Stated choice survey (discrete choice experiment) ²	Rheumatologist/patient endorsement most important attribute. Non-biologic therapies preferred. Preferences of patients and FDRs differed from those of rheumatologists	Harrison et al., (53)
187 participants (100 seropositive CSA** patients, 38 FDRs of patients with axial spondylitis, 49 rheumatologists) (Netherlands)	Assess willingness to accept 100% effective preventive treatments with no/minor side effects at 30%/70% disease risk	Survey	Lifestyle interventions were acceptable to participants, but rarely discussed by rheumatologists. Acceptability of drug treatment was higher amongst rheumatologists than at risk individuals. Treatment acceptability increased with hypothetical risk of RA	Van Boheemen et al., (54)
396 FDRs of RA patients (UK)	Assess interest in taking a predictive test for RA, and predictors of interest.	Survey	FDRs interest in predictive testing was high. Predictors of interest included information-seeking preferences and beliefs that predictive testing would increase empowerment or cause anxiety	Wells et al., (55)

*FDR, First-degree relative; **CSA, Clinically suspect arthralgia.

¹Stated choice study design informed by Novotny et al. (2013) qualitative study (42).

²Stated choice study design informed by Munro et al. (2018) qualitative study (47).

effectiveness to reduce risk of RA and the likelihood of serious adverse effects were significant determinants of the likelihood that participants would choose a preventive treatment (51). Mild adverse events and the method of drug administration did not influence participants' decisions. Preventive therapies were chosen 7%, 30% and 38% of the time when participants assumed a baseline risk status of 1%, 20%, and 40%, respectively (51).

A larger sample of self-reported FDRs took part in a Canadian discrete choice experiment (DCE) (52). Participants were asked to assume a 60% risk of developing RA. Method of administration, treatment effectiveness, healthcare professional preference and risk of serious side effects were the treatment attributes that most influenced participants' choices. Latent class analysis identified three sub-groups of participants whose preferences were driven not only by treatment effectiveness, but also by safety aspects, healthcare professional endorsement and treatment convenience, respectively. Predicted uptake was high for non-biologic drugs such as hydroxychloroquine (84%), but low for atorvastatin and biologics (52).

Nonbiologic drugs were also preferred in a similar survey in Canada of a sample including RA patients, FDRs and rheumatologists (53). 38% of patients/FDRs preferred no preventive treatment, compared with 12% of rheumatologists. The most important drivers of participants' choice were shared decision-making (whether the treatment option was supported by the rheumatologist/patient), risks of serious side effects, and treatment effectiveness (53).

Finally, the protocol of a stated choice survey employing both a DCE and a probabilistic threshold technique to assess preferences for preventive treatments for RA has been published (58). That study recruits large samples of the general population *via* survey panels in the UK, Germany and Romania, and also recruits FDRs of confirmed RA patients. Initial findings from the DCE of the general population indicated that treatment effectiveness was the most important determinant of choice across countries, and the sample in Romania was more sensitive to treatment risks (59). Predicted uptake of profiles resembling RA prevention candidate therapies varied across countries, with a profile chosen to estimate abatacept being most likely treatment to be chosen in all three (59).

DISCUSSION

The studies described in this narrative review highlight significant progress in our understanding of preferences for risk assessment and preventive interventions for RA (60). There are now a number of qualitative explorations across a range of stakeholder groups indicating perceived potential for benefit that is sometimes outweighed by concerns around the probability of RA development, treatment harms, uncertainty about effectiveness, and perceptions that preventive intervention with pharmaceutical products are not warranted for RA. The latter finding may reflect commonly held public misperceptions that RA is not a serious condition, and/or that it is a natural part

of human ageing (61–63). Taken together these studies highlight an urgent need to provide at risk groups with accurate information about RA, RA risk and the risks and benefits associated with potential preventive strategies to support shared decision-making in the context of trial participation and effective clinical translation. Little is known about the perspectives of healthcare professionals in this context. As the implementation of preventive strategies for RA would require considerable reconfiguration of healthcare services, further studies are needed.

Whilst several studies have described a preference for lifestyle interventions over pharmaceutical therapies, and personalized risk education has been shown to increase risk-reducing health behaviours amongst FDRs of RA patients (64), interventional trials of potential preventive lifestyle interventions for RA (such as smoking cessation, periodontal treatment, weight loss and dietary change) are currently lacking.

There are fewer examples of quantitative studies. Choice-based methods have been applied to samples of FDRs and the public and provide initial evidence that preventive treatments for RA are acceptable to those assuming a hypothetical high-risk status. However, no quantitative studies have used stated choice methods to directly elicit the preferences of very high-risk populations (e.g., seropositive individuals with CSA) for either predictive tools or preventive treatments. Further research in this area is therefore needed to enable quantification of the relative importance of outcomes/intervention attributes, benefit/risk tradeoffs and predicted uptake of treatment profiles for this group. Such information would support patient-focused development of preventive therapies and enhance the likelihood of clinical impact. Importantly, no stated choice studies have quantified the degree of benefit required from preventive lifestyle interventions for RA in exchange for sustained behavioral change. This, is an important area for future research given that several studies have indicated that lifestyle interventions are preferred for prevention of RA. No studies to date have assessed preferences for combined lifestyle and pharmacological intervention.

All preference studies undertaken to date have focused on a single aspect of treatment effectiveness: reduction of the risk of RA development. None have investigated preferences for outcomes such as delay of the onset of RA, or reduction of subsequent RA severity. For symptomatic at-risk groups, important additional benefits may include reduction of symptoms such as arthralgia and fatigue. Further research is therefore needed to quantify the relative importance of these outcomes in high-risk populations. All existing studies were undertaken in Europe or North America. Further investigation is needed to assess preferences in different countries with different types of healthcare provision and also in low and middle income countries. Existing choice-based studies have not yet identified participant characteristics (e.g., gender; health literacy; and numeracy) associated with preference heterogeneity (52), though this is currently under investigation (58).

Comparisons across quantitative studies are limited by methodological heterogeneity. For example, where a treatment attribute describing healthcare professional endorsement or

certainty of risk estimates is included in the experimental design it is likely to be an important determinant of participants' choices (52, 53). Such considerations can be held constant in the treatment scenario to allow assessment of the relative importance of additional treatment characteristics.

The emergence of evidence-based recommendations to guide the use of preference studies for decision-making in the medical product lifecycle, such as those produced by the PREFER consortium (35), provides a framework for future studies in this area. PREFER has also contributed to an agenda for further refinement of stated preference study methodology. For example, the application of measures of psychological constructs to explain preference heterogeneity (65, 66), and the development of scenario-based interactive educational tools to deliver background information and training to preference study participants to support informed choices (67). These methodological considerations are particularly relevant in the context of RA prevention, where decision making by those at risk of developing RA about accepting treatment is likely to be highly preference sensitive, and influenced by underlying beliefs about RA, personal risk status and treatment risks and benefits. Therefore, the development of innovative educational tools to obtain informed preferences within preference elicitation studies of preventive interventions for RA could also be usefully applied to support shared decision-making in clinical settings.

Preventive strategies for other chronic conditions are routinely integrated into clinical practice, and many asymptomatic individuals accept preventive pharmaceutical treatments (e.g., statins and antihypertensive medications are widely prescribed to reduce risk of cardiovascular disease).

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A similar approach to RA could dramatically improve clinical outcomes with considerable cost savings. The development of treatments to achieve this that are acceptable to those at risk would represent an important paradigm shift. Such an achievement is more likely to be realized if it is informed by an understanding of stakeholder perspectives and underpinned by evidence that aligns with the treatment preferences of at-risk populations.

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Both authors contributed to the conception, writing and finalization of this article. MF wrote an initial draft. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2022.883287/full#supplementary-material>

- (RA) Knowing About Their Risk of Developing RA and Being Offered Preventive Treatment: Systematic Review and Thematic Synthesis of Qualitative Studies. *Ann Rheum Dis* (2022) 81(2):159. doi: 10.1136/annrheumdis-2021-221160
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